

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,709,887			
=====				
SALARIES AND BENEFITS				010000
	150.00			
ADMINISTRATIVE TRUST FUND -STATE	9,213,343			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	27,801			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	1,359,766			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	10,000			2021 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
ADMINISTRATIVE TRUST FUND -STATE	790,217			2021 1
=====				
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	427,325			2021 1
=====				
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND -STATE	3,500			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	109,151			2021 1
TENANT BROKER COMMISSIONS				105084
ADMINISTRATIVE TRUST FUND -STATE	60,000			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	118,268			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	53,568			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	150.00			
TOTAL ISSUE.....	12,172,939			
TOTAL SALARY RATE.....	6,709,887			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	10,216			2021 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
EXECUTIVE DIR/SUPPORT SVCS							43010100
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							1602.00.00.00
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
CONTRIBUTION ADJUSTMENT FOR							
FISCAL YEAR 2012-2013							1001240
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	18,987						2021 1
ADJUSTMENT TO STATE HEALTH							
INSURANCE PREMIUM CONTRIBUTION -							
FISCAL YEAR 2012-13							1001830
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	16,990						2021 1
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE	1,297-						2021 1
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REAPPROVAL OF CURRENT YEAR BUDGET							
AMENDMENT - MOVE PUBLIC RECORDS							
SECTION TO LEGAL - DEDUCT							160F640
SALARY RATE							000000
SALARY RATE.....	150,242-						
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	4.00-		206,374-				2021 1
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET							160F640
AMENDMENT - MOVE PUBLIC RECORDS							
SECTION TO LEGAL - DEDUCT							
TOTAL POSITIONS.....	4.00-						
TOTAL ISSUE.....			206,374-				
TOTAL SALARY RATE.....	150,242-						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE PUBLIC RECORDS				
SECTION TO LEGAL - DEDUCT				160F640

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of current year budget amendment - move Public Records Section to Legal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-01 (EOG Log# Q0021) moved four (4) FTE in the Public Records Section, and associated budget, from the Executive Direction and Support Services budget entity to the Legal services budget entity.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0004 SENIOR CLERK							
C0144 001	1.00-	24,942-		13,376-	38,318-	0.00	38,318-
2224 GOVERNMENT ANALYST I							
C0835 001	1.00-	42,684-		15,652-	58,336-	0.00	58,336-
2212 MANAGEMENT ANALYST II - SES							
C0222 001	1.00-	30,989-		15,315-	46,304-	0.00	46,304-
7738 SENIOR ATTORNEY							
C4039 001	1.00-	51,627-		17,970-	69,597-	0.00	69,597-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE PUBLIC RECORDS				
SECTION TO LEGAL - DEDUCT				160F640

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							212,555-
	4.00-	150,242-		62,313-	212,555-		212,555-
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							6,181
							206,374-

ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
ADD							2000140
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE	80,000						2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	125,000			2021 1
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN				2000140
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				
TOTAL ISSUE.....	205,000			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	84,950			2021 1
TOTAL: EXEC LEADERSHIP/SUPPRT SVC BY FUND TYPE				1602.00.00.00
TRUST FUNDS.....	146.00			
SALARY RATE.....	12,301,411			2000
	6,559,645			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,376,352			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	87.00	5,618,402		2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	279,388			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	677,136			2021 1
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	3,639			2021 1
SPECIAL CATEGORIES				100000
TRANS TO DIV ADM HEARINGS				100565
ADMINISTRATIVE TRUST FUND -STATE	130,963			2021 1
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	253,306			2021 1
HOLOCAUST VICTIMS ASST ADM				101085
INSURANCE REG TF -STATE	308,007			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	19,824			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	51,361			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	29,077			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	87.00			
TOTAL ISSUE.....	7,371,103			
TOTAL SALARY RATE.....	4,376,352			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	1,855			2021 1
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				1001240
FISCAL YEAR 2012-2013				010000
SALARIES AND BENEFITS				
ADMINISTRATIVE TRUST FUND -STATE	14,372			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	11,225			2021 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	721-			2021 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE PUBLIC RECORDS				
SECTION TO LEGAL - ADDBACK				160F630
SALARY RATE				000000
SALARY RATE.....	150,242			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	4.00			2021 1
ADMINISTRATIVE TRUST FUND -STATE	206,374			
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET				160F630
AMENDMENT - MOVE PUBLIC RECORDS				
SECTION TO LEGAL - ADDBACK				
TOTAL POSITIONS.....	4.00			
TOTAL ISSUE.....	206,374			
TOTAL SALARY RATE.....	150,242			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of current year budget amendment - move Public Records Section to Legal

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE PUBLIC RECORDS				
SECTION TO LEGAL - ADDBACK				160F630

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-01 (EOG Log# Q0021) moved four (4) FTE in the Public Records Section, and associated budget, from the Executive Direction and Support Services budget entity to the Legal services budget entity.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0004 SENIOR CLERK							
C0144 001	1.00	24,942		13,376	38,318	0.00	38,318
2224 GOVERNMENT ANALYST I							
C0835 001	1.00	42,684		15,652	58,336	0.00	58,336
2212 MANAGEMENT ANALYST II - SES							
C0222 001	1.00	30,989		15,315	46,304	0.00	46,304
7738 SENIOR ATTORNEY							
C4039 001	1.00	51,627		17,970	69,597	0.00	69,597
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							212,555
	4.00	150,242		62,313	212,555		212,555

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET AMENDMENT - MOVE PUBLIC RECORDS SECTION TO LEGAL - ADDBACK				160F630

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							6,181-
							206,374
							=====

REAPPROVAL OF CURRENT YEAR BUDGET AMENDMENT - MOVE LEGAL STAFF FROM FRAUD TO LEGAL - ADDBACK							1600630
SALARY RATE							000000
SALARY RATE.....	107,803						
	=====	=====	=====	=====			
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	2.00	138,467					2021 1
	=====	=====	=====	=====			
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE		3,600					2021 1
	=====	=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE LEGAL STAFF FROM				
FRAUD TO LEGAL - ADDBACK				1600630
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		712		2021 1
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET				1600630
AMENDMENT - MOVE LEGAL STAFF FROM				
FRAUD TO LEGAL - ADDBACK				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		142,779		
TOTAL SALARY RATE.....	107,803			

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Re-approval of current year budget amendment - move Legal staff from Fraud to Legal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 6/29/2012. Agency amendment 13-05 (EOG Log# B0049) moves two (2) FTE performing legal duties, and the associated budget, from the Division of Insurance Fraud to the Division of Legal Services.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE LEGAL STAFF FROM				
FRAUD TO LEGAL - ADDBACK				1600630

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7738 SENIOR ATTORNEY							
C0575 001	2.00	102,608		35,859	138,467	0.00	138,467
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							138,467
	2.00	102,608		35,859	138,467		138,467
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9999 001		5,195					
TOTAL SALARY RATE		5,195					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
ADMINISTRATIVE TRUST FUND -STATE	250,000			2021 1

AGENCY ISSUE NARRATIVE:				
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO				
This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.				

REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - ADD				2000230
SALARY RATE				000000
SALARY RATE.....	250,000			

SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	250,000			2021 1

TOTAL: REALIGN SALARY BUDGET AUTHORITY TO				2000230
MATCH RECURRING OPERATING				
EXPENDITURES - ADD				
TOTAL ISSUE.....	250,000			
TOTAL SALARY RATE.....	250,000			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - ADD				2000230

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates the salary budget where there is additional need. This issue with corresponding issue code 2000220 will net to zero at the department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	250,000			250,000	0.00	250,000
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							250,000
	0.00	250,000			250,000		250,000

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
LEGAL SERVICES							43010200
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							26A1830
ANNUALIZATION SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	56,125						2021 1
TOTAL: EXEC LEADERSHIP/SUPPRT SVC BY FUND TYPE							<u>1602.00.00.00</u>
TRUST FUNDS.....	93.00						2000
SALARY RATE.....	8,303,112						
	4,884,397						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,176,658			
=====				
SALARIES AND BENEFITS				010000
	128.00			
ADMINISTRATIVE TRUST FUND -STATE	8,634,911			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	98,834			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	3,088,525			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	1,028,196			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	4,856,484			2021 1
=====				
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND -STATE	2,900			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	29,877			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	21,275			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	44,962			2021 1
DATA PROCESSING SERVICES				210000
SOUTHWOOD SRC				210021
ADMINISTRATIVE TRUST FUND -STATE	1,478			2021 1
NORTHWEST REGIONAL DC				210023
ADMINISTRATIVE TRUST FUND -STATE	88,518			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	128.00			
TOTAL ISSUE.....	17,895,960			
TOTAL SALARY RATE.....	6,176,658			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	2,796			2021 1

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	20,089			2021 1
DATA PROCESSING SERVICES				210000
SOUTHWOOD SRC				210021
ADMINISTRATIVE TRUST FUND -STATE	1			2021 1
TOTAL: FLORIDA RETIREMENT SYSTEM				1001240
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				
TOTAL ISSUE.....	20,090			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	14,938			2021 1
DATA PROCESSING SERVICES				210000
SOUTHWOOD SRC				210021
ADMINISTRATIVE TRUST FUND -STATE	1			2021 1
TOTAL: ADJUSTMENT TO STATE HEALTH				1001830
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				
TOTAL ISSUE.....	14,939			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		1,088-		2021 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF DEFERRED-PAYMENT				
COMMODITY CONTRACTS - INFORMATION				160D100
TECHNOLOGY - DEDUCT				060000
OPERATING CAPITAL OUTLAY				
ADMINISTRATIVE TRUST FUND -STATE		184,076-		2021 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Deduct
 Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D200 will net to zero at the department level.

REALIGNMENT OF DEFERRED-PAYMENT				160D200
COMMODITY CONTRACTS - INFORMATION				100000
TECHNOLOGY - ADD				105280
SPECIAL CATEGORIES				
DEFERRED-PAY COM CONTRACTS				
ADMINISTRATIVE TRUST FUND -STATE		184,076		2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF DEFERRED-PAYMENT				
COMMODITY CONTRACTS - INFORMATION				
TECHNOLOGY - ADD				160D200

AGENCY ISSUE NARRATIVE:				
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO				
Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Add				
Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.				
This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D100 will net to zero at the department level.				

ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - ADD				2007200
SALARY RATE				000000
SALARY RATE.....	57,746			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	1.00	75,331		2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE		5,889		2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		1,409,265		2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - ADD				2007200
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	354			2021 1
TOTAL: CONSOLIDATE INFORMATION TECHNOLOGY				2007200
(IT) CONTRACTS - ADD				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	1,490,839			
TOTAL SALARY RATE.....	57,746			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES

Issue Title: Consolidate Information Technology (IT) Contracts

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,490,839 from the divisions of Agent and Agency Services, State Fire Marshal, and Funeral and Cemetery Services for three existing contracts between the divisions and Information Systems of Florida (ISF). Included in the requested transfer is one full time equivalent position (FTE) from the Division of Agent and Agency to serve as a single DIS contract manager.

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications are: Agent & Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), ALIS/NIPR Data Interchange (ANDI), Internet Appointment module of ALIS (eAppoint), and Non-resident Interface for transfer of Information (NFTI); State Fire Marshal's Fire College Continuing Education (FCDICE); and Funeral and Cemetery Services AALF, ALIS, FACS-DICE, eAppoint; and other IT systems as needed.

The three current contracts with ISF cause critical issues for the department including information security issues and an inability for DIS to wholly understand and support the business needs of its customers. These contracts further place DIS at a disadvantage in responding to divisional crisis; yield a lack of efficiency; result in unnecessary excessive spending, inadequate IT resourcing, and an inability to implement a unified DFS disaster recovery plan; and result in lack of a common vision in achieving the priority goals of the department.

Transferring the three contracts from the divisions to DIS and establishing one contract manager will enable DIS to

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - ADD				2007200

manage the contracts and ensure consistency with the department's mission and vision. Consolidation into and transfer of these contracts to DIS will establish a single point of contact for all three divisions' needs.

The transferred FTE will be required to attain Contract Manager Certification training offered by the Department of Management Services and will be responsible for knowing and understanding the contract information developed and taught by the Division of Accounting and Auditing; as required for contract managers managing contracts that are \$35K and greater.

Upon the contracts ending, the contract manager will be required to complete contract closeout or renewal activities. If the contracts are closed out the contract manager will be responsible for conducting the Contract Management Life Cycle Compliance Review to ensure compliance with Accounting & Auditing standards.

If other contracts are required, the contract manager will be required to participate and/or lead the activities associated with establishing new contracts including contract planning, analysis, preparation and procurement. These responsibilities include conducting and documenting a risk assessment, contract-specific planning, business needs analysis and a technology assessment. The contract manager is also responsible for specifying Scope of Work, deliverables, performance standards, and remedies for non-performance.

DIS also plans for the transferred FTE to serve as contract manager for other contracts and/or train and manage other contract managers. This issue with corresponding issue code 2007100 will net to zero in the contracted services category at the department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	1.00	57,746		17,585	75,331	0.00	75,331

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - ADD				2007200

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							75,331
	1.00	57,746		17,585	75,331		75,331

NONRECURRING EXPENDITURES	2100000
WORKERS' COMPENSATION INTERNAL	
SELF-SUFICIENCY INITIATIVE	2103129
EXPENSES	040000
ADMINISTRATIVE TRUST FUND -STATE	2021 1
	27,090-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	74,690			2021 1
DATA PROCESSING SERVICES				210000
SOUTHWOOD SRC				210021
ADMINISTRATIVE TRUST FUND -STATE	5			2021 1
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				26A1830
ANNUALIZATION				
TOTAL ISSUE.....	74,695			
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
INTERNAL IT SELF SUFFICIENCY				
INITIATIVE FOR UNCLAIMED PROPERTY				
MANAGEMENT INFORMATION SYSTEM (UPMIS)				36370C0
SALARY RATE				000000
SALARY RATE.....	501,435			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	7.00			2021 1
ADMINISTRATIVE TRUST FUND -STATE	637,001			
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	69,902	28,679		2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
INTERNAL IT SELF SUFFICIENCY				
INITIATIVE FOR UNCLAIMED PROPERTY				
MANAGEMENT INFORMATION SYSTEM				
(UPMIS)				36370C0
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	2,478			2021 1
TOTAL: INTERNAL IT SELF SUFFICIENCY				36370C0
INITIATIVE FOR UNCLAIMED PROPERTY				
MANAGEMENT INFORMATION SYSTEM				
(UPMIS)				
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....	709,381	28,679		
TOTAL SALARY RATE.....	501,435			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Internal IT Self Sufficiency Initiative for Unclaimed Property Management Information System (UPMIS)

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS), Division of Information Systems (DIS) is requesting the establishment of seven Systems Programming Consultant full time equivalent positions (FTE) to supplement existing long-term outside staff augmentation agreements. These additional positions will enable DIS to begin the process of enhancing the current Unclaimed Property Management Information System (UPMIS) originally implemented in 2005. During the last four years, the UPMIS database has quadrupled in size. During the same period, the application staff has been reduced by one FTE and staff augmentation consultant services. UPMIS, a mainframe application, is half the size of FLAIR which requires 102 FTEs to support. Currently, there are three FTEs and four consultants supporting UPMIS. The application staff is 733 change requests in arrears and this number is growing daily.

This application manages over two billion dollars in unclaimed property assets and there is not sufficient state staff for enhancements and maintenance of this critical system. The risk to the State is significantly reduced by adding the requested FTEs. Without these positions DFS must rely totally on contractors to maintain a business system that is mission critical to the Chief Financial Officer. The requested FTEs will be responsible for re-writing legacy business systems, programs and applications previously developed in outdated, costly to maintain software; and converting manual division operations to automated/electronic formats to enable DIS to further reduce future expenditures and improve

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
INTERNAL IT SELF SUFFICIENCY				
INITIATIVE FOR UNCLAIMED PROPERTY				
MANAGEMENT INFORMATION SYSTEM				
(UPMIS)				36370C0

reliability of the system.

The critical business system and numerous applications are the backbone of UPMIS' program plan and operations. Under Florida law and rules, holders and others are required to electronically submit claims to the Division of Accounting and Auditing, Bureau of Unclaimed Property in order to reclaim their assets. The division is also required to pay claims in a timely manner. The Bureau of Unclaimed Property is reliant on electronic business systems to carry out their daily statutory duties.

The division recognizes that a more cost effective means of maintaining and enhancing its system is to recruit in-house staff for daily maintenance and enhancements, and only contract for services that are temporary, project specific and outside the capabilities of in-house FTEs. To recruit and retain experienced candidates to perform at the level necessary to assure a successful transition, DIS will need to hire at approximately 40 percent over the minimum of the Systems Programming Consultant classification. These positions will be established within DIS and co-managed by DIS in support of the Bureau of Unclaimed Property's critical business systems and applications.

The addition of seven positions will enable the department to drastically upgrade and maintain UPMIS. By upgrading the functionality of UPMIS the department will process more claims, have a better system to handle unclaimed property inquiries and requests, and be more effective, efficient and quicker placing money in the hands of citizens of the State.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	7.00	501,435		135,566	637,001	0.00	637,001

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
INTERNAL IT SELF SUFFICIENCY				
INITIATIVE FOR UNCLAIMED PROPERTY				
MANAGEMENT INFORMATION SYSTEM				
(UPMIS)				36370C0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							637,001
	7.00	501,435		135,566	637,001		637,001

SUPPORT AND MAINTENANCE FOR FLORIDA							
ACCOUNTABILITY CONTRACT TRACKING							
SYSTEM (FACTS)							36371C0
SALARY RATE							000000
SALARY RATE.....	231,409						
SALARIES AND BENEFITS							010000
	4.00						
ADMINISTRATIVE TRUST FUND -STATE	301,803						2021 1
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	39,944	16,388					2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
SUPPORT AND MAINTENANCE FOR FLORIDA				
ACCOUNTABILITY CONTRACT TRACKING				
SYSTEM (FACTS)				36371C0
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	200,000	200,000		2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	170,004	170,004		2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,416			2021 1
=====				
TOTAL: SUPPORT AND MAINTENANCE FOR FLORIDA				36371C0
ACCOUNTABILITY CONTRACT TRACKING				
SYSTEM (FACTS)				
TOTAL POSITIONS.....	4.00			
TOTAL ISSUE.....	713,167	386,392		
TOTAL SALARY RATE.....	231,409			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Support and Maintenance for Florida Accountability Contract Tracking System (FACTS)

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS), Division of Information Systems (DIS), is requesting four full time equivalent positions (FTE) and Contracted Services funds for one .Net Developer. These resources are requested for the Florida Accountability Contract Tracking System (FACTS), a subsystem of the Transparency Florida System.

The goals of the Transparency initiatives, including FACTS, are to:

1. Promote greater transparency and accountability with state dollars, contracts and the state budget;

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
SUPPORT AND MAINTENANCE FOR FLORIDA				
ACCOUNTABILITY CONTRACT TRACKING				
SYSTEM (FACTS)				36371C0

- Eliminate redundancy in contract reporting for the existing contract systems DFS' Non-Solicitations of Commodities and Services Reporting and the Governor's State Contract Management System by replacing the Florida Accounting Information Resource (FLAIR) contract input screens with FACTS as a front end; and
- Meet the CFO's statutory requirements as defined in Laws of Florida, 3011-049 and codified in Sec. 215.985, F.S.

The System Program Consultant FTE and two Applications Systems Programmer III FTEs are required to provide on-going code enhancement management and production system support. Their daily tasks will include maintenance for existing systems that support Transparency, as well as working with key stakeholders to develop enhancements and modifications to FACTS that support transparency and open government. The specified classifications of positions are requested as systems support positions and require specific knowledge, skills and abilities in the utilization of various relevant internet technologies, i.e. HTML, CSS, AJAX, and Microsoft .Net.

The Information Resource Management Consultant FTE is required to function as a senior business analyst with responsibility for serving as the liaison between Department of Management Services (DMS) and DFS to identify agreed upon requirements to support the data sharing agreement between the two agencies. This resource will be responsible for providing detailed business requirements for the data sharing agreement and provide assistance to the DIS technical resources in developing the functional requirements. The System Analyst III/Business Analyst will be required to continue in this capacity to capture business requirements submitted by DFS and DMS' partners for modifications to the Transparency Florida website and all its subsystems.

The contracted services .Net Developer is required to provide development services to complete the data exchange with the DMS Marketview or My Florida Market Place (MFMP), to collect Purchase Order contract data. Prior to contract completion this resource must complete knowledge transfer of the system to DIS' FTEs.

Operating Capital Outlay is required for network controllers and disk space to support contract imaging for all agencies and collection of 10 years of historical contract data to meet the record retention requirements mandated by the Transparency legislation in section 215.985(4)(3), F.S.

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
SUPPORT AND MAINTENANCE FOR FLORIDA				
ACCOUNTABILITY CONTRACT TRACKING				
SYSTEM (FACTS)				36371C0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	4.00	231,409		70,394	301,803	0.00	301,803
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							301,803
	4.00	231,409		70,394	301,803		301,803

STUDY FOR CENTRALIZED SINGLE LICENSING SYSTEM							36373C0
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
ADMINISTRATIVE TRUST FUND -STATE	500,000	500,000					2021 1

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Study for Centralized Single Licensing System

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
INFORMATION TECHNOLOGY							43010300
GOV OPERATIONS/SUPPORT							16
INFORMATION TECHNOLOGY							1603.00.00.00
PROGRAM OR SERVICE-LEVEL							
INFORMATION TECHNOLOGY							3630000
STUDY FOR CENTRALIZED SINGLE							
LICENSING SYSTEM							36373C0

The Department of Financial Services (DFS), Division of Information Systems (DIS), is requesting funding to secure a contract vendor from the State Term Contract to assist the department in studying an initiative to consolidate department licensing computer systems, business operations, application processing services, and call center services. DIS' objective is to reduce costs and improve customer service by providing single points of entry through the Internet and call center. The major components of this licensing consolidation initiative include: (1) implementing a statewide licensing system through a single Internet portal; (2) consolidating application processing and management services; and (3) consolidating department communication through expanding services already offered by the DFS Customer Help Line.

Currently, the department's three licensing divisions (Agent and Agency Services (A&AS); Funeral and Cemetery Services (FCS); and State Fire Marshal (SFM)) operate nine separate and discrete licensing and licensing related computer systems. In FY 2011-12, A&AS reported over 130 license types, 550,000 licensees holding 740,000 active licenses, and just over 2,000,000 company appointments with a total revenue of \$64,000,000; FCS reported 22 license types, 946 licenses and a total licensing fee revenues of approximately \$2,095,337 and ; SFM Florida State Fire College reported 22 license types and almost 5,000 licensees with a total revenue of \$144,700. Each division performs its own initial and renewal application processing and customer service functions and, for many license types, there is no capacity for electronic application submission or payment.

The objective of the requested study is to document functional requirements for a future solicitation to procure a single licensing system and/or services. To accomplish the objective, activities of the study will include: (1) review of the department's licensing business maps developed by the Business Process Mapping/Enterprise Risk Management Consulting Section with the DFS Office of Inspector General; (2) review of other department documentation related to licensing activities; (3) a gap analysis of the department's existing licensing systems to include any necessary changes to existing contracted services, budgeting, and/or statutory language; and (4) staff interviews to document functional requirements.

Creating a centralized DFS Internet e-Licensing portal will provide customers with a single point of entry for all licensing activities within the department directly from a prominent location on the department's Internet home page. Customers will no longer be required to search multiple division and/or bureau Internet sites to access or learn about DFS licensing activities.

Creating a centralized application point of entry to receive and process applications for all DFS application types and collect and process revenue associated with all DFS licensing activities, will reduce the number of employees needed to conduct these redundant activities. Currently, staff in each division are responsible for processing license applications and renewals in addition to their core regulatory duties of inspections, investigations, and complaint processing. A centralized application point of entry will enable department staff in these three divisions to focus on their core regulatory functions.

Expanding the Customer Helpline will provide the public 24-hour, 7-day a week access to information about the businesses

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
STUDY FOR CENTRALIZED SINGLE				
LICENSING SYSTEM				36373C0

and professions regulated by DFS. Currently regulatory programs in each of the DFS divisions individually respond to calls and perform customer service functions only during business hours. The expanded Customer Helpline will allow contact by telephone, e-mail, and the Internet, and provide a more centralized point of contact for customers. The scope of services provided by the Helpline will also be expanded to provide responses to general inquiries about all DFS business functions, disseminate forms, information booklets, brochures and other materials to consumers.

RETENTION OF ENTERPRISE APPLICATION				36374A0
BUREAU				000000
SALARY RATE				
SALARY RATE.....	196,359			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	221,551			2021 1
	=====	=====	=====	
TOTAL: RETENTION OF ENTERPRISE APPLICATION				36374A0
BUREAU				
TOTAL ISSUE.....	221,551			
TOTAL SALARY RATE.....	196,359			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Retention of Enterprise Application Bureau

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting funding for a 10 percent across the board salary adjustment in the amount of \$221,551 for 45 staff members in the Bureau of Insurance Applications (BEA). This request excludes seven additional full time equivalent positions (FTE) approved for the Bureau in FY 2012-13.

BEA is responsible for design, development, enhancement, maintenance and support of application systems required for the business functions and statutory responsibilities of the Department of Financial Services (DFS) and Office of Insurance

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
RETENTION OF ENTERPRISE APPLICATION				
BUREAU				36374A0

Regulation (OIR). BEA is responsible for maintaining the majority of applications that support the statutory responsibilities of the Chief Financial Officer (CFO) of the State of Florida. The BEA supports over 325 applications and the business functions support all department divisions, outside companies, and individuals.

In the past 18 months 30 percent of BEA's staff has left employment with the bureau to take positions in other state agencies for higher salaries. In most cases these people have taken the same level position at a salary that is considerably higher, often times with less responsibility. With so many staff leaving and taking the knowledge and experience of DFS and OIR's application systems with them, it is crippling to DFS, OIR and the CFO. Due to the lack of available salary dollars BEA has been unable to attract or retain the caliber of staff required to support its diverse application pool.

Adding a 10 percent salary adjustment across the board for BEA (excluding seven new FTEs received in FY 2012-13) will begin to provide BEA the capability to hire and retain quality staff and stop the drain of knowledge and skills that are due to staff leaving for monetary gains at other state agencies. This increased capability will allow BEA to begin hiring and retaining staff that will support the CFO's systems which are critical to fulfillment of his statutory responsibilities to the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
C9999 001	0.00	196,359		25,192	221,551	0.00	221,551
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							221,551
	0.00	196,359		25,192	221,551		221,551

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
FILENET P8 DOCUMENT MANAGEMENT				
IMPLEMENTATION AND MIGRATION				36380C0
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	252,107	252,107		2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	694,905	267,000		2021 1
TOTAL: FILENET P8 DOCUMENT MANAGEMENT				36380C0
IMPLEMENTATION AND MIGRATION				
TOTAL ISSUE.....	947,012	519,107		

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: FileNet P8 Document Management Implementation and Migration

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS) is requesting funding to implement the migration of three separate document management systems to a single enterprise document management system, FileNet P8. IBM FileNet P8 is a single document management system that stores and manages enterprise-level volumes of information and content. Moving to this single enterprise platform for five DFS divisions and the Office of Insurance Regulation (OIR) will meet two critical department needs: (1) timely meet the Division of Risk Management's need to have a fully functioning imaging system in place coincident with the replacement of the department's current fragile risk management information system, and (2) allowing DFS the ability to leverage the readily scalable FileNet P8 document management system to accommodate the future document management needs for the other 168 applications utilized by DFS' remaining nine service divisions, three support divisions, and eight executive offices. Currently, DFS has three separate and distinct outdated instances of FileNet supporting the following six business units: Division of Workers' Compensation, Division of Risk Management, Division of Consumer Assistance, Division of Agent & Agency Services, Division of Funeral and Cemetery Services, Consumer Services, and the Office of Insurance Regulation. Together these three FileNet systems support more than 165 department business applications. The service that is currently received by all these divisions and OIR is paid from the divisions of Workers' Compensation, Risk Management, and Information Systems' budgets.

The department's intent is to consolidate the three FileNet systems onto the single, secure, and highly available FileNet

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
FILENET P8 DOCUMENT MANAGEMENT				
IMPLEMENTATION AND MIGRATION				36380C0

P8 enterprise-level instance. DIS' objectives include: (1) fully supporting the critical document management needs associated with the replacement of the Division of Risk Management's current underperforming and misaligned Risk Management Information System; (2) providing the department with the capability to store and manage all its documents on a single consolidated enterprise-level platform; and (3) allowing documents to seamlessly flow between divisions and offices eliminating the inefficient and costly process of printing, scanning, re-printing, emailing or mailing documents among the various business units. This consolidation will significantly improve operational effectiveness and efficiency; reduce future overhead associated with managing, routing, and retrieving documents necessary to carry out the department's statutory requirements; increase processing times for initial and renewal licensure application review and approval; and provide inspectors and investigators ready access to the documents needed to fulfill the department's anti-fraud and regulatory activities.

The current maintenance and support for the duplicative and outdated instances of FileNet cost of \$309,041 is paid from three separate divisions (Division of Workers Compensation \$145,867, Division of Risk Management \$51,301 and Division of Information Systems \$111,873). The request for the transfer of funding and the request for new funding includes: (1) new nonrecurring expense budget (\$252,107) to complete the purchase of 777 IBM FileNet Image Manager Active Edition licenses and 186 IBM FileNet Business Process Manager Add-on Authorized User licenses; (2) new nonrecurring contracted services budget (\$267,000) to secure staff augmentation services from a State Term Contract vendor to assist the department with the implementation and migration of the FileNet P8 document management system; (3) transfer of existing contracted services budget (\$197,168) from both the Division of Workers' Compensation and the Division of Risk Management to support the on-going maintenance and support of the system; and (4) new recurring contracted services budget (\$230,737) for the balance of the on-going maintenance and support of the system.

This is the first of a two year request. In FY 2014-15 a request for approximately \$135,000 will be submitted to fund staff augmentation contract services for finalizing implementation of the single enterprise-level document management system.

TOTAL: INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	140.00			
SALARY RATE.....	22,562,252	1,434,178		2000
	7,163,607			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
CONSUMER ADVOCATE							43010400
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							1602.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	479,372						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	5.00	527,131				2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	115,229					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	49,127					2393 1
=====							
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	4,000					2393 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	20,471					2393 1
=====							
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	1,319					2393 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	1,138					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,912		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	5.00			
TOTAL ISSUE.....	720,327			
TOTAL SALARY RATE.....	479,372			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	123		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	804		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	639		2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
CONSUMER ADVOCATE							43010400
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							1602.00.00.00
ESTIMATED EXPENDITURES							1000000
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF		-STATE		46-			2393 1

ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - DEDUCT							160M010
EXPENSES							040000
INSURANCE REG TF		-STATE		500-			2393 1

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).
 This issue nets to zero with corresponding issue code 160M020.

REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - ADD							160M020
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF		-STATE		500			2393 1

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).
 This issue nets to zero with corresponding issue code 160M010.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	5,000			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE	15,000			2393 1
=====				
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN				2000140
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				
TOTAL ISSUE.....	20,000			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
CONSUMER ADVOCATE							43010400
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							26A1830
ANNUALIZATION SALARIES AND BENEFITS							010000
INSURANCE REG TF -STATE			3,195				2393 1
TOTAL: EXEC LEADERSHIP/SUPPRT SVC BY FUND TYPE							<u>1602.00.00.00</u>
TRUST FUNDS.....	5.00		745,042				2000
SALARY RATE.....	479,372						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,907,932			
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	6,044,304			1000 1
ADMINISTRATIVE TRUST FUND -STATE	451,446			2021 1
TOTAL POSITIONS.....	109.00			
TOTAL APPRO.....	6,495,750			
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	5,000			1000 1
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,535,578			1000 1
ADMINISTRATIVE TRUST FUND -STATE	168,513			2021 1
TOTAL APPRO.....	1,704,091			
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	190,794			1000 1
ADMINISTRATIVE TRUST FUND -STATE	25,000			2021 1
TOTAL APPRO.....	215,794			
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	2,668,816			1000 1
ADMINISTRATIVE TRUST FUND -STATE	1,931,500			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	4,600,316			
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE	13,468			1000 1
LEASE/PURCHASE/EQUIPMENT				105281
GENERAL REVENUE FUND -STATE	3,824			1000 1
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	35,822			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,657			2021 1
TOTAL APPRO.....	38,479			
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	109.00			
TOTAL ISSUE.....	13,076,722			
TOTAL SALARY RATE.....	4,907,932			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	1,260			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		16,547		1000 1
ADMINISTRATIVE TRUST FUND -STATE		1,236		2021 1
TOTAL APPRO.....		17,783		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		12,518		1000 1
ADMINISTRATIVE TRUST FUND -STATE		935		2021 1
TOTAL APPRO.....		13,453		
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE		867-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		64-		2021 1
TOTAL APPRO.....		931-		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF DEFERRED-PAYMENT				
COMMODITY CONTRACTS - INFORMATION				
TECHNOLOGY - DEDUCT				160D100
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	85,914-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	25,000-			2021 1
TOTAL APPRO.....	110,914-			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Deduct

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D200 will net to zero at the department level.

REALIGNMENT OF DEFERRED-PAYMENT				
COMMODITY CONTRACTS - INFORMATION				
TECHNOLOGY - ADD				160D200
SPECIAL CATEGORIES				100000
DEFERRED-PAY COM CONTRACTS				105280
GENERAL REVENUE FUND -STATE	85,914			1000 1
ADMINISTRATIVE TRUST FUND -STATE	25,000			2021 1
TOTAL APPRO.....	110,914			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Realignment of Deferred-Payment Commodity Contracts - Information Technology - Add

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF DEFERRED-PAYMENT				
COMMODITY CONTRACTS - INFORMATION				
TECHNOLOGY - ADD				160D200

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This issue realigns authority from the Operating Capital Outlay category to the Deferred Payment Commodity Contracts category in accordance with rule 69I-3.004, Florida Administrative Code, and section 287.063, Florida Statutes. This issue with corresponding issue code 160D100 will net to zero at the department level.

ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	400,000			2021 1

=====

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

	COL A03	COL A04	COL A05		
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ		
	FY 2013-14	FY 2013-14	FY 2013-14		
POS	AMOUNT	POS	AMOUNT	POS	
				AMOUNT	
				CODES	
FINANCIAL SERVICES					43000000
PRG: CHIEF FIN OFFICER/ADM					43010000
INFO TECHNOLOGY - FLAIR					43010500
GOV OPERATIONS/SUPPORT					16
INFORMATION TECHNOLOGY					1603.00.00.00
NONRECURRING EXPENDITURES					2100000
FLAIR SUCCESSION PLAN - OVERLAP					
STAFF TO MAINTAIN FLAIR PROFICIENCY					2103133
SALARY RATE					000000
SALARY RATE.....	196,256-				
=====					
SALARIES AND BENEFITS					010000
GENERAL REVENUE FUND -STATE	7.00-				
	282,401-				1000 1
=====					
EXPENSES					040000
GENERAL REVENUE FUND -STATE					
	39,037-				1000 1
=====					
SPECIAL CATEGORIES					100000
TR/DMS/HR SVCS/STW CONTRCT					107040
GENERAL REVENUE FUND -STATE					
	2,492-				1000 1
=====					
TOTAL: FLAIR SUCCESSION PLAN - OVERLAP					2103133
STAFF TO MAINTAIN FLAIR PROFICIENCY					
TOTAL POSITIONS.....	7.00-				
TOTAL ISSUE.....	323,930-				
TOTAL SALARY RATE.....	196,256-				
=====					
FLAIR REPLACEMENT - INDEPENDENT					
BUSINESS CASE STUDY					2103135
SPECIAL CATEGORIES					100000
CONTRACTED SERVICES					100777
ADMINISTRATIVE TRUST FUND -STATE					
	1,500,000-				2021 1
=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1830
				010000
GENERAL REVENUE FUND -STATE	62,590			1000 1
ADMINISTRATIVE TRUST FUND -STATE	4,675			2021 1
TOTAL APPRO.....	67,265			
WORKLOAD				3000000
FLAIR SUCCESSION PLAN - OVERLAP				
STAFF TO MAINTAIN FLAIR PROFICIENCY				30050C0
SALARY RATE				000000
SALARY RATE.....	243,128	243,128		
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	8.00	8.00		
	355,729	355,729		1000 1
EXPENSES				040000
GENERAL REVENUE FUND -STATE	67,597	67,597		1000 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	2,832	2,832		1000 1
TOTAL: FLAIR SUCCESSION PLAN - OVERLAP				30050C0
STAFF TO MAINTAIN FLAIR PROFICIENCY				
TOTAL POSITIONS.....	8.00	8.00		
TOTAL ISSUE.....	426,158	426,158		
TOTAL SALARY RATE.....	243,128	243,128		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
WORKLOAD				3000000
FLAIR SUCCESSION PLAN - OVERLAP				
STAFF TO MAINTAIN FLAIR PROFICIENCY				30050C0

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: FLAIR Succession Plan - Overlap Staff to Maintain FLAIR Proficiency

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

FLAIR, the State of Florida accounting system, is a critical statewide system that processes over 52 million transactions per year. The number of warrants and electronic fund transfers produced annually are over 16 million. This is a 223 percent increase from when FLAIR was first implemented in 1980.

Thirty-eight FLAIR staff members are either currently in DROP, are within five years of retirement by age or years of service, have returned after DROP, or already have over 30 years of service. The FLAIR staff members who will terminate within the next five years are seasoned and experienced experts with many combined years of institutional FLAIR knowledge. During Fiscal Year 2012-13, seven full time equivalent positions (FTE) were established as nonrecurring; and the department received \$323,930 in nonrecurring funding for the first year of the overlap plan. This issue is to request the second year overlap of eight FTE and \$426,158, both nonrecurring.

To maintain continued staff competency without significant customer service and system interruptions, it is vital that DFS hire replacement staff to overlap the FLAIR staff members who are scheduled to DROP during Fiscal Year 2013-14. It is recommended that the overlap period be initiated at least one year prior to each retiring staff member's termination date.

By implementing staff position overlaps, DFS will provide sufficient time for newly hired staff to learn the intricacies of FLAIR's customized programming language as well as other unique complexities associated with the FLAIR system.

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
WORKLOAD				3000000
FLAIR SUCCESSION PLAN - OVERLAP				
STAFF TO MAINTAIN FLAIR PROFICIENCY				30050C0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	8.00	243,128		112,601	355,729	0.00	355,729
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							355,729
	8.00	243,128		112,601	355,729		355,729

A04 - AGY REQ N/R FY 2013-14

NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	8.00	243,128		112,601	355,729	0.00	355,729
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							355,729
	8.00	243,128		112,601	355,729		355,729

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
INCREASE BUDGET FOR FLAIR OPERATING				
COSTS				36378C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		1		2021 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Increase Budget for FLAIR Operating Costs

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting additional contracted services budget authority to true-up its recurring FLAIR budget to provide continuation funds to cover its operating costs.

DIS' current recurring operational budget to support its FLAIR operations is \$11,252,792. This continuation budget is not sufficient to support continued operations which have risen over a period of time without adjustments. The deficit between the appropriation and expenditures, \$2,372,443, is paid from Budget Entity 43010300 (Information Technology) and cost allocated to various DFS trust funds.

The projected annual cost to operate the FLAIR operations is:

Category	Cost
-----	-----
Salary & Benefits:	6,787,428
OPS:	13,607
Expense:	1,442,509
OCO:	781,820
Contracted Services:	4,539,392
Risk Management:	13,468
Lease or Lease Purchase of Equipment:	3,824
Transfer to DMS - HR Services Contract:	35,987
NWRDC:	7,200
TOTAL:	\$13,625,235

When the FLAIR Operating Budget is trued-up, DIS will be able to administer the Information Technology budget to provide

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
INCREASE BUDGET FOR FLAIR OPERATING				
COSTS				36378C0

needed goods and services to the rest of the department. The following are representative of the types of projects needed in order to continue the transformation of the department's technology division.

Upgrade and consolidation of the department's seven different FileNet systems into one consolidated P8 environment;

Replace costly Oracle database systems with a DB2 clustered environment;

Staff Augmentation to support FACTS, UPMIS, ALIS, DICE, FileNet and the implementation and mobile application;

Remedy requirements: Additional modules to properly accomplish project and resources/inventory management;

Remedy licenses to accommodate user self service; and training to maintain overall system;

Security tools to validate that DFS application software is free of security holes and resistant to external software exploits;

Document content management to enable DFS to control, manage and secure distribution of forms and documents internally and externally;

Testing software to improve quality, reliability and speed of application software; request suite of testing tools to allow debugging and performance testing of any software deployed by DFS;

Interactive Voice Response (IVR) to help Division of Consumer Services and DIS provide better customer service;

Conversion and administrative tools to facilitate migration of legacy systems and software programs to technology that is newer and less costly to maintain;

Replace aging servers and network equipment to maintain speed and reliability of DFS' computing infrastructure; and

Replace personal computers that could not be accommodated with current budget.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
RESTRUCTURE FLAIR THIRD SHIFT				36379C0
SALARY RATE				000000
SALARY RATE.....	37,265-			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND	3.00-			
-STATE		72,575-		1000 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND		1,062-		1000 1
=====				
TOTAL: RESTRUCTURE FLAIR THIRD SHIFT				36379C0
TOTAL POSITIONS.....	3.00-			
TOTAL ISSUE.....		73,637-		
TOTAL SALARY RATE.....	37,265-			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Restructure FLAIR Third Shift

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

This request consolidates four full time equivalent positions (FTE) currently assigned to the mainframe Production Control Unit into one higher paid FTE. The positions to be deleted have a current salary and benefits cost of \$174,519 and the requested FTE will have a salary and benefits cost of \$101,950.

There are multiple systems running on the Department of Financial Services (DFS) mainframe: FLAIR, the Executive office of the Governor's LAS/PBS system, Workers Compensation, Unclaimed Property and a small component of the Treasury's system. The processes that support these systems are currently running 24 hours a day, 7 days a week and must be monitored to assure that the systems are available and accurate. The Division of Information Systems (DIS) currently has personnel in its Production Control unit assigned to monitor these processes during three shifts; Monday through Friday and a split shift to cover a critical portion of the weekend work. Two of the four positions will be eliminated from the third shift Production Control Unit. This will eliminate the need for the Quality Control Scheduling Supervisor and the Quality Control Scheduling Specialist.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
RESTRUCTURE FLAIR THIRD SHIFT				36379C0

The following can be implemented to eliminate the third shift:

- *Nightly process flows will be shortened by rescheduling processes to complete at an earlier time;
- *Automation will be employed to alert on-call staff via email of any process failure during periods that are not actively monitored by Production Control staff; and
- *Second shift will be scheduled into a split shift that will entail two production control monitors working from 3 p.m. to 11 p.m. and two additional monitors working from 7 p.m. to 3 a.m. This measure will provide coverage by four staff members during the peak hours of 7 p.m. to 11 p.m. and coverage by two staff members to monitor processes that run until 3 a.m.

The remaining two positions in the DIS Production Control Unit that can also be eliminated are a Data Processing Control Specialist and a Computer Operator II. The Data Processing Control Specialist is responsible for handling tapes in the DFS tape library which will be replaced by a Virtual Tape Library in early 2013 which will eliminate the need for an FTE to handle the physical tapes. The Computer Operator II can be eliminated due to a reduced workload in the production print area as more reports are captured online and more payments are made via electronic fund transfers (EFT) thus eliminating printing of paper warrants.

The upgraded position will be a dedicated Project Manager for FLAIR activities. Each year state and federal legislation is passed that results in major projects that directly impact FLAIR, for example, three percent Federal Withholding, three percent Employee Pension Contributions, Legislative Payroll, Federal Health Insurance Reform Act, to name a few. These are often complex initiatives with far scope, impacts and costs making it critical that they be managed per state and federal project guidelines. With the elimination of the four Production Control FTEs funds will be available to add a senior level project manager to support the DIS Office of Enterprise Financial Support Services which includes the FLAIR and mainframe organizations. This project manager can then support the major projects mandated in these business units to ensure they are completed on time, in scope and in budget.

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
RESTRUCTURE FLAIR THIRD SHIFT				36379C0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	4.00-	118,604-		55,921-	174,525-	0.00	174,525-
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							174,525-
	4.00-	118,604-		55,921-	174,525-		174,525-
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N0001 001	1.00	81,339		20,611	101,950	0.00	101,950
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							101,950
	1.00	81,339		20,611	101,950		101,950

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	963,124			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF	-STATE	23.00	1,424,366	2725 1
=====				
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF	-STATE	1,500		2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF	-STATE	247,113		2725 1
=====				
OPERATING CAPITAL OUTLAY				060000
TREASURY ADM/INVEST TF	-STATE	1,783		2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF	-STATE	80,205		2725 1
=====				
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF	-STATE	13,035		2725 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
TREASURY ADM/INVEST TF	-STATE	2,616		2725 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE		7,670		2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	23.00			
TOTAL ISSUE.....	1,778,288			
TOTAL SALARY RATE.....	963,124			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
TREASURY ADM/INVEST TF -STATE		1,220		2725 1
FLORIDA RETIREMENT SYSTEM				1001240
CONTRIBUTION ADJUSTMENT FOR				010000
FISCAL YEAR 2012-2013				
SALARIES AND BENEFITS				
TREASURY ADM/INVEST TF -STATE		4,721		2725 1
ADJUSTMENT TO STATE HEALTH				1001830
INSURANCE PREMIUM CONTRIBUTION -				010000
FISCAL YEAR 2012-13				
SALARIES AND BENEFITS				
TREASURY ADM/INVEST TF -STATE		3,178		2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	186-			2725 1
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				2000220
SALARY RATE				000000
SALARY RATE.....	36,500-			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	36,500-			2725 1
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO				2000220
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				
TOTAL ISSUE.....	36,500-			
TOTAL SALARY RATE.....	36,500-			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the deduct side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves salary budget for realignment. This issue with corresponding issue code 2000230 will net to zero at the department level.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				2000220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	36,500-			36,500-	0.00	36,500-
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							36,500-
	0.00	36,500-			36,500-		36,500-

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1830 010000
TREASURY ADM/INVEST TF -STATE	15,890						2725 1
TOTAL: GOVERNMENTAL OPERATIONS BY FUND TYPE							<u>1601.00.00.00</u>
TRUST FUNDS.....	23.00						
SALARY RATE.....	1,766,611						2000
	926,624						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,183,429			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	28.50	1,654,547		2725 1
=====				
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	17,500			2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	249,846			2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	948,785			2725 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	9,324			2725 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	28.50			
TOTAL ISSUE.....	2,880,002			
TOTAL SALARY RATE.....	1,183,429			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	5,315			2725 1
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	3,563			2725 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	226-			2725 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - DEDUCT				160M010
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	1,500-			2725 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PROGRAM: TREASURY							43100000
ST FUNDS MGT & INVESTMENT							43100300
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - ADD							160M020
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
TREASURY ADM/INVEST TF -STATE		1,500					2725 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.

ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN SALARY BUDGET AUTHORITY TO							
MATCH RECURRING OPERATING							
EXPENDITURES - ADD							2000230
SALARY RATE							000000
SALARY RATE.....	48,500						
SALARIES AND BENEFITS							010000
TREASURY ADM/INVEST TF -STATE		48,500					2725 1
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO							2000230
MATCH RECURRING OPERATING							
EXPENDITURES - ADD							
TOTAL ISSUE.....	48,500						
TOTAL SALARY RATE.....	48,500						

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue is the add side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - ADD				2000230

first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates the salary budget where there is additional need. This issue with corresponding issue code 2000220 will net to zero at the department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	48,500			48,500	0.00	48,500
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							48,500
	0.00	48,500			48,500		48,500

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
			AMOUNT	
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	17,815			2725 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	28.50			
SALARY RATE.....	2,954,969			2000
	1,231,929			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	437,759			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	12.00	633,121		2725 1
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	20,100			2725 1
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	108,828			2725 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	252			2725 1
DEFERRED COMP ADM SVCS				100868
TREASURY ADM/INVEST TF -STATE	950,000			2725 1
LEASE/PURCHASE/EQUIPMENT				105281
TREASURY ADM/INVEST TF -STATE	1,905			2725 1
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	3,798			2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	12.00			
TOTAL ISSUE.....	1,718,004			
TOTAL SALARY RATE.....	437,759			
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	1,419			2725 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	1,749			2725 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	92-			2725 1
=====				

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				2000220
SALARY RATE				000000
SALARY RATE.....	12,000-			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	12,000-			2725 1
=====				
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO				2000220
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				
TOTAL ISSUE.....	12,000-			
TOTAL SALARY RATE.....	12,000-			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the deduct side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves salary budget for realignment. This issue with corresponding issue code 2000230 will net to zero at the department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	12,000-			12,000-	0.00	12,000-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				2000220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							12,000-
	0.00	12,000-			12,000-		12,000-

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							26A1830
ANNUALIZATION SALARIES AND BENEFITS							010000
TREASURY ADM/INVEST TF -STATE		8,745					2725 1
TOTAL: GOVERNMENTAL OPERATIONS BY FUND TYPE							<u>1601.00.00.00</u>
TRUST FUNDS.....	12.00						2000
SALARY RATE.....		1,717,825					
		425,759					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	8,081,667			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	9,369,624			1000 1
ADMINISTRATIVE TRUST FUND -STATE	1,249,594			2021 1
TOTAL POSITIONS.....	173.00			
TOTAL APPRO.....	10,619,218			
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	22,994			1000 1
ADMINISTRATIVE TRUST FUND -STATE	127,420			2021 1
TOTAL APPRO.....	150,414			
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,186,562			1000 1
ADMINISTRATIVE TRUST FUND -STATE	129,451			2021 1
TOTAL APPRO.....	1,316,013			
=====				
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	27,000			1000 1
=====				
SPECIAL CATEGORIES				100000
REGISTRY ATTORNEYS				100650
ADMINISTRATIVE TRUST FUND -STATE	1,716,384			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	605,949			1000 1
OPERATION/MOTOR VEHICLES				102289
GENERAL REVENUE FUND -STATE	3,100			1000 1
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	51,017			2021 1
SALARY INCENTIVE PAYMENTS				103290
GENERAL REVENUE FUND -STATE	700			1000 1
LEASE/PURCHASE/EQUIPMENT				105281
GENERAL REVENUE FUND -STATE	5,122			1000 1
ADMINISTRATIVE TRUST FUND -STATE	3,805			2021 1
TOTAL APPRO.....	8,927			
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	54,247			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,485			2021 1
TOTAL APPRO.....	56,732			
TR/PRISON INDUSTRY ENH PRG				108005
PRISON INDUSTRIES TF -STATE	750,000			2385 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	173.00						
TOTAL ISSUE.....	15,305,454						
TOTAL SALARY RATE.....	8,081,667						
=====							
CASUALTY INSURANCE PREMIUM							1001090
ADJUSTMENT							100000
SPECIAL CATEGORIES							103241
RISK MANAGEMENT INSURANCE							
ADMINISTRATIVE TRUST FUND -STATE	4,774						2021 1
=====							
FLORIDA RETIREMENT SYSTEM							1001240
CONTRIBUTION ADJUSTMENT FOR							010000
FISCAL YEAR 2012-2013							
SALARIES AND BENEFITS							
GENERAL REVENUE FUND -STATE	27,014						1000 1
ADMINISTRATIVE TRUST FUND -STATE	3,604						2021 1
TOTAL APPRO.....	30,618						
=====							
ADJUSTMENT TO STATE HEALTH							1001830
INSURANCE PREMIUM CONTRIBUTION -							010000
FISCAL YEAR 2012-13							
SALARIES AND BENEFITS							
GENERAL REVENUE FUND -STATE	19,209						1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,563						2021 1
TOTAL APPRO.....	21,772						
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	1,313-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	60-			2021 1
TOTAL APPRO.....	1,373-			

ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - DEDUCT				160M010
EXPENSES				040000
GENERAL REVENUE FUND -STATE	7,300-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	4,900-			2021 1
TOTAL APPRO.....	12,200-			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - ADD				160M020
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
GENERAL REVENUE FUND -STATE	7,300			1000 1
ADMINISTRATIVE TRUST FUND -STATE	4,900			2021 1
TOTAL APPRO.....	12,200			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - ADD				160M020

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).

This issue nets to zero with corresponding issue code 160M010.

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1830
				010000
GENERAL REVENUE FUND -STATE	96,045			1000 1
ADMINISTRATIVE TRUST FUND -STATE	12,815			2021 1
TOTAL APPRO.....	108,860			

WORKLOAD				3000000
INCREASE BUDGET AUTHORITY IN THE TRANSFER TO THE PRISON INDUSTRY ENHANCEMENT PROGRAM (PIE)				3000290
SPECIAL CATEGORIES				100000
TR/PRISON INDUSTRY ENH PRG				108005
PRISON INDUSTRIES TF -STATE	150,000			2385 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Prison Industries Trust Fund is created in section 946.522, Florida Statutes. The fund is administered by the Department of Financial Services and is to be used for the purposes of construction or renovation of facilities or for the expansion or establishment of correctional work programs. Payments are made following the receipt of resolutions

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
WORKLOAD				3000000
INCREASE BUDGET AUTHORITY IN THE				
TRANSFER TO THE PRISON INDUSTRY				
ENHANCEMENT PROGRAM (PIE)				3000290

from the PRIDE board. Therefore payments are dependent upon the timing of board meetings and the amount requested.

Originally the department filed budget amendments as needed for disbursement authority, but in Fiscal Year 2006-2007 a recurring authority amount of \$750,000 was appropriated.

Over the last three fiscal years the revenues received into this fund have increased. Revenues in Fiscal Year 2009-2010 totaled \$565,382, Fiscal Year 2010-11 totaled \$664,970 (approximate 15% increase), and Fiscal Year 2011-2012 totaled \$812,349 (approximate 22% increase). A budget amendment in FY 2011-12 was only avoided due to the timing of the PRIDE board meetings and amount requested. The Department ended the fiscal year with only \$2,800 in remaining authority in the fund.

The department is requesting an increase of \$150,000 in budget authority in the Transfer to the Prison Industry Enhancement (PIE) Program within the Prison Industries Trust Fund to ensure there is sufficient authority to disburse collected revenues.

ADDITIONAL STAFF FOR BUREAU OF AUDITING FOR AUDITS AND TRAINING				3000660
SALARY RATE				000000
SALARY RATE.....	496,171			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND	-STATE	11.00		
		671,766		1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND	-STATE	109,703	41,382	1000 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF FOR BUREAU OF				
AUDITING FOR AUDITS AND TRAINING				3000660
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND				
-STATE	3,894			1000 1
TOTAL: ADDITIONAL STAFF FOR BUREAU OF				3000660
AUDITING FOR AUDITS AND TRAINING				
TOTAL POSITIONS.....	11.00			
TOTAL ISSUE.....	785,363	41,382		
TOTAL SALARY RATE.....	496,171			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Auditing is requesting the establishment of eleven new positions and the reclassification of eight existing positions (requested in issue code 3001A70). This request is needed in order to recruit and retain key audit positions, in order to increase the number of state contracts and grants being reviewed by the Bureau. Over the past twelve months, the Department has shifted its Audit Plan to areas of higher risk and materiality, including the audit of agency contract and grant agreements, and the reviews of contract manager files as well as state purchasing card (PCard) activity. These types of complex reviews cannot be successfully executed within the current Bureau organizational structure.

Using current resources, the Bureau is only able to review and audit approximately 2% (1,000) of the total number of active contracts and grants each year. The Bureau is reviewing a very small sample of agency PCard transactions, and is only able to review contract files in eight to ten agencies per year. In addition agencies demand for additional training in contracts/grants and contract management have outweighed the Bureau's ability to conduct these classes. In FY11/12, the Bureau conducted 64 training classes, training more than 1,700 employees and providers (vendors).

This request would allow the Bureau to double the number of contracts, contract manager files, and PCard transactions being audited/reviewed, as well as increase and strengthen the existing training classes being offered to the 35 state agencies, as well as service providers.

	COL A03 AGY REQUEST FY 2013-14 POS	COL A04 AGY REQ N/R FY 2013-14 POS	COL A05 AG REQ ANZ FY 2013-14 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
WORKLOAD							3000000
ADDITIONAL STAFF FOR BUREAU OF AUDITING FOR AUDITS AND TRAINING							3000660

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE N9999 001	11.00	496,171		175,595	671,766	0.00	671,766
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							671,766
	11.00	496,171		175,595	671,766		671,766

ADDITIONAL STAFF IN OFFICE OF FISCAL INTEGRITY							3000670
SALARY RATE							000000
SALARY RATE.....	84,178						
SALARIES AND BENEFITS							010000
GENERAL REVENUE FUND -STATE	2.00	115,330					1000 1
EXPENSES							040000
GENERAL REVENUE FUND -STATE		19,946	7,524				1000 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF IN OFFICE OF				
FISCAL INTEGRITY				3000670
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND				
-STATE		708		1000 1
TOTAL: ADDITIONAL STAFF IN OFFICE OF				3000670
FISCAL INTEGRITY				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		135,984	7,524	
TOTAL SALARY RATE.....	84,178			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Fiscal Integrity (OFI) is requesting the establishment of two new positions, to strengthen the overall skills of its investigation team. OFI's team is made up of five investigators (two of which are sworn officers), one (1) analyst and two (2) accountants. The OFI team currently lacks expertise in analyzing complex agency contracts and grant agreements. OFI must rely on assistance from other Bureaus for assistance in this area, and this causes delays in their investigations.

Over the past four fiscal years, OFI has averaged ten investigations dealing with contract-related fraud. OFI intends to increase its workload using proactive investigative methods, and partner with other agencies and the Bureau of Auditing on research and investigations into contract fraud. Also, as the Bureau of Auditing begins auditing a higher number of agency contracts and grants, it is highly likely that additional allegations of fraud will be discovered and referred to OFI. Without these additional positions, OFI cases will take longer to investigate and OFI will not be able to increase its current case load of these types of cases.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF IN OFFICE OF				
FISCAL INTEGRITY				3000670

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	2.00	84,178		31,152	115,330	0.00	115,330
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							115,330
	2.00	84,178		31,152	115,330		115,330

ADDITIONAL STAFF FOR SUPPORT AND REPLACEMENT OF FLORIDA ACCOUNTING INFORMATION RESOURCE (FLAIR)							3000680
SALARY RATE							000000
SALARY RATE.....	399,132						
SALARIES AND BENEFITS							010000
GENERAL REVENUE FUND -STATE	6.00	511,397					1000 1
EXPENSES							040000
GENERAL REVENUE FUND -STATE		59,838	22,572				1000 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF FOR SUPPORT AND				
REPLACEMENT OF FLORIDA ACCOUNTING				
INFORMATION RESOURCE (FLAIR)				3000680
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	2,124			1000 1
TOTAL: ADDITIONAL STAFF FOR SUPPORT AND				3000680
REPLACEMENT OF FLORIDA ACCOUNTING				
INFORMATION RESOURCE (FLAIR)				
TOTAL POSITIONS.....	6.00			
TOTAL ISSUE.....	573,359	22,572		
TOTAL SALARY RATE.....	399,132			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Division of Accounting and Auditing is requesting the establishment of six (6) new positions, to be used in the Division Director's Office for current and future Department initiatives, including the replacement of the State's accounting system (FLAIR). Beginning in Fiscal Year 2013-2014, the Division will be tasked with playing a key role in implementing the initial steps surrounding the replacement of FLAIR, as determined by a Business Case Study, to be conducted in FY 2012-2013. These positions will be high-level, professional positions, with key expertise in governmental accounting, and are being requested to be established at the Financial Administrator level.

Due to the difficulty of recruiting this level of employee, this request includes additional amounts sufficient to higher at 10% over the minimum for the paygrade.

	COL A03 AGY REQUEST FY 2013-14 POS	COL A04 AGY REQ N/R FY 2013-14 POS	COL A05 AG REQ ANZ FY 2013-14 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
WORKLOAD							3000000
ADDITIONAL STAFF FOR SUPPORT AND REPLACEMENT OF FLORIDA ACCOUNTING INFORMATION RESOURCE (FLAIR)							3000680

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE N9999 001	6.00	399,132		112,265	511,397	0.00	511,397
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							511,397
	6.00	399,132		112,265	511,397		511,397

ADDITIONAL STAFF TO PERFORM AUDITS IN BUREAU OF STATE PAYROLLS							3000690
SALARY RATE							000000
SALARY RATE.....	239,040						
SALARIES AND BENEFITS							010000
GENERAL REVENUE FUND -STATE	5.00	320,589					1000 1
EXPENSES							040000
GENERAL REVENUE FUND -STATE		49,865	18,810				1000 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF TO PERFORM AUDITS				
IN BUREAU OF STATE PAYROLLS				3000690
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	1,770			1000 1
TOTAL: ADDITIONAL STAFF TO PERFORM AUDITS				3000690
IN BUREAU OF STATE PAYROLLS				
TOTAL POSITIONS.....	5.00			
TOTAL ISSUE.....	372,224	18,810		
TOTAL SALARY RATE.....	239,040			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 The Bureau of State Payrolls is requesting the establishment of five new positions, for the purposes of creating the Post Audit Section. One of the Bureau's key responsibilities is the examination and audit of the 35 state agencies' payroll processing functions. Bureau audits frequently identify weak procedures and poor practices on areas involving salary and on-call overpayments, leave payout calculations, and maintenance of accurate payroll records.

The Bureau currently has two staff assigned to this responsibility, and they can only audit three to five agencies each year, which means the 35 agencies are only audited once every seven to ten years. Adding the additional five positions will allow the Bureau to audit each state agency more frequently, and lessen the likelihood that weak agency practices go undiscovered for up to ten years.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	5.00	239,040		81,549	320,589	0.00	320,589

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF TO PERFORM AUDITS				
IN BUREAU OF STATE PAYROLLS				3000690

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							320,589
	5.00	239,040		81,549	320,589		320,589

RECLASSIFICATION OF POSITIONS IN BUREAU OF AUDITING FOR AUDITS AND TRAINING							3001A70
SALARY RATE							000000
SALARY RATE.....	114,071						
SALARIES AND BENEFITS							010000
GENERAL REVENUE FUND -STATE	128,706						1000 1
TOTAL: RECLASSIFICATION OF POSITIONS IN BUREAU OF AUDITING FOR AUDITS AND TRAINING							3001A70
TOTAL ISSUE.....	128,706						
TOTAL SALARY RATE.....	114,071						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS IN				
BUREAU OF AUDITING FOR AUDITS AND				
TRAINING				3001A70

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Auditing is requesting the establishment of eleven new positions (in issue code 3000660), and the reclassification of eight existing positions. This request is needed in order to recruit and retain key audit positions, in order to increase the number of state contracts and grants being reviewed by the Bureau. Over the past twelve months, the Department has shifted its Audit Plan to areas of higher risk and materiality, including the audit of agency contract and grant agreements, and the reviews of contract manager files as well as state purchasing card (PCard) activity. These types of complex reviews cannot be successfully executed within the current Bureau organizational structure.

Using current resources, the Bureau is only able to review and audit approximately 2% (1,000) of the total number of active contracts and grants each year. The Bureau is reviewing a very small sample of agency PCard transactions, and is only able to review contract files in eight to ten agencies per year. In addition agencies demand for additional training in contracts/grants and contract management have outweighed the Bureau's ability to conduct these classes. In FY11/12, the Bureau conducted 64 training classes, training more than 1,700 employees and providers (vendors).

This request would allow the Bureau to double the number of contracts, contract manager files, and PCard transactions being audited/reviewed, as well as increase and strengthen the existing training classes being offered to the 35 state agencies, as well as service providers.

This issue requests \$128,706 in additional salaries and benefits budget authority for the reclassification of eight positions.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
C9999 001	0.00	114,071		14,635	128,706	0.00	128,706

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS IN				
BUREAU OF AUDITING FOR AUDITS AND				
TRAINING				3001A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							128,706
	0.00	114,071		14,635	128,706		128,706

TOTAL: GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
BY FUND TYPE							
GENERAL REVENUE FUND	13,411,889	90,288					1000
TRUST FUNDS	4,203,852						2000
TOTAL POSITIONS.....	197.00						
TOTAL PROG COMP.....	17,615,741	90,288					
TOTAL SALARY RATE.....	9,414,259						

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,217,150			
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	57.00			
	2,786,339			2007 1
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF -STATE	180,000			2007 1
EXPENSES				040000
UNCLAIMED PROPERTY TF -STATE	784,443			2007 1
OPERATING CAPITAL OUTLAY				060000
UNCLAIMED PROPERTY TF -STATE	7,500			2007 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF -STATE	226,794			2007 1
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF -STATE	8,361			2007 1
LEASE/PURCHASE/EQUIPMENT				105281
UNCLAIMED PROPERTY TF -STATE	7,024			2007 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF -STATE		19,116		2007 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		57.00		
TOTAL ISSUE.....		4,019,577		
TOTAL SALARY RATE.....		2,217,150		
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
UNCLAIMED PROPERTY TF -STATE		782		2007 1
FLORIDA RETIREMENT SYSTEM				1001240
CONTRIBUTION ADJUSTMENT FOR				010000
FISCAL YEAR 2012-2013				
SALARIES AND BENEFITS				
UNCLAIMED PROPERTY TF -STATE		5,706		2007 1
ADJUSTMENT TO STATE HEALTH				1001830
INSURANCE PREMIUM CONTRIBUTION -				010000
FISCAL YEAR 2012-13				
SALARIES AND BENEFITS				
UNCLAIMED PROPERTY TF -STATE		6,630		2007 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
RECOVERY & RETURN OF UP							43200200
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
ESTIMATED EXPENDITURES							1000000
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
UNCLAIMED PROPERTY TF -STATE		463-					2007 1
=====							
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - DEDUCT							160M010
EXPENSES							040000
UNCLAIMED PROPERTY TF -STATE		2,500-					2007 1
=====							

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

REALIGNMENT OF LEASE OR							160M020
LEASE-PURCHASE EQUIPMENT - ADD							100000
SPECIAL CATEGORIES							105281
LEASE/PURCHASE/EQUIPMENT							
UNCLAIMED PROPERTY TF -STATE		2,500					2007 1
=====							

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	33,150			2007 1
WORKLOAD				3000000
INCREASED STAFFING FOR BUREAU				
OF UNCLAIMED PROPERTY				3000170
SALARY RATE				000000
SALARY RATE.....	386,681			
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	9.00	527,876		2007 1
EXPENSES				040000
UNCLAIMED PROPERTY TF -STATE	89,757	33,858		2007 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF -STATE	3,186			2007 1
TOTAL: INCREASED STAFFING FOR BUREAU				3000170
OF UNCLAIMED PROPERTY				
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....		620,819	33,858	
TOTAL SALARY RATE.....	386,681			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of nine new positions and the reclassification of twelve

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
INCREASED STAFFING FOR BUREAU				
OF UNCLAIMED PROPERTY				3000170

existing positions (as requested in issue code 3001A80) in the Claims Section. These positions are needed to keep up with the growth in the Bureau's claims volume, and the increased number of complex (those that include probate, corporate actions, trusts, wills, etc.) claims being received. Over the past 10 years, the number of claims paid has tripled (100,000 claims paid in FY 01/02 compared to 300,000 claims paid in FY 11/12). The number of positions in this section (18) has not increased since FY 04/05, and the vast majority of the current staff are low level, clerical positions.

During the past several years, the Bureau has been forced into working overtime to meet the statutory requirement for processing claims within 90 days of receipt. The Bureau has started planning for the development of an online claims process, that once implemented, should allow approximately 27% of certain simple claims to be systematically paid. Despite this process improvement, it will still be necessary to add nine new positions and reclassify twelve current positions to higher paygrades so the Bureau can focus attention on the remaining (more complex) claims that cannot be systematically processed.

Members of this team will also conduct research into older unclaimed accounts, and research allegations of fraudulent claims submitted to the Bureau.

The ultimate results will be better protection of the financial interests of Floridians, and to be more effective and efficient in returning money back into the possession of its owners.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	9.00	386,681		141,195	527,876	0.00	527,876
TOTALS FOR ISSUE BY FUND							
2007 UNCLAIMED PROPERTY TF							527,876
	9.00	386,681		141,195	527,876		527,876

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS IN				
BUREAU OF UNCLAIMED PROPERTY				3001A80
SALARY RATE				000000
SALARY RATE.....	116,001			
=====				
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	130,884			2007 1
=====				
TOTAL: RECLASSIFICATION OF POSITIONS IN				3001A80
BUREAU OF UNCLAIMED PROPERTY				
TOTAL ISSUE.....	130,884			
TOTAL SALARY RATE.....	116,001			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of nine new positions (as requested in issue code 3000170) and the reclassification of twelve existing positions in the Claims Section. These positions are needed to keep up with the growth in the Bureau's claims volume, and the increased number of complex (those that include probate, corporate actions, trusts, wills, etc.) claims being received. Over the past 10 years, the number of claims paid has tripled (100,000 claims paid in FY 01/02 compared to 300,000 claims paid in FY 11/12). The number of positions in this section (18) has not increased since FY 04/05, and the vast majority of the current staff are low level, clerical positions.

During the past several years, the Bureau has been forced into working overtime to meet the statutory requirement for processing claims within 90 days of receipt. The Bureau has started planning for the development of an online claims process, that once implemented, should allow approximately 27% of certain simple claims to be systematically paid. Despite this process improvement, it will still be necessary to add nine new positions and reclassify twelve current positions to higher pay grades so the Bureau can focus attention on the remaining (more complex) claims that cannot be systematically processed.

Members of this team will also conduct research into older unclaimed accounts, and research allegations of fraudulent claims submitted to the Bureau.

The ultimate results will be better protection of the financial interests of Floridians, and to be more effective and efficient in returning money back into the possession of its owners.

This issue requests \$130,884 in additional salaries and benefits for the reclassification of twelve positions.

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS IN				
BUREAU OF UNCLAIMED PROPERTY				3001A80

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
C9999 001	0.00	116,001		14,883	130,884	0.00	130,884
TOTALS FOR ISSUE BY FUND							
2007 UNCLAIMED PROPERTY TF							130,884
	0.00	116,001		14,883	130,884		130,884

ADDITIONAL STAFF TO ENFORCE NON-COMPLIANT HOLDERS							3001060
SALARY RATE							000000
SALARY RATE.....	77,324						
SALARIES AND BENEFITS							010000
UNCLAIMED PROPERTY TF -STATE	2.00	107,596					2007 1
EXPENSES							040000
UNCLAIMED PROPERTY TF -STATE		19,946	7,524				2007 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF TO ENFORCE NON-				
COMPLIANT HOLDERS				3001060
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF		-STATE	708	2007 1
TOTAL: ADDITIONAL STAFF TO ENFORCE NON-				3001060
COMPLIANT HOLDERS				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		128,250	7,524	
TOTAL SALARY RATE.....	77,324			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 The Bureau of Unclaimed Property is requesting the establishment of two new positions in the Holder Reporting Section. There are currently three positions in this section. These positions will be responsible for the increased volume of non-compliant holder reports being received by the Bureau. Over the past 10 years, the number of new unclaimed accounts being received has quadrupled (300,000 in FY 01/02 compared to 1.4 Million in FY 11/12).

As the number of reports and accounts increases, the number of non-compliant reports increases at the same rate. Reports are not loaded into the Unclaimed Property database until compliant, so owners (citizens) are forced to wait to recover their unclaimed property until the Bureau can work any backlog. Without these additional positions, the Bureau will be unable to timely process the continual increase in non-compliant reports and enforce the collection of penalties levied against holders for late filing of the unclaimed property report.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	2.00	77,324		30,272	107,596	0.00	107,596

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF TO ENFORCE NON-				
COMPLIANT HOLDERS				3001060

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
2007 UNCLAIMED PROPERTY TF							107,596
	2.00	77,324		30,272	107,596		107,596

ADDITIONAL STAFF TO PROCESS							
SAFETY DEPOSIT BOX CONTENTS							3001070
SALARY RATE							000000
SALARY RATE.....	55,854						
SALARIES AND BENEFITS							010000
UNCLAIMED PROPERTY TF	2.00						2007 1
-STATE		83,372					
EXPENSES							040000
UNCLAIMED PROPERTY TF							2007 1
-STATE		19,946	7,524				

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF TO PROCESS				
SAFETY DEPOSIT BOX CONTENTS				3001070
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF	-STATE	708		2007 1
TOTAL: ADDITIONAL STAFF TO PROCESS				3001070
SAFETY DEPOSIT BOX CONTENTS				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....	104,026	7,524		
TOTAL SALARY RATE.....	55,854			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 The Bureau of Unclaimed Property is requesting the establishment of two new positions, that will be responsible for the increased volume of safe deposit box contents being received by the Bureau. There are currently two positions in this section. Over the past five (5) years, the number of safe deposit boxes being reported/delivered has doubled. This trend is expected to continue, as banks continue to merge. The two positions will have primary duties that include receipt, inventory and reconciliation of more than 50,000 pieces of safe deposit box inventory each year. Delays in the timely receipt and inventory of the contents may effect the opportunity for citizens to claim their contents before they are sold at auction.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	2.00	55,854		27,518	83,372	0.00	83,372

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF TO PROCESS				
SAFETY DEPOSIT BOX CONTENTS				3001070

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
2007 UNCLAIMED PROPERTY TF							83,372
	2.00	55,854		27,518	83,372		83,372

ADDITIONAL STAFF FOR INCREASE IN PROCESSING HOLDER REPORTING							3001080
SALARY RATE							000000
SALARY RATE.....	30,990						
SALARIES AND BENEFITS							010000
UNCLAIMED PROPERTY TF -STATE	1.00	45,142					2007 1
EXPENSES							040000
UNCLAIMED PROPERTY TF -STATE		9,973	3,762				2007 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF FOR INCREASE IN				
PROCESSING HOLDER REPORTING				3001080
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF				2007 1
	-STATE	354		
TOTAL: ADDITIONAL STAFF FOR INCREASE IN				3001080
PROCESSING HOLDER REPORTING				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....		55,469	3,762	
TOTAL SALARY RATE.....	30,990			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 The Bureau of Unclaimed Property is requesting the establishment of one new position, that will be responsible for the increased volume of unclaimed property reports being received on electronic media (on CD, diskette, etc.) by the Bureau. There are currently five positions in this section. Over the past 10 years, the number of new unclaimed accounts being received has quadrupled (300,000 in FY 01/02 compared to 1.4 Million in FY 11/12). More than 60% of all reports are now being filed on electronic media. The average processing time for these reports has doubled since FY 00/01 (53 days in FY 00/01 to 104 days in FY 11/12). This lengthy processing time causes a delay in the ability for the apparent owner to be able to recover their unclaimed property.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	1.00	30,990		14,152	45,142	0.00	45,142

	COL A03 AGY REQUEST FY 2013-14	COL A04 AGY REQ N/R FY 2013-14	COL A05 AG REQ ANZ FY 2013-14	CODES
POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF FOR INCREASE IN PROCESSING HOLDER REPORTING				3001080

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							45,142
2007 UNCLAIMED PROPERTY TF							45,142
	1.00	30,990		14,152	45,142		

ADDITIONAL STAFF FOR HOLDER AUDITS							3001090
SALARY RATE							000000
SALARY RATE.....	324,180						
SALARIES AND BENEFITS							010000
UNCLAIMED PROPERTY TF	7.00	437,005					2007 1
EXPENSES							040000
UNCLAIMED PROPERTY TF	-STATE	69,811	26,334				2007 1
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
UNCLAIMED PROPERTY TF	-STATE	2,478					2007 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL STAFF FOR HOLDER AUDITS				3001090
TOTAL: ADDITIONAL STAFF FOR HOLDER AUDITS				3001090
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....	509,294	26,334		
TOTAL SALARY RATE.....	324,180			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of Unclaimed Property is requesting the establishment of seven new positions, to increase the number of existing auditor positions in the field offices. There are currently six positions in this section. With its existing resources of six positions, the Bureau is able to recover approximately \$8 million in past due unclaimed property per year.

Additional positions will increase the revenues brought into the State School Trust Fund. These positions are responsible for the enforcement of reporting requirements of businesses throughout the state. These positions would be distributed throughout the state, in existing DFS regional offices. In addition to educating and performing examinations on the business community, members of this team are also responsible for the contract management of five contract audit companies.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N9999 001	7.00	324,180		112,825	437,005	0.00	437,005
TOTALS FOR ISSUE BY FUND							
2007 UNCLAIMED PROPERTY TF							437,005
	7.00	324,180		112,825	437,005		437,005

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
<u>RECOVERY & RETURN OF UP</u>							43200200
GOV OPERATIONS/SUPPORT							16
<u>GOVERNMENTAL OPERATIONS</u>							<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
BY FUND TYPE							
	78.00						
TRUST FUNDS.....	5,614,124		79,002				2000
SALARY RATE.....	3,208,180						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,675,107			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	69.00	3,490,648	2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	15,339		2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	557,479		2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	9,144		2393 1
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF	-STATE	13,200		2393 1
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	80,205		2393 1
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	33,700		2393 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
COMPLIANCE & ENFORCEMENT							43300200
PUBLIC PROTECTION							12
LAW ENFORCEMENT							1202.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
SUPP FIREFIGHTERS COMP							103725
INSURANCE REG TF		-STATE	8,000				2393 1
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF		-STATE	13,242				2393 1
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF		-STATE	21,994				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	69.00						
TOTAL ISSUE.....	4,242,951						
TOTAL SALARY RATE.....	2,675,107						
FLORIDA RETIREMENT SYSTEM							
CONTRIBUTION ADJUSTMENT FOR							1001240
FISCAL YEAR 2012-2013							010000
SALARIES AND BENEFITS							
INSURANCE REG TF		-STATE	8,329				2393 1
ADJUSTMENT TO STATE HEALTH							
INSURANCE PREMIUM CONTRIBUTION -							1001830
FISCAL YEAR 2012-13							010000
SALARIES AND BENEFITS							
INSURANCE REG TF		-STATE	7,400				2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	532-		2393 1
=====				
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
EXPENSES				040000
INSURANCE REG TF	-STATE	50,000		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	49,000		2393 1
=====				
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN				2000140
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				
TOTAL ISSUE.....		99,000		
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>COMPLIANCE & ENFORCEMENT</u>							43300200
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							26A1830
ANNUALIZATION SALARIES AND BENEFITS							010000
INSURANCE REG TF							2393 1
-STATE		37,000					
TOTAL: LAW ENFORCEMENT							<u>1202.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	69.00						
SALARY RATE.....		4,394,148					2000
		2,675,107					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,959,009			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	128.00		
		7,972,475		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	19,028		
				2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	1,400,440		
				2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	82,409		
				2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	155,374		
				2393 1
ON-CALL FEES				102261
INSURANCE REG TF	-STATE	350,000		
				2393 1
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	133,900		
				2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	103,124		2393 1
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF	-STATE	8,000		2393 1
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	26,081		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	41,551		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		128.00		
TOTAL ISSUE.....		10,292,382		
TOTAL SALARY RATE.....		5,959,009		
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	40,818		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	16,380		2393 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,006-		2393 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITIONS AND				
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - ADD				160F210
SALARY RATE				000000
SALARY RATE.....		89,140		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2.00	120,929	2393 1
TOTAL: REALIGNMENT OF POSITIONS AND				160F210
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - ADD				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		120,929		
TOTAL SALARY RATE.....		89,140		

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Realignment of positions and salaries and benefits within State Fire Marshal

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITIONS AND SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - ADD				160F210

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-02 (EOG Log# Q0022) moved two (2) FTE, and associated budget, between budget entities with the Division of State Fire Marshal.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2225 GOVERNMENT ANALYST II							
00664 002	1.00	42,616		15,644	58,260	0.00	58,260
8463 CRIME LABORATORY ANALYST							
01590 001	1.00	46,524		16,145	62,669	0.00	62,669
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							120,929
	2.00	89,140		31,789	120,929		120,929

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITIONS AND				
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - DEDUCT				160F220
SALARY RATE				000000
SALARY RATE.....	89,140-			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	2.00-			
120,929-				2393 1
	=====	=====	=====	
TOTAL: REALIGNMENT OF POSITIONS AND				160F220
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - DEDUCT				
TOTAL POSITIONS.....	2.00-			
TOTAL ISSUE.....		120,929-		
TOTAL SALARY RATE.....	89,140-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Realignment of positions and salaries and benefits within State Fire Marshal

Issue Description:
 This issue is for the re-approval of the current year budget amendment approved on 7/5/2012. Agency amendment 13-02 (EOG Log# Q0022) moved two (2) FTE, and associated budget, between budget entities with the Division of State Fire Marshal.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITIONS AND				
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - DEDUCT				160F220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2225 GOVERNMENT ANALYST II							
00664 001	1.00-	42,616-		15,644-	58,260-	0.00	58,260-
8463 CRIME LABORATORY ANALYST							
01590 001	1.00-	46,524-		16,145-	62,669-	0.00	62,669-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							120,929-
	2.00-	89,140-		31,789-	120,929-		120,929-

ESTIMATED EXPENDITURES REALIGNMENT	2000000
REALIGN BUDGET AUTHORITY BETWEEN	
CATEGORIES TO MATCH ANTICIPATED	
RECURRING OPERATING EXPENDITURES -	
ADD	2000140
EXPENSES	040000
INSURANCE REG TF -STATE	226,000
	2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	24,000		2393 1
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN				2000140
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				
TOTAL ISSUE.....		250,000		

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

EQUIPMENT NEEDS				2400000
REPLACEMENT OF SAFETY EQUIPMENT -				2401300
FIRE AND ARSON INVESTIGATIONS				040000
EXPENSES				

INSURANCE REG TF	-STATE	44,498	22,354	2393 1
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Replacement of Safety Equipment - Fire and Arson Investigations

Reference to Long-Range Program Plan: Goal #3 - The bureau is responsible for initial investigation of the origin and

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
EQUIPMENT NEEDS				2400000
REPLACEMENT OF SAFETY EQUIPMENT -				
FIRE AND ARSON INVESTIGATIONS				2401300

cause of fires and explosions, criminal investigative duties associated with fires and/or explosions, and the reports relative to explosions or explosive devices and other law enforcement activities, as required by ss. 633.03 and 552.113, F.S.

The Bureau of Fire and Arson Investigations (BFAI) conducts origin and cause investigations of fires and explosions and other criminal investigations at various locations statewide. The State Fire Marshal Workplace Safety Committee examined the BFAI issued equipment to evaluate its effectiveness and make recommendations for upgrades and/or equipment improvements based on section 14.2.2 of the National Fire Protection Association (NFPA), 921 Guide for Fire and Explosion Investigations, which includes a list of equipment recommended for use by all personnel when processing fire or explosion scenes. This request is for recurring and nonrecurring funding for safety equipment upgrades and replacement for all 102 of its sworn members. The equipment needed is eye and hearing protection, breathing respirators and filters, and foot protection. This request is due to outdated life expectancy of some equipment, improved safety features and/or damaged equipment.

The request is based on 100 employees as that number should be sufficient to keep current staff refreshed as well as equip new employees as vacancies are filled. Each sworn BFAI member is issued the following Personal Protective Equipment (PPE): scene boots (fire boots), work boots, helmets, respirator, filters, eye protection, hearing protection and hand protection (work gloves). To insure proper fitting, boots are purchased for the individual members upon initial employment and when the issued equipment needs to be replaced when it becomes unserviceable. There is no on-hand inventory of boots. A small supply (less than 10) of helmets, respirators and filters is maintained at headquarters for issuing to new employees. BFAI maintains a small supply of other PPE items at headquarters and field offices to replace disposable or single use PPE items when needed.

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	81,900		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	128.00			
TRUST FUNDS.....	10,724,972	22,354		2000
SALARY RATE.....	5,959,009			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,183,290			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	31.00		
		1,709,790		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	190,000		
				2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	535,645		
				2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	27,254		
				2393 1
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF	-STATE	13,200		
				2393 1
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	190,497		
				2393 1
DOMESTIC SECURITY				100851
INSURANCE REG TF	-STATE	50		
				2393 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
PROF TRAINING & STANDARDS							43300400
PUBLIC PROTECTION							12
LAW ENFORCEMENT							1202.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	17,900					2393 1
=====							
SUPP FIREFIGHTERS COMP							103725
INSURANCE REG TF	-STATE	14,500					2393 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	26,519					2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	12,746					2393 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		31.00					
TOTAL ISSUE.....		2,738,101					
TOTAL SALARY RATE.....		1,183,290					
=====							
FLORIDA RETIREMENT SYSTEM							1001240
CONTRIBUTION ADJUSTMENT FOR							010000
FISCAL YEAR 2012-2013							
SALARIES AND BENEFITS							
INSURANCE REG TF	-STATE	5,324					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	4,136		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	309-		2393 1
=====				
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	63,000		2393 1
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - DEDUCT				2007100
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF				2393 1
	-STATE	45,489-		

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Consolidate Information Technology (IT) Contracts

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery & Consumer Services for three existing contracts between the divisions and Information System of Florida (ISF).

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom application within the Division of State Fire Marshal is the Fire College Continuing Education (FCDICE) application. This issue will transfer \$45,489 from the Division of State Fire Marshal to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
NONRECURRING EXPENDITURES				2100000
REPLACEMENT OF STATEWIDE LAW				
ENFORCEMENT RADIO EQUIPMENT				2103144
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	3,960-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	20,680		2393 1
=====				
PROGRAM ISSUES				4000000
STATE FIRE MARSHAL GRANT PROGRAMS				4000420
EXPENSES				040000
INSURANCE REG TF	-STATE	28,000		2393 1
=====				

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: State Fire Marshal Grant Programs

Reference to Long-Range Program Plan: Goal #7 - Our customers will receive timely, helpful and accurate information upon which they can act to protect themselves and their assets.

The Bureau of Fire Standards & Training operates the Florida State Fire College located in Ocala providing extensive training for paid and volunteer firefighters from throughout the State as well many other states. Goal 5: The department will strive for excellence by promoting and encouraging continuous improvement. By offering additional training funded by FEMA - Department of Homeland Security, courses that may not be available are offered to all regions throughout the State free of charge to fire departments and firefighters and other emergency personnel.

This issue requests recurring expense budget authority for the Department of Homeland Security (DHS), National Fire Academy (NFA), State Fire Training Assistance (SFTA) grant. The department started receiving this funding prior to FY 1998-99. The grant was established to provide direct training assistance to states to help bridge the training and competency gaps at the local level. This is a highly regarded educational program that delivers contemporary technical

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
PROGRAM ISSUES				4000000
STATE FIRE MARSHAL GRANT PROGRAMS				4000420

and academic training in both 2-day and 6-day modules.

The department did not request new funding for this grant when it was originally received. Instead, the department used existing budget authority in the division. However, over the past few years with the budget reductions and increases in costs associated with the economy, the entities expense budget is not sufficient to cover the required operational costs.

CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000
FIXED CAPITAL OUTLAY				080000
FIRE COLLEGE-BLDG MAINT				080990
INSURANCE REG TF	-STATE	163,000	163,000	2393 1

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AGENCY NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: FIRE COLLEGE-BLDG MAINT IT COMPONENT? NO
 Issue Title: Maintenance and Repair

Reference to Long-Range Program Plan: Goal #1 - The department will be a vigilant steward of the state's and its people's resources. Goal 3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property. Goal 5 - Our customers will receive timely, helpful and accurate information.

SECURITY FENCING - FIRE COLLEGE (80,000 NONRECURRING)

The Division of the State Fire Marshal (SFM) is responsible for the Florida State Fire College, located on 37 acres in Ocala, Florida. Pursuant to s. 633.44, F.S., the Fire College is required to provide professional and volunteer firefighters with professional instruction and training in the disciplines of firefighting, fire prevention, and emergency operations.

As indicated in a regulatory review by the Department of Financial Services' (DFS) Legal team, the Florida State Fire College currently has no perimeter fencing to deter access to the property by persons not authorized to be on campus, thus creating a liability for DFS. Located on the grounds, are numerous high hazard training props such as a simulated building collapse zone with large concrete slabs, metal protrusions, unstable structures, and entrapment obstacles; large open pits; an open live fire training structure with open ledges, obstructions, and hazardous props; a six story training tower with numerous access and exit points including an open roof top area with limited parapet protection.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000

Additionally, the lack of any perimeter fencing currently allows for any person to enter the grounds at any access point and gain entry into numerous administration buildings, dormitories, and classrooms. A secure campus is needed because domestic security classes are taught on campus which could be compromised by various national or international organizations.

Adjacent to the property are residential and commercial properties where adults and children have been observed close to training props. Also on the property between two training fields is a railroad crossing that the DFS maintains and insures. The fencing of the property is a significant first step to securing the property and will allow staff to gain control of visitor and student flow to the campus.

The department requests \$80,000 in nonrecurring Fixed Capital Outlay funds for the installation of minimum eight foot fencing with appropriate gates that encompass the facility. Project scope includes all materials and labor to install approximately 5,000 linear feet of chain link fencing, gates, and any associated hardware; including the required land clearing, and rubbish removal. Design, construction, and installation will be consistent with industry standards for this occupancy type. Special consideration will be given the highest hazard areas where training props are concentrated.

ROOF REPLACEMENT - FIRE COLLEGE (23,000 NONRECURRING)

The Fire College, located on 37 acres, includes numerous buildings which total over 65,000 square feet with a value of over \$6,000,000. These buildings and all other items of value on the property are protected by a private fire protection system. There is no municipal water supply to the property. The only water source is a private well system. The private well system combined with a static pond serves as the water source for the private fire protection system.

This system includes a hydrant system distributed over the 37 acre area, that provides water for the fire sprinkler system in office buildings, classroom buildings, the dormitory, kitchen, and multi-functional areas. These fire protection systems are supported by one primary fire pump and associated generator located in a detached building called the Fire Protection System Pump and Electrical Generator Building (pump house).

The pump house roof is in need of complete replacement. The surface membrane, aggregate, structural steel decking, wall scuppers, and insulation require complete replacement. The structural steel roof framing is also deeply corroded in various areas. There is significant degradation due to heavy corrosion of some steel support beams.

This issue requests \$23,000 in non-recurring Fixed Capital Outlay for two roof repairs: \$12,000 for the complete replacement of the roofing system of the pump house. Funds will be utilized for all services associated with this project, including, but not limited to design, engineering requirements, demolition, materials, and installation of a new roofing system; and \$11,000 for roof leaks that are currently occurring in two buildings at the Fire College. Quotes for repairs have been obtained for Building A and the Administrative Building.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000

FIXED CAPITAL OUTLAY FOR REPAIRS AND MAINTENANCE - FIRE COLLEGE (60,000)

The Division of the State Fire Marshal (SFM) is responsible for the Florida State Fire College, located on 37 acres in Ocala, Florida. Pursuant to s. 633.44, F.S., the Fire College is required to provide professional and volunteer firefighters with professional instruction and training in the disciplines of firefighting, fire prevention, and emergency operations.

The division is requesting \$60,000 in Fixed Capital Outlay funding for the repair of buildings and infrastructure at the Fire College. The Department of Financial Services owns the property and all associated buildings and is responsible for maintaining the property. There are 11 main buildings on the campus that were constructed in 1988. These buildings total over 65,000 square feet and include office space, classrooms, dormitories, a cafeteria, maintenance facilities, and an auditorium with a combined value of \$6 million.

Most infrastructure elements of this facility have exceeded their life cycle causing frequent repair. The buildings are old and in need of repair to include replacement of water heaters, plumbing fixtures, overhead garage doors for the apparatus bay, painting classrooms, replacing the dishwasher in the cafeteria, replacement of tables and chairs in classrooms, carpeting media consoles, as well as exterior improvements, to name a few. The division is requesting an annual funding allocation to address infrastructure and system deficiencies as they arise. Not funding this request will lead to higher future expenditures if these issues are left unattended.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	31.00			
TRUST FUNDS.....	2,972,483	163,000		2000
SALARY RATE.....	1,183,290			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	764,673			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	14.00		
		1,090,679		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	20,102		
				2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	233,454		
				2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	6,000		
				2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	151,189		
				2393 1
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	1,300		
				2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	343,693		
				2393 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
FIRE MRSHL ADMN & SUP SRVS							43300500
PUBLIC PROTECTION							12
LAW ENFORCEMENT							1202.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
SUPP FIREFIGHTERS COMP							103725
INSURANCE REG TF	-STATE	7,500					2393 1
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	4,985					2393 1
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	6,282					2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		14.00					
TOTAL ISSUE.....		1,865,184					
TOTAL SALARY RATE.....		764,673					
CASUALTY INSURANCE PREMIUM ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	32,165					2393 1
FLORIDA RETIREMENT SYSTEM CONTRIBUTION ADJUSTMENT FOR FISCAL YEAR 2012-2013 SALARIES AND BENEFITS							1001240 010000
INSURANCE REG TF	-STATE	5,944					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,733		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	152-		2393 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - DEDUCT				160M010
EXPENSES				040000
INSURANCE REG TF	-STATE	3,300-		2393 1
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).

This issue nets to zero with corresponding issue code 160M020.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
FIRE MRSHL ADMN & SUP SRVS							43300500
PUBLIC PROTECTION							12
LAW ENFORCEMENT							1202.00.00.00
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - ADD							160M020
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF							2393 1
		-STATE	3,300				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.

ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
ADD							2000140
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF							2393 1
		-STATE	24,000				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
EQUIPMENT NEEDS				2400000
REPLACEMENT OF SCIENTIFIC				
LABORATORY EQUIPMENT - ARSON LAB				2401400
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	185,000	185,000	2393 1

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Replace Scientific Laboratory Equipment - Arson Lab

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

ION CHROMATOGRAPH - ARSON LAB (60,000)

The mission of the Bureau of Fire Forensic and Explosive Analysis is to provide timely and credible forensic analysis of evidence. The bureau has two ion chromatographs linked to a single mass detector. These tools allow analysis of both positively charged ions on one instrument and negatively charged ions on the second without down time or materials costs for switching. This is an essential instrument in the analysis of low explosives and unidentified hazardous chemicals. During Fiscal Year 2011-12, the bureau processed 3,645 of these analyses for state and local law enforcement. This service is not provided by any other State of Florida laboratory. The oldest of the two ion chromatograph instruments, used for both explosives and chemical evidence analysis, was originally obtained in 1999 for approximately \$50,000. It has continued to operate beyond its expected operational life of 10 years. The service contract for repair is no longer at a level that adequately covers the instrument, as the replacement parts are not manufactured and cannot be found. In order to ensure a staggered replacement schedule of this critical instrument, it should be replaced this year. This issue is requesting funds to replace the oldest unit with the Dionex ICS 2100 (or equivalent). This would integrate with the existing Dionex mass detector to which it would be attached. This unit directly affects both the continuous analysis of evidence and turnaround times as well as the quality of data presented in court during expert testimony in prosecutions for crimes.

FOURIER TRANSFORM INFRARED SPECTROPHOTOMETER (FTIR) - ARSON LAB (125,000)

A Fourier Transform Infrared Spectrophotometer (FTIR) is used in the analysis of explosives and unidentified hazardous materials. The unit is used to provide conclusive identification of "organic" compounds in explosives and chemical analyses. When linked with a Raman spectrometer a wider range of chemical species can be identified. The existing FTIR unit was originally purchased in 2001 for a cost of approximately \$120,000. This instrument has been functioning with annual preventive maintenance and contracts with the manufacturer for emergency repairs. The manufacturer has advised that beginning January 2014, the unit will be classified as obsolete and they will no longer be able to repair or maintain the unit. Therefore, it will not be possible to maintain the instrument at a level that would ensure the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
EQUIPMENT NEEDS				2400000
REPLACEMENT OF SCIENTIFIC				
LABORATORY EQUIPMENT - ARSON LAB				2401400

department's accreditation or the acceptability of the instrumental results when presented in court. The unit is coupled with a secondary Raman spectrometer and the replacement must be compatible (most likely from the same manufacturer). This unit directly affects both the continuous analysis of evidence and turnaround times, as well as the quality of data presented in court during expert testimony in prosecutions for crimes.

REPLACEMENT OF SECURITY CAMERA				2401410
SYSTEM - ARSON LAB				060000
OPERATING CAPITAL OUTLAY				

INSURANCE REG TF	-STATE	8,400	8,400	2393 1
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AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Replacement of Security Camera System - Arson Lab

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

The State Arson Laboratory, located in Gadsden County, is owned by the Department of Financial Services and serves as the State's only forensic laboratory that can perform fire debris analysis in the investigation of possible arson or explosives/unidentified chemicals in the investigation of other crimes. The facility is not occupied 24 hours per day and 7 days per week. Because the facility stores criminal evidence as well as thousands of dollars of technical and instrumental equipment, the security of the facility must be maintained when it is unoccupied. The current system includes motion sensors, door alarms, glass break detectors, noise monitors, smoke detectors, and fire detectors.

The system currently has a security camera system with six camera views for monitoring selected portions of the facility. It has limited capacity for recording information, but with the assistance of the Division of Information Systems (DIS), stores data via File Transfer Protocol (FTP) off site for disaster recovery. The existing system allows bureau staff to remotely monitor the facility to determine if any alarm was caused by an event that will require a response by staff, law enforcement, or the fire department. The system reduces the amount of time bureau personnel responds to any alarms and is safer than being in the physical presence of an intruder. The existing security camera system is limited to six camera views. These cover only the four exterior doors, the front lobby, and the evidence intake room. These are inadequate to cover all critical areas of the facility. A new 16 channel digital video recorder (DVR) system that is network ready and capable of archiving data via FTP is needed. In addition the existing cameras need to be replaced and

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST	AGY REQ N/R	AGY REQ ANZ	AGY REQUEST	AGY REQ N/R	AGY REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
FIRE MRSHL ADMN & SUP SRVS							43300500
PUBLIC PROTECTION							12
LAW ENFORCEMENT							1202.00.00.00
EQUIPMENT NEEDS							2400000
REPLACEMENT OF SECURITY CAMERA							
SYSTEM - ARSON LAB							2401410

six new cameras added. These would cover the area where the external Heating, Ventilation and Air Conditioning (HVAC) equipment is located; the building's external emergency generator; the external read windows of the facility; external exit only doors, and the interior hallways with connection to preparation laboratories, instrumental laboratories, and case file archives.

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION							26A1830
SALARIES AND BENEFITS							010000

INSURANCE REG TF	-STATE	8,665					2393 1
=====							

PROGRAM ISSUES							4000000
STATE FIRE MARSHAL GRANT PROGRAMS							4000420
EXPENSES							040000

INSURANCE REG TF	-STATE	12,000					2393 1
=====							

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: State Fire Marshal Grant Programs

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

The Bureau of Fire Forensic and Explosive Analysis (BFFEA) is requesting legislative budget authority to expend funds under the Florida Department of Law Enforcement (FDLE) Coverdell Grant. BFFEA is a sub-recipient of the 2012 federal Paul Coverdell grant for forensic sciences. These funds are provided to forensic laboratories in Florida through FDLE who is the primary recipient of the funding. BFFEA has been notified that the portion of the funding it will receive for the period March 1, 2013 through February 28, 2014 will be \$12,019.00.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
PROGRAM ISSUES				4000000
STATE FIRE MARSHAL GRANT PROGRAMS				4000420

The department did not request new funding for this grant back in FY 2007-08 when the grant was received. Instead, the department used existing budget authority in the division. However, over the past few years with the budget reductions and increases in costs associated with the economy, the entities expense budget is not sufficient to cover the required operational costs.

CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000
FIXED CAPITAL OUTLAY				080000
ARSON LAB-BLDG REP/MAINT				080940

INSURANCE REG TF -STATE 25,000 25,000 2393 1

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AGENCY NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: ARSON LAB-BLDG REP/MAINT IT COMPONENT? NO

Issue Title: Maintenance and Repair

Reference to Long-Range Program Plan: Goal #3 - In the execution of its constitutional and statutory mandates, the department will protect the health, safety and welfare of the public. Goal 4 - The State Fire Marshal shall effectively prevent and discourage arson and arson related crimes for the protection of Florida's citizens and their property.

The mission of the Bureau of Fire Forensic and Explosive Analysis is to provide timely and credible forensic analysis of evidence. The Department of Financial Services (DFS) operates a forensic laboratory through its Division of State Fire Marshal. It is the only State of Florida laboratory providing the forensic services of Fire Debris Analysis (for investigation of Arson) or Explosives Analysis (for investigation of bombings or criminal intent). The building is located on the grounds of the Florida Public Safety Institute in Gadsden County, Florida and is wholly owned and maintained by DFS. The department is responsible for all maintenance and upkeep of the facility and does not receive any funding from the Department of Management Services for this purpose.

The building was originally built in 1990, received some remodeling in 2000, and had its Heating, Ventilation and Air Conditioning (HVAC) system modified in 2006. It is scheduled to have its attic enclosed to improve functionality of air handling, fume hoods, and roof longevity in Fiscal Year 2012-13. The facility and its primary fixtures are over 20 years old and as it continues to age; its fixed systems will require recurring repair or replacement. This issue seeks \$25,000 per year in recurring funds to affect these repairs and ensure that the facility remains in operational order and that DFS ensures stewardship for the State of Florida property under its control. In the past the department has utilized expense funds for facility upkeep diverting them from operation expenses for the forensic work done in the facility. The examples of items to be purchased with the funds include: doors; locks; air, water, electrical, or plumbing fixtures;

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000

electrical wiring and supplies; fume hoods; floor coverings; windows; furnishings; custodial supplies; piping; hardware; paint; or building materials. DFS has a Maintenance Mechanic on staff that will use these materials to ensure the continued repair and operation of the facility.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	14.00			
TRUST FUNDS.....	2,167,939	218,400		2000
SALARY RATE.....	764,673			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	3,976,205			
=====				
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	106.00		
		5,397,503		2078 1
=====				
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF	-STATE	35,000		
				2078 1
=====				
EXPENSES				040000
STATE RISK MGMT TF	-STATE	900,292		
				2078 1
=====				
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF	-STATE	5,405		
				2078 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	-STATE	14,210,951		
				2078 1
=====				
CONTRACT LEGAL - ATTY GEN				100904
STATE RISK MGMT TF	-STATE	6,302,284		
				2078 1
=====				
CONTRACTED LEGAL SERVICES				100905
STATE RISK MGMT TF	-STATE	18,001,020		
				2078 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
EXCESS INSUR. & CLAIM SER							101221
STATE RISK MGMT TF	-STATE	13,700,000					2078 1
=====							
RISK MANAGEMENT INSURANCE							103241
STATE RISK MGMT TF	-STATE	102,380					2078 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
STATE RISK MGMT TF	-STATE	14,031					2078 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
STATE RISK MGMT TF	-STATE	34,623					2078 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		106.00					
TOTAL ISSUE.....		58,703,489					
TOTAL SALARY RATE.....		3,976,205					
=====							
CASUALTY INSURANCE PREMIUM							1001090
ADJUSTMENT							100000
SPECIAL CATEGORIES							103241
RISK MANAGEMENT INSURANCE							
STATE RISK MGMT TF	-STATE	9,581					2078 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	12,144		2078 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	12,681		2078 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE	838-		2078 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - DEDUCT				160M010
EXPENSES				040000
STATE RISK MGMT TF	-STATE	6,000-		2078 1
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - ADD				160M020
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
STATE RISK MGMT TF	-STATE	6,000		2078 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.

NONRECURRING EXPENDITURES				2100000
STAFF FOR PROACTIVE LOSS PREVENTION				
SERVICES				2103146
EXPENSES				040000
STATE RISK MGMT TF	-STATE	7,296-		2078 1

STAFF FOR INDEMNITY AND MEDICAL				
PAYMENTS				2103147
EXPENSES				040000
STATE RISK MGMT TF	-STATE	1,935-		2078 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1830
				010000
STATE RISK MGMT TF -STATE	63,405			2078 1
WORKLOAD				3000000
LOSS PREVENTION SECTION STAFFING - RISK MANAGEMENT				3000890
SALARY RATE				000000
SALARY RATE.....	42,233			
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF -STATE	2.00	68,004		2078 1
EXPENSES				040000
STATE RISK MGMT TF -STATE	18,536	7,386		2078 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF -STATE	708			2078 1
TOTAL: LOSS PREVENTION SECTION STAFFING - RISK MANAGEMENT				3000890
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		87,248	7,386	
TOTAL SALARY RATE.....	42,233			

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Loss Prevention Section Staffing - Risk Management

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
WORKLOAD				3000000
LOSS PREVENTION SECTION STAFFING -				
RISK MANAGEMENT				3000890

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

This issue requests two full time equivalent positions (FTE) in the Loss Prevention Section; a Government Operations Consultant II and an Administrative Assistant II. The Government Operations Consultant II is being requested to provide dedicated data analysis support to the Loss Prevention Section in order to target loss prevention efforts and to assist in conducting agency loss prevention program reviews as mandated in chapter 284.50, F.S. The position will also provide data analysis reports and training to agencies and universities in order to allow agency safety coordinators the capability to make data-driven decisions on the conduct of their loss prevention programs. Currently, the Loss Prevention Section does not have an employee dedicated for data analysis and must rely on the division's only data analysis employee for support services.

The Administrative Assistant II is a support staff position requested to provide dedicated staff support to the Loss Prevention section, which currently is operating without any administrative support. Due to the mission of the Loss Prevention Section, staff members travel frequently, conduct many meetings that involve high levels of coordination due to the number and geographic location of attendees, and the section maintains multiple contact listings of agency and university staff. This position will provide administrative support to the Loss Prevention Section by maintaining contact listings, scheduling and assisting in the preparations for training events and meetings, preparing and proofing correspondence and reports, making travel reservations and filing travel forms. These duties are currently being performed by other sections.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	2.00	42,233		25,771	68,004	0.00	68,004

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
LOSS PREVENTION SECTION STAFFING -				
RISK MANAGEMENT				3000890

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							68,004
	2.00	42,233		25,771	68,004		68,004

WORKERS' COMPENSATION CLAIMS							
STAFFING - RISK MANAGEMENT							3000940
SALARY RATE							000000
SALARY RATE.....	180,230						
SALARIES AND BENEFITS							010000
STATE RISK MGMT TF	-STATE	10.00	305,114				2078 1
EXPENSES							040000
STATE RISK MGMT TF	-STATE	98,820	36,930				2078 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
WORKERS' COMPENSATION CLAIMS				
STAFFING - RISK MANAGEMENT				3000940
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE	3,540		2078 1
TOTAL: WORKERS' COMPENSATION CLAIMS				3000940
STAFFING - RISK MANAGEMENT				
TOTAL POSITIONS.....	10.00			
TOTAL ISSUE.....	407,474	36,930		
TOTAL SALARY RATE.....	180,230			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Workers' Compensation Claims Staffing - Risk Management

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

The division requests four Workers' Compensation Specialists and six Senior Workers' Compensation Examiners to ensure compliance with the numerous and complex regulatory requirements imposed upon the division regarding timely filings and payments, particularly those where non-compliance results in the assessment of penalties and interest due to claimants. As described below, the division's lost time claim load ratio far outweighs that of the other self-insurers surveyed. To properly manage the thousands of medical only claims each year, staff would have to be significantly increased, as the average number of medical only claims per Senior Examiner is approximately 1,200. Even considering only the more active medical only claims (approximately 6,400); the Senior Examiners are currently expected to maintain claim loads of approximately 700.

Chapter 284, Florida Statutes, establishes the Risk Management Trust Fund, which provides among other insurance coverage's, workers' compensation coverage and adjusting services to state agencies, their employees, and volunteers. Also pursuant to chapter 284, F.S., the Division of Risk Management was created to implement and administer these responsibilities in addition to loss prevention services. Specifically, the division's Bureau of State Employee Workers' Compensation Claims administers the workers' compensation claims for all state employees and volunteers who are injured on the job. Currently, there are 19 Workers' Compensation (WC) Specialists and three Insurance Specialist II's whose primary responsibilities include adjusting "lost time" claims, which involve payments to employees to compensate for their lost time from work. WC Specialists also adjust some of the more costly "medical only claims." Medical only claims do not involve lost time payments to employees but can be very lengthy and costly. The division employs nine Senior Examiners whose responsibilities include reviewing the medical only claims and identifying settlement potential and/or other opportunities to close the claims. The Examiners also provide some clerical support to the WC Specialists, as the entire bureau employs only one clerical support position, responsible for importing the high volume of injury

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
WORKERS' COMPENSATION CLAIMS				
STAFFING - RISK MANAGEMENT				3000940

related documents on a daily basis, in addition to assisting the Bureau Chief with multiple tasks.

Claims are assigned to adjusters in one of three units, which are primarily categorized by type of claim and agency employing the injured worker. As of July 1, 2012, the division was administering approximately 4,000 lost time claims and approximately 11,200 medical only claims (approximately 4,800 of which involve only sporadic medical treatment each year). Approximately 6,400 medical only claims involve more active and/or more serious medical care and, in turn, warrant closer monitoring. WC Specialists in Unit 1 (permanent and total disability and pre-1997 accident dates) maintain claim loads of approximately 300. WC Specialists in Units 2 and 3 (primarily presumption, DOC, Universities, & State Court System) maintain claim loads of approximately 215. Insurance Specialist II's have an average lost time case load of approximately 165 claims. However, the Insurance Specialist II's are also responsible for handling some medical only files and providing 100 percent of their own clerical support.

In surveying other large Employers in the State of Florida, the following information regarding lost time claim workloads was obtained:

Employers	#WC Lost Time Adjusters	# Employees	# Lost Time of Claims in Workload
Broward County	5	14,000	150-175
Disney	7	62,000	120-130
Wal-Mart	19 (TPA)	91,954	113 (Average)
*Publix	24	80,000	135
Miami Dade County	25		125-150
State of Florida	17	200,000	235**

*Based upon a 2008 Publix Report to Division of Risk Management

**Not including the three Insurance Specialists II positions

As demonstrated above, the division's lost time claim load ratio far outweighs that of the other self-insurers surveyed. To properly manage the thousands of medical only claims each year, staff would have to be significantly increased, as the average number of medical only claims per Senior Examiner is approximately 1,200. Even considering only the more active medical only claims (approximately 6,400); the Senior Examiners are currently expected to maintain claim loads of approximately 700. One of the division's primary goals is to manage risks and contain claim costs. Workers' compensation claims costs represent the highest percentage of the division's expenditures. Therefore, the focus should be on adequately staffing the Bureau of State Employee Workers' Compensation Claims to better manage and contain indemnity and medical costs with the ultimate goal of efficiently and timely closing claims when appropriate.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
WORKLOAD							3000000
WORKERS' COMPENSATION CLAIMS							
STAFFING - RISK MANAGEMENT							3000940

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	10.00	180,230		124,884	305,114	0.00	305,114
TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							305,114
	10.00	180,230		124,884	305,114		305,114

PROGRAM OR SERVICE-LEVEL							
INFORMATION TECHNOLOGY							3630000
RISK MANAGEMENT INFORMATION SYSTEM							36323C0
SPECIAL CATEGORIES							100000
EXCESS INSUR. & CLAIM SER							101221
STATE RISK MGMT TF	-STATE	5,000,000	5,000,000				2078 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Risk Management Information System

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

The department is in the process of procuring a replacement information system for the Division of Risk Management's current Risk Management Information System (RMIS). A consultant is currently reviewing system requirements and assessing

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
RISK MANAGEMENT INFORMATION SYSTEM				36323C0

what information systems are available that will meet those requirements, with a report expected in October 2012, at which time estimated costs will be available. An ITN (invitation to negotiate) will be issued in late 2012 with responses due in the spring of 2013. Cost components will include data migration, software purchase or license fees, staff training, hardware purchases and possible staff augmentation. The Division of Risk Management is working with the Division of Information Systems on this issue.

FILENET P8 DOCUMENT MANAGEMENT				
IMPLEMENTATION AND MIGRATION				36380C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	-STATE	51,301-		2078 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: FileNet P8 Document Management Implementation and Migration - Deduct

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS) is requesting funding to implement the migration of three separate document management systems to a single enterprise document management system, FileNet P8. Currently, DFS has three separate and distinct outdated instances of FileNet supporting the following six business units: Division of Workers' Compensation, Division of Risk Management, Division of Consumer Assistance, Division of Agent & Agency Services, Division of Funeral and Cemetery Services, Consumer Services, and the Office of Insurance Regulation. Together these three FileNet systems support more than 165 department business applications. The service that is currently received by all these divisions and OIR is paid from the divisions of Workers' Compensation, Risk Management, and Information Systems' budgets.

The department's intent is to consolidate the three FileNet systems onto the single, secure, and highly available FileNet P8 enterprise-level instance. The current maintenance and support for the duplicative and outdated instances of FileNet cost of \$309,041 is paid from three separate divisions (Division of Workers' Compensation \$145,867, Division of Risk Management \$51,301 and Division of Information Systems \$111,873). This request is to transfer existing contracted services budget from the Division of Risk Management to the Division of Information Systems.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS				4A00000
MONITORING OF THIRD-PARTY				
ADMINISTRATORS - RISK MANAGEMENT				4A04000
SALARY RATE				000000
SALARY RATE.....	108,218			
=====				
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	5.00			
STATE RISK MGMT TF -STATE	172,983			2078 1
=====				
EXPENSES				040000
STATE RISK MGMT TF	49,410	18,465		2078 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	1,770			2078 1
=====				
TOTAL: MONITORING OF THIRD-PARTY				4A04000
ADMINISTRATORS - RISK MANAGEMENT				
TOTAL POSITIONS.....	5.00			
TOTAL ISSUE.....	224,163	18,465		
TOTAL SALARY RATE.....	108,218			
=====				

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Monitoring of Third-Party Administrators - Risk Management

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

This issue will address audit findings identified in the Auditor General (AG) Report 2012-067, Division of Risk Management Monitoring of Third Party Administrators, by adding three full time equivalent positions (FTE) to the Contract Monitoring Section and two FTE to the Trust Fund Management Section. The audit disclosed that the division's monitoring of the Third Party Administrators (TPA) contracts associated with the workers' compensation program was not sufficient. The report provided specific deficiencies to which the department responded to in agreement with the findings.

A Registered Nursing Consultant will be dedicated to performing medical and pharmaceutical reimbursement audits of

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS				4A00000
MONITORING OF THIRD-PARTY				
ADMINISTRATORS - RISK MANAGEMENT				4A04000

workers' compensation claims. The audits will evaluate the effectiveness of contracted medical services provided, and if medical services performed were medically necessary, cost effective and related to the injury. This position will work with the audit evaluation and review analyst and other contract monitoring staff in conducting contract monitoring activities and providing feedback to the vendor as needed. The salary requested for this position is 10 percent over the base salary due to the shortage of nurses and current salaries offered in the Tallahassee area, combined with the need to have an experienced registered nurse in this position. Currently, there is one Registered Nursing Consultant available to provide contract monitoring services for seven medically-related contracts. In FY 2011-12, \$66,887,214 was spent in total on these contracts, with \$8,277,930 spent in the contracted services operating category and \$58,609,284 spent in the loss payments non-operating category.

Two Government Analyst I positions are being requested to address a need for increased contract monitoring activities as noted in the audit finding. The AG Report recommended that audit tests and on-site monitoring of TPAs be performed to ensure that contractors are operating in compliance with contract terms. These positions will be dedicated to maintaining contract files, preparing contract amendments, renewals and extensions, and preparing and implementing contract monitoring plans.

The AG Report specifically noted that the division needed to provide quality assurance and post-audit capabilities for payments issued to claimants and TPAs. An Accountant I position is being requested to provide quality assurance and post-audit capabilities for payments issued by the Disbursements Unit. An Accountant II position is also requested for the Trust Fund Management Section to assume duties currently being performed by an Accountant IV. The Accountant IV will assist in performing contract monitoring of the division's TPAs. The addition of this position will allow more senior positions to concentrate on contract monitoring and tax compliance activities.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	5.00	108,218		64,765	172,983	0.00	172,983

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS							4A00000
MONITORING OF THIRD-PARTY							
ADMINISTRATORS - RISK MANAGEMENT							4A04000

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							172,983
2078 STATE RISK MGMT TF							172,983
	5.00	108,218		64,765	172,983		

INFORMATION TECHNOLOGY OPERATIONAL							
AUDIT - RISK MANAGEMENT							4A04100
SALARY RATE							000000
SALARY RATE.....	79,321						
SALARIES AND BENEFITS							010000
STATE RISK MGMT TF	-STATE	3.00	120,026				2078 1
EXPENSES							040000
STATE RISK MGMT TF	-STATE	29,646	11,079				2078 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS				4A00000
INFORMATION TECHNOLOGY OPERATIONAL				
AUDIT - RISK MANAGEMENT				4A04100
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE	1,062		2078 1
=====				
TOTAL: INFORMATION TECHNOLOGY OPERATIONAL				4A04100
AUDIT - RISK MANAGEMENT				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	150,734	11,079		
TOTAL SALARY RATE.....	79,321			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Information Technology Operational Audit - Risk Management

Reference to Long-Range Program Plan: Goal #1: The department will be a vigilant steward of the state's resources.

This issue is being requested to address audit findings identified in the Auditor General (AG) Report 2012-071, STARS Information Technology Operational Audit; and the AG Report 2012-067, Division of Risk Management Monitoring of Third Party Administrators.

The AG Report 2012-071 recommended, and the division requests, a Government Analyst II position to maintain and manage authorized user access to the STARS risk management information system. The position is needed to assist the Data Management Section manager with Information Technology (IT) issues and will act as the division's Information System Internal Control Compliance Officer. As such, the Government Analyst II will monitor IT security controls, IT program change controls, and IT application controls to ensure that the Division's IT internal control system adequately safeguards the use of IT systems and data integrity in accordance with established policies, procedures and practices. Currently, the Data Management Section has two FTEs dedicated to support the division's STARS risk management information system, which is unique to the department.

An Operations Review Specialist and an Office Operations Manager I SES positions are being requested to address audit findings identified in the AG Report 2012-067.

This report recommended that the division be able monitor and obtain detailed data on claims paid by Third Party Administrators to ensure contract compliance. The Operations Review Specialist position is needed to allow more time to be devoted to analysis of data rather than report generation. Currently, the Data Management Section has one FTE dedicated to provide reports and special data extraction requests to the division's 106 FTEs and all state agencies and universities, with as many as 600-plus reports being generated per month. This position will assist the Government

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS				4A00000
INFORMATION TECHNOLOGY OPERATIONAL				
AUDIT - RISK MANAGEMENT				4A04100

Analyst II in preparing and analyzing reports extracted from the RMIS, including data mining activities, detecting overpayments, fraud and other cost containment measures.

The Office Operations Manager I SES position is requested to supervise the division's centralized mail room operations. Currently, the Data Management Section supervisor has eight positions directly reporting to it, including five mail room staff. The mail room receives a high volume of checks and confidential documents that must be processed immediately to avoid operational delays. Having a mail room supervisor will allow documents and checks to be processed in an efficient manner and will allow the Data Management Section supervisor to spend less time on mail room operations, resulting in greater time being devoted to contract monitoring activities related to the division's IT systems. This position will supervise and provide support to the current mail room staff and ensure all documents are distributed to the appropriate sections in a timely manner.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	3.00	79,321		40,705	120,026	0.00	120,026
TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							120,026
	3.00	79,321		40,705	120,026		120,026

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>				43400100
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	126.00			
TRUST FUNDS.....	64,609,549	5,073,860		2000
SALARY RATE.....	4,386,207			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE_CO REHAB/LIQDATN				43500100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	431,201			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	7.00	565,721	2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	34,771		2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	119,364		2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	1,120		2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	232,517		2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	1,847		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	2,565		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE_CO_REHAB/LIQDATN				43500100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....		957,905		
TOTAL SALARY RATE.....	431,201			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	173		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,325		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	828		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	62-		2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE CO REHAB/LIQDATN							43500100
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							26A1830
ANNUALIZATION SALARIES AND BENEFITS							010000
INSURANCE REG TF							2393 1
-STATE		4,140					
TOTAL: REGULATION AND LICENSING BY FUND TYPE							<u>1204.00.00.00</u>
TRUST FUNDS.....	7.00		964,309				2000
SALARY RATE.....	431,201						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,985,551			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	129.00		
		6,498,240		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	3,938		
				2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	1,046,918		
				2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	2,500		
				2393 1
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF	-STATE	2,100,000		
				2393 1
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	2,188,892		
				2393 1
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	5,200		
				2393 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	108,444					2393 1
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	15,534					2393 1
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	49,191					2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		129.00					
TOTAL ISSUE.....		12,018,857					
TOTAL SALARY RATE.....		4,985,551					
CASUALTY INSURANCE PREMIUM							1001090
ADJUSTMENT							100000
SPECIAL CATEGORIES							103241
RISK MANAGEMENT INSURANCE							
INSURANCE REG TF	-STATE	10,149					2393 1
FLORIDA RETIREMENT SYSTEM							1001240
CONTRIBUTION ADJUSTMENT FOR							010000
FISCAL YEAR 2012-2013							
SALARIES AND BENEFITS							
INSURANCE REG TF	-STATE	16,254					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	15,275		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,191-		2393 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - DEDUCT				160M010
EXPENSES				040000
INSURANCE REG TF	-STATE	3,000-		2393 1
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - ADD							160M020
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF							2393 1
-STATE		3,000					

AGENCY ISSUE NARRATIVE:							
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO							
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).							
This issue nets to zero with corresponding issue code 160M010.							

ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
DEDUCT							2000130
EXPENSES							040000
INSURANCE REG TF							2393 1
-STATE		5,000-					

SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE FEES							100064
INSURANCE REG TF							2393 1
-STATE		611,000-					

CONTRACTED SERVICES							100777
INSURANCE REG TF							2393 1
-STATE		300,000-					

TOTAL: REALIGN BUDGET AUTHORITY BETWEEN							2000130
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
DEDUCT							
TOTAL ISSUE.....		916,000-					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
DEDUCT				2000130

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue is the deduct side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves budget from various categories, except salaries, for realignment. This issue with corresponding issue code 2000140 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

REALIGN BUDGET AUTHORITY BETWEEN
 CATEGORIES TO MATCH ANTICIPATED
 RECURRING OPERATING EXPENDITURES -
 ADD

2000140
 100000
 102289

SPECIAL CATEGORIES
 OPERATION/MOTOR VEHICLES

INSURANCE REG TF -STATE 5,000

2393 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - DEDUCT				2007100
SALARY RATE				000000
SALARY RATE.....	57,746-			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	1.00-			
-STATE	75,331-			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF				
-STATE	5,889-			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF				
-STATE	1,350,000-			2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF				
-STATE	354-			2393 1
=====				
TOTAL: CONSOLIDATE INFORMATION TECHNOLOGY				2007100
(IT) CONTRACTS - DEDUCT				
TOTAL POSITIONS.....	1.00-			
TOTAL ISSUE.....	1,431,574-			
TOTAL SALARY RATE.....	57,746-			
=====				

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Consolidate Information Technology (IT) Contracts

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - DEDUCT				2007100

Services, State Fire Marshal and Funeral, Cemetery & Consumer Services for three existing contracts between the divisions and Information System of Florida (ISF).

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications within the Division of Agent and Agency Services are: Agent & Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), ALIS /NIPR Data Interchange (ANDI), Internet Appointment module of ALIS (eAppoint), and Non-resident Interface for transfer of Information (NFTI). This issue will transfer \$1,350,000 from the Division of Agent and Agency Services to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	1.00-	57,746-		17,585-	75,331-	0.00	75,331-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							75,331-
	1.00-	57,746-		17,585-	75,331-		75,331-
	=====	=====	=====	=====	=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	76,375		2393 1
PROGRAM REDUCTIONS				33V0000
REDUCE POSITION(S) IN INSURANCE				
AGENT PRE-LICENSING EDUCATION SECTION				33V6130
SALARY RATE				000000
SALARY RATE.....		31,912-		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	46,182-		2393 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	356-		2393 1
TOTAL: REDUCE POSITION(S) IN INSURANCE				33V6130
AGENT PRE-LICENSING EDUCATION SECTION				
TOTAL POSITIONS.....		1.00-		
TOTAL ISSUE.....		46,538-		
TOTAL SALARY RATE.....		31,912-		

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal 4. The department will protect the health, safety and welfare of the public.

LAW CHANGE REQUIRED: Sections 626.171, 626.221, 626.231, 626.2817, 626.292, 626.681, 626.731, 626.7351, 626.785,

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
PROGRAM REDUCTIONS							33V0000
REDUCE POSITION(S) IN INSURANCE							
AGENT PRE-LICENSING EDUCATION							
SECTION							33V6130

626.7851, 626.831, 626.8311, 626.8417, 626.865, 626.927, 648.385, and 648.386, F.S. will need to be repealed or amended.

In 1981, legislation was passed requiring an applicant to successfully complete a pre-licensing education course prior to taking the state examination. Elimination of Pre-licensing Education will allow licensees to qualify by passing the required state examination without first taking pre-licensing education courses. Passage of the examination is adequate to verify the applicants are qualified and competent to transact insurance. Also, many times the appointing entity (insurance company) requires licensees to complete in-house training prior to being able to sell the entities' product(s).

Pre-licensing courses will no longer be required of applicants prior to taking the state examination. The FTE will no longer approve or disapprove courses applicants may wish to take prior to taking the state examination.

This issue reduces one (1) FTE and (46,538) in appropriation: (46,182) in salary and benefits and (356) in HR outsourcing. The position is currently filled; however, other needs within the division should allow the displaced employee to transfer to another critical function.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9999 001	1.00-	31,912-		14,270-	46,182-	0.00	46,182-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							46,182-
	1.00-	31,912-		14,270-	46,182-		46,182-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
PROGRAM REDUCTIONS				33V0000
REDUCE POSITION(S) - AGENT AND				
AGENCY SERVICES - CLERICAL STAFF				
REDUCTION DUE TO OPERATIONAL				
EFFICIENCIES				33V6170
SALARY RATE				000000
SALARY RATE.....	103,873-			
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	5.00-	168,080-		2393 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		1,780-		2393 1
TOTAL: REDUCE POSITION(S) - AGENT AND				33V6170
AGENCY SERVICES - CLERICAL STAFF				
REDUCTION DUE TO OPERATIONAL				
EFFICIENCIES				
TOTAL POSITIONS.....	5.00-			
TOTAL ISSUE.....		169,860-		
TOTAL SALARY RATE.....	103,873-			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal 4. The department will protect the health, safety and welfare of the public.

LAW CHANGE REQUIRED: None

Pursuant to s. 626.171 and s.626.172, F.S., applicants for licensure and owners, partners, sole proprietors, of an insurance agency are required to furnish fingerprints to the department as part of the application process. The above-listed sections also provides fingerprints are to be taken by a law enforcement agency or other entity approved by the department. The division has contracts with vendors that will provide fingerprinting services for the department without the fingerprint cards having to be sent to the department.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
PROGRAM REDUCTIONS				33V0000
REDUCE POSITION(S) - AGENT AND				
AGENCY SERVICES - CLERICAL STAFF				
REDUCTION DUE TO OPERATIONAL				
EFFICIENCIES				33V6170

Elimination of the process of mailing and receiving paper fingerprint cards in house reduces the need for the 3 FTEs in the Bureau of Licensing. When non-resident persons apply, the applicants will contact the current fingerprint vendor to pay the fingerprint processing fee and obtain the fingerprint cards. The fingerprint cards will be pre-populated with the applicant's demographic information in order to reduce errors. Once the applicants have been fingerprinted, the completed cards are submitted back to the vendor. The vendor will then digitally scan the fingerprint cards and electronically submit the prints to the Florida Department of Law Enforcement which in turn will submit the images to the Federal Bureau of Investigation. The fingerprint results are then submitted to the Bureau of Licensing for review.

The Bureau of Investigation is automating transmittal of investigative files; therefore, field office staff will no longer be required to compile and make copies of documents/files prior to mailing them to Tallahassee. Changes to Licensing's Automated Licensing Information System (ALIS) are in process that will provide the automation necessary for the investigative files to be scanned and electronically transmitted. The changes are currently being made through the division's current vendor and paid for with the division's current funding.

This issue reduces (5) FTE and (169,860) in appropriation: (168,080) in salary and benefits and (1,780) in HR outsourcing. Of the 5 FTEs in this issue, 4 positions are vacant and 1 position is currently filled. Normal attrition within the division should allow any displaced employees to transfer to another critical function.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C9898 001	5.00-	103,873-		64,207-	168,080-	0.00	168,080-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
PROGRAM REDUCTIONS				33V0000
REDUCE POSITION(S) - AGENT AND				
AGENCY SERVICES - CLERICAL STAFF				
REDUCTION DUE TO OPERATIONAL				
EFFICIENCIES				33V6170

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							168,080-
	5.00-	103,873-		64,207-	168,080-		168,080-

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	122.00						2000
SALARY RATE.....		9,576,747					
		4,792,020					

=====

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE FRAUD							43500300
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		9,291,557					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	193.00					2393 1
		12,119,465					
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	45,000					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	1,951,619					2393 1
=====							
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	103,635					2393 1
=====							
SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR VEHICLES							100021
INSURANCE REG TF	-STATE	79,200					2393 1
=====							
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF	-STATE	1,196,826					2393 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	214,617					2393 1
FED LAW ENFORCEMENT TF	-RECPNT	50,000					2719 9

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE FRAUD							43500300
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
TOTAL APPRO.....		264,617					
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	96,600					2393 1
=====							
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	155,002					2393 1
=====							
SALARY INCENTIVE PAYMENTS							103290
INSURANCE REG TF	-STATE	202,496					2393 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	46,047					2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	66,374					2393 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		193.00					
TOTAL ISSUE.....		16,326,881					
TOTAL SALARY RATE.....		9,291,557					
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE FRAUD							43500300
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	14,506					2393 1
=====							
FLORIDA RETIREMENT SYSTEM							
CONTRIBUTION ADJUSTMENT FOR							
FISCAL YEAR 2012-2013							1001240
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	62,527					2393 1
=====							
SPECIAL CATEGORIES							100000
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF	-STATE	687					2393 1
=====							
TOTAL: FLORIDA RETIREMENT SYSTEM							1001240
CONTRIBUTION ADJUSTMENT FOR							
FISCAL YEAR 2012-2013							
TOTAL ISSUE.....		63,214					
=====							
ADJUSTMENT TO STATE HEALTH							
INSURANCE PREMIUM CONTRIBUTION -							
FISCAL YEAR 2012-13							1001830
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	22,338					2393 1
=====							
SPECIAL CATEGORIES							100000
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF	-STATE	2,028					2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE FRAUD							43500300
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
ADJUSTMENT TO STATE HEALTH							
INSURANCE PREMIUM CONTRIBUTION -							
FISCAL YEAR 2012-13							1001830
TOTAL: ADJUSTMENT TO STATE HEALTH							1001830
INSURANCE PREMIUM CONTRIBUTION -							
FISCAL YEAR 2012-13							
TOTAL ISSUE.....			24,366				
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF							
-STATE			1,590-				2393 1
=====							
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - DEDUCT							160M010
EXPENSES							040000
INSURANCE REG TF							
-STATE			1,000-				2393 1
=====							

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - ADD				160M020
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	1,000		2393 1
=====				

AGENCY ISSUE NARRATIVE:				
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO				
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).				
This issue nets to zero with corresponding issue code 160M010.				

REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE LEGAL STAFF FROM				
FRAUD TO LEGAL - DEDUCT				
SALARY RATE				
SALARY RATE..... 107,803-				
=====				
SALARIES AND BENEFITS				
INSURANCE REG TF				
-STATE 2.00- 138,467-				
=====				
EXPENSES				
INSURANCE REG TF				
-STATE 3,600-				
=====				
SPECIAL CATEGORIES				
TR/DMS/HR SVCS/STW CONTRCT				
INSURANCE REG TF				
-STATE 712-				
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET AMENDMENT - MOVE LEGAL STAFF FROM FRAUD TO LEGAL - DEDUCT				1600640
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET AMENDMENT - MOVE LEGAL STAFF FROM FRAUD TO LEGAL - DEDUCT				1600640
TOTAL POSITIONS.....	2.00-			
TOTAL ISSUE.....		142,779-		
TOTAL SALARY RATE.....	107,803-			

AGENCY ISSUE NARRATIVE:
 2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Re-approval of current year budget amendment - move Legal staff from Fraud to Legal

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 6/29/2012. Agency amendment 13-05 (EOG Log# B0049) moves two (2) FTE performing legal duties, and the associated budget, from the Division of Insurance Fraud to the Division of Legal Services.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7738 SENIOR ATTORNEY							
C0575 001	2.00-	102,608-		35,859-	138,467-	0.00	138,467-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - MOVE LEGAL STAFF FROM				
FRAUD TO LEGAL - DEDUCT				1600640

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							138,467-
	2.00-	102,608-		35,859-	138,467-		138,467-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9998 001		5,195-					
TOTAL SALARY RATE		5,195-					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
SPECIAL CATEGORIES				100000
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	50,000		2393 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

NONRECURRING EXPENDITURES				2100000
REPLACEMENT OF STATEWIDE LAW				
ENFORCEMENT RADIO EQUIPMENT				2103144
OPERATING CAPITAL OUTLAY				060000

INSURANCE REG TF	-STATE	79,935-		2393 1
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CREATE NEW PERSONAL INJURY				
PROTECTION (PIP) FRAUD UNIT				2103154
EXPENSES				040000

INSURANCE REG TF	-STATE	34,702-		2393 1
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
NONRECURRING EXPENDITURES				2100000
CREATE NEW PERSONAL INJURY				
PROTECTION (PIP) FRAUD UNIT				2103154
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	22,000-		2393 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	79,200-		2393 1
=====				
TOTAL: CREATE NEW PERSONAL INJURY				2103154
PROTECTION (PIP) FRAUD UNIT				
TOTAL ISSUE.....		135,902-		
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	111,690		2393 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	10,140		2393 1
=====				
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT				26A1830
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				
TOTAL ISSUE.....		121,830		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
WORKLOAD				3000000
INSURANCE FRAUD - FINANCIAL CRIMES,				
LEADERSHIP, AND BEST PRACTICES				
TRAINING FOR LAW ENFORCEMENT				
PERSONNEL				3001190
EXPENSES				040000
FED LAW ENFORCEMENT TF -STATE		23,850		2719 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FED LAW ENFORCEMENT TF -STATE		51,150		2719 1
TOTAL: INSURANCE FRAUD - FINANCIAL CRIMES,				3001190
LEADERSHIP, AND BEST PRACTICES				
TRAINING FOR LAW ENFORCEMENT				
PERSONNEL				
TOTAL ISSUE.....		75,000		

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL

Issue Description/Need:

DIF is requesting 75,000 in recurring authority from the forfeiture fund account in the Federal Law Enforcement Trust Fund. This funding will provide needed professional development training in financial crimes investigations for sworn investigative and managerial personnel.

The DIF management team will also benefit from these financial crimes investigative courses, and gain even greater benefit from the leadership and best practices courses rooted in the practices and principles of managing law enforcement investigative units. This training will assist field management in monitoring and directing their detectives, and controlling other resources to ensure the effectiveness and efficiency of the division. The training is necessary in order to reduce the risk exposure to the division and department when supervisors are required to make important management decisions.

In general, law enforcement agencies provide in-service training to sworn officers on an ongoing basis for the purpose of complying with mandatory requirement set forth by the Florida statues and to maintain officer certification. However, many agencies, including the Department of Financial Services, Division of Insurance Fraud, do not go beyond the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL				3001190

mandatory requirements because of budget limitations. It also must be considered that addressing law enforcement issues is different than addressing non law enforcement issues, because of specific statutes which govern the law enforcement officer and contract considerations.

The cost estimates for training specific to white collar crime investigations and supervision is as follows:

Cost Estimates

<u>Training Classes</u>	<u>Contracted Fees</u>	<u>Travel Expenses</u>
Money Laundering Investigations	\$9,000	\$ 3,500
Financial Crimes Investigations	\$12,050	\$ 3,600
Computer Crimes Investigations	\$12,050	\$ 3,600
Managing an Investigative Unit	\$ 6,000	\$ 9,550
Healthcare Fraud	\$12,050	\$ 3,600
	=====	=====
Totals:	\$51,150	\$23,850

Cost estimates are based on historical data and a survey of a reliable vendor which has previously been contracted and proven to meet and exceed our training expectations. Fees are based on quotes for "blocks" of training for a certain number of hours. Travel is based on establishing training in geographical areas in order to lessen travel and the deficiencies of taking detectives out of the field for longer periods of time. This approach will enable the training to be held in their locations so they may quickly return to their field investigations. This template for training has worked well in the past for the division.

Successful appropriation of this request will allow the Division of Insurance Fraud will conduct needed training, professional-level training, which has been missing and is mission critical to the success of our criminal investigations and the management of the agency. Investigations will be more thorough and presentations to prosecutors will be more effective. A greater percentage of presentation for prosecution to arrests ratio takes more criminals off the street and better protects the public.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
INSURANCE FRAUD - FINANCIAL CRIMES,				
LEADERSHIP, AND BEST PRACTICES				
TRAINING FOR LAW ENFORCEMENT				
PERSONNEL				3001190

Additional information and background:

The Division of Insurance Fraud (DIF) is a statewide criminal investigative agency with a mission of investigating all aspects of insurance fraud, workers' compensation fraud, and other financial related crimes. Division detectives are constantly investigating complex criminal cases, such as money laundering, mortgage fraud, workers' compensation premium fraud, money service businesses, schemes to defraud, organized crime, and other financial crimes related to insurance fraud. The Division has 149 sworn law enforcement positions statewide.

It is estimated insurance fraud costs Floridians at least \$80 billion every year. Florida is considered a haven for white collar criminal activity and these types of crimes significantly impact the Florida economy. As the economic recovery is occurring at a rate much slower than anticipated and unemployment remains high, opportunities for increased fraudulent activities and the development of more highly sophisticated scams is inevitable. Many of these are perpetrated by organized criminal enterprises using sophisticated computer technology, money service businesses (MSB's), offshore accounts and other complex financial mechanisms to facilitate their criminal activity and hide illicit proceeds. Financial crimes have the potential to further destabilize Florida's economy and slow down the recovery process even further. The growth in insurance related crimes is evidenced by the continual increase, over the last five (5) years, in referrals of suspected fraudulent activity. The five year increase from FY 2007-08 to FY 2011-12 of 9916 referrals to 15,146, represents a 52.8% increase. The increase just in FY 2010-11 was 13%.

The Division must be sophisticated enough to keep ahead of these schemes to protect the public and companies that do business in Florida. Aggressive investigation and prosecution of insurance fraud and other financial crimes will be a key factor in restoring the long-term confidence in our business leaders and reducing exposure to the state and its residents. With the complexity of these crimes, the effectiveness of the division is severely compromised when a detective with limited training is assigned to an investigation of this type. By funding this issue, division detectives will be provided with professional training by acknowledged experts in the field and learn the most efficient and effective techniques for combating financial crimes and returning money to the state and victims.

The funds requested for this issue will come from the forfeiture funds account within the Federal Law Enforcement Trust Fund. No new or additional revenue is needed for this request. There are sufficient cash balances in this fund to pay for this request. As of June 30, 2012 there was a balance of more than \$890,000 in the forfeiture funds account. Considering other budget authority previously appropriated in FY 2012-13 and the budget authority being requested for all issues in the FY 2013-14 Legislative Budget Request, including this training request, there will be a balance of more than \$640,000 in the forfeiture fund. This balance does not account for anticipated revenues into the forfeiture funds

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
WORKLOAD				3000000
INSURANCE FRAUD - FINANCIAL CRIMES,				
LEADERSHIP, AND BEST PRACTICES				
TRAINING FOR LAW ENFORCEMENT				
PERSONNEL				3001190

account. However, the Division of Insurance Fraud expects to receive additional forfeiture funds which will continually increase the projected balance during the current and future fiscal years. As an example, \$110,869 was received in FY 2011-12, which was considered a light year for receipts, while \$205,125 was received in FY 2009-10 and \$379,908 in FY 2010-11.

The "Guide to Equitable Sharing" published by the U.S. Department of Justice in Section VIII (A)(1)(b) describes one of the permissible uses as follows: "Law enforcement training - the training officers, investigators, prosecutors, and law enforcement support personnel in any area that is necessary to perform official law enforcement duties."

OVERTIME PAY FOR CERTIFIED LAW				
ENFORCEMENT OFFICERS - FRAUD				3008A80
SPECIAL CATEGORIES				100000
OVERTIME				102331
FED LAW ENFORCEMENT TF	-STATE	100,000		2719 1

=====

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Division of Insurance Fraud Overtime Pay Issue

Issue Description/Need:

The Division of Insurance Fraud (DIF) is requesting 100,000 in recurring funds for overtime spending authority in order to provide line personnel and their supervisors overtime pay when required.

The DIF is charged with the statutory responsibility of investigating general insurance and workers' compensation fraud for the State of Florida. Within that purview, sworn officers investigate white collar crimes such as mortgage fraud, premium fraud, medical provider fraud, annuity fraud, PIP fraud, workers compensation fraud, staged accident rings, etc. Investigations require long hours which exceed the span of normal working business hours. These investigations are highly complex, labor intensive, and time consuming; even so, the Division's performance standards and customer expectations continue to increase each year. Additionally, the need for assistance to other federal, state and local law enforcement partners has increased significantly. This is especially true for participation in several task forces (mortgage fraud, auto theft, money laundering, workers compensation, etc.) across the state, including partnerships with

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
OVERTIME PAY FOR CERTIFIED LAW				
ENFORCEMENT OFFICERS - FRAUD				3008A80

the Drug Enforcement Agency, Federal Bureau of Investigation, North Florida High Intensity Drug Trafficking Area, Attorney General, and others. Referrals and tips for all insurance related crimes received during Fiscal Year 2011-12 increased 13.7 percent over the number of tips received during FY 2010-11. This level of increase is expected to continue into the FY 2012-13 and FY 2013-14, placing additional pressure on the existing workload.

Funding this issue will help provide relief for the increase in insurance fraud cases and related workload currently assigned to DIF personnel throughout the state and further, will ultimately result in a positive impact on the Division's mission of serving and protecting the citizens of Florida from acts of insurance fraud.

Additional information and background:

It is mission critical that DIF maintains and improves its effectiveness in protecting the health, safety and welfare of Florida's residents. Our sworn officers are often called upon to assist the State Emergency Operations Center (Emergency Services Functions (ESF) 16) during times of manmade and natural disasters (hurricanes, floods, oil spills, etc.). Such disaster situations require a law enforcement presence and investigation efforts above and beyond the normal core mission of the division. Insurance claims and fraudulent activity also greatly increase after such incidents and can last for years. While DIF receives federal reimbursement for some of these activities, not every service provided is reimbursable. There is usually a considerable lag between the time the service is required and the associated reimbursement being received. The Division must front the cost of this expense not knowing if, or when, it will receive reimbursement.

The increase, to twelve, in dedicated prosecutors across the state has furthered DIF's goal for increases in criminal presentations and court ordered restitution to victims. The most recent available statistics provided by the Coalition Against Insurance Fraud (CAIF) ranked Florida 4th among all states in the number of referrals received. Florida ranked first in court ordered restitution, and ranked second in all other key standard measurements, including: arrests; cases presented for prosecution; convictions; and number of cases opened for investigation. Over the past three fiscal years, the number of arrests made by DIF has exceeded 800 annually. In FY 2011-12, the DIF made 1224 arrests, a 22.7% increase from the prior fiscal year, and 1,242 presentations for prosecution, a 2.3% increase over the prior fiscal year. Increased workload for future fiscal years is anticipated to continue as shown by last year's trend.

Additionally, public awareness and outreach tasks (such as the Florida Housing Help Workshop, Consumer Service workshop and outreach projects, industry presentations, law enforcement community and insurance fraud industry educational projects), along with other agency assistance programs have created additional workloads for investigative personnel.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE FRAUD							43500300
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
WORKLOAD							3000000
OVERTIME PAY FOR CERTIFIED LAW ENFORCEMENT OFFICERS - FRAUD							3008A80

Combined with reductions in support personnel these additional activities have resulted in the distribution of additional duties to various supervisors, and line level employees, resulting in an increase in time spent on case related paperwork, administrative paperwork, citizen inquiries and public records requests. Many detectives choose to take leave in lieu of working overtime, which in turn creates increased staffing needs to already strained resources, further necessitating a need for additional resources. As a result of the increase in workload, detectives could feasibly work between four and ten hours of overtime each month; hours beyond their regular schedules.

The State of Florida (represented by the Department of Management Services) negotiated a new labor contract with the state's police union (PBA), and within that contract are stipulations of limits on the state's ability to require sworn employees to adjust overtime hours downward, or nullify excess work hours (those hours exceeding 160 in a 28 day period). There are no excess salaries and benefits available to cover the overtime, because the division is getting closer to having all of its positions filled. Absent this funding, overtime without adequate appropriation is a foreseeable funding liability as demands increase and resources decline.

PROGRAM OR SERVICE-LEVEL INFORMATION TECHNOLOGY							3630000
DIVISION OF INSURANCE FRAUD - ANALYTICS SOFTWARE LICENSE AND MAINTENANCE COSTS EXPENSES							36330C0 040000
FED LAW ENFORCEMENT TF -STATE	9,000						2719 1
=====							
SPECIAL CATEGORIES CONTRACTED SERVICES							100000 100777
FED LAW ENFORCEMENT TF -STATE	15,000						2719 1
=====							
TOTAL: DIVISION OF INSURANCE FRAUD - ANALYTICS SOFTWARE LICENSE AND MAINTENANCE COSTS TOTAL ISSUE.....	24,000						36330C0
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
DIVISION OF INSURANCE FRAUD -				
ANALYTICS SOFTWARE LICENSE AND				
MAINTENANCE COSTS				36330C0

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Division of Insurance Fraud - Analytics Software Licenses and Maintenance

Issue Description/Need:

The goal is to work with a basic analytics tool to start determining what data sources we want to analyze and what information we want the software to capture. The division wants to integrate a basic entry-level analytics software package to analyze claims data in NetMap with historical in house data located in our current case tracking system (ACISS).

DIF believes that Insurance Services Office, Inc. (ISO) is a sole source vendor as they are the only company with property and casualty insurance claim data through the National Insurance Crime Bureau (NICB). In addition, their analytics software product (NetMap) is compatible with the present data system and offers the user the capability of uploading and downloading additional data sources.

Additionally, the Division will be able to receive an analyze data in real time, allowing our investigators to keep current with the crime trends. This gives the divisions an advantage in enforcing the statutes that prevent insurance fraud and a tool to help keep those who prey on the innocent and unsuspecting off the streets.

Visual Analytics Software Maintenance:

There are minimal recurring costs associated with the maintenance and support of the software, which will ensure the maximum effectiveness of the software for the analytical aspect of enforcement. The division is requesting 15,000 in recurring budget authority for this maintenance of the analytical software program.

NetMap Software Licenses:

Division of Insurance Fraud (DIF) is requesting the purchase of a software licenses to use analytics to help analyze and interrupt data (incoming and historical). The price of a license is \$9,000 per year, and will be needed for a minimum of two more years (based on the current 3 year contract). The purchase of one license would be \$9,000 per year for a three-year contract totaling \$27,000. The first year would be prorated for the number of months remaining in the current fiscal year. In addition, the third year would be prorated for the balance of that fiscal year. The software would be installed on one stand-alone computer which would be used in Tallahassee (HQ). According to the vendor, training is very

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
DIVISION OF INSURANCE FRAUD -				
ANALYTICS SOFTWARE LICENSE AND				
MAINTENANCE COSTS				36330C0

extensive and user oriented. With the purchase of one license, the vendor will train two analysts, a primary user and a backup, for the stand-alone system.

Additional information and background:

The Division of Insurance Fraud (DIF) is responsible for investigating insurance fraud crimes associated with personal injury protection insurance fraud, insurance premium fraud, workers' compensation fraud, workers' compensation premium avoidance and diversions, insurer insolvency fraud, unauthorized entity fraud, and insurance agent crimes. The division utilizes analytical software that fully integrates data from all required sources and has the capacity to add new data bases when discovered. It has given DIF personnel the ability to import and analyze data in real time; provides full audit logging capabilities; and demonstrates past experience in deploying solutions in investigating cases in the areas of money service business data, insurance claims data, workers' compensation data, mortgage fraud data, etc.

The funds requested for this issue will come from the forfeiture funds account within the Federal Law Enforcement Trust Fund. No new or additional revenue is needed to be found for this request. There are sufficient cash balances in this account for this request. As of June 30, 2012 there was a balance of \$893,972 in the forfeiture funds account. Considering all budget authority already appropriated in FY 2012-13 General Appropriations Act, budget amendment requests in FY 2012-13, and the budget authority being requested for all issues in FY 2013-14 Legislative Budget Request, including this training request, there will be a balance of \$644,972 in the forfeiture funds. This balance does not take into account for any new revenues into the forfeiture funds account. However, the Division of Insurance Fraud expects to receive additional forfeiture funds which will continually increase the projected balance during the current and future fiscal years. As an example, \$110,869 was received in FY 2011-12, which was considered a light year for receipts. Fiscal Year 2009-10 produced \$205,125 in forfeiture receipts and in FY 2010-11 \$379,908.08 were received.

The "Guide to Equitable Sharing" published by the U.S. Department of Justice in Section VIII (A)(1)(d) describe one of the permissible uses as follows: "Law enforcement equipment - the costs associated with the purchase, lease, maintenance, or operation of law enforcement equipment for use by law enforcement personnel that supports law enforcement activities. For example, furniture, file cabinets, office supplies, telecommunications equipment, copiers, safes, fitness equipment, computers, computer accessories and software, body armor, uniforms, firearms, radios, cellular telephones, electronic surveillance equipment, and vehicles (e.g., patrol cars and surveillance vehicles)."

NetMap Analytics, a subsidiary of Insurance Services Office, Inc., (ISO), has created a suite of products that use visual link-analysis technology to help you see and fight insurance fraud. NetMap software helps you discover critical, previously hidden connections within data. Seeing those connections - within company data, in data from external

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
DIVISION OF INSURANCE FRAUD -				
ANALYTICS SOFTWARE LICENSE AND				
MAINTENANCE COSTS				36330C0

sources, or through a combination of sources - gives investigators insight and knowledge to streamline investigations.

NetMap's advanced link-analysis technology helps agencies analyze critical questions based on large quantities of data - and make the results easy to understand. NetMap finds all data elements applicable to a question and draws a picture of the connections among those elements - revealing relationships that you couldn't see before. NetMap's power lies in its unique combination of link analysis and data visualization. Using sophisticated algorithms, the software works quickly and efficiently to find every pertinent connection among billions of pieces of data - not only direct links and relationships, but indirect links as well. Data visualization makes the implications of those connections easy to understand.

NetMap software will show the relationship between suspects, linking claim data, addresses, phone numbers, vehicles, clinics, etc. Currently Division analysts work closely with detectives as they develop insurance fraud cases. These cases may involve PIP fraud, mortgage fraud, workers' compensation fraud, etc. With the growing issues with PIP fraud in the state, this software would hopefully enable the analyst to provide the detectives with the link between suspects within certain geographical areas of the state as they move operations through the state (organized schemes to defraud). The time savings for research in several databases would allow for time spent on additional cases.

PROGRAM ISSUES				4000000
DIVISION OF INSURANCE FRAUD -				
ADDITIONAL RESOURCES FOR TARGETED				
INVESTIGATION OF CRIMINAL ACTIVITY				4001510
EXPENSES				040000
FED LAW ENFORCEMENT TF	-STATE	70,000		2719 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: DIVISION OF INSURANCE FRAUD - ADDITIONAL RESOURCES FOR TARGETED INVESTIGATION OF CRIMINAL ACTIVITY

Issue Description/Need:

The Division of Insurance Fraud (DIF) is requesting 70,000 in additional expenses authority, from the forfeiture funds

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
DIVISION OF INSURANCE FRAUD -				
ADDITIONAL RESOURCES FOR TARGETED				
INVESTIGATION OF CRIMINAL ACTIVITY				4001510

received, for the purpose of operational travel and other expenses related to expanded and aggressive strategies to combat insurance fraud. The Division of Insurance Fraud is a statewide criminal investigative agency with a mission of investigating all aspects of insurance fraud, workers' compensation fraud, and other financial related crimes. Division detectives are constantly investigating complex criminal cases, such as PIP fraud rings, money laundering, mortgage fraud, workers' compensation premium fraud, money service businesses, schemes to defraud, organized crime, and other financial crimes related to insurance fraud.

Providing funding for the implementation of more aggressive strategies will allow DIF greater flexibility and mobility in allocating its resources (both manpower and equipment) where, and precisely when, it is needed. With the implementation of the new PIP legislation, DIF is working with its partner law enforcement agencies (county sheriff's offices, the Florida Highway Patrol, as well as federal agencies) to conduct sting operations and sweeps of suspected organized PIP rings and fraudulent medical providers. Temporarily transferring sworn manpower from other offices within the state, as well as moving limited technological resources (such as surveillance vehicles) to strengthen the staffing of the lead field office, is critical to the success of this strategy.

The approval of funding resulting in the flexibility as outlined will provide DIF the ability to move its resources and make adjustments to attack the criminal element in the state as insurance fraud reinvents itself to circumvent new and changing legislation.

Additional information and background:

As fraudulent activities expand into previously "untainted" areas of the state from high crime regions (migrating northward), it is necessary to have staffing with certain levels of expertise mobile enough to share that knowledge and be available as a physical presence to ensure DIF's less experienced investigators have the tools necessary to be successful. Insuring these investigations are well equipped and brought successfully to prosecution. For an example, certain units in southeast Florida (Palm Beach, Broward, and Dade counties) specializing in Workers' Compensation fraud as related to criminal money service businesses, have experienced "big ticket" successes in breaking organized, illegal activities. They have developed a template for investigation, resulting in arrests. DIF is now receiving tips and beginning to experience an increase in activity for criminal money service business activity in the Orlando and Tampa Bay areas. The ability to temporarily move this expertise is mission critical in developing a more professional staff and removing criminals from the street.

Historically, operational related travel has been important to the successful enforcement of insurance fraud laws and has been used effectively for training and moving resources (personnel and otherwise) when required. The three year average expenditures for Fiscal Years 2009-10, 2010-11, and 2011-12 is (\$97,722). The Division of Insurance Fraud's request of 70,000 reflects its need and commitment to responsibly manage its budget authority.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
DIVISION OF INSURANCE FRAUD -				
ADDITIONAL RESOURCES FOR TARGETED				
INVESTIGATION OF CRIMINAL ACTIVITY				4001510

The Division of Insurance Fraud has been in compliance with the restrictions for mission critical in state travel as imposed in Chapter 2012-119 Laws of Florida and the inter office memorandum on travel released by Chief Financial Officer Jeff Atwater. However, the memorandum does not apply for law enforcement purposes where law enforcement personnel is performing investigative functions or providing testimony in criminal proceedings. DIF has been very cost conscientious with their travel the last few years and has reduced that expenditure significantly. The expenditures for operational related travel for the fiscal years referenced above were: FY 2009-10 - (\$156,326); FY 2010-11 - (\$83,333); and FY 2011-12 - (\$53,506). This travel becomes mission critical, especially with the emphasis on reducing and/or eliminating PIP fraud and insurance fraud, in general.

The funds requested for this issue will come from the forfeiture funds account within the Federal Law Enforcement Trust Fund. No new or additional revenue is needed for this request, as sufficient cash balances are currently available. As of June 30, 2012 there was a balance of over \$890,000 in the forfeiture funds account. There is a projected balance of \$640,000 in the forfeiture funds before this request is considered. Additionally, the Division of Insurance Fraud expects to receive other forfeiture funds which will continually increase the projected future balances. As an example, \$110,869 was received in FY 2011-12, which was considered a light year for receipts, while FY 2009-10 had \$205,125 in receipts and FY 2010-11 had \$379,908.

These funds can only be used for law enforcement activities in fighting criminal actions. Seizures made in the early part the 2012-2013 Fiscal Year will increase the available balance of funds by more than \$400,000. Additional operations throughout the year involving forfeitures will increase the balance even more.

TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
	191.00			
TRUST FUNDS.....	16,509,591			2000
SALARY RATE.....	9,183,754			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....		4,767,296		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	119.00		
INSURANCE REG TF	-STATE	6,006,716		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	85,231		2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	997,935		2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	2,200		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	645,374		2393 1
=====				
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	1,500		2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	93,199		2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
CONSUMER ASSISTANCE							43500400
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF							2393 1
-STATE		7,824					
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF							2393 1
-STATE		40,729					
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	119.00						
TOTAL ISSUE.....	7,880,708						
TOTAL SALARY RATE.....	4,767,296						
CASUALTY INSURANCE PREMIUM ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF							2393 1
-STATE		8,722					
FLORIDA RETIREMENT SYSTEM CONTRIBUTION ADJUSTMENT FOR FISCAL YEAR 2012-2013							1001240
SALARIES AND BENEFITS							010000
INSURANCE REG TF							2393 1
-STATE		14,695					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	13,667		2393 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	986-		2393 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - DEDUCT				160M010
EXPENSES				040000
INSURANCE REG TF	-STATE	1,000-		2393 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
CONSUMER ASSISTANCE							43500400
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REALIGNMENT OF LEASE OR							
LEASE-PURCHASE EQUIPMENT - ADD							160M020
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF							2393 1
-STATE		1,000					

AGENCY ISSUE NARRATIVE:							
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO							
This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314).							
This issue nets to zero with corresponding issue code 160M010.							

ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
DEDUCT							2000130
EXPENSES							040000
INSURANCE REG TF							2393 1
-STATE		15,000-					

SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF							2393 1
-STATE		300,000-					

TOTAL: REALIGN BUDGET AUTHORITY BETWEEN							2000130
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
DEDUCT							
TOTAL ISSUE.....		315,000-					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
DEDUCT				2000130

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the deduct side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves budget from various categories, except salaries, for realignment. This issue with corresponding issue code 2000140 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.

REALIGN BUDGET AUTHORITY BETWEEN
 CATEGORIES TO MATCH ANTICIPATED
 RECURRING OPERATING EXPENDITURES -
 ADD

OTHER PERSONAL SERVICES				2000140
				030000
INSURANCE REG TF	-STATE	100,000		2393 1
=====				
SPECIAL CATEGORIES				100000
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	15,000		2393 1
=====				
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN				2000140
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				
TOTAL ISSUE.....		115,000		
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
ADD				2000140
<p>approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates budget from various categories, except salaries, to various categories where there is additional budget need. This issue with corresponding issue code 2000130 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.</p> <p>*****</p>				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	68,335		2393 1
=====				
WORKLOAD				3000000
UTILIZATION OF CLASS ACTION				
SETTLEMENTS TO EXPAND CONSUMER				
OUTREACH PROGRAM				3000200
EXPENSES				040000
INSURANCE REG TF	-STATE	15,000		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	135,000		2393 1
=====				
TOTAL: UTILIZATION OF CLASS ACTION				3000200
SETTLEMENTS TO EXPAND CONSUMER				
OUTREACH PROGRAM				
TOTAL ISSUE.....		150,000		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
WORKLOAD				3000000
UTILIZATION OF CLASS ACTION				
SETTLEMENTS TO EXPAND CONSUMER				
OUTREACH PROGRAM				3000200

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Provide Proactive Consumer Outreach on Insurance Financial Services Products

Issue Description/Need:

Financial literacy is a key component in the health and stability of Florida's economy. Every day, Floridians are victimized by unscrupulous agents and scam artists who use deceptive marketing and sales tactics to target consumers who are often unaware of the pitfalls of making uneducated financial decisions.

Using funds that have been specifically received and reserved for consumer outreach, the department will provide consumer outreach activities and educational information on insurance and financial topics and how to avoid frauds and scams. Additionally, the Department will develop an outreach campaign to educate consumers on the services offered by the Division. This will result in an increase in consumers' awareness on who to call for help or educational materials and to report potential fraudulent activities.

The department will be able to increase educational and outreach efforts to seniors, non-English and limited English speaking communities, first-time insurance buyers, and small business owners. This will aid them in making sound financial decisions and avoiding unnecessary monetary losses. Outreach activities, including television, radio, online, and print advertising, will help the department target these specific consumers.

Through close interaction with the public, the department will be able to identify trends in illegal activities, develop and deliver effective outreach programs and enhance our assistance to consumers before they become victimized. If this recurring issue is not funded, these educational activities will cease, resulting in higher numbers of Floridians who will be victimized by unscrupulous insurers, insurance agents, and financial services entities. This will also result in higher costs for insurance and financial products, as the cost of fraud is passed on to the consumer.

This issue requests a total of 150,000 in recurring authority; 135,000 in contracted services; and 15,000 in expenses.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>CONSUMER ASSISTANCE</u>				43500400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
	119.00			
TRUST FUNDS.....		7,935,141		2000
SALARY RATE.....		4,767,296		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,032,727			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	23.00			
	1,367,190			2573 1
=====				
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE	55,000			2573 1
=====				
EXPENSES				040000
REGULATORY TRUST FUND -STATE	335,210			2573 1
=====				
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	9,500			2573 1
=====				
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
REGULATORY TRUST FUND -STATE	14,100			2573 1
=====				
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND -STATE	113,325			2573 1
=====				
OPERATION/MOTOR VEHICLES				102289
REGULATORY TRUST FUND -STATE	8,700			2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	8,751			2573 1
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE	2,962			2573 1
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	12,458			2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	23.00			
TOTAL ISSUE.....	1,927,196			
TOTAL SALARY RATE.....	1,032,727			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
REGULATORY TRUST FUND -STATE	819			2573 1
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				1001240
FISCAL YEAR 2012-2013				010000
SALARIES AND BENEFITS				
REGULATORY TRUST FUND -STATE	2,973			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	2,763		2573 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	302-		2573 1
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - ADD				2000230
SALARY RATE				000000
SALARY RATE.....	30,000			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	30,000		2573 1
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO				2000230
MATCH RECURRING OPERATING				
EXPENDITURES - ADD				
TOTAL ISSUE.....	30,000			
TOTAL SALARY RATE.....	30,000			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the add side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and appropriates the salary budget where there is additional need. This issue with corresponding issue code 2000220 will net to zero at the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - ADD				2000230

department level.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	30,000			30,000	0.00	30,000
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							30,000
	0.00	30,000			30,000		30,000

CONSOLIDATE INFORMATION TECHNOLOGY
 (IT) CONTRACTS - DEDUCT
 SPECIAL CATEGORIES
 CONTRACTED SERVICES

2007100
 100000
 100777

REGULATORY TRUST FUND -STATE 13,776-

2573 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Consolidate Information Technology (IT) Contracts

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
CONSOLIDATE INFORMATION TECHNOLOGY				
(IT) CONTRACTS - DEDUCT				2007100

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Division of Information Systems (DIS) is requesting transfer of \$1,409,265 from DFS' Divisions of Agent and Agency Services, State Fire Marshal and Funeral, Cemetery & Consumer Services for three existing contracts between the divisions and Information System of Florida (ISF).

The three contracts are for the purpose of application support services (enhancements, design and development technology) to implement new legislation, provide maintenance and technical support, correct errors, make data changes, and perform system remediation for the divisions' custom applications. The custom applications within the Division of State and Funeral, Cemetery & Consumer Services are: Agent & Agency Licensing Functions (AALF), Automated Licensing Information System (ALIS), Department of Insurance Continuing Education (DICE), and Internet Appointment module of ALIS (eAppoint). This issue will transfer \$13,776 from the Division of State and Funeral, Cemetery & Consumer Services to DIS.

This issue with corresponding issue code 2007200 will net to zero in the contracted services category at the department level.

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1830 010000
REGULATORY TRUST FUND -STATE		13,815		2573 1
TOTAL: REGULATION AND LICENSING BY FUND TYPE				<u>1204.00.00.00</u>
TRUST FUNDS.....	23.00			
SALARY RATE.....		1,963,488		2000
		1,062,727		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
PUBLIC ASSISTANCE FRAUD							43500700
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	4,291,185						
=====							
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	148,160						2021 1
FEDERAL GRANTS TRUST FUND -RECPNT	2,696,745						2261 9
INSURANCE REG TF -STATE	1,870,725						2393 1
TOTAL POSITIONS.....	63.00						
TOTAL APPRO.....	4,715,630						
=====							
OTHER PERSONAL SERVICES							030000
FEDERAL GRANTS TRUST FUND -RECPNT	144						2261 9
INSURANCE REG TF -STATE	1,406						2393 1
TOTAL APPRO.....	1,550						
=====							
EXPENSES							040000
FEDERAL GRANTS TRUST FUND -RECPNT	30,869						2261 9
INSURANCE REG TF -STATE	427,270						2393 1
TOTAL APPRO.....	458,139						
=====							
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF -STATE	20,000						2393 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
FEDERAL GRANTS TRUST FUND -RECPNT	60,527						2261 9
INSURANCE REG TF -STATE	185,791						2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	246,318			
=====				
OPERATION/MOTOR VEHICLES				102289
FEDERAL GRANTS TRUST FUND -RECPNT	20,000			2261 9
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE	12,036			2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE	9,300			2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
FEDERAL GRANTS TRUST FUND -RECPNT	22,913			2261 9
INSURANCE REG TF -STATE	16,850			2393 1

TOTAL APPRO.....	39,763			
=====				
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
FEDERAL GRANTS TRUST FUND -RECPNT	5,000			2261 9
INSURANCE REG TF -STATE	10,000			2393 1

TOTAL APPRO.....	15,000			
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	63.00			
TOTAL ISSUE.....	5,537,736			
TOTAL SALARY RATE.....	4,291,185			
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
PUBLIC ASSISTANCE FRAUD							43500700
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF		-STATE	1,126				2393 1
=====							
FLORIDA RETIREMENT SYSTEM							
CONTRIBUTION ADJUSTMENT FOR							1001240
FISCAL YEAR 2012-2013							010000
SALARIES AND BENEFITS							
ADMINISTRATIVE TRUST FUND		-STATE	383				2021 1
FEDERAL GRANTS TRUST FUND		-RECPNT	6,968				2261 9
INSURANCE REG TF		-STATE	4,833				2393 1
TOTAL APPRO.....			12,184				
=====							
ADJUSTMENT TO STATE HEALTH							
INSURANCE PREMIUM CONTRIBUTION -							1001830
FISCAL YEAR 2012-13							010000
SALARIES AND BENEFITS							
ADMINISTRATIVE TRUST FUND		-STATE	251				2021 1
FEDERAL GRANTS TRUST FUND		-RECPNT	4,577				2261 9
INSURANCE REG TF		-STATE	3,175				2393 1
TOTAL APPRO.....			8,003				
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
FEDERAL GRANTS TRUST FUND		-RECPNT	555-				2261 9
INSURANCE REG TF		-STATE	408-				2393 1
TOTAL APPRO.....			963-				
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				2000220
SALARY RATE				000000
SALARY RATE.....	280,000-			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	150,049-			2021 1
FEDERAL GRANTS TRUST FUND -STATE	129,951-			2261 1
TOTAL APPRO.....	280,000-			
	=====	=====	=====	
TOTAL: REALIGN SALARY BUDGET AUTHORITY TO				2000220
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				
TOTAL ISSUE.....	280,000-			
TOTAL SALARY RATE.....	280,000-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is the deduct side of a salary budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves salary budget for realignment. This issue with corresponding issue code 2000230 will net to zero at the department level.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN SALARY BUDGET AUTHORITY TO				
MATCH RECURRING OPERATING				
EXPENDITURES - DEDUCT				2000220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0000 001	0.00	150,049-			150,049-	0.00	150,049-
C0001 001	0.00	129,951-			129,951-	0.00	129,951-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							150,049-
2261 FEDERAL GRANTS TRUST FUND							129,951-
	0.00	280,000-			280,000-		280,000-

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1830 010000
ADMINISTRATIVE TRUST FUND -STATE	1,255						2021 1
FEDERAL GRANTS TRUST FUND -RECPNT	22,885						2261 9
INSURANCE REG TF -STATE	15,875						2393 1
TOTAL APPRO.....	40,015						

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>PUBLIC ASSISTANCE FRAUD</u>							43500700
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
WORKLOAD							3000000
PUBLIC ASSISTANCE FRAUD -							
ADDITIONAL RESOURCES TO COMBAT							
ELECTRONIC BENEFIT TRANSACTIONS							
(EBT) FRAUD							3001130
OTHER PERSONAL SERVICES							030000
FEDERAL GRANTS TRUST FUND -STATE		113,400					2261 1
INSURANCE REG TF -STATE		122,850					2393 1
TOTAL APPRO.....		236,250					
EXPENSES							040000
FEDERAL GRANTS TRUST FUND -STATE		16,142					2261 1
INSURANCE REG TF -STATE		17,488					2393 1
TOTAL APPRO.....		33,630					
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
FEDERAL GRANTS TRUST FUND -STATE		528					2261 1
INSURANCE REG TF -STATE		572					2393 1
TOTAL APPRO.....		1,100					
LEASE/PURCHASE/EQUIPMENT							105281
FEDERAL GRANTS TRUST FUND -STATE		480					2261 1
INSURANCE REG TF -STATE		520					2393 1
TOTAL APPRO.....		1,000					
TR/DMS/HR SVCS/STW CONTRCT							107040
FEDERAL GRANTS TRUST FUND -STATE		566					2261 1
INSURANCE REG TF -STATE		614					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				1205.00.00.00
WORKLOAD				3000000
PUBLIC ASSISTANCE FRAUD -				
ADDITIONAL RESOURCES TO COMBAT				
ELECTRONIC BENEFIT TRANSACTIONS				
(EBT) FRAUD				3001130
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TOTAL APPRO.....	1,180			
TOTAL: PUBLIC ASSISTANCE FRAUD -				3001130
ADDITIONAL RESOURCES TO COMBAT				
ELECTRONIC BENEFIT TRANSACTIONS				
(EBT) FRAUD				
TOTAL ISSUE.....	273,160			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue title: Electronic Benefit Transfer (EBT) Trafficking Squad Proposal

Link to Long-range Program Plan:

Goal 2: The Department will protect the health, safety and welfare of the public.

Issue Description:

The Division of Public Assistance Fraud (PAF) as the Florida State Law Enforcement Bureau (SLEB) for Electronic Benefit Transfer (EBT) trafficking investigations is responsible for the investigation of trafficking in SNAP (Food Stamp) benefits for both authorized retail grocery stores and by recipients of Supplemental Nutrition Assistance Program (SNAP) benefits. SNAP trafficking occurs when a retail store owner or employee accepts EBT benefits in exchange for cash. Both the store owner/employee and recipient are liable for the criminal act which constitutes a felony when benefits greater than \$200 are trafficked. Investigations of retail grocery stores authorized to accept SNAP EBT benefits often result in the Store owners/employees being prosecuted and then the store is disqualified from future participation in SNAP EBT.

Further investigation of the recipients, who have been illegally using their SNAP EBT cards at these stores, is necessary to address the criminal activity being perpetrated by both the benefits recipients and the stores. These investigations can result in hundreds of recipients being disqualified from SNAP participation, resulting in thousands of dollars in SNAP program cost avoidance and collection of lost benefit dollars through recipient repayment. Some of these dollars are also retained by the State of Florida as incentive money for taking action to fight fraud in benefit programs. Each recipient disqualification results in a savings in program dollars equal to the number of months of disqualification times \$200. An example is a first time disqualification results in a saving in program dollars of \$2,400 for each

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
PUBLIC ASSISTANCE FRAUD -				
ADDITIONAL RESOURCES TO COMBAT				
ELECTRONIC BENEFIT TRANSACTIONS				
(EBT) FRAUD				3001130

recipient. Stores who are caught illegally redeeming EBT benefits often have hundreds of recipients who are also participating in this criminal activity.

In order to target investigations related to SNAP EBT fraud, PAF is requesting 273,160 in additional appropriation: 236,250 in other personal services (OPS); 33,630 in expenses; 1,100 in contracted services; 1,180 in HR outsourcing; and 1,000 in lease/office equipment.

Additional information and background:

The recent increased activity by PAF in the SLEB program will result in a significant increase in stores being disqualified for trafficking in benefits. PAF is requesting consideration by the Chief Financial Officer (CFO) to create a Specialized EBT Trafficking Squad for the purpose of investigating recipients suspected of trafficking in SNAP benefits. These investigations could be handled by a specialized unit trained and equipped to specialize in these cases and therefore increase productivity through efficiencies in mass production of similar duties and functions. These types of investigations currently occur in the field offices however the investigative staff members are also engaged in other high priority investigations that limit their ability to address trafficking in great numbers. This unit would focus solely on the trafficking cases and therefore would be able to complete more investigations per employee than currently takes place.

The following outlines the basics of this centralized effort and the anticipated outcomes from this squad. There is a significant increase in the return on Investment for a centralized unit. Workload expectations for a 40 hour equivalent OPS position are higher and would require a minimum completion of 12 signed Administrative Disqualification Waivers per month equal to 144 signed waivers per year.

Each Full Time OPS Employee completing 144 cases would yield the following results:

- 144 cases completed = \$ 100,800 in SNAP benefits lost due to trafficking.
- Disqualification of 144 SNAP recipients equals \$ 439,200 in SNAP benefits cost avoidance
- Total Impact per FTE equals \$ 540,000
- Estimated Cost per FTE including expenses equals \$ 26,883 Return on Investment (ROI) equals 2008.70%, or 20.08 times the cost per FTE.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
WORKLOAD				3000000
PUBLIC ASSISTANCE FRAUD -				
ADDITIONAL RESOURCES TO COMBAT				
ELECTRONIC BENEFIT TRANSACTIONS				
(EBT) FRAUD				3001130

Basis of return on investment for these positions. These figures are approximate averages.
 Trafficking cases average approx \$700.00 in SNAP benefits trafficked per case.
 90 % of cases Disqualified result in a 12 month Disqualification
 7.5% of cases Disqualified result in a 24 month Disqualification
 2.5% of cases Disqualified result in a 99 month or lifetime Disqualification
 130 cases X 12 months = 1,560 months of disqualification and \$ 312,000 in cost avoidance
 10 cases X 24 months = 240 months of disqualification and \$ 48,000 in cost avoidance 4 cases X 99 months = 396
 months of disqualification and \$ 79,200 in cost avoidance

PROGRAM ISSUES				4000000
PUBLIC ASSISTANCE FRAUD -				
RECRUITMENT AND RETENTION OF				
QUALIFIED FINANCIAL INVESTIGATORS				4002A10
SALARY RATE				000000
SALARY RATE.....	329,081			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
FEDERAL GRANTS TRUST FUND -STATE	178,225			2261 1
INSURANCE REG TF -STATE	193,077			2393 1
	-----	-----	-----	
TOTAL APPRO.....	371,302			
	=====	=====	=====	
TOTAL: PUBLIC ASSISTANCE FRAUD -				4002A10
RECRUITMENT AND RETENTION OF				
QUALIFIED FINANCIAL INVESTIGATORS				
TOTAL ISSUE.....	371,302			
TOTAL SALARY RATE.....	329,081			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
PUBLIC ASSISTANCE FRAUD -				
RECRUITMENT AND RETENTION OF				
QUALIFIED FINANCIAL INVESTIGATORS				4002A10

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: PUBLIC ASSISTANCE FRAUD - RECRUITMENT AND RETENTION OF QUALIFIED FINANCIAL INVESTIGATORS

Reference to Long-Range Program Plan: Goal 2: The department will protect the health, safety and welfare of the public.

The Department of Financial Services (DFS) is losing well trained and experienced staff to other local, state, and federal agencies and private employers due to a very limited career path in the Division of Public Assistance Fraud (PAF). The DFS currently struggles to retain the skilled, trained and experienced investigators due to limited salary and benefit packages and a solid career path that supports the career minded government employees looking for long term employment and advancement to supervisor and leadership positions.

Financial Crime Investigator (FCI) I and II positions are required to have a four (4) year college degree and FCI I's must complete an intense 1 year training period in order to qualify for advancement to FCI II. At the completion of that training period they are expected have a high degree of knowledge and the ability to understand and use multiple public assistance agency program policies and the ability use of a variety of computer systems and databases. They're also expected to have an expanded understanding of the public assistance program rules, statutes, criminal procedures and evidence requirements and the ability to investigate cases and prepare evidence and documentation in public assistance fraud cases. Beyond this position there is, currently, little to no advancement opportunities within the division.

Increasing salaries at the entry level and first advancement level of FCI-II will help recruit and retain these staff. Additionally, after 2-4 years these individuals are looking for long term career opportunities for advancement, additional training and jobs requiring greater skill, and specialization. The Division is seeking another career service level position, a Senior Financial Investigator, (SFI) to offer the highest performing and qualified individuals the opportunity to advance and prepare for supervisory positions and leadership roles within the agency. Career progression and flexibility to advance into roles offering more challenge and opportunities throughout the agency will benefit the department as a whole.

PAF is requesting a total of 371,302 in additional salaries and benefits appropriation to allow for the payment of the increased salaries of the FCI I and FCI II positions and for the upgrade of 11 of the FCI II positions to the Senior Financial Investigator (or equivalent) class. Specifically, PAF requests: 74,481 to provide the 11 currently authorized FCI I positions with a potential 17% salary increase, allowing for a higher starting salary, and for potential pay increases for the highest performing investigators; 158,815 to provide 23 currently authorized FCI II positions with a potential 15% salary increase, allowing for a higher starting salary, and for potential pay increases for the highest performing investigators; and 138,006 to provide the ability to upgrade 11 currently authorized FCI II positions to the Senior Financial Investigators and allow for the potential of hiring these positions at as much as 15% over the minimum

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
PUBLIC ASSISTANCE FRAUD -				
RECRUITMENT AND RETENTION OF				
QUALIFIED FINANCIAL INVESTIGATORS				4002A10

of the class.

Again, the investment in human resources for the State of Florida is wasted when highly skilled employees leave to take private sector, local, state or Federal positions at higher salaries when we could retain them for a small investment thereby continuing the return on investment to our stakeholders and the State of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
N0003 001	0.00	329,081		42,221	371,302	0.00	371,302
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							193,077
2261 FEDERAL GRANTS TRUST FUND							178,225
	0.00	329,081		42,221	371,302		371,302

TOTAL: CONSUMER SAFETY/PROTECTION							<u>1205.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	63.00	5,962,563					2000
SALARY RATE.....	4,340,266						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	11,581,906			
=====				
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	14,927,902			2795 1
-MATCH	139,052			2795 2
TOTAL WORKERS' COMP ADMIN TF	15,066,954			2795
WORKERS' COMP SPEC DISAB TF-STATE	877,616			2798 1
TOTAL POSITIONS.....	301.00			
TOTAL APPRO.....	15,944,570			
=====				
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF -STATE	177,570			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	17,550			2798 1
TOTAL APPRO.....	195,120			
=====				
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	3,371,128			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	128,070			2798 1
TOTAL APPRO.....	3,499,198			
=====				
OPERATING CAPITAL OUTLAY				060000
WORKERS' COMP ADMIN TF -STATE	100,021			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	16,851			2798 1
TOTAL APPRO.....	116,872			
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: WORKERS' COMPENSATION							43600000
WORKERS' COMPENSATION							43600100
ECONOMIC OPPORTUNITIES							11
WORKERS' COMPENSATION							<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE FEES							100064
WORKERS' COMP ADMIN TF -STATE		288,000					2795 1
TR DIST CT OF AP-WORK COMP							100507
WORKERS' COMP ADMIN TF -STATE		1,761,055					2795 1
TR/USF-OSHA MATCH							100521
WORKERS' COMP ADMIN TF -STATE		250,000					2795 1
TR JAC - PROS WRKS COMP FR							100526
WORKERS' COMP ADMIN TF -STATE		257,705					2795 1
CONTRACTED SERVICES							100777
WORKERS' COMP ADMIN TF -STATE		2,577,656					2795 1
WORKERS' COMP SPEC DISAB TF-STATE		86,360					2798 1
TOTAL APPRO.....		2,664,016					
OPERATION/MOTOR VEHICLES							102289
WORKERS' COMP ADMIN TF -STATE		44,800					2795 1
RISK MANAGEMENT INSURANCE							103241
WORKERS' COMP ADMIN TF -STATE		315,520					2795 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: WORKERS' COMPENSATION							43600000
WORKERS' COMPENSATION							43600100
ECONOMIC OPPORTUNITIES							11
WORKERS' COMPENSATION							<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
WORKERS' COMP ADMIN TF -STATE	69,320						2795 1
WORKERS' COMP SPEC DISAB TF-STATE	1,080						2798 1
TOTAL APPRO.....	70,400						
TR/DMS/HR SVCS/STW CONTRCT							107040
WORKERS' COMP ADMIN TF -STATE	104,658						2795 1
WORKERS' COMP SPEC DISAB TF-STATE	6,769						2798 1
TOTAL APPRO.....	111,427						
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	301.00						
TOTAL ISSUE.....	25,518,683						
TOTAL SALARY RATE.....	11,581,906						
CASUALTY INSURANCE PREMIUM ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
WORKERS' COMP ADMIN TF -STATE	29,528						2795 1
FLORIDA RETIREMENT SYSTEM CONTRIBUTION ADJUSTMENT FOR FISCAL YEAR 2012-2013 SALARIES AND BENEFITS							1001240 010000
WORKERS' COMP ADMIN TF -STATE	37,830						2795 1
-MATCH	351						2795 2
TOTAL WORKERS' COMP ADMIN TF	38,181						2795
WORKERS' COMP SPEC DISAB TF-STATE	2,222						2798 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
TOTAL APPRO.....	40,403			
=====		=====		
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	4,721			2795 1
=====		=====		
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	184			2795 1
=====		=====		
TOTAL: FLORIDA RETIREMENT SYSTEM				1001240
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				
TOTAL ISSUE.....	45,308			
=====		=====		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	32,239			2795 1
-MATCH	299			2795 2
-----		-----		
TOTAL WORKERS' COMP ADMIN TF	32,538			2795
=====		=====		
WORKERS' COMP SPEC DISAB TF-STATE	1,894			2798 1
=====		=====		
TOTAL APPRO.....	34,432			
=====		=====		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: WORKERS' COMPENSATION							43600000
WORKERS' COMPENSATION							43600100
ECONOMIC OPPORTUNITIES							11
WORKERS' COMPENSATION							<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES							1000000
ADJUSTMENT TO STATE HEALTH							
INSURANCE PREMIUM CONTRIBUTION -							1001830
FISCAL YEAR 2012-13							100000
SPECIAL CATEGORIES							100507
TR DIST CT OF AP-WORK COMP							
WORKERS' COMP ADMIN TF -STATE		2,524					2795 1
TR JAC - PROS WRKS COMP FR							100526
WORKERS' COMP ADMIN TF -STATE		399					2795 1
TOTAL: ADJUSTMENT TO STATE HEALTH							1001830
INSURANCE PREMIUM CONTRIBUTION -							
FISCAL YEAR 2012-13							
TOTAL ISSUE.....		37,355					
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
WORKERS' COMP ADMIN TF -STATE		2,534-					2795 1
WORKERS' COMP SPEC DISAB TF-STATE		164-					2798 1
TOTAL APPRO.....		2,698-					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				1102.02.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - DEDUCT				160M010
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	3,000-			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	1,000-			2798 1
TOTAL APPRO.....	4,000-			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M020.

REALIGNMENT OF LEASE OR				
LEASE-PURCHASE EQUIPMENT - ADD				160M020
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
WORKERS' COMP ADMIN TF -STATE	3,000			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	1,000			2798 1
TOTAL APPRO.....	4,000			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue further aligns budget in the correct appropriation category for lease/lease purchase expenditures. A major change in the FY 2012-13 instructions required departments to satisfy the requirements of Ch. 2011-45, L.O.F. (SB 1314). This issue nets to zero with corresponding issue code 160M010.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES TO MATCH ANTICIPATED				
RECURRING OPERATING EXPENDITURES -				
DEDUCT				2000130
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF -STATE		250,000-		2795 1

AGENCY ISSUE NARRATIVE:				
2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO				
This issue is the deduct side of a budget authority realignment throughout the Department of Financial Services proper (excluding Office of Insurance Regulation and Office of Financial Regulation). The realignment of budget was first approved in FY 2012-13 General Appropriation Act. This issue continues that practice and moves budget from various categories, except salaries, for realignment. This issue with corresponding issue code 2000140 will net to zero at the department level. If the budget/fund is general revenue, this also nets to zero at a fund level.				

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE		161,195		2795 1
-MATCH		1,495		2795 2
TOTAL WORKERS' COMP ADMIN TF		162,690		2795
WORKERS' COMP SPEC DISAB TF-STATE		9,470		2798 1
TOTAL APPRO.....		172,160		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	12,620			2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	1,995			2795 1
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				26A1830
ANNUALIZATION				
TOTAL ISSUE.....	186,775			
WORKLOAD				3000000
DIVISION OF WORKERS' COMPENSATION - RE-EMPLOYMENT SERVICES PROGRAM IMPLEMENTATION				3000950
SALARIES AND BENEFITS	1.00			010000
SPECIAL CATEGORIES				100000
PURCHASED CLIENT SERVICES				102933
WORKERS' COMP ADMIN TF -STATE	1			2795 1
TOTAL: DIVISION OF WORKERS' COMPENSATION - RE-EMPLOYMENT SERVICES PROGRAM IMPLEMENTATION				3000950
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	1			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
WORKLOAD				3000000
DIVISION OF WORKERS' COMPENSATION -				
RE-EMPLOYMENT SERVICES PROGRAM				
IMPLEMENTATION				3000950

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

During the 2012 Legislative Session, the Legislature passed CS/HB 5203 and the Governor signed the bill into law. Provisions of the bill remove the Department of Education (DOE) as the agency responsible for providing reemployment services to injured workers (section 440.491, F.S., and Rule 6A-22.001, F.A.C.) and transfer the responsibility to the Department of Financial Services (DFS). Additionally, the bill shifts responsibilities related to the ARAMIS system to DFS. The DFS Division of Information Systems (DIS) will be responsible for the migration of the system and all aspects of future application development and support activities. Provisions of the bill also authorize the department to contract with one or more third parties, including but not limited to rehabilitation providers, to administer training and education screenings, reemployment assessments, vocational evaluations, and reemployment services. These changes became effective July 1, 2012.

In order to accomplish the duties specified by the Legislature, additional resources were requested to support the Department of Financial Services' reemployment program activities and information technology needs. The anticipated expenditures in the requested categories were developed by reviewing the model operated by the Department of Education, estimated amount of injured workers served, and expenditures of the program over the past four fiscal years. This request is in addition to, and takes into consideration, the five (5) FTE authorized in the HB 5203 and current resources that the Department will reallocate to the program.

During the current fiscal year (FY 2012-13), the Governor's Office of Policy and Budget (OPB) in conjunction with the Legislature approved Agency Budget Amendment 13-08 (EOG Log# B0055), and Agency Budget Amendment 13-03 (EOG Log# B0036) were approved, granting the resources requested for this program for the first year (FY 2013). The department will work with the House, Senate and Governor's Office to develop a request for the FY 2013-14 as is appropriate.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
WORKLOAD				3000000
DIVISION OF WORKERS' COMPENSATION -				
RE-EMPLOYMENT SERVICES PROGRAM				
IMPLEMENTATION				3000950

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0001 001	1.00					0.00	
TOTALS FOR ISSUE BY FUND	1.00						

PROGRAM REDUCTIONS							33V0000
REDUCTION IN EXPENSES ASSOCIATED							
WITH FIELD OFFICE CLOSURES AND							
LEASED SPACE REDUCTION							33V1100
EXPENSES							040000
WORKERS' COMP ADMIN TF -STATE	189,000-						2795 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Reduction in Expenses Associated with Field Office Closures and Leased Space Reduction

Reference to Long-Range Program Plan: Goal 1 - The department will be a vigilant steward of the state's resources.

LAW CHANGE REQUIRED: No

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
PROGRAM REDUCTIONS				33V0000
REDUCTION IN EXPENSES ASSOCIATED				
WITH FIELD OFFICE CLOSURES AND				
LEASED SPACE REDUCTION				33V1100

Starting in November 2011, the Division of Workers' Compensation began the reorganization and consolidation of its 16 Bureau of Compliance, Bureau of Monitoring and Audit, and Bureau of Employee Assistance field office leases throughout Florida. By the end of FY 2012-13, the Division will have closed seven field office locations (Panama City, Plantation, Ocala, Port Richey, Sarasota, St. Augustine, and Cocoa Beach). The field office closings will result in the reduction of office locations where the public may visit to obtain services from the division. Services will continue to be provided through the remaining field office locations and the Tallahassee central office. There are no other public or private entities that perform the regulatory activities outlined in Chapter 440, Florida Statutes.

For Fiscal Year 2012-13, the Division of Workers' Compensation saw a reduction of \$1.7 million in overall budget and 20 FTE; none of this reduction was directly related to leases, however positions in some of these locations were abolished. The specific leases impacted by this issue are as follows:

- 430:0164 - Diamond Professional Suites - Cocoa - Closed (5/30/2013)
- 430:0151 - Regency Office - New Port Richey - Closed (4/30/2012)
- 430:0143 - Oak Brook Professional Center - Ocala - Expires (4/30/2013); Effective 11/30/12
- 430:0145 - Forrest Park Office Center - Panama City - Closed (2/28/2012)
- 430:0161 - Atrium Executive Plaza - Plantation - Expires (12/31/2012)
- 430:0153 - Live Oak Business Center - Sarasota - Closed (8/31/2012)
- 430:0155 - City of Saint Augustine - St. Augustine - Closed (11/30/2011)

During FY 2011-12, the Division spent \$2,358,665 for leases. This (189,000) reduction would be an approximate 8% reduction in lease expenditures.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
FILENET P8 DOCUMENT MANAGEMENT				
IMPLEMENTATION AND MIGRATION				36380C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777

WORKERS' COMP ADMIN TF -STATE 145,867- 2795 1

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: FileNet P8 Document Management Implementation and Migration - Deduct

Reference to Long-Range Program Plan: Goal #5 - Operational Efficiencies. The department will strive for organizational excellence by promoting and encouraging continuous improvement.

The Department of Financial Services (DFS) is requesting funding to implement the migration of three separate document management systems to a single enterprise document management system, FileNet P8. Currently, DFS has three separate and distinct outdated instances of FileNet supporting the following six business units: Division of Workers' Compensation, Division of Risk Management, Division of Consumer Assistance, Division of Agent & Agency Services, Division of Funeral and Cemetery Services, Consumer Services, and the Office of Insurance Regulation. Together these three FileNet systems support more than 165 department business applications. The service that is currently received by all these divisions and OIR is paid from the divisions of Workers' Compensation, Risk Management, and Information Systems' budgets.

The department's intent is to consolidate the three FileNet systems onto the single, secure, and highly available FileNet P8 enterprise-level instance. The current maintenance and support for the duplicative and outdated instances of FileNet cost of \$309,041 is paid from three separate divisions (Division of Workers' Compensation \$145,867, Division of Risk Management \$51,301 and Division of Information Systems \$111,873). This request is to transfer existing contracted services budget from the Division of Workers' Compensation to the Division of Information Systems.

TOTAL: WORKERS' COMPENSATION				<u>1102.02.00.00</u>
BY FUND TYPE				
	302.00			
TRUST FUNDS.....	25,230,085			2000
SALARY RATE.....	11,581,906			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				0000000
SALARY RATE.....	11,746,070			
=====				
SALARIES AND BENEFITS				010000
	249.00			
INSURANCE REG TF	-STATE	15,121,535		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	125,000		2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	2,652,374		2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	2,000		2393 1
=====				
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF	-STATE	588,639		2393 1
=====				
PROPERTY/CASUALTY EXAMS				100523
INSURANCE REG TF	-STATE	4,651,763		2393 1
=====				
LIFE AND HEALTH EXAMS				100524
INSURANCE REG TF	-STATE	275,000		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	688,016		2393 1
		=====		
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	240,456		2393 1
		=====		
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	18,989		2393 1
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	89,514		2393 1
		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		249.00		
TOTAL ISSUE.....		24,453,286		
TOTAL SALARY RATE.....		11,746,070		
		=====		
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
INSURANCE REG TF	-STATE	22,504		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	35,771		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	27,827		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	2,167-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	139,135		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
INCREASE SALARY RATE - PROPERTY AND				
CASUALTY PRODUCT REVIEW				3002A30
SALARY RATE				000000
SALARY RATE.....	136,841			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	154,397			2393 1
	=====	=====	=====	
TOTAL: OFFICE OF INSURANCE REGULATION -				3002A30
INCREASE SALARY RATE - PROPERTY AND				
CASUALTY PRODUCT REVIEW				
TOTAL ISSUE.....	154,397			
TOTAL SALARY RATE.....	136,841			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Increase Salary Rate for Property and Casualty Product Review - Compliance and Enforcement

Reference to Long-Range Program Plan: Goal 4: The department will protect health, safety and welfare of the public.

Issue Description: The Office of Insurance Regulation (Office) is responsible for the admissions process of all new entities proposing to offer lines of insurance in the state of Florida through the submission of a filing, as well as the expansion into new lines of business. Once approved, the Office is required to conduct ongoing monitoring of the financial condition of the business through the use of internal financial analysis and on-site examinations. In summary, the Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates. Filings are reviewed to determine compliance with applicable actuarial standards, statutory provisions, and administrative rules. Additionally, the Office conducts market investigations and analyzes market trends for the fair treatment of policyholders. Examinations and investigations are conducted as required to address consumer issues and marketplace trends.

The Office depends on the incumbents in the Insurance Analyst positions to ensure full compliance with the statutes and rules referenced above. While this group has demonstrated an extraordinary level of teamwork in the past to handle a 40% increase in the number of form filings, there is a limit to their productive capacity. This limit is evidenced by the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
INCREASE SALARY RATE - PROPERTY AND				
CASUALTY PRODUCT REVIEW				3002A30

high level of attrition in these positions, due to the low salary rate. There is an inconsistency between the current salary rate and the level of skills needed to fulfill the responsibilities of the position. There is a discrepancy between the current salary rate for these types of positions at the Office with what the private market will compensate analysts doing similar work. At the current salary rate, the Office is unable to maintain a full team of Insurance Analysts, making it extremely difficult to handle the current workload. In fact, there is a backlog of filings in the Property and Casualty Product Review unit. The agency head has issued an order allowing for insurance company officers to certify certain form filings rather than going through the review and approval process. However, many insurance companies rely upon Office staff to serve as business consultants to assist them in perfecting form filings and most companies have opted not to utilize this option.

If the Office does not receive additional rate for forms review, it will be forced to decrease the time available for the analysis of each filing or to entreat insurers to waive their right to a response on a filing within 30-45 days. A decrease in the review time risks degrading the protection of the public against contract terms that attempt to violate the Florida Insurance Code or allowing contracts that are inconsistent, ambiguous, or misleading to be sold in Florida. Insurers attempting to introduce exclusions and conditions to narrow coverage often do so by embedding them in large collections of documents that can easily be missed by fatigued staff. When this happens, it will result in undesirable contract terms. The contracts then sold to the public can result in unnecessary litigation and accompanying distrust by policyholders. At the same time, the dynamics of the current insurance market will not allow companies to complacently accept delays in new product development that undo progress that has been made over the last decade.

With an inadequate salary rate, retention of trained employees will continue to suffer as those with the best talents will reach the limit of their willingness to endure high stress levels for the public good. Coordination of this unit with others within OIR will have to decrease to the detriment of progress on administrative litigation, supporting roles in solvency regulation, reporting of suspected issues requiring investigation or examination, and providing information to stakeholders within the insurance arena. Changes in the Florida Statutes, Florida Insurance Code and the evolution of the insurance marketplace, whose pace has quickened, demand more rather than fewer resources.

To ensure a full, qualified staff, the Office is requesting that the 10 Insurance Analyst II positions be reclassified to 10 Government Analyst II positions. The base salary would increase from \$32,697.12 to \$46,381.20. Increasing the salary rate due to this reclassification from Insurance Analyst II to a Government Analyst II position would reduce the number of resignations due to the current minimal salary, allowing for the business unit to fulfill the mission of the Office.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
INCREASE SALARY RATE - PROPERTY AND				
CASUALTY PRODUCT REVIEW				3002A30

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
C5656 001	0.00	136,841		17,556	154,397	0.00	154,397
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							154,397
	0.00	136,841		17,556	154,397		154,397

OFFICE OF INSURANCE REGULATION -							
STAFFING RESOURCES - PROPERTY AND							
CASUALTY PRODUCT REVIEW							3002A50
SALARY RATE							000000
SALARY RATE.....	139,144						
SALARIES AND BENEFITS							010000
INSURANCE REG TF	3.00						
-STATE		187,524					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
STAFFING RESOURCES - PROPERTY AND				
CASUALTY PRODUCT REVIEW				3002A50
EXPENSES				040000
INSURANCE REG TF	-STATE	29,919	11,610	2393 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,062		2393 1
=====				
TOTAL: OFFICE OF INSURANCE REGULATION -				3002A50
STAFFING RESOURCES - PROPERTY AND				
CASUALTY PRODUCT REVIEW				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....		218,505	11,610	
TOTAL SALARY RATE.....	139,144			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Staffing Resources for Property and Casualty Product Review - Compliance and Enforcement

Reference to Long-Range Program Plan: Goal 4: The department will protect health, safety and welfare of the public.

Issue Description: The Office of Insurance Regulation (Office) is responsible for the admissions process of all new entities proposing to offer lines of insurance in the state of Florida through the submission of a filing, as well as the expansion into new lines of business. Once approved, the Office is required to conduct ongoing monitoring of the financial condition of the business through the use of internal financial analysis and on-site examinations. In summary, the Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates. Filings are reviewed to determine compliance with applicable actuarial standards, statutory provisions, and administrative rules. Additionally, the Office conducts market investigations and analyzes market trends for the fair treatment of policyholders. Examinations and investigations are conducted as required to address consumer issues and marketplace trends.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
STAFFING RESOURCES - PROPERTY AND				
CASUALTY PRODUCT REVIEW				3002A50

The Office depends on the incumbents in the Insurance Analyst positions to ensure full compliance with the statutes and rules referenced above. While this group has demonstrated an extraordinary level of teamwork in the past to handle a 40% increase in the number of form filings, there is a limit to their productive capacity. Even during the rare occasions when the unit is fully staffed, the Office is unable to handle the current workload. The volume of filings has created an unrealistic work expectation for each Insurance Analyst, especially at the current salary rate. The current staffing level is simply not adequate. If this issue persists, the Office will be forced to decrease the time available for the analysis of each filing or to entreat insurers to waive their right to a response on a filing within 30-45 days. A decrease in the review time risks degrading the protection of the public against contract terms that attempt to violate the Florida Insurance Code or allowing contracts that are inconsistent, ambiguous, or misleading to be sold in Florida. Insurers attempting to introduce exclusions and conditions to narrow coverage often do so by embedding them in large collections of documents that can easily be missed by fatigued staff. When this happens, it will result in undesirable contract terms. The contracts then sold to the public can result in unnecessary litigation and accompanying anger and distrust by policyholders. At the same time, the dynamics of the current insurance market will not allow companies to complacently accept delays in new product development that undo progress that has been made over the last decade.

With the inadequate staffing level, retention of trained employees will continue to suffer as those with the best talents will reach the limit of their willingness to endure high stress levels for the public good. Coordination of this unit with others within OIR will have to decrease to the detriment of progress on administrative litigation, supporting roles in solvency regulation, reporting of suspected issues requiring investigation or examination, and providing information to stakeholders within the insurance arena. Changes in the Florida Statutes, Florida Insurance Code and the evolution of the insurance marketplace, whose pace has quickened, demand more rather than fewer resources.

To ensure a full staff, the Office is requesting 3 new Government Analyst II positions. The addition of these positions would restore the prior staffing level from previous years. This restoration would allow the unit the possibility of achieving the quality and timeliness of service our customers have come to expect, which is consistent with the mission of the Office.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
STAFFING RESOURCES - PROPERTY AND				
CASUALTY PRODUCT REVIEW				3002A50

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N4567 001	3.00	139,144		48,380	187,524	0.00	187,524
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							187,524
	3.00	139,144		48,380	187,524		187,524

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	252.00						
SALARY RATE.....		25,049,258	11,610				2000
		12,022,055					

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,003,039			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	34.00		
INSURANCE REG TF	-STATE	2,463,627		2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	93,543		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	117,710		2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	8,414		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	12,509		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	34.00			
TOTAL ISSUE.....	2,695,803			
TOTAL SALARY RATE.....	2,003,039			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	6,015		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,208		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	303-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	16,040		2393 1
=====				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....		34.00		
SALARY RATE.....		2,720,763		2000
SALARY RATE.....		2,003,039		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,956,213			
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF	-STATE	120.00		
		8,696,121		2275 1
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF	-STATE	872,000		2275 1
EXPENSES				040000
FINANCIAL INST REG TF	-STATE	1,751,352		2275 1
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF	-STATE	7,130		2275 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF	-STATE	367,012		2275 1
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF	-STATE	35,870		2275 1
LEASE/PURCHASE/EQUIPMENT				105281
FINANCIAL INST REG TF	-STATE	28,872		2275 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF -STATE	42,550			2275 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	120.00			
TOTAL ISSUE.....	11,800,907			
TOTAL SALARY RATE.....	6,956,213			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF -STATE	3,358			2275 1
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	24,855			2275 1
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	13,312			2275 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF -STATE	1,030-			2275 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR				
TRANSFER OF BUDGET AND POSITIONS				
WITHIN THE OFFICE OF FINANCIAL				
REGULATION - DEDUCT				1600910
SALARY RATE				000000
SALARY RATE.....	94,828-			
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	2.00-	128,988-		2275 1
EXPENSES				040000
FINANCIAL INST REG TF -STATE	3,600-			2275 1
TOTAL: RE-APPROVAL OF BUDGET AMENDMENT FOR				1600910
TRANSFER OF BUDGET AND POSITIONS				
WITHIN THE OFFICE OF FINANCIAL				
REGULATION - DEDUCT				
TOTAL POSITIONS.....	2.00-			
TOTAL ISSUE.....		132,588-		
TOTAL SALARY RATE.....	94,828-			

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of budget amendment for transfer of budget and positions within the Office of Financial Regulation

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR				
TRANSFER OF BUDGET AND POSITIONS				
WITHIN THE OFFICE OF FINANCIAL				
REGULATION - DEDUCT				1600910

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 8/6/2012. Agency amendment 13-10 (EOG Log# B0082) moves two (2) FTE between the budget entities that perform tasks related to the regulation of payment instruments and fund transmitters, with associated budget, within the Office of Financial Regulation.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C4145 001	1.00-	44,012-		15,823-	59,835-	0.00	59,835-
1567 FINANCIAL CONTROL ANALYST							
C1874 001	1.00-	52,270-		16,883-	69,153-	0.00	69,153-
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							128,988-
	2.00-	96,282-		32,706-	128,988-		128,988-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14	POS	AGY REQ N/R FY 2013-14	POS	AG REQ ANZ FY 2013-14	POS	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR							
TRANSFER OF BUDGET AND POSITIONS							
WITHIN THE OFFICE OF FINANCIAL							
REGULATION - DEDUCT							1600910

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9999 001			1,454				
TOTAL SALARY RATE			1,454				

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1830 010000
FINANCIAL INST REG TF -STATE		66,560					2275 1
TOTAL: REGULATION AND LICENSING BY FUND TYPE							<u>1204.00.00.00</u>
TRUST FUNDS.....	118.00						2000
SALARY RATE.....		11,775,374					
		6,861,385					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,997,905			
=====				
SALARIES AND BENEFITS				010000
36.00				
ADMINISTRATIVE TRUST FUND -STATE	2,359,835			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	5,321			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	493,557			2021 1
FED LAW ENFORCEMENT TF -FEDERL	51,758			2719 3

TOTAL APPRO.....	545,315			
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	10,600			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	36,354			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	19,454			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	15,809			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	20,539			2021 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	36.00			
TOTAL ISSUE.....	3,013,227			
TOTAL SALARY RATE.....	1,997,905			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	1,821			2021 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	6,813			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		4,056		2021 1
	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		497-		2021 1
	=====	=====	=====	
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		20,280		2021 1
	=====	=====	=====	
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	36.00			2000
SALARY RATE.....		3,045,700		
		1,997,905		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,343,595			
=====		=====		
SALARIES AND BENEFITS				010000
18.00				
ADMINISTRATIVE TRUST FUND -STATE	1,771,586			2021 1
=====		=====		
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	150,000			2021 1
=====		=====		
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	422,548			2021 1
=====		=====		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	61,048			2021 1
=====		=====		
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	14,895			2021 1
=====		=====		
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	10,004			2021 1
=====		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	15,722			2021 1
=====		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE	2,181,547			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	18.00			
TOTAL ISSUE.....	4,627,350			
TOTAL SALARY RATE.....	1,343,595			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	1,394			2021 1
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	2,301			2021 1
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	2,258			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		381-		2021 1
=====				
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		11,290		2021 1
=====				
PROGRAM REDUCTIONS				33V0000
OFFICE OF FINANCIAL REGULATION				
REDUCTION OF THE REGULATORY ENFORCEMENT AND LICENSING (REAL)				
SYSTEM CONTRACT COSTS				33V3050
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE		800,000-		2021 1
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Financial Regulation - Executive Direction and Support Services - 43900550

Issue Title: Reduction of the Regulatory Enforcement and Licensing (REAL) System resulting re-negotiation of the Accenture Contract

Reference to Long-Range Program Plan: This request will not impact the Office's ability to reach the following goals:

Goal #1: Excellence in all OFR does;

Goal #2: Enforce compliance with state laws related to the financial industry;

Goal #3: Examine regulated companies and individuals; and

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM REDUCTIONS				33V0000
OFFICE OF FINANCIAL REGULATION				
REDUCTION OF THE REGULATORY				
ENFORCEMENT AND LICENSING (REAL)				
SYSTEM CONTRACT COSTS				33V3050

Goal #4: Register or charter institutions, companies and individuals.

Issue Description/Need:

The Regulatory Enforcement and Licensing (REAL) System provides the Office of Financial Regulation (OFR) with an integrated financial regulatory management system that combines core processes for examination, investigation, consumer complaint, licensing, legal and fiscal functions. The REAL System contains all activities related to the entity (i.e., legal cases, investigative cases, examinations, complaints, business relationships and other licenses held). REAL also provides a tracking mechanism for unlicensed entities. Due to federal requirements to share information with other states and regulatory bodies, the REAL System also allows data to be imported from other systems outside OFR to support the licensing and enforcement programs. This provides OFR with a comprehensive regulatory tool to maintain licensing information and monitor compliance.

The Office issued a Request for Proposal for the on-going support for the REAL System and, in August 2011, OFR entered into a three year contract with Accenture, LLP at an annual cost of \$1,574,184. During the summer of 2012, OFR re-negotiated the remaining year of the current contract and the renewal of the contract at an annual rate of \$775,000 for an annual savings of \$800,000. There is no loss of services with the re-negotiation of the contract.

The re-negotiated Accenture contract has reduced the budget allocation requirement for the REAL System by \$800,000 annually.

TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	18.00			
TRUST FUNDS.....		3,844,212		2000
SALARY RATE.....	1,343,595			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,560,701			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	85.00			
	5,509,400			2573 1
=====				
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE		200,000		
=====				
EXPENSES				040000
REGULATORY TRUST FUND -STATE		939,894		
=====				
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE		5,631		
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND -STATE		3,241,565		
=====				
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE		34,046		
=====				
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE		34,995		
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2013-14 POS	AMOUNT	AGY REQ N/R FY 2013-14 POS	AMOUNT	AG REQ ANZ FY 2013-14 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
<u>FINANCE REGULATION</u>							43900560
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE		36,641					2573 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	85.00						
TOTAL ISSUE.....		10,002,172					
TOTAL SALARY RATE.....		4,560,701					
=====							
CASUALTY INSURANCE PREMIUM ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
REGULATORY TRUST FUND -STATE		3,186					2573 1
=====							
FLORIDA RETIREMENT SYSTEM CONTRIBUTION ADJUSTMENT FOR FISCAL YEAR 2012-2013							1001240
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE		11,286					2573 1
=====							
ADJUSTMENT TO STATE HEALTH INSURANCE PREMIUM CONTRIBUTION - FISCAL YEAR 2012-13							1001830
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE		10,946					2573 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		887-		2573 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR				
TRANSFER OF BUDGET AND POSITIONS				
WITHIN THE OFFICE OF FINANCIAL				
REGULATION - ADD				1600920
SALARY RATE				000000
SALARY RATE.....	94,828			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	2.00	128,988		2573 1
=====				
EXPENSES				040000
REGULATORY TRUST FUND -STATE		3,600		2573 1
=====				
TOTAL: RE-APPROVAL OF BUDGET AMENDMENT FOR				1600920
TRANSFER OF BUDGET AND POSITIONS				
WITHIN THE OFFICE OF FINANCIAL				
REGULATION - ADD				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		132,588		
TOTAL SALARY RATE.....	94,828			
=====				

AGENCY ISSUE NARRATIVE:

2013-2014 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of budget amendment for transfer of budget and positions within the Office of Financial Regulation

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR				
TRANSFER OF BUDGET AND POSITIONS				
WITHIN THE OFFICE OF FINANCIAL				
REGULATION - ADD				1600920

Issue Description:

This issue is for the re-approval of the current year budget amendment approved on 8/6/2012. Agency amendment 13-10 (EOG Log# B0082) moves two (2) FTE between the budget entities that perform tasks related to the regulation of payment instruments and fund transmitters, with associated budget, within the Office of Financial Regulation.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1566 FINANCIAL SPECIALIST							
C4145 001	1.00	44,012		15,823	59,835	0.00	59,835
1567 FINANCIAL CONTROL ANALYST							
C1874 001	1.00	52,270		16,883	69,153	0.00	69,153
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							128,988
	2.00	96,282		32,706	128,988		128,988

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR				
TRANSFER OF BUDGET AND POSITIONS				
WITHIN THE OFFICE OF FINANCIAL				
REGULATION - ADD				1600920

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2013-14							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C9999 001			1,454-				
TOTAL SALARY RATE			1,454-				
			=====				

ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2012-13 - 10 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1830 010000
REGULATORY TRUST FUND -STATE		54,730					2573 1
		=====					
TOTAL: REGULATION AND LICENSING BY FUND TYPE							<u>1204.00.00.00</u>
TRUST FUNDS.....	87.00						
SALARY RATE.....		10,214,021					2000
		4,655,529					
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,867,173			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	98.00			
	6,282,686			2573 1
=====				
OTHER PERSONAL SERVICES				030000
ANTI-FRAUD TRUST FUND -STATE		32,538		2038 1
REGULATORY TRUST FUND -STATE		4,466		2573 1
TOTAL APPRO.....		37,004		
=====				
EXPENSES				040000
ANTI-FRAUD TRUST FUND -STATE		62,885		2038 1
REGULATORY TRUST FUND -STATE		681,023		2573 1
TOTAL APPRO.....		743,908		
=====				
OPERATING CAPITAL OUTLAY				060000
ANTI-FRAUD TRUST FUND -STATE		24,528		2038 1
REGULATORY TRUST FUND -STATE		4,566		2573 1
TOTAL APPRO.....		29,094		
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ANTI-FRAUD TRUST FUND -STATE		80,049		2038 1
REGULATORY TRUST FUND -STATE		349,500		2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....		429,549		
=====				
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE		27,054		2573 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE		27,253		2573 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		33,473		2573 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	98.00			
TOTAL ISSUE.....		7,610,021		
TOTAL SALARY RATE.....		4,867,173		
=====				
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
REGULATORY TRUST FUND -STATE		2,532		2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FISCAL YEAR 2012-2013				1001240
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	16,130		2573 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION -				
FISCAL YEAR 2012-13				1001830
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	12,188		2573 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	810-		2573 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2012-13 - 10 MONTHS				
ANNUALIZATION				26A1830
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	60,940		2573 1
=====				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....		98.00		
SALARY RATE.....		7,701,001		2000
SALARY RATE.....		4,867,173		
=====				