

BUDGET ENTITY	D3A ISSUE CODE	COLUMN NUMBERS	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED





	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	10,216	10,216				2021 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	18,987	18,987				2021 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	16,990	16,990				2021 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	1,297-	1,297-				2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE PUBLIC RECORDS						
SECTION TO LEGAL - DEDUCT						160F640
SALARY RATE						000000
SALARY RATE.....	150,242-	150,242-				
=====						
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	4.00-	4.00-				
	206,374-	206,374-				2021 1
=====						
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET						160F640
AMENDMENT - MOVE PUBLIC RECORDS						
SECTION TO LEGAL - DEDUCT						
TOTAL POSITIONS.....	4.00-	4.00-				
TOTAL ISSUE.....	206,374-	206,374-				
TOTAL SALARY RATE.....	150,242-	150,242-				
=====						

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Re-approval of current year budget amendment - move Public Records Section to Legal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-01 (EOG Log# Q0021) moved four (4) FTE in the Public Records Section, and associated budget, from the Executive Direction and Support Services budget entity to the Legal services budget entity.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
EXECUTIVE DIR/SUPPORT SVCS 43010100  
 GOV OPERATIONS/SUPPORT 16  
EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE PUBLIC RECORDS  
 SECTION TO LEGAL - DEDUCT 160F640

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
0004 SENIOR CLERK						
C0144 001	1.00-	24,942-	13,376-	38,318-	0.00	38,318-
2224 GOVERNMENT ANALYST I						
C0835 001	1.00-	42,684-	15,652-	58,336-	0.00	58,336-
2212 MANAGEMENT ANALYST II - SES						
C0222 001	1.00-	30,989-	15,315-	46,304-	0.00	46,304-
7738 SENIOR ATTORNEY						
C4039 001	1.00-	51,627-	17,970-	69,597-	0.00	69,597-
-----						
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						212,555-
	4.00-	150,242-	62,313-	212,555-		212,555-
=====						

OTHER SALARY AMOUNT  
 2021 ADMINISTRATIVE TRUST FUND 6,181  
 -----  
 206,374-  
 =====

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 EXECUTIVE DIR/SUPPORT SVCS 43010100  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE PUBLIC RECORDS  
 SECTION TO LEGAL - DEDUCT 160F640

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
0004 SENIOR CLERK						
C0144 001	1.00-	24,942-	13,376-	38,318-	0.00	38,318-
2224 GOVERNMENT ANALYST I						
C0835 001	1.00-	42,684-	15,652-	58,336-	0.00	58,336-
2212 MANAGEMENT ANALYST II - SES						
C0222 001	1.00-	30,989-	15,315-	46,304-	0.00	46,304-
7738 SENIOR ATTORNEY						
C4039 001	1.00-	51,627-	17,970-	69,597-	0.00	69,597-
-----						
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						212,555-
	4.00-	150,242-	62,313-	212,555-		212,555-
=====						

OTHER SALARY AMOUNT  
 2021 ADMINISTRATIVE TRUST FUND 6,181  
 -----  
 206,374-  
 =====

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		229,523-			229,523-	
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		6.00-	229,523-		6.00- 229,523-	2021 1
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		6.00-			6.00-	
TOTAL ISSUE.....			229,523-		229,523-	
TOTAL SALARY RATE.....		229,523-			229,523-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 EXECUTIVE DIR/SUPPORT SVCS 43010100  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0002 001	6.00-	229,523-		229,523-	0.00	229,523-
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
	6.00-	229,523-		229,523-		229,523-

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REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110  
 SALARIES AND BENEFITS 010000

ADMINISTRATIVE TRUST FUND -STATE 23,833 23,833 2021 1

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2013-14 POS	AGY AMD REQ FY 2013-14 POS	AGY AMD N/R FY 2013-14 POS	AGY AMD ANZ FY 2013-14 POS	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14 POS	AMOUNT

FINANCIAL SERVICES					43000000
PRG: CHIEF FIN OFFICER/ADM					43010000
EXECUTIVE DIR/SUPPORT SVCS					43010100
GOV OPERATIONS/SUPPORT					16
EXEC LEADERSHIP/SUPPRT SVC					1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT					2000000
REALIGN CURRENT POSITIONS TO FULFILL MISSION CRITICAL FUNCTIONS - ADD					2000110

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.  
 This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.  
 Summary: This is a new issue.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						23,833
						23,833

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
OTHER PERSONAL SERVICES						030000
ADMINISTRATIVE TRUST FUND -STATE	80,000	80,000				2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	125,000	125,000				2021 1
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN						2000140
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						
TOTAL ISSUE.....	205,000	205,000				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	84,950	84,950				2021 1
TOTAL: EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	146.00	140.00			6.00-	
SALARY RATE.....	12,301,411	12,095,721			205,690-	2000
	6,559,645	6,330,122			229,523-	



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
LEGAL SERVICES						43010200
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
HOLOCAUST VICTIMS ASST ADM						101085
INSURANCE REG TF -STATE	308,007	308,007				2393 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	19,824	19,824				2021 1
LEASE/PURCHASE/EQUIPMENT						105281
ADMINISTRATIVE TRUST FUND -STATE	51,361	51,361				2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	29,077	29,077				2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	87.00	87.00				
TOTAL ISSUE.....	7,371,103	7,371,103				
TOTAL SALARY RATE.....	4,376,352	4,376,352				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
ADMINISTRATIVE TRUST FUND -STATE	1,855	1,855				2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
LEGAL SERVICES						43010200
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	14,372	14,372				2021 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	11,225	11,225				2021 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	721-	721-				2021 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE PUBLIC RECORDS						
SECTION TO LEGAL - ADDBACK						160F630
SALARY RATE						000000
SALARY RATE.....	150,242	150,242				
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	4.00	4.00				2021 1
ADMINISTRATIVE TRUST FUND -STATE	206,374	206,374				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
LEGAL SERVICES						43010200
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE PUBLIC RECORDS						
SECTION TO LEGAL - ADDBACK						160F630
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET						160F630
AMENDMENT - MOVE PUBLIC RECORDS						
SECTION TO LEGAL - ADDBACK						
TOTAL POSITIONS.....	4.00		4.00			
TOTAL ISSUE.....		206,374		206,374		
TOTAL SALARY RATE.....	150,242		150,242			

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Re-approval of current year budget amendment - move Public Records Section to Legal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-01 (EOG Log# Q0021) moved four (4) FTE in the Public Records Section, and associated budget, from the Executive Direction and Support Services budget entity to the Legal services budget entity.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0004 SENIOR CLERK							
C0144 001	1.00	24,942		13,376	38,318	0.00	38,318
2224 GOVERNMENT ANALYST I							
C0835 001	1.00	42,684		15,652	58,336	0.00	58,336



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE PUBLIC RECORDS  
 SECTION TO LEGAL - ADDBACK 160F630

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2212 MANAGEMENT ANALYST II - SES							
C0222 001	1.00	30,989		15,315	46,304	0.00	46,304
7738 SENIOR ATTORNEY							
C4039 001	1.00	51,627		17,970	69,597	0.00	69,597
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							212,555
	4.00	150,242		62,313	212,555		212,555
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							6,181-
							206,374

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0004 SENIOR CLERK							
C0144 001	1.00	24,942		13,376	38,318	0.00	38,318
2224 GOVERNMENT ANALYST I							

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE PUBLIC RECORDS  
 SECTION TO LEGAL - ADDBACK 160F630

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
C0835 001	1.00	42,684		15,652	58,336	0.00	58,336
2212 MANAGEMENT ANALYST II - SES							
C0222 001	1.00	30,989		15,315	46,304	0.00	46,304
7738 SENIOR ATTORNEY							
C4039 001	1.00	51,627		17,970	69,597	0.00	69,597
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							212,555
	4.00	150,242		62,313	212,555		212,555

OTHER SALARY AMOUNT  
 2021 ADMINISTRATIVE TRUST FUND 6,181-  
 206,374

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
LEGAL SERVICES						43010200
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE LEGAL STAFF FROM						
FRAUD TO LEGAL - ADDBACK						1600630
SALARY RATE						000000
SALARY RATE.....	107,803	107,803				
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	2.00	2.00				
	138,467	138,467				2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE						
	3,600	3,600				2021 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE						
	712	712				2021 1
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET						1600630
AMENDMENT - MOVE LEGAL STAFF FROM						
FRAUD TO LEGAL - ADDBACK						
TOTAL POSITIONS.....	2.00	2.00				
TOTAL ISSUE.....	142,779	142,779				
TOTAL SALARY RATE.....	107,803	107,803				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of current year budget amendment - move Legal staff from Fraud to Legal

Issue Description:

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE LEGAL STAFF FROM  
 FRAUD TO LEGAL - ADDBACK 1600630

This issue is for the re-approval of the current year budget amendment approved on 6/29/2012. Agency amendment 13-05 (EOG Log# B0049) moves two (2) FTE performing legal duties, and the associated budget, from the Division of Insurance Fraud to the Division of Legal Services.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
7738 SENIOR ATTORNEY C0575 001	2.00	102,608		35,859	138,467	0.00 138,467
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND	2.00	102,608		35,859	138,467	138,467
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS						
C9999 001		5,195				
TOTAL SALARY RATE		5,195				

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE LEGAL STAFF FROM  
 FRAUD TO LEGAL - ADDBACK 1600630

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
7738 SENIOR ATTORNEY C0575 001	2.00	102,608		35,859	138,467	0.00 138,467
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND	2.00	102,608		35,859	138,467	138,467
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS						
C9999 001		5,195				
TOTAL SALARY RATE		5,195				

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
LEGAL SERVICES						43010200
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		71,749-			71,749-	
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		2.00-	71,749-		2.00-	71,749- 2021 1
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		2.00-			2.00-	
TOTAL ISSUE.....			71,749-			71,749-
TOTAL SALARY RATE.....		71,749-			71,749-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0004 001	2.00-	71,749-		71,749-	0.00	71,749-
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
	2.00-	71,749-		71,749-		71,749-

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REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110  
 SALARIES AND BENEFITS 010000

ADMINISTRATIVE TRUST FUND -STATE 15,783 15,783 2021 1

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.  
 This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.  
 Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						15,783
						-----
						15,783
						=====

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
LEGAL SERVICES						43010200
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
ELECTRONIC COMMERCE FEES						100064
ADMINISTRATIVE TRUST FUND -STATE	250,000	250,000				2021 1
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.						
*****						
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						2000230
SALARY RATE						000000
SALARY RATE.....	250,000	250,000				
=====						
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	250,000	250,000				2021 1
=====						
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO						2000230
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						
TOTAL ISSUE.....	250,000	250,000				
TOTAL SALARY RATE.....	250,000	250,000				
=====						

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN SALARY BUDGET AUTHORITY TO  
 MATCH RECURRING OPERATING  
 EXPENDITURES - ADD 2000230

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates the salary budget where there is additional need. This issue with corresponding issue code 2000220 will net to zero at the department level.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS						
C0000 001	0.00	250,000		250,000	0.00	250,000
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
0.00	250,000			250,000		250,000

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 LEGAL SERVICES 43010200  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN SALARY BUDGET AUTHORITY TO  
 MATCH RECURRING OPERATING  
 EXPENDITURES - ADD 2000230

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS						
C0000 001	0.00	250,000		250,000	0.00	250,000
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
	0.00	250,000		250,000		250,000

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS 26A0000  
 STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS ANNUALIZATION 26A1830  
 SALARIES AND BENEFITS 010000  
 ADMINISTRATIVE TRUST FUND -STATE 56,125 56,125 2021 1







	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	2,796	2,796				2021 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	20,089	20,089				2021 1
DATA PROCESSING SERVICES						210000
SOUTHWOOD SRC						210021
ADMINISTRATIVE TRUST FUND -STATE	1	1				2021 1
TOTAL: FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						
TOTAL ISSUE.....	20,090	20,090				
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	14,938	14,938				2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
DATA PROCESSING SERVICES						210000
SOUTHWOOD SRC						210021
ADMINISTRATIVE TRUST FUND -STATE	1	1				2021 1
TOTAL: ADJUSTMENT TO STATE HEALTH						1001830
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						
TOTAL ISSUE.....	14,939	14,939				
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	1,088-	1,088-				2021 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF DEFERRED-PAYMENT						
COMMODITY CONTRACTS - INFORMATION						
TECHNOLOGY - DEDUCT						160D100
OPERATING CAPITAL OUTLAY						060000
ADMINISTRATIVE TRUST FUND -STATE	184,076-	184,076-				2021 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Deduct

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF DEFERRED-PAYMENT						
COMMODITY CONTRACTS - INFORMATION						
TECHNOLOGY - DEDUCT						160D100

This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D200 will net to zero at the department level.

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REALIGNMENT OF DEFERRED-PAYMENT						
COMMODITY CONTRACTS - INFORMATION						
TECHNOLOGY - ADD						160D200
SPECIAL CATEGORIES						100000
DEFERRED-PAY COM CONTRACTS						105280
ADMINISTRATIVE TRUST FUND -STATE	184,076	184,076				2021 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Add

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D100 will net to zero at the department level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE STAFF FROM STATE						
FIRE MARSHAL TO INFORMATION SYSTEMS						
- ADD						1600550
SALARY RATE						000000
SALARY RATE.....		36,468			36,468	
=====						
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		1.00	50,526		1.00	50,526
						2021 1
=====						
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE			1,800			1,800
						2021 1
=====						
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET						1600550
AMENDMENT - MOVE STAFF FROM STATE						
FIRE MARSHAL TO INFORMATION SYSTEMS						
- ADD						
TOTAL POSITIONS.....		1.00			1.00	
TOTAL ISSUE.....			52,326			52,326
TOTAL SALARY RATE.....		36,468			36,468	
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is for the re-approval of the current year budget amendment approved on 12/28/2012. Agency amendment 13-14 (EOG Log# B0297) moved one (1) FTE in the State Fire Marshal - Fire College, and associated budget, to the Information Technology budget entity.

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	POS	POS	POS	POS	AMOUNT
	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE STAFF FROM STATE						
FIRE MARSHAL TO INFORMATION SYSTEMS						
- ADD						1600550

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2052 DISTRIBUTED COMPUTER SYSTEMS ANALYST							
01245 001	1.00	35,762		14,764	50,526	0.00	50,526
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							50,526
	1.00	35,762		14,764	50,526		50,526
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
99999 001		706					
TOTAL SALARY RATE		706					

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						1603.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110
SALARY RATE						000000
SALARY RATE.....		938,804			938,804	
	=====	=====	=====	=====	=====	
SALARIES AND BENEFITS						010000
		11.00			11.00	
ADMINISTRATIVE TRUST FUND -STATE		961,636			961,636	2021 1
	=====	=====	=====	=====	=====	
TOTAL: REALIGN CURRENT POSITIONS TO						2000110
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						
TOTAL POSITIONS.....		11.00			11.00	
TOTAL ISSUE.....		961,636			961,636	
TOTAL SALARY RATE.....		938,804			938,804	
	=====	=====	=====	=====	=====	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFORMATION TECHNOLOGY 43010300  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0001 001	11.00	938,804		938,804	0.00	938,804
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
	11.00	938,804		938,804		938,804
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						
						22,832
						961,636

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
CONSOLIDATE INFORMATION TECHNOLOGY						
(IT) CONTRACTS - ADD						2007200
SALARY RATE						000000
SALARY RATE.....	57,746	57,746				
	=====	=====				
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	1.00	1.00				
	75,331	75,331				2021 1
	=====	=====				
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	5,889	5,889				
	=====	=====				
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	1,409,265	1,409,265				
	=====	=====				
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	354	354				
	=====	=====				
TOTAL: CONSOLIDATE INFORMATION TECHNOLOGY						2007200
(IT) CONTRACTS - ADD						
TOTAL POSITIONS.....	1.00	1.00				
TOTAL ISSUE.....	1,490,839	1,490,839				
TOTAL SALARY RATE.....	57,746	57,746				
	=====	=====				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Issue Title: Consolidate Information Technology (IT) Contracts

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
<u>INFORMATION TECHNOLOGY</u>										43010300
GOV OPERATIONS/SUPPORT										16
<u>INFORMATION TECHNOLOGY</u>										<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT										2000000
CONSOLIDATE INFORMATION TECHNOLOGY										
(IT) CONTRACTS - ADD										2007200

excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,490,839 from the divisions of Agent and Agency Services, State Fire Marshal, and Funeral and Cemetery Services for three existing contracts between the divisions and Information Systems of Florida (ISF). Included in the requested transfer is one full time equivalent position (FTE) from the Division of Agent and Agency to serve as a single DIS contract manager.

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications are: Agent & Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), ALIS/NIPR Data Interchange (ANDI), Internet Appointment module of ALIS (eAppoint), and Non-resident Interface for transfer of Information (NFTI); State Fire Marshal's Fire College Continuing Education (FCDICE); and Funeral and Cemetery Services AALF, ALIS, FACS-DICE, eAppoint; and other IT systems as needed.

The three current contracts with ISF cause critical issues for the department including information security issues and an inability for DIS to wholly understand and support the business needs of its customers. These contracts further place DIS at a disadvantage in responding to divisional crisis; yield a lack of efficiency; result in unnecessary excessive spending, inadequate IT resourcing, and an inability to implement a unified DFS disaster recovery plan; and result in lack of a common vision in achieving the priority goals of the department.

Transferring the three contracts from the divisions to DIS and establishing one contract manager will enable DIS to manage the contracts and ensure consistency with the department's mission and vision. Consolidation into and transfer of these contracts to DIS will establish a single point of contact for all three divisions' needs.

The transferred FTE will be required to attain Contract Manager Certification training offered by the Department of Management Services and will be responsible for knowing and understanding the contract information developed and taught by the Division of Accounting and Auditing; as required for contract managers managing contracts that are \$35K and greater.

Upon the contracts ending, the contract manager will be required to complete contract closeout or renewal activities. If the contracts are closed out the contract manager will be responsible for conducting the Contract Management Life Cycle Compliance Review to ensure compliance with Accounting & Auditing standards.

If other contracts are required, the contract manager will be required to participate and/or lead the activities associated with establishing new contracts including contract planning, analysis, preparation and procurement. These

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
					CODES
FINANCIAL SERVICES					43000000
PRG: CHIEF FIN OFFICER/ADM					43010000
<u>INFORMATION TECHNOLOGY</u>					43010300
<u>GOV OPERATIONS/SUPPORT</u>					16
<u>INFORMATION TECHNOLOGY</u>					<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT					2000000
CONSOLIDATE INFORMATION TECHNOLOGY					
(IT) CONTRACTS - ADD					2007200

responsibilities include conducting and documenting a risk assessment, contract-specific planning, business needs analysis and a technology assessment. The contract manager is also responsible for specifying Scope of Work, deliverables, performance standards, and remedies for non-performance.

DIS also plans for the transferred FTE to serve as contract manager for other contracts and/or train and manage other contract managers. This issue with corresponding issue code 2007100 will net to zero in the contracted services category at the department level.

Amended 2013-14 Narrative after February 1, 2013.

The Division of Information Systems (DIS) is requesting transfer of \$1,490,839 from the divisions of Agent and Agency Services, State Fire Marshal, and Funeral and Cemetery Services for three existing contracts. Included in the requested transfer is one full time equivalent position (FTE) from the Division of Agent and Agency to serve as a single DIS contract manager.

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications are: Agent & Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), ALIS/NIPR Data Interchange (ANDI), Internet Appointment module of ALIS (eAppoint), and Non-resident Interface for transfer of Information (NFTI); State Fire Marshal's Fire College Continuing Education (FCDICE); and Funeral and Cemetery Services AALF, ALIS, FACS-DICE, eAppoint; and other IT systems as needed.

The three current contracts cause critical issues for the department including information security issues and an inability for DIS to wholly understand and support the business needs of its customers. These contracts further place DIS at a disadvantage in responding to divisional crisis; yield a lack of efficiency; result in unnecessary excessive spending, inadequate IT resourcing, and an inability to implement a unified DFS disaster recovery plan; and result in lack of a common vision in achieving the priority goals of the department.

Transferring the three contracts from the divisions to DIS and establishing one contract manager will enable DIS to manage the contracts and ensure consistency with the department's mission and vision. Consolidation into and transfer of these contracts to DIS will establish a single point of contact for all three divisions' needs.

The transferred FTE will be required to attain Contract Manager Certification training offered by the Department of Management Services and will be responsible for knowing and understanding the contract information developed and taught



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
<u>INFORMATION TECHNOLOGY</u>										43010300
GOV OPERATIONS/SUPPORT										16
<u>INFORMATION TECHNOLOGY</u>										<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT										2000000
CONSOLIDATE INFORMATION TECHNOLOGY										
(IT) CONTRACTS - ADD										2007200

by the Division of Accounting and Auditing; as required for contract managers managing contracts that are \$35K and greater.

Upon the contracts ending, the contract manager will be required to complete contract closeout or renewal activities. If the contracts are closed out the contract manager will be responsible for conducting the Contract Management Life Cycle Compliance Review to ensure compliance with Accounting & Auditing standards. If other contracts are required, the contract manager will be required to participate and/or lead the activities associated with establishing new contracts including contract planning, analysis, preparation and procurement. These responsibilities include conducting and documenting a risk assessment, contract-specific planning, business needs analysis and a technology assessment. The contract manager is also responsible for specifying Scope of Work, deliverables, performance standards, and remedies for non-performance.

DIS also plans for the transferred FTE to serve as contract manager for other contracts and/or train and manage other contract managers. This issue with corresponding issue code 2007100 will net to zero in the contracted services category at the department level.

Summary: New narrative.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
	C9999 001	1.00	57,746	17,585	75,331	0.00	75,331

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFORMATION TECHNOLOGY 43010300  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 CONSOLIDATE INFORMATION TECHNOLOGY  
 (IT) CONTRACTS - ADD 2007200

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
1.00	57,746		17,585	75,331		75,331

A14 - AGY AMD REQ FY 2013-14

NEW POSITIONS						
P101 PROPOSED CLASS CODE						
C9999 001						
1.00	57,746		17,585	75,331	0.00	75,331
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
1.00	57,746		17,585	75,331		75,331

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
NONRECURRING EXPENDITURES						2100000
WORKERS' COMPENSATION INTERNAL						2103129
SELF-SUFICIENCY INITIATIVE						040000
EXPENSES						
ADMINISTRATIVE TRUST FUND -STATE	27,090-	27,090-				2021 1
ANNUALIZATION OF ADMINISTERED						26A0000
FUNDS APPROPRIATIONS						
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	74,690	74,690				2021 1
DATA PROCESSING SERVICES						210000
SOUTHWOOD SRC						210021
ADMINISTRATIVE TRUST FUND -STATE	5	5				2021 1
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT						26A1830
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						
TOTAL ISSUE.....	74,695	74,695				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						1603.00.00.00
WORKLOAD						3000000
DIVISION OF WORKERS' COMPENSATION -						
RE-EMPLOYMENT SERVICES PROGRAM						
IMPLEMENTATION						3000950
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE		10,203		3,993		10,203 2021 1
=====	=====	=====	=====	=====	=====	=====
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE		172,800				172,800 2021 1
=====	=====	=====	=====	=====	=====	=====
TOTAL: DIVISION OF WORKERS' COMPENSATION -						3000950
RE-EMPLOYMENT SERVICES PROGRAM						
IMPLEMENTATION						
TOTAL ISSUE.....		183,003		3,993		183,003
=====	=====	=====	=====	=====	=====	=====

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

The information technology portion of this amendment did not change, only the funding in the workers' compensation funding.

Summary: No change

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
RETENTION OF ENTERPRISE APPLICATION						
BUREAU						3637A00
SALARY RATE						000000
SALARY RATE.....	196,359	196,359				
=====						
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	221,551	221,551				2021 1
=====						
TOTAL: RETENTION OF ENTERPRISE APPLICATION						3637A00
BUREAU						
TOTAL ISSUE.....	221,551	221,551				
TOTAL SALARY RATE.....	196,359	196,359				
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Retention of Enterprise Application Bureau

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting funding for a 10 percent across the board salary adjustment in the amount of \$221,551 for 45 staff members in the Bureau of Insurance Applications (BEA). This request excludes seven additional full time equivalent positions (FTE) approved for the Bureau in FY 2012-13.

BEA is responsible for design, development, enhancement, maintenance and support of application systems required for the business functions and statutory responsibilities of the Department of Financial Services (DFS) and Office of Insurance Regulation (OIR). BEA is responsible for maintaining the majority of applications that support the statutory responsibilities of the Chief Financial Officer (CFO) of the State of Florida. The BEA supports over 325 applications and the business functions support all department divisions, outside companies, and individuals.

In the past 18 months 30 percent of BEA's staff has left employment with the bureau to take positions in other state agencies for higher salaries. In most cases these people have taken the same level position at a salary that is considerably higher, often times with less responsibility. With so many staff leaving and taking the knowledge and experience of DFS and OIR's application systems with them, it is crippling to DFS, OIR and the CFO. Due to the lack of

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
RETENTION OF ENTERPRISE APPLICATION										
BUREAU										3637A00

available salary dollars BEA has been unable to attract or retain the caliber of staff required to support its diverse application pool.

Adding a 10 percent salary adjustment across the board for BEA (excluding seven new FTEs received in FY 2012-13) will begin to provide BEA the capability to hire and retain quality staff and stop the drain of knowledge and skills that are due to staff leaving for monetary gains at other state agencies. This increased capability will allow BEA to begin hiring and retaining staff that will support the CFO's systems which are critical to fulfillment of his statutory responsibilities to the citizens of Florida.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA01 RATE & SALARY ADJ - BENEFITS NO FTE						
C9999 001	0.00	196,359	25,192	221,551	0.00	221,551
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
0.00	196,359		25,192	221,551		221,551

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFORMATION TECHNOLOGY 43010300  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 PROGRAM OR SERVICE-LEVEL  
 INFORMATION TECHNOLOGY 3630000  
 RETENTION OF ENTERPRISE APPLICATION  
 BUREAU 3637A00

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA01 RATE & SALARY ADJ - BENEFITS NO FTE						
C9999 001	0.00	196,359	25,192	221,551	0.00	221,551
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND	0.00	196,359	25,192	221,551		221,551

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INTERNAL IT SELF SUFFICIENCY  
 INITIATIVE FOR UNCLAIMED PROPERTY  
 MANAGEMENT INFORMATION SYSTEM  
 (UPMIS)  
 SALARY RATE 36370C0  
 SALARY RATE..... 501,435 000000  
 =====  
 SALARIES AND BENEFITS 010000  
 ADMINISTRATIVE TRUST FUND -STATE 7.00 637,001 7.00- 637,001- 2021 1  
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
INTERNAL IT SELF SUFFICIENCY						
INITIATIVE FOR UNCLAIMED PROPERTY						
MANAGEMENT INFORMATION SYSTEM						
(UPMIS)						36370C0
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	69,902	69,902	28,679			2021 1
=====						
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	2,478	2,478				2021 1
=====						
TOTAL: INTERNAL IT SELF SUFFICIENCY						36370C0
INITIATIVE FOR UNCLAIMED PROPERTY						
MANAGEMENT INFORMATION SYSTEM						
(UPMIS)						
TOTAL POSITIONS.....	7.00				7.00-	
TOTAL ISSUE.....	709,381	72,380	28,679		637,001-	
TOTAL SALARY RATE.....	501,435				501,435-	
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Issue Title: Internal IT Self Sufficiency Initiative for Unclaimed Property Management Information System (UPMIS)

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS), Division of Information Systems (DIS) is requesting the establishment of seven Systems Programming Consultant full time equivalent positions (FTE) to supplement existing long-term outside staff augmentation agreements. These additional positions will enable DIS to begin the process of enhancing the current Unclaimed Property Management Information System (UPMIS) originally implemented in 2005. During the last four years, the UPMIS database has quadrupled in size. During the same period, the application staff has been reduced by one FTE and staff augmentation consultant services. UPMIS, a mainframe application, is half the size of FLAIR which requires 102 FTEs to support. Currently, there are three FTEs and four consultants supporting UPMIS. The application staff is 733



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
INTERNAL IT SELF SUFFICIENCY										
INITIATIVE FOR UNCLAIMED PROPERTY										
MANAGEMENT INFORMATION SYSTEM										
(UPMIS)										36370C0

change requests in arrears and this number is growing daily.

This application manages over two billion dollars in unclaimed property assets and there is not sufficient state staff for enhancements and maintenance of this critical system. The risk to the State is significantly reduced by adding the requested FTEs. Without these positions DFS must rely totally on contractors to maintain a business system that is mission critical to the Chief Financial Officer. The requested FTEs will be responsible for re-writing legacy business systems, programs and applications previously developed in outdated, costly to maintain software; and converting manual division operations to automated/electronic formats to enable DIS to further reduce future expenditures and improve reliability of the system.

The critical business system and numerous applications are the backbone of UPMIS' program plan and operations. Under Florida law and rules, holders and others are required to electronically submit claims to the Division of Accounting and Auditing, Bureau of Unclaimed Property in order to reclaim their assets. The division is also required to pay claims in a timely manner. The Bureau of Unclaimed Property is reliant on electronic business systems to carry out their daily statutory duties.

The division recognizes that a more cost effective means of maintaining and enhancing its system is to recruit in-house staff for daily maintenance and enhancements, and only contract for services that are temporary, project specific and outside the capabilities of in-house FTEs. To recruit and retain experienced candidates to perform at the level necessary to assure a successful transition, DIS will need to hire at approximately 40 percent over the minimum of the Systems Programming Consultant classification. These positions will be established within DIS and co-managed by DIS in support of the Bureau of Unclaimed Property's critical business systems and applications.

The addition of seven positions will enable the department to drastically upgrade and maintain UPMIS. By upgrading the functionality of UPMIS the department will process more claims, have a better system to handle unclaimed property inquiries and requests, and be more effective, efficient and quicker placing money in the hands of citizens of the State.

Amended 2013-14 Narrative after February 1, 2013.

The seven FTE and the salary and benefits budget in this issue have been included in the new issue "Realign current positions to fulfill mission critical functions" (IC 2000110). This issue appropriates the remaining expenses and DMS human resource budget need for the internal IT self sufficiency initiative for unclaimed property management system

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFORMATION TECHNOLOGY 43010300  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 PROGRAM OR SERVICE-LEVEL  
 INFORMATION TECHNOLOGY 3630000  
 INTERNAL IT SELF SUFFICIENCY  
 INITIATIVE FOR UNCLAIMED PROPERTY  
 MANAGEMENT INFORMATION SYSTEM  
 (UPMIS) 36370C0

(UPMIS).

Summary: This issue deleted the FTE and salary and benefits budget authority request.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
C9999 001	7.00	501,435	135,566	637,001	0.00	637,001
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
7.00	501,435		135,566	637,001		637,001

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14 POS	AMOUNT	AGY AMD REQ FY 2013-14 POS	AMOUNT	AGY AMD N/R FY 2013-14 POS	AMOUNT	AGY AMD ANZ FY 2013-14 POS	AMOUNT	AGY AMD REQ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
<u>INFORMATION TECHNOLOGY</u>										43010300
GOV OPERATIONS/SUPPORT										16
<u>INFORMATION TECHNOLOGY</u>										<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
SUPPORT AND MAINTENANCE FOR FLORIDA										
ACCOUNTABILITY CONTRACT TRACKING										
SYSTEM (FACTS)										36371C0

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Issue Title: Support and Maintenance for Florida Accountability Contract Tracking System (FACTS)

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS), Division of Information Systems (DIS), is requesting four full time equivalent positions (FTE) and Contracted Services funds for one .Net Developer. These resources are requested for the Florida Accountability Contract Tracking System (FACTS), a subsystem of the Transparency Florida System.

The goals of the Transparency initiatives, including FACTS, are to:

1. Promote greater transparency and accountability with state dollars, contracts and the state budget;
2. Eliminate redundancy in contract reporting for the existing contract systems DFS' Non-Solicitations of Commodities and Services Reporting and the Governor's State Contract Management System by replacing the Florida Accounting Information Resource (FLAIR) contract input screens with FACTS as a front end; and
3. Meet the CFO's statutory requirements as defined in Laws of Florida, 3011-049 and codified in Sec. 215.985, F.S.

The System Program Consultant FTE and two Applications Systems Programmer III FTEs are required to provide on-going code enhancement management and production system support. Their daily tasks will include maintenance for existing systems that support Transparency, as well as working with key stakeholders to develop enhancements and modifications to FACTS that support transparency and open government. The specified classifications of positions are requested as systems support positions and require specific knowledge, skills and abilities in the utilization of various relevant internet technologies, i.e. HTML, CSS, AJAX, and Microsoft .Net.

The Information Resource Management Consultant FTE is required to function as a senior business analyst with responsibility for serving as the liaison between Department of Management Services (DMS) and DFS to identify agreed upon requirements to support the data sharing agreement between the two agencies. This resource will be responsible for providing detailed business requirements for the data sharing agreement and provide assistance to the DIS technical resources in developing the functional requirements. The System Analyst III/Business Analyst will be required to continue in this capacity to capture business requirements submitted by DFS and DMS' partners for modifications to the Transparency Florida website and all its subsystems.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
SUPPORT AND MAINTENANCE FOR FLORIDA										
ACCOUNTABILITY CONTRACT TRACKING										
SYSTEM (FACTS)										36371C0

The contracted services .Net Developer is required to provide development services to complete the data exchange with the DMS Marketview or My Florida Market Place (MFMP), to collect Purchase Order contract data. Prior to contract completion this resource must complete knowledge transfer of the system to DIS' FTEs.

Operating Capital Outlay is required for network controllers and disk space to support contract imaging for all agencies and collection of 10 years of historical contract data to meet the record retention requirements mandated by the Transparency legislation in section 215.985(4)(3), F.S.

Amended 2013-14 Narrative after February 1, 2013.

The four FTE and the salary and benefits budget in this issue have been included in the new issue "Realign current positions to fulfill mission critical functions" (IC 2000110). This issue appropriates the remaining expenses, operating capital outlay, contracted services and DMS human resource budget need for the support and maintenance for Florida accountability contract tracking system (FACTS).

Summary: This issue deleted the FTE and salary and benefits budget authority request.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	4.00	231,409		70,394	301,803	0.00	301,803

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFORMATION TECHNOLOGY 43010300  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 PROGRAM OR SERVICE-LEVEL  
 INFORMATION TECHNOLOGY 3630000  
 SUPPORT AND MAINTENANCE FOR FLORIDA  
 ACCOUNTABILITY CONTRACT TRACKING  
 SYSTEM (FACTS) 36371C0

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
4.00	231,409		70,394	301,803		301,803

STUDY FOR CENTRALIZED SINGLE LICENSING SYSTEM 36373C0  
 SPECIAL CATEGORIES 100000  
 CONTRACTED SERVICES 100777

ADMINISTRATIVE TRUST FUND -STATE 500,000 500,000 500,000 2021 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES

Issue Title: Study for Centralized Single Licensing System

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
STUDY FOR CENTRALIZED SINGLE										
LICENSING SYSTEM										36373C0

The Department of Financial Services (DFS), Division of Information Systems (DIS), is requesting funding to secure a contract vendor from the State Term Contract to assist the department in studying an initiative to consolidate department licensing computer systems, business operations, application processing services, and call center services. DIS' objective is to reduce costs and improve customer service by providing single points of entry through the Internet and call center. The major components of this licensing consolidation initiative include: (1) implementing a statewide licensing system through a single Internet portal; (2) consolidating application processing and management services; and (3) consolidating department communication through expanding services already offered by the DFS Customer Help Line.

Currently, the department's three licensing divisions (Agent and Agency Services (A&AS); Funeral and Cemetery Services (FCS); and State Fire Marshal (SFM)) operate nine separate and discrete licensing and licensing related computer systems. In FY 2011-12, A&AS reported over 130 license types, 550,000 licensees holding 740,000 active licenses, and just over 2,000,000 company appointments with a total revenue of \$64,000,000; FCS reported 22 license types, 946 licenses and a total licensing fee revenues of approximately \$2,095,337 and ; SFM Florida State Fire College reported 22 license types and almost 5,000 licensees with a total revenue of \$144,700. Each division performs its own initial and renewal application processing and customer service functions and, for many license types, there is no capacity for electronic application submission or payment.

The objective of the requested study is to document functional requirements for a future solicitation to procure a single licensing system and/or services. To accomplish the objective, activities of the study will include: (1) review of the department's licensing business maps developed by the Business Process Mapping/Enterprise Risk Management Consulting Section with the DFS Office of Inspector General; (2) review of other department documentation related to licensing activities; (3) a gap analysis of the department's existing licensing systems to include any necessary changes to existing contracted services, budgeting, and/or statutory language; and (4) staff interviews to document functional requirements.

Creating a centralized DFS Internet e-Licensing portal will provide customers with a single point of entry for all licensing activities within the department directly from a prominent location on the department's Internet home page. Customers will no longer be required to search multiple division and/or bureau Internet sites to access or learn about DFS licensing activities.

Creating a centralized application point of entry to receive and process applications for all DFS application types and collect and process revenue associated with all DFS licensing activities, will reduce the number of employees needed to conduct these redundant activities. Currently, staff in each division are responsible for processing license applications and renewals in addition to their core regulatory duties of inspections, investigations, and complaint processing. A centralized application point of entry will enable department staff in these three divisions to focus on

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						1603.00.00.00
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
STUDY FOR CENTRALIZED SINGLE						
LICENSING SYSTEM						36373C0

their core regulatory functions.

Expanding the Customer Helpline will provide the public 24-hour, 7-day a week access to information about the businesses and professions regulated by DFS. Currently regulatory programs in each of the DFS divisions individually respond to calls and perform customer service functions only during business hours. The expanded Customer Helpline will allow contact by telephone, e-mail, and the Internet, and provide a more centralized point of contact for customers. The scope of services provided by the Helpline will also be expanded to provide responses to general inquiries about all DFS business functions, disseminate forms, information booklets, brochures and other materials to consumers.

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FILENET P8 DOCUMENT MANAGEMENT						
IMPLEMENTATION AND MIGRATION						36380C0
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	252,107	252,107	252,107			2021 1
	=====	=====	=====	=====	=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	694,905	694,905	267,000			2021 1
	=====	=====	=====	=====	=====	
TOTAL: FILENET P8 DOCUMENT MANAGEMENT						36380C0
IMPLEMENTATION AND MIGRATION						
TOTAL ISSUE.....	947,012	947,012	519,107			
	=====	=====	=====	=====	=====	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: FileNet P8 Document Management Implementation and Migration

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.



COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES					43000000
PRG: CHIEF FIN OFFICER/ADM					43010000
<u>INFORMATION TECHNOLOGY</u>					43010300
<u>GOV OPERATIONS/SUPPORT</u>					16
<u>INFORMATION TECHNOLOGY</u>					<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL					
INFORMATION TECHNOLOGY					3630000
FILENET P8 DOCUMENT MANAGEMENT					
IMPLEMENTATION AND MIGRATION					36380C0

The Department of Financial Services (DFS) is requesting funding to implement the migration of three separate document management systems to a single enterprise document management system, FileNet P8. IBM FileNet P8 is a single document management system that stores and manages enterprise-level volumes of information and content. Moving to this single enterprise platform for five DFS divisions and the Office of Insurance Regulation (OIR) will meet two critical department needs: (1) timely meet the Division of Risk Management's need to have a fully functioning imaging system in place coincident with the replacement of the department's current fragile risk management information system, and (2) allowing DFS the ability to leverage the readily scalable FileNet P8 document management system to accommodate the future document management needs for the other 168 applications utilized by DFS' remaining nine service divisions, three support divisions, and eight executive offices. Currently, DFS has three separate and distinct outdated instances of FileNet supporting the following six business units: Division of Workers' Compensation, Division of Risk Management, Division of Consumer Assistance, Division of Agent & Agency Services, Division of Funeral and Cemetery Services, Consumer Services, and the Office of Insurance Regulation. Together these three FileNet systems support more than 165 department business applications. The service that is currently received by all these divisions and OIR is paid from the divisions of Workers' Compensation, Risk Management, and Information Systems' budgets.

The department's intent is to consolidate the three FileNet systems onto the single, secure, and highly available FileNet P8 enterprise-level instance. DIS' objectives include: (1) fully supporting the critical document management needs associated with the replacement of the Division of Risk Management's current underperforming and misaligned Risk Management Information System; (2) providing the department with the capability to store and manage all its documents on a single consolidated enterprise-level platform; and (3) allowing documents to seamlessly flow between divisions and offices eliminating the inefficient and costly process of printing, scanning, re-printing, emailing or mailing documents among the various business units. This consolidation will significantly improve operational effectiveness and efficiency; reduce future overhead associated with managing, routing, and retrieving documents necessary to carry out the department's statutory requirements; increase processing times for initial and renewal licensure application review and approval; and provide inspectors and investigators ready access to the documents needed to fulfill the department's anti-fraud and regulatory activities.

The current maintenance and support for the duplicative and outdated instances of FileNet cost of \$309,041 is paid from three separate divisions (Division of Workers Compensation \$145,867, Division of Risk Management \$51,301 and Division of Information Systems \$111,873). The request for the transfer of funding and the request for new funding includes: (1) new nonrecurring expense budget (\$252,107) to complete the purchase of 777 IBM FileNet Image Manager Active Edition licenses and 186 IBM FileNet Business Process Manager Add-on Authorized User licenses; (2) new nonrecurring contracted services budget (\$267,000) to secure staff augmentation services from a State Term Contract vendor to assist the department with the implementation and migration of the FileNet P8 document management system; (3) transfer of existing

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
FILENET P8 DOCUMENT MANAGEMENT										
IMPLEMENTATION AND MIGRATION										36380C0

contracted services budget (\$197,168) from both the Division of Workers' Compensation and the Division of Risk Management to support the on-going maintenance and support of the system; and (4) new recurring contracted services budget (\$230,737) for the balance of the on-going maintenance and support of the system.

This is the first of a two year request. In FY 2014-15 a request for approximately \$135,000 will be submitted to fund staff augmentation contract services for finalizing implementation of the single enterprise-level document management system.

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TOTAL: INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
BY FUND TYPE										
	140.00		141.00					1.00		
TRUST FUNDS.....	22,562,252		22,820,413		1,438,171			258,161	2000	
SALARY RATE.....	7,163,607		7,406,035					242,428		
=====										

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PRG: CHIEF FIN OFFICER/ADM											43010000
CONSUMER ADVOCATE											43010400
GOV OPERATIONS/SUPPORT											16
EXEC LEADERSHIP/SUPPRT SVC											1602.00.00.00
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SALARY RATE											000000
SALARY RATE.....		479,372		479,372							
=====											
SALARIES AND BENEFITS											010000
INSURANCE REG TF	-STATE	5.00	527,131	5.00	527,131						2393 1
=====											
OTHER PERSONAL SERVICES											030000
INSURANCE REG TF	-STATE	115,229		115,229							2393 1
=====											
EXPENSES											040000
INSURANCE REG TF	-STATE	49,127		49,127							2393 1
=====											
OPERATING CAPITAL OUTLAY											060000
INSURANCE REG TF	-STATE	4,000		4,000							2393 1
=====											
SPECIAL CATEGORIES											100000
CONTRACTED SERVICES											100777
INSURANCE REG TF	-STATE	20,471		20,471							2393 1
=====											
RISK MANAGEMENT INSURANCE											103241
INSURANCE REG TF	-STATE	1,319		1,319							2393 1
=====											

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
CONSUMER ADVOCATE						43010400
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	1,138	1,138			2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	1,912	1,912			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	5.00	5.00				
TOTAL ISSUE.....	720,327	720,327				
TOTAL SALARY RATE.....	479,372	479,372				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
INSURANCE REG TF	-STATE	123	123			2393 1
FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						010000
FISCAL YEAR 2012-2013						
SALARIES AND BENEFITS						
INSURANCE REG TF	-STATE	804	804			2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
CONSUMER ADVOCATE						43010400
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	639	639			2393 1
=====						
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	46-	46-			2393 1
=====						
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
INSURANCE REG TF	-STATE	500-	500-			2393 1
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
CONSUMER ADVOCATE						43010400
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	500	500			2393 1

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.  
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ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE			1,388		1,388	2021 1

\*\*\*\*\*

AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.  
 This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.  
 Summary: This is a new issue.  
 \*\*\*\*\*

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 CONSUMER ADVOCATE 43010400  
 GOV OPERATIONS/SUPPORT 16  
 EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						1,388
						1,388

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REALIGN BUDGET AUTHORITY BETWEEN  
 CATEGORIES TO MATCH ANTICIPATED  
 RECURRING OPERATING EXPENDITURES -  
 ADD 2000140  
 OTHER PERSONAL SERVICES 030000

INSURANCE REG TF	-STATE	5,000	5,000			2393 1
EXPENSES 040000						
INSURANCE REG TF	-STATE	15,000	15,000			2393 1
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN 2000140						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						
TOTAL ISSUE.....		20,000	20,000			

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
CONSUMER ADVOCATE						43010400
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.						
*****						
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	3,195	3,195			2393 1
=====						
TOTAL: EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	5.00	745,042	5.00	746,430		1,388 2000
SALARY RATE.....	479,372	479,372				
=====						



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	4,907,932	4,907,932				
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	6,044,304	6,044,304				1000 1
ADMINISTRATIVE TRUST FUND -STATE	451,446	451,446				2021 1
TOTAL POSITIONS.....	109.00	109.00				
TOTAL APPRO.....	6,495,750	6,495,750				
OTHER PERSONAL SERVICES						030000
GENERAL REVENUE FUND -STATE	5,000	5,000				1000 1
EXPENSES						040000
GENERAL REVENUE FUND -STATE	1,535,578	1,535,578				1000 1
ADMINISTRATIVE TRUST FUND -STATE	168,513	168,513				2021 1
TOTAL APPRO.....	1,704,091	1,704,091				
OPERATING CAPITAL OUTLAY						060000
GENERAL REVENUE FUND -STATE	190,794	190,794				1000 1
ADMINISTRATIVE TRUST FUND -STATE	25,000	25,000				2021 1
TOTAL APPRO.....	215,794	215,794				

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PRG: CHIEF FIN OFFICER/ADM											43010000
INFO TECHNOLOGY - FLAIR											43010500
GOV OPERATIONS/SUPPORT											16
INFORMATION TECHNOLOGY											1603.00.00.00
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SPECIAL CATEGORIES											100000
CONTRACTED SERVICES											100777
GENERAL REVENUE FUND -STATE		2,668,816		2,668,816							1000 1
ADMINISTRATIVE TRUST FUND -STATE		1,931,500		1,931,500							2021 1
TOTAL APPRO.....		4,600,316		4,600,316							
RISK MANAGEMENT INSURANCE											103241
GENERAL REVENUE FUND -STATE		13,468		13,468							1000 1
LEASE/PURCHASE/EQUIPMENT											105281
GENERAL REVENUE FUND -STATE		3,824		3,824							1000 1
TR/DMS/HR SVCS/STW CONTRCT											107040
GENERAL REVENUE FUND -STATE		35,822		35,822							1000 1
ADMINISTRATIVE TRUST FUND -STATE		2,657		2,657							2021 1
TOTAL APPRO.....		38,479		38,479							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS											1001000
TOTAL POSITIONS.....	109.00		109.00								
TOTAL ISSUE.....		13,076,722		13,076,722							
TOTAL SALARY RATE.....		4,907,932		4,907,932							

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	1,260	1,260				2021 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	16,547	16,547				1000 1
ADMINISTRATIVE TRUST FUND -STATE	1,236	1,236				2021 1
TOTAL APPRO.....	17,783	17,783				
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	12,518	12,518				1000 1
ADMINISTRATIVE TRUST FUND -STATE	935	935				2021 1
TOTAL APPRO.....	13,453	13,453				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
ESTIMATED EXPENDITURES						1000000
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	867-	867-				1000 1
ADMINISTRATIVE TRUST FUND -STATE	64-	64-				2021 1
TOTAL APPRO.....	931-	931-				
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF DEFERRED-PAYMENT						
COMMODITY CONTRACTS - INFORMATION						160D100
TECHNOLOGY - DEDUCT						060000
OPERATING CAPITAL OUTLAY						
GENERAL REVENUE FUND -STATE	85,914-	85,914-				1000 1
ADMINISTRATIVE TRUST FUND -STATE	25,000-	25,000-				2021 1
TOTAL APPRO.....	110,914-	110,914-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Deduct

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D200 will net to zero at the department level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFO TECHNOLOGY - FLAIR</u>						43010500
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF DEFERRED-PAYMENT						
COMMODITY CONTRACTS - INFORMATION						
TECHNOLOGY - ADD						160D200
SPECIAL CATEGORIES						100000
DEFERRED-PAY COM CONTRACTS						105280
GENERAL REVENUE FUND -STATE	85,914	85,914				1000 1
ADMINISTRATIVE TRUST FUND -STATE	25,000	25,000				2021 1
TOTAL APPRO.....	110,914	110,914				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Add

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D100 will net to zero at the department level.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN CURRENT POSITIONS TO										
FULFILL MISSION CRITICAL FUNCTIONS										
- ADD										2000110
SALARIES AND BENEFITS										010000
ADMINISTRATIVE TRUST FUND -STATE		16,480						16,480		2021 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

OTHER SALARY AMOUNT

2021 ADMINISTRATIVE TRUST FUND

16,480  
 -----  
 16,480  
 =====

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	400,000	400,000				2021 1
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.						
*****						
NONRECURRING EXPENDITURES						2100000
FLAIR SUCCESSION PLAN - OVERLAP						
STAFF TO MAINTAIN FLAIR PROFICIENCY						2103133
SALARY RATE						000000
SALARY RATE.....	196,256-	196,256-				
*****						
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND	7.00-	7.00-				
-STATE	282,401-	282,401-				1000 1
*****						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
NONRECURRING EXPENDITURES						2100000
FLAIR SUCCESSION PLAN - OVERLAP						2103133
STAFF TO MAINTAIN FLAIR PROFICIENCY EXPENSES						040000
GENERAL REVENUE FUND -STATE	39,037-	39,037-				1000 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	2,492-	2,492-				1000 1
TOTAL: FLAIR SUCCESSION PLAN - OVERLAP						2103133
STAFF TO MAINTAIN FLAIR PROFICIENCY						
TOTAL POSITIONS.....	7.00-	7.00-				
TOTAL ISSUE.....	323,930-	323,930-				
TOTAL SALARY RATE.....	196,256-	196,256-				
FLAIR REPLACEMENT - INDEPENDENT						2103135
BUSINESS CASE STUDY						100000
SPECIAL CATEGORIES						100777
CONTRACTED SERVICES						
ADMINISTRATIVE TRUST FUND -STATE	1,500,000-	1,500,000-				2021 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
ANNUALIZATION OF ADMINISTERED						26A0000
FUNDS APPROPRIATIONS						
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	62,590	62,590				1000 1
ADMINISTRATIVE TRUST FUND -STATE	4,675	4,675				2021 1
TOTAL APPRO.....	67,265	67,265				
WORKLOAD						3000000
FLAIR SUCCESSION PLAN - OVERLAP						
STAFF TO MAINTAIN FLAIR PROFICIENCY						30050C0
SALARY RATE						000000
SALARY RATE.....	243,128	243,128	243,128			
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	8.00 355,729	8.00 355,729	8.00 355,729			1000 1
EXPENSES						040000
GENERAL REVENUE FUND -STATE	67,597	67,597	67,597			1000 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	2,832	2,832	2,832			1000 1
TOTAL: FLAIR SUCCESSION PLAN - OVERLAP						30050C0
STAFF TO MAINTAIN FLAIR PROFICIENCY						
TOTAL POSITIONS.....	8.00	8.00	8.00			
TOTAL ISSUE.....	426,158	426,158	426,158			
TOTAL SALARY RATE.....	243,128	243,128	243,128			

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
WORKLOAD										3000000
FLAIR SUCCESSION PLAN - OVERLAP										
STAFF TO MAINTAIN FLAIR PROFICIENCY										30050C0

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES

Issue Title: FLAIR Succession Plan - Overlap Staff to Maintain FLAIR Proficiency

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

FLAIR, the State of Florida accounting system, is a critical statewide system that processes over 52 million transactions per year. The number of warrants and electronic fund transfers produced annually are over 16 million. This is a 223 percent increase from when FLAIR was first implemented in 1980.

Thirty-eight FLAIR staff members are either currently in DROP, are within five years of retirement by age or years of service, have returned after DROP, or already have over 30 years of service. The FLAIR staff members who will terminate within the next five years are seasoned and experienced experts with many combined years of institutional FLAIR knowledge. During Fiscal Year 2012-13, seven full time equivalent positions (FTE) were established as nonrecurring; and the department received \$323,930 in nonrecurring funding for the first year of the overlap plan. This issue is to request the second year overlap of eight FTE and \$426,158, both nonrecurring.

To maintain continued staff competency without significant customer service and system interruptions, it is vital that DFS hire replacement staff to overlap the FLAIR staff members who are scheduled to DROP during Fiscal Year 2013-14. It is recommended that the overlap period be initiated at least one year prior to each retiring staff member's termination date.

By implementing staff position overlaps, DFS will provide sufficient time for newly hired staff to learn the intricacies of FLAIR's customized programming language as well as other unique complexities associated with the FLAIR system.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFO TECHNOLOGY - FLAIR 43010500  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 WORKLOAD 3000000  
 FLAIR SUCCESSION PLAN - OVERLAP  
 STAFF TO MAINTAIN FLAIR PROFICIENCY 30050C0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	8.00	243,128		112,601	355,729	0.00	355,729
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							355,729
	8.00	243,128		112,601	355,729		355,729

A14 - AGY AMD REQ FY 2013-14

NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	8.00	243,128		112,601	355,729	0.00	355,729
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							355,729
	8.00	243,128		112,601	355,729		355,729

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFO TECHNOLOGY - FLAIR 43010500  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 WORKLOAD 3000000  
 FLAIR SUCCESSION PLAN - OVERLAP  
 STAFF TO MAINTAIN FLAIR PROFICIENCY 30050C0

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A15 - AGY AMD N/R FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
C9999 001						
8.00	243,128		112,601	355,729	0.00	355,729
TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						
8.00	243,128		112,601	355,729		355,729

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PROGRAM REDUCTIONS 33V0000  
 RESTRUCTURE FLAIR THIRD SHIFT 33V1930  
 SALARY RATE 000000  
 SALARY RATE..... 37,265- 37,265-  
 SALARIES AND BENEFITS 010000  
 GENERAL REVENUE FUND -STATE 3.00- 3.00-  
 72,575- 72,575- 1000 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFO TECHNOLOGY - FLAIR</u>						43010500
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						1603.00.00.00
PROGRAM REDUCTIONS						33V0000
RESTRUCTURE FLAIR THIRD SHIFT						33V1930
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	1,062-	1,062-				1000 1
TOTAL: RESTRUCTURE FLAIR THIRD SHIFT						33V1930
TOTAL POSITIONS.....	3.00-	3.00-				
TOTAL ISSUE.....	73,637-	73,637-				
TOTAL SALARY RATE.....	37,265-	37,265-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Restructure FLAIR Third Shift

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This request consolidates four full time equivalent positions (FTE) currently assigned to the mainframe Production Control Unit into one higher paid FTE. The positions to be deleted have a current salary and benefits cost of \$174,519 and the requested FTE will have a salary and benefits cost of \$101,950.

There are multiple systems running on the Department of Financial Services (DFS) mainframe: FLAIR, the Executive office of the Governor's LAS/PBS system, Workers Compensation, Unclaimed Property and a small component of the Treasury's system. The processes that support these systems are currently running 24 hours a day, 7 days a week and must be monitored to assure that the systems are available and accurate. The Division of Information Systems (DIS) currently has personnel in its Production Control unit assigned to monitor these processes during three shifts; Monday through Friday and a split shift to cover a critical portion of the weekend work. Two of the four positions will be eliminated from the third shift Production Control Unit. This will eliminate the need for the Quality Control Scheduling Supervisor and the Quality Control Scheduling Specialist.

The following can be implemented to eliminate the third shift:

- \*Nightly process flows will be shortened by rescheduling processes to complete at an earlier time;
- \*Automation will be employed to alert on-call staff via email of any process failure during periods that are not actively monitored by Production Control staff; and
- \*Second shift will be scheduled into a split shift that will entail two production control monitors working from 3 p.m.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
PROGRAM REDUCTIONS										33V0000
RESTRUCTURE FLAIR THIRD SHIFT										33V1930

to 11 p.m. and two additional monitors working from 7 p.m. to 3 a.m. This measure will provide coverage by four staff members during the peak hours of 7 p.m. to 11 p.m. and coverage by two staff members to monitor processes that run until 3 a.m.

The remaining two positions in the DIS Production Control Unit that can also be eliminated are a Data Processing Control Specialist and a Computer Operator II. The Data Processing Control Specialist is responsible for handling tapes in the DFS tape library which will be replaced by a Virtual Tape Library in early 2013 which will eliminate the need for an FTE to handle the physical tapes. The Computer Operator II can be eliminated due to a reduced workload in the production print area as more reports are captured online and more payments are made via electronic fund transfers (EFT) thus eliminating printing of paper warrants.

The upgraded position will be a dedicated Project Manager for FLAIR activities. Each year state and federal legislation is passed that results in major projects that directly impact FLAIR, for example, three percent Federal Withholding, three percent Employee Pension Contributions, Legislative Payroll, Federal Health Insurance Reform Act, to name a few. These are often complex initiatives with far scope, impacts and costs making it critical that they be managed per state and federal project guidelines. With the elimination of the four Production Control FTEs funds will be available to add a senior level project manager to support the DIS Office of Enterprise Financial Support Services which includes the FLAIR and mainframe organizations. This project manager can then support the major projects mandated in these business units to ensure they are completed on time, in scope and in budget.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
P101 PROPOSED CLASS CODE						
C9999 001	4.00-	118,604-	55,921-	174,525-	0.00	174,525-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	OVER(UNDER)	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
INFO TECHNOLOGY - FLAIR 43010500  
 GOV OPERATIONS/SUPPORT 16  
INFORMATION TECHNOLOGY 1603.00.00.00  
 PROGRAM REDUCTIONS 33V0000  
 RESTRUCTURE FLAIR THIRD SHIFT 33V1930

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A12 - AGY FIN REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						174,525-
4.00-	118,604-		55,921-	174,525-		174,525-

NEW POSITIONS

P101 PROPOSED CLASS CODE						
N0001 001						
1.00	81,339		20,611	101,950	0.00	101,950

TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						101,950
1.00	81,339		20,611	101,950		101,950

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

P101 PROPOSED CLASS CODE						
C9999 001						
4.00-	118,604-		55,921-	174,525-	0.00	174,525-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PRG: CHIEF FIN OFFICER/ADM 43010000  
 INFO TECHNOLOGY - FLAIR 43010500  
 GOV OPERATIONS/SUPPORT 16  
 INFORMATION TECHNOLOGY 1603.00.00.00  
 PROGRAM REDUCTIONS 33V0000  
 RESTRUCTURE FLAIR THIRD SHIFT 33V1930

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						174,525-
4.00-	118,604-		55,921-	174,525-		174,525-

NEW POSITIONS

P101 PROPOSED CLASS CODE						
N0001 001						
1.00	81,339		20,611	101,950	0.00	101,950

TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						101,950
1.00	81,339		20,611	101,950		101,950

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
STATE ENTERPRISE INFORMATION						3610000
TECHNOLOGY						36104C0
FLAIR REPLACEMENT - INDEPENDENT						100000
BUSINESS CASE STUDY						100777
SPECIAL CATEGORIES						
CONTRACTED SERVICES						
ADMINISTRATIVE TRUST FUND -STATE		1,500,000	1,500,000		1,500,000	2021 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests \$1.5 million in General Revenue to fund The Florida Accounting Information Resource system, (FLAIR) replacement study. FLAIR, which is used by the State of Florida (State), was developed and implemented over thirty (30) years ago. The original name of the system was The State Automated Management Accounting Subsystem (SAMAS).As with other applications developed for statewide use, not all agencies use FLAIR exclusively for their accounting functions. Further, there are numerous specialized accounting methods and systems used by state agencies in addition to FLAIR (Agency Business Systems). FLAIR is a mature application, running on an IBM Z/9 mainframe. FLAIR is fully implemented in all state agencies with approximately 12,000+ individual users at 400+ accounting office sites throughout the State.This is a critical statewide system that processes over 52 million transactions per year. The number of warrants and electronic fund transfers produced annually are over 16 million. This is a 223 percent increase from when SAMAS was first implemented in 1980.FLAIR is a legacy system that has been patched, updated, and modified many times. There is a shortage of skilled technical staff to support this legacy system. It is very difficult to recruit, train, and retain qualified staff because the FLAIR application is not written in a state-of-the-art programming language. Some of the key staff members that provide support to this system are nearing retirement age. As the staff retires or moves on to other job opportunities, they take with them years of institutional knowledge that cannot be replaced. The State is in jeopardy of suffering a catastrophic failure of this system if it is not soon replaced. The Department needs the expertise of an independent third party consultant with experience in statewide accounting systems to develop a business case to assist the state in determining the best approach in replacing FLAIR.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
INCREASE BUDGET FOR FLAIR OPERATING						
COSTS						36378C0
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	1	1,000,000			999,999	2021 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Increase Budget for FLAIR Operating Costs

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting additional contracted services budget authority to true-up its recurring FLAIR budget to provide continuation funds to cover its operating costs.

DIS' current recurring operational budget to support its FLAIR operations is \$11,252,792. This continuation budget is not sufficient to support continued operations which have risen over a period of time without adjustments. The deficit between the appropriation and expenditures, \$2,372,443, is paid from Budget Entity 43010300 (Information Technology) and cost allocated to various DFS trust funds.

The projected annual cost to operate the FLAIR operations is:

Category	Cost
Salary & Benefits:	6,787,428
OPS:	13,607
Expense:	1,442,509
OCO:	781,820
Contracted Services:	4,539,392
Risk Management:	13,468
Lease or Lease Purchase of Equipment:	3,824
Transfer to DMS - HR Services Contract:	35,987
NWRDC:	7,200

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFO TECHNOLOGY - FLAIR</u>						43010500
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
INCREASE BUDGET FOR FLAIR OPERATING COSTS						36378C0
TOTAL:			\$13,625,235			

When the FLAIR Operating Budget is trued-up, DIS will be able to administer the Information Technology budget to provide needed goods and services to the rest of the department. The following are representative of the types of projects needed in order to continue the transformation of the department's technology division.

Upgrade and consolidation of the department's seven different FileNet systems into one consolidated P8 environment;

Replace costly Oracle database systems with a DB2 clustered environment;

Staff Augmentation to support FACTS, UPMIS, ALIS, DICE, FileNet and the implementation and mobile application;

Remedy requirements: Additional modules to properly accomplish project and resources/inventory management;

Remedy licenses to accommodate user self service; and training to maintain overall system;

Security tools to validate that DFS application software is free of security holes and resistant to external software exploits;

Document content management to enable DFS to control, manage and secure distribution of forms and documents internally and externally;

Testing software to improve quality, reliability and speed of application software; request suite of testing tools to allow debugging and performance testing of any software deployed by DFS;

Interactive Voice Response (IVR) to help Division of Consumer Services and DIS provide better customer service;

Conversion and administrative tools to facilitate migration of legacy systems and software programs to technology that is newer and less costly to maintain;

Replace aging servers and network equipment to maintain speed and reliability of DFS' computing infrastructure; and

Replace personal computers that could not be accommodated with current budget.





	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
DEPOSIT SECURITY						43100200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
TREASURY ADM/INVEST TF -STATE	2,616	2,616				2725 1
TR/DMS/HR SVCS/STW CONTRCT						107040
TREASURY ADM/INVEST TF -STATE	7,670	7,670				2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	23.00	23.00				
TOTAL ISSUE.....	1,778,288	1,778,288				
TOTAL SALARY RATE.....	963,124	963,124				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
TREASURY ADM/INVEST TF -STATE	1,220	1,220				2725 1
FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						010000
FISCAL YEAR 2012-2013						
SALARIES AND BENEFITS						
TREASURY ADM/INVEST TF -STATE	4,721	4,721				2725 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
DEPOSIT SECURITY						43100200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE	3,178	3,178				2725 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
TREASURY ADM/INVEST TF -STATE	186-	186-				2725 1
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110
SALARIES AND BENEFITS						010000
WORKERS' COMP ADMIN TF -STATE		9,855			9,855	2795 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PROGRAM: TREASURY 43100000  
 DEPOSIT SECURITY 43100200  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2795 WORKERS' COMP ADMIN TF						9,855
						9,855
						=====

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REALIGN SALARY BUDGET AUTHORITY TO  
 MATCH RECURRING OPERATING  
 EXPENDITURES - DEDUCT 2000220  
 SALARY RATE 000000  
 SALARY RATE..... 36,500- 36,500-  
 =====  
 SALARIES AND BENEFITS 010000  
 TREASURY ADM/INVEST TF -STATE 36,500- 36,500-  
 ===== 2725 1  
 TOTAL: REALIGN SALARY BUDGET AUTHORITY TO 2000220  
 MATCH RECURRING OPERATING  
 EXPENDITURES - DEDUCT  
 TOTAL ISSUE..... 36,500- 36,500-  
 TOTAL SALARY RATE..... 36,500- 36,500-  
 =====



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PROGRAM: TREASURY 43100000  
 DEPOSIT SECURITY 43100200  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN SALARY BUDGET AUTHORITY TO  
 MATCH RECURRING OPERATING  
 EXPENDITURES - DEDUCT 2000220

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue is the deduct side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves salary budget for realignment. This issue with corresponding issue code 2000230 will net to zero at the department level.  
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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS						
C0000 001	0.00	36,500-		36,500-	0.00	36,500-
TOTALS FOR ISSUE BY FUND						
2725 TREASURY ADM/INVEST TF						36,500-
	0.00	36,500-		36,500-		36,500-

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	POS	POS	POS	POS	AMOUNT
	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
DEPOSIT SECURITY						43100200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - DEDUCT						2000220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	36,500-			36,500-	0.00	36,500-
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							36,500-
	0.00	36,500-			36,500-		36,500-

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1830 010000
TREASURY ADM/INVEST TF -STATE	15,890	15,890					2725 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
DEPOSIT SECURITY						43100200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
BY FUND TYPE						
	23.00	23.00				
TRUST FUNDS.....	1,766,611	1,776,466				9,855 2000
SALARY RATE.....	926,624	926,624				
	=====	=====	=====	=====	=====	

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PROGRAM: TREASURY											43100000
ST FUNDS MGT & INVESTMENT											43100300
GOV OPERATIONS/SUPPORT											16
GOVERNMENTAL OPERATIONS											1601.00.00.00
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SALARY RATE											000000
SALARY RATE.....		1,183,429		1,183,429							
SALARIES AND BENEFITS											010000
TREASURY ADM/INVEST TF -STATE		28.50		28.50							2725 1
		1,654,547		1,654,547							
OTHER PERSONAL SERVICES											030000
TREASURY ADM/INVEST TF -STATE		17,500		17,500							2725 1
EXPENSES											040000
TREASURY ADM/INVEST TF -STATE		249,846		249,846							2725 1
SPECIAL CATEGORIES											100000
CONTRACTED SERVICES											100777
TREASURY ADM/INVEST TF -STATE		948,785		948,785							2725 1
TR/DMS/HR SVCS/STW CONTRCT											107040
TREASURY ADM/INVEST TF -STATE		9,324		9,324							2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS											1001000
TOTAL POSITIONS.....		28.50		28.50							
TOTAL ISSUE.....		2,880,002		2,880,002							
TOTAL SALARY RATE.....		1,183,429		1,183,429							

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
ST FUNDS MGT & INVESTMENT						43100300
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE	5,315	5,315				2725 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE	3,563	3,563				2725 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
TREASURY ADM/INVEST TF -STATE	226-	226-				2725 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
TREASURY ADM/INVEST TF -STATE	1,500-	1,500-				2725 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
ST FUNDS MGT & INVESTMENT						43100300
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
TREASURY ADM/INVEST TF -STATE	1,500	1,500				2725 1
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).						
This issue nets to zero with corresponding issue code 160M010.						
*****						
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						2000230
SALARY RATE						000000
SALARY RATE.....	48,500	48,500				
*****						
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE	48,500	48,500				2725 1
*****						
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO						2000230
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						
TOTAL ISSUE.....	48,500	48,500	48,500			
TOTAL SALARY RATE.....	48,500	48,500				
*****						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
ST FUNDS MGT & INVESTMENT						43100300
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						2000230

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue is the add side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates the salary budget where there is additional need. This issue with corresponding issue code 2000220 will net to zero at the department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	48,500			48,500	0.00	48,500
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							48,500
	0.00	48,500			48,500		48,500

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	POS	POS	POS	POS	AMOUNT
	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
ST FUNDS MGT & INVESTMENT						43100300
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						2000230

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	48,500			48,500	0.00	48,500
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							48,500
	0.00	48,500			48,500		48,500

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1830 010000
TREASURY ADM/INVEST TF -STATE	17,815	17,815					2725 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT &amp; INVESTMENT</u>						43100300
GOV OPERATIONS/SUPPORT						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
BY FUND TYPE						
	28.50	28.50				
TRUST FUNDS.....	2,954,969	2,954,969				2000
SALARY RATE.....	1,231,929	1,231,929				
	=====	=====	=====	=====	=====	

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PROGRAM: TREASURY											43100000
SUP RETIREMENT PLAN											43100400
GOV OPERATIONS/SUPPORT											16
GOVERNMENTAL OPERATIONS											<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SALARY RATE											000000
SALARY RATE.....		437,759		437,759							
=====											
SALARIES AND BENEFITS											010000
TREASURY ADM/INVEST TF	-STATE	12.00	633,121	12.00	633,121						2725 1
=====											
OTHER PERSONAL SERVICES											030000
TREASURY ADM/INVEST TF	-STATE	20,100	20,100								2725 1
=====											
EXPENSES											040000
TREASURY ADM/INVEST TF	-STATE	108,828	108,828								2725 1
=====											
SPECIAL CATEGORIES											100000
CONTRACTED SERVICES											100777
TREASURY ADM/INVEST TF	-STATE	252	252								2725 1
=====											
DEFERRED COMP ADM SVCS											100868
TREASURY ADM/INVEST TF	-STATE	950,000	950,000								2725 1
=====											
LEASE/PURCHASE/EQUIPMENT											105281
TREASURY ADM/INVEST TF	-STATE	1,905	1,905								2725 1
=====											



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
SUP RETIREMENT PLAN						43100400
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - DEDUCT						2000220
SALARY RATE						000000
SALARY RATE.....	12,000-	12,000-				
=====						
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE	12,000-	12,000-				2725 1
=====						
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO						2000220
MATCH RECURRING OPERATING						
EXPENDITURES - DEDUCT						
TOTAL ISSUE.....	12,000-	12,000-				
TOTAL SALARY RATE.....	12,000-	12,000-				
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the deduct side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves salary budget for realignment. This issue with corresponding issue code 2000230 will net to zero at the department level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
SUP RETIREMENT PLAN						43100400
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - DEDUCT						2000220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	12,000-			12,000-	0.00	12,000-
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							12,000-
	0.00	12,000-			12,000-		12,000-

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	12,000-			12,000-	0.00	12,000-
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							12,000-
	0.00	12,000-			12,000-		12,000-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
SUP RETIREMENT PLAN						43100400
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE	8,745	8,745				2725 1
TOTAL: GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	12.00	12.00				
SALARY RATE.....	1,717,825	1,717,825				2000
	425,759	425,759				







	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PGM: FIN ACCT/PUBLIC FUNDS											43200000
ST FINAN INFO/ST AGY ACCTG											43200100
GOV OPERATIONS/SUPPORT											16
GOVERNMENTAL OPERATIONS											1601.00.00.00
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SPECIAL CATEGORIES											100000
TR/DMS/HR SVCS/STW CONTRCT											107040
TOTAL APPRO.....		56,732		56,732							
TR/PRISON INDUSTRY ENH PRG											108005
PRISON INDUSTRIES TF -STATE		750,000		750,000							2385 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS											1001000
TOTAL POSITIONS.....	173.00		173.00								
TOTAL ISSUE.....	15,305,454		15,305,454								
TOTAL SALARY RATE.....	8,081,667		8,081,667								
CASUALTY INSURANCE PREMIUM											1001090
ADJUSTMENT											100000
SPECIAL CATEGORIES											103241
RISK MANAGEMENT INSURANCE											
ADMINISTRATIVE TRUST FUND -STATE		4,774		4,774							2021 1
FLORIDA RETIREMENT SYSTEM											1001240
CONTRIBUTION ADJUSTMENT FOR											010000
FISCAL YEAR 2012-2013											
SALARIES AND BENEFITS											
GENERAL REVENUE FUND -STATE		27,014		27,014							1000 1
ADMINISTRATIVE TRUST FUND -STATE		3,604		3,604							2021 1
TOTAL APPRO.....		30,618		30,618							

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	19,209	19,209				1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,563	2,563				2021 1
TOTAL APPRO.....	21,772	21,772				
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	1,313-	1,313-				1000 1
ADMINISTRATIVE TRUST FUND -STATE	60-	60-				2021 1
TOTAL APPRO.....	1,373-	1,373-				
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
GENERAL REVENUE FUND -STATE	7,300-	7,300-				1000 1
ADMINISTRATIVE TRUST FUND -STATE	4,900-	4,900-				2021 1
TOTAL APPRO.....	12,200-	12,200-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010

This issue nets to zero with corresponding issue code 160M020.

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REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
GENERAL REVENUE FUND -STATE	7,300	7,300				1000 1
ADMINISTRATIVE TRUST FUND -STATE	4,900	4,900				2021 1
TOTAL APPRO.....	12,200	12,200				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).

This issue nets to zero with corresponding issue code 160M010.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		28,147			28,147	2021 1

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							28,147
							28,147

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1830 010000
GENERAL REVENUE FUND -STATE	96,045	96,045					1000 1
ADMINISTRATIVE TRUST FUND -STATE	12,815	12,815					2021 1
TOTAL APPRO.....	108,860	108,860					

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
INCREASE BUDGET AUTHORITY IN THE						
TRANSFER TO THE PRISON INDUSTRY						
ENHANCEMENT PROGRAM (PIE)						3000290
SPECIAL CATEGORIES						100000
TR/PRISON INDUSTRY ENH PRG						108005
PRISON INDUSTRIES TF	-STATE	150,000				150,000- 2385 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 The Prison Industries Trust Fund is created in section 946.522, Florida Statutes. The fund is administered by the Department of Financial Services and is to be used for the purposes of construction or renovation of facilities or for the expansion or establishment of correctional work programs. Payments are made following the receipt of resolutions from the PRIDE board. Therefore payments are dependent upon the timing of board meetings and the amount requested.

Originally the department filed budget amendments as needed for disbursement authority, but in Fiscal Year 2006-2007 a recurring authority amount of \$750,000 was appropriated.

Over the last three fiscal years the revenues received into this fund have increased. Revenues in Fiscal Year 2009-2010 totaled \$565,382, Fiscal Year 2010-11 totaled \$664,970 (approximate 15% increase), and Fiscal Year 2011-2012 totaled \$812,349 (approximate 22% increase). A budget amendment in FY 2011-12 was only avoided due to the timing of the PRIDE board meetings and amount requested. The Department ended the fiscal year with only \$2,800 in remaining authority in the fund.

The department is requesting an increase of \$150,000 in budget authority in the Transfer to the Prison Industry Enhancement (PIE) Program within the Prison Industries Trust Fund to ensure there is sufficient authority to disburse collected revenues.  
 Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
WORKLOAD						3000000
INCREASE BUDGET AUTHORITY IN THE						
TRANSFER TO THE PRISON INDUSTRY						
ENHANCEMENT PROGRAM (PIE)						3000290

Summary: This issue deleted the original issue entirely.

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ADDITIONAL STAFF FOR BUREAU OF AUDITING FOR AUDITS AND TRAINING						3000660
SALARY RATE						000000
SALARY RATE.....	496,171	686,927			190,756	
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	11.00 671,766	15.00 927,700			4.00 255,934	1000 1
EXPENSES						040000
GENERAL REVENUE FUND -STATE	109,703	148,269	56,430		38,566	1000 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	3,894	5,310			1,416	1000 1
TOTAL: ADDITIONAL STAFF FOR BUREAU OF AUDITING FOR AUDITS AND TRAINING						3000660
TOTAL POSITIONS.....	11.00	15.00			4.00	
TOTAL ISSUE.....	785,363	1,081,279	56,430		295,916	
TOTAL SALARY RATE.....	496,171	686,927			190,756	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
ADDITIONAL STAFF FOR BUREAU OF						
AUDITING FOR AUDITS AND TRAINING						3000660

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Auditing is requesting the establishment of eleven new positions and the reclassification of eight existing positions (requested in issue code 3001A70). This request is needed in order to recruit and retain key audit positions, in order to increase the number of state contracts and grants being reviewed by the Bureau. Over the past twelve months, the Department has shifted its Audit Plan to areas of higher risk and materiality, including the audit of agency contract and grant agreements, and the reviews of contract manager files as well as state purchasing card (PCard) activity. These types of complex reviews cannot be successfully executed within the current Bureau organizational structure.

Using current resources, the Bureau is only able to review and audit approximately 2% (1,000) of the total number of active contracts and grants each year. The Bureau is reviewing a very small sample of agency PCard transactions, and is only able to review contract files in eight to ten agencies per year. In addition agencies demand for additional training in contracts/grants and contract management have outweighed the Bureau's ability to conduct these classes. In FY11/12, the Bureau conducted 64 training classes, training more than 1,700 employees and providers (vendors).

This request would allow the Bureau to double the number of contracts, contract manager files, and PCard transactions being audited/reviewed, as well as increase and strengthen the existing training classes being offered to the 35 state agencies, as well as service providers.

Amended 2013-14 Narrative after February 1, 2013.

This issue increases the number of FTE for Accounting and Auditing by 4 FTE and the related salary and expense budget. The department is assessing the use of Journal Transfer (JT) transaction by state agencies. The JT transactions allow expenditures to be moved between categories. This could impact the accuracy of our state financial statements. Not only will the additional 4 FTE assist with the contract audits in the current issue, but once a analysis is completed of JT transactions, the additional FTE will assist with audits of agencies using the JT transaction.

Summary: An additional 4 FTE and corresponding salary and expense budget.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 ST FINAN INFO/ST AGY ACCTG 43200100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 ADDITIONAL STAFF FOR BUREAU OF  
 AUDITING FOR AUDITS AND TRAINING 3000660

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE N9999 001	11.00	496,171		175,595	671,766	0.00	671,766
TOTALS FOR ISSUE BY FUND 1000 GENERAL REVENUE FUND							671,766
	11.00	496,171		175,595	671,766		671,766

A14 - AGY AMD REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE N9999 001	15.00	686,927		240,773	927,700	0.00	927,700
TOTALS FOR ISSUE BY FUND 1000 GENERAL REVENUE FUND							927,700
	15.00	686,927		240,773	927,700		927,700

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
ADDITIONAL STAFF IN OFFICE OF						
FISCAL INTEGRITY						3000670
SALARY RATE						000000
SALARY RATE.....	84,178				84,178-	
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	2.00	115,330			2.00-	115,330-
						1000 1
EXPENSES						040000
GENERAL REVENUE FUND -STATE		19,946				19,946-
						1000 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE		708				708-
						1000 1
TOTAL: ADDITIONAL STAFF IN OFFICE OF						3000670
FISCAL INTEGRITY						
TOTAL POSITIONS.....	2.00				2.00-	
TOTAL ISSUE.....		135,984				135,984-
TOTAL SALARY RATE.....	84,178				84,178-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Fiscal Integrity (OFI) is requesting the establishment of two new positions, to strengthen the overall skills of its investigation team. OFI's team is made up of five investigators (two of which are sworn officers), one (1) analyst and two (2) accountants. The OFI team currently lacks expertise in analyzing complex agency contracts and grant agreements. OFI must rely on assistance from other Bureaus for assistance in this area, and this causes delays in their investigations.

Over the past four fiscal years, OFI has averaged ten investigations dealing with contract-related fraud. OFI intends to increase its workload using proactive investigative methods, and partner with other agencies and the Bureau of Auditing

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	OVER(UNDER)	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FIN ACCT/PUBLIC FUNDS										43200000
ST FINAN INFO/ST AGY ACCTG										43200100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
WORKLOAD										3000000
ADDITIONAL STAFF IN OFFICE OF										
FISCAL INTEGRITY										3000670

on research and investigations into contract fraud. Also, as the Bureau of Auditing begins auditing a higher number of agency contracts and grants, it is highly likely that additional allegations of fraud will be discovered and referred to OFI. Without these additional positions, OFI cases will take longer to investigate and OFI will not be able to increase its current case load of these types of cases.

Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	2.00	84,178		31,152	115,330	0.00	115,330

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 ST FINAN INFO/ST AGY ACCTG 43200100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 ADDITIONAL STAFF IN OFFICE OF FISCAL INTEGRITY 3000670

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						
2.00	84,178		31,152	115,330		115,330

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ADDITIONAL STAFF TO SUPPORT SPECIAL PROJECTS IN ACCOUNTING AND AUDITING DIRECTOR'S OFFICE

SALARY RATE						3000680
SALARY RATE.....	399,132	199,566		199,566-		000000
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	6.00 511,397	3.00 255,699		3.00-	255,698-	1000 1
EXPENSES						040000
GENERAL REVENUE FUND -STATE	59,838	29,919	22,572		29,919-	1000 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
WORKLOAD						3000000
ADDITIONAL STAFF TO SUPPORT SPECIAL						
PROJECTS IN ACCOUNTING AND AUDITING						
DIRECTOR'S OFFICE						3000680
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	2,124	1,062			1,062-	1000 1
TOTAL: ADDITIONAL STAFF TO SUPPORT SPECIAL						3000680
PROJECTS IN ACCOUNTING AND AUDITING						
DIRECTOR'S OFFICE						
TOTAL POSITIONS.....	6.00	3.00			3.00-	
TOTAL ISSUE.....	573,359	286,680	22,572		286,679-	
TOTAL SALARY RATE.....	399,132	199,566			199,566-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 The Division of Accounting and Auditing is requesting the establishment of six (6) new positions, to be used in the Division Director's Office for current and future Department initiatives, including the replacement of the State's accounting system (FLAIR). Beginning in Fiscal Year 2013-2014, the Division will be tasked with playing a key role in implementing the initial steps surrounding the replacement of FLAIR, as determined by a Business Case Study, to be conducted in FY 2012-2013. These positions will be high-level, professional positions, with key expertise in governmental accounting, and are being requested to be established at the Financial Administrator level.

Due to the difficulty of recruiting this level of employee, this request includes additional amounts sufficient to higher at 10% over the minimum for the paygrade.

Amended 2013-14 Narrative after February 1, 2013.

This issue has been reduced by three FTE and retitled to omit the "FLAIR" reference. The issue will allow the Director of Accounting and Auditing to respond timely to multiple requests for information from the legislature, staff and state agencies. The amount of information requested by the division is immense. Since the FLAIR system is not able to generate understandable reports, it takes days, if not weeks, sometimes to pull the information from various places and prepare the information in an understandable form.

Summary: This issue reduced the FTE and the budget requested by half.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 ST FINAN INFO/ST AGY ACCTG 43200100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 ADDITIONAL STAFF TO SUPPORT SPECIAL  
 PROJECTS IN ACCOUNTING AND AUDITING  
 DIRECTOR'S OFFICE 3000680

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
6.00	399,132		112,265	511,397	0.00	511,397
TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						
6.00	399,132		112,265	511,397		511,397

A14 - AGY AMD REQ FY 2013-14

NEW POSITIONS						
P101 PROPOSED CLASS CODE						
3.00	199,566		56,133	255,699	0.00	255,699
TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						
3.00	199,566		56,133	255,699		255,699

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
ADDITIONAL STAFF TO PERFORM AUDITS						3000690
IN BUREAU OF STATE PAYROLLS						000000
SALARY RATE						
SALARY RATE.....	239,040				239,040-	
=====						
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	5.00				5.00-	
	320,589				320,589-	1000 1
=====						
EXPENSES						040000
GENERAL REVENUE FUND -STATE	49,865				49,865-	1000 1
=====						
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	1,770				1,770-	1000 1
=====						
TOTAL: ADDITIONAL STAFF TO PERFORM AUDITS						3000690
IN BUREAU OF STATE PAYROLLS						
TOTAL POSITIONS.....	5.00				5.00-	
TOTAL ISSUE.....	372,224				372,224-	
TOTAL SALARY RATE.....	239,040				239,040-	
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of State Payrolls is requesting the establishment of five new positions, for the purposes of creating the Post Audit Section. One of the Bureau's key responsibilities is the examination and audit of the 35 state agencies' payroll processing functions. Bureau audits frequently identify weak procedures and poor practices on areas involving salary and on-call overpayments, leave payout calculations, and maintenance of accurate payroll records.

The Bureau currently has two staff assigned to this responsibility, and they can only audit three to five agencies each year, which means the 35 agencies are only audited once every seven to ten years. Adding the additional five positions will allow the Bureau to audit each state agency more frequently, and lessen the likelihood that weak agency practices go

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	OVER(UNDER)		AGY FIN REQ FY 2013-14		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FIN ACCT/PUBLIC FUNDS										43200000
ST FINAN INFO/ST AGY ACCTG										43200100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
WORKLOAD										3000000
ADDITIONAL STAFF TO PERFORM AUDITS IN BUREAU OF STATE PAYROLLS										3000690

undiscovered for up to ten years.

Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
N9999 001						
5.00	239,040		81,549	320,589	0.00	320,589
TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						
5.00	239,040		81,549	320,589		320,589

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
RECLASSIFICATION OF POSITIONS IN BUREAU OF AUDITING FOR AUDITS AND TRAINING						3001A70
SALARY RATE						000000
SALARY RATE.....	114,071	114,071				
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	128,706	128,706				1000 1
TOTAL: RECLASSIFICATION OF POSITIONS IN BUREAU OF AUDITING FOR AUDITS AND TRAINING						3001A70
TOTAL ISSUE.....	128,706	128,706				
TOTAL SALARY RATE.....	114,071	114,071				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Auditing is requesting the establishment of eleven new positions (in issue code 3000660), and the reclassification of eight existing positions. This request is needed in order to recruit and retain key audit positions, in order to increase the number of state contracts and grants being reviewed by the Bureau. Over the past twelve months, the Department has shifted its Audit Plan to areas of higher risk and materiality, including the audit of agency contract and grant agreements, and the reviews of contract manager files as well as state purchasing card (PCard) activity. These types of complex reviews cannot be successfully executed within the current Bureau organizational structure.

Using current resources, the Bureau is only able to review and audit approximately 2% (1,000) of the total number of active contracts and grants each year. The Bureau is reviewing a very small sample of agency PCard transactions, and is only able to review contract files in eight to ten agencies per year. In addition agencies demand for additional training in contracts/grants and contract management have outweighed the Bureau's ability to conduct these classes. In FY11/12, the Bureau conducted 64 training classes, training more than 1,700 employees and providers (vendors).

This request would allow the Bureau to double the number of contracts, contract manager files, and PCard transactions being audited/reviewed, as well as increase and strengthen the existing training classes being offered to the 35 state agencies, as well as service providers.

This issue requests \$128,706 in additional salaries and benefits budget authority for the reclassification of eight



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 ST FINAN INFO/ST AGY ACCTG 43200100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 RECLASSIFICATION OF POSITIONS IN  
 BUREAU OF AUDITING FOR AUDITS AND  
 TRAINING 3001A70

positions.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
C9999 001	0.00	114,071		14,635	128,706	0.00	128,706
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							128,706
	0.00	114,071		14,635	128,706		128,706

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
C9999 001	0.00	114,071		14,635	128,706	0.00	128,706

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 ST FINAN INFO/ST AGY ACCTG 43200100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 RECLASSIFICATION OF POSITIONS IN  
 BUREAU OF AUDITING FOR AUDITS AND  
 TRAINING 3001A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						
0.00	114,071		14,635	128,706		128,706

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TOTAL: GOVERNMENTAL OPERATIONS						
BY FUND TYPE						
GENERAL REVENUE FUND	13,411,889	12,912,918	79,002		498,971-	1000
TRUST FUNDS	4,203,852	4,081,999			121,853-	2000
TOTAL POSITIONS.....	197.00	191.00			6.00-	
TOTAL PROG COMP.....	17,615,741	16,994,917	79,002		620,824-	
TOTAL SALARY RATE.....	9,414,259	9,082,231			332,028-	

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	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PGM: FIN ACCT/PUBLIC FUNDS											43200000
RECOVERY & RETURN OF UP											43200200
GOV OPERATIONS/SUPPORT											16
GOVERNMENTAL OPERATIONS											<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SALARY RATE											000000
SALARY RATE.....		2,217,150		2,217,150							
		=====		=====							
SALARIES AND BENEFITS											010000
UNCLAIMED PROPERTY TF -STATE		57.00		57.00							
		2,786,339		2,786,339							2007 1
		=====		=====							
OTHER PERSONAL SERVICES											030000
UNCLAIMED PROPERTY TF -STATE		180,000		180,000							2007 1
		=====		=====							
EXPENSES											040000
UNCLAIMED PROPERTY TF -STATE		784,443		784,443							2007 1
		=====		=====							
OPERATING CAPITAL OUTLAY											060000
UNCLAIMED PROPERTY TF -STATE		7,500		7,500							2007 1
		=====		=====							
SPECIAL CATEGORIES											100000
CONTRACTED SERVICES											100777
UNCLAIMED PROPERTY TF -STATE		226,794		226,794							2007 1
		=====		=====							
RISK MANAGEMENT INSURANCE											103241
UNCLAIMED PROPERTY TF -STATE		8,361		8,361							2007 1
		=====		=====							

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
UNCLAIMED PROPERTY TF -STATE	7,024	7,024				2007 1
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF -STATE	19,116	19,116				2007 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	57.00	57.00				
TOTAL ISSUE.....	4,019,577	4,019,577				
TOTAL SALARY RATE.....	2,217,150	2,217,150				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
UNCLAIMED PROPERTY TF -STATE	782	782				2007 1
FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						010000
FISCAL YEAR 2012-2013						
SALARIES AND BENEFITS						
UNCLAIMED PROPERTY TF -STATE	5,706	5,706				2007 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF -STATE	6,630	6,630				2007 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF -STATE	463-	463-				2007 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
UNCLAIMED PROPERTY TF -STATE	2,500-	2,500-				2007 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
UNCLAIMED PROPERTY TF -STATE	2,500	2,500				2007 1
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).						
This issue nets to zero with corresponding issue code 160M010.						
*****						
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110
SALARY RATE						000000
SALARY RATE.....		527,876			527,876	
*****						
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF -STATE		527,876			527,876	2007 1
ADMINISTRATIVE TRUST FUND -STATE		7,394			7,394	2021 1
TOTAL POSITIONS.....	9.00				9.00	
TOTAL APPRO.....		535,270			535,270	
*****						
TOTAL: REALIGN CURRENT POSITIONS TO						2000110
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						
TOTAL POSITIONS.....	9.00				9.00	
TOTAL ISSUE.....		535,270			535,270	
TOTAL SALARY RATE.....		527,876			527,876	
*****						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 RECOVERY & RETURN OF UP 43200200  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0025 001	9.00	527,876		527,876	0.00	527,876
TOTALS FOR ISSUE BY FUND						
2007 UNCLAIMED PROPERTY TF						
9.00	527,876			527,876		527,876

OTHER SALARY AMOUNT  
 2021 ADMINISTRATIVE TRUST FUND 7,394  
 535,270

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ANNUALIZATION OF ADMINISTERED						26A0000
FUNDS APPROPRIATIONS						
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF -STATE	33,150	33,150				2007 1
WORKLOAD						3000000
INCREASED STAFFING FOR BUREAU						
OF UNCLAIMED PROPERTY						3000170
SALARY RATE						000000
SALARY RATE.....	386,681				386,681-	
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF -STATE	9.00 527,876				9.00- 527,876-	2007 1
EXPENSES						040000
UNCLAIMED PROPERTY TF -STATE	89,757	89,757	33,858			2007 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF -STATE	3,186	3,186				2007 1
TOTAL: INCREASED STAFFING FOR BUREAU						3000170
OF UNCLAIMED PROPERTY						
TOTAL POSITIONS.....	9.00				9.00-	
TOTAL ISSUE.....	620,819	92,943	33,858		527,876-	
TOTAL SALARY RATE.....	386,681				386,681-	

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES					43000000
PGM: FIN ACCT/PUBLIC FUNDS					43200000
RECOVERY & RETURN OF UP					43200200
GOV OPERATIONS/SUPPORT					16
GOVERNMENTAL OPERATIONS					1601.00.00.00
WORKLOAD					3000000
INCREASED STAFFING FOR BUREAU OF UNCLAIMED PROPERTY					3000170
*****					

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of nine new positions and the reclassification of twelve existing positions (as requested in issue code 3001A80) in the Claims Section. These positions are needed to keep up with the growth in the Bureau's claims volume, and the increased number of complex (those that include probate, corporate actions, trusts, wills, etc.) claims being received. Over the past 10 years, the number of claims paid has tripled (100,000 claims paid in FY 01/02 compared to 300,000 claims paid in FY 11/12). The number of positions in this section (18) has not increased since FY 04/05, and the vast majority of the current staff are low level, clerical positions.

During the past several years, the Bureau has been forced into working overtime to meet the statutory requirement for processing claims within 90 days of receipt. The Bureau has started planning for the development of an online claims process, that once implemented, should allow approximately 27% of certain simple claims to be systematically paid. Despite this process improvement, it will still be necessary to add nine new positions and reclassify twelve current positions to higher paygrades so the Bureau can focus attention on the remaining (more complex) claims that cannot be systematically processed.

Members of this team will also conduct research into older unclaimed accounts, and research allegations of fraudulent claims submitted to the Bureau.

The ultimate results will be better protection of the financial interests of Floridians, and to be more effective and efficient in returning money back into the possession of its owners.

Amended 2013-14 Narrative after February 1, 2013.

The nine FTE and the salary and benefits budget in this issue have been included in the new issue "Realign current positions to fulfill mission critical functions" (IC 2000110). This issue appropriates the remaining expenses and DMS human resource budget need for the increased staffing for the Bureau of Unclaimed Property.

Summary: This issue deleted the FTE and salary and benefits budget authority request.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 RECOVERY & RETURN OF UP 43200200  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 INCREASED STAFFING FOR BUREAU  
 OF UNCLAIMED PROPERTY 3000170

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
N9999 001						
9.00	386,681		141,195	527,876	0.00	527,876
TOTALS FOR ISSUE BY FUND						
2007 UNCLAIMED PROPERTY TF						
9.00	386,681		141,195	527,876		527,876

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RECLASSIFICATION OF POSITIONS IN BUREAU OF UNCLAIMED PROPERTY 3001A80  
 SALARY RATE 000000  
 SALARY RATE..... 116,001 116,001  
 SALARIES AND BENEFITS 010000  
 UNCLAIMED PROPERTY TF -STATE 130,884 130,884 2007 1  
 TOTAL: RECLASSIFICATION OF POSITIONS IN BUREAU OF UNCLAIMED PROPERTY 3001A80  
 TOTAL ISSUE..... 130,884 130,884  
 TOTAL SALARY RATE..... 116,001 116,001

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES										43000000
PGM: FIN ACCT/PUBLIC FUNDS										43200000
RECOVERY & RETURN OF UP										43200200
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
WORKLOAD										3000000
RECLASSIFICATION OF POSITIONS IN										
BUREAU OF UNCLAIMED PROPERTY										3001A80

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of nine new positions (as requested in issue code 3000170) and the reclassification of twelve existing positions in the Claims Section. These positions are needed to keep up with the growth in the Bureau's claims volume, and the increased number of complex (those that include probate, corporate actions, trusts, wills, etc.) claims being received. Over the past 10 years, the number of claims paid has tripled (100,000 claims paid in FY 01/02 compared to 300,000 claims paid in FY 11/12). The number of positions in this section (18) has not increased since FY 04/05, and the vast majority of the current staff are low level, clerical positions.

During the past several years, the Bureau has been forced into working overtime to meet the statutory requirement for processing claims within 90 days of receipt. The Bureau has started planning for the development of an online claims process, that once implemented, should allow approximately 27% of certain simple claims to be systematically paid. Despite this process improvement, it will still be necessary to add nine new positions and reclassify twelve current positions to higher pay grades so the Bureau can focus attention on the remaining (more complex) claims that cannot be systematically processed.

Members of this team will also conduct research into older unclaimed accounts, and research allegations of fraudulent claims submitted to the Bureau.

The ultimate results will be better protection of the financial interests of Floridians, and to be more effective and efficient in returning money back into the possession of its owners.

This issue requests \$130,884 in additional salaries and benefits for the reclassification of twelve positions.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: FIN ACCT/PUBLIC FUNDS 43200000  
 RECOVERY & RETURN OF UP 43200200  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 RECLASSIFICATION OF POSITIONS IN 3001A80  
 BUREAU OF UNCLAIMED PROPERTY

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA01 RATE & SALARY ADJ - BENEFITS NO FTE						
C9999 001	0.00	116,001	14,883	130,884	0.00	130,884
TOTALS FOR ISSUE BY FUND						
2007 UNCLAIMED PROPERTY TF						130,884
0.00	116,001		14,883	130,884		130,884

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA01 RATE & SALARY ADJ - BENEFITS NO FTE						
C9999 001	0.00	116,001	14,883	130,884	0.00	130,884
TOTALS FOR ISSUE BY FUND						
2007 UNCLAIMED PROPERTY TF						130,884
0.00	116,001		14,883	130,884		130,884

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT
	-----	-----	-----	-----	-----	-----
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
ADDITIONAL STAFF TO ENFORCE NON-						3001060
COMPLIANT HOLDERS						000000
SALARY RATE						
SALARY RATE.....	77,324				77,324-	
	=====	=====	=====	=====	=====	=====
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF -STATE	2.00	107,596			2.00-	107,596- 2007 1
	=====	=====	=====	=====	=====	=====
EXPENSES						040000
UNCLAIMED PROPERTY TF -STATE		19,946				19,946- 2007 1
	=====	=====	=====	=====	=====	=====
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF -STATE		708				708- 2007 1
	=====	=====	=====	=====	=====	=====
TOTAL: ADDITIONAL STAFF TO ENFORCE NON-						3001060
COMPLIANT HOLDERS						
TOTAL POSITIONS.....	2.00				2.00-	
TOTAL ISSUE.....		128,250				128,250-
TOTAL SALARY RATE.....	77,324				77,324-	
	=====	=====	=====	=====	=====	=====

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 The Bureau of Unclaimed Property is requesting the establishment of two new positions in the Holder Reporting Section. There are currently three positions in this section. These positions will be responsible for the increased volume of non-compliant holder reports being received by the Bureau. Over the past 10 years, the number of new unclaimed accounts being received has quadrupled (300,000 in FY 01/02 compared to 1.4 Million in FY 11/12).

As the number of reports and accounts increases, the number of non-compliant reports increases at the same rate. Reports are not loaded into the Unclaimed Property database until compliant, so owners (citizens) are forced to wait to recover their unclaimed property until the Bureau can work any backlog. Without these additional positions, the Bureau will be

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2013-14 POS AMOUNT	AGY AMD REQ FY 2013-14 POS AMOUNT	AGY AMD N/R FY 2013-14 POS AMOUNT	AGY AMD ANZ FY 2013-14 POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14 POS AMOUNT	
FINANCIAL SERVICES					43000000
PGM: FIN ACCT/PUBLIC FUNDS					43200000
RECOVERY & RETURN OF UP					43200200
GOV OPERATIONS/SUPPORT					16
GOVERNMENTAL OPERATIONS					1601.00.00.00
WORKLOAD					3000000
ADDITIONAL STAFF TO ENFORCE NON-					
COMPLIANT HOLDERS					3001060

unable to timely process the continual increase in non-compliant reports and enforce the collection of penalties levied against holders for late filing of the unclaimed property report.  
 Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
N9999 001						
2.00	77,324		30,272	107,596	0.00	107,596
TOTALS FOR ISSUE BY FUND						
2007 UNCLAIMED PROPERTY TF						
2.00	77,324		30,272	107,596		107,596

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
ADDITIONAL STAFF TO PROCESS						
SAFETY DEPOSIT BOX CONTENTS						3001070
SALARY RATE						000000
SALARY RATE.....	55,854				55,854-	
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF	2.00				2.00-	
-STATE	83,372					83,372- 2007 1
EXPENSES						040000
UNCLAIMED PROPERTY TF						
-STATE	19,946				19,946-	2007 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF						
-STATE	708				708-	2007 1
TOTAL: ADDITIONAL STAFF TO PROCESS						3001070
SAFETY DEPOSIT BOX CONTENTS						
TOTAL POSITIONS.....	2.00				2.00-	
TOTAL ISSUE.....	104,026					104,026-
TOTAL SALARY RATE.....	55,854				55,854-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of two new positions, that will be responsible for the increased volume of safe deposit box contents being received by the Bureau. There are currently two positions in this section. Over the past five (5) years, the number of safe deposit boxes being reported/delivered has doubled. This trend is expected to continue, as banks continue to merge. The two positions will have primary duties that include receipt, inventory and reconciliation of more than 50,000 pieces of safe deposit box inventory each year. Delays in the timely receipt and inventory of the contents may effect the opportunity for citizens to claim their contents before they are sold at auction.



COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
					43000000
					43200000
					43200200
					16
					<u>1601.00.00.00</u>
					3000000
					3001070

Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
	N9999 001	2.00	55,854	27,518	83,372	0.00 83,372
TOTALS FOR ISSUE BY FUND						
	2007 UNCLAIMED PROPERTY TF	2.00	55,854	27,518	83,372	83,372

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
ADDITIONAL STAFF FOR INCREASE IN						
PROCESSING HOLDER REPORTING						3001080
SALARY RATE						000000
SALARY RATE.....	30,990				30,990-	
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF	1.00				1.00-	
-STATE	45,142				45,142-	2007 1
EXPENSES						040000
UNCLAIMED PROPERTY TF						
-STATE	9,973				9,973-	2007 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF						
-STATE	354				354-	2007 1
TOTAL: ADDITIONAL STAFF FOR INCREASE IN						3001080
PROCESSING HOLDER REPORTING						
TOTAL POSITIONS.....	1.00				1.00-	
TOTAL ISSUE.....	55,469				55,469-	
TOTAL SALARY RATE.....	30,990				30,990-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of one new position, that will be responsible for the increased volume of unclaimed property reports being received on electronic media (on CD, diskette, etc.) by the Bureau. There are currently five positions in this section. Over the past 10 years, the number of new unclaimed accounts being received has quadrupled (300,000 in FY 01/02 compared to 1.4 Million in FY 11/12). More than 60% of all reports are now being filed on electronic media. The average processing time for these reports has doubled since FY 00/01 (53 days in FY 00/01 to 104 days in FY 11/12). This lengthy processing time causes a delay in the ability for the apparent owner to be able to recover their unclaimed property.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	OVER(UNDER)		AGY FIN REQ FY 2013-14		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FIN ACCT/PUBLIC FUNDS										43200000
RECOVERY & RETURN OF UP										43200200
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
WORKLOAD										3000000
ADDITIONAL STAFF FOR INCREASE IN										
PROCESSING HOLDER REPORTING										3001080

Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
N9999 001						
1.00	30,990		14,152	45,142	0.00	45,142
TOTALS FOR ISSUE BY FUND						
2007 UNCLAIMED PROPERTY TF						
1.00	30,990		14,152	45,142		45,142

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT
	-----	-----	-----	-----	-----	-----
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
ADDITIONAL STAFF FOR HOLDER AUDITS						3001090
SALARY RATE						000000
SALARY RATE.....	324,180				324,180-	
=====	=====	=====	=====	=====	=====	=====
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF -STATE	7.00 437,005				7.00- 437,005-	2007 1
=====	=====	=====	=====	=====	=====	=====
EXPENSES						040000
UNCLAIMED PROPERTY TF -STATE	69,811				69,811-	2007 1
=====	=====	=====	=====	=====	=====	=====
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF -STATE	2,478				2,478-	2007 1
=====	=====	=====	=====	=====	=====	=====
TOTAL: ADDITIONAL STAFF FOR HOLDER AUDITS						3001090
TOTAL POSITIONS.....	7.00				7.00-	
TOTAL ISSUE.....	509,294				509,294-	
TOTAL SALARY RATE.....	324,180				324,180-	
=====	=====	=====	=====	=====	=====	=====

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of seven new positions, to increase the number of existing auditor positions in the field offices. There are currently six positions in this section. With its existing resources of six positions, the Bureau is able to recover approximately \$8 million in past due unclaimed property per year.

Additional positions will increase the revenues brought into the State School Trust Fund. These positions are responsible for the enforcement of reporting requirements of businesses throughout the state. These positions would be distributed throughout the state, in existing DFS regional offices. In addition to educating and performing examinations on the business community, members of this team are also responsible for the contract management of five contract audit companies.

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES					43000000
PGM: FIN ACCT/PUBLIC FUNDS					43200000
RECOVERY & RETURN OF UP					43200200
GOV OPERATIONS/SUPPORT					16
GOVERNMENTAL OPERATIONS					1601.00.00.00
WORKLOAD					3000000
ADDITIONAL STAFF FOR HOLDER AUDITS					3001090

Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	7.00	324,180		112,825	437,005	0.00	437,005
TOTALS FOR ISSUE BY FUND							
2007 UNCLAIMED PROPERTY TF							437,005
	7.00	324,180		112,825	437,005		437,005

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
RECOVERY & RETURN OF UP						43200200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
TOTAL: GOVERNMENTAL OPERATIONS						1601.00.00.00
BY FUND TYPE	78.00	66.00			12.00-	
TRUST FUNDS.....	5,614,124	4,824,479	33,858		789,645-	2000
SALARY RATE.....	3,208,180	2,861,027			347,153-	
	=====	=====	=====	=====	=====	



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
COMPLIANCE & ENFORCEMENT						43300200
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF -STATE	33,700	33,700				2393 1
SUPP FIREFIGHTERS COMP						103725
INSURANCE REG TF -STATE	8,000	8,000				2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF -STATE	13,242	13,242				2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	21,994	21,994				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	69.00	69.00				
TOTAL ISSUE.....	4,242,951	4,242,951				
TOTAL SALARY RATE.....	2,675,107	2,675,107				
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						1001240
FISCAL YEAR 2012-2013						010000
SALARIES AND BENEFITS						
INSURANCE REG TF -STATE	8,329	8,329				2393 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
COMPLIANCE & ENFORCEMENT						43300200
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	7,400	7,400				2393 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	532-	532-				2393 1
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		108,581-			108,581-	
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE		2.00-			2.00-	2393 1
		108,581-			108,581-	
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		2.00-			2.00-	
TOTAL ISSUE.....		108,581-			108,581-	
TOTAL SALARY RATE.....		108,581-			108,581-	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>COMPLIANCE &amp; ENFORCEMENT</u>						43300200
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PROGRAM: FIRE MARSHAL 43300000  
 COMPLIANCE & ENFORCEMENT 43300200  
 PUBLIC PROTECTION 12  
 LAW ENFORCEMENT 1202.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0006 001	2.00-	108,581-		108,581-	0.00	108,581-
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						108,581-
	2.00-	108,581-		108,581-		108,581-

REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110  
 SALARIES AND BENEFITS 010000

INSURANCE REG TF -STATE 37,864 37,864 2393 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
COMPLIANCE & ENFORCEMENT						43300200
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110

fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.  
 Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							37,864
							37,864

\*\*\*\*\*

REALIGN BUDGET AUTHORITY BETWEEN  
 CATEGORIES TO MATCH ANTICIPATED  
 RECURRING OPERATING EXPENDITURES -  
 ADD  
 EXPENSES

INSURANCE REG TF	-STATE	50,000	50,000				2000140	040000
							2393	1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
COMPLIANCE & ENFORCEMENT						43300200
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	49,000	49,000			2393 1
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN						2000140
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						
TOTAL ISSUE.....		99,000	99,000			

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>COMPLIANCE &amp; ENFORCEMENT</u>						43300200
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF						2393 1
-STATE	37,000	37,000				
TOTAL: LAW ENFORCEMENT						<u>1202.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	69.00	67.00			2.00-	
SALARY RATE.....	4,394,148	4,323,431			70,717-	2000
	2,675,107	2,566,526			108,581-	



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF	-STATE	133,900	133,900			2393 1
=====						
SALARY INCENTIVE PAYMENTS						103290
INSURANCE REG TF	-STATE	103,124	103,124			2393 1
=====						
SUPP FIREFIGHTERS COMP						103725
INSURANCE REG TF	-STATE	8,000	8,000			2393 1
=====						
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	26,081	26,081			2393 1
=====						
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	41,551	41,551			2393 1
=====						
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	128.00	128.00				
TOTAL ISSUE.....	10,292,382	10,292,382				
TOTAL SALARY RATE.....	5,959,009	5,959,009				
=====						



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	40,818	40,818				2393 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	16,380	16,380				2393 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	1,006-	1,006-				2393 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITIONS AND						
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - DEDUCT						160F220
SALARY RATE						000000
SALARY RATE.....	87,329-	87,329-				
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	2.00- 120,929-	2.00- 120,929-				2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	AMOUNT
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITIONS AND						
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - DEDUCT						160F220
TOTAL: REALIGNMENT OF POSITIONS AND						160F220
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - DEDUCT						
TOTAL POSITIONS.....	2.00-	2.00-				
TOTAL ISSUE.....		120,929-	120,929-			
TOTAL SALARY RATE.....	87,329-	87,329-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Realignment of positions and salaries and benefits within State Fire Marshal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-02 (EOG Log# Q0022) moved two (2) FTE, and associated budget, between budget entities with the Division of State Fire Marshal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2225 GOVERNMENT ANALYST II							
00664 001	1.00-	42,616-		15,644-	58,260-	0.00	58,260-
8463 CRIME LABORATORY ANALYST							
01590 001	1.00-	46,524-		16,145-	62,669-	0.00	62,669-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PROGRAM: FIRE MARSHAL 43300000  
 FIRE & ARSON INVESTIGATION 43300300  
 PUBLIC PROTECTION 12  
 LAW ENFORCEMENT 1202.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REALIGNMENT OF POSITIONS AND  
 SALARIES AND BENEFITS WITHIN STATE  
 FIRE MARSHAL - DEDUCT 160F220

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
						120,929-
2.00-	89,140-		31,789-	120,929-		120,929-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS						
			3,765-			
			5,576			
TOTAL SALARY RATE						
			1,811			

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

2225 GOVERNMENT ANALYST II						
1.00-	42,616-		15,644-	58,260-	0.00	58,260-
8463 CRIME LABORATORY ANALYST						
1.00-	46,524-		16,145-	62,669-	0.00	62,669-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PROGRAM: FIRE MARSHAL 43300000  
 FIRE & ARSON INVESTIGATION 43300300  
 PUBLIC PROTECTION 12  
 LAW ENFORCEMENT 1202.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REALIGNMENT OF POSITIONS AND  
 SALARIES AND BENEFITS WITHIN STATE  
 FIRE MARSHAL - DEDUCT 160F220

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
	2393	INSURANCE	REG	TF		120,929-
	2.00-	89,140-		31,789-	120,929-	120,929-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS						
	00664	002				3,765-
	01590	002				5,576
TOTAL SALARY RATE		1,811				

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		42,487-			42,487-	
=====						
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE		1.00-	42,487-		1.00-	42,487-
						2393 1
=====						
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		1.00-			1.00-	
TOTAL ISSUE.....			42,487-			42,487-
TOTAL SALARY RATE.....		42,487-			42,487-	
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PROGRAM: FIRE MARSHAL 43300000  
FIRE & ARSON INVESTIGATION 43300300  
 PUBLIC PROTECTION 12  
 LAW ENFORCEMENT 1202.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0008 001	1.00-	42,487-		42,487-	0.00	42,487-
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						
	1.00-	42,487-		42,487-		42,487-

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REALIGN BUDGET AUTHORITY BETWEEN  
 CATEGORIES TO MATCH ANTICIPATED  
 RECURRING OPERATING EXPENDITURES -  
 ADD  
 EXPENSES

2000140  
 040000

INSURANCE REG TF -STATE 226,000 226,000 2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF						2393 1
-STATE	24,000	24,000				
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN						2000140
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						
TOTAL ISSUE.....	250,000	250,000				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
EQUIPMENT NEEDS						2400000
REPLACEMENT OF SAFETY EQUIPMENT -						
FIRE AND ARSON INVESTIGATIONS						2401300
EXPENSES						040000
INSURANCE REG TF	-STATE	44,498	44,498	22,354		2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Replacement of Safety Equipment - Fire and Arson Investigations

Reference to Long-Range Program Plan: Goal #3 - The bureau is responsible for initial investigation of the origin and cause of fires and explosions, criminal investigative duties associated with fires and/or explosions, and the reports relative to explosions or explosive devices and other law enforcement activities, as required by ss. 633.03 and 552.113, F.S.

The Bureau of Fire and Arson Investigations (BFAI) conducts origin and cause investigations of fires and explosions and other criminal investigations at various locations statewide. The State Fire Marshal Workplace Safety Committee examined the BFAI issued equipment to evaluate its effectiveness and make recommendations for upgrades and/or equipment improvements based on section 14.2.2 of the National Fire Protection Association (NFPA), 921 Guide for Fire and Explosion Investigations, which includes a list of equipment recommended for use by all personnel when processing fire or explosion scenes. This request is for recurring and nonrecurring funding for safety equipment upgrades and replacement for all 102 of its sworn members. The equipment needed is eye and hearing protection, breathing respirators and filters, and foot protection. This request is due to outdated life expectancy of some equipment, improved safety features and/or damaged equipment.

The request is based on 100 employees as that number should be sufficient to keep current staff refreshed as well as equip new employees as vacancies are filled. Each sworn BFAI member is issued the following Personal Protective Equipment (PPE): scene boots (fire boots), work boots, helmets, respirator, filters, eye protection, hearing protection and hand protection (work gloves). To insure proper fitting, boots are purchased for the individual members upon initial employment and when the issued equipment needs to be replaced when it becomes unserviceable. There is no on-hand inventory of boots. A small supply (less than 10) of helmets, respirators and filters is maintained at headquarters for issuing to new employees. BFAI maintains a small supply of other PPE items at headquarters and field offices to replace disposable or single use PPE items when needed.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF						2393 1
-STATE	81,900	81,900				
TOTAL: LAW ENFORCEMENT						<u>1202.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	126.00	125.00			1.00-	
SALARY RATE.....	10,604,043	10,561,556	22,354		42,487-	2000
	5,871,680	5,829,193			42,487-	

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PROGRAM: FIRE MARSHAL											43300000
<u>PROF TRAINING &amp; STANDARDS</u>											43300400
PUBLIC PROTECTION											12
<u>LAW ENFORCEMENT</u>											<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SALARY RATE											000000
SALARY RATE.....		1,183,290		1,183,290							
		=====		=====							
SALARIES AND BENEFITS											010000
INSURANCE REG TF	-STATE	31.00		31.00							2393 1
		1,709,790		1,709,790							
		=====		=====							
OTHER PERSONAL SERVICES											030000
INSURANCE REG TF	-STATE	190,000		190,000							2393 1
		=====		=====							
EXPENSES											040000
INSURANCE REG TF	-STATE	535,645		535,645							2393 1
		=====		=====							
OPERATING CAPITAL OUTLAY											060000
INSURANCE REG TF	-STATE	27,254		27,254							2393 1
		=====		=====							
SPECIAL CATEGORIES											100000
ELECTRONIC COMMERCE FEES											100064
INSURANCE REG TF	-STATE	13,200		13,200							2393 1
		=====		=====							
CONTRACTED SERVICES											100777
INSURANCE REG TF	-STATE	190,497		190,497							2393 1
		=====		=====							

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
DOMESTIC SECURITY						100851
INSURANCE REG TF -STATE	50	50				2393 1
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF -STATE	17,900	17,900				2393 1
SUPP FIREFIGHTERS COMP						103725
INSURANCE REG TF -STATE	14,500	14,500				2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF -STATE	26,519	26,519				2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	12,746	12,746				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	31.00	31.00				
TOTAL ISSUE.....	2,738,101	2,738,101				
TOTAL SALARY RATE.....	1,183,290	1,183,290				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	5,324	5,324				2393 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	4,136	4,136				2393 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	309-	309-				2393 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE STAFF FROM STATE						
FIRE MARSHAL TO INFORMATION SYSTEMS						
- DEDUCT						1600560
SALARY RATE						000000
SALARY RATE.....	36,468-				36,468-	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE STAFF FROM STATE						
FIRE MARSHAL TO INFORMATION SYSTEMS						
- DEDUCT						1600560
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	1.00-	50,526-		1.00-	50,526-
						2393 1
EXPENSES						040000
INSURANCE REG TF	-STATE	1,800-			1,800-	2393 1
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET						1600560
AMENDMENT - MOVE STAFF FROM STATE						
FIRE MARSHAL TO INFORMATION SYSTEMS						
- DEDUCT						
TOTAL POSITIONS.....		1.00-			1.00-	
TOTAL ISSUE.....			52,326-			52,326-
TOTAL SALARY RATE.....		36,468-			36,468-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is for the re-approval of the current year budget amendment approved on 12/28/2012. Agency amendment 13-14 (EOG Log# B0297) moved one (1) FTE in the State Fire Marshal - Fire College, and associated budget, to the Information Technology budget entity.

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE STAFF FROM STATE						
FIRE MARSHAL TO INFORMATION SYSTEMS						
- DEDUCT						1600560

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2052 DISTRIBUTED COMPUTER SYSTEMS ANALYST							
01245 001	1.00-	35,762-		14,764-	50,526-	0.00	50,526-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							50,526-
	1.00-	35,762-		14,764-	50,526-		50,526-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
99999 001		706-					
TOTAL SALARY RATE		706-					

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
PROF TRAINING & STANDARDS						43300400
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		49,449-			49,449-	
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE		1.00-	49,449-		1.00-	49,449- 2393 1
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		1.00-			1.00-	
TOTAL ISSUE.....			49,449-			49,449-
TOTAL SALARY RATE.....		49,449-			49,449-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0010 001	1.00-	49,449-			49,449-	0.00	49,449-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							49,449-
	1.00-	49,449-			49,449-		49,449-

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REALIGN BUDGET AUTHORITY BETWEEN  
 CATEGORIES TO MATCH ANTICIPATED  
 RECURRING OPERATING EXPENDITURES -  
 ADD

SPECIAL CATEGORIES							2000140
CONTRACTED SERVICES							100000
							100777
INSURANCE REG TF	-STATE	63,000	63,000				2393 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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CONSOLIDATE INFORMATION TECHNOLOGY

(IT) CONTRACTS - DEDUCT  
 SPECIAL CATEGORIES  
 CONTRACTED SERVICES

2007100  
 100000  
 100777

INSURANCE REG TF -STATE 45,489- 45,489-

2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Consolidate Information Technology (IT) Contracts

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery & Consumer Services for three existing contracts between the divisions and Information System of Florida (ISF).

The three contracts are for the purpose of application support services (enhancements, design and development technology)

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PROGRAM: FIRE MARSHAL										43300000
<u>PROF TRAINING &amp; STANDARDS</u>										43300400
PUBLIC PROTECTION										12
<u>LAW ENFORCEMENT</u>										<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT										2000000
CONSOLIDATE INFORMATION TECHNOLOGY (IT) CONTRACTS - DEDUCT										2007100

to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom application within the Division of State Fire Marshal is the Fire College Continuing Education (FCDICE) application. This issue will transfer \$45,489 from the Division of State Fire Marshal to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

Amended 2013-14 Narrative after February 1, 2013.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery and Consumer Services for three existing contracts.

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom application within the Division of State Fire Marshal is the Fire College Continuing Education (FCDICE) application. This issue will transfer \$45,489 from the Division of State Fire Marshal to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

Summary: New narrative

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
<u>PUBLIC PROTECTION</u>						12
<u>LAW ENFORCEMENT</u>						1202.00.00.00
NONRECURRING EXPENDITURES						2100000
REPLACEMENT OF STATEWIDE LAW						
ENFORCEMENT RADIO EQUIPMENT						2103144
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	-STATE	3,960-	3,960-			2393 1
=====						
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	20,680	20,680			2393 1
=====						
PROGRAM ISSUES						4000000
STATE FIRE MARSHAL GRANT PROGRAMS						4000420
EXPENSES						040000
INSURANCE REG TF	-STATE	28,000	28,000			2393 1
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: State Fire Marshal Grant Programs

Reference to Long-Range Program Plan: Goal #7 - Our customers will receive timely, helpful and accurate information upon which they can act to protect themselves and their assets.

The Bureau of Fire Standards & Training operates the Florida State Fire College located in Ocala providing extensive training for paid and volunteer firefighters from throughout the State as well many other states. Goal 5: The department will strive for excellence by promoting and encouraging continuous improvement. By offering additional training funded by FEMA - Department of Homeland Security, courses that may not be available are offered to all regions throughout the State free of charge to fire departments and firefighters and other emergency personnel.

This issue requests recurring expense budget authority for the Department of Homeland Security (DHS), National Fire

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
STATE FIRE MARSHAL GRANT PROGRAMS						4000420

Academy (NFA), State Fire Training Assistance (SFTA) grant. The department started receiving this funding prior to FY 1998-99. The grant was established to provide direct training assistance to states to help bridge the training and competency gaps at the local level. This is a highly regarded educational program that delivers contemporary technical and academic training in both 2-day and 6-day modules.

The department did not request new funding for this grant when it was originally received. Instead, the department used existing budget authority in the division. However, over the past few years with the budget reductions and increases in costs associated with the economy, the entities expense budget is not sufficient to cover the required operational costs.

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CAPITAL IMPROVEMENT PLAN						9900000
MAINTENANCE AND REPAIR						990M000
FIXED CAPITAL OUTLAY						080000
FIRE COLLEGE-BLDG MAINT						080990
INSURANCE REG TF	-STATE	163,000	163,000	163,000		2393 1

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AGENCY NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: FIRE COLLEGE-BLDG MAINT IT COMPONENT? NO  
 Issue Title: Maintenance and Repair

Reference to Long-Range Program Plan: Goal #1 - The department will be a vigilant steward of the state's and its people's resources. Goal 3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property. Goal 5 - Our customers will receive timely, helpful and accurate information.

SECURITY FENCING - FIRE COLLEGE (80,000 NONRECURRING)

The Division of the State Fire Marshal (SFM) is responsible for the Florida State Fire College, located on 37 acres in Ocala, Florida. Pursuant to s. 633.44, F.S., the Fire College is required to provide professional and volunteer firefighters with professional instruction and training in the disciplines of firefighting, fire prevention, and emergency operations.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING &amp; STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN						9900000
MAINTENANCE AND REPAIR						990M000

As indicated in a regulatory review by the Department of Financial Services' (DFS) Legal team, the Florida State Fire College currently has no perimeter fencing to deter access to the property by persons not authorized to be on campus, thus creating a liability for DFS. Located on the grounds, are numerous high hazard training props such as a simulated building collapse zone with large concrete slabs, metal protrusions, unstable structures, and entrapment obstacles; large open pits; an open live fire training structure with open ledges, obstructions, and hazardous props; a six story training tower with numerous access and exit points including an open roof top area with limited parapet protection.

Additionally, the lack of any perimeter fencing currently allows for any person to enter the grounds at any access point and gain entry into numerous administration buildings, dormitories, and classrooms. A secure campus is needed because domestic security classes are taught on campus which could be compromised by various national or international organizations.

Adjacent to the property are residential and commercial properties where adults and children have been observed close to training props. Also on the property between two training fields is a railroad crossing that the DFS maintains and insures. The fencing of the property is a significant first step to securing the property and will allow staff to gain control of visitor and student flow to the campus.

The department requests \$80,000 in nonrecurring Fixed Capital Outlay funds for the installation of minimum eight foot fencing with appropriate gates that encompass the facility. Project scope includes all materials and labor to install approximately 5,000 linear feet of chain link fencing, gates, and any associated hardware; including the required land clearing, and rubbish removal. Design, construction, and installation will be consistent with industry standards for this occupancy type. Special consideration will be given the highest hazard areas where training props are concentrated.

ROOF REPLACEMENT - FIRE COLLEGE (23,000 NONRECURRING)

The Fire College, located on 37 acres, includes numerous buildings which total over 65,000 square feet with a value of over \$6,000,000. These buildings and all other items of value on the property are protected by a private fire protection system. There is no municipal water supply to the property. The only water source is a private well system. The private well system combined with a static pond serves as the water source for the private fire protection system.

This system includes a hydrant system distributed over the 37 acre area, that provides water for the fire sprinkler system in office buildings, classroom buildings, the dormitory, kitchen, and multi-functional areas. These fire protection systems are supported by one primary fire pump and associated generator located in a detached building called the Fire Protection System Pump and Electrical Generator Building (pump house).

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	
FINANCIAL SERVICES									43000000	
PROGRAM: FIRE MARSHAL									43300000	
<u>PROF TRAINING &amp; STANDARDS</u>									43300400	
PUBLIC PROTECTION									12	
<u>LAW ENFORCEMENT</u>									<u>1202.00.00.00</u>	
CAPITAL IMPROVEMENT PLAN									9900000	
MAINTENANCE AND REPAIR									990M000	

The pump house roof is in need of complete replacement. The surface membrane, aggregate, structural steel decking, wall scuppers, and insulation require complete replacement. The structural steel roof framing is also deeply corroded in various areas. There is significant degradation due to heavy corrosion of some steel support beams.

This issue requests \$23,000 in non-recurring Fixed Capital Outlay for two roof repairs: \$12,000 for the complete replacement of the roofing system of the pump house. Funds will be utilized for all services associated with this project, including, but not limited to design, engineering requirements, demolition, materials, and installation of a new roofing system; and \$11,000 for roof leaks that are currently occurring in two buildings at the Fire College. Quotes for repairs have been obtained for Building A and the Administrative Building.

FIXED CAPITAL OUTLAY FOR REPAIRS AND MAINTENANCE - FIRE COLLEGE (60,000)

The Division of the State Fire Marshal (SFM) is responsible for the Florida State Fire College, located on 37 acres in Ocala, Florida. Pursuant to s. 633.44, F.S., the Fire College is required to provide professional and volunteer firefighters with professional instruction and training in the disciplines of firefighting, fire prevention, and emergency operations.

The division is requesting \$60,000 in Fixed Capital Outlay funding for the repair of buildings and infrastructure at the Fire College. The Department of Financial Services owns the property and all associated buildings and is responsible for maintaining the property. There are 11 main buildings on the campus that were constructed in 1988. These buildings total over 65,000 square feet and include office space, classrooms, dormitories, a cafeteria, maintenance facilities, and an auditorium with a combined value of \$6 million.

Most infrastructure elements of this facility have exceeded their life cycle causing frequent repair. The buildings are old and in need of repair to include replacement of water heaters, plumbing fixtures, overhead garage doors for the apparatus bay, painting classrooms, replacing the dishwasher in the cafeteria, replacement of tables and chairs in classrooms, carpeting media consoles, as well as exterior improvements, to name a few. The division is requesting an annual funding allocation to address infrastructure and system deficiencies as they arise. Not funding this request will lead to higher future expenditures if these issues are left unattended.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	764,673	764,673				
SALARIES AND BENEFITS						010000
INSURANCE REG TF	14.00	14.00				
-STATE	1,090,679	1,090,679				2393 1
OTHER PERSONAL SERVICES						030000
INSURANCE REG TF	20,102	20,102				
-STATE						2393 1
EXPENSES						040000
INSURANCE REG TF	233,454	233,454				
-STATE						2393 1
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	6,000	6,000				
-STATE						2393 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	151,189	151,189				
-STATE						2393 1
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF	1,300	1,300				
-STATE						2393 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF -STATE	343,693	343,693				2393 1
SUPP FIREFIGHTERS COMP						103725
INSURANCE REG TF -STATE	7,500	7,500				2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF -STATE	4,985	4,985				2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	6,282	6,282				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	14.00	14.00				
TOTAL ISSUE.....	1,865,184	1,865,184				
TOTAL SALARY RATE.....	764,673	764,673				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
INSURANCE REG TF -STATE	32,165	32,165				2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	5,944	5,944				2393 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	1,733	1,733				2393 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	152-	152-				2393 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITIONS AND						
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - ADD						160F210
SALARY RATE						000000
SALARY RATE.....	87,329	87,329				
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	2.00	2.00				2393 1
	120,929	120,929				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITIONS AND						
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - ADD						160F210
TOTAL: REALIGNMENT OF POSITIONS AND						160F210
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - ADD						
TOTAL POSITIONS.....	2.00		2.00			
TOTAL ISSUE.....		120,929		120,929		
TOTAL SALARY RATE.....	87,329		87,329			

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Realignment of positions and salaries and benefits within State Fire Marshal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-02 (EOG Log# Q0022) moved two (2) FTE, and associated budget, between budget entities with the Division of State Fire Marshal.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2225 GOVERNMENT ANALYST II							
00664 002	1.00	42,616		15,644	58,260	0.00	58,260
8463 CRIME LABORATORY ANALYST							
01590 001	1.00	46,524		16,145	62,669	0.00	62,669

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PROGRAM: FIRE MARSHAL 43300000  
 FIRE MRSHL ADMN & SUP SRVS 43300500  
 PUBLIC PROTECTION 12  
 LAW ENFORCEMENT 1202.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REALIGNMENT OF POSITIONS AND  
 SALARIES AND BENEFITS WITHIN STATE  
 FIRE MARSHAL - ADD 160F210

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
						120,929
2.00	89,140		31,789	120,929		120,929
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS						
		3,765				
		5,576-				
		1,811-				

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

2225 GOVERNMENT ANALYST II						
00664 002	1.00	42,616	15,644	58,260	0.00	58,260
8463 CRIME LABORATORY ANALYST						
01590 001	1.00	46,524	16,145	62,669	0.00	62,669

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PROGRAM: FIRE MARSHAL 43300000  
 FIRE MRSHL ADMN & SUP SRVS 43300500  
 PUBLIC PROTECTION 12  
 LAW ENFORCEMENT 1202.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REALIGNMENT OF POSITIONS AND  
 SALARIES AND BENEFITS WITHIN STATE  
 FIRE MARSHAL - ADD 160F210

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
						120,929
2.00	89,140		31,789	120,929		120,929
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS						
		3,765				
		5,576-				
TOTAL SALARY RATE						
		1,811-				

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
INSURANCE REG TF	-STATE	3,300-	3,300-			2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281

INSURANCE REG TF	-STATE	3,300	3,300			2393 1
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	24,000	24,000			2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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EQUIPMENT NEEDS						2400000
REPLACEMENT OF SCIENTIFIC						
LABORATORY EQUIPMENT - ARSON LAB						2401400
OPERATING CAPITAL OUTLAY						060000

INSURANCE REG TF	-STATE	185,000	185,000	185,000		2393 1
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Replace Scientific Laboratory Equipment - Arson Lab

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES					43000000
PROGRAM: FIRE MARSHAL					43300000
<u>FIRE MRSHL ADMN &amp; SUP SRVS</u>					43300500
PUBLIC PROTECTION					12
<u>LAW ENFORCEMENT</u>					<u>1202.00.00.00</u>
EQUIPMENT NEEDS					2400000
REPLACEMENT OF SCIENTIFIC					
LABORATORY EQUIPMENT - ARSON LAB					2401400

ION CHROMATOGRAPH - ARSON LAB (60,000)

The mission of the Bureau of Fire Forensic and Explosive Analysis is to provide timely and credible forensic analysis of evidence. The bureau has two ion chromatographs linked to a single mass detector. These tools allow analysis of both positively charged ions on one instrument and negatively charged ions on the second without down time or materials costs for switching. This is an essential instrument in the analysis of low explosives and unidentified hazardous chemicals. During Fiscal Year 2011-12, the bureau processed 3,645 of these analyses for state and local law enforcement. This service is not provided by any other State of Florida laboratory. The oldest of the two ion chromatograph instruments, used for both explosives and chemical evidence analysis, was originally obtained in 1999 for approximately \$50,000. It has continued to operate beyond its expected operational life of 10 years. The service contract for repair is no longer at a level that adequately covers the instrument, as the replacement parts are not manufactured and cannot be found. In order to ensure a staggered replacement schedule of this critical instrument, it should be replaced this year. This issue is requesting funds to replace the oldest unit with the Dionex ICS 2100 (or equivalent). This would integrate with the existing Dionex mass detector to which it would be attached. This unit directly affects both the continuous analysis of evidence and turnaround times as well as the quality of data presented in court during expert testimony in prosecutions for crimes.

FOURIER TRANSFORM INFRARED SPECTROPHOTOMETER (FTIR) - ARSON LAB (125,000)

A Fourier Transform Infrared Spectrophotometer (FTIR) is used in the analysis of explosives and unidentified hazardous materials. The unit is used to provide conclusive identification of "organic" compounds in explosives and chemical analyses. When linked with a Raman spectrometer a wider range of chemical species can be identified. The existing FTIR unit was originally purchased in 2001 for a cost of approximately \$120,000. This instrument has been functioning with annual preventive maintenance and contracts with the manufacturer for emergency repairs. The manufacturer has advised that beginning January 2014, the unit will be classified as obsolete and they will no longer be able to repair or maintain the unit. Therefore, it will not be possible to maintain the instrument at a level that would ensure the department's accreditation or the acceptability of the instrumental results when presented in court. The unit is coupled with a secondary Raman spectrometer and the replacement must be compatible (most likely from the same manufacturer). This unit directly affects both the continuous analysis of evidence and turnaround times, as well as the quality of data presented in court during expert testimony in prosecutions for crimes.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
EQUIPMENT NEEDS						2400000
REPLACEMENT OF SECURITY CAMERA						
SYSTEM - ARSON LAB						2401410
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	-STATE	8,400	8,400	8,400		2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Replacement of Security Camera System - Arson Lab

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

The State Arson Laboratory, located in Gadsden County, is owned by the Department of Financial Services and serves as the State's only forensic laboratory that can perform fire debris analysis in the investigation of possible arson or explosives/unidentified chemicals in the investigation of other crimes. The facility is not occupied 24 hours per day and 7 days per week. Because the facility stores criminal evidence as well as thousands of dollars of technical and instrumental equipment, the security of the facility must be maintained when it is unoccupied. The current system includes motion sensors, door alarms, glass break detectors, noise monitors, smoke detectors, and fire detectors.

The system currently has a security camera system with six camera views for monitoring selected portions of the facility. It has limited capacity for recording information, but with the assistance of the Division of Information Systems (DIS), stores data via File Transfer Protocol (FTP) off site for disaster recovery. The existing system allows bureau staff to remotely monitor the facility to determine if any alarm was caused by an event that will require a response by staff, law enforcement, or the fire department. The system reduces the amount of time bureau personnel responds to any alarms and is safer than being in the physical presence of an intruder. The existing security camera system is limited to six camera views. These cover only the four exterior doors, the front lobby, and the evidence intake room. These are inadequate to cover all critical areas of the facility. A new 16 channel digital video recorder (DVR) system that is network ready and capable of archiving data via FTP is needed. In addition the existing cameras need to be replaced and six new cameras added. These would cover the area where the external Heating, Ventilation and Air Conditioning (HVAC) equipment is located; the building's external emergency generator; the external read windows of the facility; external exit only doors, and the interior hallways with connection to preparation laboratories, instrumental laboratories, and case file archives.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	8,665	8,665				2393 1
PROGRAM ISSUES						4000000
STATE FIRE MARSHAL GRANT PROGRAMS EXPENSES						4000420
INSURANCE REG TF -STATE	12,000	12,000				040000

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: State Fire Marshal Grant Programs

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

The Bureau of Fire Forensic and Explosive Analysis (BFFEA) is requesting legislative budget authority to expend funds under the Florida Department of Law Enforcement (FDLE) Coverdell Grant. BFFEA is a sub-recipient of the 2012 federal Paul Coverdell grant for forensic sciences. These funds are provided to forensic laboratories in Florida through FDLE who is the primary recipient of the funding. BFFEA has been notified that the portion of the funding it will receive for the period March 1, 2013 through February 28, 2014 will be \$12,019.00.

The department did not request new funding for this grant back in FY 2007-08 when the grant was received. Instead, the department used existing budget authority in the division. However, over the past few years with the budget reductions and increases in costs associated with the economy, the entities expense budget is not sufficient to cover the required operational costs.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
CAPITAL IMPROVEMENT PLAN						9900000
MAINTENANCE AND REPAIR						990M000
FIXED CAPITAL OUTLAY						080000
ARSON LAB-BLDG REP/MAINT						080940
INSURANCE REG TF	-STATE	25,000	25,000	25,000		2393 1

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AGENCY NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: ARSON LAB-BLDG REP/MAINT IT COMPONENT? NO  
 Issue Title: Maintenance and Repair

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

The mission of the Bureau of Fire Forensic and Explosive Analysis is to provide timely and credible forensic analysis of evidence. The Department of Financial Services (DFS) operates a forensic laboratory through its Division of State Fire Marshal. It is the only State of Florida laboratory providing the forensic services of Fire Debris Analysis (for investigation of Arson) or Explosives Analysis (for investigation of bombings or criminal intent). The building is located on the grounds of the Florida Public Safety Institute in Gadsden County, Florida and is wholly owned and maintained by DFS. The department is responsible for all maintenance and upkeep of the facility and does not receive any funding from the Department of Management Services for this purpose.

The building was originally built in 1990, received some remodeling in 2000, and had its Heating, Ventilation and Air Conditioning (HVAC) system modified in 2006. It is scheduled to have its attic enclosed to improve functionality of air handling, fume hoods, and roof longevity in Fiscal Year 2012-13. The facility and its primary fixtures are over 20 years old and as it continues to age; its fixed systems will require recurring repair or replacement. This issue seeks \$25,000 per year in recurring funds to affect these repairs and ensure that the facility remains in operational order and that DFS ensures stewardship for the State of Florida property under its control. In the past the department has utilized expense funds for facility upkeep diverting them from operation expenses for the forensic work done in the facility. The examples of items to be purchased with the funds include: doors; locks; air, water, electrical, or plumbing fixtures; electrical wiring and supplies; fume hoods; floor coverings; windows; furnishings; custodial supplies; piping; hardware; paint; or building materials. DFS has a Maintenance Mechanic on staff that will use these materials to ensure the continued repair and operation of the facility.

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	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PROGRAM: FIRE MARSHAL											43300000
<u>FIRE MRSHL ADMN &amp; SUP SRVS</u>											43300500
PUBLIC PROTECTION											12
<u>LAW ENFORCEMENT</u>											<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT											<u>1202.00.00.00</u>
BY FUND TYPE											
		16.00		16.00							
TRUST FUNDS.....		2,288,868		2,288,868			218,400				2000
SALARY RATE.....		852,002		852,002							
		=====		=====			=====				

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PGM: ST PROP/CASUALTY CLMS											43400000
ST SELF-INSURED CLAIMS ADJ											43400100
GOV OPERATIONS/SUPPORT											16
GOVERNMENTAL OPERATIONS											1601.00.00.00
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SALARY RATE											000000
SALARY RATE.....		3,976,205		3,976,205							
=====											
SALARIES AND BENEFITS											010000
STATE RISK MGMT TF	-STATE	106.00		106.00							
		5,397,503		5,397,503							2078 1
=====											
OTHER PERSONAL SERVICES											030000
STATE RISK MGMT TF	-STATE	35,000		35,000							2078 1
=====											
EXPENSES											040000
STATE RISK MGMT TF	-STATE	900,292		900,292							2078 1
=====											
OPERATING CAPITAL OUTLAY											060000
STATE RISK MGMT TF	-STATE	5,405		5,405							2078 1
=====											
SPECIAL CATEGORIES											100000
CONTRACTED SERVICES											100777
STATE RISK MGMT TF	-STATE	14,210,951		14,210,951							2078 1
=====											
CONTRACT LEGAL - ATTY GEN											100904
STATE RISK MGMT TF	-STATE	6,302,284		6,302,284							2078 1
=====											

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
CONTRACTED LEGAL SERVICES						100905
STATE RISK MGMT TF	-STATE	18,001,020	18,001,020			2078 1
EXCESS INSUR. & CLAIM SER						101221
STATE RISK MGMT TF	-STATE	13,700,000	13,700,000			2078 1
RISK MANAGEMENT INSURANCE						103241
STATE RISK MGMT TF	-STATE	102,380	102,380			2078 1
LEASE/PURCHASE/EQUIPMENT						105281
STATE RISK MGMT TF	-STATE	14,031	14,031			2078 1
TR/DMS/HR SVCS/STW CONTRCT						107040
STATE RISK MGMT TF	-STATE	34,623	34,623			2078 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	106.00	106.00				
TOTAL ISSUE.....	58,703,489	58,703,489				
TOTAL SALARY RATE.....	3,976,205	3,976,205				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
STATE RISK MGMT TF	-STATE	9,581	9,581			2078 1
=====						
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
STATE RISK MGMT TF	-STATE	12,144	12,144			2078 1
=====						
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
STATE RISK MGMT TF	-STATE	12,681	12,681			2078 1
=====						
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
STATE RISK MGMT TF	-STATE	838-	838-			2078 1
=====						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
STATE RISK MGMT TF	-STATE	6,000-	6,000-			2078 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281

STATE RISK MGMT TF	-STATE	6,000	6,000			2078 1
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		156,632-			156,632-	
SALARIES AND BENEFITS						010000
STATE RISK MGMT TF -STATE		3.00-	156,632-		3.00-	156,632-
						2078 1
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		3.00-			3.00-	
TOTAL ISSUE.....			156,632-			156,632-
TOTAL SALARY RATE.....		156,632-			156,632-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: ST PROP/CASUALTY CLMS 43400000  
 ST SELF-INSURED CLAIMS ADJ 43400100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0012 001	3.00-	156,632-		156,632-	0.00	156,632-
TOTALS FOR ISSUE BY FUND						
2078 STATE RISK MGMT TF						
	3.00-	156,632-		156,632-		156,632-

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REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110  
 SALARIES AND BENEFITS 010000

STATE RISK MGMT TF -STATE 14,323 14,323 2078 1

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2013-14 POS	AGY AMD REQ FY 2013-14 POS	AGY AMD N/R FY 2013-14 POS	AGY AMD ANZ FY 2013-14 POS	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14 POS	AMOUNT
FINANCIAL SERVICES					43000000
PGM: ST PROP/CASUALTY CLMS					43400000
ST SELF-INSURED CLAIMS ADJ					43400100
GOV OPERATIONS/SUPPORT					16
GOVERNMENTAL OPERATIONS					1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT					2000000
REALIGN CURRENT POSITIONS TO FULFILL MISSION CRITICAL FUNCTIONS - ADD					2000110

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.  
 This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.  
 Summary: This is a new issue.  
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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2078 STATE RISK MGMT TF						14,323
						-----
						14,323
						=====

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
NONRECURRING EXPENDITURES						2100000
STAFF FOR PROACTIVE LOSS PREVENTION						2103146
SERVICES						040000
EXPENSES						
STATE RISK MGMT TF	-STATE	7,296-	7,296-			2078 1
STAFF FOR INDEMNITY AND MEDICAL						2103147
PAYMENTS						040000
EXPENSES						
STATE RISK MGMT TF	-STATE	1,935-	1,935-			2078 1
ANNUALIZATION OF ADMINISTERED						26A0000
FUNDS APPROPRIATIONS						
STATE HEALTH INSURANCE ADJUSTMENT						26A1830
FOR FY 2012-13 - 10 MONTHS						010000
ANNUALIZATION						
SALARIES AND BENEFITS						
STATE RISK MGMT TF	-STATE	63,405	63,405			2078 1
WORKLOAD						3000000
LOSS PREVENTION SECTION STAFFING -						3000890
RISK MANAGEMENT						000000
SALARY RATE						
SALARY RATE.....	42,233				42,233-	
SALARIES AND BENEFITS						010000
STATE RISK MGMT TF	-STATE	2.00			2.00-	68,004-
		68,004				2078 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
LOSS PREVENTION SECTION STAFFING -						
RISK MANAGEMENT						3000890
EXPENSES						040000
STATE RISK MGMT TF -STATE		18,536				18,536- 2078 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
STATE RISK MGMT TF -STATE		708				708- 2078 1
TOTAL: LOSS PREVENTION SECTION STAFFING -						3000890
RISK MANAGEMENT						
TOTAL POSITIONS.....	2.00				2.00-	
TOTAL ISSUE.....		87,248				87,248-
TOTAL SALARY RATE.....	42,233				42,233-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Loss Prevention Section Staffing - Risk Management

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

This issue requests two full time equivalent positions (FTE) in the Loss Prevention Section; a Government Operations Consultant II and an Administrative Assistant II. The Government Operations Consultant II is being requested to provide dedicated data analysis support to the Loss Prevention Section in order to target loss prevention efforts and to assist in conducting agency loss prevention program reviews as mandated in chapter 284.50, F.S. The position will also provide data analysis reports and training to agencies and universities in order to allow agency safety coordinators the capability to make data-driven decisions on the conduct of their loss prevention programs. Currently, the Loss Prevention Section does not have an employee dedicated for data analysis and must rely on the division's only data analysis employee for support services.

The Administrative Assistant II is a support staff position requested to provide dedicated staff support to the Loss Prevention section, which currently is operating without any administrative support. Due to the mission of the Loss Prevention Section, staff members travel frequently, conduct many meetings that involve high levels of coordination due to the number and geographic location of attendees, and the section maintains multiple contact listings of agency and

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
WORKLOAD										3000000
LOSS PREVENTION SECTION STAFFING -										
RISK MANAGEMENT										3000890

university staff. This position will provide administrative support to the Loss Prevention Section by maintaining contact listings, scheduling and assisting in the preparations for training events and meetings, preparing and proofing correspondence and reports, making travel reservations and filing travel forms. These duties are currently being performed by other sections.

Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. The department was not requesting nor assuming all the issues would be funded, however, no other official budget planning method was available to highlight these issues. The amended legislative budget request (amended LBR) does not include all of these highlighted issues; rather, it focuses on the most significant resource issues facing the department for the 2013-2014 fiscal year. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
	C9999 001	2.00	42,233	25,771	68,004	0.00	68,004

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: ST PROP/CASUALTY CLMS 43400000  
 ST SELF-INSURED CLAIMS ADJ 43400100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 WORKLOAD 3000000  
 LOSS PREVENTION SECTION STAFFING -  
 RISK MANAGEMENT 3000890

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2078 STATE RISK MGMT TF						68,004
2.00	42,233		25,771	68,004		68,004

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WORKERS' COMPENSATION CLAIMS  
 STAFFING - RISK MANAGEMENT 3000940  
 SALARY RATE 000000  
 SALARY RATE..... 180,230 180,230-

SALARIES AND BENEFITS 010000  
 STATE RISK MGMT TF -STATE 10.00 305,114 10.00- 305,114- 2078 1

EXPENSES 040000  
 STATE RISK MGMT TF -STATE 98,820 98,820- 2078 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
WORKLOAD						3000000
WORKERS' COMPENSATION CLAIMS						
STAFFING - RISK MANAGEMENT						3000940
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
STATE RISK MGMT TF	-STATE		203,010			203,010
						2078 1
TR/DMS/HR SVCS/STW CONTRCT						107040
STATE RISK MGMT TF	-STATE	3,540				3,540
						2078 1
TOTAL: WORKERS' COMPENSATION CLAIMS						3000940
STAFFING - RISK MANAGEMENT						
TOTAL POSITIONS.....	10.00				10.00-	
TOTAL ISSUE.....	407,474	203,010			204,464-	
TOTAL SALARY RATE.....	180,230				180,230-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Workers' Compensation Claims Staffing - Risk Management

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

The division requests four Workers' Compensation Specialists and six Senior Workers' Compensation Examiners to ensure compliance with the numerous and complex regulatory requirements imposed upon the division regarding timely filings and payments, particularly those where non-compliance results in the assessment of penalties and interest due to claimants. As described below, the division's lost time claim load ratio far outweighs that of the other self-insurers surveyed. To properly manage the thousands of medical only claims each year, staff would have to be significantly increased, as the average number of medical only claims per Senior Examiner is approximately 1,200. Even considering only the more active medical only claims (approximately 6,400); the Senior Examiners are currently expected to maintain claim loads of approximately 700.

Chapter 284, Florida Statutes, establishes the Risk Management Trust Fund, which provides among other insurance coverage's, workers' compensation coverage and adjusting services to state agencies, their employees, and volunteers. Also pursuant to chapter 284, F.S., the Division of Risk Management was created to implement and administer these responsibilities in addition to loss prevention services. Specifically, the division's Bureau of State Employee Workers'



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
WORKLOAD										3000000
WORKERS' COMPENSATION CLAIMS										
STAFFING - RISK MANAGEMENT										3000940

Compensation Claims administers the workers' compensation claims for all state employees and volunteers who are injured on the job. Currently, there are 19 Workers' Compensation (WC) Specialists and three Insurance Specialist II's whose primary responsibilities include adjusting "lost time" claims, which involve payments to employees to compensate for their lost time from work. WC Specialists also adjust some of the more costly "medical only claims." Medical only claims do not involve lost time payments to employees but can be very lengthy and costly. The division employs nine Senior Examiners whose responsibilities include reviewing the medical only claims and identifying settlement potential and/or other opportunities to close the claims. The Examiners also provide some clerical support to the WC Specialists, as the entire bureau employs only one clerical support position, responsible for importing the high volume of injury related documents on a daily basis, in addition to assisting the Bureau Chief with multiple tasks.

Claims are assigned to adjusters in one of three units, which are primarily categorized by type of claim and agency employing the injured worker. As of July 1, 2012, the division was administering approximately 4,000 lost time claims and approximately 11,200 medical only claims (approximately 4,800 of which involve only sporadic medical treatment each year). Approximately 6,400 medical only claims involve more active and/or more serious medical care and, in turn, warrant closer monitoring. WC Specialists in Unit 1 (permanent and total disability and pre-1997 accident dates) maintain claim loads of approximately 300. WC Specialists in Units 2 and 3 (primarily presumption, DOC, Universities, & State Court System) maintain claim loads of approximately 215. Insurance Specialist II's have an average lost time case load of approximately 165 claims. However, the Insurance Specialist II's are also responsible for handling some medical only files and providing 100 percent of their own clerical support.

In surveying other large Employers in the State of Florida, the following information regarding lost time claim workloads was obtained:

Employers	#WC Lost Time Adjusters	# Employees	# Lost Time of Claims in Workload
Broward County	5	14,000	150-175
Disney	7	62,000	120-130
Wal-Mart	19 (TPA)	91,954	113 (Average)
*Publix	24	80,000	135
Miami Dade County	25		125-150
State of Florida	17	200,000	235**

\*Based upon a 2008 Publix Report to Division of Risk Management  
 \*\*Not including the three Insurance Specialists II positions

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
WORKLOAD										3000000
WORKERS' COMPENSATION CLAIMS										
STAFFING - RISK MANAGEMENT										3000940

As demonstrated above, the division's lost time claim load ratio far outweighs that of the other self-insurers surveyed. To properly manage the thousands of medical only claims each year, staff would have to be significantly increased, as the average number of medical only claims per Senior Examiner is approximately 1,200. Even considering only the more active medical only claims (approximately 6,400); the Senior Examiners are currently expected to maintain claim loads of approximately 700. One of the division's primary goals is to manage risks and contain claim costs. Workers' compensation claims costs represent the highest percentage of the division's expenditures. Therefore, the focus should be on adequately staffing the Bureau of State Employee Workers' Compensation Claims to better manage and contain indemnity and medical costs with the ultimate goal of efficiently and timely closing claims when appropriate.

Amended 2013-14 Narrative after February 1, 2013.

This issue has been changed from requesting 10 FTE and funding to requesting additional contracted services so the department can contract for the work. The department felt it important to raise the workers' compensation claims staffing, however it is also important to see how the new Risk Management Information System (RMIS) will assist in the auditing of workers' compensation claims.

Summary: This issue changes 10 FTE to funding for contractual services.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
C9999 001	10.00	180,230	124,884	305,114	0.00	305,114

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
WORKLOAD										3000000
WORKERS' COMPENSATION CLAIMS										
STAFFING - RISK MANAGEMENT										3000940

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2078 STATE RISK MGMT TF						
10.00	180,230		124,884	305,114		305,114

PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
RISK MANAGEMENT INFORMATION SYSTEM						36323C0
SPECIAL CATEGORIES						100000
EXCESS INSUR. & CLAIM SER						101221
STATE RISK MGMT TF	-STATE	5,000,000	2,225,000	2,225,000	2,225,000	2,775,000- 2078 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Risk Management Information System

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

The department is in the process of procuring a replacement information system for the Division of Risk Management's current Risk Management Information System (RMIS). A consultant is currently reviewing system requirements and assessing

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
RISK MANAGEMENT INFORMATION SYSTEM						36323C0

what information systems are available that will meet those requirements, with a report expected in October 2012, at which time estimated costs will be available. An ITN (invitation to negotiate) will be issued in late 2012 with responses due in the spring of 2013. Cost components will include data migration, software purchase or license fees, staff training, hardware purchases and possible staff augmentation. The Division of Risk Management is working with the Division of Information Systems on this issue.  
 Amended 2013-14 Narrative after February 1, 2013.

The final report has been submitted by the company chosen from October 2012 ITN. It has been recommended the new system will cost a total of \$4,450,000 divided evenly over two years - \$2,225,000 in FY 13-14 and FY 14-15. Both years will have a non-recurring appropriation.

Summary: This issue has been decreased from \$5,000,000 to \$2,225,000.

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FILENET P8 DOCUMENT MANAGEMENT						36380C0
IMPLEMENTATION AND MIGRATION						100000
SPECIAL CATEGORIES						100777
CONTRACTED SERVICES						

STATE RISK MGMT TF -STATE 51,301- 51,301- 2078 1

\*\*\*\*\*

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Issue Title: FileNet P8 Document Management Implementation and Migration - Deduct

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS) is requesting funding to implement the migration of three separate document management systems to a single enterprise document management system, FileNet P8. Currently, DFS has three separate and distinct outdated instances of FileNet supporting the following six business units: Division of Workers' Compensation, Division of Risk Management, Division of Consumer Assistance, Division of Agent & Agency Services, Division of Funeral and Cemetery Services, Consumer Services, and the Office of Insurance Regulation. Together these three FileNet systems

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
FILENET P8 DOCUMENT MANAGEMENT						
IMPLEMENTATION AND MIGRATION						36380C0

support more than 165 department business applications. The service that is currently received by all these divisions and OIR is paid from the divisions of Workers' Compensation, Risk Management, and Information Systems' budgets.

The department's intent is to consolidate the three FileNet systems onto the single, secure, and highly available FileNet P8 enterprise-level instance. The current maintenance and support for the duplicative and outdated instances of FileNet cost of \$309,041 is paid from three separate divisions (Division of Workers' Compensation \$145,867, Division of Risk Management \$51,301 and Division of Information Systems \$111,873). This request is to transfer existing contracted services budget from the Division of Risk Management to the Division of Information Systems.

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AUDIT FINDINGS AND RECOMMENDATIONS						4A00000
MONITORING OF THIRD-PARTY						
ADMINISTRATORS - RISK MANAGEMENT						4A04000
SALARY RATE						000000
SALARY RATE.....	108,218				108,218-	
	=====	=====	=====	=====	=====	
SALARIES AND BENEFITS						010000
STATE RISK MGMT TF	-STATE	5.00			5.00-	
		172,983			172,983-	2078 1
		=====	=====	=====	=====	
EXPENSES						040000
STATE RISK MGMT TF	-STATE	49,410			49,410-	2078 1
		=====	=====	=====	=====	
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
STATE RISK MGMT TF	-STATE	1,770			1,770-	2078 1
		=====	=====	=====	=====	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS						4A00000
MONITORING OF THIRD-PARTY						
ADMINISTRATORS - RISK MANAGEMENT						4A04000
TOTAL: MONITORING OF THIRD-PARTY						4A04000
ADMINISTRATORS - RISK MANAGEMENT						
TOTAL POSITIONS.....	5.00				5.00-	
TOTAL ISSUE.....		224,163				224,163-
TOTAL SALARY RATE.....	108,218				108,218-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Monitoring of Third-Party Administrators - Risk Management

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

This issue will address audit findings identified in the Auditor General (AG) Report 2012-067, Division of Risk Management Monitoring of Third Party Administrators, by adding three full time equivalent positions (FTE) to the Contract Monitoring Section and two FTE to the Trust Fund Management Section. The audit disclosed that the division's monitoring of the Third Party Administrators (TPA) contracts associated with the workers' compensation program was not sufficient. The report provided specific deficiencies to which the department responded to in agreement with the findings.

A Registered Nursing Consultant will be dedicated to performing medical and pharmaceutical reimbursement audits of workers' compensation claims. The audits will evaluate the effectiveness of contracted medical services provided, and if medical services performed were medically necessary, cost effective and related to the injury. This position will work with the audit evaluation and review analyst and other contract monitoring staff in conducting contract monitoring activities and providing feedback to the vendor as needed. The salary requested for this position is 10 percent over the base salary due to the shortage of nurses and current salaries offered in the Tallahassee area, combined with the need to have an experienced registered nurse in this position. Currently, there is one Registered Nursing Consultant available to provide contract monitoring services for seven medically-related contracts. In FY 2011-12, \$66,887,214 was spent in total on these contracts, with \$8,277,930 spent in the contracted services operating category and \$58,609,284 spent in the loss payments non-operating category.

Two Government Analyst I positions are being requested to address a need for increased contract monitoring activities as noted in the audit finding. The AG Report recommended that audit tests and on-site monitoring of TPAs be performed to ensure that contractors are operating in compliance with contract terms. These positions will be dedicated to maintaining contract files, preparing contract amendments, renewals and extensions, and preparing and implementing contract monitoring plans.

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	
POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES					43000000
PGM: ST PROP/CASUALTY CLMS					43400000
ST SELF-INSURED CLAIMS ADJ					43400100
GOV OPERATIONS/SUPPORT					16
GOVERNMENTAL OPERATIONS					1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS					4A00000
MONITORING OF THIRD-PARTY					
ADMINISTRATORS - RISK MANAGEMENT					4A04000

The AG Report specifically noted that the division needed to provide quality assurance and post-audit capabilities for payments issued to claimants and TPAs. An Accountant I position is being requested to provide quality assurance and post-audit capabilities for payments issued by the Disbursements Unit. An Accountant II position is also requested for the Trust Fund Management Section to assume duties currently being performed by an Accountant IV. The Accountant IV will assist in performing contract monitoring of the division's TPAs. The addition of this position will allow more senior positions to concentrate on contract monitoring and tax compliance activities.  
 Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
C9999 001						
5.00	108,218		64,765	172,983	0.00	172,983

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: ST PROP/CASUALTY CLMS 43400000  
 ST SELF-INSURED CLAIMS ADJ 43400100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 AUDIT FINDINGS AND RECOMMENDATIONS 4A00000  
 MONITORING OF THIRD-PARTY  
 ADMINISTRATORS - RISK MANAGEMENT 4A04000

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2078 STATE RISK MGMT TF						
5.00	108,218		64,765	172,983		172,983

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INFORMATION TECHNOLOGY OPERATIONAL  
 AUDIT - RISK MANAGEMENT 4A04100  
 SALARY RATE 000000  
 SALARY RATE..... 79,321 79,321-

SALARIES AND BENEFITS 010000  
 STATE RISK MGMT TF -STATE 3.00 120,026 3.00- 120,026- 2078 1

EXPENSES 040000  
 STATE RISK MGMT TF -STATE 29,646 29,646- 2078 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS						4A00000
INFORMATION TECHNOLOGY OPERATIONAL						
AUDIT - RISK MANAGEMENT						4A04100
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
STATE RISK MGMT TF	-STATE	1,062				1,062- 2078 1
TOTAL: INFORMATION TECHNOLOGY OPERATIONAL						4A04100
AUDIT - RISK MANAGEMENT						
TOTAL POSITIONS.....	3.00				3.00-	
TOTAL ISSUE.....	150,734				150,734-	
TOTAL SALARY RATE.....	79,321				79,321-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Information Technology Operational Audit - Risk Management

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

This issue is being requested to address audit findings identified in the Auditor General (AG) Report 2012-071, STARS Information Technology Operational Audit; and the AG Report 2012-067, Division of Risk Management Monitoring of Third Party Administrators.

The AG Report 2012-071 recommended, and the division requests, a Government Analyst II position to maintain and manage authorized user access to the STARS risk management information system. The position is needed to assist the Data Management Section manager with Information Technology (IT) issues and will act as the division's Information System Internal Control Compliance Officer. As such, the Government Analyst II will monitor IT security controls, IT program change controls, and IT application controls to ensure that the Division's IT internal control system adequately safeguards the use of IT systems and data integrity in accordance with established policies, procedures and practices. Currently, the Data Management Section has two FTEs dedicated to support the division's STARS risk management information system, which is unique to the department.

An Operations Review Specialist and an Office Operations Manager I SES positions are being requested to address audit findings identified in the AG Report 2012-067.

This report recommended that the division be able monitor and obtain detailed data on claims paid by Third Party Administrators to ensure contract compliance. The Operations Review Specialist position is needed to allow more time to

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>						43400100
GOV OPERATIONS/SUPPORT						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
AUDIT FINDINGS AND RECOMMENDATIONS						4A00000
INFORMATION TECHNOLOGY OPERATIONAL						
AUDIT - RISK MANAGEMENT						4A04100

be devoted to analysis of data rather than report generation. Currently, the Data Management Section has one FTE dedicated to provide reports and special data extraction requests to the division's 106 FTEs and all state agencies and universities, with as many as 600-plus reports being generated per month. This position will assist the Government Analyst II in preparing and analyzing reports extracted from the RMIS, including data mining activities, detecting overpayments, fraud and other cost containment measures.

The Office Operations Manager I SES position is requested to supervise the division's centralized mail room operations. Currently, the Data Management Section supervisor has eight positions directly reporting to it, including five mail room staff. The mail room receives a high volume of checks and confidential documents that must be processed immediately to avoid operational delays. Having a mail room supervisor will allow documents and checks to be processed in an efficient manner and will allow the Data Management Section supervisor to spend less time on mail room operations, resulting in greater time being devoted to contract monitoring activities related to the division's IT systems. This position will supervise and provide support to the current mail room staff and ensure all documents are distributed to the appropriate sections in a timely manner.

Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	OVER(UNDER)		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: ST PROP/CASUALTY CLMS 43400000  
 ST SELF-INSURED CLAIMS ADJ 43400100  
 GOV OPERATIONS/SUPPORT 16  
 GOVERNMENTAL OPERATIONS 1601.00.00.00  
 AUDIT FINDINGS AND RECOMMENDATIONS 4A00000  
 INFORMATION TECHNOLOGY OPERATIONAL  
 AUDIT - RISK MANAGEMENT 4A04100

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
C9999 001						
3.00	79,321		40,705	120,026	0.00	120,026
TOTALS FOR ISSUE BY FUND						
2078 STATE RISK MGMT TF						
3.00	79,321		40,705	120,026		120,026

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TOTAL: GOVERNMENTAL OPERATIONS						1601.00.00.00
BY FUND TYPE	126.00	103.00			23.00-	
TRUST FUNDS.....	64,609,549	61,025,631	2,225,000	2,225,000	3,583,918-	2000
SALARY RATE.....	4,386,207	3,819,573			566,634-	
	=====	=====	=====	=====	=====	



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE CO REHAB/LIQDATN						43500100
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	2,565	2,565				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	7.00	7.00				
TOTAL ISSUE.....	957,905	957,905				
TOTAL SALARY RATE.....	431,201	431,201				
CASUALTY INSURANCE PREMIUM ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF -STATE	173	173				2393 1
FLORIDA RETIREMENT SYSTEM CONTRIBUTION ADJUSTMENT FOR FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	1,325	1,325				2393 1
ADJUSTMENT TO STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	828	828				2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE CO REHAB/LIQDATN						43500100
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	62-	62-			2393 1
=====						
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE		1,494		1,494	2393 1
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
 INSURANCE CO REHAB/LIQDATN 43500100  
 PUBLIC PROTECTION 12  
 REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS  
 OTHER SALARY AMOUNT  
 2393 INSURANCE REG TF

1,494  
 -----  
 1,494  
 =====

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS 26A0000  
 STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS ANNUALIZATION 26A1830  
 SALARIES AND BENEFITS 010000

INSURANCE REG TF -STATE 4,140 4,140 2393 1

TOTAL: REGULATION AND LICENSING BY FUND TYPE 1204.00.00.00

TRUST FUNDS..... 7.00 964,309 7.00 965,803 1,494 2000  
 SALARY RATE..... 431,201 431,201





	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF -STATE	5,200	5,200				2393 1
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF -STATE	108,444	108,444				2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF -STATE	15,534	15,534				2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	49,191	49,191				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	129.00	129.00				
TOTAL ISSUE.....	12,018,857	12,018,857				
TOTAL SALARY RATE.....	4,985,551	4,985,551				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
INSURANCE REG TF -STATE	10,149	10,149				2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	16,254	16,254				2393 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	15,275	15,275				2393 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	1,191-	1,191-				2393 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
INSURANCE REG TF -STATE	3,000-	3,000-				2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF -STATE	3,000	3,000				2393 1
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).						
This issue nets to zero with corresponding issue code 160M010.						
*****						
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....	33,674-				33,674-	
=====						
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	1.00-	33,674-			1.00-	2393 1
=====						
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....	1.00-				1.00-	
TOTAL ISSUE.....		33,674-				33,674-
TOTAL SALARY RATE.....	33,674-				33,674-	
=====						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>LICENSURE, SALES/APPT/OVST</u>						43500200
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
 LICENSURE, SALES/APPT/OVST 43500200  
 PUBLIC PROTECTION 12  
 REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0014 001	1.00-	33,674-		33,674-	0.00	33,674-
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						33,674-
	1.00-	33,674-		33,674-		33,674-

REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110  
 SALARIES AND BENEFITS 010000  
 INSURANCE REG TF -STATE 17,248 17,248 2393 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
DEDUCT						2000130
SPECIAL CATEGORIES						100000
ELECTRONIC COMMERCE FEES						100064
INSURANCE REG TF	-STATE	611,000-	611,000-			2393 1
=====						
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	300,000-	300,000-			2393 1
=====						
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN						2000130
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
DEDUCT						
TOTAL ISSUE.....		916,000-	916,000-			
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the deduct side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves budget from various categories, except salaries, for realignment. This issue with corresponding issue code 2000140 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF	-STATE	5,000	5,000			2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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CONSOLIDATE INFORMATION TECHNOLOGY						2007100
(IT) CONTRACTS - DEDUCT						000000
SALARY RATE						
SALARY RATE.....		57,746-	57,746-			
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	1.00- 75,331-	1.00- 75,331-			2393 1
EXPENSES						040000
INSURANCE REG TF	-STATE	5,889-	5,889-			2393 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
CONSOLIDATE INFORMATION TECHNOLOGY						
(IT) CONTRACTS - DEDUCT						2007100
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	1,350,000-	1,350,000-			2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	354-	354-			2393 1
TOTAL: CONSOLIDATE INFORMATION TECHNOLOGY						2007100
(IT) CONTRACTS - DEDUCT						
TOTAL POSITIONS.....	1.00-	1.00-				
TOTAL ISSUE.....	1,431,574-	1,431,574-				
TOTAL SALARY RATE.....	57,746-	57,746-				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Consolidate Information Technology (IT) Contracts

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery & Consumer Services for three existing contracts between the divisions and Information System of Florida (ISF).

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications within the Division of Agent and Agency Services are: Agent & Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), ALIS /NIPR Data Interchange (ANDI), Internet Appointment module of ALIS (eAppoint), and Non-resident Interface for transfer of Information (NFTI). This issue will transfer \$1,350,000 from the Division of Agent and Agency Services to DIS.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
LICENSURE, SALES/APPT/OVST										43500200
PUBLIC PROTECTION										12
REGULATION AND LICENSING										<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT										2000000
CONSOLIDATE INFORMATION TECHNOLOGY										
(IT) CONTRACTS - DEDUCT										2007100

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

Amended 2013-14 Narrative after February 1, 2013.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery and Consumer Services for three existing contracts.

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications within the Division of Agent and Agency Services are: Agent and Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), ALIS /NIPR Data Interchange (ANDI), Internet Appointment module of ALIS (eAppoint), and Non-resident Interface for transfer of Information (NFTI). This issue will transfer \$1,350,000 from the Division of Agent and Agency Services to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

Summary: New narrative

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
 LICENSURE, SALES/APPT/OVST 43500200  
 PUBLIC PROTECTION 12  
 REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 CONSOLIDATE INFORMATION TECHNOLOGY  
 (IT) CONTRACTS - DEDUCT 2007100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	1.00-	57,746-		17,585-	75,331-	0.00	75,331-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							75,331-
	1.00-	57,746-		17,585-	75,331-		75,331-

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	1.00-	57,746-		17,585-	75,331-	0.00	75,331-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							75,331-
	1.00-	57,746-		17,585-	75,331-		75,331-

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	AMOUNT
	POS	POS	POS	POS	POS	POS
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ANNUALIZATION OF ADMINISTERED						26A0000
FUNDS APPROPRIATIONS						
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	76,375	76,375				2393 1
PROGRAM REDUCTIONS						33V0000
REDUCE POSITION(S) IN INSURANCE						
AGENT PRE-LICENSING EDUCATION						
SECTION						33V6130
SALARY RATE						000000
SALARY RATE.....	31,912-	31,912-				
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	46,182-	46,182-				2393 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	356-	356-				2393 1
TOTAL: REDUCE POSITION(S) IN INSURANCE						33V6130
AGENT PRE-LICENSING EDUCATION						
SECTION						
TOTAL POSITIONS.....	1.00-	1.00-				
TOTAL ISSUE.....	46,538-	46,538-				
TOTAL SALARY RATE.....	31,912-	31,912-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal 4. The department will protect the health, safety and welfare of the public.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>LICENSURE, SALES/APPT/OVST</u>										43500200
<u>PUBLIC PROTECTION</u>										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
PROGRAM REDUCTIONS										33V0000
REDUCE POSITION(S) IN INSURANCE										
AGENT PRE-LICENSING EDUCATION										
SECTION										33V6130

LAW CHANGE REQUIRED: Sections 626.171, 626.221, 626.231, 626.2817, 626.292, 626.681, 626.731, 626.7351, 626.785, 626.7851, 626.831, 626.8311, 626.8417, 626.865, 626.927, 648.385, and 648.386, F.S. will need to be repealed or amended.

In 1981, legislation was passed requiring an applicant to successfully complete a pre-licensing education course prior to taking the state examination. Elimination of Pre-licensing Education will allow licensees to qualify by passing the required state examination without first taking pre-licensing education courses. Passage of the examination is adequate to verify the applicants are qualified and competent to transact insurance. Also, many times the appointing entity (insurance company) requires licensees to complete in-house training prior to being able to sell the entities' product(s).

Pre-licensing courses will no longer be required of applicants prior to taking the state examination. The FTE will no longer approve or disapprove courses applicants may wish to take prior to taking the state examination.

This issue reduces one (1) FTE and (46,538) in appropriation: (46,182) in salary and benefits and (356) in HR outsourcing. The position is currently filled; however, other needs within the division should allow the displaced employee to transfer to another critical function.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A12 - AGY FIN REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

P101 PROPOSED CLASS CODE  
 C9999 001

1.00-	31,912-		14,270-	46,182-	0.00	46,182-
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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
LICENSURE, SALES/APPT/OVST 43500200  
 PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 PROGRAM REDUCTIONS 33V0000  
 REDUCE POSITION(S) IN INSURANCE  
 AGENT PRE-LICENSING EDUCATION  
 SECTION 33V6130

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
						46,182-
2393 INSURANCE REG TF						46,182-
1.00-	31,912-		14,270-	46,182-		46,182-

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

P101 PROPOSED CLASS CODE  
 C9999 001

1.00-	31,912-		14,270-	46,182-	0.00	46,182-
TOTALS FOR ISSUE BY FUND						
						46,182-
2393 INSURANCE REG TF						46,182-
1.00-	31,912-		14,270-	46,182-		46,182-

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>LICENSURE, SALES/APPT/OVST</u>						43500200
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
PROGRAM REDUCTIONS						33V0000
REDUCE POSITION(S) - AGENT AND						
AGENCY SERVICES - CLERICAL STAFF						
REDUCTION DUE TO OPERATIONAL						
EFFICIENCIES						33V6170
SALARY RATE						000000
SALARY RATE.....	103,873-	103,873-				
=====						
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	5.00- 168,080-	5.00- 168,080-				2393 1
=====						
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	1,780-	1,780-				2393 1
=====						
TOTAL: REDUCE POSITION(S) - AGENT AND						33V6170
AGENCY SERVICES - CLERICAL STAFF						
REDUCTION DUE TO OPERATIONAL						
EFFICIENCIES						
TOTAL POSITIONS.....	5.00-	5.00-				
TOTAL ISSUE.....	169,860-	169,860-				
TOTAL SALARY RATE.....	103,873-	103,873-				
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal 4. The department will protect the health, safety and welfare of the public.

LAW CHANGE REQUIRED: None

Pursuant to s. 626.171 and s.626.172, F.S., applicants for licensure and owners, partners, sole proprietors, of an insurance agency are required to furnish fingerprints to the department as part of the application process. The above-listed sections also provides fingerprints are to be taken by a law enforcement agency or other entity approved by

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES					43000000
PGM: LICNSNG/CNSMER PROTEC					43500000
<u>LICENSURE, SALES/APPT/OVST</u>					43500200
PUBLIC PROTECTION					12
<u>REGULATION AND LICENSING</u>					<u>1204.00.00.00</u>
PROGRAM REDUCTIONS					33V0000
REDUCE POSITION(S) - AGENT AND					
AGENCY SERVICES - CLERICAL STAFF					
REDUCTION DUE TO OPERATIONAL					
EFFICIENCIES					33V6170

the department. The division has contracts with vendors that will provide fingerprinting services for the department without the fingerprint cards having to be sent to the department.

Elimination of the process of mailing and receiving paper fingerprint cards in house reduces the need for the 3 FTEs in the Bureau of Licensing. When non-resident persons apply, the applicants will contact the current fingerprint vendor to pay the fingerprint processing fee and obtain the fingerprint cards. The fingerprint cards will be pre-populated with the applicant's demographic information in order to reduce errors. Once the applicants have been fingerprinted, the completed cards are submitted back to the vendor. The vendor will then digitally scan the fingerprint cards and electronically submit the prints to the Florida Department of Law Enforcement which in turn will submit the images to the Federal Bureau of Investigation. The fingerprint results are then submitted to the Bureau of Licensing for review.

The Bureau of Investigation is automating transmittal of investigative files; therefore, field office staff will no longer be required to compile and make copies of documents/files prior to mailing them to Tallahassee. Changes to Licensing's Automated Licensing Information System (ALIS) are in process that will provide the automation necessary for the investigative files to be scanned and electronically transmitted. The changes are currently being made through the division's current vendor and paid for with the division's current funding.

This issue reduces (5) FTE and (169,860) in appropriation: (168,080) in salary and benefits and (1,780) in HR outsourcing. Of the 5 FTEs in this issue, 4 positions are vacant and 1 position is currently filled. Normal attrition within the division should allow any displaced employees to transfer to another critical function.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
LICENSURE, SALES/APPT/OVST 43500200  
 PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 PROGRAM REDUCTIONS 33V0000  
 REDUCE POSITION(S) - AGENT AND  
 AGENCY SERVICES - CLERICAL STAFF  
 REDUCTION DUE TO OPERATIONAL  
 EFFICIENCIES 33V6170

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9898 001	5.00-	103,873-		64,207-	168,080-	0.00	168,080-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							168,080-
	5.00-	103,873-		64,207-	168,080-		168,080-

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9898 001	5.00-	103,873-		64,207-	168,080-	0.00	168,080-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							168,080-
	5.00-	103,873-		64,207-	168,080-		168,080-



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	9,291,557	9,291,557				
SALARIES AND BENEFITS						010000
INSURANCE REG TF	193.00	193.00				2393 1
-STATE	12,119,465	12,119,465				
OTHER PERSONAL SERVICES						030000
INSURANCE REG TF	45,000	45,000				2393 1
-STATE						
EXPENSES						040000
INSURANCE REG TF	1,951,619	1,951,619				2393 1
-STATE						
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	103,635	103,635				2393 1
-STATE						
SPECIAL CATEGORIES						100000
ACQUISITION/MOTOR VEHICLES						100021
INSURANCE REG TF	79,200	79,200				2393 1
-STATE						
TRANS TO JAC FOR PIP FRAUD						100522
INSURANCE REG TF	1,196,826	1,196,826				2393 1
-STATE						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF -STATE		214,617		214,617		2393 1
FED LAW ENFORCEMENT TF -RECPNT		50,000		50,000		2719 9
TOTAL APPRO.....		264,617		264,617		
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF -STATE		96,600		96,600		2393 1
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF -STATE		155,002		155,002		2393 1
SALARY INCENTIVE PAYMENTS						103290
INSURANCE REG TF -STATE		202,496		202,496		2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF -STATE		46,047		46,047		2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE		66,374		66,374		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	193.00		193.00			
TOTAL ISSUE.....	16,326,881		16,326,881			
TOTAL SALARY RATE.....	9,291,557		9,291,557			

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF	-STATE	14,506	14,506			2393 1
=====						
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	62,527	62,527			2393 1
=====						
SPECIAL CATEGORIES						100000
TRANS TO JAC FOR PIP FRAUD						100522
INSURANCE REG TF	-STATE	687	687			2393 1
=====						
TOTAL: FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						
TOTAL ISSUE.....		63,214	63,214			
=====						
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	22,338	22,338			2393 1
=====						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SPECIAL CATEGORIES						100000
TRANS TO JAC FOR PIP FRAUD						100522
INSURANCE REG TF	-STATE	2,028	2,028			2393 1
TOTAL: ADJUSTMENT TO STATE HEALTH						1001830
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						
TOTAL ISSUE.....		24,366	24,366			
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	1,590-	1,590-			2393 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
INSURANCE REG TF	-STATE	1,000-	1,000-			2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).

This issue nets to zero with corresponding issue code 160M020.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	1,000	1,000			2393 1
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).						
This issue nets to zero with corresponding issue code 160M010.						
*****						
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE LEGAL STAFF FROM						
FRAUD TO LEGAL - DEDUCT						1600640
SALARY RATE						000000
SALARY RATE.....	107,803-	107,803-				
*****						
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	2.00- 138,467-	2.00- 138,467-			2393 1
*****						
EXPENSES						040000
INSURANCE REG TF	-STATE	3,600-	3,600-			2393 1
*****						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - MOVE LEGAL STAFF FROM						
FRAUD TO LEGAL - DEDUCT						1600640
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	712-	712-			2393 1
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET						1600640
AMENDMENT - MOVE LEGAL STAFF FROM						
FRAUD TO LEGAL - DEDUCT						
TOTAL POSITIONS.....	2.00-	2.00-				
TOTAL ISSUE.....		142,779-	142,779-			
TOTAL SALARY RATE.....	107,803-	107,803-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of current year budget amendment - move Legal staff from Fraud to Legal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 6/29/2012. Agency amendment 13-05 (EOG Log# B0049) moves two (2) FTE performing legal duties, and the associated budget, from the Division of Insurance Fraud to the Division of Legal Services.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
 INSURANCE FRAUD 43500300  
 PUBLIC PROTECTION 12  
 CONSUMER SAFETY/PROTECTION 1205.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE LEGAL STAFF FROM  
 FRAUD TO LEGAL - DEDUCT 1600640

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7738 SENIOR ATTORNEY C0575 001	2.00-	102,608-		35,859-	138,467-	0.00	138,467-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF	2.00-	102,608-		35,859-	138,467-		138,467-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9998 001		5,195-					
TOTAL SALARY RATE		5,195-					

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7738 SENIOR ATTORNEY C0575 001	2.00-	102,608-		35,859-	138,467-	0.00	138,467-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
 INSURANCE FRAUD 43500300  
PUBLIC PROTECTION 12  
CONSUMER SAFETY/PROTECTION 1205.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 REAPPROVAL OF CURRENT YEAR BUDGET  
 AMENDMENT - MOVE LEGAL STAFF FROM  
 FRAUD TO LEGAL - DEDUCT 1600640

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
	2.00-	102,608-		35,859-	138,467-	138,467-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS						
		5,195-				
TOTAL SALARY RATE						
		5,195-				

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110
SALARIES AND BENEFITS						010000
INSURANCE REG TF			31,795		31,795	2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.  
 This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							31,795
							-----
							31,795
							=====

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	POS	POS	POS	POS	AMOUNT
	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF	-STATE	50,000	50,000			2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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NONRECURRING EXPENDITURES						2100000
REPLACEMENT OF STATEWIDE LAW						
ENFORCEMENT RADIO EQUIPMENT						2103144
OPERATING CAPITAL OUTLAY						060000

INSURANCE REG TF	-STATE	79,935-	79,935-			2393 1
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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	OVER(UNDER)					
	AGY FIN REQ				AGY FIN REQ	
	FY 2013-14				FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
NONRECURRING EXPENDITURES						2100000
CREATE NEW PERSONAL INJURY						2103154
PROTECTION (PIP) FRAUD UNIT						040000
EXPENSES						
INSURANCE REG TF	-STATE	34,702-	34,702-			2393 1
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	-STATE	22,000-	22,000-			2393 1
SPECIAL CATEGORIES						100000
ACQUISITION/MOTOR VEHICLES						100021
INSURANCE REG TF	-STATE	79,200-	79,200-			2393 1
TOTAL: CREATE NEW PERSONAL INJURY						2103154
PROTECTION (PIP) FRAUD UNIT						
TOTAL ISSUE.....		135,902-	135,902-			
ANNUALIZATION OF ADMINISTERED						26A0000
FUNDS APPROPRIATIONS						
STATE HEALTH INSURANCE ADJUSTMENT						26A1830
FOR FY 2012-13 - 10 MONTHS						010000
ANNUALIZATION						
SALARIES AND BENEFITS						
INSURANCE REG TF	-STATE	111,690	111,690			2393 1
SPECIAL CATEGORIES						100000
TRANS TO JAC FOR PIP FRAUD						100522
INSURANCE REG TF	-STATE	10,140	10,140			2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT						26A1830
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						
TOTAL ISSUE.....	121,830	121,830				
=====						
WORKLOAD						3000000
INSURANCE FRAUD - FINANCIAL CRIMES,						
LEADERSHIP, AND BEST PRACTICES						
TRAINING FOR LAW ENFORCEMENT						
PERSONNEL						3001190
EXPENSES						040000
FED LAW ENFORCEMENT TF -STATE	23,850	23,850				2719 1
=====						
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
FED LAW ENFORCEMENT TF -STATE	51,150	51,150				2719 1
=====						
TOTAL: INSURANCE FRAUD - FINANCIAL CRIMES,						3001190
LEADERSHIP, AND BEST PRACTICES						
TRAINING FOR LAW ENFORCEMENT						
PERSONNEL						
TOTAL ISSUE.....	75,000	75,000				
=====						

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL  
 Issue Description/Need:

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL						3001190

DIF is requesting 75,000 in recurring authority from the forfeiture fund account in the Federal Law Enforcement Trust Fund. This funding will provide needed professional development training in financial crimes investigations for sworn investigative and managerial personnel.

The DIF management team will also benefit from these financial crimes investigative courses, and gain even greater benefit from the leadership and best practices courses rooted in the practices and principles of managing law enforcement investigative units. This training will assist field management in monitoring and directing their detectives, and controlling other resources to ensure the effectiveness and efficiency of the division. The training is necessary in order to reduce the risk exposure to the division and department when supervisors are required to make important management decisions.

In general, law enforcement agencies provide in-service training to sworn officers on an ongoing basis for the purpose of complying with mandatory requirement set forth by the Florida statutes and to maintain officer certification. However, many agencies, including the Department of Financial Services, Division of Insurance Fraud, do not go beyond the mandatory requirements because of budget limitations. It also must be considered that addressing law enforcement issues is different than addressing non law enforcement issues, because of specific statutes which govern the law enforcement officer and contract considerations.

The cost estimates for training specific to white collar crime investigations and supervision is as follows:

Cost Estimates

<u>Training Classes</u>	<u>Contracted Fees</u>	<u>Travel Expenses</u>
Money Laundering Investigations	\$9,000	\$ 3,500
Financial Crimes Investigations	\$12,050	\$ 3,600
Computer Crimes Investigations	\$12,050	\$ 3,600
Managing an Investigative Unit	\$ 6,000	\$ 9,550
Healthcare Fraud	\$12,050	\$ 3,600
	=====	=====
Totals:	\$51,150	\$23,850

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>INSURANCE FRAUD</u>										43500300
PUBLIC PROTECTION										12
<u>CONSUMER SAFETY/PROTECTION</u>										<u>1205.00.00.00</u>
WORKLOAD										3000000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL										3001190

Cost estimates are based on historical data and a survey of a reliable vendor which has previously been contracted and proven to meet and exceed our training expectations. Fees are based on quotes for "blocks" of training for a certain number of hours. Travel is based on establishing training in geographical areas in order to lessen travel and the deficiencies of taking detectives out of the field for longer periods of time. This approach will enable the training to be held in their locations so they may quickly return to their field investigations. This template for training has worked well in the past for the division.

Successful appropriation of this request will allow the Division of Insurance Fraud will conduct needed training, professional-level training, which has been missing and is mission critical to the success of our criminal investigations and the management of the agency. Investigations will be more thorough and presentations to prosecutors will be more effective. A greater percentage of presentation for prosecution to arrests ratio takes more criminals off the street and better protects the public.

Additional information and background:

The Division of Insurance Fraud (DIF) is a statewide criminal investigative agency with a mission of investigating all aspects of insurance fraud, workers' compensation fraud, and other financial related crimes. Division detectives are constantly investigating complex criminal cases, such as money laundering, mortgage fraud, workers' compensation premium fraud, money service businesses, schemes to defraud, organized crime, and other financial crimes related to insurance fraud. The Division has 149 sworn law enforcement positions statewide.

It is estimated insurance fraud costs Floridians at least \$80 billion every year. Florida is considered a haven for white collar criminal activity and these types of crimes significantly impact the Florida economy. As the economic recovery is occurring at a rate much slower than anticipated and unemployment remains high, opportunities for increased fraudulent activities and the development of more highly sophisticated scams is inevitable. Many of these are perpetrated by organized criminal enterprises using sophisticated computer technology, money service businesses (MSB's),



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>INSURANCE FRAUD</u>										43500300
PUBLIC PROTECTION										12
<u>CONSUMER SAFETY/PROTECTION</u>										<u>1205.00.00.00</u>
WORKLOAD										3000000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL										3001190

offshore accounts and other complex financial mechanisms to facilitate their criminal activity and hide illicit proceeds. Financial crimes have the potential to further destabilize Florida's economy and slow down the recovery process even further. The growth in insurance related crimes is evidenced by the continual increase, over the last five (5) years, in referrals of suspected fraudulent activity. The five year increase from FY 2007-08 to FY 2011-12 of 9916 referrals to 15,146, represents a 52.8% increase. The increase just in FY 2010-11 was 13%.

The Division must be sophisticated enough to keep ahead of these schemes to protect the public and companies that do business in Florida. Aggressive investigation and prosecution of insurance fraud and other financial crimes will be a key factor in restoring the long-term confidence in our business leaders and reducing exposure to the state and its residents. With the complexity of these crimes, the effectiveness of the division is severely compromised when a detective with limited training is assigned to an investigation of this type. By funding this issue, division detectives will be provided with professional training by acknowledged experts in the field and learn the most efficient and effective techniques for combating financial crimes and returning money to the state and victims.

The funds requested for this issue will come from the forfeiture funds account within the Federal Law Enforcement Trust Fund. No new or additional revenue is needed for this request. There are sufficient cash balances in this fund to pay for this request. As of June 30, 2012 there was a balance of more than \$890,000 in the forfeiture funds account. Considering other budget authority previously appropriated in FY 2012-13 and the budget authority being requested for all issues in the FY 2013-14 Legislative Budget Request, including this training request, there will be a balance of more than \$640,000 in the forfeiture fund. This balance does not account for anticipated revenues into the forfeiture funds account. However, the Division of Insurance Fraud expects to receive additional forfeiture funds which will continually increase the projected balance during the current and future fiscal years. As an example, \$110,869 was received in FY 2011-12, which was considered a light year for receipts, while \$205,125 was receipted in FY 2009-10 and \$379,908 in FY 2010-11.

The "Guide to Equitable Sharing" published by the U.S. Department of Justice in Section VIII (A)(1)(b) describes one of the permissible uses as follows: "Law enforcement training - the training officers, investigators, prosecutors, and law enforcement support personnel in any area that is necessary to perform official law enforcement duties."

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
INSURANCE FRAUD										43500300
PUBLIC PROTECTION										12
CONSUMER SAFETY/PROTECTION										1205.00.00.00
WORKLOAD										3000000
PROSECUTE INSURANCE FRAUD										3001260
SPECIAL CATEGORIES										100000
TRANS TO JAC FOR PIP FRAUD										100522
INSURANCE REG TF	-STATE		150,000					150,000		2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

Insurance fraud is a problem in many states. As with many crimes, different crime occurs in different areas of the state. It has been determined by the Division of Insurance Fraud and Statewide Prosecutors, Duval and Dade counties are having a spike in insurance fraud crime. This issue requests to realign 2 FTE from the Hillsborough circuit (paralegal and attorney) and fund an attorney in both Duval and Dade counties. Workers' compensation and PIP fraud will continue to be investigated and prosecuted in Hillsborough County.

Summary: Moves a paralegal and attorney position and related funding from Hillsborough circuit and funds an attorney position in both Dade and Duval circuits.

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OVERTIME PAY FOR CERTIFIED LAW ENFORCEMENT OFFICERS - FRAUD										3008A80
SPECIAL CATEGORIES										100000
OVERTIME										102331

FED LAW ENFORCEMENT TF	-STATE	100,000	100,000							2719 1
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Division of Insurance Fraud Overtime Pay Issue

Issue Description/Need:

The Division of Insurance Fraud (DIF) is requesting 100,000 in recurring funds for overtime spending authority in order

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>INSURANCE FRAUD</u>										43500300
PUBLIC PROTECTION										12
<u>CONSUMER SAFETY/PROTECTION</u>										<u>1205.00.00.00</u>
WORKLOAD										3000000
OVERTIME PAY FOR CERTIFIED LAW										
ENFORCEMENT OFFICERS - FRAUD										3008A80

to provide line personnel and their supervisors overtime pay when required.

The DIF is charged with the statutory responsibility of investigating general insurance and workers' compensation fraud for the State of Florida. Within that purview, sworn officers investigate white collar crimes such as mortgage fraud, premium fraud, medical provider fraud, annuity fraud, PIP fraud, workers compensation fraud, staged accident rings, etc. Investigations require long hours which exceed the span of normal working business hours. These investigations are highly complex, labor intensive, and time consuming; even so, the Division's performance standards and customer expectations continue to increase each year. Additionally, the need for assistance to other federal, state and local law enforcement partners has increased significantly. This is especially true for participation in several task forces (mortgage fraud, auto theft, money laundering, workers compensation, etc.) across the state, including partnerships with the Drug Enforcement Agency, Federal Bureau of Investigation, North Florida High Intensity Drug Trafficking Area, Attorney General, and others. Referrals and tips for all insurance related crimes received during Fiscal Year 2011-12 increased 13.7 percent over the number of tips received during FY 2010-11. This level of increase is expected to continue into the FY 2012-13 and FY 2013-14, placing additional pressure on the existing workload.

Funding this issue will help provide relief for the increase in insurance fraud cases and related workload currently assigned to DIF personnel throughout the state and further, will ultimately result in a positive impact on the Division's mission of serving and protecting the citizens of Florida from acts of insurance fraud.

Additional information and background:

It is mission critical that DIF maintains and improves its effectiveness in protecting the health, safety and welfare of Florida's residents. Our sworn officers are often called upon to assist the State Emergency Operations Center (Emergency Services Functions (ESF) 16) during times of manmade and natural disasters (hurricanes, floods, oil spills, etc.). Such disaster situations require a law enforcement presence and investigation efforts above and beyond the normal core mission of the division. Insurance claims and fraudulent activity also greatly increase after such incidents and can last for years. While DIF receives federal reimbursement for some of these activities, not every service provided is reimbursable. There is usually a considerable lag between the time the service is required and the associated reimbursement being received. The Division must front the cost of this expense not knowing if, or when, it will receive reimbursement.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
INSURANCE FRAUD										43500300
PUBLIC PROTECTION										12
CONSUMER SAFETY/PROTECTION										1205.00.00.00
WORKLOAD										3000000
OVERTIME PAY FOR CERTIFIED LAW										
ENFORCEMENT OFFICERS - FRAUD										3008A80

The increase, to twelve, in dedicated prosecutors across the state has furthered DIF's goal for increases in criminal presentations and court ordered restitution to victims. The most recent available statistics provided by the Coalition Against Insurance Fraud (CAIF) ranked Florida 4th among all states in the number of referrals received. Florida ranked first in court ordered restitution, and ranked second in all other key standard measurements, including: arrests; cases presented for prosecution; convictions; and number of cases opened for investigation. Over the past three fiscal years, the number of arrests made by DIF has exceeded 800 annually. In FY 2011-12, the DIF made 1224 arrests, a 22.7% increase from the prior fiscal year, and 1,242 presentations for prosecution, a 2.3% increase over the prior fiscal year. Increased workload for future fiscal years is anticipated to continue as shown by last year's trend.

Additionally, public awareness and outreach tasks (such as the Florida Housing Help Workshop, Consumer Service workshop and outreach projects, industry presentations, law enforcement community and insurance fraud industry educational projects), along with other agency assistance programs have created additional workloads for investigative personnel. Combined with reductions in support personnel these additional activities have resulted in the distribution of additional duties to various supervisors, and line level employees, resulting in an increase in time spent on case related paperwork, administrative paperwork, citizen inquiries and public records requests. Many detectives choose to take leave in lieu of working overtime, which in turn creates increased staffing needs to already strained resources, further necessitating a need for additional resources. As a result of the increase in workload, detectives could feasibly work between four and ten hours of overtime each month; hours beyond their regular schedules.

The State of Florida (represented by the Department of Management Services) negotiated a new labor contract with the state's police union (PBA), and within that contract are stipulations of limits on the state's ability to require sworn employees to adjust overtime hours downward, or nullify excess work hours (those hours exceeding 160 in a 28 day period). There are no excess salaries and benefits available to cover the overtime, because the division is getting closer to having all of its positions filled. Absent this funding, overtime without adequate appropriation is a foreseeable funding liability as demands increase and resources decline.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
DIVISION OF INSURANCE FRAUD -						
ANALYTICS SOFTWARE LICENSE AND						
MAINTENANCE COSTS						36330C0
EXPENSES						040000
FED LAW ENFORCEMENT TF -STATE	9,000	9,000				2719 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
FED LAW ENFORCEMENT TF -STATE	15,000	15,000				2719 1
TOTAL: DIVISION OF INSURANCE FRAUD -						36330C0
ANALYTICS SOFTWARE LICENSE AND						
MAINTENANCE COSTS						
TOTAL ISSUE.....	24,000	24,000				

AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Issue Title: Division of Insurance Fraud - Analytics Software Licenses and Maintenance

Issue Description/Need:

The goal is to work with a basic analytics tool to start determining what data sources we want to analyze and what information we want the software to capture. The division wants to integrate a basic entry-level analytics software package to analyze claims data in NetMap with historical in house data located in our current case tracking system (ACISS).

DIF believes that Insurance Services Office, Inc. (ISO) is a sole source vendor as they are the only company with property and casualty insurance claim data through the National Insurance Crime Bureau (NICB). In addition, their analytics software product (NetMap) is compatible with the present data system and offers the user the capability of uploading and downloading additional data sources.

Additionally, the Division will be able to receive an analyze data in real time, allowing our investigators to keep

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
DIVISION OF INSURANCE FRAUD -						
ANALYTICS SOFTWARE LICENSE AND						
MAINTENANCE COSTS						36330C0

current with the crime trends. This gives the divisions an advantage in enforcing the statutes that prevent insurance fraud and a tool to help keep those who prey on the innocent and unsuspecting off the streets.

Visual Analytics Software Maintenance:

There are minimal recurring costs associated with the maintenance and support of the software, which will ensure the maximum effectiveness of the software for the analytical aspect of enforcement. The division is requesting 15,000 in recurring budget authority for this maintenance of the analytical software program.

NetMap Software Licenses:

Division of Insurance Fraud (DIF) is requesting the purchase of a software licenses to use analytics to help analyze and interrupt data (incoming and historical). The price of a license is \$9,000 per year, and will be needed for a minimum of two more years (based on the current 3 year contract). The purchase of one license would be \$9,000 per year for a three-year contract totaling \$27,000. The first year would be prorated for the number of months remaining in the current fiscal year. In addition, the third year would be prorated for the balance of that fiscal year. The software would be installed on one stand-alone computer which would be used in Tallahassee (HQ). According to the vendor, training is very extensive and user oriented. With the purchase of one license, the vendor will train two analysts, a primary user and a backup, for the stand-alone system.

Additional information and background:

The Division of Insurance Fraud (DIF) is responsible for investigating insurance fraud crimes associated with personal injury protection insurance fraud, insurance premium fraud, workers' compensation fraud, workers' compensation premium avoidance and diversions, insurer insolvency fraud, unauthorized entity fraud, and insurance agent crimes. The division utilizes analytical software that fully integrates data from all required sources and has the capacity to add new data bases when discovered. It has given DIF personnel the ability to import and analyze data in real time; provides full audit logging capabilities; and demonstrates past experience in deploying solutions in investigating cases in the areas of money service business data, insurance claims data, workers' compensation data, mortgage fraud data, etc.

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES					43000000
PGM: LICNSNG/CNSMER PROTEC					43500000
<u>INSURANCE FRAUD</u>					43500300
PUBLIC PROTECTION					12
<u>CONSUMER SAFETY/PROTECTION</u>					<u>1205.00.00.00</u>
PROGRAM OR SERVICE-LEVEL					
INFORMATION TECHNOLOGY					3630000
DIVISION OF INSURANCE FRAUD -					
ANALYTICS SOFTWARE LICENSE AND					
MAINTENANCE COSTS					36330C0

The funds requested for this issue will come from the forfeiture funds account within the Federal Law Enforcement Trust Fund. No new or additional revenue is needed to be found for this request. There are sufficient cash balances in this account for this request. As of June 30, 2012 there was a balance of \$893,972 in the forfeiture funds account. Considering all budget authority already appropriated in FY 2012-13 General Appropriations Act, budget amendment requests in FY 2012-13, and the budget authority being requested for all issues in FY 2013-14 Legislative Budget Request, including this training request, there will be a balance of \$644,972 in the forfeiture funds. This balance does not take into account for any new revenues into the forfeiture funds account. However, the Division of Insurance Fraud expects to receive additional forfeiture funds which will continually increase the projected balance during the current and future fiscal years. As an example, \$110,869 was received in FY 2011-12, which was considered a light year for receipts. Fiscal Year 2009-10 produced \$205,125 in forfeiture receipts and in FY 2010-11 \$379,908.08 were received.

The "Guide to Equitable Sharing" published by the U.S. Department of Justice in Section VIII (A)(1)(d) describe one of the permissible uses as follows: "Law enforcement equipment - the costs associated with the purchase, lease, maintenance, or operation of law enforcement equipment for use by law enforcement personnel that supports law enforcement activities. For example, furniture, file cabinets, office supplies, telecommunications equipment, copiers, safes, fitness equipment, computers, computer accessories and software, body armor, uniforms, firearms, radios, cellular telephones, electronic surveillance equipment, and vehicles (e.g., patrol cars and surveillance vehicles)."

NetMap Analytics, a subsidiary of Insurance Services Office, Inc., (ISO), has created a suite of products that use visual link-analysis technology to help you see and fight insurance fraud. NetMap software helps you discover critical, previously hidden connections within data. Seeing those connections - within company data, in data from external sources, or through a combination of sources - gives investigators insight and knowledge to streamline investigations.

NetMap's advanced link-analysis technology helps agencies analyze critical questions based on large quantities of data - and make the results easy to understand. NetMap finds all data elements applicable to a question and draws a picture of the connections among those elements - revealing relationships that you couldn't see before. NetMap's power lies in its unique combination of link analysis and data visualization. Using sophisticated algorithms, the software works quickly and efficiently to find every pertinent connection among billions of pieces of data - not only direct links and relationships, but indirect links as well. Data visualization makes the implications of those connections easy to understand.

NetMap software will show the relationship between suspects, linking claim data, addresses, phone numbers, vehicles, clinics, etc. Currently Division analysts work closely with detectives as they develop insurance fraud cases. These cases may involve PIP fraud, mortgage fraud, workers' compensation fraud, etc. With the growing issues with PIP fraud in

COL A12	COL A14	COL A15	COL A16	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12
AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	AGY FIN REQ	AGY FIN REQ	AGY FIN REQ	AGY FIN REQ	AGY FIN REQ	AGY FIN REQ	AGY FIN REQ	AGY FIN REQ
FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
FINANCIAL SERVICES												43000000
PGM: LICNSNG/CNSMER PROTEC												43500000
INSURANCE FRAUD												43500300
PUBLIC PROTECTION												12
CONSUMER SAFETY/PROTECTION												1205.00.00.00
PROGRAM OR SERVICE-LEVEL												
INFORMATION TECHNOLOGY												3630000
DIVISION OF INSURANCE FRAUD -												
ANALYTICS SOFTWARE LICENSE AND												
MAINTENANCE COSTS												36330C0

the state, this software would hopefully enable the analyst to provide the detectives with the link between suspects within certain geographical areas of the state as they move operations through the state (organized schemes to defraud). The time savings for research in several databases would allow for time spent on additional cases.

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PROGRAM ISSUES												4000000
DIVISION OF INSURANCE FRAUD -												
ADDITIONAL RESOURCES FOR TARGETED												
INVESTIGATION OF CRIMINAL ACTIVITY												4001510
EXPENSES												040000
FED LAW ENFORCEMENT TF	-STATE	70,000	70,000									2719 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: DIVISION OF INSURANCE FRAUD - ADDITIONAL RESOURCES FOR TARGETED INVESTIGATION OF CRIMINAL ACTIVITY

Issue Description/Need:

The Division of Insurance Fraud (DIF) is requesting 70,000 in additional expenses authority, from the forfeiture funds received, for the purpose of operational travel and other expenses related to expanded and aggressive strategies to combat insurance fraud. The Division of Insurance Fraud is a statewide criminal investigative agency with a mission of investigating all aspects of insurance fraud, workers' compensation fraud, and other financial related crimes. Division detectives are constantly investigating complex criminal cases, such as PIP fraud rings, money laundering, mortgage fraud, workers' compensation premium fraud, money service businesses, schemes to defraud, organized crime, and other financial crimes related to insurance fraud.

Providing funding for the implementation of more aggressive strategies will allow DIF greater flexibility and mobility in allocating its resources (both manpower and equipment) where, and precisely when, it is needed. With the implementation of the new PIP legislation, DIF is working with its partner law enforcement agencies (county sheriff's offices, the



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>INSURANCE FRAUD</u>										43500300
PUBLIC PROTECTION										12
<u>CONSUMER SAFETY/PROTECTION</u>										<u>1205.00.00.00</u>
PROGRAM ISSUES										4000000
DIVISION OF INSURANCE FRAUD -										
ADDITIONAL RESOURCES FOR TARGETED										
INVESTIGATION OF CRIMINAL ACTIVITY										4001510

Florida Highway Patrol, as well as federal agencies) to conduct sting operations and sweeps of suspected organized PIP rings and fraudulent medical providers. Temporarily transferring sworn manpower from other offices within the state, as well as moving limited technological resources (such as surveillance vehicles) to strengthen the staffing of the lead field office, is critical to the success of this strategy.

The approval of funding resulting in the flexibility as outlined will provide DIF the ability to move its resources and make adjustments to attack the criminal element in the state as insurance fraud reinvents itself to circumvent new and changing legislation.

Additional information and background:

As fraudulent activities expand into previously "untainted" areas of the state from high crime regions (migrating northward), it is necessary to have staffing with certain levels of expertise mobile enough to share that knowledge and be available as a physical presence to ensure DIF's less experienced investigators have the tools necessary to be successful. Insuring these investigations are well equipped and brought successfully to prosecution. For an example, certain units in southeast Florida (Palm Beach, Broward, and Dade counties) specializing in Workers' Compensation fraud as related to criminal money service businesses, have experienced "big ticket" successes in breaking organized, illegal activities. They have developed a template for investigation, resulting in arrests. DIF is now receiving tips and beginning to experience an increase in activity for criminal money service business activity in the Orlando and Tampa Bay areas. The ability to temporarily move this expertise is mission critical in developing a more professional staff and removing criminals from the street.

Historically, operational related travel has been important to the successful enforcement of insurance fraud laws and has been used effectively for training and moving resources (personnel and otherwise) when required. The three year average expenditures for Fiscal Years 2009-10, 2010-11, and 2011-12 is (\$97,722). The Division of Insurance Fraud's request of 70,000 reflects its need and commitment to responsibly manage its budget authority.

The Division of Insurance Fraud has been in compliance with the restrictions for mission critical in state travel as imposed in Chapter 2012-119 Laws of Florida and the inter office memorandum on travel released by Chief Financial Officer Jeff Atwater. However, the memorandum does not apply for law enforcement purposes where law enforcement personnel is performing investigative functions or providing testimony in criminal proceedings. DIF has been very cost conscientious with their travel the last few years and has reduced that expenditure significantly. The expenditures for operational related travel for the fiscal years referenced above were: FY 2009-10 - (\$156,326); FY 2010-11 - (\$83,333); and FY





	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF	-STATE	93,199	93,199			2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	7,824	7,824			2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	40,729	40,729			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....		119.00	119.00			
TOTAL ISSUE.....		7,880,708	7,880,708			
TOTAL SALARY RATE.....		4,767,296	4,767,296			
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
INSURANCE REG TF	-STATE	8,722	8,722			2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	14,695	14,695			2393 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	13,667	13,667			2393 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	986-	986-			2393 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
INSURANCE REG TF	-STATE	1,000-	1,000-			2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).

This issue nets to zero with corresponding issue code 160M020.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	1,000	1,000			2393 1
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).						
This issue nets to zero with corresponding issue code 160M010.						
*****						
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		231,691-			231,691-	
=====						
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	5.00-	231,691-		5.00-	2393 1
=====						
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		5.00-			5.00-	
TOTAL ISSUE.....			231,691-			231,691-
TOTAL SALARY RATE.....		231,691-			231,691-	
=====						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0016 001	5.00-	231,691-			231,691-	0.00	231,691-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							231,691-
	5.00-	231,691-			231,691-		231,691-

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REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD  
 SALARIES AND BENEFITS

2000110  
 010000

INSURANCE REG TF -STATE 15,936 15,936 2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to





	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
DEDUCT						2000130
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	300,000-	300,000-			2393 1
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN						2000130
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
DEDUCT						
TOTAL ISSUE.....		315,000-	315,000-			

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the deduct side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves budget from various categories, except salaries, for realignment. This issue with corresponding issue code 2000140 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
OTHER PERSONAL SERVICES						030000
INSURANCE REG TF	-STATE	100,000	100,000			2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						2000140
SPECIAL CATEGORIES						100000
OPERATION/MOTOR VEHICLES						102289
INSURANCE REG TF						2393 1
-STATE	15,000	15,000				
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN						2000140
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
ADD						
TOTAL ISSUE.....	115,000	115,000				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	68,335	68,335			2393 1
WORKLOAD						3000000
UTILIZATION OF CLASS ACTION						
SETTLEMENTS TO EXPAND CONSUMER						
OUTREACH PROGRAM						3000200
EXPENSES						040000
INSURANCE REG TF	-STATE	15,000			15,000-	2393 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	135,000			135,000-	2393 1
TOTAL: UTILIZATION OF CLASS ACTION						3000200
SETTLEMENTS TO EXPAND CONSUMER						
OUTREACH PROGRAM						
TOTAL ISSUE.....		150,000			150,000-	

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Provide Proactive Consumer Outreach on Insurance Financial Services Products

Issue Description/Need:

Financial literacy is a key component in the health and stability of Florida's economy. Every day, Floridians are victimized by unscrupulous agents and scam artists who use deceptive marketing and sales tactics to target consumers who are often unaware of the pitfalls of making uneducated financial decisions.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
WORKLOAD						3000000
UTILIZATION OF CLASS ACTION						
SETTLEMENTS TO EXPAND CONSUMER						
OUTREACH PROGRAM						3000200

Using funds that have been specifically received and reserved for consumer outreach, the department will provide consumer outreach activities and educational information on insurance and financial topics and how to avoid frauds and scams. Additionally, the Department will develop an outreach campaign to educate consumers on the services offered by the Division. This will result in an increase in consumers' awareness on who to call for help or educational materials and to report potential fraudulent activities.

The department will be able to increase educational and outreach efforts to seniors, non-English and limited English speaking communities, first-time insurance buyers, and small business owners. This will aid them in making sound financial decisions and avoiding unnecessary monetary losses. Outreach activities, including television, radio, online, and print advertising, will help the department target these specific consumers.

Through close interaction with the public, the department will be able to identify trends in illegal activities, develop and deliver effective outreach programs and enhance our assistance to consumers before they become victimized. If this recurring issue is not funded, these educational activities will cease, resulting in higher numbers of Floridians who will be victimized by unscrupulous insurers, insurance agents, and financial services entities. This will also result in higher costs for insurance and financial products, as the cost of fraud is passed on to the consumer.

This issue requests a total of 150,000 in recurring authority: 135,000 in contracted services; and 15,000 in expenses. Amended 2013-14 Narrative after February 1, 2013.

The original legislative budget request (LBR) included issues intended to illustrate resource options for increasing department productivity in selected, mission critical areas. Since these resource needs are significant, the amended LBR removed various issues from consideration, in order to focus on essential operations for streamlining and increased organizational efficiencies. The department will continue to streamline operations and increase organizational efficiencies as it works with the Legislature to ensure the department's core functions are efficiently and effectively fulfilled.

Summary: This issue deleted the original issue entirely.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
TOTAL: CONSUMER SAFETY/PROTECTION						1205.00.00.00
BY FUND TYPE						
	119.00	114.00			5.00-	
TRUST FUNDS.....	7,935,141	7,569,386			365,755-	2000
SALARY RATE.....	4,767,296	4,535,605			231,691-	
	=====	=====	=====	=====	=====	



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
FUNERAL/CEMETERY SERVICES						43500500
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
OPERATION/MOTOR VEHICLES						102289
REGULATORY TRUST FUND -STATE	8,700	8,700				2573 1
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE	8,751	8,751				2573 1
LEASE/PURCHASE/EQUIPMENT						105281
REGULATORY TRUST FUND -STATE	2,962	2,962				2573 1
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	12,458	12,458				2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	23.00	23.00				
TOTAL ISSUE.....	1,927,196	1,927,196				
TOTAL SALARY RATE.....	1,032,727	1,032,727				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
REGULATORY TRUST FUND -STATE	819	819				2573 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>FUNERAL/CEMETERY SERVICES</u>						43500500
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	2,973	2,973				2573 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	2,763	2,763				2573 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	302-	302-				2573 1
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....	62,542-				62,542-	
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	2.00-	62,542-			2.00-	62,542- 2573 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>FUNERAL/CEMETERY SERVICES</u>						43500500
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		2.00-			2.00-	
TOTAL ISSUE.....			62,542-			62,542-
TOTAL SALARY RATE.....		62,542-			62,542-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
 FUNERAL/CEMETERY SERVICES 43500500  
 PUBLIC PROTECTION 12  
 REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0030 001	2.00-	62,542-		62,542-	0.00	62,542-
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						
	2.00-	62,542-		62,542-		62,542-

REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110  
 SALARIES AND BENEFITS 010000  
 REGULATORY TRUST FUND -STATE 3,621 3,621 2573 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>FUNERAL/CEMETERY SERVICES</u>						43500500
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						2000230
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	30,000	30,000				2573 1
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO						2000230
MATCH RECURRING OPERATING						
EXPENDITURES - ADD						
TOTAL ISSUE.....	30,000	30,000				
TOTAL SALARY RATE.....	30,000	30,000				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue is the add side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates the salary budget where there is additional need. This issue with corresponding issue code 2000220 will net to zero at the department level.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	30,000			30,000	0.00	30,000

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: LICNSNG/CNSMER PROTEC 43500000  
 FUNERAL/CEMETERY SERVICES 43500500  
 PUBLIC PROTECTION 12  
 REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN SALARY BUDGET AUTHORITY TO  
 MATCH RECURRING OPERATING  
 EXPENDITURES - ADD 2000230

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
						30,000
2573 REGULATORY TRUST FUND						
0.00	30,000			30,000		30,000

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS						
C0000 001	0.00	30,000		30,000	0.00	30,000
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						30,000
0.00	30,000			30,000		30,000

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
FUNERAL/CEMETERY SERVICES										43500500
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
CONSOLIDATE INFORMATION TECHNOLOGY										
(IT) CONTRACTS - DEDUCT										2007100
SPECIAL CATEGORIES										100000
CONTRACTED SERVICES										100777
REGULATORY TRUST FUND	-STATE	13,776-	13,776-							2573 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Consolidate Information Technology (IT) Contracts

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery & Consumer Services for three existing contracts between the divisions and Information System of Florida (ISF).

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications within the Division of State and Funeral, Cemetery & Consumer Services are: Agent & Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), and Internet Appointment module of ALIS (eAppoint). This issue will transfer \$13,776 from the Division of State and Funeral, Cemetery & Consumer Services to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

Amended 2013-14 Narrative after February 1, 2013.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery and Consumer Services for three existing contracts.

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications within the Division of State and

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES

FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>FUNERAL/CEMETERY SERVICES</u>						43500500
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
CONSOLIDATE INFORMATION TECHNOLOGY						
(IT) CONTRACTS - DEDUCT						2007100

Funeral, Cemetery and Consumer Services are: Agent and Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), and Internet Appointment module of ALIS (eAppoint). This issue will transfer \$13,776 from the Division of State and Funeral, Cemetery and Consumer Services to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

Summary: New narrative

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION SALARIES AND BENEFITS						26A1830 010000
REGULATORY TRUST FUND -STATE	13,815	13,815				2573 1
=====						

WORKLOAD						3000000
FUNERAL AND CEMETERY INVESTIGATIONS						3000010
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND -STATE		150,000	150,000		150,000	2573 1
=====						

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

The division is in need of some more in depth investigations. This funding will allow the division to contract with outside auditors to complete audits of some funeral homes.



COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>FUNERAL/CEMETERY SERVICES</u>										43500500
PUBLIC PROTECTION										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
WORKLOAD										3000000
FUNERAL AND CEMETERY INVESTIGATIONS										3000010

Summary: This is a new issue.

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TOTAL: REGULATION AND LICENSING										<u>1204.00.00.00</u>
BY FUND TYPE										
	23.00		21.00					2.00-		
TRUST FUNDS.....	1,963,488		2,054,567		150,000				91,079	2000
SALARY RATE.....	1,062,727		1,000,185					62,542-		
=====										



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
FEDERAL GRANTS TRUST FUND -RECPNT	60,527	60,527				2261 9
INSURANCE REG TF -STATE	185,791	185,791				2393 1
-----						
TOTAL APPRO.....	246,318	246,318				
=====						
OPERATION/MOTOR VEHICLES						102289
FEDERAL GRANTS TRUST FUND -RECPNT	20,000	20,000				2261 9
=====						
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF -STATE	12,036	12,036				2393 1
=====						
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF -STATE	9,300	9,300				2393 1
=====						
TR/DMS/HR SVCS/STW CONTRCT						107040
FEDERAL GRANTS TRUST FUND -RECPNT	22,913	22,913				2261 9
INSURANCE REG TF -STATE	16,850	16,850				2393 1
-----						
TOTAL APPRO.....	39,763	39,763				
=====						
DATA PROCESSING SERVICES						210000
OTHER DATA PROCESSING SVCS						210014
FEDERAL GRANTS TRUST FUND -RECPNT	5,000	5,000				2261 9
INSURANCE REG TF -STATE	10,000	10,000				2393 1
-----						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
DATA PROCESSING SERVICES						210000
OTHER DATA PROCESSING SVCS						210014
TOTAL APPRO.....	15,000	15,000				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	63.00	63.00				
TOTAL ISSUE.....	5,537,736	5,537,736				
TOTAL SALARY RATE.....	4,291,185	4,291,185				
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF -STATE	1,126	1,126				2393 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	383	383				2021 1
FEDERAL GRANTS TRUST FUND -RECPNT	6,968	6,968				2261 9
INSURANCE REG TF -STATE	4,833	4,833				2393 1
TOTAL APPRO.....	12,184	12,184				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	251	251				2021 1
FEDERAL GRANTS TRUST FUND -RECPNT	4,577	4,577				2261 9
INSURANCE REG TF -STATE	3,175	3,175				2393 1
TOTAL APPRO.....	8,003	8,003				
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
FEDERAL GRANTS TRUST FUND -RECPNT	555-	555-				2261 9
INSURANCE REG TF -STATE	408-	408-				2393 1
TOTAL APPRO.....	963-	963-				
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		632,930-			632,930-	
SALARIES AND BENEFITS						010000
FEDERAL GRANTS TRUST FUND -RECPNT		1.00-			1.00-	2261 9
		632,930-			632,930-	
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....		1.00-			1.00-	
TOTAL ISSUE.....		632,930-			632,930-	
TOTAL SALARY RATE.....		632,930-			632,930-	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
PUBLIC ASSISTANCE FRAUD										43500700
PUBLIC PROTECTION										12
CONSUMER SAFETY/PROTECTION										1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN CURRENT POSITIONS TO										
FULFILL MISSION CRITICAL FUNCTIONS										
- DEDUCT										2000100

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS							
C0022 001	1.00-	632,930-			632,930-	0.00	632,930-
TOTALS FOR ISSUE BY FUND							
2261 FEDERAL GRANTS TRUST FUND							632,930-
	1.00-	632,930-			632,930-		632,930-

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REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD  
 SALARIES AND BENEFITS

2000110  
 010000

INSURANCE REG TF -STATE 12,469 12,469 2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013.

This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110

fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.  
 Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							12,469
							-----
							12,469
							=====

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REALIGN SALARY BUDGET AUTHORITY TO							
MATCH RECURRING OPERATING							
EXPENDITURES - DEDUCT							2000220
SALARY RATE							000000
SALARY RATE.....	280,000-	280,000-					
	=====	=====					



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - DEDUCT						2000220
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	150,049-	150,049-				2021 1
FEDERAL GRANTS TRUST FUND -STATE	129,951-	129,951-				2261 1
TOTAL APPRO.....	280,000-	280,000-				
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO						2000220
MATCH RECURRING OPERATING						
EXPENDITURES - DEDUCT						
TOTAL ISSUE.....	280,000-	280,000-				
TOTAL SALARY RATE.....	280,000-	280,000-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 This issue is the deduct side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves salary budget for realignment. This issue with corresponding issue code 2000230 will net to zero at the department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	150,049-			150,049-	0.00	150,049-
C0001 001	0.00	129,951-			129,951-	0.00	129,951-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN SALARY BUDGET AUTHORITY TO						
MATCH RECURRING OPERATING						
EXPENDITURES - DEDUCT						2000220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							150,049-
2261 FEDERAL GRANTS TRUST FUND							129,951-
	0.00	280,000-			280,000-		280,000-

A14 - AGY AMD REQ FY 2013-14

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	150,049-			150,049-	0.00	150,049-
C0001 001	0.00	129,951-			129,951-	0.00	129,951-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							150,049-
2261 FEDERAL GRANTS TRUST FUND							129,951-
	0.00	280,000-			280,000-		280,000-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	1,255	1,255				2021 1
FEDERAL GRANTS TRUST FUND -RECPNT	22,885	22,885				2261 9
INSURANCE REG TF -STATE	15,875	15,875				2393 1
TOTAL APPRO.....	40,015	40,015				
WORKLOAD						3000000
PUBLIC ASSISTANCE FRAUD -						
ADDITIONAL RESOURCES TO COMBAT						
ELECTRONIC BENEFIT TRANSACTIONS						
(EBT) FRAUD						3001130
OTHER PERSONAL SERVICES						030000
FEDERAL GRANTS TRUST FUND -STATE	113,400	113,400				2261 1
INSURANCE REG TF -STATE	122,850	122,850				2393 1
TOTAL APPRO.....	236,250	236,250				
EXPENSES						040000
FEDERAL GRANTS TRUST FUND -STATE	16,142	16,142				2261 1
INSURANCE REG TF -STATE	17,488	17,488				2393 1
TOTAL APPRO.....	33,630	33,630				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
PUBLIC ASSISTANCE FRAUD -						
ADDITIONAL RESOURCES TO COMBAT						
ELECTRONIC BENEFIT TRANSACTIONS						
(EBT) FRAUD						3001130
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
FEDERAL GRANTS TRUST FUND -STATE	528	528				2261 1
INSURANCE REG TF -STATE	572	572				2393 1
TOTAL APPRO.....	1,100	1,100				
LEASE/PURCHASE/EQUIPMENT						105281
FEDERAL GRANTS TRUST FUND -STATE	480	480				2261 1
INSURANCE REG TF -STATE	520	520				2393 1
TOTAL APPRO.....	1,000	1,000				
TR/DMS/HR SVCS/STW CONTRCT						107040
FEDERAL GRANTS TRUST FUND -STATE	566	566				2261 1
INSURANCE REG TF -STATE	614	614				2393 1
TOTAL APPRO.....	1,180	1,180				
TOTAL: PUBLIC ASSISTANCE FRAUD -						3001130
ADDITIONAL RESOURCES TO COMBAT						
ELECTRONIC BENEFIT TRANSACTIONS						
(EBT) FRAUD						
TOTAL ISSUE.....	273,160	273,160				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue title: Electronic Benefit Transfer (EBT) Trafficking Squad Proposal

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
PUBLIC ASSISTANCE FRAUD -						
ADDITIONAL RESOURCES TO COMBAT						
ELECTRONIC BENEFIT TRANSACTIONS						
(EBT) FRAUD						3001130

Link to Long-range Program Plan:

Goal 2: The Department will protect the health, safety and welfare of the public.

Issue Description:

The Division of Public Assistance Fraud (PAF) as the Florida State Law Enforcement Bureau (SLEB) for Electronic Benefit Transfer (EBT) trafficking investigations is responsible for the investigation of trafficking in SNAP (Food Stamp) benefits for both authorized retail grocery stores and by recipients of Supplemental Nutrition Assistance Program (SNAP) benefits. SNAP trafficking occurs when a retail store owner or employee accepts EBT benefits in exchange for cash. Both the store owner/employee and recipient are liable for the criminal act which constitutes a felony when benefits greater than \$200 are trafficked. Investigations of retail grocery stores authorized to accept SNAP EBT benefits often result in the Store owners/employees being prosecuted and then the store is disqualified from future participation in SNAP EBT.

Further investigation of the recipients, who have been illegally using their SNAP EBT cards at these stores, is necessary to address the criminal activity being perpetrated by both the benefits recipients and the stores. These investigations can result in hundreds of recipients being disqualified from SNAP participation, resulting in thousands of dollars in SNAP program cost avoidance and collection of lost benefit dollars through recipient repayment. Some of these dollars are also retained by the State of Florida as incentive money for taking action to fight fraud in benefit programs. Each recipient disqualification results in a savings in program dollars equal to the number of months of disqualification times \$200. An example is a first time disqualification results in a saving in program dollars of \$2,400 for each recipient. Stores who are caught illegally redeeming EBT benefits often have hundreds of recipients who are also participating in this criminal activity.

In order to target investigations related to SNAP EBT fraud, PAF is requesting 273,160 in additional appropriation: 236,250 in other personal services (OPS); 33,630 in expenses; 1,100 in contracted services; 1,180 in HR outsourcing; and 1,000 in lease/office equipment.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	OVER(UNDER)				
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>PUBLIC ASSISTANCE FRAUD</u>										43500700
PUBLIC PROTECTION										12
<u>CONSUMER SAFETY/PROTECTION</u>										<u>1205.00.00.00</u>
WORKLOAD										3000000
PUBLIC ASSISTANCE FRAUD -										
ADDITIONAL RESOURCES TO COMBAT										
ELECTRONIC BENEFIT TRANSACTIONS										
(EBT) FRAUD										3001130

Additional information and background:

The recent increased activity by PAF in the SLEB program will result in a significant increase in stores being disqualified for trafficking in benefits. PAF is requesting consideration by the Chief Financial Officer (CFO) to create a Specialized EBT Trafficking Squad for the purpose of investigating recipients suspected of trafficking in SNAP benefits. These investigations could be handled by a specialized unit trained and equipped to specialize in these cases and therefore increase productivity through efficiencies in mass production of similar duties and functions. These types of investigations currently occur in the field offices however the investigative staff members are also engaged in other high priority investigations that limit their ability to address trafficking in great numbers. This unit would focus solely on the trafficking cases and therefore would be able to complete more investigations per employee than currently takes place.

The following outlines the basics of this centralized effort and the anticipated outcomes from this squad. There is a significant increase in the return on Investment for a centralized unit. Workload expectations for a 40 hour equivalent OPS position are higher and would require a minimum completion of 12 signed Administrative Disqualification Waivers per month equal to 144 signed waivers per year.

Each Full Time OPS Employee completing 144 cases would yield the following results:

- 144 cases completed = \$ 100,800 in SNAP benefits lost due to trafficking.
- Disqualification of 144 SNAP recipients equals \$ 439,200 in SNAP benefits cost avoidance
- Total Impact per FTE equals \$ 540,000
- Estimated Cost per FTE including expenses equals \$ 26,883 Return on Investment (ROI) equals 2008.70%, or 20.08 times the cost per FTE.

Basis of return on investment for these positions. These figures are approximate averages.

- Trafficking cases average approx \$700.00 in SNAP benefits trafficked per case.
- 90 % of cases Disqualified result in a 12 month Disqualification
- 7.5% of cases Disqualified result in a 24 month Disqualification
- 2.5% of cases Disqualified result in a 99 month or lifetime Disqualification
- 130 cases X 12 months = 1,560 months of disqualification and \$ 312,000 in cost avoidance

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
PUBLIC ASSISTANCE FRAUD -						
ADDITIONAL RESOURCES TO COMBAT						
ELECTRONIC BENEFIT TRANSACTIONS						
(EBT) FRAUD						3001130
<p>10 cases X 24 months = 240 months of disqualification and \$ 48,000 in cost avoidance 4 cases X 99 months = 396 months of disqualification and \$ 79,200 in cost avoidance</p> <p>*****</p>						
PROGRAM ISSUES						4000000
PUBLIC ASSISTANCE FRAUD -						
RECRUITMENT AND RETENTION OF						
QUALIFIED FINANCIAL INVESTIGATORS						4002A10
SALARY RATE						000000
SALARY RATE.....	329,081	329,081				
=====						
SALARIES AND BENEFITS						010000
FEDERAL GRANTS TRUST FUND -STATE	178,225	178,225				2261 1
INSURANCE REG TF -STATE	193,077	193,077				2393 1
-----						
TOTAL APPRO.....	371,302	371,302				
=====						
TOTAL: PUBLIC ASSISTANCE FRAUD -						4002A10
RECRUITMENT AND RETENTION OF						
QUALIFIED FINANCIAL INVESTIGATORS						
TOTAL ISSUE.....	371,302	371,302				
TOTAL SALARY RATE.....	329,081	329,081				
=====						

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: PUBLIC ASSISTANCE FRAUD - RECRUITMENT AND RETENTION OF QUALIFIED FINANCIAL INVESTIGATORS

Reference to Long-Range Program Plan: Goal 2: The department will protect the health, safety and welfare of the public.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
PUBLIC ASSISTANCE FRAUD										43500700
PUBLIC PROTECTION										12
CONSUMER SAFETY/PROTECTION										1205.00.00.00
PROGRAM ISSUES										4000000
PUBLIC ASSISTANCE FRAUD -										
RECRUITMENT AND RETENTION OF										
QUALIFIED FINANCIAL INVESTIGATORS										4002A10

The Department of Financial Services (DFS) is losing well trained and experienced staff to other local, state, and federal agencies and private employers due to a very limited career path in the Division of Public Assistance Fraud (PAF). The DFS currently struggles to retain the skilled, trained and experienced investigators due to limited salary and benefit packages and a solid career path that supports the career minded government employees looking for long term employment and advancement to supervisor and leadership positions.

Financial Crime Investigator (FCI) I and II positions are required to have a four (4) year college degree and FCI I's must complete an intense 1 year training period in order to qualify for advancement to FCI II. At the completion of that training period they are expected to have a high degree of knowledge and the ability to understand and use multiple public assistance agency program policies and the ability to use of a variety of computer systems and databases. They're also expected to have an expanded understanding of the public assistance program rules, statutes, criminal procedures and evidence requirements and the ability to investigate cases and prepare evidence and documentation in public assistance fraud cases. Beyond this position there is, currently, little to no advancement opportunities within the division.

Increasing salaries at the entry level and first advancement level of FCI-II will help recruit and retain these staff. Additionally, after 2-4 years these individuals are looking for long term career opportunities for advancement, additional training and jobs requiring greater skill, and specialization. The Division is seeking another career service level position, a Senior Financial Investigator, (SFI) to offer the highest performing and qualified individuals the opportunity to advance and prepare for supervisory positions and leadership roles within the agency. Career progression and flexibility to advance into roles offering more challenge and opportunities throughout the agency will benefit the department as a whole.

PAF is requesting a total of 371,302 in additional salaries and benefits appropriation to allow for the payment of the increased salaries of the FCI I and FCI II positions and for the upgrade of 11 of the FCI II positions to the Senior Financial Investigator (or equivalent) class. Specifically, PAF requests: 74,481 to provide the 11 currently authorized FCI I positions with a potential 17% salary increase, allowing for a higher starting salary, and for potential pay increases for the highest performing investigators; 158,815 to provide 23 currently authorized FCI II positions with a potential 15% salary increase, allowing for a higher starting salary, and for potential pay increases for the highest performing investigators; and 138,006 to provide the ability to upgrade 11 currently authorized FCI II positions to the Senior Financial Investigators and allow for the potential of hiring these positions at as much as 15% over the minimum of the class.

Again, the investment in human resources for the State of Florida is wasted when highly skilled employees leave to take private sector, local, state or Federal positions at higher salaries when we could retain them for a small investment



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
PROGRAM ISSUES						4000000
PUBLIC ASSISTANCE FRAUD -						
RECRUITMENT AND RETENTION OF						
QUALIFIED FINANCIAL INVESTIGATORS						4002A10

thereby continuing the return on investment to our stakeholders and the State of Florida.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
N0003 001	0.00	329,081		42,221	371,302	0.00	371,302
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							193,077
2261 FEDERAL GRANTS TRUST FUND							178,225
	0.00	329,081		42,221	371,302		371,302

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
N0003 001	0.00	329,081		42,221	371,302	0.00	371,302

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
PROGRAM ISSUES						4000000
PUBLIC ASSISTANCE FRAUD -						
RECRUITMENT AND RETENTION OF						
QUALIFIED FINANCIAL INVESTIGATORS						4002A10

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							193,077
2261 FEDERAL GRANTS TRUST FUND							178,225
	0.00	329,081		42,221	371,302		371,302

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TOTAL: CONSUMER SAFETY/PROTECTION							<u>1205.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	63.00	5,962,563	62.00	5,342,102	1.00-	620,461-	2000
SALARY RATE.....	4,340,266		3,707,336		632,930-		

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	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PGM: WORKERS' COMPENSATION											43600000
WORKERS' COMPENSATION											43600100
ECONOMIC OPPORTUNITIES											11
WORKERS' COMPENSATION											<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SALARY RATE											000000
SALARY RATE.....		11,581,906		11,581,906							
		=====		=====							
SALARIES AND BENEFITS											010000
WORKERS' COMP ADMIN TF -STATE		14,927,902		14,927,902							2795 1
-MATCH		139,052		139,052							2795 2
TOTAL WORKERS' COMP ADMIN TF		15,066,954		15,066,954							2795
		=====		=====							
WORKERS' COMP SPEC DISAB TF-STATE		877,616		877,616							2798 1
		=====		=====							
TOTAL POSITIONS.....		301.00		301.00							
TOTAL APPRO.....		15,944,570		15,944,570							
		=====		=====							
OTHER PERSONAL SERVICES											030000
WORKERS' COMP ADMIN TF -STATE		177,570		177,570							2795 1
WORKERS' COMP SPEC DISAB TF-STATE		17,550		17,550							2798 1
TOTAL APPRO.....		195,120		195,120							
		=====		=====							
EXPENSES											040000
WORKERS' COMP ADMIN TF -STATE		3,371,128		3,371,128							2795 1
WORKERS' COMP SPEC DISAB TF-STATE		128,070		128,070							2798 1
TOTAL APPRO.....		3,499,198		3,499,198							
		=====		=====							

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PGM: WORKERS' COMPENSATION											43600000
WORKERS' COMPENSATION											43600100
ECONOMIC OPPORTUNITIES											11
WORKERS' COMPENSATION											<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
OPERATING CAPITAL OUTLAY											060000
WORKERS' COMP ADMIN TF -STATE		100,021		100,021							2795 1
WORKERS' COMP SPEC DISAB TF-STATE		16,851		16,851							2798 1
TOTAL APPRO.....		<u>116,872</u>		<u>116,872</u>							
SPECIAL CATEGORIES											100000
ELECTRONIC COMMERCE FEES											100064
WORKERS' COMP ADMIN TF -STATE		288,000		288,000							2795 1
TR DIST CT OF AP-WORK COMP											100507
WORKERS' COMP ADMIN TF -STATE		1,761,055		1,761,055							2795 1
TR/USF-OSHA MATCH											100521
WORKERS' COMP ADMIN TF -STATE		250,000		250,000							2795 1
TR JAC - PROS WRKS COMP FR											100526
WORKERS' COMP ADMIN TF -STATE		257,705		257,705							2795 1
CONTRACTED SERVICES											100777
WORKERS' COMP ADMIN TF -STATE		2,577,656		2,577,656							2795 1
WORKERS' COMP SPEC DISAB TF-STATE		86,360		86,360							2798 1
TOTAL APPRO.....		<u>2,664,016</u>		<u>2,664,016</u>							

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PGM: WORKERS' COMPENSATION											43600000
WORKERS' COMPENSATION											43600100
ECONOMIC OPPORTUNITIES											11
WORKERS' COMPENSATION											<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES											1000000
ESTIMATED EXPENDITURES - OPERATIONS											1001000
SPECIAL CATEGORIES											100000
OPERATION/MOTOR VEHICLES											102289
WORKERS' COMP ADMIN TF -STATE		44,800		44,800							2795 1
RISK MANAGEMENT INSURANCE											103241
WORKERS' COMP ADMIN TF -STATE		315,520		315,520							2795 1
LEASE/PURCHASE/EQUIPMENT											105281
WORKERS' COMP ADMIN TF -STATE		69,320		69,320							2795 1
WORKERS' COMP SPEC DISAB TF-STATE		1,080		1,080							2798 1
TOTAL APPRO.....		70,400		70,400							
TR/DMS/HR SVCS/STW CONTRCT											107040
WORKERS' COMP ADMIN TF -STATE		104,658		104,658							2795 1
WORKERS' COMP SPEC DISAB TF-STATE		6,769		6,769							2798 1
TOTAL APPRO.....		111,427		111,427							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS											1001000
TOTAL POSITIONS.....	301.00		301.00								
TOTAL ISSUE.....		25,518,683		25,518,683							
TOTAL SALARY RATE.....		11,581,906		11,581,906							

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						1102.02.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
WORKERS' COMP ADMIN TF -STATE	29,528	29,528				2795 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
WORKERS' COMP ADMIN TF -STATE	37,830	37,830				2795 1
-MATCH	351	351				2795 2
TOTAL WORKERS' COMP ADMIN TF	38,181	38,181				2795
WORKERS' COMP SPEC DISAB TF-STATE	2,222	2,222				2798 1
TOTAL APPRO.....	40,403	40,403				
SPECIAL CATEGORIES						100000
TR DIST CT OF AP-WORK COMP						100507
WORKERS' COMP ADMIN TF -STATE	4,721	4,721				2795 1
TR JAC - PROS WRKS COMP FR						100526
WORKERS' COMP ADMIN TF -STATE	184	184				2795 1
TOTAL: FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						
TOTAL ISSUE.....	45,308	45,308				

	COL A12		COL A14		COL A15		COL A16		COL A14-A12		
	AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
	FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES											43000000
PGM: WORKERS' COMPENSATION											43600000
WORKERS' COMPENSATION											43600100
ECONOMIC OPPORTUNITIES											11
WORKERS' COMPENSATION											<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES											1000000
ADJUSTMENT TO STATE HEALTH											
INSURANCE PREMIUM CONTRIBUTION -											
FISCAL YEAR 2012-13											1001830
SALARIES AND BENEFITS											010000
WORKERS' COMP ADMIN TF											
-STATE		32,239		32,239							2795 1
-MATCH		299		299							2795 2
TOTAL WORKERS' COMP ADMIN TF		32,538		32,538							2795
WORKERS' COMP SPEC DISAB TF-STATE		1,894		1,894							2798 1
TOTAL APPRO.....		34,432		34,432							
SPECIAL CATEGORIES											100000
TR DIST CT OF AP-WORK COMP											100507
WORKERS' COMP ADMIN TF											
-STATE		2,524		2,524							2795 1
TR JAC - PROS WRKS COMP FR											100526
WORKERS' COMP ADMIN TF											
-STATE		399		399							2795 1
TOTAL: ADJUSTMENT TO STATE HEALTH											1001830
INSURANCE PREMIUM CONTRIBUTION -											
FISCAL YEAR 2012-13											
TOTAL ISSUE.....		37,355		37,355							

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						1102.02.00.00
ESTIMATED EXPENDITURES						1000000
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKERS' COMP ADMIN TF -STATE	2,534-	2,534-				2795 1
WORKERS' COMP SPEC DISAB TF-STATE	164-	164-				2798 1
TOTAL APPRO.....	2,698-	2,698-				
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - DEDUCT						160M010
EXPENSES						040000
WORKERS' COMP ADMIN TF -STATE	3,000-	3,000-				2795 1
WORKERS' COMP SPEC DISAB TF-STATE	1,000-	1,000-				2798 1
TOTAL APPRO.....	4,000-	4,000-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).

This issue nets to zero with corresponding issue code 160M020.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						1102.02.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF LEASE OR						
LEASE-PURCHASE EQUIPMENT - ADD						160M020
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
WORKERS' COMP ADMIN TF -STATE	3,000	3,000				2795 1
WORKERS' COMP SPEC DISAB TF-STATE	1,000	1,000				2798 1
TOTAL APPRO.....	4,000	4,000				
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.						
*****						
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100
SALARY RATE						000000
SALARY RATE.....		150,159-			150,159-	
SALARIES AND BENEFITS						010000
WORKERS' COMP ADMIN TF -STATE	3.00-	150,159-			3.00-	150,159-
TOTAL: REALIGN CURRENT POSITIONS TO						2000100
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						
TOTAL POSITIONS.....	3.00-				3.00-	
TOTAL ISSUE.....		150,159-				150,159-
TOTAL SALARY RATE.....		150,159-				150,159-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
<u>WORKERS' COMPENSATION</u>						43600100
ECONOMIC OPPORTUNITIES						11
<u>WORKERS' COMPENSATION</u>						<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- DEDUCT						2000100

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.

This issue is an effort to continue the Chief Financial Officers' philosophy of focusing on core mission, reducing unnecessary workload and using regulatory reviews to find areas where reallocation of resources will allow the department to fulfill the mission critical functions without increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities into three issues - Increased Staffing for Bureau of Unclaimed Property (IC 3000170), Internal IT Self Sufficiency Initiative for Unclaimed Property Management System (UPMIS) (IC36370C0) and Support and Maintenance for Florida Accountability Contract Tracking System (FACTS) (IC 36371C0). The remaining seven vacant positions are deleted from the department without the corresponding budget.

Most vacant positions were lower pay grade positions. The positions that were being requested in the three issues were higher pay grade positions. This difference in pay grade levels required the department to not only use all the salary budget associated with the 27 vacancies, but we also moved over 500,000 in excess salary budget from the Public Assistance Fraud Unit. This will allow the department to not only fill the positions at the requested level, but leaves approximately 330,000 in budget to spread to budget entities to give pay raises to the employees that undertake the additional workload and are the hardest working and most dependable employees.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: WORKERS' COMPENSATION 43600000  
 WORKERS' COMPENSATION 43600100  
 ECONOMIC OPPORTUNITIES 11  
 WORKERS' COMPENSATION 1102.02.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - DEDUCT 2000100

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0022 001	3.00-	150,159-		150,159-	0.00	150,159-
TOTALS FOR ISSUE BY FUND						
2795 WORKERS' COMP ADMIN TF	3.00-	150,159-		150,159-		150,159-

REALIGN CURRENT POSITIONS TO  
 FULFILL MISSION CRITICAL FUNCTIONS  
 - ADD 2000110  
 SALARIES AND BENEFITS 010000  
 WORKERS' COMP ADMIN TF -STATE 42,275 42,275 2795 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013.  
 This issue is in support of the Chief Financial Officer's philosophy of focusing on core mission, reducing unnecessary activities, and using regulatory reviews to find areas where reallocation of resources will allow the department to

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN CURRENT POSITIONS TO						
FULFILL MISSION CRITICAL FUNCTIONS						
- ADD						2000110

fulfill the mission critical functions most effectively without significantly increasing the number of FTE in the department. The department has realigned 20 of the 27 vacant (180+ days) positions in various budget entities; the remaining seven vacant positions are deleted.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2795 WORKERS' COMP ADMIN TF							42,275
							-----
							42,275
							=====

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REALIGN BUDGET AUTHORITY BETWEEN  
 CATEGORIES TO MATCH ANTICIPATED  
 RECURRING OPERATING EXPENDITURES -  
 DEDUCT  
 SPECIAL CATEGORIES  
 CONTRACTED SERVICES

2000130  
 100000  
 100777

WORKERS' COMP ADMIN TF -STATE 250,000- 250,000- 2795 1

=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES TO MATCH ANTICIPATED						
RECURRING OPERATING EXPENDITURES -						
DEDUCT						2000130
*****						
AGENCY ISSUE NARRATIVE:						
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO						
This issue is the deduct side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves budget from various categories, except salaries, for realignment. This issue with corresponding issue code 2000140 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.						
*****						
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
WORKERS' COMP ADMIN TF -STATE	161,195	161,195				2795 1
-MATCH	1,495	1,495				2795 2
TOTAL WORKERS' COMP ADMIN TF	162,690	162,690				2795
WORKERS' COMP SPEC DISAB TF-STATE	9,470	9,470				2798 1
TOTAL APPRO.....	172,160	172,160				
SPECIAL CATEGORIES						100000
TR DIST CT OF AP-WORK COMP						100507
WORKERS' COMP ADMIN TF -STATE	12,620	12,620				2795 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
ANNUALIZATION OF ADMINISTERED						26A0000
FUNDS APPROPRIATIONS						
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SPECIAL CATEGORIES						100000
TR JAC - PROS WRKS COMP FR						100526
WORKERS' COMP ADMIN TF -STATE		1,995	1,995			2795 1
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT						26A1830
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						
TOTAL ISSUE.....		186,775	186,775			
WORKLOAD						3000000
DIVISION OF WORKERS' COMPENSATION -						
RE-EMPLOYMENT SERVICES PROGRAM						
IMPLEMENTATION						3000950
SALARY RATE						000000
SALARY RATE.....		93,480			93,480	
SALARIES AND BENEFITS						010000
WORKERS' COMP ADMIN TF -STATE	1.00	3.00	136,001		2.00	136,001
WORKERS' COMP ADMIN TF -STATE						2795 1
OTHER PERSONAL SERVICES						030000
WORKERS' COMP ADMIN TF -STATE			340,640			340,640
WORKERS' COMP ADMIN TF -STATE						2795 1
EXPENSES						040000
WORKERS' COMP ADMIN TF -STATE			122,436	47,916		122,436
WORKERS' COMP ADMIN TF -STATE						2795 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						1102.02.00.00
WORKLOAD						3000000
DIVISION OF WORKERS' COMPENSATION -						
RE-EMPLOYMENT SERVICES PROGRAM						
IMPLEMENTATION						3000950
SPECIAL CATEGORIES						100000
PURCHASED CLIENT SERVICES						102933
WORKERS' COMP ADMIN TF -STATE	1	2,400,000			2,399,999	2795 1
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKERS' COMP ADMIN TF -STATE		2,124			2,124	2795 1
TOTAL: DIVISION OF WORKERS' COMPENSATION -						3000950
RE-EMPLOYMENT SERVICES PROGRAM						
IMPLEMENTATION						
TOTAL POSITIONS.....	1.00	3.00			2.00	
TOTAL ISSUE.....	1	3,001,201		47,916		3,001,200
TOTAL SALARY RATE.....		93,480			93,480	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

During the 2012 Legislative Session, the Legislature passed CS/HB 5203 and the Governor signed the bill into law. Provisions of the bill remove the Department of Education (DOE) as the agency responsible for providing reemployment services to injured workers (section 440.491, F.S., and Rule 6A-22.001, F.A.C.) and transfer the responsibility to the Department of Financial Services (DFS). Additionally, the bill shifts responsibilities related to the ARAMIS system to DFS. The DFS Division of Information Systems (DIS) will be responsible for the migration of the system and all aspects of future application development and support activities. Provisions of the bill also authorize the department to contract with one or more third parties, including but not limited to rehabilitation providers, to administer training and education screenings, reemployment assessments, vocational evaluations, and reemployment services. These changes became effective July 1, 2012.

In order to accomplish the duties specified by the Legislature, additional resources were requested to support the Department of Financial Services' reemployment program activities and information technology needs. The anticipated expenditures in the requested categories were developed by reviewing the model operated by the Department of Education, estimated amount of injured workers served, and expenditures of the program over the past four fiscal years. This request is in addition to, and takes into consideration, the five (5) FTE authorized in the HB 5203 and current resources

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: WORKERS' COMPENSATION										43600000
WORKERS' COMPENSATION										43600100
ECONOMIC OPPORTUNITIES										11
WORKERS' COMPENSATION										<u>1102.02.00.00</u>
WORKLOAD										3000000
DIVISION OF WORKERS' COMPENSATION -										
RE-EMPLOYMENT SERVICES PROGRAM										
IMPLEMENTATION										3000950

that the Department will reallocate to the program.

During the current fiscal year (FY 2012-13), the Governor's Office of Policy and Budget (OPB) in conjunction with the Legislature approved Agency Budget Amendment 13-08 (EOG Log# B0055), and Agency Budget Amendment 13-03 (EOG Log# B0036) were approved, granting the resources requested for this program for the first year (FY 2013). The department will work with the House, Senate and Governor's Office to develop a request for the FY 2013-14 as is appropriate. Amended 2013-14 Narrative after February 1, 2013.

The Legislative Budget Commission budget amendment approved in October, 2012, appears to cover the majority of the department's need for the new program. The department is requesting two changes to the original budget amendment. We request to convert two existing OPS positions to FTE and add one new FTE. This should allow the department to fulfill the needs of Injured Worker's Program and continue with the original intent of the program.

Summary: This issue reduces OPS and increases FTE by 3.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0001 001	1.00					0.00	
TOTALS FOR ISSUE BY FUND	1.00						



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
WORKLOAD						3000000
DIVISION OF WORKERS' COMPENSATION -						
RE-EMPLOYMENT SERVICES PROGRAM						
IMPLEMENTATION						3000950

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
NEW POSITIONS							
3509 INSURANCE SPECIALIST II							
N0001 001	3.00	93,480		42,521	136,001	0.00	136,001
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							136,001
	3.00	93,480		42,521	136,001		136,001

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PROGRAM REDUCTIONS							33V0000
REDUCTION IN EXPENSES ASSOCIATED							
WITH FIELD OFFICE CLOSURES AND							
LEASED SPACE REDUCTION							33V1100
EXPENSES							040000
WORKERS' COMP ADMIN TF -STATE	189,000-	189,000-					2795 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Reduction in Expenses Associated with Field Office Closures and Leased Space Reduction

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
<u>WORKERS' COMPENSATION</u>						43600100
<u>ECONOMIC OPPORTUNITIES</u>						11
<u>WORKERS' COMPENSATION</u>						<u>1102.02.00.00</u>
PROGRAM REDUCTIONS						33V0000
REDUCTION IN EXPENSES ASSOCIATED						
WITH FIELD OFFICE CLOSURES AND						
LEASED SPACE REDUCTION						33V1100

Reference to Long-Range Program Plan: Goal 1 - The department will be a vigilant steward of the state's resources.

LAW CHANGE REQUIRED: No

Starting in November 2011, the Division of Workers' Compensation began the reorganization and consolidation of its 16 Bureau of Compliance, Bureau of Monitoring and Audit, and Bureau of Employee Assistance field office leases throughout Florida. By the end of FY 2012-13, the Division will have closed seven field office locations (Panama City, Plantation, Ocala, Port Richey, Sarasota, St. Augustine, and Cocoa Beach). The field office closings will result in the reduction of office locations where the public may visit to obtain services from the division. Services will continue to be provided through the remaining field office locations and the Tallahassee central office. There are no other public or private entities that perform the regulatory activities outlined in Chapter 440, Florida Statutes.

For Fiscal Year 2012-13, the Division of Workers' Compensation saw a reduction of \$1.7 million in overall budget and 20 FTE; none of this reduction was directly related to leases, however positions in some of these locations were abolished. The specific leases impacted by this issue are as follows:

- 430:0164 - Diamond Professional Suites - Cocoa - Closed (5/30/2013)
- 430:0151 - Regency Office - New Port Ritchey - Closed (4/30/2012)
- 430:0143 - Oak Brook Professional Center - Ocala - Expires (4/30/2013); Effective 11/30/12
- 430:0145 - Forrest Park Office Center - Panama City - Closed (2/28/2012)
- 430:0161 - Atrium Executive Plaza - Plantation - Expires (12/31/2012)
- 430:0153 - Live Oak Business Center - Sarasota - Closed (8/31/2012)
- 430:0155 - City of Saint Augustine - St. Augustine - Closed (11/30/2011)

During FY 2011-12, the Division spent \$2,358,665 for leases. This (189,000) reduction would be an approximate 8% reduction in lease expenditures.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
<u>WORKERS' COMPENSATION</u>						43600100
ECONOMIC OPPORTUNITIES						11
<u>WORKERS' COMPENSATION</u>						<u>1102.02.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
FILENET P8 DOCUMENT MANAGEMENT						
IMPLEMENTATION AND MIGRATION						36380C0
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKERS' COMP ADMIN TF -STATE	145,867-	145,867-				2795 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: FileNet P8 Document Management Implementation and Migration - Deduct

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS) is requesting funding to implement the migration of three separate document management systems to a single enterprise document management system, FileNet P8. Currently, DFS has three separate and distinct outdated instances of FileNet supporting the following six business units: Division of Workers' Compensation, Division of Risk Management, Division of Consumer Assistance, Division of Agent & Agency Services, Division of Funeral and Cemetery Services, Consumer Services, and the Office of Insurance Regulation. Together these three FileNet systems support more than 165 department business applications. The service that is currently received by all these divisions and OIR is paid from the divisions of Workers' Compensation, Risk Management, and Information Systems' budgets.

The department's intent is to consolidate the three FileNet systems onto the single, secure, and highly available FileNet P8 enterprise-level instance. The current maintenance and support for the duplicative and outdated instances of FileNet cost of \$309,041 is paid from three separate divisions (Division of Workers' Compensation \$145,867, Division of Risk Management \$51,301 and Division of Information Systems \$111,873). This request is to transfer existing contracted services budget from the Division of Workers' Compensation to the Division of Information Systems.

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TOTAL: WORKERS' COMPENSATION						<u>1102.02.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	302.00	301.00			1.00-	
SALARY RATE.....	25,230,085	28,123,401	47,916		2,893,316	2000
	11,581,906	11,525,227			56,679-	
	=====	=====	=====	=====	=====	



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
LIFE AND HEALTH EXAMS						100524
INSURANCE REG TF	-STATE	275,000	275,000			2393 1
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	688,016	688,016			2393 1
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF	-STATE	240,456	240,456			2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	18,989	18,989			2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	89,514	89,514			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	249.00	249.00				
TOTAL ISSUE.....	24,453,286	24,453,286				
TOTAL SALARY RATE.....	11,746,070	11,746,070				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF	-STATE	22,504	22,504			2393 1
=====						
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	35,771	35,771			2393 1
=====						
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	27,827	27,827			2393 1
=====						
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	2,167-	2,167-			2393 1
=====						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
RE-APPROVAL OF FIVE PERCENT						
TRANSFER WITHIN OFFICE OF INSURANCE						
REGULATION - ADD						160F300
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	-STATE		33,000			33,000 2393 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-Approval of Five Percent Transfer Budget Amendment Transferring Expense to Operating Capital Outlay - ADD

Reference to Long-Range Program Plan: Goal #4 - The department will protect health, safety and welfare of the public.

Issue Description: This is a technical issue.

This issue is a technical issue which requests the re-approval of Budget Amendment Executive Office of the Governor (EOG) number B7175. The budget amendment was approved on December 21, 2012. The Office of Insurance Regulation (OIR) is requesting a permanent transfer of \$33,000 from the Expense category to the Operating Capital Outlay category within the Compliance and Enforcement budget entity. This transfer is needed to ensure sufficient budget authority in the Operating Capital Outlay category.

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RE-APPROVAL OF FIVE PERCENT  
 TRANSFER WITHIN OFFICE OF INSURANCE  
 REGULATION - DEDUCT  
 EXPENSES

160F310  
 040000

INSURANCE REG TF -STATE 33,000- 33,000- 2393 1

=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
RE-APPROVAL OF FIVE PERCENT						
TRANSFER WITHIN OFFICE OF INSURANCE						
REGULATION - DEDUCT						160F310

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Re-Approval of Five Percent Transfer Budget Amendment Transferring Expense to Operating Capital Outlay - DEDUCT

Reference to Long-Range Program Plan: Goal #4 - The department will protect health, safety and welfare of the public.

Issue Description: This is a technical issue.

This issue is a technical issue which requests the re-approval of Budget Amendment Executive Office of the Governor (EOG) number B7175. The budget amendment was approved on December 21, 2012. The Office of Insurance Regulation (OIR) is requesting a permanent transfer of \$33,000 from the Expense category to the Operating Capital Outlay category within the Compliance and Enforcement budget entity. This transfer is needed to ensure sufficient budget authority in the Operating Capital Outlay category.

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION SALARIES AND BENEFITS						26A1830 010000
INSURANCE REG TF	-STATE	139,135	139,135			2393 1
=====						



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
INCREASE SALARY RATE - PROPERTY AND						
CASUALTY PRODUCT REVIEW						3002A30
SALARY RATE						000000
SALARY RATE.....	136,841	136,841				
=====						
SALARIES AND BENEFITS						010000
INSURANCE REG TF						
-STATE	154,397	154,397				2393 1
=====						
TOTAL: OFFICE OF INSURANCE REGULATION -						3002A30
INCREASE SALARY RATE - PROPERTY AND						
CASUALTY PRODUCT REVIEW						
TOTAL ISSUE.....	154,397	154,397				
TOTAL SALARY RATE.....	136,841	136,841				
=====						

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Increase Salary Rate for Property and Casualty Product Review - Compliance and Enforcement

Reference to Long-Range Program Plan: Goal 4: The department will protect health, safety and welfare of the public.

Issue Description: The Office of Insurance Regulation (Office) is responsible for the admissions process of all new entities proposing to offer lines of insurance in the state of Florida through the submission of a filing, as well as the expansion into new lines of business. Once approved, the Office is required to conduct ongoing monitoring of the financial condition of the business through the use of internal financial analysis and on-site examinations. In summary, the Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates. Filings are reviewed to determine compliance with applicable actuarial standards, statutory provisions, and administrative rules. Additionally, the Office conducts market investigations and analyzes market trends for the fair treatment of policyholders. Examinations and investigations are conducted as required to address consumer issues and marketplace trends.

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES					43000000
PGM: FINANCIAL SVCS COMM					43900000
OFFICE OF INSURANCE REG					43900100
COMP & ENFORCE- INSURANCE					43900110
PUBLIC PROTECTION					12
REGULATION AND LICENSING					1204.00.00.00
WORKLOAD					3000000
OFFICE OF INSURANCE REGULATION - INCREASE SALARY RATE - PROPERTY AND CASUALTY PRODUCT REVIEW					3002A30

The Office depends on the incumbents in the Insurance Analyst positions to ensure full compliance with the statutes and rules referenced above. While this group has demonstrated an extraordinary level of teamwork in the past to handle a 40% increase in the number of form filings, there is a limit to their productive capacity. This limit is evidenced by the high level of attrition in these positions, due to the low salary rate. There is an inconsistency between the current salary rate and the level of skills needed to fulfill the responsibilities of the position. There is a discrepancy between the current salary rate for these types of positions at the Office with what the private market will compensate analysts doing similar work. At the current salary rate, the Office is unable to maintain a full team of Insurance Analysts, making it extremely difficult to handle the current workload. In fact, there is a backlog of filings in the Property and Casualty Product Review unit. The agency head has issued an order allowing for insurance company officers to certify certain form filings rather than going through the review and approval process. However, many insurance companies rely upon Office staff to serve as business consultants to assist them in perfecting form filings and most companies have opted not to utilize this option.

If the Office does not receive additional rate for forms review, it will be forced to decrease the time available for the analysis of each filing or to entreat insurers to waive their right to a response on a filing within 30-45 days. A decrease in the review time risks degrading the protection of the public against contract terms that attempt to violate the Florida Insurance Code or allowing contracts that are inconsistent, ambiguous, or misleading to be sold in Florida. Insurers attempting to introduce exclusions and conditions to narrow coverage often do so by embedding them in large collections of documents that can easily be missed by fatigued staff. When this happens, it will result in undesirable contract terms. The contracts then sold to the public can result in unnecessary litigation and accompanying distrust by policyholders. At the same time, the dynamics of the current insurance market will not allow companies to complacently accept delays in new product development that undo progress that has been made over the last decade.

With an inadequate salary rate, retention of trained employees will continue to suffer as those with the best talents will reach the limit of their willingness to endure high stress levels for the public good. Coordination of this unit with others within OIR will have to decrease to the detriment of progress on administrative litigation, supporting roles in solvency regulation, reporting of suspected issues requiring investigation or examination, and providing information to stakeholders within the insurance arena. Changes in the Florida Statutes, Florida Insurance Code and the evolution of the insurance marketplace, whose pace has quickened, demand more rather than fewer resources.

To ensure a full, qualified staff, the Office is requesting that the 10 Insurance Analyst II positions be reclassified to 10 Government Analyst II positions. The base salary would increase from \$32,697.12 to \$46,381.20. Increasing the salary rate due to this reclassification from Insurance Analyst II to a Government Analyst II position would reduce the number of resignations due to the current minimal salary, allowing for the business unit to fulfill the mission of the Office.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF INSURANCE REG 43900100  
 COMP & ENFORCE- INSURANCE 43900110  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 WORKLOAD 3000000  
 OFFICE OF INSURANCE REGULATION -  
 INCREASE SALARY RATE - PROPERTY AND  
 CASUALTY PRODUCT REVIEW 3002A30

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA01 RATE & SALARY ADJ - BENEFITS NO FTE						
C5656 001	0.00	136,841	17,556	154,397	0.00	154,397
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						154,397
0.00	136,841		17,556	154,397		154,397

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA01 RATE & SALARY ADJ - BENEFITS NO FTE						
C5656 001	0.00	136,841	17,556	154,397	0.00	154,397
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						154,397
0.00	136,841		17,556	154,397		154,397

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
STAFFING RESOURCES - PROPERTY AND						
CASUALTY PRODUCT REVIEW						3002050
SALARY RATE						000000
SALARY RATE.....	139,144	139,144				
SALARIES AND BENEFITS						010000
INSURANCE REG TF	3.00	3.00				
-STATE	187,524	187,524				2393 1
EXPENSES						040000
INSURANCE REG TF						
-STATE	29,919	29,919	11,610			2393 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF						
-STATE	1,062	1,062				2393 1
TOTAL: OFFICE OF INSURANCE REGULATION -						3002050
STAFFING RESOURCES - PROPERTY AND						
CASUALTY PRODUCT REVIEW						
TOTAL POSITIONS.....	3.00	3.00				
TOTAL ISSUE.....	218,505	218,505	11,610			
TOTAL SALARY RATE.....	139,144	139,144				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Staffing Resources for Property and Casualty Product Review - Compliance and Enforcement

Reference to Long-Range Program Plan: Goal 4: The department will protect health, safety and welfare of the public.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF INSURANCE REG										43900100
COMP & ENFORCE- INSURANCE										43900110
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
WORKLOAD										3000000
OFFICE OF INSURANCE REGULATION -										
STAFFING RESOURCES - PROPERTY AND										
CASUALTY PRODUCT REVIEW										3002050

Issue Description: The Office of Insurance Regulation (Office) is responsible for the admissions process of all new entities proposing to offer lines of insurance in the state of Florida through the submission of a filing, as well as the expansion into new lines of business. Once approved, the Office is required to conduct ongoing monitoring of the financial condition of the business through the use of internal financial analysis and on-site examinations. In summary, the Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates. Filings are reviewed to determine compliance with applicable actuarial standards, statutory provisions, and administrative rules. Additionally, the Office conducts market investigations and analyzes market trends for the fair treatment of policyholders. Examinations and investigations are conducted as required to address consumer issues and marketplace trends.

The Office depends on the incumbents in the Insurance Analyst positions to ensure full compliance with the statutes and rules referenced above. While this group has demonstrated an extraordinary level of teamwork in the past to handle a 40% increase in the number of form filings, there is a limit to their productive capacity. Even during the rare occasions when the unit is fully staffed, the Office is unable to handle the current workload. The volume of filings has created an unrealistic work expectation for each Insurance Analyst, especially at the current salary rate. The current staffing level is simply not adequate. If this issue persists, the Office will be forced to decrease the time available for the analysis of each filing or to entreat insurers to waive their right to a response on a filing within 30-45 days. A decrease in the review time risks degrading the protection of the public against contract terms that attempt to violate the Florida Insurance Code or allowing contracts that are inconsistent, ambiguous, or misleading to be sold in Florida. Insurers attempting to introduce exclusions and conditions to narrow coverage often do so by embedding them in large collections of documents that can easily be missed by fatigued staff. When this happens, it will result in undesirable contract terms. The contracts then sold to the public can result in unnecessary litigation and accompanying anger and distrust by policyholders. At the same time, the dynamics of the current insurance market will not allow companies to complacently accept delays in new product development that undo progress that has been made over the last decade.

With the inadequate staffing level, retention of trained employees will continue to suffer as those with the best talents will reach the limit of their willingness to endure high stress levels for the public good. Coordination of this unit with others within OIR will have to decrease to the detriment of progress on administrative litigation, supporting roles in solvency regulation, reporting of suspected issues requiring investigation or examination, and providing information to stakeholders within the insurance arena. Changes in the Florida Statutes, Florida Insurance Code and the evolution of the insurance marketplace, whose pace has quickened, demand more rather than fewer resources.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS	AGY AMD REQ FY 2013-14	POS	AGY AMD N/R FY 2013-14	POS	AGY AMD ANZ FY 2013-14	POS	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	

FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF INSURANCE REG										43900100
COMP & ENFORCE- INSURANCE										43900110
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
WORKLOAD										3000000
OFFICE OF INSURANCE REGULATION -										
STAFFING RESOURCES - PROPERTY AND										
CASUALTY PRODUCT REVIEW										3002050

To ensure a full staff, the Office is requesting 3 new Government Analyst II positions. The addition of these positions would restore the prior staffing level from previous years. This restoration would allow the unit the possibility of achieving the quality and timeliness of service our customers have come to expect, which is consistent with the mission of the Office.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
P101 PROPOSED CLASS CODE						
N4567 001	3.00	139,144	48,380	187,524	0.00	187,524
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						
	3.00	139,144	48,380	187,524		187,524

A14 - AGY AMD REQ FY 2013-14

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

P101 PROPOSED CLASS CODE						
N4567 001	3.00	139,144	48,380	187,524	0.00	187,524

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF INSURANCE REG 43900100  
 COMP & ENFORCE- INSURANCE 43900110  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 WORKLOAD 3000000  
 OFFICE OF INSURANCE REGULATION -  
 STAFFING RESOURCES - PROPERTY AND  
 CASUALTY PRODUCT REVIEW 3002050

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF 187,524						
3.00	139,144		48,380	187,524		187,524

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 TOTAL: REGULATION AND LICENSING 1204.00.00.00  
 BY FUND TYPE  
 TRUST FUNDS..... 252.00 252.00  
 SALARY RATE..... 25,049,258 25,049,258 11,610 2000  
 12,022,055 12,022,055  
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
EXEC DIR & SUPORT SERVICES						43900120
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	2,003,039	2,003,039				
SALARIES AND BENEFITS						010000
INSURANCE REG TF	34.00	34.00				
-STATE	2,463,627	2,463,627				2393 1
EXPENSES						040000
INSURANCE REG TF	93,543	93,543				
-STATE						2393 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	117,710	117,710				
-STATE						2393 1
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	8,414	8,414				
-STATE						2393 1
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	12,509	12,509				
-STATE						2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	34.00	34.00				
TOTAL ISSUE.....	2,695,803	2,695,803				
TOTAL SALARY RATE.....	2,003,039	2,003,039				



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
EXEC DIR & SUPORT SERVICES						43900120
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	6,015	6,015				2393 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	3,208	3,208				2393 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE	303-	303-				2393 1
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE	16,040	16,040				2393 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
EXEC DIR & SUPORT SERVICES						43900120
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
	34.00	34.00				
TRUST FUNDS.....	2,720,763	2,720,763				2000
SALARY RATE.....	2,003,039	2,003,039				
	=====	=====	=====	=====	=====	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	6,956,213	6,956,213				
SALARIES AND BENEFITS						010000
FINANCIAL INST REG TF -STATE	120.00	120.00				
	8,696,121	8,696,121				2275 1
OTHER PERSONAL SERVICES						030000
FINANCIAL INST REG TF -STATE	872,000	872,000				2275 1
EXPENSES						040000
FINANCIAL INST REG TF -STATE	1,751,352	1,751,352				2275 1
OPERATING CAPITAL OUTLAY						060000
FINANCIAL INST REG TF -STATE	7,130	7,130				2275 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
FINANCIAL INST REG TF -STATE	367,012	367,012				2275 1
RISK MANAGEMENT INSURANCE						103241
FINANCIAL INST REG TF -STATE	35,870	35,870				2275 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
FINANCIAL INST REG TF -STATE	28,872	28,872				2275 1
TR/DMS/HR SVCS/STW CONTRCT						107040
FINANCIAL INST REG TF -STATE	42,550	42,550				2275 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	120.00	120.00				
TOTAL ISSUE.....	11,800,907	11,800,907				
TOTAL SALARY RATE.....	6,956,213	6,956,213				
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
FINANCIAL INST REG TF -STATE	3,358	3,358				2275 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						1001240
FISCAL YEAR 2012-2013						010000
SALARIES AND BENEFITS						
FINANCIAL INST REG TF -STATE	24,855	24,855				2275 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
FINANCIAL INST REG TF -STATE	13,312	13,312				2275 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
FINANCIAL INST REG TF -STATE	1,030-	1,030-				2275 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR						
TRANSFER OF BUDGET AND POSITIONS						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION - DEDUCT						1600910
SALARY RATE						000000
SALARY RATE.....	94,828-	94,828-				
SALARIES AND BENEFITS						010000
FINANCIAL INST REG TF -STATE	2.00- 128,988-	2.00- 128,988-				2275 1
EXPENSES						040000
FINANCIAL INST REG TF -STATE	3,600-	3,600-				2275 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR						
TRANSFER OF BUDGET AND POSITIONS						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION - DEDUCT						1600910
TOTAL: RE-APPROVAL OF BUDGET AMENDMENT FOR						1600910
TRANSFER OF BUDGET AND POSITIONS						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION - DEDUCT						
TOTAL POSITIONS.....	2.00-	2.00-				
TOTAL ISSUE.....		132,588-	132,588-			
TOTAL SALARY RATE.....	94,828-	94,828-				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of budget amendment for transfer of budget and positions within the Office of Financial Regulation

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 8/6/2012. Agency amendment 13-10 (EOG Log# B0082) moves two (2) FTE between the budget entities that perform tasks related to the regulation of payment instruments and fund transmitters, with associated budget, within the Office of Financial Regulation.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 SFTY & SOUND ST BKG SYST 43900530  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 RE-APPROVAL OF BUDGET AMENDMENT FOR  
 TRANSFER OF BUDGET AND POSITIONS  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION - DEDUCT 1600910

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C4145 001	1.00-	44,012-		15,823-	59,835-	0.00	59,835-
1567 FINANCIAL CONTROL ANALYST							
C1874 001	1.00-	52,270-		16,883-	69,153-	0.00	69,153-
-----							
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							128,988-
	2.00-	96,282-		32,706-	128,988-		128,988-
=====							
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9999 001		1,454					
-----							
TOTAL SALARY RATE		1,454					
=====							

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 SFTY & SOUND ST BKG SYST 43900530  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 RE-APPROVAL OF BUDGET AMENDMENT FOR  
 TRANSFER OF BUDGET AND POSITIONS  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION - DEDUCT 1600910

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C4145 001	1.00-	44,012-		15,823-	59,835-	0.00	59,835-
1567 FINANCIAL CONTROL ANALYST							
C1874 001	1.00-	52,270-		16,883-	69,153-	0.00	69,153-
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							128,988-
	2.00-	96,282-		32,706-	128,988-		128,988-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9999 001		1,454					
TOTAL SALARY RATE		1,454					

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COL A12	COL A14	COL A15	COL A16	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12	COL A14-A12
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD REQ FY 2013-14
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES													43000000
PGM: FINANCIAL SVCS COMM													43900000
OFFICE OF FINANCIAL REG													43900500
SFTY & SOUND ST BKG SYST													43900530
PUBLIC PROTECTION													12
REGULATION AND LICENSING													1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT													2000000
REALIGN BUDGET AUTHORITY BETWEEN													
CATEGORIES IN THE OFFICE OF													
FINANCIAL REGULATION - DEDUCT													2002100
SALARIES AND BENEFITS													010000
FINANCIAL INST REG TF													
-STATE													
			100,000-										100,000-
													2275
													1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013

Reference to Long-Range Program Plan:  
 This request will improve the Office's ability to reach the following goals:

- Goal #1: Excellence in all OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals;

Issue Description/Need: As part of OFR's Executive Direction budget allocation in the 2012 General Appropriations Act, the Legislature moved 9 attorneys and support positions from Executive Direction to the Divisions, eliminated 3 attorneys due to streamlining the legal processes, eliminated 3 regional office support staff due to the area office consolidations, and eliminated 1 position in the Office of the Inspector General. OFR is now staffing for normal workloads versus staffing for peak workloads as it is a more cost effective approach to obtaining resources than staffing for peak workloads with full time employees.

To allow flexibility for unforeseen circumstances arising in Executive Direction and throughout the OFR, Executive Direction was given \$150,000 in Other Personal Services to be used when peak workloads are encountered in OFR that the new staffing levels will not be able to handle. OFR management has examined the budget allocations in each division and determined that a permanent transfer of \$100,000 in budget allocation from 43900530 Safety and Soundness of State Banking System's Category 010000 Salary and Benefits to Executive Direction's Category 030000 OPS, will allow the OFR to have more flexibility to quickly react to emergent issues in any division within the OFR. An analysis has been performed and it has been determined that to transfer the budget allocation from Safety and Soundness' Salary and Benefits category to Executive Directions Other Personnel Services will not effect Safety and Soundness' capability to perform their regulatory duties.

Ultimate Outcome: By transferring the amount of \$100,000 from Safety and Soundness of State Banking Systems and

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES IN THE OFFICE OF						
FINANCIAL REGULATION - DEDUCT						2002100

increasing the Other Personal Services budget allocation of OFR's Executive Direction, it will provide the budget and flexibility to quickly, effectively, and efficiently handle the peak workloads or emergent issues as they arise within any entity in the OFR.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2275 FINANCIAL INST REG TF							100,000-
							-----
							100,000-
							=====

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REALIGN POSITIONS AND FUNDING  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION TO ASSIST WITH ENFORCING  
 COMPLIANCE WITH STATE LAW - DEDUCT  
 SALARY RATE

2002120  
 000000

SALARY RATE..... 125,677- 125,677-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - DEDUCT						2002120
SALARIES AND BENEFITS						010000
FINANCIAL INST REG TF -STATE		3.00-			3.00-	2275 1
		172,330-			172,330-	
EXPENSES						040000
FINANCIAL INST REG TF -STATE		5,400-			5,400-	2275 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
FINANCIAL INST REG TF -STATE		1,068-			1,068-	2275 1
TOTAL: REALIGN POSITIONS AND FUNDING						2002120
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - DEDUCT						
TOTAL POSITIONS.....		3.00-			3.00-	
TOTAL ISSUE.....		178,798-			178,798-	
TOTAL SALARY RATE.....		125,677-			125,677-	

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013

Reference to Long-Range Program Plan:  
 This request will support the OFR's ability to reach the following goals:  
 Goal #1: Excellence in all that OFR does;  
 Goal #2: Enforce compliance with state laws related to the financial industry;

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
SFTY & SOUND ST BKG SYST										43900530
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN POSITIONS AND FUNDING										
WITHIN THE OFFICE OF FINANCIAL										
REGULATION TO ASSIST WITH ENFORCING										
COMPLIANCE WITH STATE LAW - DEDUCT										2002120

Goal #3: Examine regulated companies and individuals;

Issue Description/Need: OFR is focusing on outcomes and realigning duties and functions to become more effective as a financial regulatory agency. The OFR continues to receive a significant volume of complaints regarding financial frauds in the areas covered by the Consumer Finance division. OFR has determined that realigning positions to handle this type of consumer financial fraud examined by the Consumer Finance enforcement staff or investigated by the Bureau of Financial Investigations would best combat this type of fraudulent activity. OFR management made an assessment of the staffing levels in the Division of State Banking Systems and determined that moving personnel to other program areas within OFR will not have a detrimental effect on the Division's performance outcomes. Reallocating positions from the Division of State Banking Systems, to support the continued efforts of the other enforcement programs within the OFR, will bolster the efforts of fighting financial fraud in Florida.

Ultimate Outcome: OFR is realigning duties and functions to focus on effectiveness as a regulatory agency and better serving the citizens of the State of Florida through enhanced enforcement and investigation of consumer fraud.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
C4568 001	1.00-	38,661-		15,137-	53,798-	0.00	53,798-
1566 FINANCIAL SPECIALIST							
C1921 001	1.00-	43,508-		15,758-	59,266-	0.00	59,266-
C4201 001	1.00-	43,508-		15,758-	59,266-	0.00	59,266-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 SFTY & SOUND ST BKG SYST 43900530  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN POSITIONS AND FUNDING  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION TO ASSIST WITH ENFORCING  
 COMPLIANCE WITH STATE LAW - DEDUCT 2002120

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
2275 FINANCIAL INST REG TF						172,330-
3.00-	125,677-		46,653-	172,330-		172,330-

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REALIGN PUBLIC RECORDS POSITION(S)  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION - DEDUCT 2002160  
 SALARY RATE 000000  
 SALARY RATE..... 46,123- 46,123-  
 SALARIES AND BENEFITS 010000  
 FINANCIAL INST REG TF -STATE 1.00- 59,890- 1.00- 59,890- 2275 1

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	OVER(UNDER)	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
SFTY & SOUND ST BKG SYST										43900530
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN PUBLIC RECORDS POSITION(S)										
WITHIN THE OFFICE OF FINANCIAL										
REGULATION - DEDUCT										2002160
EXPENSES										040000
FINANCIAL INST REG TF	-STATE		1,800-					1,800-	2275 1	
=====										
SPECIAL CATEGORIES										100000
TR/DMS/HR SVCS/STW CONTRCT										107040
FINANCIAL INST REG TF	-STATE		356-					356-	2275 1	
=====										
TOTAL: REALIGN PUBLIC RECORDS POSITION(S)										2002160
WITHIN THE OFFICE OF FINANCIAL										
REGULATION - DEDUCT										
TOTAL POSITIONS.....		1.00-						1.00-		
TOTAL ISSUE.....			62,046-					62,046-		
TOTAL SALARY RATE.....		46,123-						46,123-		
=====										

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013

Reference to Long-Range Program Plan:

This request will support the OFR's ability to reach the following goals:

- Goal #1: Excellence in all that OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals;

Issue Description/Need: OFR is focusing on outcomes and realigning duties and functions to become more effective as a financial regulatory agency. The OFR continues to receive a significant volume of complaints regarding financial frauds in the areas covered by the Consumer Finance division. OFR determined that the Financial Specialist position that provides agency-wide public records responses would best be housed under the Executive Office of OFR and supervised by staff within the Office of General Counsel for legal reviews instead of within the Division of Financial Institutions. Realigning the position will support the continued efforts to unify responses and provide consistent responses from the

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2013-14 POS AMOUNT	AGY AMD REQ FY 2013-14 POS AMOUNT	AGY AMD N/R FY 2013-14 POS AMOUNT	AGY AMD ANZ FY 2013-14 POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14 POS AMOUNT	
FINANCIAL SERVICES					43000000
PGM: FINANCIAL SVCS COMM					43900000
OFFICE OF FINANCIAL REG					43900500
SFTY & SOUND ST BKG SYST					43900530
PUBLIC PROTECTION					12
REGULATION AND LICENSING					1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT					2000000
REALIGN PUBLIC RECORDS POSITION(S) WITHIN THE OFFICE OF FINANCIAL REGULATION - DEDUCT					2002160

agency with administrative oversight and adequate supervision of this important task.

Ultimate Outcome: OFR is realigning duties and functions to focus on effectiveness as a regulatory agency and better serving the citizens of the State of Florida through an enhanced and uniform response to public records requests.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS	
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C1855 001	1.00-	46,123-		13,767-	59,890-	0.00	59,890-
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							
	1.00-	46,123-		13,767-	59,890-		59,890-

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
FINANCIAL INST REG TF -STATE	66,560	66,560				2275 1
TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	118.00	114.00			4.00-	
SALARY RATE.....	11,775,374	11,434,530			340,844-	2000
	6,861,385	6,689,585			171,800-	





	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
ADMINISTRATIVE TRUST FUND -STATE	15,809	15,809				2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	20,539	20,539				2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	36.00	36.00				
TOTAL ISSUE.....	3,013,227	3,013,227				
TOTAL SALARY RATE.....	1,997,905	1,997,905				
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	1,821	1,821				2021 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	6,813	6,813				2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	4,056	4,056				2021 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	497-	497-				2021 1
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - ADD						2002130
SALARY RATE						000000
SALARY RATE.....		120,830			120,830	
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	3.00	166,862			3.00	166,862
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE		5,400			5,400	2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - ADD						2002130
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE		1,068			1,068	2021 1
TOTAL: REALIGN POSITIONS AND FUNDING						2002130
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - ADD						
TOTAL POSITIONS.....		3.00			3.00	
TOTAL ISSUE.....			173,330			173,330
TOTAL SALARY RATE.....		120,830			120,830	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013

Reference to Long-Range Program Plan:

This request will support the Office's ability to reach the following goal:

- Goal #1: Enforce compliance with state laws related to the financial industry;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals;

Issue Description/Need: Significant unemployment, a sluggish housing market and tightened credit standards create an environment where advance fee schemes and mortgage frauds can flourish. The Office of Financial Regulation continues to receive a significant volume of complaints regarding financial frauds including advance fee for loan schemes and loan modification scams. In addition, the Division of Consumer Finance examination program is detecting significant fraudulent activity occurring in the check cashing industry to include identity fraud, money laundering, and check fraud. In response to the examination results, the Division has requested investigative resources from the Bureau to assist with fully investigating this activity and to provide additional assistance to other law enforcement agencies pursuing the illegal activity flowing through check cashing businesses.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY FIN REQ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
FINANCIAL INVESTIGATIONS										43900540
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN POSITIONS AND FUNDING										
WITHIN THE OFFICE OF FINANCIAL										
REGULATION TO ASSIST WITH ENFORCING										
COMPLIANCE WITH STATE LAW - ADD										2002130

The Bureau of Financial Investigations is requesting three FTEs from other Office of Financial Regulation divisions which would permit the Bureau to commit additional resources to combating advance fee schemes, loan modification scams and to adequately respond to the Division of Consumer Finance's request for investigative assistance.

Ultimate Outcome: These changes will allow OFR to better serve its customers, the financial industry and those it deals with.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
1564 FINANCIAL EXAMINER/ANALYST II						
C4208 001	1.00	38,661	15,137	53,798	0.00	53,798
C4568 001	1.00	38,661	15,137	53,798	0.00	53,798
1566 FINANCIAL SPECIALIST						
C4660 001	1.00	43,508	15,758	59,266	0.00	59,266
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
	3.00	120,830	46,032	166,862		166,862

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	20,280	20,280				2021 1
TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	36.00	39.00			3.00	
	3,045,700	3,219,030			173,330	2000
SALARY RATE.....	1,997,905	2,118,735			120,830	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
EXEC DIR & SUPPORT SERVICE						43900550
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	1,343,595	1,343,595				
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	18.00 1,771,586	18.00 1,771,586				2021 1
OTHER PERSONAL SERVICES						030000
ADMINISTRATIVE TRUST FUND -STATE	150,000	150,000				2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	422,548	422,548				2021 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	61,048	61,048				2021 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	14,895	14,895				2021 1
LEASE/PURCHASE/EQUIPMENT						105281
ADMINISTRATIVE TRUST FUND -STATE	10,004	10,004				2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
EXEC DIR & SUPPORT SERVICE						43900550
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	15,722	15,722				2021 1
DATA PROCESSING SERVICES						210000
REAL SYSTEM - OFR						210016
ADMINISTRATIVE TRUST FUND -STATE	2,181,547	2,181,547				2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	18.00	18.00				
TOTAL ISSUE.....	4,627,350	4,627,350				
TOTAL SALARY RATE.....	1,343,595	1,343,595				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
ADMINISTRATIVE TRUST FUND -STATE	1,394	1,394				2021 1
FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						010000
FISCAL YEAR 2012-2013						
SALARIES AND BENEFITS						
ADMINISTRATIVE TRUST FUND -STATE	2,301	2,301				2021 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
EXEC DIR & SUPPORT SERVICE						43900550
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	2,258	2,258				2021 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	381-	381-				2021 1
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES IN THE OFFICE OF						
FINANCIAL REGULATION - ADD						2002110
OTHER PERSONAL SERVICES						030000
ADMINISTRATIVE TRUST FUND -STATE		100,000			100,000	2021 1

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013

Reference to Long-Range Program Plan:  
 This request will improve the Office's ability to reach the following goals:

- Goal #1: Excellence in all OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals;

Issue Description/Need: As part of OFR's Executive Direction budget allocation in the 2012 General Appropriations Act,

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	OVER(UNDER)	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
EXEC DIR & SUPPORT SERVICE										43900550
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN BUDGET AUTHORITY BETWEEN										
CATEGORIES IN THE OFFICE OF										
FINANCIAL REGULATION - ADD										2002110

the Legislature moved 9 attorneys and support positions from Executive Direction to the Divisions, eliminated 3 attorneys due to streamlining the legal processes, eliminated 3 regional office support staff due to the area office consolidations, and eliminated 1 position in the Office of the Inspector General. OFR is now staffing for normal workloads versus staffing for peak workloads as it is a more cost effective approach to obtaining resources than staffing for peak workloads with full time employees.

To allow flexibility for unforeseen circumstances arising in Executive Direction and throughout the OFR, Executive Direction was given \$150,000 in Other Personal Services to be used when peak workloads are encountered in OFR that the new staffing levels will not be able to handle. OFR management has examined the budget allocations in each division and determined that a permanent transfer of \$100,000 in budget allocation from 43900530 Safety and Soundness of State Banking System's Category 010000 Salary and Benefits to Executive Direction's Category 030000 OPS, will allow the OFR to have more flexibility to quickly react to emergent issues in any division within the OFR.

Ultimate Outcome: By transferring \$100,000 in budget allocation from 43900530 Safety and Soundness of State Banking System's Category 010000 Salary and Benefits to Executive Direction's Category 030000 OPS Other Personal Services budget, OFR's Executive Direction will have the budget and flexibility to quickly, effectively, and efficiently handle the peak workloads or emergent issues as they arise within any entity in the OFR.

Summary: This is a new issue.

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REALIGN LEGAL ADMINISTRATIVE										
POSITIONS WITHIN OFFICE OF										
FINANCIAL REGULATION TO INCREASE										
SUPPORT FOR COMPLIANCE - DEDUCT										2002140
SALARY RATE										000000
SALARY RATE.....		106,194-		106,194-		106,194-		106,194-		
=====										

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
EXEC DIR & SUPPORT SERVICE						43900550
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN LEGAL ADMINISTRATIVE						
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - DEDUCT						2002140
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		3.00-			3.00-	149,009- 2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE			5,400-			5,400- 2021 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE			1,068-			1,068- 2021 1
TOTAL: REALIGN LEGAL ADMINISTRATIVE						2002140
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - DEDUCT						
TOTAL POSITIONS.....		3.00-			3.00-	
TOTAL ISSUE.....			155,477-			155,477-
TOTAL SALARY RATE.....		106,194-			106,194-	

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2013-14 Narrative after February 1, 2013

Reference to Long-Range Program Plan:  
 This request will support the Office's ability to reach the following goals:  
 Goal #1: Excellence in all OFR does;  
 Goal #2: Enforce compliance with state laws related to the financial industry;

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
EXEC DIR & SUPPORT SERVICE										43900550
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN LEGAL ADMINISTRATIVE										
POSITIONS WITHIN OFFICE OF										
FINANCIAL REGULATION TO INCREASE										
SUPPORT FOR COMPLIANCE - DEDUCT										2002140

Goal #3: Examine regulated companies and individuals;

Issue Description/Need: OFR is focusing on outcomes and realigning duties and functions to become more effective as a financial regulatory agency. The Office of Financial Regulation continues to have a significant volume of legal cases relating to examinations and investigations enforcement under the Division of Securities and Division of Consumer Finance. OFR has determined that realigning three positions to handle administrative support to the Divisions would best support fighting fraudulent activity. OFR management made an assessment of the staffing levels in the General Counsel's Office and determined that moving two FTEs to the Division of Securities within OFR will bolster enforcement support and the Division's efforts to fight financial fraud. Reallocating one position from the General Counsel's Office to support the continued enforcement efforts in the Division of Consumer Finance will bolster enforcement support and the Division's efforts to fight financial fraud in Florida. OFR management made an assessment of the staffing levels in the General Counsel's Office and determined that moving the FTEs to the Divisions will not have a detrimental effect on performance outcomes of legal services.

Ultimate Outcome: OFR is realigning duties and functions to focus on effectiveness as a regulatory agency and better serving the customer through enhanced enforcement and investigation of consumer fraud.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0108 ADMINISTRATIVE SECRETARY							
C4625 001	1.00-	32,924-	1,270-	10,895-	45,089-	0.00	45,089-
0712 ADMINISTRATIVE ASSISTANT II - SES							
C4011 001	1.00-	32,000-		11,127-	43,127-	0.00	43,127-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 EXEC DIR & SUPPORT SERVICE 43900550  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN LEGAL ADMINISTRATIVE  
 POSITIONS WITHIN OFFICE OF  
 FINANCIAL REGULATION TO INCREASE  
 SUPPORT FOR COMPLIANCE - DEDUCT 2002140

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
0714	ADMINISTRATIVE ASSISTANT III - SES					
C4352 001	1.00-	40,000-		20,793-	60,793- 0.00	60,793-
TOTALS FOR ISSUE BY FUND						
2021	ADMINISTRATIVE TRUST FUND					149,009-
3.00-	104,924-	1,270-	42,815-	149,009-		149,009-

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REALIGN PUBLIC RECORDS POSITION(S)  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION - ADD 2002170  
 SALARY RATE 000000  
 SALARY RATE..... 46,123 46,123

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
EXEC DIR & SUPPORT SERVICE						43900550
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN PUBLIC RECORDS POSITION(S)						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION - ADD						2002170
SALARIES AND BENEFITS						010000
		1.00			1.00	
ADMINISTRATIVE TRUST FUND -STATE		59,890			59,890	2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE		1,800			1,800	2021 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE		356			356	2021 1
TOTAL: REALIGN PUBLIC RECORDS POSITION(S)						2002170
WITHIN THE OFFICE OF FINANCIAL						
REGULATION - ADD						
TOTAL POSITIONS.....	1.00				1.00	
TOTAL ISSUE.....		62,046			62,046	
TOTAL SALARY RATE.....		46,123			46,123	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2013-14 Narrative after February 1, 2013

Reference to Long-Range Program Plan:

This request will support the OFR's ability to reach the following goals:

- Goal #1: Excellence in all that OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals;

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	
POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES					43000000
PGM: FINANCIAL SVCS COMM					43900000
OFFICE OF FINANCIAL REG					43900500
EXEC DIR & SUPPORT SERVICE					43900550
PUBLIC PROTECTION					12
REGULATION AND LICENSING					1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT					2000000
REALIGN PUBLIC RECORDS POSITION(S)					
WITHIN THE OFFICE OF FINANCIAL					
REGULATION - ADD					2002170

Issue Description/Need: OFR is focusing on consistency and realigning duties and functions to become more effective as a financial regulatory agency. The OFR continues to receive a significant volume of public record requests regarding examinations, complaints and financial investigations conducted by staff. OFR determined that the Financial Specialist position that provides agency-wide public records responses would best be housed under the Executive Office of OFR and supervised by staff within the Office of General Counsel for legal reviews instead of within the Division of Financial Institutions. Realigning the position will support the continued efforts to unify responses and provide consistent responses from the agency with administrative oversight and adequate supervision of this important task.

Ultimate Outcome: OFR is realigning duties and functions to focus on effectiveness as a regulatory agency and better serving the citizens of the State of Florida through an enhanced and uniform response to public records requests.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
1566 FINANCIAL SPECIALIST						
C1855 001	1.00	46,123	13,767	59,890	0.00	59,890
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
	1.00	46,123	13,767	59,890		59,890

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
EXEC DIR & SUPPORT SERVICE						43900550
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	11,290	11,290				2021 1
PROGRAM REDUCTIONS						33V0000
OFFICE OF FINANCIAL REGULATION						
REDUCTION OF THE REGULATORY						
ENFORCEMENT AND LICENSING (REAL)						
SYSTEM CONTRACT COSTS						33V3050
DATA PROCESSING SERVICES						210000
REAL SYSTEM - OFR						210016
ADMINISTRATIVE TRUST FUND -STATE	800,000-	800,000-				2021 1

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Financial Regulation - Executive Direction and Support Services - 43900550

Issue Title: Reduction of the Regulatory Enforcement and Licensing (REAL) System resulting re-negotiation of the Accenture Contract

Reference to Long-Range Program Plan: This request will not impact the Office's ability to reach the following goals:

- Goal #1: Excellence in all OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals; and
- Goal #4: Register or charter institutions, companies and individuals.

Issue Description/Need:

The Regulatory Enforcement and Licensing (REAL) System provides the Office of Financial Regulation (OFR) with an integrated financial regulatory management system that combines core processes for examination, investigation, consumer





	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	4,560,701	4,560,701				
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	85.00	85.00				2573 1
	5,509,400	5,509,400				
OTHER PERSONAL SERVICES						030000
REGULATORY TRUST FUND -STATE	200,000	200,000				2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE	939,894	939,894				2573 1
OPERATING CAPITAL OUTLAY						060000
REGULATORY TRUST FUND -STATE	5,631	5,631				2573 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND -STATE	3,241,565	3,241,565				2573 1
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE	34,046	34,046				2573 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
REGULATORY TRUST FUND -STATE	34,995	34,995				2573 1
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	36,641	36,641				2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	85.00	85.00				
TOTAL ISSUE.....	10,002,172	10,002,172				
TOTAL SALARY RATE.....	4,560,701	4,560,701				
CASUALTY INSURANCE PREMIUM						1001090
ADJUSTMENT						100000
SPECIAL CATEGORIES						103241
RISK MANAGEMENT INSURANCE						
REGULATORY TRUST FUND -STATE	3,186	3,186				2573 1
FLORIDA RETIREMENT SYSTEM						1001240
CONTRIBUTION ADJUSTMENT FOR						010000
FISCAL YEAR 2012-2013						
SALARIES AND BENEFITS						
REGULATORY TRUST FUND -STATE	11,286	11,286				2573 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	10,946	10,946				2573 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	887-	887-				2573 1
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR						
TRANSFER OF BUDGET AND POSITIONS						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION - ADD						1600920
SALARY RATE						000000
SALARY RATE.....	94,828	94,828				
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	2.00 128,988	2.00 128,988				2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE	3,600	3,600				2573 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES						1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR TRANSFER OF BUDGET AND POSITIONS WITHIN THE OFFICE OF FINANCIAL REGULATION - ADD						1600920
TOTAL: RE-APPROVAL OF BUDGET AMENDMENT FOR TRANSFER OF BUDGET AND POSITIONS WITHIN THE OFFICE OF FINANCIAL REGULATION - ADD						1600920
TOTAL POSITIONS.....	2.00	2.00				
TOTAL ISSUE.....	132,588	132,588				
TOTAL SALARY RATE.....	94,828	94,828				

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Re-approval of budget amendment for transfer of budget and positions within the Office of Financial Regulation

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 8/6/2012. Agency amendment 13-10 (EOG Log# B0082) moves two (2) FTE between the budget entities that perform tasks related to the regulation of payment instruments and fund transmitters, with associated budget, within the Office of Financial Regulation.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 FINANCE REGULATION 43900560  
 PUBLIC PROTECTION 12  
 REGULATION AND LICENSING 1204.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 RE-APPROVAL OF BUDGET AMENDMENT FOR  
 TRANSFER OF BUDGET AND POSITIONS  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION - ADD 1600920

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C4145 001	1.00	44,012		15,823	59,835	0.00	59,835
1567 FINANCIAL CONTROL ANALYST							
C1874 001	1.00	52,270		16,883	69,153	0.00	69,153
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							128,988
	2.00	96,282		32,706	128,988		128,988
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9999 001		1,454-					
TOTAL SALARY RATE		1,454-					

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 FINANCE REGULATION 43900560  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 ADJUSTMENTS TO CURRENT YEAR  
 ESTIMATED EXPENDITURES 1600000  
 RE-APPROVAL OF BUDGET AMENDMENT FOR  
 TRANSFER OF BUDGET AND POSITIONS  
 WITHIN THE OFFICE OF FINANCIAL  
 REGULATION - ADD 1600920

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C4145 001	1.00	44,012		15,823	59,835	0.00	59,835
1567 FINANCIAL CONTROL ANALYST							
C1874 001	1.00	52,270		16,883	69,153	0.00	69,153
-----							
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							128,988
	2.00	96,282		32,706	128,988		128,988
=====							
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9999 001		1,454-					
-----							
TOTAL SALARY RATE		1,454-					
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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - ADD						2002130
SALARY RATE						000000
SALARY RATE.....		186,151			186,151	
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		4.00	251,911		4.00	2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE			7,200		7,200	2573 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE			1,424		1,424	2573 1
TOTAL: REALIGN POSITIONS AND FUNDING						2002130
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - ADD						
TOTAL POSITIONS.....		4.00			4.00	
TOTAL ISSUE.....			260,535			260,535
TOTAL SALARY RATE.....		186,151			186,151	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:  
 Amended 2013-14 Narrative after February 1, 2013

IT COMPONENT? NO

Reference to Long-Range Program Plan:



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - ADD						2002130

This request will support the Office's ability to reach the following goals:

- Goal #1: Excellence in all OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals

Issue Description/Need: The Division of Consumer Finance continues to receive a significant volume of consumer complaints regarding financial fraud including advance fee for loan schemes and loan modification scams. These type of complaints continue to be the highest volume of complaints received and due to significant unemployment, a sluggish housing market and tightened credit standards, an environment where advance fee schemes and mortgage fraud continues to flourish. The examination program is also detecting significant fraudulent activity occurring in the check cashing industry to include identity fraud, money laundering and check fraud.

The Division of Consumer Finance is requesting to transfer four FTEs from other business units within the agency. These transfers would permit the Division to commit additional resources to combating advance fee schemes, loan modification scams and to adequately respond to protecting consumers in the State of Florida. The positions would be experienced enforcement level positions.

Ultimate Outcome: These changes will allow OFR to better serve its customers: the financial industry as well as the consumers of Florida.

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - ADD						2002130

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C1921 001	1.00	43,508		15,758	59,266	0.00	59,266
C4201 001	1.00	43,508		15,758	59,266	0.00	59,266
1567 FINANCIAL CONTROL ANALYST							
C4589 001	1.00	46,382		16,127	62,509	0.00	62,509
1584 AREA FINANCIAL MANAGER - SES							
C4668 001	1.00	52,753		18,117	70,870	0.00	70,870
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							251,911
	4.00	186,151		65,760	251,911		251,911

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN LEGAL ADMINISTRATIVE						
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - ADD						2002150
SALARY RATE						000000
SALARY RATE.....		32,000			32,000	
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		1.00	43,127		1.00	43,127
REGULATORY TRUST FUND -STATE						2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE			1,800		1,800	2573 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE			356		356	2573 1
TOTAL: REALIGN LEGAL ADMINISTRATIVE						2002150
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - ADD						
TOTAL POSITIONS.....		1.00			1.00	
TOTAL ISSUE.....			45,283			45,283
TOTAL SALARY RATE.....		32,000			32,000	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:  
 Amended 2013-14 Narrative after February 1, 2013

IT COMPONENT? NO

Reference to Long-Range Program Plan:

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2013-14 POS AMOUNT	AGY AMD REQ FY 2013-14 POS AMOUNT	AGY AMD N/R FY 2013-14 POS AMOUNT	AGY AMD ANZ FY 2013-14 POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER) AGY FIN REQ FY 2013-14 POS AMOUNT	
					43000000
					43900000
					43900500
					43900560
					12
					<u>1204.00.00.00</u>
					2000000
					2002150

This request will support the Office's ability to reach the following goals:

- Goal #1: Excellence in all OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals

Issue Description/Need: OFR is focusing on outcomes and realigning duties and functions to become more effective as a financial regulatory agency. The Office of Financial Regulation continues to have a significant volume of legal cases relating to examinations and investigations enforcement under the Division Consumer Finance. OFR has determined that realigning one position to handle administrative support to the Division would best support fighting fraudulent activity. Reallocating one position from the General Counsel's Office to support the continued enforcement efforts in the Division of Consumer Finance will bolster enforcement support and the Division's efforts to fight financial fraud in Florida.

Ultimate Outcome: OFR is realigning duties and functions to focus on effectiveness as a regulatory agency and better serving the citizens of the State of Florida through enhanced enforcement and investigation of consumer fraud.

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
0712 ADMINISTRATIVE ASSISTANT II - SES						
C4011 001	1.00	32,000	11,127	43,127	0.00	43,127

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 FINANCE REGULATION 43900560  
 PUBLIC PROTECTION 12  
 REGULATION AND LICENSING 1204.00.00.00  
 ESTIMATED EXPENDITURES REALIGNMENT 2000000  
 REALIGN LEGAL ADMINISTRATIVE  
 POSITIONS WITHIN OFFICE OF  
 FINANCIAL REGULATION TO INCREASE  
 SUPPORT FOR COMPLIANCE - ADD 2002150

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
						43,127
1.00	32,000		11,127	43,127		43,127

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ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS 26A0000  
 STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS  
 ANNUALIZATION SALARIES AND BENEFITS 26A1830 010000  
 REGULATORY TRUST FUND -STATE 54,730 54,730 2573 1  
 TOTAL: REGULATION AND LICENSING BY FUND TYPE 1204.00.00.00  
 TRUST FUNDS 87.00 92.00 5.00 305,818 2000  
 SALARY RATE 4,655,529 4,873,680 218,151

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SALARY RATE						000000
SALARY RATE.....	4,867,173	4,867,173				
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	98.00 6,282,686	98.00 6,282,686				2573 1
OTHER PERSONAL SERVICES						030000
ANTI-FRAUD TRUST FUND -STATE	32,538	32,538				2038 1
REGULATORY TRUST FUND -STATE	4,466	4,466				2573 1
TOTAL APPRO.....	37,004	37,004				
EXPENSES						040000
ANTI-FRAUD TRUST FUND -STATE	62,885	62,885				2038 1
REGULATORY TRUST FUND -STATE	681,023	681,023				2573 1
TOTAL APPRO.....	743,908	743,908				
OPERATING CAPITAL OUTLAY						060000
ANTI-FRAUD TRUST FUND -STATE	24,528	24,528				2038 1
REGULATORY TRUST FUND -STATE	4,566	4,566				2573 1
TOTAL APPRO.....	29,094	29,094				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
ESTIMATED EXPENDITURES - OPERATIONS						1001000
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ANTI-FRAUD TRUST FUND -STATE	80,049	80,049				2038 1
REGULATORY TRUST FUND -STATE	349,500	349,500				2573 1
TOTAL APPRO.....	429,549	429,549				
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE	27,054	27,054				2573 1
LEASE/PURCHASE/EQUIPMENT						105281
REGULATORY TRUST FUND -STATE	27,253	27,253				2573 1
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	33,473	33,473				2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS						1001000
TOTAL POSITIONS.....	98.00	98.00				
TOTAL ISSUE.....	7,610,021	7,610,021				
TOTAL SALARY RATE.....	4,867,173	4,867,173				

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES						1000000
CASUALTY INSURANCE PREMIUM						
ADJUSTMENT						1001090
SPECIAL CATEGORIES						100000
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE	2,532	2,532				2573 1
FLORIDA RETIREMENT SYSTEM						
CONTRIBUTION ADJUSTMENT FOR						
FISCAL YEAR 2012-2013						1001240
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	16,130	16,130				2573 1
ADJUSTMENT TO STATE HEALTH						
INSURANCE PREMIUM CONTRIBUTION -						
FISCAL YEAR 2012-13						1001830
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	12,188	12,188				2573 1
REALLOCATION OF HUMAN RESOURCES						
OUTSOURCING						1005900
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	810-	810-				2573 1



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - DEDUCT						2002120
SALARY RATE						000000
SALARY RATE.....		181,304-			181,304-	
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		4.00- 246,443-			4.00- 246,443-	2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE		7,200-			7,200-	2573 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE		1,424-			1,424-	2573 1
TOTAL: REALIGN POSITIONS AND FUNDING						2002120
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - DEDUCT						
TOTAL POSITIONS.....		4.00-			4.00-	
TOTAL ISSUE.....		255,067-			255,067-	
TOTAL SALARY RATE.....		181,304-			181,304-	

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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:  
 Amended 2013-14 Narrative after February 1, 2013

IT COMPONENT? NO

Reference to Long-Range Program Plan:

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14	POS AMOUNT	AGY AMD N/R FY 2013-14	POS AMOUNT	AGY AMD ANZ FY 2013-14	POS AMOUNT	AGY AMD REQ FY 2013-14 OVER(UNDER)	AGY FIN REQ FY 2013-14	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
SECURITIES REGULATION										43900570
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT										2000000
REALIGN POSITIONS AND FUNDING										
WITHIN THE OFFICE OF FINANCIAL										
REGULATION TO ASSIST WITH ENFORCING										
COMPLIANCE WITH STATE LAW - DEDUCT										2002120

This request will support the Office's ability to reach the following goals:

- Goal #1: Excellence in all OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals;

Issue Description/Need: OFR is focusing on outcomes and realigning duties and functions to become more effective as a financial regulatory agency. The Office of Financial Regulation continues to receive a significant volume of complaints regarding financial frauds in the areas covered by the Consumer Finance division. OFR has determined that realigning positions to handle this type of consumer financial fraud examined by the Consumer Finance enforcement staff or investigated by the Bureau of Financial Investigations would best combat this type of fraudulent activity. OFR management made an assessment of the staffing levels in the Securities Enforcement work unit and has made a determination that moving personnel to other program areas within OFR will not have a detrimental effect on the Division's performance outcomes. Reallocating positions from the Division of Securities, Bureau of Enforcement, to support the continued efforts of the other enforcement programs within the OFR will bolster the efforts of fighting financial fraud in Florida.

Ultimate Outcome: OFR is realigning duties and functions to focus on effectiveness as a regulatory agency and better serving the customer through enhanced enforcement and investigation of consumer fraud.

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	POS	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN POSITIONS AND FUNDING						
WITHIN THE OFFICE OF FINANCIAL						
REGULATION TO ASSIST WITH ENFORCING						
COMPLIANCE WITH STATE LAW - DEDUCT						2002120

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
C4208 001	1.00-	38,661-		15,137-	53,798-	0.00	53,798-
1566 FINANCIAL SPECIALIST							
C4660 001	1.00-	43,508-		15,758-	59,266-	0.00	59,266-
1567 FINANCIAL CONTROL ANALYST							
C4589 001	1.00-	46,382-		16,127-	62,509-	0.00	62,509-
1584 AREA FINANCIAL MANAGER - SES							
C4668 001	1.00-	52,753-		18,117-	70,870-	0.00	70,870-
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							246,443-
	4.00-	181,304-		65,139-	246,443-		246,443-

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2013-14	AGY AMD REQ FY 2013-14	AGY AMD N/R FY 2013-14	AGY AMD ANZ FY 2013-14	AGY AMD REQ FY 2013-14	AGY FIN REQ FY 2013-14
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN LEGAL ADMINISTRATIVE						
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - ADD						2002150
SALARY RATE						000000
SALARY RATE.....		74,194			74,194	
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		2.00	105,882		2.00	105,882
REGULATORY TRUST FUND -STATE			3,600			3,600
EXPENSES						040000
REGULATORY TRUST FUND -STATE			712			712
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE						2573 1
TOTAL: REALIGN LEGAL ADMINISTRATIVE						2002150
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - ADD						
TOTAL POSITIONS.....		2.00			2.00	
TOTAL ISSUE.....			110,194			110,194
TOTAL SALARY RATE.....		74,194			74,194	

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AGENCY ISSUE NARRATIVE:  
 2013-2014 BUDGET YEAR NARRATIVE:  
 Amended 2013-14 Narrative after February 1, 2013

IT COMPONENT? NO

Reference to Long-Range Program Plan:

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>SECURITIES REGULATION</u>						43900570
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN LEGAL ADMINISTRATIVE						
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - ADD						2002150

This request will support the OFR's ability to reach the following goals:

- Goal #1: Excellence in all that OFR does;
- Goal #2: Enforce compliance with state laws related to the financial industry;
- Goal #3: Examine regulated companies and individuals;

Issue Description/Need: OFR is focusing on outcomes and realigning duties and functions to become more effective as a financial regulatory agency. The Office of Financial Regulation continues to have a significant volume of legal cases relating to examinations and investigations enforcement under the Division of Securities. OFR has determined that realigning two positions to handle administrative support for the Securities enforcement area would best support fighting fraudulent activity. OFR management made an assessment of the staffing levels in the General Counsel's Office and made a determination that moving two FTEs to the Division of Securities within OFR will not have a detrimental effect on performance outcomes of legal services. Reallocating two positions from the General Counsel's Office to support the continued enforcement efforts in the Division of Securities will bolster enforcement support and the Division's efforts of fighting financial fraud in Florida.

Ultimate Outcome: OFR is realigning duties and functions to focus on effectiveness as a regulatory agency and better serving the citizens of the State of Florida through enhanced enforcement and investigation of consumer fraud.

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN LEGAL ADMINISTRATIVE						
POSITIONS WITHIN OFFICE OF						
FINANCIAL REGULATION TO INCREASE						
SUPPORT FOR COMPLIANCE - ADD						2002150

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0108 ADMINISTRATIVE SECRETARY							
C4625 001	1.00	32,924	1,270	10,895	45,089	0.00	45,089
0714 ADMINISTRATIVE ASSISTANT III - SES							
C4352 001	1.00	40,000		20,793	60,793	0.00	60,793
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							105,882
	2.00	72,924	1,270	31,688	105,882		105,882

\*\*\*\*\*

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2013-14	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED						
FUNDS APPROPRIATIONS						26A0000
STATE HEALTH INSURANCE ADJUSTMENT						
FOR FY 2012-13 - 10 MONTHS						
ANNUALIZATION						26A1830
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	60,940	60,940				2573 1
TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	98.00 7,701,001	96.00 7,556,128			2.00-	144,873- 2000
SALARY RATE.....	4,867,173	4,760,063			107,110-	

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* BPEADL01                                STATISTICAL INFORMATION                                03/21/2013 12:31:44 *
* BUDGET PERIOD: 2003-2014                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 12/28/2012                COMPILE TIME: 10:44:48                                PAGE:      1      *
*****
*                                     SAVE INITIALS:                SAVE DEPARTMENT: 07      SAVE ID: ED3R
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
* ITEMIZATION OF EXPENDITURE:                IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7: 43      LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED):
*   5
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
*
* FUND GROUPS SET:                OR FUND:                FUNDING SOURCE IDENTIFIER:                MERGE FSI (Y/N): N
* FCO (Y/N): Y      FTE (Y/N): Y                SALARY RATE (Y/N): Y
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED):
*   3
*
* REPORT OPTION: 1
* 1=EAD REPORT
* 2=SCHEDULE IV/IT ISSUES      COLUMN SELECTION: A12                A14                A15                A16                A14-A12      CODES
* 3=STATEWIDE ISSUES
* 4=SCHEDULE VIIIA ISSUES      REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N THAT EXCEED:
*
* LEVELS OF TOTALS:  (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
*                    G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
*   RUN: N                ITEM OF EXP: N                GROUP: N                DEPARTMENT: N                DIVISION: N                BUREAU: N
*   SUB-BUREAU: N                LBE: T                POLICY AREA: N                PROG COMP: T                D3A SUM ISSUE: N                D3A DETAIL ISSUE: L
*   MAJOR APP CAT: N                MINOR APP CAT: D
*
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)                REPORT SEQUENCE: DEPT/BUDGET ENTITY: N  A=ALPHABETICAL
*                                     PROGRAM COMPONENT: N  N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:                PROGRAM COMPONENT NARRATIVE (Y/N): N
*
* ISSUE/ACTIVITY NARRATIVE SET: A1                PRIORITY ISSUE NARRATIVE SET (1-9):
*
* INCLUDE POSITION DATA (Y/N): Y
*
* INCLUDE COLUMN CODES (Y/N): Y
*
* OUTPUT FORMAT: L                PAGE BREAKS: LBE  PRC
* L=LANDSCAPE                (IOE, GRP, DEP, DIV,                REPORT HEADING:                EXHIBIT D-3A
* P=PORTRAIT                BUR, SUB, LBE, PRC,                EXPENDITURES BY
*                                     SIS, ISC)                ISSUE AND APPROPRIATION CATEGORY
* -----

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* BPEADL01                                STATISTICAL INFORMATION                                03/21/2013 12:31:44 *
* BUDGET PERIOD: 2003-2014                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 12/28/2012                COMPILE TIME: 10:44:48                                PAGE:      2      *
*****
*
* TOTAL RECORDS READ FROM SORT:           923
* TOTAL RECORDS READ FROM CARD:           43
* TOTAL PAF RECORDS READ:                 151
* TOTAL OAF RECORDS READ:                 22
* TOTAL IEF RECORDS READ:                 0
* TOTAL BGF RECORDS READ:                 0
* TOTAL BEF RECORDS READ:                 62
* TOTAL PCF RECORDS READ:                 58
* TOTAL ICF RECORDS READ:                 466
* TOTAL INF RECORDS READ:                 2,944
* TOTAL ACF RECORDS READ:                 59
* TOTAL FCF RECORDS READ:                 15
* TOTAL FSF RECORDS READ:                 10
* TOTAL PCN RECORDS READ:                 0
* TOTAL BEN RECORDS READ:                 0
* TOTAL DPC RECORDS READ:                 284
* TOTAL RECORDS IN ERROR:                 0
*
*****

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