

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
EXECUTIVE DIR/SUPPORT SVCS							43010100
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							1602.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR STATE FIRE							
MARSHAL BOILER INSPECTION PROGRAM - DEDUCT							3D00010
SALARY RATE							000000
SALARY RATE.....	35,865-						
=====							
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	1.00-			35,865-			2021 1
=====							
TOTAL: REDIRECT RESOURCES FOR STATE FIRE							3D00010
MARSHAL BOILER INSPECTION PROGRAM - DEDUCT							
TOTAL POSITIONS.....	1.00-						
TOTAL ISSUE.....		35,865-					
TOTAL SALARY RATE.....	35,865-						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY # 3

IT COMPONENT? NO

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the reprioritization of positions and budget to other areas of the department that require additional resources.

This has occurred in the General Services entity with the reception desk at the Larson Building. The process efficiency will allow the department to keep this position vacant for FY 2013-14. This position, along with the Pre-Licensing Education position in the Agent and Agency Services entity can be reprioritized and shifted to the Boiler Inspection Program.

Both reduction issues are included in the Schedule VIIIIC-B and the agency Legislative Budget Request. Because of this, the issues (3D00010 and 3D00020) do not net to zero - the issues reduce an additional FTE and (2,199) in budget.

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
EXECUTIVE DIR/SUPPORT SVCS							43010100
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR STATE FIRE							
MARSHAL BOILER INSPECTION PROGRAM - DEDUCT							3D00010

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0001 001	1.00-	35,865-			35,865-	0.00	35,865-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							35,865-
	1.00-	35,865-			35,865-		35,865-

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TOTAL: EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	1.00-	35,865-					2000
SALARY RATE.....	35,865-						

=====

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 AMOUNT	COL A25 SCH VIIIC ANZ 2014-15 AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS				3D00000
REDIRECT RESOURCES FOR UNCLAIMED				
PROPERTY INFORMATION SYSTEM - ADD				3D00040
SALARY RATE				000000
SALARY RATE.....	253,125			
=====				
SALARIES AND BENEFITS				010000
	3.00			
ADMINISTRATIVE TRUST FUND -STATE	253,125			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	30,141	12,324		2021 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,032			2021 1
=====				
TOTAL: REDIRECT RESOURCES FOR UNCLAIMED				3D00040
PROPERTY INFORMATION SYSTEM - ADD				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	284,298	12,324		
TOTAL SALARY RATE.....	253,125			
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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY # 2

IT COMPONENT? NO

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the reprioritization of position and budget to other areas of the department that require additional resources.

The department has determined three additional positions are required for the Unclaimed Property Management Information System (UPMIS) upgrade. In FY 2013-14, the department requested seven positions and were appropriated four positions. This issue is requesting the three positions which were not appropriated.

There are process efficiencies in Agent and Agency Services entity in the Continuing Education program. This program

COL A23		COL A24		COL A25		CODES
SCH VIIIIC		SCH VIIIIC		SCH VIIIIC		
REPRIORTIZN		N/R 2014-15		ANZ 2014-15		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PRG: CHIEF FIN OFFICER/ADM						43000000
<u>INFORMATION TECHNOLOGY</u>						43010000
GOV OPERATIONS/SUPPORT						43010300
<u>INFORMATION TECHNOLOGY</u>						16
FUNDING REPRIORITIZATIONS						<u>1603.00.00.00</u>
REDIRECT RESOURCES FOR UNCLAIMED						3D00000
PROPERTY INFORMATION SYSTEM - ADD						3D00040

requires insurance licensees to complete continuing education courses in order to continue their license. Florida statute requires the department to maintain records of the continuing education hours completed by licensees and discipline/fine individuals who fail to comply. This requirement can continue, but without the department approving the education providers, instructors and/or courses.

The reduction issue is included in the Schedule VIII-B and the agency Legislative Budget Request (LBR). The UPMIS issue is also included in the agency LBR. Because of this, the issues (3D00030 and 3D00040) do not net to zero - the issues reduce four additional FTE and (81,457) in budget.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0002 001	3.00	253,125		253,125	0.00	253,125
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
3.00	253,125			253,125		253,125

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR CASH							
MANAGEMENT INFORMATION SYSTEM - ADD							3D00060
SALARY RATE							000000
SALARY RATE.....	337,500						
=====							
SALARIES AND BENEFITS							010000
	4.00						
ADMINISTRATIVE TRUST FUND -STATE	337,500						2021 1
=====							
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	40,188	16,432					2021 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE	1,376						2021 1
=====							
TOTAL: REDIRECT RESOURCES FOR CASH							3D00060
MANAGEMENT INFORMATION SYSTEM - ADD							
TOTAL POSITIONS.....	4.00						
TOTAL ISSUE.....	379,064	16,432					
TOTAL SALARY RATE.....	337,500						
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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY # 1

IT COMPONENT? NO

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the reprioritization of positions and budget to other areas of the department that require additional resources.

It has been determined there is a need for four additional positions to supplement and eventually replace existing long-term outside staff augmentation agreements. The positions will enable DIS to continue the rewrite of the legacy Cash Management Information System (CMS) to the new application. This will enable DIS to maintain CMS in the long-term using in-house staff. The reprioritization in the Rehabilitation and Liquidation entity will be used to fullfill the need in the CMS.

COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES FOR CASH						
MANAGEMENT INFORMATION SYSTEM - ADD						3D00060

The reduction issue is included in the Schedule VIII-B and the agency Legislative Budget Request (LBR). The CMS issue is also included in the agency LBR. Because of this, the issues (3D00050 and 3D00060) do not net to zero - the issues reduce two additional FTE and (17,950) in budget.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0001 001	4.00	337,500			337,500	0.00	337,500
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							337,500
	4.00	337,500			337,500		337,500

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TOTAL: INFORMATION TECHNOLOGY							<u>1603.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	7.00	663,362	28,756				2000
SALARY RATE.....	590,625						
=====							

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR LAW							
ENFORCEMENT INVESTIGATORS - DEDUCT							3D00070
SALARY RATE							000000
SALARY RATE.....	367,521-						
=====							
SALARIES AND BENEFITS							010000
	6.00-						
ADMINISTRATIVE TRUST FUND -STATE		367,521-					2021 1
=====							
TOTAL: REDIRECT RESOURCES FOR LAW							3D00070
ENFORCEMENT INVESTIGATORS - DEDUCT							
TOTAL POSITIONS.....	6.00-						
TOTAL ISSUE.....		367,521-					
TOTAL SALARY RATE.....	367,521-						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO  
 PRIORITY #4

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the reprioritization of position and budget to other areas of the department that require additional resources.

The department is responsible for the audit of the expenditures of the 67 county Clerks of the Court. If this function was eliminated, the legislature could reduce the total fees collected by the Clerk and remitted to the Department of Revenue, or redirect these revenues. The CFO already has sufficient statutory authority in Chapter 17, Florida Statutes, for the review and audit of any state funds. This reprioritization can be used for the need of additional State Fire Marshal Law Enforcement positions.

The reduction issue is included in the Schedule VIII-B and the agency Legislative Budget Request (LBR). The State Fire Marshal law enforcement issue is also included in the agency LBR. Because of this, the issues (3D00070 and 3D00080) do not net to zero - the issues reduce three additional FTE and (37,919) in budget.

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR LAW							
ENFORCEMENT INVESTIGATORS - DEDUCT							3D00070

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0001 001	6.00-	367,521-			367,521-	0.00	367,521-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							367,521-
	6.00-	367,521-			367,521-		367,521-

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TOTAL: GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	6.00-	367,521-					2000
SALARY RATE.....		367,521-					
=====							



	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>COMPLIANCE &amp; ENFORCEMENT</u>							43300200
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR STATE FIRE							
MARSHAL BOILER INSPECTION PROGRAM -							
ADD							3D00020
SALARY RATE							000000
SALARY RATE.....	54,426						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	1.00						
-STATE	54,426						2393 1
=====							
EXPENSES							040000
INSURANCE REG TF							
-STATE	10,896	4,205					2393 1
=====							
SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR VEHICLES							100021
INSURANCE REG TF							
-STATE	18,306	18,306					2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF							
-STATE	344						2393 1
=====							
TOTAL: REDIRECT RESOURCES FOR STATE FIRE							3D00020
MARSHAL BOILER INSPECTION PROGRAM -							
ADD							
TOTAL POSITIONS.....	1.00						
TOTAL ISSUE.....	83,972	22,511					
TOTAL SALARY RATE.....	54,426						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY # 3

IT COMPONENT? NO

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>COMPLIANCE &amp; ENFORCEMENT</u>							43300200
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR STATE FIRE MARSHAL BOILER INSPECTION PROGRAM - ADD							3D00020

repriorization of positions and budget to other areas of the department that require additional resources.

It has been determined that the State Fire Marshal's Boiler Inspector Program is in need of an additional position. Currently, there are five districts and only four boiler inspectors. This requires the bureau chief to inspect a region along with the other job requirements. The process efficiencies in General Services and Agent and Agency Services entity can be reprioritized and shifted to the Boiler Inspection Program.

Both reduction issues are included in the Schedule VIII-B and the agency Legislative Budget Request. Because of this, the issues (3D00010 and 3D00020) do not net to zero - the issues reduce an additional FTE and (2,199) in budget.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0003 001	1.00	54,426			54,426	0.00	54,426
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							54,426
	1.00	54,426			54,426		54,426
	=====	=====	=====	=====	=====		=====

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>COMPLIANCE &amp; ENFORCEMENT</u>							43300200
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT							<u>1202.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	1.00			83,972	22,511		2000
SALARY RATE.....	54,426						
=====							
<u>FIRE &amp; ARSON INVESTIGATION</u>							43300300
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR LAW							
ENFORCEMENT INVESTIGATORS - ADD							3D00080
SALARY RATE							000000
SALARY RATE.....	190,741						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF -STATE	3.00			190,741			2393 1
=====							
EXPENSES							040000
INSURANCE REG TF -STATE				47,829	30,012		2393 1
=====							
SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR VEHICLES							100021
INSURANCE REG TF -STATE				90,000	90,000		2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF -STATE				1,032			2393 1
=====							
TOTAL: REDIRECT RESOURCES FOR LAW							3D00080
ENFORCEMENT INVESTIGATORS - ADD							
TOTAL POSITIONS.....	3.00						
TOTAL ISSUE.....	329,602			120,012			
TOTAL SALARY RATE.....	190,741						
=====							

COL A23		COL A24		COL A25		CODES
SCH VIIIIC	REPRIORTIZN	SCH VIIIIC	N/R 2014-15	SCH VIIIIC	ANZ 2014-15	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PROGRAM: FIRE MARSHAL						
<u>FIRE &amp; ARSON INVESTIGATION</u>						
PUBLIC PROTECTION						
<u>LAW ENFORCEMENT</u>						
						43000000
						43300000
						43300300
						12
						<u>1202.00.00.00</u>
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES FOR LAW						
ENFORCEMENT INVESTIGATORS - ADD						3D00080
*****						

AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY # 4

IT COMPONENT? NO

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the reprioritization of positions and budget to other areas of the department that require additional resources.

Over the last few years with county and city budgets being reduced, the investigations of fire causes have been eliminated by some cities and counties. This has increased the workload of the State Fire Marshal (SFM). The Jacksonville/Duval County area is one of the larger areas that has eliminated arson investigations from their responsibilities. SFM also have law enforcement investigators on multi-jurisdictional task forces focusing on arson and economic crimes. Again, this increases the workload for the remaining investigators. Due to these reasons, the department is requesting three additional positions to offset the workload. The elimination of the Article V audits can be reprioritized for the SFM positions.

The reduction issue is included in the Schedule VIII-B and the agency Legislative Budget Request (LBR). The State Fire Marshal law enforcement issue is also included in the agency LBR. Because of this, the issues (3D00070 and 3D00080) do not net to zero - the issues reduce three additional FTE and (37,919) in budget.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0010 001	3.00	190,741		190,741	0.00	190,741

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>							43300300
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR LAW							
ENFORCEMENT INVESTIGATORS - ADD							3D00080

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							190,741
	3.00	190,741			190,741		190,741

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TOTAL: LAW ENFORCEMENT							<u>1202.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	3.00	329,602	120,012				2000
SALARY RATE.....	190,741						

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>INSURANCE CO REHAB/LIQDATN</u>							43500100
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR CASH							
MANAGEMENT INFORMATION SYSTEM -							
DEDUCT							3D00050
SALARY RATE							000000
SALARY RATE.....	397,014-						
=====							
SALARIES AND BENEFITS							010000
	6.00-						
INSURANCE REG TF	-STATE	397,014-					2393 1
=====							
TOTAL: REDIRECT RESOURCES FOR CASH							3D00050
MANAGEMENT INFORMATION SYSTEM -							
DEDUCT							
TOTAL POSITIONS.....	6.00-						
TOTAL ISSUE.....		397,014-					
TOTAL SALARY RATE.....	397,014-						
=====							

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO  
 PRIORITY # 1

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the reprioritization of positions and budget to other areas of the department that require additional resources.

Rehabilitation and Liquidation (R&L) is appropriated seven positions and almost one million in budget. It has been determined six of the positions can be transferred to the receivership side of the program. This will leave one state employee, the Director, in order to comply with Chapter 20. The recoveries from the estates that are in receivership fund the receivership side of the program. The contractual nature of the receivership employment allows the division's management to expand or downsize staff as necessary with the fluctuation of the number of estates being administered. These positions can be reprioritized for the Cash Management Information System (CMS) position need.

The reduction issue is included in the Schedule VIII-B and the agency Legislative Budget Request (LBR). The CMS issue is also included in the agency LBR. Because of this, the issues (3D00050 and 3D00060) do not net to zero - the issues reduce two additional FTE and (17,950) in budget.

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COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
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FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE CO REHAB/LIQDATN</u>						43500100
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES FOR CASH						
MANAGEMENT INFORMATION SYSTEM -						
DEDUCT						3D00050

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0001 001	6.00-	397,014-		397,014-	0.00	397,014-
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						
	6.00-	397,014-		397,014-		397,014-
=====						

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TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	6.00-	397,014-				2000
SALARY RATE.....	397,014-					
=====						

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR STATE FIRE							
MARSHAL BOILER INSPECTION PROGRAM - DEDUCT							3D00010
SALARY RATE							000000
SALARY RATE.....	48,162-						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF -STATE	1.00-	48,162-					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF -STATE	1,800-						2393 1
=====							
TOTAL: REDIRECT RESOURCES FOR STATE FIRE							3D00010
MARSHAL BOILER INSPECTION PROGRAM - DEDUCT							
TOTAL POSITIONS.....	1.00-						
TOTAL ISSUE.....		49,962-					
TOTAL SALARY RATE.....	48,162-						
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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

PRIORITY #3

Law Changes required: Sections 626.171, 626.221, 626.231, 626.2817, 626.292, 626.681, 626.731, 626.7351, 626.785, 626.7851, 626.831, 626.8311, 626.8417, 626.865, 626.927, 648.385, and 648.386 F.S. will need to be repealed or amended.

Over the past three years, the Chief Financial Officer (CFO) has reviewed all areas of the department and implemented many cost saving measures. The department continues to look for process efficiencies which will allow for the repriorization of positions and budget to other areas of the department that require additional resources.

This has occurred in the Pre-Licensing Education position in the Agent and Agency Services entity. This program requires licensees to pass a state examination before becoming licensed. The examination is used to verify the applicants are qualified and competent to transact insurance. The position approves or disapproves courses the applicant requests for pre-licensing education. This position, along with the General Services entity with the reception desk at the Larson Building can be reprioritized and shifted to the Boiler Inspection Program.

Both reduction issues are included in the Schedule VIIIIC-B and the agency Legislative Budget Request. Because of this,





	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR UNCLAIMED							
PROPERTY INFORMATION SYSTEM -							
DEDUCT							3D00030
EXPENSES							040000
INSURANCE REG TF							2393 1
-STATE				12,600-			
TOTAL: REDIRECT RESOURCES FOR UNCLAIMED							3D00030
PROPERTY INFORMATION SYSTEM -							
DEDUCT							
TOTAL POSITIONS.....				7.00-			
TOTAL ISSUE.....					363,349-		
TOTAL SALARY RATE.....				350,749-			

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY # 2

IT COMPONENT? NO

Law Changes required: Sections 626.2815, 626.2816, 626.2817, 626.681, 626.869, 648.385 and 648.386 F.S. will need to be repealed or amended.

There are process efficiencies in Agent and Agency Services entity in the Continuing Education program. This program requires insurance licensees to complete continuing education courses in order to continue their license. Florida statute requires the department to maintain records of the continuing education hours completed by licensees and discipline/fine individuals who fail to comply. This requirement can continue under the approval of the appointing entities, but without the department approving the education providers, instructors and/or courses. The seven positions can be reprioritized for the Unclaimed Property Management Information System position needs.

The reduction issue is included in the Schedule VIII-B and the agency Legislative Budget Request. Because of this, the issues (3D00030 and 3D00040) do not net to zero - the issues reduce four additional FTE and (81,457) in budget.

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FOR UNCLAIMED							
PROPERTY INFORMATION SYSTEM -							
DEDUCT							3D00030

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0001 001	7.00-	350,749-			350,749-	0.00	350,749-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							350,749-
	7.00-	350,749-			350,749-		350,749-

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TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	8.00-	413,311-					2000
SALARY RATE.....	398,911-						

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF OUTSOURCED							
EXAMINATION APPROPRIATION - DEDUCT							3D00200
SPECIAL CATEGORIES							100000
PROPERTY/CASUALTY EXAMS							100523
INSURANCE REG TF	-STATE			750,000-			2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #101

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Outsourced Examination Appropriation (DEDUCT)

Reference to Long-Range Program Plan: Goal 2: Protect the public from illegal, unethical insurance practices.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition The primary mission of the Office of Insurance Regulation (Office) is to ensure that insurance companies licensed to do business in Florida are financially viable and are operating within the laws and regulations governing the insurance industry. The Office regulates the insurance industry and maintains expertise related to life and health, property and casualty, specialty lines, and other regulated insurance entities. It protects the public through oversight of insurance company solvency and market conduct performance. To facilitate this mission, part of the Office responsibility is to conduct financial examinations and ongoing analysis of insurance entities. The financial oversight is primarily done in two business units. The units are the Property and Casualty Financial Oversight Unit and the Life and Health Financial Oversight Unit.

The Property and Casualty Financial Oversight Unit is responsible for monitoring the financial condition of property and casualty, title insurers and self-insurance funds by conducting financial examinations and ongoing financial analysis. The Unit is primarily responsible for enforcing the provisions of Chapters 624 and 625, Florida Statutes, and applicable rules, as they relate to the review of Property and Casualty insurer solvency.

The Life and Health Financial Oversight unit monitors the financial condition of all regulated Life and Health entities through the use of internal financial analysis and on-site examinations. Entities subject to the units regulatory oversight include Life and Health insurers, fraternal benefit societies, health maintenance organizations, pre-paid limited health service organizations, pre-paid health clinics, multiple employer welfare arrangements, fiscal intermediary service organizations, discount medical plan organizations, as well as Healthflex entities that are either licensed, authorized or otherwise approved to operate in the State of Florida.

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF OUTSOURCED							
EXAMINATION APPROPRIATION - DEDUCT							3D00200

Due to staff and budget reductions over the past several years, the Office does not have enough in-house examiner positions and therefore, has to outsource some of the financial analysis and examinations of insurance entities. This outsourcing allows the Office to use contracted examiners that have extensive investment and information technology expertise, which are desirable in our current economy. The Office has two separate appropriation budget categories for the outsourcing of the exams. The Property and Casualty Financial Oversight Unit has \$4.65 million in budget authority and the Life and Health Financial Oversight Unit has \$275,000 in budget authority.

The number of examinations of Property and Casualty insurance entities have held steady over the past several years. However, the examination of Life and Health insurance entities in Florida have increased over the past several years from 6 examinations in Fiscal Year 2010-2011 to 21 examinations in Fiscal Year 2012-2013. Also, the number of examinations is anticipated to increase dramatically more over the next few years. Therefore, the Office is proposing the reprioritization of the current budget authority by realigning \$750,000 from the Property and Casualty Financial Oversight Outsourcing Examination appropriation to the Life and Health Financial Oversight Outsourcing Examination appropriation.

Potential Outcome: With the redirection of a portion of this budget, it will allow the Office to be prepared for the increase in examinations of the Life and Health insurance entities along with fulfilling its statutory responsibility.

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REPRIORITIZATION OF OUTSOURCED							
EXAMINATION APPROPRIATION - ADD							3D00210
SPECIAL CATEGORIES							100000
LIFE AND HEALTH EXAMS							100524
INSURANCE REG TF	-STATE			750,000			2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #101

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Outsourced Examination Appropriation (ADD)

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF OUTSOURCED							
EXAMINATION APPROPRIATION - ADD							3D00210

Reference to Long-Range Program Plan: Goal 2: Protect the public from illegal, unethical insurance practices.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of insurance companies licensed to do business in Florida. The primary mission of the Office of Insurance Regulation (Office) is to ensure that insurance companies licensed to do business in Florida are financially viable and are operating within the laws and regulations governing the insurance industry. The Office regulates the insurance industry and maintains expertise related to life and health, property and casualty, specialty lines, and other regulated insurance entities. It protects the public through oversight of insurance company solvency and market conduct performance. To facilitate this mission, part of the Office responsibility is to conduct financial examinations and ongoing analysis of insurance entities. The financial oversight is primarily done in two business units. The units are the Property and Casualty Financial Oversight Unit and the Life and Health Financial Oversight Unit.

The Property and Casualty Financial Oversight Unit is responsible for monitoring the financial condition of property and casualty, title insurers and self-insurance funds by conducting financial examinations and ongoing financial analysis. The Unit is primarily responsible for enforcing the provisions of Chapters 624 and 625, Florida Statutes, and applicable rules, as they relate to the review of Property and Casualty insurer solvency.

The Life and Health Financial Oversight unit monitors the financial condition of all regulated Life and Health entities through the use of internal financial analysis and on-site examinations. Entities subject to the units regulatory oversight include Life and Health insurers, fraternal benefit societies, health maintenance organizations, pre-paid limited health service organizations, pre-paid health clinics, multiple employer welfare arrangements, fiscal intermediary service organizations, discount medical plan organizations, as well as Healthflex entities that are either licensed, authorized or otherwise approved to operate in the State of Florida.

Due to staff and budget reductions over the past several years, the Office does not have enough in-house examiner positions and therefore, has to outsource some of the financial analysis and examinations of insurance entities. This outsourcing allows the Office to use contracted examiners that have extensive investment and information technology expertise, which are desirable in our current economy. The Office has two separate appropriation budget categories for the outsourcing of the exams. The Property and Casualty Financial Oversight Unit has \$4.65 million in budget authority and the Life and Health Financial Oversight Unit has \$275,000 in budget authority.

The number of examinations of Property and Casualty insurance entities have held steady over the past several years. However, the examination of Life and Health insurance entities in Florida have increased over the past several years from 6 examinations in Fiscal Year 2010-2011 to 21 examinations in Fiscal Year 2012-2013. Also, the number of examinations is anticipated to increase dramatically more over the next few years. Therefore, the Office is proposing the reprioritization of the current budget authority by realigning \$750,000 from the Property and Casualty Financial Oversight Outsourcing Examination appropriation to the Life and Health Financial Oversight Outsourcing Examination

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF OUTSOURCED							
EXAMINATION APPROPRIATION - ADD							3D00210

appropriation.

Potential Outcome: With the redirection of a portion of this budget, it will allow the Office to be prepared for the increase in examinations of the Life and Health insurance entities along with fulfilling its statutory responsibility.

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REPRIORITIZATION OF OFFICE							
EFFICIENCIES - DEDUCT							3D00220
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE			1,350-			2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #102

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Office Efficiencies (DEDUCT)

Reference to Long-Range Program Plan: Goal 2: Protect the public from illegal, unethical insurance practices.

Issue Description: Pursuant to Section 624.316, Florida Statutes, the Office of Insurance Regulation (Office) is required to conduct financial examinations of life and health and property and casualty insurance companies in order to provide early detection of weakening financial conditions and protect consumers.

Due to the Office's statutorily required duties and responsibilities, the Office receives an enormous amount of documents from insurance entities. Due to the highly sensitive and confidential nature of the majority of documents that the Office receives, shredding and the disposing of documents is an important and integral part to protecting the information. The documents contain confidential information such as Taxpayer I.D. #s, personal Social Security Numbers, personal information, sensitive insurance data, and much more. After all of the documents are scanned, the documents are then ready to be shredded.

COL A23		COL A24		COL A25		CODES
SCH VIIIIC		SCH VIIIIC		SCH VIIIIC		
REPRIORTIZN	N/R 2014-15	ANZ 2014-15	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
FUNDING REPRIORITIZATIONS						3D00000
REPRIORITIZATION OF OFFICE						
EFFICIENCIES - DEDUCT						3D00220

Currently, the Office is spending approximately \$3,974 annually in shredding costs. The Office believes that a reduction of \$1,350 can be realized by utilizing other new state term contracts for shredding that are in now in effect.

Projected Outcome: With this specific savings, the Office will reprioritize and add these savings to the Other Personal Services (OPS) category to assist with the increased workload that the Office has incurred over the past couple of years.

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REPRIORITIZATION OF OFFICE						3D00230
EFFICIENCIES - ADD						030000
OTHER PERSONAL SERVICES						
INSURANCE REG TF	-STATE	1,350				2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #102

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Office Efficiencies (ADD)

Reference to Long-Range Program Plan: Goal 2: Protect the public from illegal, unethical insurance practices.

Issue Description: Pursuant to Section 624.316, Florida Statutes, the Office of Insurance Regulation (Office) is required to conduct financial examinations of life and health and property and casualty insurance companies in order to provide early detection of weakening financial conditions and protect consumers.

Due to the Office's statutorily required duties and responsibilities, the Office receives an enormous amount of documents from insurance entities. Due to the highly sensitive and confidential nature of the majority of documents that the Office receives, shredding and the disposing of documents is an important and integral part to protecting the information. The documents contain confidential information such as Taxpayer I.D. #s, personal Social Security Numbers, personal information, sensitive insurance data, and much more. After all of the documents are scanned, the documents are then ready to be shredded.



	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF OFFICE							
EFFICIENCIES - ADD							3D00230

Currently, the Office is spending approximately \$3,974 annually in shredding costs. The Office believes that a reduction of \$1,350 can be realized by utilizing other new state term contracts for shredding that are in now in effect.

Projected Outcome: With this specific savings, the Office will reprioritize and add these savings to the Other Personal Services (OPS) category to assist with the increased workload that the Office has incurred over the past couple of years.

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REPRIORITIZATION OF PROPERTY AND  
 CASUALTY FINANCIAL OVERSIGHT  
 EFFICIENCIES - DEDUCT  
 EXPENSES

3D00240  
 040000

INSURANCE REG TF                    -STATE                    2,807-

2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #103

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Property and Casualty Financial Oversight Efficiencies (DEDUCT)

Reference to Long-Range Program Plan: Goal 2: Protect the public from illegal, unethical insurance practices.

Issue Description: The Property and Casualty Financial Oversight Unit is responsible for monitoring the financial condition of property and casualty, title insurers and self-insurance funds by conducting financial examinations and ongoing financial analysis. The Unit is primarily responsible for enforcing the provisions of Chapters 624 and 625, Florida Statutes, and applicable rules, as they relate to the review of Property and Casualty insurer solvency.

On July 1, 2013, the majority of the Financial Examiners in the Property and Casualty Financial Oversight Unit were brought in-house to assist in lowering expenses for the Office. There were a couple of expenses that were reduced due to this internal reorganization. The first was the cost of cell phones that the examiners were utilizing. With the majority of the examiners now being in-house and having limited travel, the need for a cell phone, although important, is not critical. The estimated savings is estimated at \$1,547 annually. In addition, the savings of miscellaneous expenses such

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF PROPERTY AND CASUALTY FINANCIAL OVERSIGHT EFFICIENCIES - DEDUCT							3D00240

as personal printer ink and other office supplies are estimated to be \$1,260 annually. The total estimate annual savings is \$2,807.

Projected Outcome: With these savings due to efficiencies, the Office will add these savings to the Other Personal Services (OPS) category to assist with the increased workload that the Office has incurred over the past couple of years.

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REPRIORITIZATION OF PROPERTY AND CASUALTY FINANCIAL OVERSIGHT EFFICIENCIES - ADD							3D00250
OTHER PERSONAL SERVICES							030000

INSURANCE REG TF                    -STATE                    2,807                    2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #103

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Property and Casualty Financial Oversight Efficiencies (ADD)

Reference to Long-Range Program Plan: Goal 2: Protect the public from illegal, unethical insurance practices.

Issue Description: The Property and Casualty Financial Oversight Unit is responsible for monitoring the financial condition of property and casualty, title insurers and self-insurance funds by conducting financial examinations and ongoing financial analysis. The Unit is primarily responsible for enforcing the provisions of Chapters 624 and 625, Florida Statutes, and applicable rules, as they relate to the review of Property and Casualty insurer solvency.

On July 1, 2013, the majority of the Financial Examiners in the Property and Casualty Financial Oversight Unit were brought in-house to assist in lowering expenses for the Office. There were a couple of expenses that were reduced due to this internal reorganization. The first was the cost of cell phones that the examiners were utilizing. With the majority of the examiners now being in-house and having limited travel, the need for a cell phone, although important, is not

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF PROPERTY AND CASUALTY FINANCIAL OVERSIGHT EFFICIENCIES - ADD							3D00250
<p>critical. The estimated savings is estimated at \$1,547 annually. In addition, the savings of miscellaneous expenses such as personal printer ink and other office supplies are estimated to be \$1,260 annually. The total estimate annual savings is \$2,807.</p> <p>Projected Outcome: With these savings due to efficiencies, the Office will add these savings to the Other Personal Services (OPS) category to assist with the increased workload that the Office has incurred over the past couple of years.</p> <p>*****</p>							
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM BUDGET ENTITY - DEDUCT SALARY RATE							3D00100
SALARY RATE.....				56,385-			000000
=====							
SALARIES AND BENEFITS							010000
FINANCIAL INST REG TF -STATE				1.00-	75,805-		2275 1
=====							
EXPENSES							040000
FINANCIAL INST REG TF -STATE					1,800-		2275 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
FINANCIAL INST REG TF -STATE					356-		2275 1
=====							

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - DEDUCT							3D00100
TOTAL: REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM BUDGET ENTITY - DEDUCT							3D00100
TOTAL POSITIONS.....	1.00-						
TOTAL ISSUE.....		77,961-					
TOTAL SALARY RATE.....	56,385-						

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan:

- Goal #1: Excellence in all OFR does
- Goal #2: Enforce compliance with State laws related to the financial industry
- Goal #3: Examine regulate companies and individuals

Issue Description/Need: This issue would reprioritize 1 FTE and \$77,961 in budget authority within the Safety and Soundness of State Banking System budget entity.

Senior Division management will reprioritize the consumer complaint program/activity by transferring responsibility for this program to the Consumer Financial Protection Bureau (CFPB). The CFPB was created in 2011 and is an independent federal agency that is responsible for regulating consumer protection with regard to financial products and services in the United States. The CFPB developed a website in February 2011 to accept complaints and promote fairness and transparency for mortgages, credit cards, and other consumer financial products and services. The Division currently has one FTE (a Financial Specialist) who responds to consumer complaints and related inquiries (for state-chartered financial institutions) via written contact with the institutions to determine if any Florida Financial Institutions Laws were violated in association with the complaint.

The CFPB has a \$447.7 million annual budget with 945 employees dedicated to protecting citizens by monitoring financial complaints. A significant benefit of using the CFPB is that complaint data will be collected and shared with state and federal law enforcement agencies to protect citizens from fraud or unfair practices in the financial industry. The Division's Financial Specialist position will be better utilized as field examiner, conducting examinations of state-chartered financial institutions as required by Florida Statutes.

Ultimate Outcome: The Division will reprioritize the one FTE Financial Specialist, PG025, (Salary and Benefits of \$75,806), currently responding to consumer complaints to a field examiner position. By transferring the position to the

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - DEDUCT							3D00100

field, the Division will dedicate its resources to examining financial institutions to ensure they are operating in a safe and sound manner.

Issue code 3D00110 includes the "add back" portion of this proposal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C9999 001	1.00-	56,385-		19,420-	75,805-	0.00	75,805-
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							75,805-
	1.00-	56,385-		19,420-	75,805-		75,805-
	=====	=====	=====	=====	=====		=====

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - ADD							3D00110
SALARY RATE							000000
SALARY RATE.....	56,385						
=====							
SALARIES AND BENEFITS							010000
FINANCIAL INST REG TF -STATE	1.00			75,805			2275 1
=====							
EXPENSES							040000
FINANCIAL INST REG TF -STATE				356			2275 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
FINANCIAL INST REG TF -STATE				1,800			2275 1
=====							
TOTAL: REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							3D00110
BUDGET ENTITY - ADD							
TOTAL POSITIONS.....	1.00						
TOTAL ISSUE.....				77,961			
TOTAL SALARY RATE.....	56,385						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

- Reference to Long-Range Program Plan:
- Goal #1: Excellence in all OFR does
- Goal #2: Enforce compliance with State laws related to the financial industry
- Goal #3: Examine regulate companies and individuals

Issue Description/Need: This issue would reprioritize 1 FTE and \$77,961 in budget authority within the Safety and Soundness of State Banking System budget entity.

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND							
SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - ADD							3D00110

Senior Division management will reprioritize the consumer complaint program/activity by transferring responsibility for this program to the Consumer Financial Protection Bureau (CFPB). The CFPB was created in 2011 and is an independent federal agency that is responsible for regulating consumer protection with regard to financial products and services in the United States. The CFPB developed a website in February 2011 to accept complaints and promote fairness and transparency for mortgages, credit cards, and other consumer financial products and services. The Division currently has one FTE (a Financial Specialist) who responds to consumer complaints and related inquiries (for state-chartered financial institutions) via written contact with the institutions to determine if any Florida Financial Institutions Laws were violated in association with the complaint.

The CFPB has a \$447.7 million annual budget with 945 employees dedicated to protecting citizens by monitoring financial complaints. A significant benefit of using the CFPB is that complaint data will be collected and shared with state and federal law enforcement agencies to protect citizens from fraud or unfair practices in the financial industry. The Division's Financial Specialist position will be better utilized as field examiner, conducting examinations of state-chartered financial institutions as required by Florida Statutes.

Ultimate Outcome: The Division will reprioritize the one FTE Financial Specialist, PG025, (Salary and Benefits of \$75,806), currently responding to consumer complaints to a field examiner position. By transferring the position to the field, the Division will dedicate its resources to examining financial institutions to ensure they are operating in a safe and sound manner.

Issue code 3D00110 includes the "deduct" portion of this proposal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C9999 001	1.00	56,385		19,420	75,805	0.00	75,805





	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCIAL INVESTIGATIONS							43900540
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FROM SECURITIES							
REGULATION TO FINANCIAL							
INVESTIGATIONS - ADD							3D00150
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE				5,400			2021 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE				1,068			2021 1
=====							
TOTAL: REDIRECT RESOURCES FROM SECURITIES							3D00150
REGULATION TO FINANCIAL							
INVESTIGATIONS - ADD							
TOTAL POSITIONS.....	3.00						
TOTAL ISSUE.....		169,747					
TOTAL SALARY RATE.....	113,189						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Issue Description/Need: This issue would transfer 3 FTE and \$169,747 in budget authority from Securities Regulation to Financial Investigations.

OFR is focusing on measurement and outcomes as means to become a more effective financial services regulatory agency. As such, the Division of Securities has completed an overall evaluation of its program and has determined that through more efficient use of its resources, including process improvements, more focus on measures and reporting and enhanced use of technology, it can reduce its staffing by three (3) full-time employees without adversely impacting the program. The Office has determined that a proactive approach to regulation will better serve the agency and recommends the creation of an intelligence unit within the Bureau of Financial Investigations. This newly formed unit would be used to conduct research and collect and analyze data and information in an attempt to proactively identify risks and pinpoint misconduct. The job of separating vital data from useless information has grown harder as the volume of information available to OFR has grown throughout the years and as the industries regulated by OFR rapidly evolve and bring new products to the marketplace. In addition, information that could inform OFR's regulatory efforts is dispersed through many different datasets including publicly available sources.

Ultimate Outcome: Transfers 3 FTEs from 43900570 Division of Securities Regulation to 43900540 - Bureau of Financial Investigations for creation of an intelligence unit to conduct research and collect and analyze data and information in

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCIAL INVESTIGATIONS							43900540
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FROM SECURITIES							
REGULATION TO FINANCIAL							
INVESTIGATIONS - ADD							3D00150

an attempt to proactively identify risks and pinpoint misconduct.

Issue code 3D00140 is the "deduct" portion of this proposal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
C9999 001	3.00	113,189		50,090	163,279	0.00	163,279
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							163,279
	3.00	113,189		50,090	163,279		163,279

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TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	3.00						2000
SALARY RATE.....		169,747					
		113,189					

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
DEDUCT							3D00120
SALARY RATE							000000
SALARY RATE.....		113,189-					
=====							
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE		3.00-		163,279-			2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND -STATE				5,400-			2573 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE				1,068-			2573 1
=====							
TOTAL: REDIRECT RESOURCES WITHIN THE							3D00120
FINANCE REGULATION BUDGET ENTITY -							
DEDUCT							
TOTAL POSITIONS.....		3.00-					
TOTAL ISSUE.....				169,747-			
TOTAL SALARY RATE.....		113,189-					
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan:

This request will improve the Office's ability to reach the following goals:

Goal #1: Excellence in all OFR does;

Goal #2: Enforce compliance with state laws related to the financial industry;

Goal #3: Examine regulated companies and individuals; and

Goal #4: Register or chartered institutions, companies and individuals.

Issue Description/Need: This issue redirects 3 FTE and \$169,747 in budget authority within the Finance Regulation budget

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
DEDUCT							3D00120

entity.

The Division of Consumer Finance processes approximately 2,500 priority complaints. The processing of these complaints involve forwarding the consumer's complaint to the business entity and requesting a response. Upon receipt the division will forward the business entities response to the consumer. Unless a statutory violation is detected during the processing of the complaint the matter is then closed upon receipt of a response.

By reprioritizing program activities the division would discontinue the servicing of the priority complaints and redirecting staffing resources for this activity to field examinations. The redirection of staff would yield an estimated 150 additional field examinations. This will allow the office to direct more focus towards activities that yield greater consumer protection and detection of fraudulent activities.

The office would need to seek changes to Part VI of Chapter 559, Florida Statutes, to repeal a mandate to process consumer complaints.

Ultimate Outcome: Discontinue servicing priority complaints and redirect three (3) FTE's to field examinations. This would be accomplished by moving three (3) Financial Examiner/Analyst II positions within the Bureau of Enforcement.

Issue code 3D00130 is "add back" portion of this proposal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
C9999 001	3.00-	113,189-		50,090-	163,279-	0.00	163,279-

COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
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FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES WITHIN THE FINANCE REGULATION BUDGET ENTITY - DEDUCT						3D00120

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						163,279-
3.00-	113,189-		50,090-	163,279-		163,279-

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REDIRECT RESOURCES WITHIN THE FINANCE REGULATION BUDGET ENTITY - ADD						3D00130
SALARY RATE						000000
SALARY RATE.....	113,189					
SALARIES AND BENEFITS						
REGULATORY TRUST FUND	3.00					010000
-STATE	163,279					2573 1
EXPENSES						
REGULATORY TRUST FUND						040000
-STATE	5,400					2573 1

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
ADD							3D00130
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE				1,068			2573 1
=====							
TOTAL: REDIRECT RESOURCES WITHIN THE							3D00130
FINANCE REGULATION BUDGET ENTITY -							
ADD							
TOTAL POSITIONS.....	3.00						
TOTAL ISSUE.....		169,747					
TOTAL SALARY RATE.....	113,189						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO

Reference to Long-Range Program Plan:  
 This request will improve the Office's ability to reach the following goals:  
 Goal #1: Excellence in all OFR does;  
 Goal #2: Enforce compliance with state laws related to the financial industry;  
 Goal #3: Examine regulated companies and individuals; and  
 Goal #4: Register or chartered institutions, companies and individuals.

Issue Description/Need: This issue redirects 3 FTE and \$169,747 in budget authority within the Finance Regulation budget entity.

The Division of Consumer Finance processes approximately 2,500 priority complaints. The processing of these complaints involve forwarding the consumer's complaint to the business entity and requesting a response. Upon receipt the division will forward the business entities response to the consumer. Unless a statutory violation is detected during the processing of the complaint the matter is then closed upon receipt of a response.

By reprioritizing program activities the division would discontinue the servicing of the priority complaints and redirecting staffing resources for this activity to field examinations. The redirection of staff would yield an estimated 150 additional field examinations. This will allow the office to direct more focus towards activities that yield greater consumer protection and detection of fraudulent activities.

The office would need to seek changes to Part VI of Chapter 559, Florida Statues, to repeal a mandate to process consumer complaints.

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2014-15 POS	COL A25 SCH VIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
ADD							3D00130

Ultimate Outcome: Discontinue servicing priority complaints and redirect three (3) FTE's to field examinations. This would be accomplished by moving three (3) Financial Examiner/Analyst II positions within the Bureau of Enforcement.

Issue code 3D00120 is "deduct" portion of this proposal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
C9999 001	3.00	113,189		50,090	163,279	0.00	163,279
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							163,279
	3.00	113,189		50,090	163,279		163,279

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SECURITIES REGULATION							43900570
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FROM SECURITIES							
REGULATION TO FINANCIAL							
INVESTIGATIONS - DEDUCT							3D00140
SALARY RATE							000000
SALARY RATE.....	113,189-						
=====							
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND	-STATE			3.00-	163,279-		2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND	-STATE			5,400-			2573 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND	-STATE			1,068-			2573 1
=====							
TOTAL: REDIRECT RESOURCES FROM SECURITIES							3D00140
REGULATION TO FINANCIAL							
INVESTIGATIONS - DEDUCT							
TOTAL POSITIONS.....	3.00-						
TOTAL ISSUE.....		169,747-					
TOTAL SALARY RATE.....	113,189-						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Issue Description/Need: This issue would transfer 3 FTE and \$169,747 in budget authority from Securities Regulation to Financial Investigations.

OFR is focusing on measurement and outcomes as means to become a more effective financial services regulatory agency. As such, the Division of Securities has completed an overall evaluation of its program and has determined that through more efficient use of its resources, including process improvements, more focus on measures and reporting and enhanced use of technology, it can reduce its staffing by three (3) full-time employees without adversely impacting the program. The Office has determined that a proactive approach to regulation will better serve the agency and recommends the creation of



COL A23		COL A24		COL A25		CODES
SCH VIIIC		SCH VIIIC		SCH VIIIC		
REPRIORTIZN	AMOUNT	N/R 2014-15	AMOUNT	ANZ 2014-15	AMOUNT	
POS		POS		POS		
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES FROM SECURITIES						
REGULATION TO FINANCIAL						
INVESTIGATIONS - DEDUCT						3D00140

an intelligence unit within the Bureau of Financial Investigations. This newly formed unit would be used to conduct research and collect and analyze data and information in an attempt to proactively identify risks and pinpoint misconduct. The job of separating vital data from useless information has grown harder as the volume of information available to OFR has grown throughout the years and as the industries regulated by OFR rapidly evolve and bring new products to the marketplace. In addition, information that could inform OFR's regulatory efforts is dispersed through many different datasets including publicly available sources.

Ultimate Outcome: Transfers 3 FTEs from 43900570 Division of Securities Regulation to 43900540 - Bureau of Financial Investigations for creation of an intelligence unit to conduct research and collect and analyze data and information in an attempt to proactively identify risks and pinpoint misconduct.

Issue code 3D00150 is the "add back" portion of this proposal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
1564 FINANCIAL EXAMINER/ANALYST II						
C9999 001	3.00-	113,189-	50,090-	163,279-	0.00	163,279-
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						
	3.00-	113,189-	50,090-	163,279-		163,279-

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2014-15 POS	COL A25 SCH VIIIIC ANZ 2014-15 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
<u>SECURITIES REGULATION</u>							43900570
<u>PUBLIC PROTECTION</u>							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	3.00-			169,747-			2000
SALARY RATE.....	113,189-						
TOTAL: FINANCIAL SERVICES							43000000
BY FUND TYPE							
TRUST FUNDS.....	10.00-			136,775-	171,279		2000
SALARY RATE.....	363,519-						