

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	196,303			
=====				
SALARIES AND BENEFITS				010000
3.00				
ADMINISTRATIVE TRUST FUND -STATE	253,500			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	80,264			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	3,000			2021 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,194			2021 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	337,958			
TOTAL SALARY RATE.....	196,303			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
ADMINISTRATIVE TRUST FUND -STATE	484			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		92-		2021 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER STRATEGIC ASSESSMENT UNIT				
TO CORRECT PROGRAM COMPONENT -				
DEDUCT				160P100
SALARY RATE				000000
SALARY RATE.....		196,303-		
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		3.00-		
ADMINISTRATIVE TRUST FUND -STATE		256,220-		2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE		70,099-		2021 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		1,194-		2021 1
TOTAL: TRANSFER STRATEGIC ASSESSMENT UNIT				160P100
TO CORRECT PROGRAM COMPONENT -				
DEDUCT				
TOTAL POSITIONS.....		3.00-		
TOTAL ISSUE.....		327,513-		
TOTAL SALARY RATE.....		196,303-		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER STRATEGIC ASSESSMENT UNIT				
TO CORRECT PROGRAM COMPONENT -				
DEDUCT				160P100

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer Strategic Assessment Unit to Correct Program Component - Deduct

ISSUE DESCRIPTION/NEED:

This issue corrects the Program Component for the Strategic Market, Research and Assessment Unit. This unit is required to report on key issues, trends and threats impacting Florida's financial service market as authorized in section 20.121 Florida Statutes

Detail of Cost

CLASS TITLE	CC	PG	FTE	RATE	ANNUAL SALARIES	ANNUAL EXPENSES	OCO	HR SERVICES	FY 2009-10 TOTAL
Proposed Class	P101		(3)	(196,303)	(256,220)	(70,099)	0	(1,194)	(327,513)

CATEGORY	AMOUNT	NON-RECURRING

Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	(\$ 256,220)	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	(\$ 70,099)	\$ 0
TR/DMS/HR (107040):		
Administrative Trust Fund (2021) (1)	(\$ 1,194)	\$ 0

Issue Total	(\$ 327,513)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER STRATEGIC ASSESSMENT UNIT				
TO CORRECT PROGRAM COMPONENT -				
DEDUCT				160P100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0001 001	3.00-	196,303-		59,917-	256,220-	0.00	256,220-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							256,220-
	3.00-	196,303-		59,917-	256,220-		256,220-

NONRECURRING EXPENDITURES		2100000
STRATEGIC MARKETS ASSESSMENT		2103087
EXPENSES		040000
ADMINISTRATIVE TRUST FUND -STATE	10,165-	2021 1
OPERATING CAPITAL OUTLAY		060000
ADMINISTRATIVE TRUST FUND -STATE	3,000-	2021 1
TOTAL: STRATEGIC MARKETS ASSESSMENT		2103087
TOTAL ISSUE.....	13,165-	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		2,420		2021 1
	=====	=====	=====	
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		92-		2021 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				
				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,701,025			
=====				
SALARIES AND BENEFITS				
				010000
ADMINISTRATIVE TRUST FUND -STATE	1,337,125			2021 1
INSURANCE REG TF -STATE	8,074,956			2393 1
REGULATORY TRUST FUND -STATE	325,104			2573 1
WORKERS' COMP ADMIN TF -STATE	214,469			2795 1

TOTAL POSITIONS.....	153.50			
TOTAL APPRO.....	9,951,654			
=====				
OTHER PERSONAL SERVICES				
				030000
ADMINISTRATIVE TRUST FUND -STATE	9,980			2021 1
INSURANCE REG TF -STATE	101,221			2393 1

TOTAL APPRO.....	111,201			
=====				
EXPENSES				
				040000
ADMINISTRATIVE TRUST FUND -STATE	622,931			2021 1
INSURANCE REG TF -STATE	1,154,277			2393 1
REGULATORY TRUST FUND -STATE	35,696			2573 1
WORKERS' COMP ADMIN TF -STATE	29,143			2795 1

TOTAL APPRO.....	1,842,047			
=====				
OPERATING CAPITAL OUTLAY				
				060000
ADMINISTRATIVE TRUST FUND -STATE	10,819			2021 1
INSURANCE REG TF -STATE	19,247			2393 1

TOTAL APPRO.....	30,066			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		45,338		2021 1
ANTI-FRAUD TRUST FUND -STATE		19,100		2038 1
INSURANCE REG TF -STATE		413,159		2393 1
TOTAL APPRO.....		477,597		
		=====		
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE		5,323		2021 1
INSURANCE REG TF -STATE		81,089		2393 1
TOTAL APPRO.....		86,412		
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		9,112		2021 1
INSURANCE REG TF -STATE		51,068		2393 1
REGULATORY TRUST FUND -STATE		2,017		2573 1
WORKERS' COMP ADMIN TF -STATE		1,621		2795 1
TOTAL APPRO.....		63,818		
		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	153.50			
TOTAL ISSUE.....		12,562,795		
TOTAL SALARY RATE.....		6,701,025		
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		3,207		2021 1
INSURANCE REG TF -STATE		19,378		2393 1
REGULATORY TRUST FUND -STATE		781		2573 1
WORKERS' COMP ADMIN TF -STATE		516		2795 1
TOTAL APPRO.....		23,882		
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		621-		2021 1
INSURANCE REG TF -STATE		3,754-		2393 1
REGULATORY TRUST FUND -STATE		152-		2573 1
WORKERS' COMP ADMIN TF -STATE		100-		2795 1
TOTAL APPRO.....		4,627-		
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - DEDUCT				160F990
SALARY RATE				000000
SALARY RATE.....		182,189-		
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE		214,468-		2795 1

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>						43010100
GOV OPERATIONS/SUPPORT						16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>						<u>1602.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF SALARIES AND						
BENEFITS BUDGET - SALARY						
RESTRUCTURING - DEDUCT						160F990
TOTAL: REALIGNMENT OF SALARIES AND						160F990
BENEFITS BUDGET - SALARY						
RESTRUCTURING - DEDUCT						
TOTAL ISSUE.....						214,468-
TOTAL SALARY RATE.....						182,189-

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: REALIGNMENT OF SALARIES AND BENEFITS BUDGET - SALARY RESTRUCTURING - DEDUCT

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1 and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F090; 160F880; and 160F080. All of these issues are related to the department's

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - DEDUCT				160F990

salary realignment plan.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
99999 001	0.00	182,189-		32,279-	214,468-	0.00	214,468-
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							214,468-
	0.00	182,189-		32,279-	214,468-		214,468-

TRANSFER STRATEGIC ASSESSMENT UNIT
 TO CORRECT PROGRAM COMPONENT - ADD
 SALARY RATE

SALARY RATE..... 196,303

=====

SALARIES AND BENEFITS

3.00

ADMINISTRATIVE TRUST FUND -STATE 256,220

=====

160P110
 000000

010000

2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER STRATEGIC ASSESSMENT UNIT				
TO CORRECT PROGRAM COMPONENT - ADD				160P110
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	70,099			2021 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,194			2021 1
=====				
TOTAL: TRANSFER STRATEGIC ASSESSMENT UNIT				160P110
TO CORRECT PROGRAM COMPONENT - ADD				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....		327,513		
TOTAL SALARY RATE.....	196,303			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer Strategic Assessment Unit to Correct Program Component - Add

ISSUE DESCRIPTION/NEED:

This issue corrects the Program Component for the Strategic Market, Research and Assessment Unit. This unit is required to report on key issues, trends and threats impacting Florida's financial service market as authorized in section 20.121 Florida Statutes

Detail of Costs

CLASS TITLE	CC	PG	FTE	RATE	ANNUAL SALARIES	ANNUAL EXPENSES	OCO	HR SERVICES	FY 2009-10 TOTAL
Proposed Class	P101		3	196,303	256,220	70,099	0	1,194	327,513

CATEGORY

AMOUNT

NON-RECURRING

Salaries & Benefits (010000):

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER STRATEGIC ASSESSMENT UNIT				
TO CORRECT PROGRAM COMPONENT - ADD				160P110

Administrative Trust Fund (2021)(1) \$ 256,220 \$ 0

Expenses (040000) (1):
 Administrative Trust Fund (2021)(1) \$ 70,099 \$ 0

TR/DMS/HR (107040):
 Administrative Trust Fund (2021)(1) \$ 1,194 \$ 0

 Issue Total \$ 327,513 \$ 0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0001 001	3.00	196,303		59,917	256,220	0.00	256,220
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							256,220
	3.00	196,303		59,917	256,220		256,220
	=====	=====	=====	=====	=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	16,035			2021 1
INSURANCE REG TF -STATE	96,890			2393 1
REGULATORY TRUST FUND -STATE	3,905			2573 1
WORKERS' COMP ADMIN TF -STATE	2,580			2795 1
TOTAL APPRO.....	119,410			
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	621-			2021 1
INSURANCE REG TF -STATE	3,754-			2393 1
REGULATORY TRUST FUND -STATE	152-			2573 1
WORKERS' COMP ADMIN TF -STATE	100-			2795 1
TOTAL APPRO.....	4,627-			
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY				
TRUST FUND TO ADMINISTRATIVE TRUST				
FUND - DEDUCT				34F0100
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	8,183,716-			2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	101,221-			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT EXPENSES				34F0100 040000
INSURANCE REG TF	-STATE	1,154,277-		2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	19,247-		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	413,159-		2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	81,089-		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	51,068-		2393 1
=====				
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0100
TOTAL ISSUE.....		10,003,777-		
=====				

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Delete

ISSUE DESCRIPTION/NEED:

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2009-10	POS	AGY REQ N/R FY 2009-10	POS	AG REQ ANZ FY 2009-10	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>							43010100
GOV OPERATIONS/SUPPORT							16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>							<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES							34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT							34F0100

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
Salaries & Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 8,183,716)	\$ 0
Other Personal Services (030000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 101,221)	\$ 0
Expenses (040000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 1,154,277)	\$ 0
Operating Capital Outlay (060000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 19,247)	\$ 0
Contracted Services (100777):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 413,159)	\$ 0
Risk Management Insurance (103241):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 81,089)	\$ 0
TR/DMS/HR (107040):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 51,068)	\$ 0
ISSUE TOTAL	(\$10,003,777)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							8,183,716-
							8,183,716-
							=====

TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD							34F0110
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	8,183,716						2021 1
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE	101,221						2021 1
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	1,154,277						2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	19,247			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	413,159			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	81,089			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	51,068			2021 1
=====				
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110
TOTAL ISSUE.....	10,003,777			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY AMOUNT NON-RECURRING

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY				
TRUST FUND TO ADMINISTRATIVE TRUST				
FUND - ADD				34F0110
Salaries & Benefits (010000):				
Administrative Trust Fund (2021) (1)		\$ 8,183,716	\$ 0	
Other Personal Services (030000):				
Administrative Trust Fund (2021) (1)		\$ 101,221	\$ 0	
Expenses (040000):				
Administrative Trust Fund (2021) (1)		\$ 1,154,277	\$ 0	
Operating Capital Outlay (060000):				
Administrative Trust Fund (2021) (1)		\$ 19,247	\$ 0	
Contracted Services (100777):				
Administrative Trust Fund (2021) (1)		\$ 413,159	\$ 0	
Risk Management Insurance (103241):				
Administrative Trust Fund (2021) (1)		\$ 81,089	\$ 0	
TR/DMS/HR (107040):				
Administrative Trust Fund (2021) (1)		\$ 51,068	\$ 0	
-----		-----	-----	
ISSUE TOTAL		\$10,003,777	\$ 0	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							8,183,716
							8,183,716
							=====

TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT							34F0120
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE	329,486-						2573 1
	=====						
EXPENSES							040000
REGULATORY TRUST FUND -STATE	35,696-						2573 1
	=====						
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE	2,017-						2573 1
	=====						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM REGULATORY TRUST FUND				
TO THE ADMINISTRATIVE TRUST FUND -				
DEDUCT				34F0120
TOTAL: TRANSFER FROM REGULATORY TRUST FUND				34F0120
TO THE ADMINISTRATIVE TRUST FUND -				
DEDUCT				
TOTAL ISSUE.....	367,199-			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer from the Regulatory Trust Fund to the Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Regulatory Trust Fund (2573) (1)	(\$ 329,486)	\$ 0
Expenses (040000):		
Regulatory Trust Fund (2573) (1)	(\$ 35,696)	\$ 0
TR/DMS/HR (107040):		
Regulatory Trust Fund (2573) (1)	(\$ 2,017)	\$ 0
-----	-----	-----
ISSUE TOTAL	(\$ 367,199)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT				34F0120

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2573 REGULATORY TRUST FUND							329,486-

							329,486-
							=====

TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD							34F0130
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE		329,486					2021 1
		=====					
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE		35,696					2021 1
		=====					
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE		2,017					2021 1
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM THE REGULATORY TRUST				
FUND TO THE ADMININSTRATIVE TRUST				
FUND - ADD				34F0130
TOTAL: TRANSFER FROM THE REGULATORY TRUST				34F0130
FUND TO THE ADMININSTRATIVE TRUST				
FUND - ADD				
TOTAL ISSUE.....	367,199			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer from the Regulatory Trust Fund to the Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 329,486	\$ 0
Expenses (040000)		
Administrative Trust Fund (2021) (1)	\$ 35,696	\$ 0
TR/DMS/HR (107040)		
Administrative Trust Fund (2021) (1)	\$ 2,017	\$ 0
-----	-----	-----
ISSUE TOTAL	\$ 367,199	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD				34F0000
				34F0130

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							329,486

							329,486
							=====

TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT SALARIES AND BENEFITS							34F0140 010000
WORKERS' COMP ADMIN TF -STATE		2,897-					2795 1
		=====					
EXPENSES							040000
WORKERS' COMP ADMIN TF -STATE		29,143-					2795 1
		=====					
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
WORKERS' COMP ADMIN TF -STATE		1,621-					2795 1
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION				
ADMINISTRATION TRUST FUND TO				
ADMINISTRATIVE TRUST FUND - DEDUCT				34F0140
TOTAL: TRANSFER FROM WORKERS' COMPENSATION				34F0140
ADMINISTRATION TRUST FUND TO				
ADMINISTRATIVE TRUST FUND - DEDUCT				
TOTAL ISSUE.....	33,661-			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer from the Workers' Compensation Administration Trust Fund to the Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Worker' Compensation Administration Trust Fund (2795) (1)	\$(2,897)	\$ 0
Expenses (040000):		
Worker' Compensation Administration Trust Fund (2795) (1)	\$(29,143)	\$ 0
TR/DMS/HR		
Worker' Compensation Administration Trust Fund (2795) (1)	\$(1,621)	\$ 0
-----	-----	-----
ISSUE TOTAL	\$(33,661)	\$ 0

	COL A03	COL A04	COL A05		
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ		
	FY 2009-10	FY 2009-10	FY 2009-10		
POS	AMOUNT	POS	AMOUNT	POS	
				AMOUNT	
				CODES	
FINANCIAL SERVICES					43000000
PRG: CHIEF FIN OFFICER/ADM					43010000
EXECUTIVE DIR/SUPPORT SVCS					43010100
GOV OPERATIONS/SUPPORT					16
EXEC LEADERSHIP/SUPPRT SVC					1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO					
SECTION 215.32, FLORIDA STATUTES					34F0000
TRANSFER FROM WORKERS' COMPENSATION					
ADMINISTRATION TRUST FUND TO					
ADMINISTRATIVE TRUST FUND - DEDUCT					34F0140

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2795 WORKERS' COMP ADMIN TF							2,897-

							2,897-
							=====

TRANSFER FROM WORKERS' COMPENSATION							
ADMINISTRATION TRUST FUND TO							
ADMINISTRATIVE TRUST FUND - ADD							34F0150
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	2,897						2021 1
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	29,143						2021 1
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE	1,621						2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0150
TOTAL: TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0150
TOTAL ISSUE.....	33,661			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer from the Workers' Compensation Administration Trust Fund to the Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 2,897	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 29,143	\$ 0
TR/DMS/HR (107040):		
Administrative Trust Fund (2021) (1)	\$ 1,621	\$ 0
ISSUE TOTAL	\$ 33,661	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION				
ADMINISTRATION TRUST FUND TO				
ADMINISTRATIVE TRUST FUND - ADD				34F0150

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							2,897

							2,897
							=====

PROGRAM ISSUES							4000000
INTERNAL CONTROL COMPLIANCE UNIT							4003A00
SALARY RATE							000000
SALARY RATE.....	85,000						
	=====	=====	=====	=====			
SALARIES AND BENEFITS							010000
	1.00						
ADMINISTRATIVE TRUST FUND -STATE	109,989						2021 1
	=====	=====	=====	=====			
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	10,112	3,412					2021 1
	=====	=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
PROGRAM ISSUES				4000000
INTERNAL CONTROL COMPLIANCE UNIT				4003A00
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	1,000	1,000		2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	500,000	500,000		2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	401			2021 1
TOTAL: INTERNAL CONTROL COMPLIANCE UNIT				4003A00
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	621,502	504,412		
TOTAL SALARY RATE.....	85,000			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Compliance and Internal Control Management Program

Reference to Long-Range Program Plan: This issue directly supports the first goal in the Department's Long-Range Program Plan, to be a vigilant steward of the state's and its people's resources.

Issue Description/Need: As a result of an attempt to victimize the Department of Financial Services (and the citizens of the State of Florida) by creating a fraudulent bank account to divert a vendor's electronic funds transfer payment, the Chief Financial Officer hired an external auditing firm to examine internal controls over high risk transactions in the Treasury, Division of Accounting and Auditing, and Division of Risk Management. The auditor recommended that management establish and implement an internal control management program including procedures for identifying risks, assigning risks to "owners," identifying and documenting internal controls to mitigate risks, monitoring for compliance with internal controls, ensuring corrective action is taken when control exceptions occur, and maintaining and updating internal control documentation when needed. The Department fully concurs and intends to implement an operational internal control process to address these recommendations.

This process includes identifying and analyzing risk from an integrated, agency-wide perspective using a commonly accepted model for discussing and evaluating the organization's internal control efforts. This includes all activities

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
PROGRAM ISSUES				4000000
INTERNAL CONTROL COMPLIANCE UNIT				4003A00

geared toward meeting its strategic, operational, reporting, and compliance objectives. The process will focus on the necessity of a consistent "risk and control consciousness" throughout the agency; the importance of considering risk during the formulation of strategy; and the interrelationships of risks across business units and at every level of the organization.

The agency needs external consulting expertise to implement an operational internal control process. First, our directors and managers need to review business processes and related activities performed for the citizens and identify associated risks. To do this, consultant training and facilitation services are required. Next, once risks are identified, mitigating controls need to be identified, assessed and supplemented where required. The agency's managers can implement controls; however, they need the assistance of knowledgeable external resources to ensure controls are correct in structure and purpose to avoid widespread implementation of ineffective controls. After activities, related risks, and mitigating controls have been identified and implemented, high risk activities need to be evaluated. An external consultant will be needed to do sampling and testing.

The Department is requesting \$500,000 in the Contracted Services category to address the cost associated with establishing a framework for this program, delivering training to agency managers, facilitating managers' risk assessment and mitigation endeavors, and sampling and testing high-risk activities to ensure that sufficient controls have been implemented.

In addition, the Department is requesting one position for a new Compliance Unit. The Compliance Unit will be responsible for working with the divisions, senior management and the vendor on the development and implementation of an operational internal control process for the Department. Duties of the Compliance Unit will include performing/administering operational risk and control assessments to identify and document the business risks, identification of the internal controls associated with those business risks, and the development of the operational internal control management plan and policies. Duties also include the ongoing monitoring of the plan.

The Department is requesting the position be appropriated with \$109,989 in salaries and benefits. This level of salaries and benefits is necessary as a successful candidate for this position would need extensive knowledge of internal controls. An active designation of Certified Public Accountant and/or Certified Internal Auditor would be desired.

Ultimate Outcome: Proper internal control is a process designed to provide reasonable assurances regarding effectiveness and efficiency of operations, reliability of financial reporting, and compliance with applicable laws and regulations. The Chief Financial Officer is responsible for a large and diverse agency with enterprise-wide responsibilities. One significant area of responsibility is the management of state funds through the state treasury; another is the payment of the state's financial obligations. The implementation of internal control management procedures, including identifying and assigning risks and ensuring corrective action is taken, is imperative to the Department's role as a vigilant steward of public resources. If this issue is not funded, the Department may not be able to implement the comprehensive internal control process necessary to ensure the safe and efficient operation of a Department with multiple constitutional and statutory responsibilities and duties.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2009-10		FY 2009-10		FY 2009-10		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>						43010100
GOV OPERATIONS/SUPPORT						16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>						<u>1602.00.00.00</u>
PROGRAM ISSUES						4000000
INTERNAL CONTROL COMPLIANCE UNIT						4003A00

Detail of Costs:

Category	Amount	Non-recurring
	-----	-----
Salaries and Benefits (010000):		
Administrative Trust Fund (2021) (1)		
Class Code TBD	\$109,989	
Expenses (040000):		
Administrative Trust Fund (2021) (1)		
Professional Expenses Package	\$ 10,112	\$ 3,412
OCO:		
Administrative Trust Fund (2021) (1)		
Professional OCO Package	\$ 1,000	\$ 1,000
Contracted Services:		
Administrative Trust Fund (2021) (1)		
Consulting Services	\$500,000	\$500,000
TR/DMS HR Outsourcing:		
Administrative Trust Fund (2021) (1)		
Professional Package	\$ 401	
-----	-----	-----
Issue Total	\$621,502	\$504,412

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
PROGRAM ISSUES						4000000
INTERNAL CONTROL COMPLIANCE UNIT						4003A00

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N1025 001	1.00	85,000		24,989	109,989	0.00	109,989
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							109,989
	1.00	85,000		24,989	109,989		109,989

TOTAL: EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	157.50						
SALARY RATE.....	13,431,380	504,412					2000
	6,800,139						
TOTAL: EXECUTIVE DIR/SUPPORT SVCS							43010100
BY FUND TYPE							
TRUST FUNDS.....	157.50						
SALARY RATE.....	13,431,380	504,412					2000
	6,800,139						

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,447,546			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	955,854			2021 1
INSURANCE REG TF -STATE	3,640,257			2393 1
REGULATORY TRUST FUND -STATE	77,931			2573 1
WORKERS' COMP ADMIN TF -STATE	809,085			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	321,703			2798 1

TOTAL POSITIONS.....	87.50			
TOTAL APPRO.....	5,804,830			
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	169,388			2393 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	66,843			2021 1
INSURANCE REG TF -STATE	784,379			2393 1
REGULATORY TRUST FUND -STATE	6,513			2573 1
WORKERS' COMP ADMIN TF -STATE	47,121			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	39,577			2798 1

TOTAL APPRO.....	944,433			
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	3,639			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				1000000
TRANS TO DIV ADM HEARINGS				100565
ADMINISTRATIVE TRUST FUND -STATE		126,230		2021 1
INSURANCE REG TF -STATE		378,689		2393 1
TOTAL APPRO.....		504,919		
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		2,737		2021 1
INSURANCE REG TF -STATE		141,594		2393 1
WORKERS' COMP ADMIN TF -STATE		18,975		2795 1
TOTAL APPRO.....		163,306		
HOLOCAUST VICTIMS ASST ADM				101085
INSURANCE REG TF -STATE		308,007		2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE		13,379		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		6,268		2021 1
INSURANCE REG TF -STATE		22,639		2393 1
REGULATORY TRUST FUND -STATE		484		2573 1
WORKERS' COMP ADMIN TF -STATE		4,925		2795 1
WORKERS' COMP SPEC DISAB TF-STATE		2,001		2798 1
TOTAL APPRO.....		36,317		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	87.50			
TOTAL ISSUE.....		7,948,218		
TOTAL SALARY RATE.....		4,447,546		
	=====	=====	=====	
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	2,292			2021 1
INSURANCE REG TF -STATE	8,728			2393 1
REGULATORY TRUST FUND -STATE	187			2573 1
WORKERS' COMP ADMIN TF -STATE	1,940			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	771			2798 1
	-----	-----	-----	
TOTAL APPRO.....	13,918			
	=====	=====	=====	
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	509-			2021 1
INSURANCE REG TF -STATE	1,938-			2393 1
REGULATORY TRUST FUND -STATE	42-			2573 1
WORKERS' COMP ADMIN TF -STATE	431-			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	171-			2798 1
	-----	-----	-----	
TOTAL APPRO.....	3,091-			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	11,460			2021 1
INSURANCE REG TF -STATE	43,640			2393 1
REGULATORY TRUST FUND -STATE	935			2573 1
WORKERS' COMP ADMIN TF -STATE	9,700			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	3,855			2798 1
TOTAL APPRO.....	69,590			
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	509-			2021 1
INSURANCE REG TF -STATE	1,938-			2393 1
REGULATORY TRUST FUND -STATE	42-			2573 1
WORKERS' COMP ADMIN TF -STATE	431-			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	171-			2798 1
TOTAL APPRO.....	3,091-			
WORKLOAD				3000000
EXPERT WITNESS AND COURT REPORTER				
FEES FOR CASES IN LITIGATION				3001210
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	150,000			2021 1

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Expert Witness and Court Reporter Fees for Cases in Litigation

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
WORKLOAD				3000000
EXPERT WITNESS AND COURT REPORTER				
FESS FOR CASES IN LITIGATION				3001210

Issue Description/Need:

The Division of Legal Services is requesting additional funds for Contracted Services in order to pay expert witness fees and court reporters fees for cases in litigation. The Division continues to receive increasing numbers of investigation files for prosecution from all regulatory units of the Department. These cases include agent cases involving annuity sales, improper handling of funds, funeral and cemetery cases, cases from the State Fire Marshal's Office and general cases filed against the Department. The Department has also experienced an increase in the number of cases and circumstances where outside counsel is retained to represent the Department. These cases involve litigation which requires a special legal expertise not available within the Department. These services are utilized by the Department to obtain favorable results when contracting with outside counsel is necessary. The number of cases referred to Legal for prosecution has increased from 2,626 in 2006 to 3,277 in 2007.

Ultimate Outcome:

Funding this request will enable the Department to promptly and effectively respond to cases of improper licensee conduct where consumer harm is evident. With the increasing number of cases referred to Legal which requires litigation, there will be a corresponding increase in the cost for expert witness fees and court reporter fees. Ultimately, funding this request will enable the Department to protect the public from harm by prosecuting licensees who violate the laws of this state. If the request is not funded, the Department will be forced to selectively prosecute cases and forego prosecuting cases where consumer harm is evident and disciplining the licensee is appropriate.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Special Category:		
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)		
Total	\$150,000	\$ 0
-----	-----	-----
Issue Total	\$150,000	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0100
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,688,749-		2393 1
		=====		
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	169,388-		2393 1
		=====		
EXPENSES				040000
INSURANCE REG TF	-STATE	784,379-		2393 1
		=====		
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	3,639-		2393 1
		=====		
SPECIAL CATEGORIES				100000
TRANS TO DIV ADM HEARINGS				100565
INSURANCE REG TF	-STATE	378,689-		2393 1
		=====		
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	141,594-		2393 1
		=====		
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	13,379-		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0100
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	22,639-		2393 1
=====				
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0100
TOTAL ISSUE.....		5,202,456-		
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits		
Insurance Regulatory Trust Fund (2393) (1)	(\$3,688,749)	\$ 0
OPS		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 169,388)	\$ 0
Expenses		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 784,379)	\$ 0
OCO		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 3,639)	\$ 0
Trans Div of Adm. Hearings		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY				
TRUST FUND TO ADMINISTRATIVE TRUST				
FUND - DEDUCT				34F0100
Insurance Regulatory Trust Fund (2393) (1)		(\$ 378,689)	\$ 0	
Contracted Services				
Insurance Regulatory Trust Fund (2393) (1)		(\$ 141,594)	\$ 0	
Risk Management Ins				
Insurance Regulatory Trust Fund (2393) (1)		(\$ 13,379)	\$ 0	
TR/DMS/HR				
Insurance Regulatory Trust Fund (2393) (1)		(\$ 22,639)	\$ 0	

Issue Total		(\$5,202,386)	\$ 0	

 POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							3,688,749-

							3,688,749-
							=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	3,688,749			2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	169,388			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	784,379			2021 1
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	3,639			2021 1
SPECIAL CATEGORIES				100000
TRANS TO DIV ADM HEARINGS				100565
ADMINISTRATIVE TRUST FUND -STATE	378,689			2021 1
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	141,594			2021 1
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	13,379			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		22,639		2021 1
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110
TOTAL ISSUE.....		5,202,456		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$3,688,749	\$ 0
Other Personal Services (030000):		
Administrative Trust Fund (2021) (1)	\$ 169,388	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 784,379	\$ 0
Operating Capital Outlay (060000):		
Administrative Trust Fund (2021) (1)	\$ 3,639	\$ 0
Trans Div of Adm. Hearings (100565):		
Administrative Trust Fund (2021) (1)	\$ 378,689	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110

Contracted Services (100777):			
Administrative Trust Fund (2021) (1)	\$ 141,594		\$ 0
Risk Management Insurance (103241):			
Administrative Trust Fund (2021) (1)	\$ 13,379		\$ 0
TR/DMS/HR (107040):			
Administrative Trust Fund (2021) (1)	\$ 22,639		\$ 0
Issue Total	<u>\$5,202,386</u>		<u>\$ 0</u>

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							3,688,749
							<u>3,688,749</u>
							=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT				34F0120
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	78,969-			2573 1
EXPENSES				040000
REGULATORY TRUST FUND -STATE	6,513-			2573 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	484-			2573 1
TOTAL: TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT				34F0120
TOTAL ISSUE.....	85,966-			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Regulatory Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Regulatory Trust Fund (2573) (1)	(\$ 78,969)	\$ 0
Expenses (040000):		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT				34F0120
Regulatory Trust Fund (2573) (1)		(\$ 6,513)		\$ 0
TR/DMS/HR (107040):				
Regulatory Trust Fund (2573) (1)		(\$ 484)		\$ 0
-----		-----		-----
Issue Total		(\$ 85,966)		\$ 0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2573 REGULATORY TRUST FUND							78,969-

							78,969-
							=====

TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD							34F0130
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE		78,969					2021 1
		=====					=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD				34F0130
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	6,513			2021 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	484			2021 1
=====				
TOTAL: TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD				34F0130
TOTAL ISSUE.....	85,966			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits		
Administrative Trust Fund (2021) (1)	\$ 78,969	\$ 0
Expenses		
Administrative Trust Fund (2021) (1)	\$ 6,513	\$ 0
TR/DMS/HR		
Administrative Trust Fund (2021) (1)	\$ 484	\$ 0
-----	-----	-----

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM THE REGULATORY TRUST				
FUND TO THE ADMININSTRATIVE TRUST				
FUND - ADD				34F0130

Issue Total \$ 85,966 \$ 0

 POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							78,969

							78,969
							=====

 TRANSFER FROM WORKERS' COMPENSATION
 ADMINISTRATION TRUST FUND TO
 ADMINISTRATIVE TRUST FUND - DEDUCT
 SALARIES AND BENEFITS

WORKERS' COMP ADMIN TF	-STATE	819,863-					2795 1
		=====					

EXPENSES

WORKERS' COMP ADMIN TF	-STATE	47,121-					2795 1
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT SPECIAL CATEGORIES				34F0140
CONTRACTED SERVICES				100000
				100777
WORKERS' COMP ADMIN TF -STATE	18,975-			2795 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF -STATE	4,925-			2795 1
=====				
TOTAL: TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0140
TOTAL ISSUE.....	890,884-			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer From Workers' Compensation Administration Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Workers' Compensation Administration Trust Fund (2795) (1)	(\$ 819,863)	\$ 0
Expenses (040000):		
Workers' Compensation Administration Trust Fund (2795) (1)	(\$ 47,121)	\$ 0
Contracted Services (100777):		
Workers' Compensation Administration Trust Fund	(\$ 18,975)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0150
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	18,975			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	4,925			2021 1
TOTAL: TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0150
TOTAL ISSUE.....	890,884			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Workers' Compensation Administration Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 819,863	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 47,121	\$ 0
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)	\$ 18,975	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION SPECIAL DISABILITY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT EXPENSES				34F0160
				040000
WORKERS'COMP SPEC DISAB TF-STATE	39,577-			2798 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS'COMP SPEC DISAB TF-STATE	2,001-			2798 1
=====				
TOTAL: TRANSFER FROM WORKERS' COMPENSATION SPECIAL DISABILITY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0160
TOTAL ISSUE.....	367,565-			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer From Workers' Compensation Special Disability Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits		
Workers' Special Disability Trust Fund (2798) (1)	(\$ 325,987)	\$ 0
Expenses		
Workers' Special Disability Trust Fund (2798) (1)	(\$ 39,577)	\$ 0
TR/DMS/HR		
Workers' Special Disability Trust Fund (2798) (1)	(\$ 2,001)	\$ 0
-----	-----	-----

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION				
SPECIAL DISABILITY TRUST FUND TO				
ADMINISTRATIVE TRUST FUND - DEDUCT				34F0160

Issue Total (\$ 367,565) \$ 0

 POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2798 WORKERS'COMP SPEC DISAB TF							325,987-

							325,987-
							=====

 TRANSFER FROM WORKERS' COMPENSATION
 SPECIAL DISABILITY TRUST FUND TO
 ADMINISTRATIVE TRUST FUND - ADD
 SALARIES AND BENEFITS

ADMINISTRATIVE TRUST FUND -STATE	325,987						2021 1
	=====	=====	=====	=====			

EXPENSES

ADMINISTRATIVE TRUST FUND -STATE	39,577						2021 1
	=====	=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION				
SPECIAL DISABILITY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0170
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		2,001		2021 1
TOTAL: TRANSFER FROM WORKERS' COMPENSATION				34F0170
SPECIAL DISABILITY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				
TOTAL ISSUE.....		367,565		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

ISSUE TITLE: Transfer From Workers' Compensation Special Disability Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING MOUNT
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 325,987	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 39,577	\$ 0
TR/DMS/HR (107040):		
Administrative Trust Fund (2021) (1)	\$ 2,001	\$ 0
-----	-----	-----
Issue Total	\$ 367,565	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				
				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,815,992			
=====				
SALARIES AND BENEFITS				
				010000
GENERAL REVENUE FUND	-STATE	46,886		1000 1
UNCLAIMED PROPERTY TF	-STATE	291,409		2007 1
ADMINISTRATIVE TRUST FUND	-STATE	514,287		2021 1
FINANCIAL INST REG TF	-STATE	49,637		2275 1
INSURANCE REG TF	-STATE	5,722,418		2393 1
REGULATORY TRUST FUND	-STATE	760,177		2573 1
TREASURY ADM/INVEST TF	-STATE	403,279		2725 1
WORKERS' COMP ADMIN TF	-STATE	1,081,504		2795 1

TOTAL POSITIONS.....		141.00		
TOTAL APPRO.....		8,869,597		
=====				
OTHER PERSONAL SERVICES				
				030000
GENERAL REVENUE FUND	-STATE	1,559		1000 1
UNCLAIMED PROPERTY TF	-STATE	5,170		2007 1
ADMINISTRATIVE TRUST FUND	-STATE	5,000		2021 1
FINANCIAL INST REG TF	-STATE	6,303		2275 1
INSURANCE REG TF	-STATE	60,000		2393 1
REGULATORY TRUST FUND	-STATE	20,802		2573 1

TOTAL APPRO.....		98,834		
=====				
EXPENSES				
				040000
GENERAL REVENUE FUND	-STATE	52,997		1000 1
UNCLAIMED PROPERTY TF	-STATE	17,165		2007 1
ADMINISTRATIVE TRUST FUND	-STATE	146,854		2021 1
FINANCIAL INST REG TF	-STATE	2,303		2275 1
INSURANCE REG TF	-STATE	2,750,283		2393 1
REGULATORY TRUST FUND	-STATE	70,547		2573 1
TREASURY ADM/INVEST TF	-STATE	33,991		2725 1
WORKERS' COMP ADMIN TF	-STATE	306,460		2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
EXPENSES				040000
TOTAL APPRO.....		3,380,600		
=====				
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE		13,930		1000 1
UNCLAIMED PROPERTY TF -STATE		89,912		2007 1
ADMINISTRATIVE TRUST FUND -STATE		119,961		2021 1
FINANCIAL INST REG TF -STATE		15,206		2275 1
INSURANCE REG TF -STATE		687,690		2393 1
REGULATORY TRUST FUND -STATE		101,497		2573 1
TOTAL APPRO.....		1,028,196		
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE		51,592		1000 1
UNCLAIMED PROPERTY TF -STATE		181,349		2007 1
ADMINISTRATIVE TRUST FUND -STATE		767,107		2021 1
FINANCIAL INST REG TF -STATE		32,524		2275 1
INSURANCE REG TF -STATE		3,474,110		2393 1
REGULATORY TRUST FUND -STATE		224,350		2573 1
TREASURY ADM/INVEST TF -STATE		12,322		2725 1
WORKERS' COMP ADMIN TF -STATE		432,160		2795 1
TOTAL APPRO.....		5,175,514		
=====				
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE		1,208		1000 1
UNCLAIMED PROPERTY TF -STATE		1,175		2007 1
ADMINISTRATIVE TRUST FUND -STATE		1,940		2021 1
FINANCIAL INST REG TF -STATE		246		2275 1
INSURANCE REG TF -STATE		23,033		2393 1
REGULATORY TRUST FUND -STATE		1,642		2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
TOTAL APPRO.....	29,244			
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	8,990			1000 1
UNCLAIMED PROPERTY TF -STATE	1,904			2007 1
ADMINISTRATIVE TRUST FUND -STATE	2,558			2021 1
FINANCIAL INST REG TF -STATE	323			2275 1
INSURANCE REG TF -STATE	29,508			2393 1
REGULATORY TRUST FUND -STATE	4,966			2573 1
TREASURY ADM/INVEST TF -STATE	2,769			2725 1
WORKERS' COMP ADMIN TF -STATE	7,065			2795 1
TOTAL APPRO.....	58,083			
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	141.00			
TOTAL ISSUE.....	18,640,068			
TOTAL SALARY RATE.....	6,815,992			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	108			1000 1
UNCLAIMED PROPERTY TF -STATE	684			2007 1
ADMINISTRATIVE TRUST FUND -STATE	1,207			2021 1
FINANCIAL INST REG TF -STATE	117			2275 1
INSURANCE REG TF -STATE	13,421			2393 1
REGULATORY TRUST FUND -STATE	1,783			2573 1
TREASURY ADM/INVEST TF -STATE	947			2725 1
WORKERS' COMP ADMIN TF -STATE	2,536			2795 1
TOTAL APPRO.....	20,803			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		20-		1000 1
UNCLAIMED PROPERTY TF -STATE		125-		2007 1
ADMINISTRATIVE TRUST FUND -STATE		221-		2021 1
FINANCIAL INST REG TF -STATE		22-		2275 1
INSURANCE REG TF -STATE		2,453-		2393 1
REGULATORY TRUST FUND -STATE		326-		2573 1
TREASURY ADM/INVEST TF -STATE		173-		2725 1
WORKERS' COMP ADMIN TF -STATE		464-		2795 1
TOTAL APPRO.....		3,804-		
NONRECURRING EXPENDITURES				2100000
DATA CENTER MECHANICAL AND				
ELECTRICAL DISTRIBUTION				
SYSTEMS UPGRADE				2103042
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		550,000-		2021 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		540		1000 1
UNCLAIMED PROPERTY TF -STATE		3,420		2007 1
ADMINISTRATIVE TRUST FUND -STATE		6,035		2021 1
FINANCIAL INST REG TF -STATE		585		2275 1
INSURANCE REG TF -STATE		67,105		2393 1
REGULATORY TRUST FUND -STATE		8,915		2573 1
TREASURY ADM/INVEST TF -STATE		4,735		2725 1
WORKERS' COMP ADMIN TF -STATE		12,680		2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
TOTAL APPRO.....		104,015		
=====				
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		20-		1000 1
UNCLAIMED PROPERTY TF -STATE		125-		2007 1
ADMINISTRATIVE TRUST FUND -STATE		221-		2021 1
FINANCIAL INST REG TF -STATE		22-		2275 1
INSURANCE REG TF -STATE		2,453-		2393 1
REGULATORY TRUST FUND -STATE		326-		2573 1
TREASURY ADM/INVEST TF -STATE		173-		2725 1
WORKERS' COMP ADMIN TF -STATE		464-		2795 1
TOTAL APPRO.....		3,804-		
=====				
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY				
TRUST FUND TO ADMINISTRATIVE TRUST				
FUND - DEDUCT				34F0100
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		5,798,038-		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE		60,000-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT EXPENSES				34F0100 040000
INSURANCE REG TF	-STATE	2,750,283-		2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	687,690-		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	3,474,110-		2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	23,033-		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	29,508-		2393 1
=====				
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0100
TOTAL ISSUE.....		12,822,662-		
=====				

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Deduct
 ISSUE DESCRIPTION/NEED:

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2009-10	POS	AGY REQ N/R FY 2009-10	POS	AG REQ ANZ FY 2009-10	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES							34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT							34F0100

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 5,798,038)	\$ 0
Other Personal Services (030000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 60,000)	\$ 0
Expenses (040000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 2,750,283)	\$ 0
Operating Capital Outlay (060000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 687,690)	\$ 0
Contracted Services (100777):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 3,474,110)	\$ 0
Risk Management Insurance (103241):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 23,033)	\$ 0
TR/DMS/HR (107040):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 29,508)	\$ 0
-----	-----	-----
Issue Total	(\$12,822,662)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							5,798,038-

							5,798,038-
							=====

TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD							34F0110
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	5,798,038						2021 1
	=====	=====	=====	=====			
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE	60,000						2021 1
	=====	=====	=====	=====			
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	2,750,283						2021 1
	=====	=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	687,690			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	3,474,110			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	23,033			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	29,508			2021 1
=====				
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110
TOTAL ISSUE.....	12,822,662			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY AMOUNT NON-RECURRING

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2009-10	POS	AGY REQ N/R FY 2009-10	POS	AG REQ ANZ FY 2009-10	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES							34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD							34F0110

Salaries & Benefits (010000):							
Administrative Trust Fund (2021)(1)			\$ 5,798,038		\$ 0		
Other Personal Services (030000):							
Administrative Trust Fund (2021) (1)			\$ 60,000		\$ 0		
Expenses (040000):							
Administrative Trust Fund (2021) (1)			\$ 2,750,283		\$ 0		
Operating Capital Outlay (060000):							
Administrative Trust Fund (2021) (1)			\$ 687,690		\$ 0		
Contracted Services (100777):							
Administrative Trust Fund (2021) (1)			\$ 3,474,110		\$ 0		
Risk Management Insurance (103241):							
Administrative Trust Fund (2021) (1)			\$ 23,033		\$ 0		
TR/DMS/HR (107040):							
Administrative Trust Fund (2021) (1)			\$ 29,508		\$ 0		

Issue Total			\$12,822,662		\$ 0		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0110

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							5,798,038

							5,798,038
							=====

TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT							34F0120
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE		770,223-					2573 1
		=====					
OTHER PERSONAL SERVICES							030000
REGULATORY TRUST FUND -STATE		20,802-					2573 1
		=====					
EXPENSES							040000
REGULATORY TRUST FUND -STATE		70,547-					2573 1
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT				34F0000
OPERATING CAPITAL OUTLAY				34F0120
				060000
REGULATORY TRUST FUND	-STATE	101,497-		2573 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND	-STATE	224,350-		2573 1
	=====	=====	=====	
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND	-STATE	1,642-		2573 1
	=====	=====	=====	
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	4,966-		2573 1
	=====	=====	=====	
TOTAL: TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT				34F0120
TOTAL ISSUE.....		1,194,027-		
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Regulatory Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY AMOUNT NON-RECURRING

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM REGULATORY TRUST FUND				
TO THE ADMINISTRATIVE TRUST FUND -				
DEDUCT				34F0120
Salaries & Benefits (010000):				
Regulatory Trust Fund (2573) (1)	(\$ 770,223)		\$ 0	
Other Personal Services (030000):				
Regulatory Trust Fund (2573) (1)	(\$ 20,802)		\$ 0	
Expenses (040000):				
Regulatory Trust Fund (2573) (1)	(\$ 70,547)		\$ 0	
Operating Capital Outlay (060000):				
Regulatory Trust Fund (2573) (1)	(\$ 101,497)		\$ 0	
Contracted Services (100777):				
Regulatory Trust Fund (2573) (1)	(\$ 224,350)		\$ 0	
Risk Management Insurance (103241):				
Regulatory Trust Fund (2573) (1)	(\$ 1,642)		\$ 0	
TR/DMS/HR (107040):				
Regulatory Trust Fund (2573) (1)	(\$ 4,966)		\$ 0	

Issue Total	(\$1,194,027)		\$ 0	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT				34F0000
				34F0120

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2573 REGULATORY TRUST FUND							770,223-

							770,223-
							=====

TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD							34F0130
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	770,223						2021 1
	=====	=====	=====	=====			
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE	20,802						2021 1
	=====	=====	=====	=====			
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	70,547						2021 1
	=====	=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD				34F0130
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	101,497			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	224,350			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	1,642			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	4,966			2021 1
=====				
TOTAL: TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD				34F0130
TOTAL ISSUE.....	1,194,027			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY AMOUNT NON-RECURRING

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2009-10	POS	AGY REQ N/R FY 2009-10	POS	AG REQ ANZ FY 2009-10	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD							34F0000 34F0130

Salaries & Benefits (010000):						
Administrative Trust Fund (2021) (1)			\$ 770,223		\$ 0	
Other Personal Services (030000):						
Administrative Trust Fund (2021) (1)			\$ 20,802		\$ 0	
Expenses (040000):						
Administrative Trust Fund (2021) (1)			\$ 70,547		\$ 0	
Operating Capital Outlay (060000):						
Administrative Trust Fund (2021) (1)			\$ 101,497		\$ 0	
Contracted Services (100777):						
Administrative Trust Fund (2021) (1)			\$ 224,350		\$ 0	
Risk Management Insurance (103241):						
Administrative Trust Fund (2021) (1)			\$ 1,642		\$ 0	
TR/DMS/HR (107040):						
Administrative Trust Fund (2021) (1)			\$ 4,966		\$ 0	
Issue Total			\$1,194,027		\$ 0	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD				34F0000
				34F0130

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							770,223

							770,223
							=====

TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT SALARIES AND BENEFITS							34F0140 010000
WORKERS' COMP ADMIN TF -STATE	1,095,792-						2795 1
	=====	=====	=====	=====			
EXPENSES							040000
WORKERS' COMP ADMIN TF -STATE	306,460-						2795 1
	=====	=====	=====	=====			
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
WORKERS' COMP ADMIN TF -STATE	432,160-						2795 1
	=====	=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT SPECIAL CATEGORIES				34F0140
TR/DMS/HR SVCS/STW CONTRCT				100000
				107040
WORKERS' COMP ADMIN TF -STATE	7,065-			2795 1
TOTAL: TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0140
TOTAL ISSUE.....	1,841,477-			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Workers' Compensation Administrative Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Workers' Compensation Administrative Trust Fund (2795) (1)	(\$ 1,095,792)	\$ 0
Expenses (040000):		
Workers' Compensation Administrative Trust Fund (2795) (1)	(\$ 306,460)	\$ 0
Contracted Services (100777)		
Workers' Compensation Administrative Trust Fund (2795) (1)	(\$ 432,160)	\$ 0
TR/DMS/HR (107040):		
Workers' Compensation Administrative Trust Fund (2795) (1)	(\$ 7,065)	\$ 0
-----	-----	-----
Issue Total	(\$ 1,841,477)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0150
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	7,065			2021 1
TOTAL: TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATION TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0150
TOTAL ISSUE.....	1,841,477			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Workers' Compensation Administrative Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 1,095,792	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 306,460	\$ 0
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)	\$ 432,160	\$ 0
TR/DMS/HR (103241):		
Administrative Trust Fund (2021) (1)	\$ 7,065	\$ 0
Issue Total	\$ 1,841,477	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM WORKERS' COMPENSATION				
ADMINISTRATION TRUST FUND TO				
ADMINISTRATIVE TRUST FUND - ADD				34F0150

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							1,095,792

							1,095,792
							=====

TRANSFER FROM UNCLAIMED PROPERTY							
TRUST FUND TO ADMINISTRATIVE TRUST							
FUND - DEDUCT							34F0180
SALARIES AND BENEFITS							010000
UNCLAIMED PROPERTY TF	-STATE	295,263-					2007 1
		=====					
OTHER PERSONAL SERVICES							030000
UNCLAIMED PROPERTY TF	-STATE	5,170-					2007 1
		=====					
EXPENSES							040000
UNCLAIMED PROPERTY TF	-STATE	17,165-					2007 1
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0180
OPERATING CAPITAL OUTLAY				060000
UNCLAIMED PROPERTY TF	-STATE	89,912-		2007 1
		=====		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF	-STATE	181,349-		2007 1
		=====		
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF	-STATE	1,175-		2007 1
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF	-STATE	1,904-		2007 1
		=====		
TOTAL: TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0180
TOTAL ISSUE.....		591,938-		
		=====		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Unclaimed Property Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2009-10	POS	AGY REQ N/R FY 2009-10	POS	AG REQ ANZ FY 2009-10	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT							34F0000 34F0180

CATEGORY	AMOUNT	NON-RECURRING
Salaries & Benefits (010000):		
Unclaimed Property Trust Fund (2007) (1)	(\$ 295,263)	\$ 0
Other Personal Services (030000):		
Unclaimed Property Trust Fund (2007) (1)	(\$ 5,170)	\$ 0
Expenses (040000):		
Unclaimed Property Trust Fund (2007) (1)	(\$ 17,165)	\$ 0
Operating Capital Outlay (060000):		
Unclaimed Property Trust Fund (2007) (1)	(\$ 89,912)	\$ 0
Contracted Services (100777):		
Unclaimed Property Trust Fund (2007) (1)	(\$ 181,349)	\$ 0
Risk Management Insurance (102341):		
Unclaimed Property Trust Fund (2007) (1)	(\$ 1,175)	\$ 0
TR/DMS/HR (107040):		
Unclaimed Property Trust Fund (2007) (1)	(\$ 1,904)	\$ 0

Issue Total	(\$ 591,938)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0000
				34F0180

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2007 UNCLAIMED PROPERTY TF							295,263-

							295,263-
							=====

TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD							34F0190
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE		295,263					2021 1
		=====					
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE		5,170					2021 1
		=====					
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE		17,165					2021 1
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0190
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	89,912			2021 1
=====		=====		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	181,349			2021 1
=====		=====		
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	1,175			2021 1
=====		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,904			2021 1
=====		=====		
TOTAL: TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0190
TOTAL ISSUE.....	591,938			
=====		=====		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Unclaimed Property Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2009-10	POS	AGY REQ N/R FY 2009-10	POS	AG REQ ANZ FY 2009-10	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD							34F0000 34F0190

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 295,263	\$ 0
Other Personal Services (030000):		
Administrative Trust Fund (2021) (1)	\$ 5,170	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 17,165	\$ 0
Operating Capital Outlay (060000):		
Administrative Trust Fund (2021) (1)	\$ 89,912	\$ 0
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)	\$ 181,349	\$ 0
Risk Management Insurance (103241):		
Administrative Trust Fund (2021) (1)	\$ 1,175	\$ 0
TR/DMS/HR (107040):		
Administrative Trust Fund (2021) (1)	\$ 1,904	\$ 0

Issue Total	\$ 591,938	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0000
				34F0190

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							295,263

							295,263
							=====

TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT							34F0200 010000
SALARIES AND BENEFITS							
FINANCIAL INST REG TF	-STATE	50,295-					2275 1
		=====	=====	=====			
OTHER PERSONAL SERVICES							030000
FINANCIAL INST REG TF	-STATE	6,303-					2275 1
		=====	=====	=====			
EXPENSES							040000
FINANCIAL INST REG TF	-STATE	2,303-					2275 1
		=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0000
OPERATING CAPITAL OUTLAY				34F0200
				060000
FINANCIAL INST REG TF	-STATE	15,206-		2275 1
		=====		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF	-STATE	32,524-		2275 1
		=====		
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF	-STATE	246-		2275 1
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF	-STATE	323-		2275 1
		=====		
TOTAL: TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0200
TOTAL ISSUE.....		107,200-		
		=====		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Financial Institutions Regulatory Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2009-10	POS	AGY REQ N/R FY 2009-10	POS	AG REQ ANZ FY 2009-10	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT							34F0000
							34F0200

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Financial Institutions Regulatory Trust Fund (2275) (1)	(\$ 50,295)	\$ 0
Other Personal Services (030000):		
Financial Institutions Regulatory Trust Fund (2275) (1)	(\$ 6,303)	\$ 0
Expenses (040000):		
Financial Institutions Regulatory Trust Fund (2275) (1)	(\$ 2,303)	\$ 0
Operating Capital Outlay (060000):		
Financial Institutions Regulatory Trust Fund (2275) (1)	(\$ 15,206)	\$ 0
Contracted Services (100777):		
Financial Institutions Regulatory Trust Fund (2275) (1)	(\$ 32,524)	\$ 0
Risk Management Insurance (103241):		
Financial Institutions Regulatory Trust Fund (2275) (1)	(\$ 246)	\$ 0
TR/DMS/HR (107040):		
Financial Institutions Regulatory Trust Fund (2275) (1)	(\$ 323)	\$ 0
-----	-----	-----
Issue Total	(\$107,200)	\$ 0

	COL A03 AGY REQUEST FY 2009-10	COL A04 AGY REQ N/R FY 2009-10	COL A05 AG REQ ANZ FY 2009-10	CODES
POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES				
				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				
TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD OPERATING CAPITAL OUTLAY				34F0000
ADMINISTRATIVE TRUST FUND -STATE	15,206			2021 1
=====				
SPECIAL CATEGORIES				
CONTRACTED SERVICES				100000
ADMINISTRATIVE TRUST FUND -STATE	32,524			100777
=====				
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	246			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				
ADMINISTRATIVE TRUST FUND -STATE	323			2021 1
=====				
TOTAL: TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0210
TOTAL ISSUE.....	107,200			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Financial Institutions Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY AMOUNT NON-RECURRING

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM FINANCIAL				
INSTITUTIONS REGULATORY TRUST FUND				
TO ADMINISTRATIVE TRUST FUND - ADD				34F0210

Salaries & Benefits (010000)"				
Administrative Trust Fund (2021) (1)	\$ 50,295		\$ 0	
Other Personal Services (030000):				
Administrative Trust Fund (2021) (1)	\$ 6,303		\$ 0	
Expenses (040000):				
Administrative Trust Fund (2021) (1)	\$ 2,303		\$ 0	
Operating Capital Outlay (060000):				
Administrative Trust Fund (2021) (1)	\$ 15,206		\$ 0	
Contracted Services (100777)				
Administrative Trust Fund (2021) (1)	\$ 32,524		\$ 0	
Risk Management Insurance (103241):				
Administrative Trust Fund (2021) (1)	\$ 246		\$ 0	
TR/DMS/HR (107040):				
Administrative Trust Fund (2021) (1)	\$ 323		\$ 0	

Issue Total	\$107,200		\$ 0	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM TREASURER'S ADMINISTRATIVE/INVESTMENT TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0220
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	2,769-			2725 1
TOTAL: TRANSFER FROM TREASURER'S ADMINISTRATIVE/INVESTMENT TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0220
TOTAL ISSUE.....	457,697-			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Treasurers' Administrative/Investment Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Treasurers Administrative/Investment Trust Fund (2725) (1)	(\$ 408,615)	\$ 0
Expenses (040000):		
Treasurers Administrative/Investment Trust Fund (2725) (1)	(\$ 33,991)	\$ 0
Contracted Services (100777):		
Treasurers Administrative/Investment Trust Fund (2725) (1)	(\$ 12,322)	\$ 0
TR/DMS/HR (107040):		
Treasurers Administrative/Investment Trust Fund (2725) (1)	(\$ 2,769)	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM TREASURER'S ADMINISTRATIVE/INVESTMENT TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT				34F0000
				34F0220

Issue Total (\$ 457,697) \$ 0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2725 TREASURY ADM/INVEST TF							408,615-

							408,615-
							=====

TRANSFER FROM TREASURER'S ADMINISTRATIVE/INVESTMENT TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD							34F0230
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	408,615						2021 1
	=====	=====	=====	=====			
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	33,991						2021 1
	=====	=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM TREASURER'S ADMINISTRATIVE/INVESTMENT TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0230
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	12,322			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	2,769			2021 1
=====				
TOTAL: TRANSFER FROM TREASURER'S ADMINISTRATIVE/INVESTMENT TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD				34F0230
TOTAL ISSUE.....	457,697			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ISSUE TITLE: Transfer from Treasurers' Administrative/Investment Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 408,615	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 33,991	\$ 0
Contracted Services (100777):		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER FROM TREASURER'S				
ADMINISTRATIVE/INVESTMENT TRUST				
FUND TO ADMINISTRATIVE TRUST FUND -				
ADD				34F0230
Administrative Trust Fund (2021) (1)	\$ 12,322		\$ 0	
TR/DMS/HR (107040):				
Administrative Trust Fund (2021) (1)	\$ 2,769		\$ 0	
-----	-----		-----	
Issue Total	\$ 457,697		\$ 0	

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							408,615

							408,615
							=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
VOICE OVER INTERNET PROTOCOL AND				
WINDOWS NETWORK INFRASTRUCTURE				36231C0
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	240,000	230,000		2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	260,000	250,000		2021 1
=====				
TOTAL: VOICE OVER INTERNET PROTOCOL AND				36231C0
WINDOWS NETWORK INFRASTRUCTURE				
TOTAL ISSUE.....	500,000	480,000		
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Voice Over Internet Protocol and Windows Network Infrastructure

ISSUE SUMMARY

The Florida Department of Financial Services (DFS) is requesting \$500,000 for the Division of Information Services (DIS). DIS plans, develops, manages and operates the information technology (IT) for the Department of Financial Services (DFS), Office of Financial Regulation (OFR) and Office of Insurance Regulation (OIR). These entities rely heavily on voice mail, group conferencing, email and network infrastructure for efficient and effective management of its operations. This budget request is needed to continue the department's critical Voice over Internet Protocol (VoIP) services and Windows system platforms. The following items are requested for the upgrade.

Cisco Call Manager - The Call Manager Cluster is responsible for handling all inbound and outbound calls for DFS, OIR and OFR. The VoIP system is currently running outdated systems software and will be not be supported by Cisco Systems as early as May of 2009, meaning that Cisco will no longer offer updates for security breaches or other possible system issues.

Cisco Conference Connection (Audio Conferencing Application) - Cisco Conference Connection is responsible for audio conference calls between multiple participants. This Cisco service is no longer supported and has been replaced by another product called Meeting Place. Meeting Place will allow for DFS, OIR, and OFR to continue group conferences, as well supports new functionality such as web and video conferences.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
VOICE OVER INTERNET PROTOCOL AND				
WINDOWS NETWORK INFRASTRUCTURE				36231C0

Cisco Unity (Voice Messaging Application) - This Cisco Unity product maintains all DFS, OIR, and OFR voice mail services. The Departments current version of Unity software will no longer be supported as of July, 2009. Any future security issues discovered with this product will not be supported by Cisco Systems. Any system software failures that occur could not be repaired and no new features can be added

Cisco IP Contact Center Enterprise (Call Center Application, UCCE) - Cisco IPCC Enterprise, or UCCE, is responsible for handling all Call Center functions for the divisions' of Consumer Services, Agent & Agency Services, Workers Compensation, Finance & Securities, Unclaimed Property and Administration. The current version of UCCE is nearing the end of its service life, and must be upgraded. This will insure that the Division of Information Systems remains capable of handling current or future customer requests for Call Center support and system modifications.

Windows 2000 Domain and Windows Exchange 2000 - The Department is currently running all Directory and Messaging services on a Windows 2000 and Exchange 2000 platform. The unified voice mail services and Cisco Call Manager servers are directly impacted by Windows 2000 and Exchange 2000. DFS is limited with the Exchange (e-mail) environment because the Exchange service for voice-mail delivery and storage is directly related to out-of-date Cisco Unity software. Microsoft and Cisco Systems has ceased sale and is ending software upgrade and patch support entirely in the next year for these products. This vulnerability exposes the DFS, OFR and OIR to potential security breaches and system software failures.

BENEFITIS

Upgrading all Cisco and Microsoft system products listed above will ensure DIS can provide current levels of support to the enterprise, avoiding the potential downtime due to lack of systems software upgrades and support.

ASSUMPTIONS AND CONTRAINTS

The major project constraint is the lack of funding to provide and continue the necessary VoIP services and upgrades to the Windows system platforms.

DIS assumes funding will be provided to avoid non-compliance and uninterrupted services to DFS, OFR and OIR day-to-day operations.

IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS.

By Upgrading the VoIP and DIS, the Division of Information Technology will continue to be able to deliver a high-level of

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
VOICE OVER INTERNET PROTOCOL AND				
WINDOWS NETWORK INFRASTRUCTURE				36231C0

service to the DFS network. DIS will dedicate 2 FTEs from the Bureau of Distributed Infrastructure to plan, analyze and assist in the implementation of ICM v7.0 and Windows Server 2003 Active Directory. These FTEs will work hand-in-hand with a contractor during installation and will be responsible for the daily operations after the install is complete. DIS infrastructure staff will have full management of all VoIP infrastructure devices.

IMPLEMENTATION APPROACH

DIS is committed to continue a high-level of service to the DFS network. DIS will dedicate 2 FTEs from the Bureau of Distributed Infrastructure to plan, analyze and assist in the implementation of ICM v7.0 and Windows Server 2003 Active Directory. These FTEs will work hand-in-hand with a contractor during installation and will be responsible for the daily operations after the install is complete. DIS infrastructure staff will have full management of all VoIP infrastructure devices.

IMPLEMENTATION TIMELINE

DIS expects planning efforts and implementation will take 6 months to complete.

ESTIMATED COSTS

	Qty	Price	Ext Price
	---	-----	-----
VoIP Contracted Services	1	\$105,000	\$105,000
Cisco Hardware and recurring maintenance of \$10,000	6	\$ 24,000	\$144,000
Cisco Advanced Services	1	\$ 30,000	\$ 30,000
Windows Contracted Services	1	\$125,000	\$125,000
Windows Servers 2003 Active Directory &			
Exchange Hardware & Software (maintenance \$10,000 recurring)	12	\$ 8,000	\$ 96,000
		=====	=====
Grand Total:			\$500,000

COST IMPACT OF OVERALL OPERATIONS AND MAINTENANCE

The purchase of the Cisco hardware and Cisco Advanced Services will include the VoIP software licenses, maintenance, installation and configuration services.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
VOICE OVER INTERNET PROTOCOL AND				
WINDOWS NETWORK INFRASTRUCTURE				36231C0

Detail of Cost:

Category	Amount	Non-recurring
	-----	-----
Operating Capital Outlay (060000):		
Cisco hardware	\$144,000	\$134,000
12 Window servers	96,000	\$ 96,000
Administrative Trust Fund (2021) (1)	-----	-----
Total	\$240,000	\$230,000
Special Category		
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)		
Total	\$260,000	\$250,000
-----	-----	-----
Issue Total	\$500,000	\$480,000

NETWORK MANAGEMENT TOOLS AND
 MONITORING SOFTWARE TO PROACTIVELY
 MANAGE AND MONITOR PERFORMANCE 36240C0
 SPECIAL CATEGORIES 100000
 CONTRACTED SERVICES 100777

ADMINISTRATIVE TRUST FUND -STATE 732,133 700,000 2021 1
 =====

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Network Management Tools and Monitoring Software to Pro-actively Manage and Monitor Performance

ISSUE SUMMARY:

The Florida Department of Financial Services (DFS) is requesting \$732,133 for the Division of Information Systems (DIS). This budget request is to purchase network management and monitoring software tools. The DFS network supports several

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY						3620000
NETWORK MANAGEMENT TOOLS AND						
MONITORING SOFTWARE TO PROACTIVELY						
MANAGE AND MONITOR PERFORMANCE						36240C0

different technologies and a large number of applications. The applications run on numerous operating systems, deployed on a wide range of hardware platforms. These technologies and platforms include the following:

- (1) A large Windows Active Directory Network with approximately 3000 users in over 30 locations connected using a variety of technologies, including Frame Relay, MAN, Point to Point T-1 and LAN networks.
- (2) Approximately 250 Windows File, Print, Application, and Database servers including an Enterprise Microsoft Exchange environment and Microsoft SQL databases deployed throughout the network that support several Windows Based Applications.
- (3) A large Cisco network infrastructure supporting the DFS network include; three Cisco 6509 enterprise level core switches and over 300 infrastructure devices, including WAN routers, Voice Routers, Voice Gateways, Remote Access Appliances, Firewalls and workgroup LAN switches.
- (4) An enterprise Cisco VOIP network infrastructure consisting of eight Call Managers, 3000 IP phones, and Call Centers supporting 400 agents deployed throughout the state.
- (5) A IBM Mainframe environment supporting the State of Florida financial and payroll applications. The IBM platform supports several applications and associated DB2 databases that are accessed by all state agencies using a variety of connection technologies. The DB2 databases are also accessed by several Windows and Web applications that provide services to both internal DFS and external users.
- (6) Several enterprise level UNIX environments housing a large number of applications and associated Oracle databases. The Oracle databases provide "backend" services for several .Net based Web Application Servers.
- (7) Several remote access server appliances and associated technologies including VPN client, and VPN LAN to LAN network connections. The remote access environment is currently supported by a combination of PIX Firewalls, VPN Concentrator, and Cisco Firewall Service Module (FWSM) connections.
- (8) Enterprise-level, seven-layer application, switching and load balancing environment including, Cisco firewall, VPN, SSL-Proxy, and content switching hardware modules.

As the DFS network environment grows, and becomes more complex, the need to manage and monitor performance and capacity increases. DFS needs the capability to proactively monitor and manage all aspects of the VOIP system and data systems which includes CPU activity such as memory, storage, and system up-time.

BENEFITS:

The purchase of network management and monitoring software tools will provide management solutions that will enable DFS

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
NETWORK MANAGEMENT TOOLS AND				
MONITORING SOFTWARE TO PROACTIVELY				
MANAGE AND MONITOR PERFORMANCE				36240C0

to simplify IT operations, control costs, reduce risk and provide effective capacity management. The Department is responsible for supporting statewide systems, used by all State Agencies, such as the FLAIR and LAS/PBS systems. Critical applications such as Insurance, Fire Marshal, Treasury, Workers' Compensation, OFR, and OIR require highly skilled staff using the latest technology tools to support and maintain a highly responsive and available IT infrastructure.

These tools will also help correct potential hardware, software, and communication problems before they occur. DIS will be able to look at trends and historical information to determine if there is a need for an upgrade or replacement of equipment before undertaking new projects. The monitoring of all DFS Network activities, including the VOIP phone system, is critical for the efficient and effective management of our network, for reporting on our Long Range Program Plan (LRPP) measures, and for providing customers performance reports on up-times, system availability, etc.

ASSUMPTIONS AND CONTRAINTS:

The main constraint to completing this project has been lack of funding. DIS submitted this issue for the budget year 2006-2007 for an amount of \$1,232,133. The Legislature approved funding for \$500,000.

DIS believes the remaining budget of \$732,133 will cover the current cost to purchase and install the network management and monitoring software tools.

IT SERVICE OR SYSTEM: THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS.

IMPLEMENTATION APPROACH:

DIS is committed to continue a high-level of service to the DFS network. DIS will dedicate two FTEs from the Bureau of Distributed Infrastructure to plan, analyze, and generate reports to review the health of the DFS network. These FTEs will work hand-in-hand with a contractor during installation and will be responsible for the daily operations after the installation is complete. DIS infrastructure staff will have full management of all infrastructure devices, VOIP, and critical applications.

IMPLEMENTATION TIMELINE:

DIS anticipates planning efforts and implementation will take six months to complete.

ESTIMATED COSTS:

CA Spectrum Network Fault Manager Standard Suite r8.1 for Windows e.
 \$263,200.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
NETWORK MANAGEMENT TOOLS AND				
MONITORING SOFTWARE TO PROACTIVELY				
MANAGE AND MONITOR PERFORMANCE				36240C0

CA ehealth Network Performance Manger Standard Suite r6.0 for Windows - product plus 3 years enterprise maintenance installation and configuration services included.
 \$409,763

Ehealth for voice Cisco unity and unity bridge platform level 2r1.0.
 \$4,409

ehealth for voice Cisco call manager right to use r4.0 product.
 \$55,121

Total cost: \$732,132

Detail of Costs:

FY 09-10	Recurring	Non-recurring
Category	-----	-----
Contracted Services (100777):		
Administrative Trust Fund		
Total	\$732,133	\$700,000
-----	-----	-----
Issue Total	\$732,133	\$700,000

TOTAL: INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	177,770			1000
TRUST FUNDS	19,261,641	1,180,000		2000
	-----	-----	-----	
TOTAL POSITIONS.....	141.00			
TOTAL PROG COMP.....	19,439,411	1,180,000		
TOTAL SALARY RATE.....	6,815,992			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	747,288			
=====		=====		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	10.00			
-STATE	955,046			2393 1
=====		=====		
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE	119,224			2393 1
=====		=====		
EXPENSES				040000
INSURANCE REG TF				
-STATE	79,965			2393 1
=====		=====		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF				
-STATE	250,000			2393 1
=====		=====		
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF				
-STATE	1,285			2393 1
=====		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF				
-STATE	3,980			2393 1
=====		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	10.00			
TOTAL ISSUE.....	1,409,500			
TOTAL SALARY RATE.....	747,288			
=====		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
INSURANCE REG TF		-STATE	1,440	2393 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
INSURANCE REG TF		-STATE	570-	2393 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
INSURANCE REG TF		-STATE	7,200	2393 1
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF		-STATE	570-	2393 1
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	10.00			
SALARY RATE.....	1,417,000			2000
SALARY RATE.....	747,288			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,985,210			
=====				
SALARIES AND BENEFITS				010000
	111.00			
GENERAL REVENUE FUND -STATE	6,694,475			1000 1
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	5,000			1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,988,613			1000 1
=====				
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	215,794			1000 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	3,100,316			1000 1
=====				
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE	15,319			1000 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	43,179			1000 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	111.00			
TOTAL ISSUE.....	12,062,696			
TOTAL SALARY RATE.....	4,985,210			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	16,159			1000 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	2,695-			1000 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	80,795			1000 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	2,695-			1000 1
=====				
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0
SALARY RATE				000000
SALARY RATE.....	499,347			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	10.00	671,611		1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	121,120	44,120		1000 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	673,920	673,920		1000 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	4,010			1000 1
=====				
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO				3612AC0
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				
TOTAL POSITIONS.....	10.00			
TOTAL ISSUE.....	1,470,661	718,040		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

TOTAL SALARY RATE..... 499,347

=====

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Required FLAIR Modification due to Internal Revenue Code 3402 Mandate for Three Percent Withholding on Payments for Service and Property After December 2010

Issue Description/Need:

Effective January 1, 2011, the federal government has mandated (Public Law 109-222) that governmental agencies withhold 3% from virtually all vendor payments for goods and services. During the last fiscal year, 105,598 different entities received at least one payment from an agency of the State of Florida and a total of 3,761,193 vendor payments were issued. This federal mandate requires the state to withhold 3% from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

Division of Accounting & Auditing Impact:

Three (3) positions are needed to analyze, research, and develop requirements for modifications to FLAIR to comply with this federal mandate. The new positions will also be the State of Florida's direct interface with the federal government for compliance and ongoing modifications to this new program. It is envisioned that an outreach program with State of Florida vendors will also be necessary to answer questions and work with individual vendors on compliance issues. Once implemented, the additional FTEs will supervise the day-to-day operations of this new requirement. The number of 1099s produced by DFS will increase by five times due to this additional requirement. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law.

For the 2010-2011 fiscal year, it is anticipated that 24 additional FTEs will be necessary to handle all areas of this withholding requirement including the following: phone bank, corrections/resolutions, agency liaisons, IRS liaisons, Form W-9/1099 reporting, and training. A portion of these 24 FTEs will need to be in place by July 2010, with the remainder in place by October 2010 to be trained for the January 1, 2011 implementation. It is estimated that the total costs for these FTEs will be \$1,337,785, which includes \$1,231,897 for recurring costs and \$105,888 for non-recurring costs.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

Current 1099 Statistics:
 22,000 forms issued each year
 3 FTEs to administer program, compliance and handle vendor calls.

Current Bureau of State Payrolls Statistics:
 121,000 payrolls/withholdings processed per period (monthly and bi-weekly payrolls)
 32 FTEs to administer program, compliance and handle phone calls.

Division of Information Systems Impact:
 In order to comply with Public Law 109-222, it is estimated that 4,715 complex FLAIR computer programs must be modified and tested to address the reconciliation of withholdings and subsequent payments to the federal government, preparation of tax deposits, monitoring of agency payments, and increased compliance monitoring. The calculation of costs for initial FLAIR changes is \$1,470,661 for fiscal year 09-10. Additional FTEs for DIS will be required for IRS reporting requirements, analysis, design, programming and testing. The FTE projections for DIS, provided below in the detail of costs, include 5 design positions and 5 programmers.

Beginning in fiscal year 2010-2011, non-recurring costs in the amount of \$673,920 are estimated to cover 6 Contract Programmers.

Potential Agency Impact:
 This law will also apply to state disbursements not made currently through FLAIR, such as agency revolving funds. DFS will have to work with all state agencies to identify non-FLAIR disbursements and devise a method for implementing the 3% withholding requirement.

Ultimate Outcome:

There are two sets of customers for this project. The first is the federal government. The second is the 100,000 plus vendors doing business with the State of Florida on an annual basis. The mandate to begin the 3% withholding is January 1, 2011. This LBR would create positions as of July 1, 2009, just eighteen months prior to the mandated start date. Because the federal implementation guidance has not been published, we will be designing and programming simultaneously. Due to the lack of sufficient federal guidance, we cannot divert resources from another program area. Failure to fund these positions will result in Florida not being able to meet the federal mandate. Potential fines from the federal government are currently unknown. However, the current fine for not reporting back-up withholding is \$50 per incident.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

Based on this assumption, if the 3 percent withholding requirement is not implemented for the approximate 100,000 vendors each year (and the related Form 1099s) and the IRS decides to issue fines, the amount could be as high as \$5 million.

As stated above, federal implementation guidance has not yet been published. The Department will continue to work with staff from the Executive Office of the Governor, House and Senate on this issue.

Detail of Costs:

DIVISION OF ACCOUNTING AND AUDITING (43200100)

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Prof Accountant Spec (SES)	1469	424	3	122,847	174,135	37,836	3,000	1,203	216,674

CATEGORY

	Amount	Non-Recurring
Salary and Benefits (010000):		
General Revenue (1000)(1)	\$174,135	\$ 0
Expenses (040000):		
3 Standard Assessment Package (Professional)	\$ 30,336	\$10,236
3 Travel for Training	\$ 7,500	
3 Computers	\$ 3,000	\$ 3,000
General Revenue (1000) (1)		
-----	-----	-----
Total	\$ 40,836	\$13,236

Special Category:

Human Resources Services (107040):		
General Revenue (1000)(1)		
Total	\$ 1,203	\$ 0
-----	-----	-----
Issue Total (Division of Accounting and Auditing)	\$216,174	\$13,236

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

INFORMATION TECHNOLOGY FLAIR INFRASTRUCTURE (43010500)

Class Title	CC	PG	FTE	Annual		OCO	HR	FY 09-10	
				Rate	Salaries			Expenses	Services
Senior SAMAS Analyst	1452	025	1	51,304	68,773	11,112	0	401	80,286
SAMAS Analyst	1450	024	2	89,290	121,868	22,224	0	802	144,894
Systems Project Analyst	2107	024	2	94,577	128,092	27,224	0	802	156,118
Governmental Analyst I	2224	022	1	42,577	58,500	11,112	0	401	70,013
Computer Programmer Analyst II	2103	022	2	81,457	112,648	27,224	0	802	140,674
Business Consultant Manager	P101	999	2	140,142	181,730	22,224	0	802	204,756
Total				499,347	671,611	121,120		4,010	796,741

Rate is calculated at an average of 14% (CC 1452-17%, CC 1450-9%, CC 2107-15%, CC 2224-16%, CC 2103-12%) above the minimum. These classes are hard to fill and must be appropriated at a higher level to allow for the hiring of qualified staff.

CATEGORY	Amount	Non-Recurring
	-----	-----
Salary and Benefits (010000):		
General Revenue (1000)(1)		
Total	\$ 671,611	\$ 0
Expenses (040000):		
10 Computers	\$ 10,000	\$ 10,000
10 Standard Assessment Package (Professional)	\$ 101,120	\$ 34,120
4 Travel for Training	\$ 10,000	
General Revenue (1000)(1)	-----	-----
Total	\$ 121,120	\$ 44,120
Special Category		
Contracted Services (100777)		
6 Contract Programmers (1,140 hours x \$108/hour)	\$ 673,920	\$673,920
General Revenue (1000)(1)	-----	-----
Total	\$ 673,920	\$673,920

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

Human Resources Services (107040):
 General Revenue (1000)(1)
 Total

\$ 4,010 \$ 0

 Issue Total (Information Technology FLAIR Infrastructure

\$1,470,661 \$718,040

 Department of Financial Services Total Issue

\$1,686,835 \$731,276

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N1017 001	2.00	140,142		41,588	181,730	0.00	181,730
1450 STATE AUTOMATE MGMNT ACCOUNT SYS ANALYST							
N1013 001	2.00	89,290		32,578	121,868	0.00	121,868
1452 SR STATE AUTOMATE MGT ACCT SYSTM ANALYST							
N1012 001	1.00	51,304		17,469	68,773	0.00	68,773
2103 COMPUTER PROGRAMMER ANALYST II							
N1016 001	2.00	81,457		31,191	112,648	0.00	112,648
2107 SYSTEMS PROJECT ANALYST							
N1014 001	2.00	94,577		33,515	128,092	0.00	128,092
2224 GOVERNMENT ANALYST I							
N1015 001	1.00	42,577		15,923	58,500	0.00	58,500

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							671,611
	10.00	499,347		172,264	671,611		671,611

TOTAL: INFORMATION TECHNOLOGY							<u>1603.00.00.00</u>
BY FUND TYPE							
GENERAL REVENUE FUND.....	121.00	13,624,921	718,040				1000
SALARY RATE.....	5,484,557						

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,208,194			
=====				
SALARIES AND BENEFITS				010000
	29.50			
TREASURY ADM/INVEST TF -STATE	1,546,463			2725 1
=====				
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	1,500			2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	293,081			2725 1
=====				
OPERATING CAPITAL OUTLAY				060000
TREASURY ADM/INVEST TF -STATE	1,783			2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	80,205			2725 1
=====				
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF -STATE	68,548			2725 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	11,890			2725 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	29.50			
TOTAL ISSUE.....		2,003,470		
TOTAL SALARY RATE.....		1,208,194		
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		4,850		2725 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		828-		2725 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS				
AND SALARIES AND BENEFITS				160F010
SALARY RATE				000000
SALARY RATE.....	155,697-			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	3.00-	205,793-		2725 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS				
AND SALARIES AND BENEFITS				160F010
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE		18,000-		2725 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE		1,203-		2725 1
=====				
TOTAL: TRANSFER OF POSITIONS				160F010
AND SALARIES AND BENEFITS				
TOTAL POSITIONS.....	3.00-			
TOTAL ISSUE.....		224,996-		
TOTAL SALARY RATE.....	155,697-			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests re-approval of fiscal year 2008-2009 agency budget amendment number 09-04, EOG Q0024, requested pursuant to the statutory authority granted under section 216.292(2)(b)1 and 2 and approved August, 2008. This issue requests the transfer of three positions and associated budget within the State Treasury program.

Specifically, this issue requests transfer of three positions from the Treasury Deposit Security budget entity to the Treasury State Funds Management and Investment budget entity within the Treasury Administrative Investment Trust Fund. This request should be effective as of July 1, 2009.

This issue is linked to issue 160F020 which reflects the add-back of the positions and funding to the State Funds Management and Investment budget entity.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS				
AND SALARIES AND BENEFITS				160F010

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
01125 001	1.00-	43,507-		15,992-	59,499-	0.00	59,499-
2225 GOVERNMENT ANALYST II							
01355 001	1.00-	54,602-		10,253-	64,855-	0.00	64,855-
1587 FINANCIAL ADMINISTRATOR - SES							
01124 001	1.00-	57,588-		23,851-	81,439-	0.00	81,439-
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							205,793-
	3.00-	155,697-		50,096-	205,793-		205,793-

REALIGNMENT OF SALARIES AND BENEFITS BUDGET - SALARY RESTRUCTURING - ADDBACK							160F880
SALARY RATE							000000
SALARY RATE.....	118,928						
SALARIES AND BENEFITS							010000
TREASURY ADM/INVEST TF -STATE	139,999						2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - ADDBACK				160F880
TOTAL: REALIGNMENT OF SALARIES AND				160F880
BENEFITS BUDGET - SALARY				
RESTRUCTURING - ADDBACK				
TOTAL ISSUE.....	139,999			
TOTAL SALARY RATE.....	118,928			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: REALIGNMENT REALIGNMENT OF SALARIES AND BENEFITS BUDGET - SALARY RESTRUCTURING - ADDBACK

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1 and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F090; 160F080; and 160F990. All of these issues are related to the department's

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - ADDBACK				160F880

salary realignment plan.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
99999 001	0.00	118,928		21,071	139,999	0.00	139,999
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							139,999
	0.00	118,928		21,071	139,999		139,999

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		24,250		2725 1
	=====	=====	=====	
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		828-		2725 1
	=====	=====	=====	
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	26.50			
SALARY RATE.....		1,945,917		2000
		1,171,425		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,043,536			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	25.50	1,425,061		2725 1
=====				
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	17,500			2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	427,619			2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	913,785			2725 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	10,313			2725 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	25.50			
TOTAL ISSUE.....	2,794,278			
TOTAL SALARY RATE.....	1,043,536			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		3,647		2725 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		697-		2725 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS AND				
SALARIES AND BENEFITS				160F020
SALARY RATE				000000
SALARY RATE.....		155,697		
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		205,793		2725 1
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE		18,000		2725 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE		1,203		2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS AND				
SALARIES AND BENEFITS				160F020
TOTAL: TRANSFER OF POSITIONS AND				160F020
SALARIES AND BENEFITS				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....		224,996		
TOTAL SALARY RATE.....	155,697			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests re-approval of fiscal year 2008-2009 agency budget amendment number 09-04, EOG Q0024, requested pursuant to the statutory authority granted under section 216.292(2)(b)1 and 2 and approved August, 2008. This issue requests the transfer of three positions and associated budget within the State Treasury program.

Specifically, this issue requests transfer of three positions from the Treasury Deposit Security budget entity to the Treasury State Funds Management and Investment budget entity within the Treasury Administrative Investment Trust Fund. This request should be effective as of July 1, 2009.

This issue is linked to issue 160F010 which reflects the deduction of the position and funding to the Deposit Security budget entity.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
01125 001	1.00	43,507		15,992	59,499	0.00	59,499
2225 GOVERNMENT ANALYST II							
01355 001	1.00	54,602		10,253	64,855	0.00	64,855
1587 FINANCIAL ADMINISTRATOR - SES							
01124 001	1.00	57,588		23,851	81,439	0.00	81,439

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS AND				
SALARIES AND BENEFITS				160F020

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							205,793
	3.00	155,697		50,096	205,793		205,793

REALIGNMENT OF OPERATING BUDGET -							
SALARY RESTRUCTURING - ADDBACK							160F080
SALARY RATE							000000
SALARY RATE.....	118,928						
SALARIES AND BENEFITS							010000
TREASURY ADM/INVEST TF -STATE	139,999						2725 1
TOTAL: REALIGNMENT OF OPERATING BUDGET -							160F080
SALARY RESTRUCTURING - ADDBACK							
TOTAL ISSUE.....	139,999						
TOTAL SALARY RATE.....	118,928						

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: REALIGNMENT OF OPERATING BUDGET - SALARY RESTRUCTURING - ADDBACK

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF OPERATING BUDGET -				
SALARY RESTRUCTURING - ADDBACK				160F080

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1 and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F090; 160F880; and 160F990. All of these issues are related to the department's salary realignment plan.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF OPERATING BUDGET -				
SALARY RESTRUCTURING - ADDBACK				160F080

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
99999 001	0.00	118,928		21,071	139,999	0.00	139,999
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							139,999
	0.00	118,928		21,071	139,999		139,999

REALIGNMENT OF OPERATING BUDGET -							
SALARY RESTRUCTURING - DEDUCT EXPENSES							160F090 040000
TREASURY ADM/INVEST TF -STATE	75,000-						2725 1
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
TREASURY ADM/INVEST TF -STATE	65,000-						2725 1
TOTAL: REALIGNMENT OF OPERATING BUDGET -							160F090
SALARY RESTRUCTURING - DEDUCT							
TOTAL ISSUE.....	140,000-						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF OPERATING BUDGET -				
SALARY RESTRUCTURING - DEDUCT				160F090

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: REALIGNMENT OF OPERATING BUDGET - SALARY RESTRUCTURING - DEDUCT

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1 and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F080; 160F880; and 160F990. All of these issues are related to the department's salary realignment plan.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				160F990
RESTRUCTURING - DEDUCT				000000
SALARY RATE				
SALARY RATE.....	118,928-			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	139,999-			2725 1
=====				
TOTAL: REALIGNMENT OF SALARIES AND				160F990
BENEFITS BUDGET - SALARY				
RESTRUCTURING - DEDUCT				
TOTAL ISSUE.....	139,999-			
TOTAL SALARY RATE.....	118,928-			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: REALIGNMENT OF SALARIES AND BENEFITS BUDGET - SALARY RESTRUCTURING - DEDUCT

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1 and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - DEDUCT				160F990

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F090; 160F880; and 160F080. All of these issues are related to the department's salary realignment plan.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
99999 001	0.00	118,928-		21,071-	139,999-	0.00	139,999-
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							139,999-
	0.00	118,928-		21,071-	139,999-		139,999-
	=====	=====	=====	=====	=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	18,235			2725 1
	=====	=====	=====	
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	697-			2725 1
	=====	=====	=====	
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
CASH MANAGEMENT SYSTEM FOR TREASURY				
DUE TO SUSPENSION OF ASPIRE PROJECT				36340C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	5,000,000			2725 1
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Cash Management System for Treasury

ISSUE SUMMARY

The Division of Treasury, Bureau of Funds Management (Bureau) is required by statute to manage the receipt, investment and paying activity for state operating funds. For fiscal year (FY) 07-08, more than \$66 billion of state funds were processed using the Cash Management System (CMS). The replacement of CMS has been actively pursued since 2002. Business decisions to suspend an ERP solution and subsequent decisions to not pursue implementation of PeopleSoft's Treasury modules have precluded the successful completion of these efforts.

CMS functionality does not provide an automated general ledger to record the assets and liabilities of the Bureau. The Bureau's accounting section must manually prepare a monthly trial balance using information from six of the Bureau's system applications. In prior years, the Auditor General has provided management comments regarding this issue.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
CASH MANAGEMENT SYSTEM FOR TREASURY				
DUE TO SUSPENSION OF ASPIRE PROJECT				36340C0

Recent audits have documented system security deficiencies so egregious as to require confidential audit findings. With the July 2008 transitions from the Microsoft (MS) Windows 98 operating system, the Division of Information Systems (DIS) has completed those tasks identified in audit findings that are within their ability to correct short of total system replacement.

From January - August of 2008, Bureau staff identified forty three (43) data errors requiring direct update to various databases by DIS support staff to correct out-of-balance conditions within the CMS. Each update requires manual intervention in the application involved.

Because each CMS application was independently designed and developed there is a limited shared data structure. CMS users must perform separate data entry and validation procedures in most of the fourteen (14) CMS applications. This limits the time available for FM resources to perform data analysis and reporting.

There is a real and potential loss of experienced personnel. One DIS employee that has been instrumental in maintenance and enhancement of CMS applications will retire in December, 2008. Long-term Bureau employees are also nearing retirement. The "tribal knowledge" these long-term employees possess including their use of more than 150 Excel spreadsheets built to compensate for missing CMS functionality. This places the Bureau at significant risk.

BENEFITS

CMS applications have successfully managed the Bureau's processes, with the help of keen accounting skills, for almost 11 years. However, since these systems were designed, the Treasury's assets have increased from \$9.8 billion to \$18.3 billion as of June 30, 2008. Also, during this time, technology has brought major improvements in financial system capabilities. There are both commercial-off-the-shelf (COTS) and customized solutions for producing a system that can interact with all the business dynamics that impact today's financial arena.

Tangible benefits include the removal of the IBM AS400 platform. This will result in the cancellation of all hardware and software maintenance support for this system. There will also be a reduction in power consumption and floor space requirements as the anticipated replacement systems would be housed on server technology.

There are numerous intangible benefits for modernizing CMS applications. These include:

1. Accounting for state funds will be accomplished more efficiently
2. Increasing the safety of state assets by reducing security risks
3. Elimination of reconciliation between multiple system applications
4. Ability to utilize highly efficient bank technology made available by contracted banks
5. Provide agencies with flexible ad hoc reporting capabilities on all data, contained within the Cash Management System

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
CASH MANAGEMENT SYSTEM FOR TREASURY				
DUE TO SUSPENSION OF ASPIRE PROJECT				36340C0

6. Creation of our automated General Ledger to support core business functions and reporting requirements
7. Timely and accurate financial and accounting information will be produced
8. Reduction of error and fraud opportunities by eliminating manual intervention
9. Better management reporting will be provided
10. Current personnel resources can be reallocated to perform data analysis and enhance system processes

ASSUMPTIONS AND CONSTRAINTS:

Assumptions:

Funds are available for successful project implementation

Organization or departmental requirements do not change to the point that new requirements interfere with project scope and resources.

CMS applications can be "frozen" enabling DIS & Bureau resources to concentrate on new system implementation.

The relational or technically equivalent database that is implemented will support all Bureau needs.

The implemented system supports current and future security requirements.

Sensitive data security risks will be mitigated.

DIS resources can transition to new support roles while supporting existing systems.

Bureau resources can continue to perform current workload while learning and transitioning to the replacement system.

Project resources with competing priorities assigned to this project are allowed to focus time and effort on this project so that project implementation is not impacted by "other" work.

Constraints:

There are limited resources that must produce monthly reports while training and transitioning to the replacement system.

IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
CASH MANAGEMENT SYSTEM FOR TREASURY				
DUE TO SUSPENSION OF ASPIRE PROJECT				36340C0

Eliminate or Replace AS400, 14 CMS Applications, FLTreasury.org

IMPLEMENTATION APPROACH

The Bureau is evaluating options and will continue to work with staff from the Executive Office of the Governor, House and Senate on this issue

ESTIMATED COSTS

This is an estimated cost. The amount may be lower.

Detail of Costs:

Category	Amount	Non-Recurring
FY 09-10		
Contracted Services (100777)		
Treasurer Administrative Trust Fund (2725) (1)		
Total		\$5,000,000 \$ 0
Issue Total		\$5,000,000 \$ 0

TOTAL: GOVERNMENTAL OPERATIONS			<u>1601.00.00.00</u>
BY FUND TYPE			
	28.50		
TRUST FUNDS.....	7,899,762		2000
SALARY RATE.....	1,199,233		
=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	440,079			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF	12.50			
-STATE		633,025		2725 1
=====				
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF		100		
-STATE				2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF		120,786		
-STATE				2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF		252		
-STATE				2725 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF		4,689		
-STATE				2725 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	12.50			
TOTAL ISSUE.....		758,852		
TOTAL SALARY RATE.....	440,079			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		2,084		2725 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		293-		2725 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		10,420		2725 1
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		293-		2725 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	12.50			2000
SALARY RATE.....		770,770		
		440,079		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	7,742,065			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	9,434,683			1000 1
ADMINISTRATIVE TRUST FUND -STATE	723,872			2021 1
TOTAL POSITIONS.....	164.00			
TOTAL APPRO.....	10,158,555			
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	22,994			1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,222,587			1000 1
ADMINISTRATIVE TRUST FUND -STATE	225,554			2021 1
TOTAL APPRO.....	1,448,141			
=====				
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	10,000			1000 1
ADMINISTRATIVE TRUST FUND -STATE	3,000			2021 1
TOTAL APPRO.....	13,000			
=====				
SPECIAL CATEGORIES				100000
REGISTRY ATTORNEYS				100650
ADMINISTRATIVE TRUST FUND -STATE	2,075,388			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE		405,949		1000 1
DEBT SERVICE - FLAIR/CMS				100873
INSURANCE REG TF -STATE		12,852,600		2393 1
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE		40,796		1000 1
SALARY INCENTIVE PAYMENTS				103290
GENERAL REVENUE FUND -STATE		700		1000 1
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE		61,586		1000 1
ADMINISTRATIVE TRUST FUND -STATE		4,401		2021 1
TOTAL APPRO.....		65,987		
TR/PRISON INDUSTRY ENH PRG				108005
PRISON INDUSTRIES TF -STATE		750,000		2385 1
FL CLERKS/COURT/OPER CORP				109987
ADMINISTRATIVE TRUST FUND -STATE		1,700,000		2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	164.00			
TOTAL ISSUE.....	29,534,110			
TOTAL SALARY RATE.....	7,742,065			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	24,713			1000 1
ADMINISTRATIVE TRUST FUND -STATE	1,897			2021 1
TOTAL APPRO.....	26,610			
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	4,839-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	372-			2021 1
TOTAL APPRO.....	5,211-			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
NONRECURRING EXPENDITURES				2100000
FINANCIAL AND CASH MANAGEMENT				
SYSTEMS				2103088
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE		3,000-		2021 1
=====		=====		=====
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		123,565		1000 1
ADMINISTRATIVE TRUST FUND -STATE		9,485		2021 1
TOTAL APPRO.....		133,050		
=====		=====		=====
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		4,839-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		372-		2021 1
TOTAL APPRO.....		5,211-		
=====		=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				
SALARY RATE				3612AC0
SALARY RATE.....	122,847			000000
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	3.00			
GENERAL REVENUE FUND -STATE	174,135			1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	40,836	13,236		1000 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	1,203			1000 1
=====				
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO				3612AC0
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	216,174	13,236		
TOTAL SALARY RATE.....	122,847			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Required FLAIR Modification due to Internal Revenue Code 3402 Mandate for Three Percent Withholding on Payments for Service and Property After December 2010

Issue Description/Need:

Effective January 1, 2011, the federal government has mandated (Public Law 109-222) that governmental agencies withhold

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

3% from virtually all vendor payments for goods and services. During the last fiscal year, 105,598 different entities received at least one payment from an agency of the State of Florida and a total of 3,761,193 vendor payments were issued. This federal mandate requires the state to withhold 3% from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

Division of Accounting & Auditing Impact:

Three (3) positions are needed to analyze, research, and develop requirements for modifications to FLAIR to comply with this federal mandate. The new positions will also be the State of Florida's direct interface with the federal government for compliance and ongoing modifications to this new program. It is envisioned that an outreach program with State of Florida vendors will also be necessary to answer questions and work with individual vendors on compliance issues. Once implemented, the additional FTEs will supervise the day-to-day operations of this new requirement. The number of 1099s produced by DFS will increase by five times due to this additional requirement. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law.

For the 2010-2011 fiscal year, it is anticipated that 24 additional FTEs will be necessary to handle all areas of this withholding requirement including the following: phone bank, corrections/resolutions, agency liaisons, IRS liaisons, Form W-9/1099 reporting, and training. A portion of these 24 FTEs will need to be in place by July 2010, with the remainder in place by October 2010 to be trained for the January 1, 2011 implementation. It is estimated that the total costs for these FTEs will be \$1,337,785, which includes \$1,231,897 for recurring costs and \$105,888 for non-recurring costs.

Current 1099 Statistics:

22,000 forms issued each year
 3 FTEs to administer program, compliance and handle vendor calls.

Current Bureau of State Payrolls Statistics:

121,000 payrolls/withholdings processed per period (monthly and bi-weekly payrolls)
 32 FTEs to administer program, compliance and handle phone calls.

Division of Information Systems Impact:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

In order to comply with Public Law 109-222, it is estimated that 4,715 complex FLAIR computer programs must be modified and tested to address the reconciliation of withholdings and subsequent payments to the federal government, preparation of tax deposits, monitoring of agency payments, and increased compliance monitoring. The calculation of costs for initial FLAIR changes is \$1,470,661 for fiscal year 09-10. Additional FTEs for DIS will be required for IRS reporting requirements, analysis, design, programming and testing. The FTE projections for DIS, provided below in the detail of costs, include 5 design positions and 5 programmers.

Beginning in fiscal year 2010-2011, non-recurring costs in the amount of \$673,920 are estimated to cover 6 Contract Programmers.

Potential Agency Impact:

This law will also apply to state disbursements not made currently through FLAIR, such as agency revolving funds. DFS will have to work with all state agencies to identify non-FLAIR disbursements and devise a method for implementing the 3% withholding requirement.

Ultimate Outcome:

There are two sets of customers for this project. The first is the federal government. The second is the 100,000 plus vendors doing business with the State of Florida on an annual basis. The mandate to begin the 3% withholding is January 1, 2011. This LBR would create positions as of July 1, 2009, just eighteen months prior to the mandated start date. Because the federal implementation guidance has not been published, we will be designing and programming simultaneously. Due to the lack of sufficient federal guidance, we cannot divert resources from another program area. Failure to fund these positions will result in Florida not being able to meet the federal mandate. Potential fines from the federal government are currently unknown. However, the current fine for not reporting back-up withholding is \$50 per incident. Based on this assumption, if the 3 percent withholding requirement is not implemented for the approximate 100,000 vendors each year (and the related Form 1099s) and the IRS decides to issue fines, the amount could be as high as \$5 million.

As stated, federal implementation guidance has not yet been published. The Department will continue to work with staff from the Executive Office of the Governor, House and Senate on this issue.

Detail of Costs:

DIVISION OF ACCOUNTING AND AUDITING (43200100)

 COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2009-10 FY 2009-10 FY 2009-10
 POS AMOUNT POS AMOUNT POS AMOUNT

 CODES

FINANCIAL SERVICES 43000000
 PGM: FIN ACCT/PUBLIC FUNDS 43200000
 ST FINAN INFO/ST AGY ACCTG 43200100
 GOV OPERATIONS/SUPPORT 16
 GOVERNMENTAL OPERATIONS 1601.00.00.00
 STATE ENTERPRISE INFORMATION
 TECHNOLOGY 3610000
 REQUIRED FLAIR MODIFICATION DUE TO
 INTERNAL REVENUE CODE 3402 MANDATE
 OF THREE PERCENT WITHHOLDING ON
 PAYMENTS FOR SERVICE AND PROPERTY 3612AC0

Class Title	CC	PG	FTE	Rate	Salaries	Expenses	OCO	Services	Total
Prof Accountant Spec (SES)	1469	424	3	122,847	174,135	37,836	3,000	1,203	216,674

CATEGORY	Amount	Non-Recurring
Salary and Benefits (010000):		
General Revenue (1000)(1)	\$174,135	\$ 0
Expenses (040000):		
3 Standard Assessment Package (Professional)	\$ 30,336	\$10,236
3 Travel for Training	\$ 7,500	
3 Computers	\$ 3,000	\$ 3,000
General Revenue (1000) (1)		
-----	-----	-----
Total	\$ 40,836	\$13,236
Special Category:		
Human Resources Services (107040):		
General Revenue (1000)(1)		
Total	\$ 1,203	\$ 0
-----	-----	-----
Issue Total (Division of Accounting and Auditing)	\$216,174	\$13,236

INFORMATION TECHNOLOGY FLAIR INFRASTRUCTURE (43010500)	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Senior SAMAS Analyst	1452	025	1	51,304	68,773	11,112	0	401	80,286
SAMAS Analyst	1450	024	2	89,290	121,868	22,224	0	802	144,894
Systems Project Analyst	2107	024	2	94,577	128,092	27,224	0	802	156,118
Governmental Analyst I	2224	022	1	42,577	58,500	11,112	0	401	70,013
Computer Programmer Analyst II	2103	022	2	81,457	112,648	27,224	0	802	140,674

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0
Business Consultant Manager	P101 999 2	140,142	181,730	22,224
		0	802	204,756
Total		499,347	671,611	121,120
			4,010	796,741

Rate is calculated at an average of 14% (CC 1452-17%, CC 1450-9%, CC 2107-15%, CC 2224-16%, CC 2103-12%) above the minimum. These classes are hard to fill and must be appropriated at a higher level to allow for the hiring of qualified staff.

CATEGORY	Amount	Non-Recurring
	-----	-----
Salary and Benefits (010000):		
General Revenue (1000)(1)		
Total	\$ 671,611	\$ 0
Expenses (040000):		
10 Computers	\$ 10,000	\$ 10,000
10 Standard Assessment Package (Professional)	\$ 101,120	\$ 34,120
4 Travel for Training	\$ 10,000	
General Revenue (1000)(1)		
Total	\$ 121,120	\$ 44,120
Special Category		
Contracted Services (100777)		
6 Contract Programmers (1,140 hours x \$108/hour)	\$ 673,920	\$673,920
General Revenue (1000)(1)		
Total	\$ 673,920	\$673,920
Human Resources Services (107040):		
General Revenue (1000)(1)		
Total	\$ 4,010	\$ 0

Issue Total (Information Technology FLAIR Infrastructure	\$1,470,661	\$718,040

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
			AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

Department of Financial Services Total Issue \$1,686,835 \$731,276

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
1469 PROFESSIONAL ACCOUNTANT SPECIALIST - SES							
N0008 001	3.00	122,847		51,288	174,135	0.00	174,135
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							174,135
	3.00	122,847		51,288	174,135		174,135

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
PROGRAM ISSUES				4000000
GENERAL REVENUE COST ALLOCATION				4000020
SPECIAL CATEGORIES				100000
TR/ADMINISTRATIVE TF				109004
GENERAL REVENUE FUND -STATE	1,352,431			1000 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: General Revenue Cost Allocation

Issue Description/Need:

The Department of Financial Services has developed a cost allocation plan in order to appropriately charge the department's budget entities the appropriate amount for the services performed by the administrative budget entities. This issue is the charge the amount the State Financial Information and State Agency Accounting budget entity has been charged for the current fiscal year.

Detail of Costs:

FY 09-10	Amount	Non-Recurring
	-----	-----
Special Categories:		
Transfer to Administrative Trust Fund (109004):		
General Revenue (1000) (1)		
Total	\$1,383,871	\$1,383,871
-----	-----	-----
Issue Total	\$909,724	\$909,724

TOTAL: GOVERNMENTAL OPERATIONS			<u>1601.00.00.00</u>
BY FUND TYPE			
GENERAL REVENUE FUND	12,906,500	13,236	1000
TRUST FUNDS	18,342,453		2000
TOTAL POSITIONS.....	167.00		
TOTAL PROG COMP.....	31,248,953	13,236	
TOTAL SALARY RATE.....	7,864,912		
=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,343,631			
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	60.00	2,859,138		2007 1
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF -STATE	180,000			2007 1
EXPENSES				040000
UNCLAIMED PROPERTY TF -STATE	766,657			2007 1
OPERATING CAPITAL OUTLAY				060000
UNCLAIMED PROPERTY TF -STATE	7,500			2007 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF -STATE	176,794			2007 1
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF -STATE	7,711			2007 1
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF -STATE	24,823			2007 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	60.00			
TOTAL ISSUE.....		4,022,623		
TOTAL SALARY RATE.....		2,343,631		
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF	-STATE	8,060		2007 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF	-STATE	1,150-		2007 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF	-STATE	40,300		2007 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		1,150-		2007 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	60.00			
SALARY RATE.....		4,068,683		2000
		2,343,631		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,760,845			
=====				
SALARIES AND BENEFITS				010000
	71.50			
INSURANCE REG TF -STATE	3,639,016			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	15,339			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE	619,855			2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	9,144			2393 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF -STATE	68,000			2393 1
=====				
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE	18,405			2393 1
=====				
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF -STATE	8,000			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	28,374		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		71.50		
TOTAL ISSUE.....		4,406,133		
TOTAL SALARY RATE.....		2,760,845		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	9,748		2393 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				1001910
RATES - FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	1,461-		2393 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS				160F010
AND SALARIES AND BENEFITS				000000
SALARY RATE				
SALARY RATE.....		36,825-		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	56,956-		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS				
AND SALARIES AND BENEFITS				160F010
EXPENSES				040000
INSURANCE REG TF	-STATE	6,000-		2393 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	401-		2393 1
=====				
TOTAL: TRANSFER OF POSITIONS				160F010
AND SALARIES AND BENEFITS				
TOTAL POSITIONS.....	1.00-			
TOTAL ISSUE.....		63,357-		
TOTAL SALARY RATE.....	36,825-			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests re-approval of fiscal year 2008-2009 agency budget amendment number 09-04, EOG Q0024, requested pursuant to the statutory authority granted under section 216.292(2)(b)1 and 2 and approved August, 2008. This issue requests the transfer of one position and associated budget within the State Fire Marshal program.

Specifically, this issue requests transfer of one position from the Fire Marshal - Compliance and Enforcement budget entity to the Fire Marshal - Professional Training and Standards budget entity within the Insurance Regulatory Trust Fund. This request should be effective as of July 1, 2009.

This issue is linked to issue 160F020 which reflects the add-back of the position and funding to the Professional Training and Standards budget entity.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS				
AND SALARIES AND BENEFITS				160F010

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2224 SENIOR MANAGEMENT ANALYST I - SES							
01628 001	1.00-	36,825-		20,131-	56,956-	0.00	56,956-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							56,956-
	1.00-	36,825-		20,131-	56,956-		56,956-

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - 10 MONTHS							26A1800
ANNUALIZATION SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	48,740					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,461-		2393 1
=====				
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0
EXPENSES				040000
INSURANCE REG TF	-STATE	50,700		2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	50,000	50,000	2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	69,300		2393 1
=====				
TOTAL: MULTI-FUNCTION WEB BASED				36341C0
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				
TOTAL ISSUE.....		170,000	50,000	
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Multi-Function Web Based Application for the Bureau of Fire Prevention

Reference to Long-Range Program Plan:

The Department of Financial Services has six LRPP goals. This request has been specifically crafted to support three of

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
<u>PUBLIC PROTECTION</u>				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0

those goals: 1) The Department will be a vigilant steward of the state's and its people's resources, 3) In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public, and 5) Our customers will receive timely, helpful and accurate information.

ISSUE SUMMARY:

This issue requests an increase in State Fire Marshal, Bureau of Fire Prevention's, Contracted Services and Expense categories for recurring trust fund dollars in the amount of \$120,000 in fiscal year (FY) 09-10 and a one-time hardware acquisition cost of \$50,000 in the Operating Capital Outlay category in FY 09-10. The total cost over five years is \$700,000.

These funds will be used to obtain a software product that will solve the following business problems:

- (1) - replace a deficient software application in the Building Plans Review Section (Currently 8% of plan reviews are not being conducted within the timelines specified by statute due to staff vacancies and deficient functionality of the current system).
- (2) - replace another deficient software application in the Boiler Inspection Section. (The State is required to inspect any boiler that is overdue for inspection. The current system does not allow for timely recording of data, and so until the manual processing of thousands of mailed forms is completed, boilers that have been inspected by private Special Inspectors show up in the system as "overdue." Consequently, state employed Deputy Inspectors frequently duplicate the work of the Special Inspectors - at a cost to the tax payers. Currently, this section is two months behind in processing inspection records due to insufficient staffing and the burdensome paper and manual processes required by the current system).
- (3) - replace a third deficient software application in the Regulatory Licensing Section (Under the current system, permits and registrations take months to complete when the actual time needed to process the application is only a matter of days. Also, the current system is no longer supported on its technology platform and will require immediate and complex upgrades if it is to be sustained).
- (4) - provide automation to the Inspections Section (this automation will enable the capability for the Section to begin Construction, Occupancy, Protection and Exposure (COPE) inspections on state-owned buildings valued between \$1 million and \$15 million. Currently, these buildings are not receiving COPE inspections due to staff shortages, which results in the State paying substantially higher insurance premiums.
- (5) - provide online application and payment capability to the public

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
<u>PUBLIC PROTECTION</u>				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0

(6) - improve citizen access to public records data and improve the Bureau's ability to respond to public records requests (this functionality will enable the Bureau to be in greater alignment with the Governor's Open Government initiative).

(7) - reduce the Bureau's processing time in all sections, thereby leading to more efficient delivery of services to the citizens of Florida

Impact if not funded: Plan reviews will continue to exceed statutory time limits, boiler inspection results processing will continue a two month backlog, individuals and businesses will continue to wait months instead of days for licenses and permits to be issued, higher than necessary insurance premiums will be paid for state-owned buildings valued between \$1 million and \$15 million. Citizens will continue to have cumbersome and labor-intensive access to Bureau of Fire Protection information.

BENEFITS

Tangible Benefits

COPE Inspections:

Modifying and automating annual fire safety inspections processes to concurrently perform Construction, Occupancy, Protection and Exposure (COPE) inspections on state-owned buildings valued between \$1 million and \$15 million. By performing concurrent inspections, the annual cost of travel associated with duplicate inspections will be reduced by an estimated \$100,000 and thereby negating the need for a request in increased fuel/travel expense to continue the current inspections.

Automated response to routine applicant forms requests:

Bureau of Fire Prevention has approximately 15,000 active licenses and permits. This level of service involves an estimated 9,000 phone calls per year to provide information or to respond to application forms requests. If two-thirds of these customer interactions, each requiring an average of 15 minutes of staff time, could be eliminated through online self-service, the potential staff time saved would be: (6,000 calls x 15 minutes = 1,500 hours, or 3/4 FTE). Using an average state staff labor rate of \$16 per hour, this improvement would have an estimated economic value of \$24,000 per year.

Reduced staff processing of deficient license/permit applications:

Paper license applications found to be deficient are returned to the applicant by U.S. Mail, along with written instructions on the deficiencies noted by SFM staff. It is not unusual for more than one such cycle to be completed before an applicant's forms are properly completed. If online application processing eliminated the need for 400 such corrective action cycles - each one involving approximately 30 minutes of SFM staff time, the estimated annual economic

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
<u>PUBLIC PROTECTION</u>				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0

value of this benefit would be: 400 events X 0.5 hours X \$16 per hour average SFM labor rate = \$3,200 per year.

Reduction of inefficiencies inherent in paper-intensive processes:

Gartner studies have determined that paper-intensive processes consume 30 percent more staff resources compared to those performed using a properly constructed information system. A 30 percent reduction in the labor hours for 7 FTE carrying out current SFM regulatory licensing and boiler inspection business processes has an estimated annual economic value of: 30 percent X 7 FTE X 2000 labor hours per year X \$16 per hour = \$67,200 (2.1 FTE).

Total value of tangible benefits: \$194,400 annually and 5 year total: \$972,000

Intangible Benefits

Increased timeliness of service delivery:

COPE Inspections: Modifying and automating annual fire safety inspections processes to concurrently perform Construction, Occupancy, Protection and Exposure (COPE) inspections on state-owned buildings valued between \$1 million and \$15 million will allow the State to provide more comprehensive underwriter information. By performing concurrent inspections, the insurance premiums on these buildings will be reduced by an estimated \$200,000 per year. However, solid savings estimates cannot be provide at this time, so this benefit is being categorized as intangible.

Public Internet self-service features will be increased and include access to essential information, license requirements, obtaining required forms, and checking status on pending applications. Today all of these important functions are provided through individual staff contact by phone.

Application processing time will decrease from an average of 60 days to less than 2 weeks.

Manual correspondence will decrease; reducing the cost of postage, paper, and handling.

Application extended processing time contributes to lost wages to potential applicants. Faster processing will allow applicants to go to work sooner and avoid wage loss.

Electronic exchange of information to the Boiler Program from the private companies employing Special Inspectors will provide:

- Shorter turn-around times in updating work performed by Special Inspectors.
- Faster invoicing of boiler owners.
- A decrease in the number of overlapping inspections allowing more effective use of the workforce.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
<u>PUBLIC PROTECTION</u>				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0

- Less manual keying in of reports freeing up time and reducing errors.
- Remote data entry for the Deputies Inspectors.

The following long term benefits may be realized: Two-way electronic exchanges of inspection information between the Boiler Program and the companies employing Special Inspectors would more accurately identify work needed to be performed by the Boiler Program Deputies and that required to be performed by the companies employing Special Inspectors. The remaining work should be truly uninsured locations where the Boiler Program deputies should perform inspections. Labor resource requirements can then be more accurately defined.

The costs of this single solution will be offset by efficiencies gained in processing time. Staff who are currently consumed with handling many manual and tedious processes will be repurposed within the Bureau to focus on more meaningful service delivery activities that will expedite and improve the quality of services provided to citizens. It will also provide the Bureau of Fire Prevention with one integrated solution that will improve communication, coordination and management over its four Sections.

ASSUMPTIONS AND CONTRAINTS:

We can identify no constraints that will limit or prohibit the implementation of this project. If funding is provided, the Bureau will be able to move forward without issue.

The Bureau has recently completed a thorough documentation and mapping of all business processes affected by this request, developed functional and technical requirements needed to evaluate and select a commercial packaged application and conducted a market survey that rendered budget quotes from vendors qualified to meet the requirements. Project management services will be the responsibility of the vendor.

It is assumed that the Bureau can complete RFP solicitations and project implementation in a single fiscal year. Given the deployment times provided by the vendors (varying from 3 to 9 months), the Bureau is confident that this is feasible.

IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS.

Creates

Currently, the four Sections of the Bureau of Fire Prevention use standalone systems, none of which meet the needs of the Sections. This solution creates a single, multifunction, browser-based application supporting all four sections of the Bureau of Fire Prevention.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
<u>PUBLIC PROTECTION</u>				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0

The Bureau of Fire Prevention will enter into a 5 year lease for a commercially available application and pay a provider to configure the system to the business processes and covert legacy data for use by the new system. In addition, an application server, web server and data server will be purchased to establish the system's operating environment. The system will be maintained by the Department of Financial Services Division of Information Systems.

REPLACES:

The Plans Review and Inspection System (PRIS)
 This is a licensed commercial application configured to support the business processes for the Plans Review and Building Inspection Sections. This application is hosted on the Division of Information Services equipment. Modifications are costly and made by the application owner on a time and materials basis.

The Focus System
 This is a licensed commercial application configured to support the business processes for the Boiler Inspection Section. This application is hosted on the Division of Information Services equipment Modifications are costly and made by the application owner on a time and materials basis. Currently, lack of automation of inspection records and short staffing has created a two to three month backlog. In addition, the application was developed in FoxPro, which is no longer supported.

The Regulatory Licensing System (RLS)
 This application was built in 1998 to support the Regulatory Licensing section. It is a custom-built application owned by the Department of Financial Services and supported by the Division of Information Services. The application was built using a now out-of-date version of PowerBuilder. The data is hosted in a now out-of-date version of Oracle. The application has never supported the business needs of this section and many manual time-consuming processes have never been automated.

IMPLEMENTATION APPROACH

Issue RFP/RFQ and evaluate vendor responses
 Plan and execute application configuration/development project
 Complete user acceptance testing
 Deploy, operate, update and maintain the application.

IMPLEMENTATION TIMELINE

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0

July 2009 - Issue RFP/RFQ and evaluate vendor responses
 Oct 2009 - Plan and execute application configuration/development project
 April 2010 - Complete user acceptance testing
 May 2010 - Deploy
 May 2010 - Operate, update and maintain the application

ESTIMATED COSTS

While the Bureau must initiate a Request-for-Proposal (RFP) to know the exact amount of funds needed to secure the final solution, a rigorous evaluation of available options was conducted during August 2008. The Bureau is aware of at least 4 solutions that meet requirements and can be procured for no more than \$700,000. The Bureau is choosing to break this procurement into a 5 year lease-to-purchase in order to reduce the annual financial impact during these financially constrained budget years.

During the analysis of available software options, the Bureau identified three vendors who offer their solution as a vendor hosted software solutions. Results of the RFP will determine if vendor hosting is the preferred solution for the Bureau Fire Prevention. Vendor hosting will reduce or eliminate the need for hardware, software and DIS support.

Quantity	Description	Recurring Amount					Non-Recurring FY 09-10
		FY 09-10	FY 10-11	FY 11-12	FY 12-13	FY 13-14	
1	Software Expense	\$ 50,700	\$ 50,700	\$ 50,700	\$ 50,700	\$ 50,700	
1	Contractor Services	\$ 69,300	\$ 81,800	\$ 81,800	\$ 81,800	\$ 81,800	
1	Hardware OCO						
	Application Server						\$ 20,000
	Web Server						\$ 20,000
	Data Server						\$ 10,000
TOTAL		\$120,000	\$132,500	\$132,500	\$132,500	\$132,500	\$ 50,000 NR

FY 09-10 total = \$170,000
 5 year total = \$700,000

COST IMPACT OF OVERALL OPERATIONS AND MAINTENANCE

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MULTI-FUNCTION WEB BASED				
APPLICATION FOR THE BUREAU OF FIRE				
PREVENTION				36341C0

Operations and maintenance charges for a lease purchase solution will be included in the annual lease payment of \$130 thousand per year. After the 5th year, operations and maintenance charges will decrease to approximately \$50 thousand per year.

Operations and maintenance charges for a hosted services solution (if selected) will be less -- \$37 thousand per year beginning in the second year.

Detail of Cost:

FY 09-10
 Category

Recurring Non-Recurring
 ----- -----

Expenses (040000):

Software Expense
 Insurance Regulatory Trust Fund (2393) (1)
 Total \$ 50,700 (Recurring thru FY 13-14)

Operating Capital Outlay (060000):

Application Server \$ 20,000
 Web Server \$ 20,000
 Data Server \$ 10,000
 Insurance Regulatory Trust Fund (2393) (1)
 Total \$ 50,000 \$50,000

Contracted Services (100777):

Insurance Regulatory Trust Fund (2393) (1)
 Total \$ 69,300 (Recurring \$81,300 thru FY 13-14)

 Issue Total \$170,000 \$50,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	70.50			
TRUST FUNDS.....	4,568,342	50,000		2000
SALARY RATE.....	2,724,020			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,147,578			
=====				
SALARIES AND BENEFITS				010000
	130.00			
INSURANCE REG TF -STATE	8,590,097			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	33,391			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE	1,707,720			2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	91,565			2393 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF -STATE	405,000			2393 1
=====				
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE	155,374			2393 1
=====				
ON-CALL FEES				102261
INSURANCE REG TF -STATE	250,000			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	144,174		2393 1
=====				
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF	-STATE	5,000		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	51,722		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		130.00		
TOTAL ISSUE.....		11,434,043		
TOTAL SALARY RATE.....		6,147,578		
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	21,001		2393 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				1001910
RATES - FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	2,643-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	105,005		2393 1
		=====		
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,643-		2393 1
		=====		
PROGRAM ISSUES				4000000
OVERTIME PAY DUE TO JUDICIAL RULING				
REQUIRING MORE TIME ON CRIME SCENES				4000900
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	197,000		2393 1
		=====		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Overtime Pay due to Judicial Ruling Requiring More Time on Crime Scene

Issue Description/Need:

The Bureau of Fire and Arson Investigations is requesting \$197,000 in recurring funds for overtime spending authority in order to provide line personnel and their supervisors with 5 hours of overtime per month. This amount factors in the annual on-call deficit, restoration of investigative time and backfill to negate the "lost" time spent on general training, and the amount of time spent in field training of new employees prior to being able to be assigned cases by themselves, to relieve the workload and negate the "lost" time spent on general training, EOD training, K-9 training, and specialty duties. By adding this amount of extra time to our personnel, it will at minimum, maintain the bureau's current performance standards.

The Bureau of Fire and Arson Investigations respond to Fire Department, Law Enforcement and citizen requests to conduct a highly technical and specialized science based investigation into the cause and origin of fires and explosions. Supreme Court Rulings and a higher standard of testifying in a court of law drive the nature of these investigations. This has

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
OVERTIME PAY DUE TO JUDICIAL RULING				
REQUIRING MORE TIME ON CRIME SCENES				4000900

caused more time consuming requirements on our investigators to expend more hours in training, preparing documentation, upholding the Incident Command Structure (ICS), conducting scientific tests, and complying with OSHA, ANSI and NFPA guidelines and rules.

Conducting an origin and cause investigation of a fire and/or explosion is increasingly complex and difficult. During the last few years of State government retrenchment, wherein the Bureau experienced the loss of 4 sworn and 2 non-sworn support positions, the Bureau's overall workload has not commensurately diminished. This extra workload has been created by an increased expectation of response caused by heightened awareness of post September 11, 2001 and additional laws and court decisions (case law) impacting the manner, scope, and detail of investigations. Reductions in support personnel have also added extra time to supervisory and line level employees making them responsible for longer times spent in the office doing case related paperwork, administrative paperwork, and responding to citizen, witness, and victim requests. While customer expectations have increased, the Bureau's ability to respond has been decreased. Other workloads have been placed upon investigative personnel through public and constituent expectations. Line investigators also function as Public Information Officers, Forensic Investigative Response Team members, Accelerant Detection K-9 Handlers, Hazardous Device Technicians, Computer Voice Stress Analyzers, and Field Training Officers.

The initial annual cost for this Agency to hire and equip 1 new Detective FTE would be over \$ 100,000. If just this amount were put into an Overtime Expenditure Category it would provide 10 Lieutenant and 40 detective positions with 5 hours of overtime per month for 1 year.

Additional detectives are needed to handle new responsibilities under 633.808 Florida Statutes, require the State Fire Marshal to investigate and report on firefighter injuries and deaths. Bureau assets have also become involved with assistance projects to aid the Citizens Property Insurance Corporation. Conservative estimates of leveling out this workload would include the addition of 8 Law Enforcement Detective II positions. This year the State of Florida (represented by the Department of Management Services) negotiated a new labor contract with the state's police union (IUPA) wherein, the state now has limited abilities to require the employee to adjust overtime hours downward or nullify excess work hours (those hours exceeding 160 in a 28 day period). Absent this funding, an unfunded overtime liability is likely.

Each year the State of Florida (represented by the Department of Management Services) negotiates a new labor contract with the state's police union (PBA) wherein the state now has limited abilities to require the employee to adjust overtime hours downward or nullify excess work hours (those hours exceeding 160 in a 28 day period). Absent this funding, an unfunded overtime liability is likely.

Even though we close an average of 4000 cases per year in the last 5 years, we also average 3700 open cases at any given point in time during these 5 years. The average Investigator earns between 10 to 15 hours (FLSA) a month. Many choose to take leave in lieu of overtime. By all the investigators taking this leave, this leaves a large gap in completing the case work.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
OVERTIME PAY DUE TO JUDICIAL RULING				
REQUIRING MORE TIME ON CRIME SCENES				4000900

The average turnover of manpower per year is approximately 10% and by subtracting the investigators with double duty such as EOD & K-9, means the real case average is over 65 cases per investigator. This is approximately 10 cases above the National average case load of 56 per investigator.

Ultimate Outcome:

Funding this issue will provide relief to factor in the annual on-call deficit, restoration of investigative time and backfill to negate the "lost" time spent on general training, EOD training, K-9 training, specialty duties, etc.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
Salaries and Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$197,000	\$ 0
-----	-----	-----
Issue Total	\$197,000	\$ 0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							197,000

							197,000
							=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
PROGRAM ISSUES				4000000
FIRE MARSHAL - STRUCTURED PAY				
COMPRESSION FOR RETENTION				4001A20
SALARY RATE				000000
SALARY RATE.....	334,923			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	334,923			2393 1
	=====	=====	=====	
TOTAL: FIRE MARSHAL - STRUCTURED PAY				4001A20
COMPRESSION FOR RETENTION				
TOTAL ISSUE.....	334,923			
TOTAL SALARY RATE.....	334,923			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Fire Marshal - Structured Pay Compression for Retention

Issue Description/Need:

The Bureau of Fire and Arson is requesting \$334,923 in salaries and benefits for 101 Law Enforcement Officers. These funds will be used to provide salary increases for the retention of these officers. The Bureau of Fire and Arson Investigations of the Division of State Fire Marshal, by Statute, is charged to effectively prevent, discourage, investigate, and prosecute arson and arson-related crimes for the protection of Florida citizens and their property. Through the state's Mutual Aid Act, the Bureau assists local, county, state, and federal law enforcement agencies with natural and man made disasters and most recently domestic security issues.

The mission of the Bureau is a highly specialized area of Law Enforcement investigation which requires investigative experience and a high level of scientific knowledge and ability. The work is difficult and exposes the Bureaus sworn personnel to life and health endangering environments on a daily basis. Maintaining a viable workforce to handle calls for service as well as responding to requests for assistance by local fire and law enforcement agencies is a priority for the Bureau. The Bureau consists of 105 sworn law enforcement officers. The loss of 10 positions in a fiscal year represents the loss of just under 10% of the experience. The Bureau has been conducting back-to-back advertisements and vacancy announcements to keep our vacancies to a minimum for the past several years; however, losses of veteran officers have negated much of the impact of our aggressive hiring effort. These trained and experienced officers are not leaving because of dissatisfaction with the Bureau, but rather are receiving higher salaries with municipal, county, federal law enforcement agencies and private fire and explosion investigation entities. In fact, many of these agencies are actively recruiting the Bureau's trained and experienced officers.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
FIRE MARSHAL - STRUCTURED PAY				
COMPRESSION FOR RETENTION				4001A20

The majority of the exit interviews conducted when officers resign clearly indicate the reason for leaving as a need for higher pay. It is not uncommon for officers with five or more years of service to leave for immediate annual raises of \$5,000 or more. The trend in increasing salaries by outside law enforcement agencies and civilian agencies within the state indicates that there will likely be an increase in the number of officers leaving the Bureau. In addition, the Bureau staffs its regional operations by assigning detectives to the county represented by customer demands for investigations. This requires detectives to reside in the county of their assignment.

Significant salary inequities exist when comparing recently employed officer's salaries (1- 4 years) with those of veteran officers (5+ years). Many officers who have years of experience with the Division (up to 10 years) are paid the same amount as officers just hired. Adequate compensation for years of experience is necessary in any profession, especially law enforcement. Due to our Bureau's diverse and complex role, the need to retain experienced enforcement officers is critical, because it takes several years to reach a level of maximum proficiency. Competing agencies seek and recruit our officers often just as they reach optimum performance levels. The bureau has become a training ground for many local, federal and civilian agencies.

Departures by Calendar Year

YEAR	# SEPERATED	YEARS OF SERVICE
2003	7	0-10
	1	11-20
2004	3	0-10
2005	5	0-10
2006	5	0-10
	1	11-20
2007	2	0-10
2008	2	1-10
TOTAL	26	

To help resolve the exodus of officers to other law enforcement agencies for higher paying jobs, the following retention salary program for the Bureau's sworn employees is proposed:

Law Enforcement Differentials

Years of Service	Detective	Lieutenant	Captain
0-4 Yrs	\$0	\$4,799	\$6,796
5-9 Yrs	\$4,268	\$4,799	\$6,796
10-14 Yrs	\$4,268	\$4,799	\$6,796
15-19 Yrs	\$4,268	\$4,799	\$6,796
20-24 Yrs	\$4,268	\$4,799	\$6,796

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
FIRE MARSHAL - STRUCTURED PAY				
COMPRESSION FOR RETENTION				4001A20
25-29 Yrs		\$4,268	\$4,799	\$6,796

The recruitment and retention salary program will result in the application of an adjustment to the salaries for all sworn employees with five or more years of service. The proposal implements a recruitment and retention salary program based on equal divisions between minimum and maximum salary for each class. These divisions are also based on time served with the agency from 1 year to 30 years. Upon implementation of this plan, current sworn personnel will receive a salary adjustment commensurate with their years of service to put them in line with the appropriate program class. Future state employee raises will adjust the minimum and maximum class ranges and the program class will adjust themselves. Under this proposal, sworn personnel will receive the appropriate salary adjustments upon attainment of the threshold years of service stated in the plan (5, 10, 15, 20, 25 & 30 years).

This plan establishes a fair and equitable salary retention program for trained and experienced employees. The program rewards sworn employees for continued performance for the State of Florida and the Bureau. This will help to reduce the exodus of trained and experienced officers to other agencies, as well as opportunities in the private sector and gives a new employee a projected income throughout a career.

EXPERIENCE LEVELS OF BFAI

Years of Service	# LE	% LE	Dollars	Required per Level
0-4 Yrs	48	46%		\$47,981
5-9 Yrs	24	23%		\$84,974
10-14 Yrs	18	17%		\$104,481
15-19 Yrs	10	10%		\$86,100
20-24 Yrs	3	3%		\$9,579
25-29 Yrs	2	2%		\$1,809
30 + Yrs	0	0%		\$0
Total	105	100%		\$334,923

Ultimate Outcome:

The retention of experienced offices would improve the agency's ability to effectively prevent, discourage, investigate, and prosecute arson and arson-related crimes for the protection of Florida citizens and their property. It is also anticipated that there would be a corresponding reduction in initial training costs because of a higher retention rate.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
FIRE MARSHAL - STRUCTURED PAY				
COMPRESSION FOR RETENTION				4001A20

Detail of Costs:

	Amount	Non-Recurring
	-----	-----
Salaries and Benefits (010000)		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$334,923	\$ 0
	=====	=====
Total	\$334,923	
Issue Total	\$334,923	\$ 0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
	-----	-----	-----	-----	-----	-----	-----
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C3000 001	0.00	334,923			334,923	0.00	334,923
	-----	-----	-----	-----	-----	-----	-----
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							334,923
	-----	-----	-----	-----	-----	-----	-----
	0.00	334,923			334,923		334,923
	=====	=====	=====	=====	=====	=====	=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	130.00			
TRUST FUNDS.....	12,086,686			2000
SALARY RATE.....	6,482,501			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,191,096			
=====				
SALARIES AND BENEFITS				010000
	31.00			
INSURANCE REG TF -STATE	1,679,955			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	250,000			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE	655,487			2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	23,294			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE	140,101			2393 1
=====				
DOMESTIC SECURITY				100851
INSURANCE REG TF -STATE	400,000			2393 1
=====				
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF -STATE	17,500			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		15,745		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	31.00			
TOTAL ISSUE.....		3,182,082		
TOTAL SALARY RATE.....	1,191,096			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		5,758		2393 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		620-		2393 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS AND				
SALARIES AND BENEFITS				160F020
SALARY RATE				000000
SALARY RATE.....	36,825			
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	1.00	56,956		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS AND				
SALARIES AND BENEFITS				160F020
EXPENSES				040000
INSURANCE REG TF	-STATE	6,000		2393 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	401		2393 1
=====				
TOTAL: TRANSFER OF POSITIONS AND				160F020
SALARIES AND BENEFITS				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....		63,357		
TOTAL SALARY RATE.....	36,825			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests re-approval of fiscal year 2008-2009 agency budget amendment number 09-04, EOG Q0024, requested pursuant to the statutory authority granted under section 216.292(2)(b)1 and 2 and approved August, 2008. This issue requests the transfer of one position and associated budget within the State Fire Marshal program.

Specifically, this issue requests transfer of one position from the Fire Marshal - Compliance and Enforcement budget entity to the Fire Marshal - Professional Training and Standards budget entity within the Insurance Regulatory Trust Fund. This request should be effective as of July 1, 2009.

This issue is linked to issue 160F010 which reflects the deduction of the position and funding to the Compliance and Enforcement budget entity.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
TRANSFER OF POSITIONS AND				
SALARIES AND BENEFITS				160F020

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2224 SENIOR MANAGEMENT ANALYST I - SES							
01628 001	1.00	36,825		20,131	56,956	0.00	56,956
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							56,956
	1.00	36,825		20,131	56,956		56,956

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS					26A0000
STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - 10 MONTHS					26A1800
ANNUALIZATION SALARIES AND BENEFITS					010000
INSURANCE REG TF	-STATE	28,790			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				<u>1202.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
	-STATE	620-		
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
		32.00		
TRUST FUNDS.....		3,278,747		2000
SALARY RATE.....		1,227,921		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	908,852			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	21.00			
-STATE	1,273,788			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	9,102			
-STATE				2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	414,860			
-STATE				2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	12,000			
-STATE				2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	128,909			
-STATE				2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	171,084			
-STATE				2393 1
=====				
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF	7,500			
-STATE				2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		8,972		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	21.00			
TOTAL ISSUE.....		2,026,215		
TOTAL SALARY RATE.....	908,852			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		3,200		2393 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		551-		2393 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		16,000		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF		-STATE	551-	2393 1
PROGRAM ISSUES				4000000
SALARY PARITY WITH FLORIDA				
DEPARTMENT OF LAW ENFORCEMENT LAB				
SCIENTISTS				4001A30
SALARY RATE				000000
SALARY RATE.....	45,871			
SALARIES AND BENEFITS				010000
INSURANCE REG TF		-STATE	45,871	2393 1
TOTAL: SALARY PARITY WITH FLORIDA				4001A30
DEPARTMENT OF LAW ENFORCEMENT LAB				
SCIENTISTS				
TOTAL ISSUE.....	45,871			
TOTAL SALARY RATE.....	45,871			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Salary Parity with Florida Department of Law Enforcement Lab Scientists

Issue Description/Need:

The salaries of seven Bureau Analysts and Senior Analysts, in the Bureau of Forensic Fire and Explosives Analysis, lag behind the average salaries of their counterparts at Florida Department of Law Enforcement (FDLE) (with similar positions and time in service). The calculations are based on the responses to surveys received from FDLE Regional Operations Centers in Tallahassee and Pensacola in 2003 and 2005. This disparity leads to morale issues which have the potential to degenerate into loss of analytical personnel. Any loss of analytical personnel will not be easily replaced. New analysts will require a minimum of six months to one year training before being allowed to work forensic cases/samples. For the Analysts in the chemistry section (4 total), the loss of one will require the remaining three analysts to cover the workload and also provide training to the replacement. This will reduce the quality and timeliness of completion of

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
SALARY PARITY WITH FLORIDA				
DEPARTMENT OF LAW ENFORCEMENT LAB				
SCIENTISTS				4001A30

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							45,871
	0.00	45,871			45,871		45,871

TOTAL: LAW ENFORCEMENT							<u>1202.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	21.00	2,090,184					2000
SALARY RATE.....	954,723						

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	3,743,804			
=====				
SALARIES AND BENEFITS				010000
	101.00			
STATE RISK MGMT TF	-STATE	5,116,431		2078 1
=====				
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF	-STATE	105,000		2078 1
=====				
EXPENSES				040000
STATE RISK MGMT TF	-STATE	934,863		2078 1
=====				
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF	-STATE	1,805		2078 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	-STATE	271,970		2078 1
=====				
EXCESS INSUR. & CLAIM SER				101221
STATE RISK MGMT TF	-STATE	10,871,000		2078 1
=====				
RISK MANAGEMENT INSURANCE				103241
STATE RISK MGMT TF	-STATE	85,600		2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE	40,725		2078 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	101.00			
TOTAL ISSUE.....	17,427,394			
TOTAL SALARY RATE.....	3,743,804			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	16,476		2078 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	2,084-		2078 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	82,380		2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	2,084-		2078 1
=====				
WORKLOAD				3000000
PURCHASE EXCESS PROPERTY INSURANCE,				
WORKERS' COMPENSATION ASSESSMENT,				
BROKER FEES TO ACCOMMODATE ANNUAL				
SPENDING LEVELS				3001220
SPECIAL CATEGORIES				100000
EXCESS INSUR. & CLAIM SER				101221
STATE RISK MGMT TF	-STATE	750,000		2078 1
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Increase of Excess Insurance & Claim Service Category to Accommodate Annual Spending Levels

Reference to Long-Range Program Plan:

This issue will help the Department in meeting its LRPP Goal 1, "The Department will be a vigilant servant of the state's and its people's resources" by providing the recurring spending authority needed in the Excess Insurance & Claim Service special category to purchase excess property insurance for the state's \$17 billion of state-owned property, pay assessments to the Division of Workers' Compensation for the state self-insured workers' compensation program, pay annual broker fees to purchase excess property insurance and provide risk management consultative services, and to pay the annual operating costs for the Department's risk management information system.

Issue Description/Need:

A \$750,000 increase in recurring spending authority in the Excess Insurance & Claim Service category is needed in order for the Department to purchase excess property insurance, pay assessments to the Division of Workers' Compensation for the state's self-insured workers' compensation program, pay annual broker fees to purchase excess property insurance and to pay the annual operating costs for the Department's risk management information system. The spending authority for this category was reduced in Fiscal Year (FY) 2005-2006 and LBC amendments have been required for two of the last three fiscal years, with a non-LBC transfer made in FY 2007-2008. The requested increase in spending authority will allow the Department to purchase the above items without seeking an LBC amendment or non-LBC transfer.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
PURCHASE EXCESS PROPERTY INSURANCE, WORKERS' COMPENSATION ASSESSMENT, BROKER FEES TO ACCOMMODATE ANNUAL SPENDING LEVELS				3001220

Ultimate Outcome:

If this issue is funded, the Department will be able to purchase excess property insurance for the state's \$17 billion of state-owned property, pay assessments to the Division of Workers' Compensation for the state self-insured workers' compensation program, pay annual broker fees to purchase excess property insurance and provide risk management consultative services, and to pay the annual operating costs for the Department's risk management information system. If this issue is not funded, the Department may be forced to purchase less excess property insurance in FY 2009-2010 than what is considered appropriate due to the current spending authority provided. In addition, if this issue is not funded, the Department will not be able to request additional authority through a budget amendment in FY 09-10, which will increase the state's risk exposure to catastrophic losses for the state's \$17 billion of state-owned property.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Special Categories:		
Excess Insurance & Claim Service (101221):		
Casualty Risk Management Trust Fund (2078) (1)		
Total	\$750,000	\$ 0
-----	-----	-----
Issue Total	\$750,000	\$ 0

PROFESSIONAL STAFF TO REDUCE EMPLOYMENT DISCRIMINATION COSTS				3008A10
SALARY RATE				000000
SALARY RATE.....	36,469			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
	1.00			
STATE RISK MGMT TF	-STATE	51,309		2078 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
PROFESSIONAL STAFF TO REDUCE				
EMPLOYMENT DISCRIMINATION COSTS				3008A10
EXPENSES				040000
STATE RISK MGMT TF	-STATE	10,112	3,412	2078 1
=====				
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF	-STATE	1,000	1,000	2078 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE	401		2078 1
=====				
TOTAL: PROFESSIONAL STAFF TO REDUCE				3008A10
EMPLOYMENT DISCRIMINATION COSTS				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....		62,822	4,412	
TOTAL SALARY RATE.....	36,469			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Professional Staff to Reduce Employment Discrimination Litigation Costs

Reference to Long-Range Program Plan:

This issue will help the Department in meeting its LRPP Goal 1, "The Department will be a vigilant steward of the state's and its people's resources" by providing an additional Risk Management Program Specialist to adjust approximately 100 employment discrimination claims per year and settling those claims before they are litigated, resulting in an estimated net cost saving of approximately \$450,000 per year.

Issue Description/Need:

One Risk Management Program Specialist is needed in the Bureau of State Liability Claims, Division of Risk Management to handle approximately 100 additional employment discrimination claims filed with the Equal Employment Opportunity Commission (EEOC) and the Florida Commission on Human Relations (FCHR) as a prerequisite before the claimant can sue in court. The Department expects to receive additional claims as the recommendations of a recent Inspector General's

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
PROFESSIONAL STAFF TO REDUCE				
EMPLOYMENT DISCRIMINATION COSTS				3008A10

internal audit report are implemented. The Inspector General recommended that the Department reduce the number of employment discrimination claims that are litigated by obtaining notification of those claims filed with EEOC and FCHR earlier and taking steps to settle those claims before litigation begins. The Division estimates that this process will result in about 100 new additional claims being filed per year, and the Department's Inspector General's office estimates that settling these claims before litigation begins will save about \$500,000 per year. This will result in a net savings of about \$450,000 per year on a recurring basis. Funds for this issue will be used to hire an additional Risk Management Program Specialist.

Ultimate Outcome:

If this issue is funded, the Department will be able to save an estimated \$450,000 per year in litigation costs paid from the State Risk Management Trust Fund. If this issue is not funded, this program will continue to pay an estimated \$500,000 per year in litigation costs that could have been avoided by more proactively settling employment discrimination claims before they are litigated.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Risk Management Program Spec	3545	022	1	36,469	51,309	10,112	1,000	401	62,822

CATEGORY	Amount	Non-Recurring
Salaries and Benefits (010000):		
Casualty Risk Management Trust Fund (2078) (1)		
Total	\$51,309	\$ 0
Expenses (040000):		
1 Standard Assessment Package		
Casualty Risk Management Trust Fund (2078) (1)		
Total	\$10,112	\$ 3,412
Operating Capital Outlay (060000):		
1 Standard OCO Packages		
Casualty Risk Management Trust Fund (2078) (1)		
Total	\$ 1,000	\$ 1,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
PROFESSIONAL STAFF TO REDUCE				
EMPLOYMENT DISCRIMINATION COSTS				3008A10

Special Categories:

Human Resources Services (107040):
 Casualty Risk Management Trust Fund (2078) (1)
 Total

\$ 401 \$ 0

Issue Total

\$62,822 \$ 4,412

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
3545 RISK MANAGEMENT PROGRAM SPECIALIST							
N1200 001	1.00	36,469		14,840	51,309	0.00	51,309
TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							51,309
	1.00	36,469		14,840	51,309		51,309

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
PROACTIVE RISK MANAGEMENT PROGRAM				
TO REDUCE CASUALTY LOSS PAYMENTS				4000A20
SALARY RATE				000000
SALARY RATE.....	161,779			
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	4.00			
-STATE	229,801			2078 1
EXPENSES				040000
STATE RISK MGMT TF	85,128	33,648		2078 1
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF	20,800	20,800		2078 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	150,000			2078 1
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	1,604			2078 1
TOTAL: PROACTIVE RISK MANAGEMENT PROGRAM				4000A20
TO REDUCE CASUALTY LOSS PAYMENTS				
TOTAL POSITIONS.....	4.00			
TOTAL ISSUE.....	487,333	54,448		
TOTAL SALARY RATE.....	161,779			

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Proactive Risk Management Program to Reduce Casualty Loss Payments

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
PROGRAM ISSUES						4000000
PROACTIVE RISK MANAGEMENT PROGRAM						
TO REDUCE CASUALTY LOSS PAYMENTS						4000A20

Reference to Long-Range Program Plan:

This issue will help the Department in meeting its LRPP Goal 5, "Our customers will receive timely, helpful and accurate information upon which they can act to protect themselves and their assets" by providing subject matter experts in loss control techniques to analyze loss data and recommend loss mitigation and risk control strategies to agency and university risk management programs to reduce the frequency and cost of their claims.

Issue Description/Need:

This issue, with a 5% minimum reduction in the annual casualty loss payments made by the state, would result in a savings of approximately \$7,350,000. Currently the state spends approximately \$147,000,000 for casualty loss payments. A 5% reduction in this amount is equal to \$7,350,000 (\$5,218,500 or 71% of this amount is general revenue, \$2,131,500 is trust funded.). The cost of this program would be \$487,333. The net saving would be approximately \$6,862,667 (\$4,872,494 in General Revenue).

Research indicates that developing strong safety cultures and implementing sound risk control programs have the greatest impact on reducing claim costs. Snap-On Tools introduced a safety program in 2005 that reduced workers' compensation claims by 47% after 2 years of operations. DHL introduced a safety program that led to a \$1.1 million reduction in its workers' compensation premium and reduced its risk rating for workers' compensation costs to 42% below the industry average. ServiceMaster launched a multifaceted loss control and safety initiative that has resulted in a 41% decrease in their total cost of risk since 2003, a one-third decrease in the number of work-related claims and a 77% decrease in the number of lost time claims. Walt Disney's loss control and safety program has resulted in a 60% reduction in OSHA frequency rates from 2002 to 2007, with nearly a 50% reduction in lost time claims. While the reduction in claims and costs experienced by the state risk management program may not be as dramatic as experienced by these private corporations, in part because the state loss history already compares favorably to other public and private employers, reductions should result from these enhanced efforts at assisting agencies with their programs and holding them more accountable by auditing their programs.

The Division of Risk Management will need to reorganize the Bureau of Property, Financial and Risk Services by adding data analysis staff consisting of an Economic Analyst (\$43,508/year) and also adding staff that will conduct audits of agency risk management programs, consisting of a Management Review Specialist (\$40,449/year) and two (2) Insurance Administrators- Loss Prevention (\$38,661/year each). This reorganization will provide the Division with the skill sets and personnel needed to analyze agency loss data, recommend risk management strategies to reduce claims and claim costs, and audit and monitor agency risk management programs. Spending authority for this issue will be used to add the four (4) positions mentioned above. An additional \$1,800 (\$600 per position for a total of \$1,600 per position) of OCO spending authority is requested to purchase laptop computers for the three (3) audit staff requested and an additional \$24,860 of expense category spending authority is requested to provide funds for the audit staff to travel an estimated 20 weeks per year at an estimated average of \$1,234 per week.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
PROACTIVE RISK MANAGEMENT PROGRAM				
TO REDUCE CASUALTY LOSS PAYMENTS				4000A20

As the Department expands its role in providing more proactive risk management services to state agencies and universities, it will need to supplement its staff with subject matter experts in loss control techniques. The Department's current Broker of Record contract allows for 96 hours per year of loss control consulting being included in our base broker fee, with each additional hour billed at \$250 per hour. We estimate that we need an additional 400 hours per year as we expand our loss prevention and consultative services to targeted state agencies and universities who are experiencing high claim costs. Research indicates that developing strong safety cultures and implementing sound risk control programs have the greatest impact on reducing claim costs. Spending authority for this issue will be used to pay for an additional 400 hours of loss control consultative services at \$250 per hour.

A statewide web-based safety training system is needed in order to avoid duplications of effort among state agencies and universities and to standardize safety training for state employees. The People First personnel system was originally going to offer such a system, but it has not been released. Funds will be used to purchase the software, hardware and 200 hours of consultative services at \$250 per hour to develop and provide web-based safety training to state employees and agency safety coordinators. Training modules will be developed on both generic and specialized safety topics based on needs identified through risk exposure and loss analysis. Users will be able to sign into the system, train on a topic, take an exam and receive credit for the training. The system will maintain scores and forward the scores to the employee's agency for training credit, and the Department can monitor training levels to compare training levels against agency loss data. This system will be maintained by the Department's Division of Information Systems and Division of Risk Management.

Ultimate Outcome:

Most state agencies and universities have either limited staff or no staff with the technical skills needed to address problem areas in their operations that are creating higher incidents of claims. If this issue is funded, the department will be able to provide state agencies and universities with the expert technical assistance they need to evaluate their risk exposures, analyze their casualty claims, create and select mitigation strategies to reduce or eliminate their risk exposures, implement their selected mitigation strategy and monitor the results of their selected mitigation strategy, specifically tailored to address high claim problem areas. While the reduction in claims and costs experienced by the "high cost" state agencies may not be as dramatic as experienced by the private corporations mentioned previously, in part because the state loss history already compares favorably to other public and private employers, cost reductions should result from these enhanced efforts at assisting agencies with their programs by providing this consulting service. The state spent approximately \$147 million in casualty loss payments during FY2007-2008- even a 5% reduction in these costs would equate to a \$7,350,000 reduction in payments made by our program, which is 71% funded by General Revenue for FY2008-2009 (A savings of approximately \$5,218,500 in general revenue.). If this request is not funded, state agencies and universities will not have the level of technical assistance they need to effectively manage their risks, and the state will continue to incur claim costs that could potentially be avoided or reduced.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
PROACTIVE RISK MANAGEMENT PROGRAM				
TO REDUCE CASUALTY LOSS PAYMENTS				4000A20

Detail of Costs:

CLASS TITLE	CC	PG	FTE	RATE	ANNUAL SALARIES	ANNUAL EXPENSES	OCO	HR SERVICES	FY 2009-10 TOTAL
Economic Analyst	3215	425	1	43,508	61,063	10,112	1,000	401	72,576
Management Review Specialist	2239	424	1	40,949	58,045	10,112	1,000	401	69,558
Ins Admin Loss Prevention	3525	423	2	77,322	110,693	20,224	2,000	802	133,719
Total			4	161,779	229,801	40,448	4,000	1,604	275,853
Category				Amount		Non-Recurring			

Salaries and Benefits (010000):

Casualty Risk Management Trust Fund (2078) (1)		
Total	\$229,801	\$ 0

Expenses (040000):

Casualty Risk Management Trust Fund (2078) (1)		
4 Standard Expense Packages	\$ 40,448	\$13,648
Additional Travel Expenses	\$ 24,680	\$ 0
Safety Training Software	\$ 20,000	\$20,000
Total	\$ 85,128	\$33,648

Operating Capital Outlay (060000):

Casualty Risk Management Trust Fund (2078) (1)		
4 Standard OCO Packages	\$ 4,000	\$ 4,000
3 Laptops for auditors (1,600)	\$ 1,800	\$ 1,800
Servers and related hardware	\$ 15,000	\$15,000
Total	\$ 20,800	\$20,800

Special Category

Contracted Services (100777):		
Casualty Risk Management Trust Fund (2078) (1)		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
PROACTIVE RISK MANAGEMENT PROGRAM				
TO REDUCE CASUALTY LOSS PAYMENTS				4000A20
600 hours for loss control consultant		\$150,000	\$ 0	
Human Resource Services (107040):				
Casualty Risk Management Trust Fund (2078) (1)				
Total		\$ 1,604	\$ 0	

Issue Total		\$487,333	\$54,448	

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
2239 MANAGEMENT REVIEW SPECIALIST - SES							
N1021 001	1.00	40,949		17,096	58,045	0.00	58,045
3215 ECONOMIC ANALYST - SES							
N1020 001	1.00	43,508		17,555	61,063	0.00	61,063
3525 INSURANCE ADMIN-LOSS PREVENTION-SES							
N1022 001	2.00	77,322		33,371	110,693	0.00	110,693

TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							229,801
	4.00	161,779		68,022	229,801		229,801
							=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
ENSURE CORRECT NATIONAL COUNCIL ON				
COMPENSATION INSURANCE JOB RISK				
CLASSIFICATION FOR STATE EMPLOYEES				4000030
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	-STATE	62,500	62,500	2078 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Ensure that State Positions are Properly Assigned NCCI Job Risk Classifications

Reference to Long-Range Program Plan:

This issue will help the Department in meeting its LRPP Goal 5, "Our customers will receive timely, helpful and accurate information upon which they can act to protect themselves and their assets" by providing better risk exposure information to state agencies and universities.

Issue Description/Need:

The Department needs to ensure that state position classifications are properly assigned National Council on Compensation Insurance (NCCI) job risk classifications. The State's position classifications are not currently assigned NCCI job risk classifications, which involves reviewing each job description in state government and assigning the proper code to that position depending on the degree of risk of injury involved in performing those job duties. To our knowledge such a comprehensive review has never been conducted. Therefore, agencies cannot accurately gauge the risk levels associated with their staff and the Division of Risk Management cannot accurately assess premiums to state agencies based on their risk exposures. Currently, the Division assesses workplace risk of injury equally to all positions within state government; an office clerk has the same weight in premium calculations as a correctional officer or highway patrolman, so agencies with relatively low risk staff positions pay the same amount for their risk exposure component of their premium assessment as paid by agencies with high risk staff positions. Also, it is not possible to correlate an agency's workers' compensation losses with the risk levels of the positions incurring those losses, so it is more difficult to determine if the types and frequencies of the incurred losses are appropriate for the positions incurring those losses. Spending authority for this issue will be used to hire a consultant at \$250 per hour for 250 hours to assign NCCI job risk classifications to state position classifications.

Ultimate Outcome:

If this issue is funded, state agencies will have better workers' compensation loss information to use in assessing the effectiveness of their risk management programs. The Department will be able to more accurately assess premiums to agencies, and also will be able to compare our workers' compensation loss data against state and national loss data based

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
PROGRAM ISSUES				4000000
ENSURE CORRECT NATIONAL COUNCIL ON				
COMPENSATION INSURANCE JOB RISK				
CLASSIFICATION FOR STATE EMPLOYEES				4000030

on workplace risk codes compiled by NCCI, which will allow the Department to better direct loss mitigation resources to those high claims cost agency operations that are most in need of assistance. In FY2007-2008, \$102,733,413 was spent on workers' compensation claims- a 5% reduction in claims would equate to a \$5.1 million reduction in claim costs that this program, which is 71% General Revenue funded, has to pay. If this issue is not funded, state agencies and the Department will not have a valuable and effective tool to analyze workers' compensation losses by the actual risk of injury assigned to the work a state employee is performing, and the effectiveness of risk management programs based on true workplace risk, and the Department will also not be able to assess workers' compensation premiums according to the state agencies' true risk exposures.

Detail of Costs:	Amount	Non-Recurring
	-----	-----
Contracted Services (100777):		
Casualty Risk Management Trust Fund (2078) (1)		
250 hours at \$250 for Loss control consultant	\$62,500	\$62,500
-----	-----	-----
Issue Total	\$62,500	\$62,500

TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	106.00			
TRUST FUNDS.....	18,884,737	121,360		2000
SALARY RATE.....	3,942,052			
=====	=====	=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	512,685			
=====				
SALARIES AND BENEFITS				010000
	9.00			
INSURANCE REG TF -STATE	830,233			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	45,691			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE	112,031			2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	1,120			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE	258,352			2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE	2,837			2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	3,567			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....		1,253,831		
TOTAL SALARY RATE.....		512,685		
	=====	=====	=====	
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,838		2393 1
	=====	=====	=====	
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	398-		2393 1
	=====	=====	=====	
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	9,190		2393 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		398-		2393 1
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	9.00			
TRUST FUNDS.....		1,264,063		2000
SALARY RATE.....	512,685			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,134,411			
=====		=====		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	166.00			
-STATE	7,980,900			2393 1
=====		=====		
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF		103,938		
-STATE				2393 1
=====		=====		
EXPENSES				040000
INSURANCE REG TF		1,410,324		
-STATE				2393 1
=====		=====		
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF		2,500		
-STATE				2393 1
=====		=====		
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF		46,750		
-STATE				2393 1
=====		=====		
CONTRACTED SERVICES				100777
INSURANCE REG TF		3,478,011		
-STATE				2393 1
=====		=====		
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF		72,682		
-STATE				2393 1
=====		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		66,016		2393 1
TR/EOG/TITLE/INS/ADV/CNCL				108625
INSURANCE REG TF -STATE		121,002		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	166.00			
TOTAL ISSUE.....		13,282,123		
TOTAL SALARY RATE.....	6,134,411			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF -STATE		25,125		2393 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		3,201-		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
NONRECURRING EXPENDITURES				2100000
TRANSFER FUNDS TO EXECUTIVE OFFICE				
OF THE GOVERNOR FOR TITLE INSURANCE				
ADVISORY COUNCIL - HB937				2103089
SPECIAL CATEGORIES				100000
TR/EOG/TITLE/INS/ADV/CNCL				108625
INSURANCE REG TF	-STATE	121,002-		2393 1
=====				
EQUIPMENT NEEDS				2400000
REPLACEMENT OF MOTOR VEHICLES				2401500
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	90,000	90,000	2393 1
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Replacement Vehicles for Insurance Investigators

Reference to Long-Range Program Plan: Enforcement-Goal 3. In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public.

Adequate vehicles are necessary to complete timely investigative work.

Issue Description/Need:

During fiscal years (FY) 1994-95 and 1995-96, the Bureau of Investigation, within the Division of Agent and Agency Services, was appropriated sufficient funds to establish a fleet of new vehicles. Previously, the Bureau's investigators were driving either their personal vehicles or the surplus vehicles handed down from the Fire Marshal's office. During fiscal years 1998-2000, no funds were appropriated for the purchase of vehicles. In FY 2001-02, the Bureau, received a recurring appropriation to purchase 3 cars a year to replace high mileage or unsafe vehicles. Currently, 32 vehicles are in the Bureau's fleet. Twelve (12) are older than ten years (6 are 1995s, 5 are 1996s and 1-1997). These vehicles are showing their age mechanically and need to be replaced at a faster rate than three (3) per year. They should be replaced with smaller and less expensive vehicles, both in the way of purchase price and operational costs (the Bureau spent almost \$19,000 in fuel during FY 07-08). To allow for the replacement of the older vehicles at a faster rate, the Bureau is requesting sufficient funding to purchase six (6) new fuel efficient vehicles during FY 09-10.

The vehicles purchased this year cost \$12,900 per vehicle. The Bureau has been informed that, due to the high demand, the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF MOTOR VEHICLES				2401500

contract price for next year will be higher. At the present time, this amount is not known. This request is figured on the purchase of six (6) vehicles at a price of \$15,000 each.

Ultimate Outcome:

The Bureau will be able to maintain an economical, safe and mechanically sound fleet of vehicles. Consumers will benefit by investigators having ready access to quality vehicles without interruption or delay. An adequate vehicle fleet assists in the timely completion of investigative assignments by allowing prompt meetings with consumers, the taking of witness statements, gathering of evidence and the completion of insurance agency examinations. In addition, a fuel saving should occur due to the purchase of newer more fuel efficient vehicles. Issue Title: Replacement Vehicles for Insurance Investigators

Reference to Long-Range Program Plan: Enforcement-Goal 3. In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public.

Adequate vehicles are necessary to complete timely investigative work.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Special Categories:		
Motor Vehicle Acquisition (100021):		
6 Replacement vehicles		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$90,000	\$90,000
-----	-----	-----
Issue Total	\$90,000	\$90,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	125,625		2393 1
=====				
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,201-		2393 1
=====				
WORKLOAD				3000000
POSITION UPGRADES AND SALARY				
ADJUSTMENTS FOR PARITY AND				
RETENTION OF INVESTIGATORS				3008A20
SALARY RATE				000000
SALARY RATE.....	380,018			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	380,018		2393 1
=====				
TOTAL: POSITION UPGRADES AND SALARY				3008A20
ADJUSTMENTS FOR PARITY AND				
RETENTION OF INVESTIGATORS				
TOTAL ISSUE.....	380,018			
TOTAL SALARY RATE.....	380,018			
=====				

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Position Upgrades and Salary Adjustments for Parity and Retention of Investigators

Reference to Long-Range Program Plan: Enforcement-Goal 3. In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
POSITION UPGRADES AND SALARY				
ADJUSTMENTS FOR PARITY AND				
RETENTION OF INVESTIGATORS				3008A20

To best protect consumers from harm, top quality investigators must be hired and retained.

Issue Description/Need:

This issue requests an additional \$380,018 in rate and salaries and benefits to provide adequate compensation commensurate for the level of work performed in order to recruit and retain knowledgeable and experienced investigators for the Bureau of Investigation (BOI). This issue will upgrade Insurance Analyst II and Financial Examiner/Analyst I positions to Government Analyst I positions and provide a pay increase of 3% on base salary (minimum of pay grade) for currently filled positions (\$373,154). It will also provide a 3% increase on base salary for currently filled Government Analyst I positions (\$6,864).

During the past 5 years, the bureau has been unable to recruit and retain qualified investigators due to inadequate starting salaries. In order to recruit qualified personnel and retain seasoned investigators with the crucial experience necessary to accomplish the mission of the agency, it is essential that the bureau offer a competitive compensation.

Currently the Bureau's investigators make approximately 19.27% less than their counterparts who conduct investigations in the Office of Financial Regulation (OFR). The bureau conducts the same types of complex investigations with the only difference being OFR investigates violations of the securities and banking code and this bureau investigates violations of the insurance code. Although the work is the similar better compensation is the reason why 49 investigators left the agency over the past 5 years. As recently as September of 2008, the bureau lost a talented investigator who specialized in the area of annuity fraud where our senior citizens are the victims. This investigator was hired us at a salary which was 30% more than her salary with the Bureau of Investigation (BOI). Investigators occupy 5 pay grades, ranging from pay grade 20 to pay grade 26. The majority are pay grade 20 (80%). The Office of Financial Regulation's investigators occupy 3 pay grades ranging from pay grade 21 to pay grade 25. The majority are pay grade 25 (56%) (See below).

Pay Grade	BOI	OFR
20-Insurance Analyst II	40	
21-Financial Investigator		12
22-Government Analyst I	6	
20-Financial Examiner/Analyst I	5	
23-Financial Examiner /Analyst II	2	
23-Senior Financial Investigator	8	
25-Financial Investigator-Criminal Enforcement		25
26-Government Analyst II	2	

In order to meet the Bureau's investigative case completion standards, while at the same time conducting quality investigations, it is paramount that competent and skilled investigators are hired. Recruiting and retaining

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
POSITION UPGRADES AND SALARY				
ADJUSTMENTS FOR PARITY AND				
RETENTION OF INVESTIGATORS				3008A20

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							380,018
	0.00	380,018			380,018		380,018

PROGRAM OR SERVICE-LEVEL							
INFORMATION TECHNOLOGY							3630000
HIGH VOLUME SCANNER AND STORAGE							
RETRIEVAL SYSTEM FOR THE AGENT							
LICENSNG INFORMATION SYSTEM							36342C0
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	20,000	20,000				2393 1
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	45,000	41,900				2393 1
TOTAL: HIGH VOLUME SCANNER AND STORAGE							36342C0
RETRIEVAL SYSTEM FOR THE AGENT							
LICENSNG INFORMATION SYSTEM							
TOTAL ISSUE.....		65,000	61,900				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
HIGH VOLUME SCANNER AND STORAGE				
RETRIEVAL SYSTEM FOR THE AGENT				
LICENSNG INFORMATION SYSTEM				36342C0

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: High Volume Scanner and Storage Retrieval System for the Agent Licensing Information System

Reference to Long-Range Program Plan: Enforcement-Goal 3. In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public.

The high speed scanner will make transmitting and retrieving investigative case files more efficient.

Issue Description/Need:

The Division of Agent and Agency Services is requesting Operating Capital Outlay (OCO) funds to purchase a Bell & Howell high speed scanner for \$20,000. The scanner is capable of scanning a high volume of documents as we transition to a paperless work environment. Contracted Service funds in the amount of \$45,000 is requested to build a storage and retrieval system within the existing Agent Licensing Information System (ALIS) to store these scanned documents. This will allow the division to more efficiently store our investigative files, which can sometimes exceed five hundred pages each, in addition to retrieving them more efficiently in response to legal and public record requests. Our current file retention schedule is five years; this system will eliminate the need for the current file room activities which are labor intensive. This system will also eliminate the need to rent space at the state archives center. Savings would also be realized in the areas of postage and copying expenses.

Without this system, The Division will continue to expend unnecessary resources retrieving, duplicating, transmitting and storing paper files.

Ultimate Outcome: Saves money reduces paper use and provides services more quickly. This scanning and storage/retrieval system will enable the Division to respond to records requests in a timely and cost-effective manner, while saving state dollars storing, duplicating and mailing paper files.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Operating Capital Outlay (060000)		
Bell & Howell High Volume Scanner/Retrieval System		
Insurance Regulatory Trust Fund (2393) (1)		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
HIGH VOLUME SCANNER AND STORAGE				
RETRIEVAL SYSTEM FOR THE AGENT				
LICENSNG INFORMATION SYSTEM				36342C0
 Total			\$20,000	\$20,000
Special Categories:				
Contracted Services (100777):				
Insurance Regulatory Trust Fund (2393) (1)				
Total			\$45,000	\$41,900
-----			-----	-----
Issue Total			\$65,000	\$61,900

TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	166.00			
SALARY RATE.....	13,840,487	151,900		2000
SALARY RATE.....	6,514,429			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....		9,550,027		
		=====		
SALARIES AND BENEFITS				010000
		195.00		
INSURANCE REG TF	-STATE	12,692,593		2393 1
		=====		
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	45,000		2393 1
		=====		
EXPENSES				040000
INSURANCE REG TF	-STATE	2,192,515		2393 1
		=====		
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	1,700		2393 1
		=====		
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	297,000		2393 1
		=====		
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	1,002,519		2393 1
		=====		
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	223,559		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	357,848		2393 1
=====				
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	246,100		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	77,825		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		195.00		
TOTAL ISSUE.....		17,136,659		
TOTAL SALARY RATE.....		9,550,027		
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	29,646		2393 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	2,254		2393 1
=====				
TOTAL: ADJUSTMENT TO STATE HEALTH				1001800
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				
TOTAL ISSUE.....		31,900		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,703-		2393 1
=====				
EQUIPMENT NEEDS				2400000
ADDITIONAL EQUIPMENT - MOTOR				
VEHICLES				2402400
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	223,272	223,272	2393 1
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Undercover Surveillance Vans to Investigate Medical, Provider, Workers' Compensation and Insurance Fraud

Issue Description/Need:

Funding is being requested for two (2) fully self contained surveillance vans to be used by sworn law enforcement personnel for undercover operations and long term investigations. These vans will be used to investigate major criminal cases including staged accident rings, money service business crimes, medical and provider fraud, workers' compensation premium fraud, etc. The vans will be used state wide to support field offices and various task force operations as needed. To make them readily available, one will be stationed in the north-central area of Florida while the other will be stationed in south Florida.

This specialized vehicle will have the appearance of a typical commercial service van so that it can be used covertly in any environment. The van will be able to accommodate a team of two investigative personnel for extended periods of time. It will contain specialized video equipment (monitors, recorders, cameras, periscope, remote controller, etc.), audio equipment (microphones, mixer, receiver, transmitter, etc.), computer equipment and software needed by investigators to conduct undercover operations both day and night. The occupied operational area will have a quiet self-contained air conditioning and heating system powered by a dedicated bank of 12 VDC batteries which are completely independent of both the vehicle batteries and surveillance equipment batteries. The van will also meet all state and federal guidelines and other specifications for power monitoring, environmental monitoring, remote engine start, operational lighting and also have necessary safety equipment (fire extinguisher, carbon monoxide detectors, etc.).

Ultimate Outcome:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
ADDITIONAL EQUIPMENT - MOTOR				
VEHICLES				2402400

The Division continues to work a growing number of complex long term investigations that frequently require extended periods of covert surveillance which can be dangerous and counter-productive if not conducted properly. If these investigations are to be completed successfully, state of the art surveillance equipment is necessary to develop critical intelligence as well as help ensure the safety of undercover investigators and sources.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Acquisition of Motor Vehicles (100021):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$223,272	\$223,272
-----	-----	-----
Issue Total	\$223,272	\$223,272

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - 10 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1800
				010000
INSURANCE REG TF	-STATE	148,230		2393 1
		=====		
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	11,270		2393 1
		=====		
TOTAL: STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - 10 MONTHS				26A1800
ANNUALIZATION				
TOTAL ISSUE.....		159,500		
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,703-		2393 1
		=====		
WORKLOAD				3000000
PROFESSIONAL STAFF TO FORM A MAJOR				
CASE ENFORCEMENT UNIT FOR FINANCIAL				
CRIMES				3008A40
SALARY RATE				000000
SALARY RATE.....		490,576		
		=====		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	9.00	703,925	2393 1
		=====		
EXPENSES				040000
INSURANCE REG TF	-STATE	193,663	56,606	2393 1
		=====		
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	13,448	13,448	2393 1
		=====		
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	126,000	126,000	2393 1
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	3,609		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
PROFESSIONAL STAFF TO FORM A MAJOR				
CASE ENFORCEMENT UNIT FOR FINANCIAL				
CRIMES				3008A40
TOTAL: PROFESSIONAL STAFF TO FORM A MAJOR				3008A40
CASE ENFORCEMENT UNIT FOR FINANCIAL				
CRIMES				
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....		1,040,645	196,054	
TOTAL SALARY RATE.....	490,576			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Professional Staff to Form a Major Case Enforcement Unit for Financial Crimes

Issue Description/Need:

Investigative units within the Department of Financial Services are called upon to investigate a variety of complex financial crimes including money service business crimes, mortgage fraud, workers' compensation premium fraud, unauthorized entity fraud, annuity fraud, money laundering, title fraud, insolvency fraud and identity theft. These are in addition to arson, theft, general insurance fraud, and the misappropriation of funds or assets of the State of Florida. The ability to respond quickly with a specialized team of experienced investigators will increase the likelihood of an arrest and a successful prosecution.

The experience and special skills required to work complex major financial crimes are not possessed by all investigators, even fraud detectives. In addition, the ability to dedicate an investigative team to quickly gather and evaluate large amounts of evidence, conduct multiple investigative interviews and tasks is not present within most field offices. In order to more effectively investigate complex, major financial crimes, we are requesting a specialized investigative unit consisting of one (1) Bureau Chief, six (6) Law Enforcement Investigator II's, one (1) Crime Intelligence Analyst II, and one (1) Administrative Secretary.

This financial crimes unit will be staffed with investigators of exceptional skill and experience who will be able to quickly respond as a team to major cases wherever they may occur in the state. The investigators will need a dedicated Crime Intelligence Analyst II to provide critical analytical support. The analyst will evaluate the large amounts of evidence and information associated with these cases, prepare investigative charts and exhibits for trial, and evaluate strategic intelligence to identify emerging crime trends and investigative targets. Detectives will have more time to accomplish other investigative tasks such as surveillance, evidence gathering, interviews and interrogation, preparing subpoenas, search warrants and arrest affidavits. The Administrative Secretary will provide necessary administrative support for the entire unit.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
PROFESSIONAL STAFF TO FORM A MAJOR CASE ENFORCEMENT UNIT FOR FINANCIAL CRIMES				3008A40

Ultimate Outcome:

Approval of this issue will allow the hiring of one (1) Bureau Chief, six (6) Law Enforcement Investigator II's, one (1) Crime Intelligence Analyst II, and one (1) Administrative Secretary to form a specialized financial crimes unit which will work exclusively on complex financial crimes throughout the State of Florida. The unit will be able to more effectively fight growing problems such as money services business crime and mortgage fraud. Approval of this issue will provide a highly trained investigative unit to quickly respond to these major cases. When investigating organized criminal enterprises, the ability to respond quickly with a specialized investigative team will greatly increase the likelihood of arrests, successful prosecutions and the recovery of stolen and converted assets.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Bureau Chief	3545	055	1	68,821	98,530	10,112	1,000	401	110,043
Law Enforcement Investigator II	8541	054	6	365,920	520,722	60,672	6,000	2,406	589,800
Crime Intel II (SES)	8456	020	1	32,740	48,366	10,112	1,000	401	59,879
Administrative Secretary	0108	012	1	23,725	36,308	8,397	1,000	401	46,106
				491,206	703,925	89,293	9,000	3,609	805,828

CATEGORY

	Amount	Non-Recurring
Salaries and Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$703,925	\$ 0
Expenses (040000):		
7 Expense Package (\$10,112)	\$ 70,784	\$ 13,648
7 Special Equipment (vest \$600, firearm \$575, cell phone \$50, radio \$4,000)	36,575	36,575
Perquisite Clothing (\$625)	4,375	
7 Clothing Allowance (\$500)	3,500	
7 Training (\$2,000)	14,000	
7 Criminal Justice Incentive Pay (CJIP) (\$1,560)	10,920	
7 Travel (\$3,000)	21,000	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
PROFESSIONAL STAFF TO FORM A MAJOR				
CASE ENFORCEMENT UNIT FOR FINANCIAL				
CRIMES				3008A40
7 Gasoline Increase (\$2,000)		14,000		
1 Professional Expense Package (\$10,112)		10,112	3,412	
1 Support Staff Expense Package (\$8,397)		8,397	2,971	
		-----	-----	
Insurance Regulatory Trust Fund (2393) (1)				
Total		\$193,663	\$ 56,606	
Operating Capital Outlay (060000):				
8 Laptops (1,556)		\$12,448	\$ 12,448	
1 Standard OCO Package		\$ 1,000	\$ 1,000	
Insurance Regulatory Trust Fund (2393) (1)		-----	-----	
Total		\$13,448	\$ 13,448	
Special Categories:				
Acquisition of Motor Vehicles (100021):				
7 Motor vehicles (base price \$15,840 plus lights \$2,160 total \$18,000)				
Insurance Regulatory Trust Fund (2393) (1)				
Total		\$126,000	\$126,000	
Human Resources Services (107040):				
Insurance Regulatory Trust Fund (2393) (1)				
Total		\$ 3,609	\$ 0	
-----		-----	-----	
Issue Total		\$1,040,645	\$196,054	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,921,629			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	30,907			2021 1
FINANCIAL INST REG TF -STATE	33,211			2275 1
INSURANCE REG TF -STATE	8,772,677			2393 1
REGULATORY TRUST FUND -STATE	298,666			2573 1

TOTAL POSITIONS.....	183.50			
TOTAL APPRO.....	9,135,461			
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	194,243			2393 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	16,463			2021 1
INSURANCE REG TF -STATE	1,912,140			2393 1
REGULATORY TRUST FUND -STATE	23,655			2573 1

TOTAL APPRO.....	1,952,258			
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	2,200			2393 1
=====				
SPECIAL CATEGORIES				100000
TR TO FL CAT CENT AT FSU				100500
INSURANCE REG TF -STATE	3,000,000			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		120		2021 1
FINANCIAL INST REG TF -STATE		355		2275 1
INSURANCE REG TF -STATE		846,985		2393 1
REGULATORY TRUST FUND -STATE		2,766		2573 1
TOTAL APPRO.....		850,226		
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE		60,978		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		525		2021 1
FINANCIAL INST REG TF -STATE		350		2275 1
INSURANCE REG TF -STATE		71,178		2393 1
REGULATORY TRUST FUND -STATE		2,719		2573 1
TOTAL APPRO.....		74,772		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	183.50			
TOTAL ISSUE.....		15,270,138		
TOTAL SALARY RATE.....		6,921,629		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
ADMINISTRATIVE TRUST FUND -STATE		102		2021 1
FINANCIAL INST REG TF -STATE		108		2275 1
INSURANCE REG TF -STATE		28,828		2393 1
REGULATORY TRUST FUND -STATE		982		2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
TOTAL APPRO.....		30,020		
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		13-		2021 1
FINANCIAL INST REG TF -STATE		14-		2275 1
INSURANCE REG TF -STATE		3,635-		2393 1
REGULATORY TRUST FUND -STATE		124-		2573 1
TOTAL APPRO.....		3,786-		
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF APPROPRIATIONS				
BETWEEN CATEGORIES - DEDUCT				1600100
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE		174,720-		2393 1
=====				

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer Contracted Services to Other Personal Services Consumer Assistance - Deduct

ISSUE DESCRIPTION/NEED:
 This is a technical issue which is the re-approval of Budget Amendment number 09-03 (EOG B0085) to restore the original intent of the department's realignment of contractual services. This issue moves authority between the following categories.

CATEGORY AMOUNT

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF APPROPRIATIONS				
BETWEEN CATEGORIES - DEDUCT				1600100

Contracted Services (100777)
 Insurance Regulatory Trust Fund (2393)(1)
 Total (\$ 174,720)

Other Personal Services
 Insurance Regulatory Trust Fund (2393)(1)
 Total \$ 174,720

REALIGNMENT OF APPROPRIATIONS
 BETWEEN CATEGORIES - ADD 1600110
 OTHER PERSONAL SERVICES 030000

INSURANCE REG TF -STATE 174,720 2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer Contracted Services to Other Personal Services Consumer Assistance - Add

ISSUE DESCRIPTION/NEED:

This is a technical issue which is the re-approval of Budget Amendment number 09-03 (EOG B0085) to restore the original intent of the department's realignment of contractual services. This issue moves authority between the following categories.

CATEGORY	AMOUNT

Contracted Services (100777)	
Insurance Regulatory Trust Fund (2393)(1)	
Total	(\$ 174,720)

Other Personal Services
 Insurance Regulatory Trust Fund (2393)(1)
 Total \$ 174,720

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
NONRECURRING EXPENDITURES				2100000
FLORIDA COMPREHENSIVE HURRICANE				
DAMAGE MITIGATION PROGRAM				2103076
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	194,243-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND	-STATE	510		2021 1
FINANCIAL INST REG TF	-STATE	540		2275 1
INSURANCE REG TF	-STATE	144,140		2393 1
REGULATORY TRUST FUND	-STATE	4,910		2573 1
TOTAL APPRO.....		150,100		
=====				
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND	-STATE	13-		2021 1
FINANCIAL INST REG TF	-STATE	14-		2275 1
INSURANCE REG TF	-STATE	3,635-		2393 1
REGULATORY TRUST FUND	-STATE	124-		2573 1
TOTAL APPRO.....		3,786-		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
WORKLOAD				3000000
PROVIDE PROACTIVE CONSUMER OUTREACH				
ON INSURANCE AND FINANCIAL SERVICES				
PRODUCTS				3001250
EXPENSES				040000
INSURANCE REG TF	-STATE	135,000		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	156,000		2393 1
=====				
TOTAL: PROVIDE PROACTIVE CONSUMER OUTREACH				3001250
ON INSURANCE AND FINANCIAL SERVICES				
PRODUCTS				
TOTAL ISSUE.....		291,000		
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Provide Proactive Consumer Outreach on Insurance Financial Services Products

Issue Description/Need:

Florida has experienced a tremendous increase in improper and deceptive marketing by insurance and financial services entities. These conditions may be attributed to the rapid growth in our population, particularly elderly and non-English speaking residents. Consumers are being harmed when they purchase insurance or financial products from unlicensed companies or companies using improper or deceptive marketing.

Ultimate Outcome:

Using funds that have been received and deposited into the Insurance Regulatory Trust Fund and reserved for consumer outreach, the department will continue consumer outreach activities and assist consumers with problems related to insurance and financial products. This will result in an increase in consumer educational material in both printed and electronic format. The department will be able to expand educational and outreach efforts to non-English and limited English speaking communities, first-time insurance buyers, elderly residents and retirees. These individuals are the prime targets for illegal insurance and financial activities. Outreach activities, including public service announcements, will help the department educate targeted consumers. Through close interaction with the public, the department will be able to identify trends in illegal activities, develop and deliver effective outreach programs and enhance our assistance to consumers before they become victimized. If this recurring issue is not funded, these

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
PROVIDE PROACTIVE CONSUMER OUTREACH				
ON INSURANCE AND FINANCIAL SERVICES				
PRODUCTS				3001250

educational activities will cease, resulting in higher numbers of Floridians who will be victimized by unscrupulous insurers and financial services entities. Supposing the cost of fraud is passed on to the consumer, increasing victimization may result in higher costs for insurance and financial products.

Detail of Cost:
 FY 09-10

Category	Recurring	Non-recurring
	-----	-----
Expenses (040000):		
Publication printing	\$ 50,000	\$ 0
PSA air time buy	\$ 75,000	\$ 0
Postage, travel, supplies	\$ 10,000	\$ 0
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$135,000	\$ 0
Contracted Services (100777):		
PSA production, Web Site enhancements and computer programming	\$156,000	\$ 0
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$156,000	\$ 0
-----	-----	-----
Issue Total	\$291,000	\$ 0

TOTAL: CONSUMER SAFETY/PROTECTION		<u>1205.00.00.00</u>
BY FUND TYPE		
TRUST FUNDS.....	183.50	
SALARY RATE.....	15,539,443	2000
SALARY RATE.....	6,921,629	
=====	=====	=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,360,177			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	32.00			
-STATE	1,834,443			2573 1
=====				
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND		25,000		
-STATE				2573 1
=====				
EXPENSES				040000
REGULATORY TRUST FUND		435,730		
-STATE				2573 1
=====				
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND		9,500		
-STATE				2573 1
=====				
LUMP SUM				090000
FUNERAL AND CEMETERY REG				090201
REGULATORY TRUST FUND	2.00			
-STATE	284,860			2573 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND		149,425		
-STATE				2573 1
=====				
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND		4,382		
-STATE				2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		15,387		2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	34.00			
TOTAL ISSUE.....		2,758,727		
TOTAL SALARY RATE.....		1,360,177		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		4,663		2573 1
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		753-		2573 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		23,315		2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		753-		2573 1
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	34.00			
SALARY RATE.....		2,785,199		2000
		1,360,177		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	13,671,271			
=====				
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF	-STATE	17,039,628		2795 1
	-MATCH	140,007		2795 2
	-FEDERL	140,007		2795 3
TOTAL WORKERS' COMP ADMIN TF		17,319,642		2795
=====				
WORKERS'COMP SPEC DISAB TF-STATE		944,301		2798 1
=====				
TOTAL POSITIONS.....	364.00			
TOTAL APPRO.....	18,263,943			
=====				
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF	-STATE	237,570		2795 1
WORKERS'COMP SPEC DISAB TF-STATE		17,550		2798 1
TOTAL APPRO.....	255,120			
=====				
EXPENSES				040000
WORKERS' COMP ADMIN TF	-STATE	3,955,577		2795 1
	-MATCH	39,085		2795 2
	-FEDERL	39,085		2795 3
TOTAL WORKERS' COMP ADMIN TF		4,033,747		2795
=====				
WORKERS'COMP SPEC DISAB TF-STATE		131,882		2798 1
=====				
TOTAL APPRO.....	4,165,629			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
OPERATING CAPITAL OUTLAY				060000
WORKERS' COMP ADMIN TF -STATE		200,021		2795 1
WORKERS' COMP SPEC DISAB TF-STATE		16,851		2798 1
TOTAL APPRO.....		216,872		
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE		1,981,688		2795 1
TR/DMS-1ST DIST CT HOUSE				100517
WORKERS' COMP ADMIN TF -STATE		5,500,000		2795 1
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF -STATE		4,072,499		2795 1
WORKERS' COMP SPEC DISAB TF-STATE		186,360		2798 1
TOTAL APPRO.....		4,258,859		
RISK MANAGEMENT INSURANCE				103241
WORKERS' COMP ADMIN TF -STATE		243,357		2795 1
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF -STATE		142,593		2795 1
WORKERS' COMP SPEC DISAB TF-STATE		8,359		2798 1
TOTAL APPRO.....		150,952		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/USF/SAFETY TASK FORCE				108600
WORKERS' COMP ADMIN TF -STATE		100,000		2795 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	364.00			
TOTAL ISSUE.....	35,136,420			
TOTAL SALARY RATE.....	13,671,271			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE		50,832		2795 1
-MATCH		419		2795 2
-FEDERL		419		2795 3
TOTAL WORKERS' COMP ADMIN TF		51,670		2795
WORKERS' COMP SPEC DISAB TF-STATE		2,817		2798 1
TOTAL APPRO.....		54,487		
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE		6,346-		2795 1
-MATCH		52-		2795 2
-FEDERL		52-		2795 3
TOTAL WORKERS' COMP ADMIN TF		6,450-		2795
WORKERS' COMP SPEC DISAB TF-STATE		352-		2798 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
TOTAL APPRO.....	6,802-			
	=====	=====	=====	
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF OPERATING BUDGET -				
SALARY RESTRUCTURING - ADDBACK				160F080
SALARY RATE				000000
SALARY RATE.....	424,746			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	500,000			2795 1
	=====	=====	=====	
TOTAL: REALIGNMENT OF OPERATING BUDGET -				160F080
SALARY RESTRUCTURING - ADDBACK				
TOTAL ISSUE.....	500,000			
TOTAL SALARY RATE.....	424,746			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: REALIGNMENT OF OPERATING BUDGET - SALARY RESTRUCTURING - ADDBACK

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1 and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF OPERATING BUDGET -				
SALARY RESTRUCTURING - ADDBACK				160F080

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F090; 160F880; and 160F990. All of these issues are related to the department's salary realignment plan.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
99999 001	0.00	424,746		75,254	500,000	0.00	500,000
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							500,000
	0.00	424,746		75,254	500,000		500,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF OPERATING BUDGET -				
SALARY RESTRUCTURING - DEDUCT				160F090
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	250,000-			2795 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF -STATE	250,000-			2795 1
	=====	=====	=====	
TOTAL: REALIGNMENT OF OPERATING BUDGET -				160F090
SALARY RESTRUCTURING - DEDUCT				
TOTAL ISSUE.....	500,000-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: REALIGNMENT OF OPERATING BUDGET - SALARY RESTRUCTURING - DEDUCT

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1 and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				1102.02.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF OPERATING BUDGET -				
SALARY RESTRUCTURING - DEDUCT				160F090

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F080; 160F880; and 160F990. All of these issues are related to the department's salary realignment plan.

REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - ADDBACK				160F880
SALARY RATE				000000
SALARY RATE.....	182,189			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	214,468			2795 1
	=====	=====	=====	
TOTAL: REALIGNMENT OF SALARIES AND				160F880
BENEFITS BUDGET - SALARY				
RESTRUCTURING - ADDBACK				
TOTAL ISSUE.....	214,468			
TOTAL SALARY RATE.....	182,189			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: REALIGNMENT REALIGNMENT OF SALARIES AND BENEFITS BUDGET - SALARY RESTRUCTURING - ADDBACK

Issue Description/Need:

This issue is for the re-approval of current year budget amendment(s) Executive Office of the Governor (EOG) log numbers B7139 and B7141 (agency log numbers 09-AT04 and 09-AT05 respectively). These amendments transfer funds between categories and budget entities under the department's 5% transfer authority granted pursuant to Chapter 216.292(2)(a)1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - ADDBACK				160F880

and 216.292(2)(a)2 Florida Statutes.

Specifically, the referenced amendments transfer funds to establish additional Salaries and Benefits (S&B) budget authority in the Workers' Compensation budget entity (WC) in the Workers' Compensation program and the State Funds Management budget entity (Treasury) within the Treasury program. The net effect of these amendments is to increase the S&B authority in WC by \$714,469 and in Treasury by \$140,000, with no change in to authorized positions.

The Department of Financial Services (department) has historically had deficits in the S&B category in several programs. Most notably the WC and Treasury programs have had to make substantial transfers of appropriation between categories and budget entities, or hold positions vacant, each year in order to pay their currently authorized and filled positions. This issue will be a compliment to department-wide S&B and Salary Rate restructuring issues that will more efficiently align the department's budget authority to minimize the number of required vacant positions and the volume of mid-year transfers of budget.

The efficiencies gained through the proposed realignment of the trust funded portions of the department's budget will allow the department to reduce the frequency of budget amendments filed during the course of the year, reduce the number of overall vacancies maintained in each budget entity and allow budget entities where vacancies are rarely experienced to keep all of their positions filled without a deficit in the S&B category.

This issue is linked to issues: 160F090; 160F080; and 160F990. All of these issues are related to the department's salary realignment plan.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
99999 001	0.00	182,189		32,279	214,468	0.00	214,468

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				1102.02.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - ADDBACK				160F880

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							214,468
	0.00	182,189		32,279	214,468		214,468

NONRECURRING EXPENDITURES							2100000
FIRST DISTRICT COURT OF APPEAL -							
WORKERS' COMPENSATION APPEALS							2103090
SPECIAL CATEGORIES							100000
TR/DMS-1ST DIST CT HOUSE							100517
WORKERS' COMP ADMIN TF -STATE	5,500,000-						2795 1
TRANSFER FUNDS TO UNIVERSITY OF							
SOUTH FLORIDA FOR FLORIDA PUBLIC							
TASK FORCE ON WORKPLACE SAFETY -							
HB 967							2103091
SPECIAL CATEGORIES							100000
TR/USF/SAFETY TASK FORCE							108600
WORKERS' COMP ADMIN TF -STATE	100,000-						2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				1102.02.00.00
EQUIPMENT NEEDS				2400000
REPLACEMENT OF MOTOR VEHICLES				2401500
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
WORKERS' COMP ADMIN TF -STATE	575,000	161,000		2795 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Replacement Vehicles for Workers' Compensation Investigations Staff

Reference to Long-Range Program Plan: During the 2003/2004 Legislative session, the division was appropriated funds to purchase 70 vehicles for Compliance Investigators to ensure efficient and timely compliance investigations in accordance with Division Activity 6120. Prior to that time investigations staff were using their personal automobiles and reimbursed for the mileage incurred.

Issue Description/Need: In 2004, upon authority by the Legislature, the Division of Workers' Compensation purchased 70, 2005 Chevrolet vehicles. The division is requesting replacement of 18 of those 70 vehicles. In addition, we are requesting seven new vehicles for our regional supervisors. This will bring the division's vehicle allotment to 77 vehicles. The seven vehicles retained will become a part of the Bureau of Compliance Field Investigations Program. The addition of these cars will allow supervisors to be active in the field with the investigations staff. Due to the vast amount of work sites in every geographical area of the state, these vehicles are necessary for supervisors who oversee those responsibilities. Once approved, the seven supervisory positions would receive the best of the current fleet being retired. The division has ensured these cars are well maintained to receive optimum performance and life expectancy. Additionally, the cars are rotated between staff to ensure that cars, which are driven the farthest and receive the highest mileage, are rotated with those that remain closer to the office.

Ultimate Outcome: The role of the Investigations Supervisor has evolved requiring increased duties and responsibilities, including their working presence along with investigative staff in the field. The division operates seven districts statewide. Most of the districts are large in terms of the number of counties which each district services and the number of field offices established in each district. These circumstances create a wide geographical region for each of the supervisors to cover. This request is also an initiation of an efficient replacement plan as wear and tear reduces life expectancy of this fleet. Replacing a portion of the fleet each year (approximately 25 percent) eliminates replacement of all 77 at one time when their life expectancy is exhausted.

Detail of Costs:

FY 09-10 Category	Amount	Non-Recurring
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Special Category

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				1102.02.00.00
EQUIPMENT NEEDS				2400000
REPLACEMENT OF MOTOR VEHICLES				2401500
Acquisition of Motor Vehicles (100021)				
18 Mid-Size Replacement Automobiles			\$414,000	\$ 0
7 New Vehicles			\$161,000	\$161,000
Workers' Compensation Administrative Trust Fund (2795) (1)				
Total			\$575,000	\$161,000

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - 10 MONTHS ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF	-STATE	254,160		2795 1
	-MATCH	2,095		2795 2
	-FEDERL	2,095		2795 3
TOTAL WORKERS' COMP ADMIN TF		258,350		2795
WORKERS' COMP SPEC DISAB TF-STATE		14,085		2798 1
TOTAL APPRO.....		272,435		
LIFE AND DISABILITY INSURANCE REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF	-STATE	6,346-		2795 1
	-MATCH	52-		2795 2
	-FEDERL	52-		2795 3
TOTAL WORKERS' COMP ADMIN TF		6,450-		2795
WORKERS' COMP SPEC DISAB TF-STATE		352-		2798 1
TOTAL APPRO.....		6,802-		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
WORKLOAD				3000000
SALARY INCREASE TO PROVIDE FUNDING				
FOR WORKERS' COMPENSATION VACANCIES				3008A50
SALARY RATE				000000
SALARY RATE.....	550,000			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	550,000			2795 1
	=====	=====	=====	
TOTAL: SALARY INCREASE TO PROVIDE FUNDING				3008A50
FOR WORKERS' COMPENSATION VACANCIES				
TOTAL ISSUE.....	550,000			
TOTAL SALARY RATE.....	550,000			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Salary Increase to Provide Funding for Workers' Compensation Vacancies

Reference to Long-Range Program Plan:

The division's mission is to actively ensure the self execution of the Workers' Compensation System through education, enforcement and regulatory activities. The FTE allocated to the division are very important to achieve the vast regulatory duties. Activity Codes 6110, 6120, 6130 and 6170 are referenced as goals for this request.

Issue Description/Need:

The Division of Workers' Compensation is requesting additional salary appropriation in the amount of \$550,000 to fully fund the division. On July 1, 2002, the Department of Labor and Employment Security was abolished. At that same time, 77 positions were reduced from within the Workers' Compensation Program and the Division of Workers' Compensation was Type II transferred to the Department of Insurance (later Department of Financial Services). Historically, the division has maintained 10 to 15 vacancies in an effort to cure salary deficits due to an inadequate salary appropriation. One example attributing to the division's deficit is the number of tenured staff whose salaries are on the higher end of the pay scale. In an effort to meet program expectations throughout the year and to ensure the appropriation is not overspent it is necessary to maintain vacant positions. Without adequate salary appropriations, the division is severely hampered in its ability to hire and retain quality employees who can carry out the mission of the agency.

In 2005, the Inspector General's Office made a recommendation that the Bureau of Compliance investigations program include a separation of penalty calculation duties from the responsibilities of investigating employers for non-compliance. Compliance with this recommendation would involve adding positions for the purpose of creating a penalty

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
WORKLOAD				3000000
SALARY INCREASE TO PROVIDE FUNDING				
FOR WORKERS' COMPENSATION VACANCIES				3008A50

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							550,000
	0.00	550,000			550,000		550,000

TOTAL: WORKERS' COMPENSATION							<u>1102.02.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	364.00						
SALARY RATE.....	31,189,206	161,000					2000
	14,828,206						

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	12,893,691			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	277.00			
-STATE	16,897,976			2393 1
	=====	=====	=====	
EXPENSES				040000
INSURANCE REG TF				
-STATE	3,169,133			2393 1
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF				
-STATE	2,000			2393 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF				
-STATE	623,512			2393 1
	=====	=====	=====	
CONTRACTED SERVICES				100777
INSURANCE REG TF				
-STATE	903,016			2393 1
	=====	=====	=====	
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF				
-STATE	173,368			2393 1
	=====	=====	=====	
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF				
-STATE	110,555			2393 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
			AMOUNT	
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/EOG/TITLE/INS/ADV/CNCL				108625
INSURANCE REG TF	-STATE	121,001		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	277.00			
TOTAL ISSUE.....	22,000,561			
TOTAL SALARY RATE.....	12,893,691			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	43,608		2393 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				1001910
RATES - FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	7,633-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF APPROPRIATIONS				
BETWEEN CATEGORIES - DEDUCT				1600100
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	175,000-		2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer Contracted Services to Other Personal Services Office of Insurance Regulation/Compliance and Enforcement - Deduct

ISSUE DESCRIPTION/NEED:

This is a technical issue which is the re-approval of Budget Amendment number 09-02 (EOG B0057) to restore the original intent of the department's realignment of contractual services. This issue moves authority between the following categories.

CATEGORY	AMOUNT
Contracted Services (100777)	
Insurance Regulatory Trust Fund (2393)(1)	
Total	(\$ 175,000)
Other Personal Services	
Insurance Regulatory Trust Fund (2393)(1)	
Total	\$ 175,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF APPROPRIATIONS				
BETWEEN CATEGORIES - ADD				1600110
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	175,000		2393 1

=====

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer Contracted Services to Other Personal Services Office of Insurance Regulation/Compliance and Enforcement - Add

ISSUE DESCRIPTION/NEED:

This is a technical issue which is the re-approval of Budget Amendment number 09-02 (EOG B0057) to restore the original intent of the department's realignment of contractual services. This issue moves authority between the following categories.

CATEGORY	AMOUNT

Contracted Services (100777)	
Insurance Regulatory Trust Fund (2393)(1)	
Total	(\$ 175,000)
Other Personal Services	
Insurance Regulatory Trust Fund (2393)(1)	
Total	\$ 175,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT FOR				
FINANCIAL EXAMS SERVICES PROVIDED				
BY OUTSIDE VENDORS				1600410
SPECIAL CATEGORIES				100000
PROPERTY/CASUALTY EXAMS				100523
INSURANCE REG TF	-STATE	4,900,000		2393 1
		=====		
LIFE AND HEALTH EXAMS				100524
INSURANCE REG TF	-STATE	100,000		2393 1
		=====		
TOTAL: REAPPROVAL OF BUDGET AMENDMENT FOR				1600410
FINANCIAL EXAMS SERVICES PROVIDED				
BY OUTSIDE VENDORS				
TOTAL ISSUE.....		5,000,000		
		=====		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Financial Examination Services Provided by Outside Vendors for Office of Insurance Regulation

Issue Description/Need:

This is the re-approval of Agency Budget Amendment 09-06 (EOG B0096). The amount has been increase to a total of \$5,000,000 from the approved amount of \$4,701,763.

Pursuant to Section 624.316, Florida Statutes, the Office of Insurance Regulation (Office) is required to conduct financial examinations of life and health and property and casualty insurers in order to protect consumers and provide early detection of weakening financial conditions. Prior to the 2007 Legislative Session, the Office was required to obtain consent from the insurance company in order to contract with outside experts to conduct the examination and have the company cover the costs of that outside expert. (Unless the Office suspects criminal activity on the part of the insurer). Therefore, the Office selected companies that were believed to be receptive to outsourcing. The decision to outsource examinations is based upon the need to complete examinations within the accreditation standards of the National Association of Insurance Commissioners (NAIC).

House Bill 1549 (Chapter 2007-224, Laws of Florida) permits the Office to engage outside experts to conduct financial examinations and allows the Office to select the financial examination experts and bill the insurer for the cost of the examination. This is a national model law and accreditation standard of the NAIC. The majority of states and U. S.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT FOR				
FINANCIAL EXAMS SERVICES PROVIDED				
BY OUTSIDE VENDORS				1600410

jurisdictions (44 out of 56) have already adopted the national model law and accreditation standard and the remaining jurisdictions have statutory language that allows for contracting exams.

Payments received from insurance companies for financial examinations are now deposited into the Insurance Regulatory Trust Fund (IRTF) and the Office is to make payment to the third party examiner. The Office does not have the operating budget authority to make these payments. Presently, the Office submits a budget amendment request to the Legislative Budget Commission (LBC) for authorization to pay third party vendors for financial examination services that have been paid by insurance companies and deposited into the IRTF. The Office requests budget authority of \$5,000,000 within the IRTF in the Compliance and Enforcement - Insurance budget entity to pay third parties for financial examinations.

Ultimate Outcome: There is no fiscal impact to the agency. Payments to third party provide that the Office will be reimbursed from revenues received from insurance companies and deposited into the IRTF. The IRTF acts as a pass-through for this purpose.

Detail of Costs: The Office requests budget authority for \$5,000,000 in contracted services. This amount will cover exams for FY 2009-2010.

Category	Amount	Non-recurring
	-----	-----
Property and Casualty Exams (100523):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$4,900,000	\$ 0
Life and Health Exams (100524)		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$ 100,000	\$ 0
-----	-----	-----
Issue Total	\$5,000,000	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
NONRECURRING EXPENDITURES				2100000
TRANSFER FUNDS TO EXECUTIVE OFFICE				
OF THE GOVERNOR FOR TITLE INSURANCE				
ADVISORY COUNCIL - HB937				2103089
SPECIAL CATEGORIES				100000
TR/EOG/TITLE/INS/ADV/CNCL				108625
INSURANCE REG TF	-STATE	121,001-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	218,040		2393 1
=====				
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	7,633-		2393 1
=====				
WORKLOAD				3000000
PROPERTY AND CASUALTY PRODUCT				
STAFFING INCREASE				3007A00
SALARY RATE				000000
SALARY RATE.....		115,983		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3.00	161,669	2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
PROPERTY AND CASUALTY PRODUCT				
STAFFING INCREASE				3007A00
EXPENSES				040000
INSURANCE REG TF	-STATE	30,336	10,236	2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	3,000	3,000	2393 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,203		2393 1
=====				
TOTAL: PROPERTY AND CASUALTY PRODUCT				3007A00
STAFFING INCREASE				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	196,208	13,236		
TOTAL SALARY RATE.....	115,983			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Property and Casualty Product Staffing Increase

Issue Description/Need:

Property and Casualty Product Review (PCPR), a unit of the Office of Insurance Regulation (OIR), reviews all property and casualty insurance form and rate filings submitted by insurance companies for use in the State of Florida. Each filing is reviewed to determine compliance with applicable actuarial standards, statutory provisions and administrative rules. In addition, the performance of this thorough review must be timely, that is, performed within certain guidelines supplied by the National Association of Insurance Commissioners (NAIC).

With companies responding to recent legislative changes and to the ever-evolving marketplace, we have seen an unprecedented increase in the number of filings made by insurance companies. In 2007, PCPR received 39% more form filings and 95% more rate filings than were received in 2002, as shown in the chart below.

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
<u>COMP & ENFORCE- INSURANCE</u>						43900110
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
PROPERTY AND CASUALTY PRODUCT						
STAFFING INCREASE						3007A00

Year	Form Filings	Rate Filings
2008*	5,536	7,881
2007	4,105	7,522
2006	3,650	5,635
2005	3,623	4,236
2004	3,194	3,974
2003	3,663	4,431
2002	2,956	3,854

*2008 values estimated based on filing counts as of July 15, 2008.

Every year since 2004, PCPR has viewed this increasing workload as a temporary phenomenon. We have recruited employees with other core responsibilities to lend a hand, resulting in the awarding of several Davis Productivity Awards, and have postponed carrying out some of our other responsibilities within OIR in order to handle the filing workload. However, although we initially viewed it as temporary, the filing counts continue to increase. Additionally, since January 1, 2006, there have been 235 new property and casualty companies licensed to do business in our state.

Currently PCPR has 11 positions directly responsible for reviewing form filings and 24 positions directly responsible for reviewing rate filings, including seven actuaries. Two of these rate positions were recently transferred to PCPR from other OIR units.

Form analysts reviewed on average 297 filings per year during 2002-2004. For 2005-2007, each form analyst reviewed 345 filings on average per year. Rate analysts reviewed on average 186 filings per year during 2002-2004. During 2005-2007, each rate analyst reviewed 264 filings on average per year. If each analyst handled the same number of filings on average in 2005-2007 as they did in 2002-2004, PCPR would need two additional form analysts and nine additional rate analysts to handle the extra workload. Since two additional rate analyst positions have recently been added to PCPR, PCPR is requesting a total of three positions.

The addition of these positions will provide relief for the additional requirements placed on PCPR by the increases in filing counts. It will allow PCPR to maintain the timeliness and thoroughness of the filing review process. It will allow PCPR to better retain trained employees who have been experiencing workload stress. It will allow PCPR to resume its other responsibilities within OIR, including working with Legal Services (tracking the filings referred to legal), Property and Casualty Financial Oversight (tracking solvency issues required for maintaining rate adequacy), Market Investigations and Examinations (reporting suspected issues that arise during filing review for follow-up), and Market Research (providing data to stakeholders and interested parties).

Ultimate Outcome:

With the addition of three FTEs, Property and Casualty Product Review will have the ability to continue to carry out its

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
PROPERTY AND CASUALTY PRODUCT				
STAFFING INCREASE				3007A00

core mission of timely and thorough review of all property and casualty insurance form and rate filings submitted for use in the State of Florida under the increased filing workload due to continual changes to the Florida Insurance Code and the evolving marketplace.

Detail of Costs:

FY 09-10

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Research and Statistics Consultant	3142	023	3	115,983	161,669	30,336	3,000	1,203	196,208

Categories

	Amount	Non-recurring
Salaries and Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$161,669	\$ 0
Expenses (040000)		
3 Standard Expense Packages at 10,112		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$ 30,336	\$10,236
Operating Capital Outlay (060000):		
3 Personal Computers at 1,000		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$ 3,000	\$ 3,000
Special Category		
Human Resource Services (107040)		
Insurance Regulatory Trust Fund		
Total	\$ 1,203	\$ 0

Issue Total	\$196,208	\$13,236

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
PROPERTY AND CASUALTY PRODUCT				
STAFFING INCREASE				3007A00

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
3142 RESEARCH & STATISTICS CONSULTANT							
N2001 001	3.00	115,983		45,686	161,669	0.00	161,669
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							161,669
	3.00	115,983		45,686	161,669		161,669

PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
COMPANY AND RELATED ENTITY				
NAVIGATOR FEASIBILITY STUDY				36365C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	400,000	400,000	2393 1

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Company and Related Entity Navigator Feasibility Study
 Issue Description/Need:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
COMPANY AND RELATED ENTITY				
NAVIGATOR FEASIBILITY STUDY				36365C0

There is an urgent need to upgrade and expand the capabilities of the Company and Related Entity Navigator (COREN) application system that is operated and employed by the Office of Insurance Regulation (Office). Accordingly, funding is requested to support completion of a feasibility study that will assist the Office in determining the most technically and economically feasible approach to be employed for designing, developing and implementing the needed upgrade to COREN.

COREN is one of the Office's most important, mission critical foundational application systems and thus supports, and is inter-related with, virtually all other mission critical application systems that are currently employed by the Office to support vitally important insurance regulatory activities. These activities are associated with insurance rates, forms, company applications, licensing, legislatively mandated data collection and reporting. Implementation of the needed COREN upgrade would be consistent with both the Office's Long Range Plan and Technology Plan and would also be consistent with the Office's organizational objective of providing a sustainable and secure technology platform for achieving integration of automated support of insurance regulatory functions.

The original CORE application system was established in 1998 at a cost of \$467,000 and was upgraded to include the Navigation feature in 2001 at a cost of \$1,317,052. Since that time, advanced computing technologies have continued to emerge and these technologies have been increasingly adopted and deployed within the Office's business and related computing environments. Moreover, the Office's application systems that employ these advanced technologies have been increasingly integrated with the COREN application system. The COREN application system is used by the Office to respond to questions received from the Governor's Office and the Florida Legislature and to respond to public record requests; by the public to retrieve data and information from the Office's website; and by the Department of Financial Services (DFS) to retrieve data and information

As computing technology has evolved and advanced, the COREN application system's technology platform - Active Server Pages (.ASP) has become increasingly out of date with the advanced technology that is employed by other applications within the Office's computing environment. Technology obsolescence of this type requires complicated inter-system interfacing and processing and thus places increasing demands on computing capacity, application system and network security, application system maintenance, and business data processing capabilities. In this regard, the technology that is currently employed by the COREN application system is inconsistent with many State of Florida agencies' technology platform standard -that is, .NET - and is thus difficult and labor intensive to integrate with other systems.

To fulfill the Office's statutory requirements, it has become increasingly necessary to rely on staff augmentation funds to support application system maintenance, development of urgently required application system fixes in the form of "patches," and to employ other stop-gap, or work-around, measures to achieve uninterrupted business activities that are supported by the COREN application system. It should be observed that during FY2007-2008 and FY2008-2009, the Office employed 4,246 hours of computer expertise to maintain the COREN application system, including development and implementation of numerous urgently required system fixes, at a cost of from \$50 to \$129 per hour, depending on whether a

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
COMPANY AND RELATED ENTITY				
NAVIGATOR FEASIBILITY STUDY				36365C0

properly trained DFS Division of Information System technical staff member or a vendor's technical staff member was employed to perform these tasks. These costs are expected to increase dramatically in the future as COREN ages, as additional advanced computing technology and related application systems are added to the Office's computing environment, and as expertise to maintain the outdated COREN application system becomes increasingly scarce and thus becomes more expensive to obtain.

Funding in the amount of \$400,000 is requested for FY2009-2010 to complete necessary systems analysis, system evaluation and cost-benefit analysis for purposes of determining and recommending the most technically and economically feasible approach to be employed for designing, developing and implementing an upgraded COREN application system. Approval of this funding request will ultimately result in identification of urgently needed upgrades and enhancements to the COREN application system. These upgrades and enhancements, in turn, will result in the development and implementation of a technology platform for this vitally important, mission critical application system that is consistent with other advanced technology based application systems and, as importantly, is consistent with the State of Florida's technology platform standard. Additional benefits that will result from upgrading and expanding the capabilities of the COREN application system will include, but may not be limited to:

1. Reduced expenditures of funds for ongoing application system maintenance;
2. Improved efficiency, effectiveness and cost-effectiveness of business operations and administration that are supported by this application system;
3. Improved, more efficient and more user-friendly support of a wide variety of application system users;
4. Integration of this application systems with other internal application systems employed by the Office;
5. More effective and efficient interfacing with internal and external application systems, including those listed on the attached listing; and
6. Improved continuity of operations planning, resulting from employment of a consistent, standard technological platform for this application system.

Overview of current and proposed upgraded technology:

The Companies and Related Entities (CORE) database was jointly developed by Infinity Software Development, Inc. and the Florida Department of Insurance's Office of Information Systems in 1998. The Department, which is now known as the Office of Insurance Regulation, referred to as the Office herein, has relied on CORE to provide important support for automating and centralizing the Office's regulatory information and to serve as a secure data repository for the Office's

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
COMPANY AND RELATED ENTITY				
NAVIGATOR FEASIBILITY STUDY				36365C0

business units. From its inception, CORE was intended to function as a cornerstone application system in support of fundamental, mission-critical business functions. It houses data for insurance licensing and regulation and provides links to data from agent licensing which is now regulated by the DFS' Division of Agents and Agency Services. CORE also plays an important role with regard to supporting DFS' Division of Consumer Services in responding to complaints and inquiries concerning insurance matters that are received from consumers.

CORE was re-engineered in 2001 to harness web-based technology and to create more flexible, personal computer-based support for the Office's insurance examiners and analysts. This enhanced application system, which is now known as COREN, provided the means for establishing improved methods for querying company information including such things as company name, authority category, authority type, Federal Employer Identification Number (FEIN), National Association of Insurance Commissioners (NAIC) Company Code, line(s) of business, company contacts, and company applications. Application system re-engineering in this instance resulted in improved system user satisfaction and improved efficiency and effectiveness of many of the Office's operational and administrative activities that are supported by this application system.

Currently the COREN application system provides the Office's management, insurance examiners and analyst and other system users with information about insurers and other entities that are licensed or authorized to do business in the State of Florida, as well as information concerning specific lines of business associated with these insurers and entities. This information includes general company information such as: name of insurer or other entity; FEIN; date licensed or authorized to do business in the State of Florida; State of domicile; fictitious name(s), if any; business addresses; website address; names of officers and directors; contact information; and other information. The enhanced application system also includes names of affiliated companies, as well as the names of individual owners and parent company information, information which has become increasingly important given the increased number of mergers and acquisitions and interlocking directorships that have occurred in the marketplace. A recently introduced Historical/Public Statement Section within the COREN application system provides information concerning changes in licensing and ownership that are relevant to specific companies and other entities.

Enhancements that will result from upgrading the COREN application system include, but may not be limited to:

- Easier access to detailed company information such as previous owners, dates of mergers and acquisitions, contact information, officers and directors, etc.;
- Changes to selected fields from text fields to data fields that can then be queried by system users;
- Production of improved documentation of changes in company information as well as retention of comprehensive historical information;
- Improved capabilities for creating ad hoc reports based on inquiries, including those received from the Governor's Office, the Florida Legislature, internal management, and external entities;

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
COMPANY AND RELATED ENTITY				
NAVIGATOR FEASIBILITY STUDY				36365C0

Improved and expanded standardized reporting;

Improved tools for use by the Office's examiners and analysts to assist them in monitoring or examining their assigned companies, including improved search functions and linkages to archived documentation and data;

User friendly tools for use by consumers to assist them in obtaining information on the Office's website, resulting in improved accessibility to information and data for consumers.

In summary, development and implementation of needed COREN upgrades will permit the Office to apply improved, up-to-date computing technology in order to achieve improved efficiency, effectiveness and cost-effectiveness of regulatory activities. Accordingly the feasibility study for which funding is being requested is urgently needed for FY 2009-2010 in order to strategically position the Office to effectively support customer requirements and mission critical regulatory functions and activities for the foreseeable future.

Ultimate Outcome: The ultimate outcome if this request is approved is development of a comprehensive feasibility study that will: reveal the technical and economical feasibility of upgrading the COREN application system; reveal the most appropriate approach for designing, developing and implementing upgrades of this application system; and provide cost-benefit information for alternate approaches to upgrading the COREN application system.

Please refer to the benefits listed above concerning what the upgraded COREN application system will provide to its customers if this feasibility study is funded and follow on upgrades to this application system are funded.

The benefits that will be realized by the Office by performing this urgently needed feasibility study prior to the development and implementation of the needed upgrade to COREN include, but may not be limited to:

Development and documentation of a comprehensive overview of COREN and related application systems;

Identification and documentation of technology compatibility among the foundation system - COREN -- and application systems and modules with which it interfaces;

Employment of knowledge, experience and recommendations of technical experts for improving the functionality of the COREN application system;

Determination, evaluation and documentation of the technical and economical feasibility of alternative approaches for designing, developing and implementing upgrades to the COREN application system;

Determination, evaluation and documentation of up-to-date data security measures to be employed by the COREN application

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
COMPANY AND RELATED ENTITY				
NAVIGATOR FEASIBILITY STUDY				36365C0

system.

Determination, evaluation and documentation of cost and benefits associated with each alternative approach that may be employed for upgrading the COREN application system.

FY09-10

Category	Amount	Non-recurring
	-----	-----
Contracted Services (100777):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$400,000	\$400,000
-----	-----	-----
Issue Total	\$400,000	\$400,000

TOTAL: REGULATION AND LICENSING 1204.00.00.00
 BY FUND TYPE

TRUST FUNDS.....	280.00			
SALARY RATE.....	27,722,150	413,236		2000
	13,009,674			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
EXEC DIR & SUPORT SERVICES				43900120
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,159,595			
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	37.00	2,733,408		2393 1
EXPENSES				040000
INSURANCE REG TF -STATE		220,824		2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE		117,710		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		15,449		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	37.00			
TOTAL ISSUE.....		3,087,391		
TOTAL SALARY RATE.....	2,159,595			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
INSURANCE REG TF -STATE		5,334		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,633-		2393 1
		=====		
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	26,670		2393 1
		=====		
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,633-		2393 1
		=====		
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
		37.00		
TRUST FUNDS.....		3,116,129		2000
SALARY RATE.....		2,159,595		
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,238,714			
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	124.00	8,057,520		2275 1
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF -STATE	800,000			2275 1
EXPENSES				040000
FINANCIAL INST REG TF -STATE	1,536,846			2275 1
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF -STATE	6,986			2275 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF -STATE	367,012			2275 1
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF -STATE	22,482			2275 1
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF -STATE	49,343			2275 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	124.00			
TOTAL ISSUE.....		10,840,189		
TOTAL SALARY RATE.....		6,238,714		
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE		18,247		2275 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE		3,085-		2275 1
=====				
NONRECURRING EXPENDITURES				2100000
ADDITIONAL RESOURCES REQUIRED				
FOR EXAMINATION OF FINANCIAL				
INSTITUTIONS				2103062
EXPENSES				040000
FINANCIAL INST REG TF -STATE		6,776-		2275 1
=====				
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF -STATE		2,000-		2275 1
=====				
TOTAL: ADDITIONAL RESOURCES REQUIRED				2103062
FOR EXAMINATION OF FINANCIAL				
INSTITUTIONS				
TOTAL ISSUE.....		8,776-		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF INFORMATION				
TECHNOLOGY EQUIPMENT -				
OFFICE OF FINANCIAL REGULATION				24030C0
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF	-STATE	152,160	152,160	2275 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Replacement Equipment to Conduct Financial Institution Examinations

Issue Description/Need: Most of the Division of Financial Institutions' (DFI or Division) examination work is conducted on site at financial institutions. The Division uses federal examination software programs and data bases every day. Examination reports, loan reviews and many work paper modules are automated. Internet research is critical to the loan review and operational processes. The federal agencies supply the automated examination software and updates to the Division at no cost. As a result, DFI does not control when computer upgrades are required or the level of technological capabilities needed to use the latest versions of applications.

The current laptops were purchased in March 2006. By the beginning of FY 2009-2010, the existing laptops will be over 3 years old and will be unable to support planned software upgrades. In addition, program accreditation standards of the Conference of State Bank Supervisors (the Division has been accredited since 1986) and the National Association of State Credit Union Supervisors (the Division has been accredited since 2004) require an accredited regulatory agency to upgrade examiner laptops every three years. The Division is requesting funding for 120 laptops at \$1,268 each for examination FTE and OPS staff.

Ultimate Outcome: The DFI will continue to efficiently perform its core mission, to ensure a safe and sound state chartered financial institution system through effective examinations. Failure to replace its existing outdated and inadequate technological resources will lead to wasted time, inefficiencies and substandard performance.

DFI is 100% funded through financial institution assessments, examination fees and application fees. No general revenue funds or taxpayer dollars are spent on DFI's operations. The Financial Institutions Regulatory Trust Fund has a sufficient balance and can ensure a sufficient revenue stream to fully fund this issue. Furthermore, the financial institution industry supports a strong, effective regulatory program with an experienced corps of regulators.

Detail of Costs:

Category	Amount	Non-Recurring
	-----	-----
Operating Capital Outlay (060000):		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
EQUIPMENT NEEDS				2400000
REPLACEMENT OF INFORMATION				
TECHNOLOGY EQUIPMENT -				
OFFICE OF FINANCIAL REGULATION				24030C0
Financial Institutions Regulatory Trust Fund (2275) (1)				
Replacement of 120 Laptops at 1,268 each			\$152,160	\$152,160
-----			-----	-----
Issue Total			\$152,160	\$152,160

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - 10 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1800
				010000

FINANCIAL INST REG TF	-STATE	91,235		2275	1
=====					

LIFE AND DISABILITY INSURANCE REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000

FINANCIAL INST REG TF	-STATE	3,085-		2275	1
=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
INCREASE FUNDING TO CONDUCT				
FINANCIAL INSTITUTIONS EXAMINATIONS				3000450
EXPENSES				040000
FINANCIAL INST REG TF	-STATE	300,000		2275 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Increase Expense Funding to Conduct Financial Institution Examinations

Issue Description/Need:

An increasing number of state financial institutions are becoming significantly stressed by the current deteriorating economic conditions. As of 10/1/2008, 21% of the 347 financial institutions the Division of Financial Institutions (DFI or Division) supervises, representing almost 30% of total assets, are on DFI's "Watch List." Just one year earlier, 13% of the institutions representing 17% of assets were on the list. The Watch List consists of financial institutions that have been identified as high risk from examination, call report or other information available to the DFI. As a result, the number of examinations required to be conducted is increasing dramatically.

The majority of the Division's expense budget covers travel for regulatory purposes. On-site examinations are required to fully assess the condition of a financial institution and/or to impose and monitor regulatory actions. The costs of hotels, air fares, tolls, etc. have increased but no corresponding increase in expense budget has been appropriated. During FY 2007-2008, the Division had to transfer \$250,000 in budget authority to cover the increases in travel costs. An additional \$300,000 expense appropriation is requested.

Ultimate Outcome:

DFI must travel to conduct examinations. The increasing number of examinations requires even more travel than previously.

DFI is 100% funded through financial institution assessments, examination fees and application fees. No general revenue funds or taxpayer dollars are spent on DFI's operations.

Detail of Costs:

Category	Amount	Non-Recurring
	-----	-----

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
INCREASE FUNDING TO CONDUCT				
FINANCIAL INSTITUTIONS EXAMINATIONS				3000450
Expense (040000):				
Financial Institutions Regulatory Trust Fund (2275) (1)				
Additional Expense Funding				
Total			\$ 300,000	\$ 0
-----			-----	-----
Issue Total			\$ 300,000	\$ 0

DISTRESSED INSTITUTIONS UNIT STAFF				3002A40
SALARY RATE				000000
SALARY RATE.....	3,846,226			
=====				
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	20.00	4,433,661		2275 1
=====				
EXPENSES				040000
FINANCIAL INST REG TF -STATE	534,960	68,240		2275 1
=====				
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF -STATE	25,360	25,360		2275 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF -STATE	8,020			2275 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
DISTRESSED INSTITUTIONS UNIT STAFF				3002A40
TOTAL: DISTRESSED INSTITUTIONS UNIT STAFF				3002A40
TOTAL POSITIONS.....	20.00			
TOTAL ISSUE.....	5,002,001	93,600		
TOTAL SALARY RATE.....	3,846,226			

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N2005 001	20.00	2,268,311		587,435	2,855,746	0.00	2,855,746
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N2006 001	0.00	1,577,915			1,577,915	0.00	1,577,915
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							4,433,661
	20.00	3,846,226		587,435	4,433,661		4,433,661

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OTHER PERSONAL SERVICES TO ASSIST				
WITH FINANCIAL INSTITUTION				
EXAMINATIONS				3005900
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF	-STATE	216,000		2275 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Other Personal Services Appropriations to Assist with Financial Institution Examinations

Issue Description/Need:

An increasing number of state financial institutions are becoming significantly stressed by the current deteriorating economic conditions. As of 10/1/2008, 21% of the 347 financial institutions the Division of Financial Institutions (DFI) supervises, representing almost 30% of total assets, are on DFI's "Watch List." Just one year earlier, 13% of the institutions representing 17% of assets were on the list. The Watch List consists of financial institutions that have been identified as high risk from examination, call report or other information available to the DFI. The list is increasing at an increasing rate and, without a significant boost in the number of highly experienced examination/supervision force, unnecessary failures and other losses will plague Florida's state financial institution industry.

Several factors determine examination frequency: size, condition, time in operation, statutory requirements and agreements with federal regulators. Currently the statutory 18-month and 36-month mandates rarely determine the examination cycle for an institution. Increasingly, it is the age of an institution and its financial condition which primarily determine the required examination frequency which is considerably more frequently than statutory mandates. Today, all financial institutions are under significant financial stress and a significant number are troubled due to deteriorating economic conditions. Out of necessity, by DFI policy and alternating examination agreements with the federal regulators, problem institutions require more frequent examinations and monitoring. Due to the issues presented in the troubled institutions, DFI needs to be able to use very experienced staff to conduct these exams. In lieu of permanent new FTEs, in FY 04-05 the Division requested and was approved OPS funds to hire retired state and federal examiners to help with work load. In each of the subsequent four fiscal years, the Division received continued OPS funding, but at a substantially lower rate. DFI currently pays most OPS examiners \$45 per hour, equating to \$92,600 annually for 2,080 hours. Despite that level of pay, the Division has lost several OPS examiners to the Federal Deposit Insurance Corporation (FDIC) for more attractive salaries and benefits. Also, the Federal Reserve Board (FRB) and the Office of Thrift Supervision (OTS) have started offering \$60 per hour for retired examiners. In order to retain and hopefully increase its OPS staff, DFI must increase its hourly rate. An additional \$216,000 of OPS funds is requested to increase hourly compensation for 10 OPS staff to \$60 an hour on average for 36 weeks per year.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OTHER PERSONAL SERVICES TO ASSIST				
WITH FINANCIAL INSTITUTION				
EXAMINATIONS				3005900

DFI can no longer meet its current workload. While the DFI has successfully averted several failures, in August 2008, DFI closed the first state chartered bank in more than 16 years. Depositors held \$13.1 million in uninsured deposits in the failed First Priority Bank, Bradenton, FL. Given the current economic crisis, DFI expects additional failures to occur and additional uninsured deposits may be lost by Florida depositors if significant additional resources are not allocated to the DFI.

Ultimate Outcome:

If DFI does not receive the additional resources, the consequences of continued understaffing and underfunding will be so severe that the financial institution industry, the citizens of Florida and Florida's economy may be permanently damaged. Additional bank failures will occur, possibly involving more uninsured deposit losses. Funding DFI's OPS, salary and FTE needs will help to ensure the private financial interests of the public are safeguarded through the examination, regulation and supervision of state chartered financial institutions by a qualified and competent fully-staffed regulatory agency.

DFI is 100% funded through financial institution assessments, examination fees and application fees. No general revenue funds or taxpayer dollars are spent on DFI's operations. The Financial Institutions Regulatory Trust Fund has a sufficient balance and can ensure a sufficient revenue stream to fully fund this issue. Furthermore, the financial institution industry supports a strong, effective regulatory program with an experienced corps of regulators.

Detail of Costs:

Category	Amount	Non-Recurring
	-----	-----
Other Personal Services (030000):		
Financial Institutions Regulatory Trust Fund (2275) (1)		
Additional OPS Funding		
Total	\$ 216,000	\$ 0
-----	-----	-----
Issue Total	\$ 216,000	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	144.00			
TRUST FUNDS.....	16,604,886	245,760		2000
SALARY RATE.....	10,084,940			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	3,026,492			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	1,962,357			2021 1
REGULATORY TRUST FUND -STATE	1,686,892			2573 1
TOTAL POSITIONS.....	64.00			
TOTAL APPRO.....	3,649,249			
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	5,321			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	387,736			2021 1
REGULATORY TRUST FUND -STATE	329,936			2573 1
FED LAW ENFORCEMENT TF -FEDERL	51,758			2719 3
TOTAL APPRO.....	769,430			
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	10,600			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	10,418			2021 1
REGULATORY TRUST FUND -STATE	5,936			2573 1
TOTAL APPRO.....	16,354			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE		8,868		2021 1
REGULATORY TRUST FUND -STATE		3,325		2573 1
TOTAL APPRO.....		12,193		
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		14,486		2021 1
REGULATORY TRUST FUND -STATE		10,880		2573 1
TOTAL APPRO.....		25,366		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	64.00			
TOTAL ISSUE.....		4,488,513		
TOTAL SALARY RATE.....		3,026,492		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				1001800
FISCAL YEAR 2008-09				010000
SALARIES AND BENEFITS				
ADMINISTRATIVE TRUST FUND -STATE		5,229		2021 1
REGULATORY TRUST FUND -STATE		4,496		2573 1
TOTAL APPRO.....		9,725		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		757-		2021 1
REGULATORY TRUST FUND -STATE		650-		2573 1
TOTAL APPRO.....		1,407-		
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		26,145		2021 1
REGULATORY TRUST FUND -STATE		22,480		2573 1
TOTAL APPRO.....		48,625		
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		757-		2021 1
REGULATORY TRUST FUND -STATE		650-		2573 1
TOTAL APPRO.....		1,407-		
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	64.00			2000
SALARY RATE.....		4,544,049		
SALARY RATE.....		3,026,492		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
EXEC DIR & SUPPORT SERVICE				43900550
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,833,313			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	2,119,628			2021 1
REGULATORY TRUST FUND -STATE	1,399,848			2573 1
TOTAL POSITIONS.....	49.00			
TOTAL APPRO.....	3,519,476			
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	242,862			2021 1
REGULATORY TRUST FUND -STATE	186,300			2573 1
TOTAL APPRO.....	429,162			
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	4,134			2021 1
REGULATORY TRUST FUND -STATE	6,914			2573 1
TOTAL APPRO.....	11,048			
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	8,766			2021 1
REGULATORY TRUST FUND -STATE	569			2573 1
TOTAL APPRO.....	9,335			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
EXEC DIR & SUPPORT SERVICE				43900550
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	11,639			2021 1
REGULATORY TRUST FUND -STATE	7,785			2573 1
TOTAL APPRO.....	19,424			
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	49.00			
TOTAL ISSUE.....	3,988,445			
TOTAL SALARY RATE.....	2,833,313			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	5,089			2021 1
REGULATORY TRUST FUND -STATE	3,361			2573 1
TOTAL APPRO.....	8,450			
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	1,274-			2021 1
REGULATORY TRUST FUND -STATE	841-			2573 1
TOTAL APPRO.....	2,115-			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
EXEC DIR & SUPPORT SERVICE				43900550
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		25,445		2021 1
REGULATORY TRUST FUND -STATE		16,805		2573 1
TOTAL APPRO.....		42,250		
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		1,274-		2021 1
REGULATORY TRUST FUND -STATE		841-		2573 1
TOTAL APPRO.....		2,115-		
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	49.00			
SALARY RATE.....		4,034,915		2000
		2,833,313		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....		5,586,818		
		=====		
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	122.00		
		7,209,600		2573 1
		=====		
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND	-STATE	300,000		2573 1
		=====		
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	1,300,910		2573 1
		=====		
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND	-STATE	6,631		2573 1
		=====		
SPECIAL CATEGORIES				100000
MORTGAGE BRK EXAMS - OFR				100514
REGULATORY TRUST FUND	-STATE	1,401,030		2573 1
		=====		
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND	-STATE	3,141,565		2573 1
		=====		
MONEY SER BUSINESS EXAMS				100830
REGULATORY TRUST FUND	-STATE	500,000		2573 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE		21,338		2573 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		48,124		2573 1
=====				
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
REGULATORY TRUST FUND -STATE		3,963,984		2573 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	122.00			
TOTAL ISSUE.....		17,893,182		
TOTAL SALARY RATE.....		5,586,818		
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		19,101		2573 1
=====				
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		2,724-		2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
LICENSING ENFORCEMENT SYSTEM				2103035
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
REGULATORY TRUST FUND	-STATE	3,157,454-		2573 1
		=====		
MORTGAGE BROKER EXAMINATIONS				2103059
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	3,388-		2573 1
		=====		
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND	-STATE	1,000-		2573 1
		=====		
TOTAL: MORTGAGE BROKER EXAMINATIONS				2103059
TOTAL ISSUE.....		4,388-		
		=====		
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	95,505		2573 1
		=====		
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	2,724-		2573 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
IMPLEMENTATION OF THE SAFE MORTGAGE				
LICENSING ACT OF 2008				3000A00
SALARY RATE				000000
SALARY RATE.....	675,828			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	17.00	961,391		2573 1
EXPENSES				040000
REGULATORY TRUST FUND -STATE	170,189	57,563		2573 1
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	17,000	17,000		2573 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	6,817			2573 1
TOTAL: IMPLEMENTATION OF THE SAFE MORTGAGE				3000A00
LICENSING ACT OF 2008				
TOTAL POSITIONS.....	17.00			
TOTAL ISSUE.....	1,155,397	74,563		
TOTAL SALARY RATE.....	675,828			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Implementation of the SAFE Mortgage Licensing Act of 2008

Issue Description/Need

In July 2008, President George Bush signed into law major housing reform legislation (Public Law 110-289). The

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
IMPLEMENTATION OF THE SAFE MORTGAGE				
LICENSING ACT OF 2008				3000A00

legislation is designed to prevent foreclosures, stabilize the declining housing market, and reform the government-sponsored enterprises, Fannie Mae and Freddie Mac. This measure is titled "The Secure and Fair Enforcement in Mortgage Licensing Act of 2008," or "the SAFE Act." This legislation will have significant impact on the operations of the Office of Financial Regulation (OFR or Office). Among other things, it will require Florida to remove an existing licensing exemption for loan originators employed by mortgage lenders. In addition, the SAFE Act will require loan originators to meet minimum national licensing standards to ensure they are professional, competent and trustworthy, and provides authority for the establishment of a Nationwide Mortgage Licensing System (NMLS). The SAFE Act is intended to eliminate those persons with a history of certain criminal activity or specific misconduct relating to loan origination from the mortgage industry. The NMLS has been developed by the Conference of State Bank Supervisors and American Association of Residential Mortgage Regulators and is intended to increase uniformity, reduce regulatory burden, and enhance consumer protection. OFR currently regulates over 64,600 individual mortgage brokers, and over 14,800 mortgage broker businesses and lenders.

Further, all states will be required to license their loan originators, including mortgage brokers, through the NMLS. The states must comply with minimum licensing standards as established by the Safe Act or be subjected to regulation of the mortgage industry by the Department of Housing and Urban Development (HUD). The states have one year from passage of the SAFE Act to adopt these increased licensing standards and participate in the NMLS, with a possible extension of two additional years. OFR will propose significant legislative changes to Chapter 494, F.S., during the 2009 Legislative Session to comply with federal law. OFR also proposes to begin participating in the NMLS during FY 2009-10 by accepting applications, renewals and amendment filings via the NMLS for the mortgage industry.

Chapter 494, F.S., currently defines mortgage brokers separately from loan originators. Loan originators are presently exempt from licensure under the statute. To comply with the SAFE Act, OFR proposes to amend Chapter 494, F.S., to remove the loan originator licensing exemption, and fold mortgage brokers and loan originators into one new license-type for loan originators. To accomplish the transition from an individual mortgage broker license to a loan originator license, the proposed statutory changes would allow existing mortgage broker licenses to continue from the effective date of the bill until December 31, 2010 so long as they apply for the new loan originator license between October 1, 2009 and December 31, 2009. OFR anticipates that a majority of the currently unlicensed loan originators will apply for a mortgage broker license before October 1, 2009 to be eligible to continue conducting business until a decision is made on a new loan originator license. To determine the how these changes will impact OFR and the potential number of applications that may be filed, OFR reviewed the most recent quarterly report data of individuals reported by mortgage businesses and lenders currently employed in this industry. Presently there are about 47,000 individuals working at mortgage broker businesses and lenders. Of the 47,000 individuals, 11,000 are working at mortgage brokerage businesses where a mortgage broker license is already required. The remaining 36,000 individuals are working at a licensed lender where individual licensing is presently exempted. Of these 36,000 individuals the Bureau of Regulatory Review-Finance (Bureau) has determined that about 10,000 already hold an active mortgage broker license even though they are currently exempt. The Bureau concludes that the remaining 26,000 individuals (not presently licensed) may immediately begin applying for a mortgage broker license in order to be actively licensed by the October 1, 2009 deadline. In conclusion, this means that

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
IMPLEMENTATION OF THE SAFE MORTGAGE				
LICENSING ACT OF 2008				3000A00

OFR may be faced with an initial 26,000 unlicensed individuals seeking a mortgage broker license before October 1, 2009; then by no later than December 31, 2009 a potential 47,000 licensed mortgage brokers applying for the new loan originator license. In total, this means that OFR may have to process 73,000 individual license applications by December 31, 2010.

Currently, mortgage brokers only renew every other year but are required to renew annually under the SAFE Act. Additionally, the SAFE Act requires all loan originators must continue to meet the same minimum licensing standards at renewal as they did upon initial licensure. Consequently, OFR is proposing legislation that will require loan originators and control persons of mortgage broker companies and mortgage lenders to annually submit a fingerprint card to enable a criminal background check, an updated credit report, and updated disclosure information prior to the issuance of a renewal license for the loan originators, brokers and lenders. This will require OFR to review over 60,000 (47,000 loan originators and about 13,000 control persons) additional fingerprint cards, credit reports and disclosure information prior to each license renewal beginning December 31, 2010. The significant changes in licensing and renewal standards will result in the need for additional FTEs to perform these tasks and comply with the mandates established by federal law.

Ultimate Outcome:

If this issue is not funded, OFR will not be able to comply with the federal mandate of the SAFE Act and regulation of Florida's mortgage industry would revert to HUD. The states have one year from passage of the SAFE Act to adopt these increased licensing standards and participate in the NMLS. The additional FTEs are requested to allow OFR to review license applications and renewal information in accordance with the new federal mandates related to loan originators.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Financial Administrator	1587	429	1	56,147	75,969	10,112	1,000	401	89,382
Fin Exam Analyst Super	1575	426	1	46,382	64,452	10,112	1,000	401	75,965
Financial Specialist	1566	025	4	174,034	244,249	40,448	4,000	1,604	290,301
Financial Examiner II	1564	023	8	309,288	442,775	80,896	8,000	3,208	534,879
Financial Examiner I	1554	020	2	65,396	96,632	20,224	2,000	802	119,658
Admin Assistant I	1427	015	1	24,581	37,314	8,397	1,000	401	47,112
Total			17	675,828	961,391	170,189	17,000	6,817	1,157,297

Category	Amount	Non-recurring
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
IMPLEMENTATION OF THE SAFE MORTGAGE				
LICENSING ACT OF 2008				3000A00
Salaries and Benefits (010000):				
Regulatory Trust Fund (2573) (1)				
Total			\$961,391	\$ 0
Expenses:				
Regulatory Trust Fund (2573) (1)				
16 Professional Packages at 10,112			\$161,792	\$54,592
1 Support Staff at \$8,397			\$ 8,397	\$ 2,971
Total Expense			\$170,189	\$57,563
Operating Capital Outlay (060000):				
Regulatory Trust Fund (2573) (1)				
17 Standard OCO packages			\$ 17,000	\$17,000
Transfer to Human Resource Services (107040):				
Regulatory Trust Fund (2573) (1)				
Total			\$ 6,817	\$ 0
-----			-----	-----
Issue Total			\$1,163,397	\$82,563

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
1427 ACCOUNTANT I							
N2155 001	1.00	24,581		12,733	37,314	0.00	37,314
1554 FINANCIAL EXAMINER/ANALYST I - SES							
N2154 001	2.00	65,396		31,236	96,632	0.00	96,632
1564 FINANCIAL EXAMINER/ANALYST II - SES							
N2153 001	8.00	309,288		133,487	442,775	0.00	442,775
1566 FINANCIAL SPECIALIST - SES							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
IMPLEMENTATION OF THE SAFE MORTGAGE				
LICENSING ACT OF 2008				3000A00

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
N2152 001	4.00	174,032		70,217	244,249	0.00	244,249
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
N2151 001	1.00	46,382		18,070	64,452	0.00	64,452
1587 FINANCIAL ADMINISTRATOR - SES							
N2150 001	1.00	56,149		19,820	75,969	0.00	75,969
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							961,391
	17.00	675,828		285,563	961,391		961,391

MONEY TRANSMITTER REGULATION STAFF							3000A10
INCREASE							000000
SALARY RATE							
SALARY RATE.....	230,119						
=====							
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND	6.00						
-STATE		322,658					2573 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MONEY TRANSMITTER REGULATION STAFF				
INCREASE				3000A10
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	55,527	19,149	2573 1
=====				
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND	-STATE	11,700	11,700	2573 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	2,406		2573 1
=====				
TOTAL: MONEY TRANSMITTER REGULATION STAFF				3000A10
INCREASE				
TOTAL POSITIONS.....	6.00			
TOTAL ISSUE.....		392,291	30,849	
TOTAL SALARY RATE.....	230,119			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Money Transmitter Case Management

Issue Description/Need:

Issue Title: Improve Money Services Businesses Examination Process

Issue Description/Need:

In March of 2008, the Eighteenth Statewide Grand Jury issued a report on criminal activity in the check cashing industry. The Grand Jury made significant findings with regard to the criminal activity occurring within the industry, and ultimately made sweeping recommendations with regard to the Bureau of Money Transmitter Regulation's (MTR's) ability to combat these issues within its existing resources. The Legislature responded to many of the Grand Jury recommendations with the passage of Senate Bill 2158 (SB 2158).

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MONEY TRANSMITTER REGULATION STAFF				
INCREASE				3000A10

The Grand Jury found that entities regulated by Chapter 560, F.S.(i.e., funds transmitters, payment instrument issuers, check cashers, currency exchangers, and deferred presentment providers), are targeted by unscrupulous people and businesses in an attempt to move currency in and out of the banking system or around the world undetected in order to conceal criminally obtained monies, fund illegal/terrorist activity, or avoid taxes.

SB 2158 incorporated a large number of the Grand Jury's recommendations including:

- 1.Examining each licensee on a regular cycle of at least once every five years;
- 2.Examining each new licensee within six months of granting a license;
- 3.Making written referrals to criminal investigative agencies for all potential criminal violations;
4. Contracting with outside third party examiners to supplement examination resources; and
5. Assessing, invoicing and collecting the cost of the examination from licensees.

Additionally, the Grand Jury made a number of other recommendations related to program resources including:

- 1.Hiring additional examination staff for MTR;
- 2.Hiring clerical/administrative support staff to free up examiners time (currently MTR has no administrative support staff); and
- 3.Conducting follow up examinations within 3-6 months where violations of law have been detected to ensure that corrective actions have been implemented.

In FY 2007-08, MTR completed 162 examinations, with 59 resulting in enforcement actions against registered firms. Currently, the estimated total workload to meet the required examination schedule is 495 examinations annually. In order to meet its statutory requirements, MTR will use third party contractors and the licensees will pay the cost of all examinations. The Legislature appropriated three additional examiners for FY 2008-2009 for the regulation of money services businesses (MSBs) and \$500,000 to contract with third party examiners.

MTR is requesting three FTEs to provide case management support to the third party contract examination program and three FTEs to handle routine day to day administrative support functions for the examination staff.

Administrative support staff would assist MTR in the preparation of written criminal referrals, production of correspondence, scanning of documents, preparation of pre-examination materials for field staff and contract examiners, and running Bank Secrecy Act data reports necessary for each examination. Currently, examiners must perform all of these administrative tasks, reducing the time they have to spend conducting examinations. The Grand Jury recommended the hiring of clerical/administrative support staff to increase MTR's overall efficiency and enhance productivity. MTR is estimating a 20% increase in productivity related to the hiring of administrative support staff.

The addition of three FTEs for case management is critical given that many functional duties of MTR can not be met by contractors including: monitoring contracts, assigning examinations to contractors, conducting background checks on contract examiners, reviewing deliverables (examination reports and work papers), authorizing payments to the contract

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MONEY TRANSMITTER REGULATION STAFF				
INCREASE				3000A10

examiners, invoicing and collecting examination fees from licensees, marshalling examinations through the administrative legal process, making and representing the agency in regards to criminal referrals, and performing other responsibilities of the agency related to the completion of examinations. Without the addition of these resources, MTR would be forced to reassign some of its existing field staff for case management support functions. MTR estimates reassignments for case management would result in a 33% reduction to its existing field examination staff.

Ultimate Outcome:

MTR protects the consumer interests of those utilizing the services of money services businesses such as money transmitters, payment instrument sellers, foreign currency exchangers, check cashiers, and deferred payment providers. The addition of three administrative support and three case managers to MTR will increase examination productivity in the next fiscal year, and assist the MTR with the meeting its statutory mandates. If the overall size of the industry remains stable, this will allow MTR to fulfill its statutory mandates.

In addition to the additional FTEs, MTR requests OCO funds to purchase laptop computers with docking stations (instead of desktop computers) for the professional positions. Revenues generated by the money transmitter industry are sufficient to cover the additional increases requested.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Financial Administrator	1587	429	1	56,147	75,969	10,112	2,900	401	89,382
Financial Control Analyst	1567	026	1	46,382	62,979	10,112	2,900	401	76,392
Financial Specialist	1566	025	1	43,508	59,596	10,112	2,900	401	73,009
Admin Assistant I	0709	015	3	84,080	124,114	25,191	3,000	1,203	153,508
Total			6	230,119	322,658	55,527	11,700	2,406	392,291

Category	Amount	Non-recurring
Salaries and Benefits (010000):		
Regulatory Trust Fund (2573) (1)		
Total	\$322,658	\$ 0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MONEY TRANSMITTER REGULATION STAFF				
INCREASE				3000A10

Expenses (040000):

Regulatory Trust Fund (2573) (1)			
3 Professional Expense Package		\$ 30,336	\$ 8,700
3 Support Staff Expense Package		\$ 25,191	\$ 8,913
Total Expense		\$55,527	\$19,149

Operating Capital Outlay (060000):

Regulatory Trust Fund (2573) (1)			
7 OCO Package (\$2,000 each laptop, docking stations)		\$ 8,700	\$ 8,700
3 Standard OCO Package		\$ 3,000	\$ 3,000
Total OCO		\$ 11,700	\$11,700

Human Resource Services (107040):

Regulatory Trust Fund (2573) (1)			
Total		\$ 2,406	\$ 0

Issue Total		\$392,291	\$30,849
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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
0709 ADMINISTRATIVE ASSISTANT I							
N2160 001	3.00	84,080		40,034	124,114	0.00	124,114
1566 FINANCIAL SPECIALIST							
N2158 001	1.00	43,508		16,088	59,596	0.00	59,596
1567 FINANCIAL CONTROL ANALYST							
N2157 001	1.00	46,382		16,597	62,979	0.00	62,979

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MONEY TRANSMITTER REGULATION STAFF				
INCREASE				3000A10

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
1587 FINANCIAL ADMINISTRATOR - SES							
N2156 001	1.00	56,149		19,820	75,969	0.00	75,969
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							322,658
	6.00	230,119		92,539	322,658		322,658

MORTGAGE EXAMINATION STAFFING							3000A20
SALARY RATE							000000
SALARY RATE.....	556,584						
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE	10.00	738,986					2573 1
EXPENSES							040000
REGULATORY TRUST FUND -STATE	141,120	34,120					2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MORTGAGE EXAMINATION STAFFING				3000A20
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND	-STATE	25,000	25,000	2573 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	4,010		2573 1
=====				
TOTAL: MORTGAGE EXAMINATION STAFFING				3000A20
TOTAL POSITIONS.....		10.00		
TOTAL ISSUE.....		909,116	59,120	
TOTAL SALARY RATE.....		556,584		
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Finance Regulation - Mortgage Examination Staffing

Issue Description/Need:

In July 2008, President George Bush signed into law major housing reform legislation (Public Law 110-289). The legislation is designed to prevent foreclosures, stabilize the declining housing market, and reform the government-sponsored enterprises, Fannie Mae and Freddie Mac. This legislation will have significant impact on the operations of the Office of Financial Regulation (OFR or Office). The legislation includes a measure to establish minimum national licensing and oversight standards for America's mortgage brokers and loan originators. This measure is titled "The Secure and Fair Enforcement in Mortgage Licensing Act of 2008," or "The SAFE Mortgage Licensing Act of 2008." The SAFE Mortgage Licensing Act of 2008 is intended to eliminate from the mortgage industry those persons with a history of certain criminal activity or specific misconduct relating to loan origination. The Act will also require mortgage brokers and loan originators to meet minimum national standards to ensure they are professional, competent and trustworthy, and provides authority to establish a Nationwide Mortgage Licensing System (NMLS). This system has been developed by the Conference of State Bank Supervisors and American Association of Residential Mortgage Regulators and is intended to increase uniformity, reduce regulatory burden, and enhance consumer protection. OFR currently regulates over 64,600 mortgage broker individuals, and over 14,800 mortgage broker businesses and lenders. With the passing of the bill, the agency will now be required to license and regulate mortgage loan originators. It is estimated that an additional 26,000 mortgage loan originators will seek licensure to comply with the law.

The Office is requesting 10 positions to examine licensed mortgage brokers and lenders to protect consumers from current

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MORTGAGE EXAMINATION STAFFING				3000A20

and future fraudulent or abusive activity in the mortgage industry. There are expected to be 114,600 mortgage brokers and loan originators who will be required to be registered to conduct business in the mortgage industry in the State of Florida by July 2009. This represents a 77% increase in the number of licensed individuals.

Currently, the Bureau of Finance Regulation (Bureau) has 46 examiners to conduct examinations of mortgage licensees. The Office received 1,812 mortgage-related complaints in Fiscal Year 07-08, a 36% increase from Fiscal Year 07-08 (1,336 complaints). The Office believes that with the issues in the sub prime mortgage industry and the upward adjustment of the adjustable interest rates on mortgages, there will be an increasing number of foreclosures and borrowers attempting to refinance their loans into fixed rate products. These events will cause the number of mortgage-related complaints to further increase and may identify further problems in the industry. The more quickly and thoroughly OFR responds to those complaints, the better opportunity to protect consumers.

Bureau examiners conducted 185 examinations in Fiscal Year 07-08. The Bureau is developing a "risk-based" targeting approach to identify the highest risk entities for examination. Even with focusing examination resources on high risk companies, OFR knows it must be able to conduct more examinations and respond more quickly to consumer complaints. The addition of 10 positions would allow OFR to conduct at least 40 more mortgage examinations to identify and stop potential fraud.

A survey by the Office found a large number of mortgage lenders are servicing sub prime loans for private investors, and possibly selling unregistered securities to investors. The Office believes this is an area where there is a significant potential for mortgage and securities fraud. Currently the Office has 10 significant examinations open and nine more to be initiated regarding this type of activity in South Florida.

Additionally, examinations need to be conducted on companies engaged in potentially predatory lending practices. Areas of concern that need to be reviewed include inflated appraisals, falsified income, high-pressure sales tactics, bait and switch tactics (change of terms at closing), unsupported fees in the servicing of loans, straw buyers, loans made without evaluation of the borrower's ability to repay, not disclosing the interest rate and fees, targeting persons who are not financially sophisticated, loan terms that make it difficult for the borrower to reduce their indebtedness, and repeat refinancing without benefit to the borrower.

On March 13, 2008, the Mortgage Asset Research Institute (MARI) released its report to the Mortgage Bankers Association (MBA). In their report, MARI states that Suspicious Activity Reports (SARS) related to mortgage fraud have risen from 3,515 in FY 2000 to over 46,700 in FY 2007, a 1,229% increase representing approximately \$1 billion in losses. These SARS represent only those filed by federally-regulated institutions and does not encompass the activity in state regulated institutions.

In October 2007, MBA released a paper, "Mortgage Fraud: Strengthening Federal and State Mortgage Fraud Prevention Efforts," which discourages the modification of existing fraud statutes, but instead recommends the increase of personnel to combat mortgage fraud on the streets. The Federal Bureau of Investigations' (FBI's) top criminal investigative

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MORTGAGE EXAMINATION STAFFING				3000A20

executive, Chris Swecker, said that many of the cases involve insiders. "It's either a corrupt appraiser, a corrupt mortgage broker somebody inside the system has gone bad and is exploiting vulnerabilities in the mortgage application process," he said. These inside individuals must be identified and stopped through aggressive and active examinations and investigations so that they cannot continue to enrich themselves at the detriment of Florida's consumers.

The servicing and mortgage fraud cases are complicated and lengthy, requiring teams of individuals to work together for a successful outcome. These individuals should be certified public accountants (CPAs) or certified fraud examiners (CFEs). To entice these types of individuals to state employment, OFR is asking for 10 positions to be created at a level of a Financial Control Analyst, Class 1567 (pay grade 26) and OFR is asking the positions be funded at 20% above the minimum for the class because of the high-level of skill required.

Ultimate Outcome: The Office will be able to better safeguard the private financial interests of the public by examining and regulating the increasing number of licensed mortgage individuals. The Office will be better able to protect consumers while preserving the integrity of Florida's financial services market.

Costs:

The Office requests the addition of 10 Financial Control Analysts to conduct mortgage exams. Because of the desired level of experience and qualifications, OFR is asking the positions be funded at 20% above the minimum for the class. Extensive travel to examination sites will require an additional travel allocation of \$4,000 per examiner is requested. Operating Capital Outlay (OCO) funds of \$2,500 each, rather than the standard \$1,000 each, are being requested to allow the purchase of laptop computers with docking stations and air cards, rather than the standard desktop computers. The use of laptops makes the examiner much more efficient, allowing them to work from examination locations in the firms and directly download information from the exam site.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	HR OCO	HR Services	FY 09-10 Total
Financial Control Analyst	1567	026	10	556,584	738,986	101,120	25,000	4,010	869,116
Travel for 10 positions						40,000			40,000
Issue Total			10	556,584	738,986	141,120	25,000	4,010	909,116

Category Amount Non-recurring

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
MORTGAGE EXAMINATION STAFFING				3000A20

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							738,986
	10.00	556,584		182,402	738,986		738,986

PROGRAM OR SERVICE-LEVEL							
INFORMATION TECHNOLOGY							3630000
NATIONWIDE MORTGAGE LICENSING							
SYSTEM INTEGRATION							36354C0
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
REGULATORY TRUST FUND -STATE	1,250,000	1,250,000					2573 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES

Issue Title: Nationwide Mortgage Licensing System Integration

Issue Description/Need:

The Florida Office of Financial Regulation (Office or OFR) has embarked on a major project to transform the existing set of outdated systems and inefficient processes into a more modern information system to support key business functions and interaction with external entities. The Regulatory Enforcement and Licensing (REAL) System will provide OFR with an integrated financial regulatory management system by combining core processes for licensing, investigations,

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
NATIONWIDE MORTGAGE LICENSING				
SYSTEM INTEGRATION				36354C0

examinations, legal and complaint functions. This consolidation will provide a comprehensive picture of regulated entities from the time they apply for a license or become registered, to the point of their termination. Additionally, the new system will incorporate an imaging, workflow, and document management component to assist the agency in coming closer to a paperless regulatory processing and enforcement environment. Release 1 of the REAL System was deployed in March, 2008 and is currently in use by Chapter 494 entities, Mortgage Brokers and Lenders. Release 2 is scheduled for deployment in January, 2009 and will encompass the remaining licensing types of the Office.

On July 23, 2008, President George Bush signed into law major housing reform legislation (Public Law 110-289). The legislation is designed to prevent foreclosures, stabilize the declining housing market, and reform the government-sponsored enterprises Fannie Mae and Freddie Mac. This legislation will have significant impact on the operations of the Office. The legislation includes a measure to establish minimum national licensing and oversight standards for America's mortgage brokers and loan originators. This measure is titled "The Secure and Fair Enforcement in Mortgage Licensing Act of 2008," or "The SAFE Mortgage Licensing Act of 2008." The SAFE Mortgage Licensing Act of 2008 is intended to eliminate those persons with a history of certain criminal activity or specific misconduct relating to loan origination from the mortgage industry. The Act will also require mortgage brokers and loan originators to meet minimum national standards to ensure they are professional, competent and trustworthy, and provides authority for the establishment of a Nationwide Mortgage Licensing System or (NMLS). This system has been developed by the Conference of State Bank Supervisors and American Association of Residential Mortgage Regulators and is intended to increase uniformity, reduce regulatory burden, and enhance consumer protection. OFR currently regulates almost 64,000 mortgage broker individuals, and over 14,800 mortgage broker businesses and lenders. With the passage of the bill, the agency will now be required to license and regulate mortgage loan originators. It is estimated that over 50,000 mortgage loan originators will seek licensure to comply with the law.

Additionally, all states will be required to license their mortgage brokers and loan originators through the NMLS. These entities must comply with minimum licensing standards as established by this Act or be subjected to regulation of the mortgage industry by the Department of Housing and Urban Development (HUD). The states have one year from passage of this act to adopt these increased licensing standards and participate in the NMLS with a possible extension of two additional years. The Office will propose significant legislative changes to Chapter 494, F.S., in the 2009 Legislative session to comply with federal law.

The Office proposes to begin participating in the NMLS by Fiscal Year 2009-10 by accepting applications, renewals, and amendment filings via the NMLS for the mortgage industry. To meet this timeframe the agency anticipates continuation of the design effort for the REAL System in Fiscal Year 2008-09 with implementation of the NMLS system scheduled for January 2010. This implementation effort will integrate the REAL System with the NMLS where feasible, allowing the agency to retain the efficiencies gained from the utilization of REAL System. The integration phase will include: development of a detailed design for integration with NMLS, implementation and testing of modifications to REAL, and training to support business process changes resulting from legislation and system integration.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
NATIONWIDE MORTGAGE LICENSING				
SYSTEM INTEGRATION				36354C0

Use of the NMLS will require a participation fee of \$500,000. This represents the agency's prorated share of system development costs which is based on the number of mortgage industry licensees regulated. This is a one-time fee all states must pay to begin using the national system. In addition, the integration of the two systems is estimated to cost \$1,550,000 over FY 2008-09 and FY 2009-10. A budget amendment for \$800,000 will be requested for the FY 2008-09 portion.

Ultimate Outcome: Funding of this issue will serve to enhance consumer protection by providing a comprehensive licensing and supervisory database for use by the Office in its regulation of the mortgage industry. If this issue is not funded the Office will not be able to comply with the federal mandate; and regulation of Florida's mortgage industry would revert to the Department of Housing and Urban Development (HUD). The states have one year from passage of this act to adopt these increased licensing standards and participate in the NMLS.

Detail of Costs:

Category	Amount	Non-Recurring
	-----	-----
Contracted Services (100777):		
NMLS Participation Fee	\$ 500,000	\$ 500,000
NMLS-REAL Integration Phase	\$ 750,000	\$ 750,000
Regulatory Trust Fund (2573) (1)		
-----	-----	-----
Issue Total	\$1,250,000	\$1,250,000

TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	155.00			
TRUST FUNDS.....	18,547,302	1,414,532		2000
SALARY RATE.....	7,049,349			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,253,960			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
	94.00			
REGULATORY TRUST FUND -STATE	5,682,906			2573 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
ANTI-FRAUD TRUST FUND -STATE	61,730			2038 1
REGULATORY TRUST FUND -STATE	4,466			2573 1
	-----	-----	-----	
TOTAL APPRO.....	66,196			
	=====	=====	=====	
EXPENSES				040000
ANTI-FRAUD TRUST FUND -STATE	137,885			2038 1
REGULATORY TRUST FUND -STATE	775,128			2573 1
	-----	-----	-----	
TOTAL APPRO.....	913,013			
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
ANTI-FRAUD TRUST FUND -STATE	31,802			2038 1
REGULATORY TRUST FUND -STATE	8,566			2573 1
	-----	-----	-----	
TOTAL APPRO.....	40,368			
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ANTI-FRAUD TRUST FUND -STATE	52,549			2038 1
REGULATORY TRUST FUND -STATE	4,500			2573 1
	-----	-----	-----	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....		57,049		
		=====		
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE		16,956		2573 1
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		39,318		2573 1
		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	94.00			
TOTAL ISSUE.....		6,815,806		
TOTAL SALARY RATE.....		4,253,960		
		=====		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2008-09				1001800
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		14,152		2573 1
		=====		
ADJUSTMENT TO STATE LIFE AND				
DISABILITY INSURANCE CONTRIBUTION				
RATES - FISCAL YEAR 2008-09				1001910
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		2,334-		2573 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
NONRECURRING EXPENDITURES				2100000
ADDITIONAL RESOURCES TO ASSIST WITH				
EXAMINATIONS				2103060
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	13,552-		2573 1
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND	-STATE	4,000-		2573 1
TOTAL: ADDITIONAL RESOURCES TO ASSIST WITH				2103060
EXAMINATIONS				
TOTAL ISSUE.....		17,552-		
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE PREMIUM				
CONTRIBUTION - 10 MONTHS				
ANNUALIZATION				26A1800
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	70,760		2573 1
LIFE AND DISABILITY INSURANCE				
REDUCTION - 6 MONTHS ANNUALIZATION				26A2000
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	2,334-		2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
BUDGET AND FISCAL STAFF INCREASE				3002A00
SALARY RATE				000000
SALARY RATE.....	27,927			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	1.00	41,254		2573 1
=====				
EXPENSES				040000
REGULATORY TRUST FUND -STATE	10,112	3,417		2573 1
=====				
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	1,000	1,000		2573 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	401			2573 1
=====				
TOTAL: BUDGET AND FISCAL STAFF INCREASE				3002A00
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	52,767	4,417		
TOTAL SALARY RATE.....	27,927			
=====				

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Improve Financial Accountability by Increasing Budget and Fiscal Unit Staff

Issue Description/Need:

The Budget and Fiscal Unit serves in a support capacity to assist the Division of Securities (Division) in accomplishing its regulatory mission. In addition to performing all accounting related functions (receipts and disbursements) for the Division, the unit also monitors the appropriateness of transactions and issues impacting three funding sources:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
BUDGET AND FISCAL STAFF INCREASE				3002A00

Regulatory Trust Fund, General Revenue Fund and the Anti-Fraud Trust Fund. This unit also maintains operational and budgetary information pertaining to the Division and represents the division on many agency level committees and/or task force groups. An internal audit in 2007, recommended that each division within the Office of Financial Regulation move towards centralizing the reconciliation of all disbursement transactions incurred by the division, including the eight regional offices for improved financial accountability over the agency's funding sources. OFR management has mandated that all divisions comply with the recommendation and implement a process to consolidate the review and validation of all disbursement transactions of the division in Tallahassee, including those incurred by the eight regional offices, and perform a consolidated reconciliation of each funding source on a monthly basis. There is currently one dedicated position within the unit that handles all receipt and disbursement transactions incurred as a result of Headquarters activities. This position cannot maintain the current workload and perform this function as mandated by agency management. Disbursement transactions for headquarters alone have historically averaged approximately 840 per year. The Division counts each charge to a FLAIR organization/object code as one disbursement transaction that must be validated and reconciled. For example, one invoice may be received, but the disbursement could impact multiple FLAIR organization/object codes within the agency. Each FLAIR transaction will be reviewed to ensure proper accounting in the fund. It is estimated that the Division will be required to compile, review and reconcile an additional 420 disbursement transactions per month or 5,040 per year. In order to establish a centralized function, a dedicated position is needed to manage the review, validation and reconciliation of disbursement transactions incurred by the Division statewide. This includes review and validation of travel vouchers, purchasing card transactions and invoices.

Ultimate Outcome:

Additional staffing will provide the necessary safeguards and internal controls needed to support the proper recording and reconciliation of all payments and fees/penalties received. The Division is requesting funding for one Operational Analyst I to provide this support.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Operational Analyst I	2209	017	1	27,926	41,254	10,112	1,000	401	52,767
CATEGORY					Amount	Non-Recurring			
Salaries and Benefits (010000):									
Regulatory Trust Fund (2573) (1)									
Total					\$ 41,254	\$ 0			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
BUDGET AND FISCAL STAFF INCREASE				3002A00

Expenses (040000):
 Regulatory Trust Fund (2573) (1)
 Total \$ 10,112 \$ 3,417

Operating Capital Outlay (060000):
 Regulatory Trust Fund (2573) (1)
 Total \$ 1,000 \$ 1,000

Human Resource Services (107040):
 Regulatory Trust Fund (2573) (1)
 Total \$ 401 \$ 0

 Issue Total \$ 52,767 \$4,417

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
2209 OPERATIONS ANALYST I							
N1002 001	1.00	27,927		13,327	41,254	0.00	41,254
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							41,254
	1.00	27,927		13,327	41,254		41,254

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
SECURITIES EXAMINATIONS STAFF				
INCREASE				3002A10
SALARY RATE				000000
SALARY RATE.....	315,600			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	6.00	421,790	2573 1
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	66,672	20,472	2573 1
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND	-STATE	15,000	15,000	2573 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	2,406		2573 1
TOTAL: SECURITIES EXAMINATIONS STAFF				3002A10
INCREASE				
TOTAL POSITIONS.....	6.00			
TOTAL ISSUE.....	505,868	35,472		
TOTAL SALARY RATE.....	315,600			

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Securities Examination Staff Increase "Increased Protection of Florida's Investing Public"

Issue Description/Need:

The Office of Financial Regulation (OFR), Bureau of Securities Regulation (Bureau), regulates the sale of registered and

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
SECURITIES EXAMINATIONS STAFF				
INCREASE				3002A10

unregistered securities, including stocks, bonds, variable annuities, mortgage-backed investments and mutual funds, into, and from the state of Florida. There are a number of long-term and short-term trends that necessitate adding additional examiner resources to the Bureau of Securities Regulation.

First, over the past decade, the Division of Securities' resources to regulate the securities industry have not kept pace with industry growth. Since 1997, securities staff has been reduced by ten positions, 104 to 94, while the number of agents registered has increased from 195,000 to 267,000 (an increase of 36%.) The Bureau currently has 63 positions, 55 of which are examiner positions.

Second, over the next 20 years, 75 million Americans, more than 10,000 every day, are due to turn age 60. The impending retirement of baby boomers means the majority of the nation's net worth will be in the hands of the newly retired. Seniors, estimated to hold 75% of the nation's wealth, are frequently targets of financial abuse and fraud. Florida has the largest elderly population in the nation, with 17.6% of its residents age 65 and older. In recent years, "free lunch" seminars have been heavily promoted in regions of the state with large elderly populations. The intent of the seminars is to encourage attendees to open accounts and, ultimately, purchase investment products. Significant problems have been found as a result of examinations of the entities and individuals involved in these seminars. It is imperative that the Bureau has adequate resources to protect Florida's increasing elderly population against abusive sales practices and fraud.

Currently, the Bureau's resources are being impacted by the ongoing crisis in the credit markets. Beginning in 2007, delinquency and foreclosure rates for subprime mortgage loans in the United States dramatically increased, creating turmoil that has spread throughout the credit markets. The result of this current turmoil has been the collapse of several of the nation's largest broker dealers, a lack of liquidity and confidence in the credit markets, investor losses, and the failure of the \$330 billion auction rate securities markets. As a result, the Bureau saw complaints relating to fixed-income products jump from three in FY 06-07 to 43 in FY 07-08. In the past year, this market turmoil has also resulted in the Bureau opening eight enforcement examinations involving complex, structured products, and it is anticipated another ten enforcement examinations in this area will be commenced over the next 12 months. Due to the extensive time and resource demands of these complex examinations, the Bureau was unable to conduct examinations of 60 agents/entities identified through the risk-based targeting process. To successfully conduct these difficult, time-consuming examinations, it is imperative for the Bureau to recruit, train, and retain high caliber examiners.

Last year, in recognition of these trends, the Bureau submitted a budget request that expressed a need for an increase in the number of examiners over several years. As set forth in last year's budget request, Securities staff has decreased by ten positions since 1997 while the number of agent registrations has increased by 36%. Based on industry growth, the Bureau would like to increase its examination staff by 15 - 20 examiners, which would approximate the growth in the number of registered agents. Last year, the Legislature appropriated four FTEs, which were placed in the regional offices in Orlando, Tampa, West Palm Beach and Fort Lauderdale. Being mindful of the ability and resources to train new examiners, the Bureau is using a phased approach and for FY 09-10, the Bureau requests six more FTEs. Five of these FTEs

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
SECURITIES EXAMINATIONS STAFF				
INCREASE				3002A10

will be examiners while one FTE will be an analyst that will study industry trends and conditions, allowing the Bureau to anticipate problems or respond quickly to events as they unfold. The Bureau is requesting salaries at \$6,219 (13%) over the annual minimum salary to attract individuals with securities regulatory experience, and retain staff that OFR has trained, to work these cases.

The Bureau also asked for \$6,000 in Expense to fund examiner travel and \$9,000 in OCO to upgrade the basic amount to purchase laptop computers with docking stations.

Ultimate Outcome: The Office will be able to better safeguard the private financial interests of the public by examining and regulating a greater number of licensed and unlicensed sellers of securities using experienced examiners. The Office will be better able to protect consumers while preserving the integrity of Florida's financial services market. The results of enforcement examinations vary dramatically. Some examinations will be closed without action while others will result in multiple individuals being sent to prison for fraud. As a result, it is difficult to quantify how these new positions will impact consumer protection with any specificity. However, the Bureau estimates that for FY 09-10, an additional 25 - 60 new examinations can be conducted by the five new examiner FTEs, which should result in the identification of significant violations. In addition, these examinations may result in millions of dollars of restitution to Florida consumers, as recently occurred in the settlement of the auction rate securities cases.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Financial Control Analyst	1567	026	6	315,600	421,790	66,672	15,000	2,406	505,868

CATEGORY	Amount	Non-Recurring
Salaries and Benefits (010000):		
6 positions filled 19% above minimum		
Regulatory Trust Fund (2573) (1)		
Total	\$421,790	\$ 0
Expenses (040000):		
6 Professional Assessment Package	\$ 60,672	\$20,472
Additional Travel Cost \$1,000 per position	\$ 6,000	\$ 0
Regulatory Trust Fund (2573) (1)		
Total	\$ 66,672	\$20,472

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
SECURITIES EXAMINATIONS STAFF				
INCREASE				3002A10

Operating Capital Outlay (060000):

6 Personal Computers	\$ 6,000	\$ 6,000
6 Lap tops to Docking Stations	\$ 9,000	\$ 9,000
Regulatory Trust Fund (2573) (1)		
Total	\$ 15,000	\$15,000

Human Resource Services (107040):

Regulatory Trust Fund (2573) (1)		
Total	\$ 2,406	\$0

Issue Total	\$505,868	\$35,472

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
1567 FINANCIAL CONTROL ANALYST							
N1002 001	6.00	315,600		106,190	421,790	0.00	421,790
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							421,790
	6.00	315,600		106,190	421,790		421,790

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
REGULATORY REVIEW STAFFING INCREASE				3002A20
SALARY RATE				000000
SALARY RATE.....	174,032			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	4.00	238,381		2573 1
	=====	=====	=====	
EXPENSES				040000
REGULATORY TRUST FUND -STATE	40,448	13,648		2573 1
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	4,000	4,000		2573 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	1,604			2573 1
	=====	=====	=====	
TOTAL: REGULATORY REVIEW STAFFING INCREASE				3002A20
TOTAL POSITIONS.....	4.00			
TOTAL ISSUE.....	284,433	17,648		
TOTAL SALARY RATE.....	174,032			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Strengthen Securities Application Review

Issue Description/Need:

The Bureau of Regulatory Review (Bureau) is responsible for registering securities issues, reviewing all applications to conduct business as a securities firm or individual, processing amendments and renewals for all entities and individuals regulated by the Division of Securities, and approving, imposing registration restrictions or denying registration based

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
REGULATORY REVIEW STAFFING INCREASE				3002A20

on findings. In the last fiscal year the Bureau received and processed 64,479 new applications for registration for firms, branches, and agents. In addition, the Bureau processed 124 new securities registration issues and 10,158 amendment filings.

The Bureau is currently comprised of 11 positions - 1 Administrative Assistant, 4 Financial Examiner/Analyst I's, 2 Financial Examiner/Analyst II's, 2 Financial Specialists, 1 Financial Examiner/Analyst Supervisor, and 1 Chief of Regulatory Review. The Bureau is requesting 4 additional Financial Specialist positions to assist in and expand the regulatory review process.

Two of the requested Financial Specialist positions will assist in the processing of new applications. The addition of these positions will allow analysts to perform a more thorough review of application filings. The Office will be pursuing legislative authority in the 2009 Legislative session that will enhance licensing standards, including heightened standards involving criminal arrests and regulatory actions. It is the expectation of the Bureau, based on a recent sampling of files, that these heightened standards will increase the number of deficiency letters mailed and documents needing review by approximately 20%. The requested positions are vital to ensure that the licensing staff will be able to conduct a thorough review while not exceeding the standards established by the Florida Administrative Procedures Act (APA). If the APA deadlines are not met, applications may be made effective by operation of law.

The other two Financial Specialist positions will be dedicated to reviewing and investigating disciplinary update filings (amendment filings disclosing disciplinary information after initial registration) of registrants and conducting desk exams of those individuals that have been the subject of customer complaints identified either during the application process or after initial registration, as reported by a disciplinary update. The Bureau receives approximately 18,000 disciplinary updates each fiscal year. Due to staffing resources, current disciplinary reviews are focused only on regulatory actions and criminal disclosures which may provide a legal basis for regulatory action upon receipt and review of documentation that does not require further examination. The Bureau feels it is critical to expand its review of disciplinary updates to include the other types of disciplinary updates (e.g. customer complaints, bankruptcies, judgments, and terminations of an individual by a firm for cause).

Ultimate Outcome:

A thorough registration process is a strong line of defense in protecting Florida investors from unscrupulous firms and individuals. Providing staff to conduct more thorough reviews of applicants in the securities industry will further protect the financial interests of the public by preventing the registration of unworthy applicants. The heightened focus on compliance filings such as disciplinary updates will allow the Office to better monitor the actions of firms and individuals after registration. The additional staff will also allow the Bureau to conduct desk exams to initiate review of disciplinary update information that indicates the registrant may be a risk to the investing public. If disciplinary action is necessary, the Office will be able to take the appropriate disciplinary action to prevent future problems.

Detail of Costs: Salaries and Benefits:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
REGULATORY REVIEW STAFFING INCREASE				3002A20

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Financial Specialist	1566	025	4	174,029	238,381	40,448	4,000	1,604	284,433

CATEGORY	Amount	Non-Recurring
Salaries and Benefits (010000):		
Regulatory Trust Fund (2573) (1)		
Total	\$238,381	\$ 0
Expenses (040000):		
Regulatory Trust Fund (2573) (1)		
Total	\$ 40,448	\$13,648
Operating Capital Outlay (060000):		
Regulatory Trust Fund (2573) (1)		
Total	\$ 4,000	\$ 4,000
Human Resource Services (107040):		
Regulatory Trust Fund (2573) (1)		
Total	\$ 1,604	\$ 0

Issue Total	\$284,433	\$17,648

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
REGULATORY REVIEW STAFFING INCREASE				3002A20

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2009-10							
NEW POSITIONS							
1566 FINANCIAL SPECIALIST							
N1001 001	4.00	174,032		64,349	238,381	0.00	238,381
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							238,381
	4.00	174,032		64,349	238,381		238,381

TRAINING AND EXPERT WITNESS FEES TO ASSIST IN THE INVESTIGATION AND PROSECUTION OF COMPLEX SECURITIES PRODUCTS EXPENSES							3002000
ANTI-FRAUD TRUST FUND -STATE	200,000						040000
							2038 1
SPECIAL CATEGORIES CONTRACTED SERVICES							100000
ANTI-FRAUD TRUST FUND -STATE	225,000						100777
							2038 1
TOTAL: TRAINING AND EXPERT WITNESS FEES TO ASSIST IN THE INVESTIGATION AND PROSECUTION OF COMPLEX SECURITIES PRODUCTS							3002000
TOTAL ISSUE.....	425,000						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
TRAINING AND EXPERT WITNESS FEES TO				
ASSIST IN THE INVESTIGATION AND				
PROSECUTION OF COMPLEX SECURITIES				
PRODUCTS				3002000

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Training and Expert Witness Fees to assist in the Investigation and Prosecution of Complex Securities Products

Issue Description/Need: Beginning in 2007, delinquency and foreclosure rates for sub-prime mortgage loans in the United States dramatically increased, creating turmoil that has spread throughout the credit markets. The result of this turmoil has been the collapse of several of the nation's largest broker dealers, a lack of liquidity and confidence in the credit markets, investor losses, and the failure of the \$330 billion auction rate securities markets. As a result of these problems in the credit markets, the Division of Securities (Division) saw complaints relating to fixed-income products jump from 3 in FY 06-07 to 43 in FY 07-08. In the past year, this market turmoil has resulted in the Bureau of Securities Regulation (Bureau) opening eight enforcement examinations involving complex, structured products, and it is anticipated another ten enforcement examinations in this area will be commenced over the next 12 months.

In order to investigate and bring action in these complex cases, OFR anticipates needing additional authority from the Anti-Fraud Trust Fund of \$425,000 to pay for increased training of examiners and attorneys, and for the hiring of expert witnesses and/or consultants to assist with these examinations relating to actions arising from violations of Chapter 517, Florida Statutes. These examinations typically involve fraud, dishonest and unethical practices, failure of a firm to appropriately supervise its agent and the sale of unregistered securities.

The Anti-Fraud Trust Fund receives all fines, penalties or costs of investigations associated with violations of Chapter 517, Florida Statutes, the Florida Investor Protection Act. Under the statute, the moneys in the trust fund may only be used "when authorized by appropriation, for investigation and prosecution of administrative, civil, and criminal actions arising under the provisions of this chapter. Funds may also be used to improve the public's awareness and understanding of prudent investing."

The \$425,000 request is based on the following: 1) as discussed above, the Division currently has eight cases open which will likely require the use of expert witnesses, and the Division anticipates an additional 12 in the upcoming fiscal year. On average, these experts charge \$300 - \$500 per hour for their services. As a result, it is not uncommon for the Division to pay an expert in excess of \$10,000 for each examination (25 hours times \$400 equals \$10,000); 2) Examiner and attorney training regarding these complex products (asset backed securities, derivatives, etc.) is expensive. The Division expects these training programs to range in cost from \$1500 to \$5000 each, depending on the location and the length of the program. The total cost for the training is estimated at \$100,000 (14 attorneys plus 20 enforcement examiners times an average cost of \$3000 each).

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
TRAINING AND EXPERT WITNESS FEES TO				
ASSIST IN THE INVESTIGATION AND				
PROSECUTION OF COMPLEX SECURITIES				
PRODUCTS				3002000

Ultimate Outcome: The Division of Securities requires assistance from expert witnesses and/or consultants to increase its ability to successfully complete certain complex examinations. The training of attorneys and examiners will better enable them to conduct examinations and bring actions in cases involving complex securities products.

Detail of Costs:
 CATEGORY

	Amount	Non-Recurring
	-----	-----
Expenses (040000):		
Anti Fraud Trust Fund (2038) (1)		
Total (training cost)	\$200,000	\$0
Contracted Services (100777):		
Anti Fraud Trust Fund (2038) (1)		
Expert Witness and Consulting Fees		
Total	\$225,000	\$0
-----	-----	-----
Issue Total	\$425,000	

TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	105.00			
SALARY RATE.....	8,146,566	57,537		2000
SALARY RATE.....	4,771,519			
=====	=====	=====		