

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	7,290,744			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	164.50			2021 1
ADMINISTRATIVE TRUST FUND -STATE	10,544,662			
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	111,201			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	1,762,646			2021 1
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	30,066			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	458,497			2021 1
ANTI-FRAUD TRUST FUND -STATE	19,100			2038 1
TOTAL APPRO.....	477,597			
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	93,335			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	72,230			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	164.50			
TOTAL ISSUE.....	13,091,737			
TOTAL SALARY RATE.....	7,290,744			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	6,450			2021 1
FLORIDA RETIREMENT SYSTEM				1001220
CONTRIBUTION ADJUSTMENT FOR				010000
FY 2010-11 - EFFECTIVE 7/1/2010				
SALARIES AND BENEFITS				
ADMINISTRATIVE TRUST FUND -STATE	76,086			2021 1
ADJUSTMENT TO STATE HEALTH				1001810
INSURANCE PREMIUM CONTRIBUTION FOR				010000
FY 2010-11 - EFFECTIVE 12/1/2010				
SALARIES AND BENEFITS				
ADMINISTRATIVE TRUST FUND -STATE	50,583			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	24,443-			2021 1
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	7,254-			2021 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	347-			2021 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	36,131			2021 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	5,181-			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
	164.50			
TRUST FUNDS.....	13,223,762			2000
SALARY RATE.....	7,290,744			

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
LEGAL SERVICES							43010200
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							1602.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	4,385,614						
=====							
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	87.50		5,851,409				2021 1
=====							
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE	169,388						2021 1
=====							
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	931,443						2021 1
=====							
OPERATING CAPITAL OUTLAY							060000
ADMINISTRATIVE TRUST FUND -STATE	3,639						2021 1
=====							
SPECIAL CATEGORIES							100000
TRANS TO DIV ADM HEARINGS							100565
ADMINISTRATIVE TRUST FUND -STATE	487,413						2021 1
=====							
CONTRACTED SERVICES							100777
ADMINISTRATIVE TRUST FUND -STATE	163,306						2021 1
=====							
HOLOCAUST VICTIMS ASST ADM							101085
INSURANCE REG TF -STATE	308,007						2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	18,816			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	36,317			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	87.50			
TOTAL ISSUE.....	7,969,738			
TOTAL SALARY RATE.....	4,385,614			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	3,596			2021 1
FLORIDA RETIREMENT SYSTEM				1001220
CONTRIBUTION ADJUSTMENT FOR				010000
FY 2010-11 - EFFECTIVE 7/1/2010				
SALARIES AND BENEFITS				
ADMINISTRATIVE TRUST FUND -STATE	41,201			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	26,376			2021 1
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	13,252-			2021 1
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	5,273-			2021 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	35-			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1810 010000
ADMINISTRATIVE TRUST FUND -STATE		18,840		2021 1
=====				
STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1920 010000
ADMINISTRATIVE TRUST FUND -STATE		3,766-		2021 1
=====				
TOTAL: EXEC LEADERSHIP/SUPPRT SVC BY FUND TYPE				<u>1602.00.00.00</u>
TRUST FUNDS.....	87.50			
SALARY RATE.....		8,037,425		2000
		4,385,614		
=====				



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,205,700			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	130.00			
	8,448,374			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	98,834			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	3,280,839			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	1,028,196			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	4,474,986			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	34,650			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	54,071			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	130.00			
TOTAL ISSUE.....	17,419,950			
TOTAL SALARY RATE.....	6,205,700			
=====				
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	668-			2021 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	61,789			2021 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	37,653			2021 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	12,024-			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFORMATION TECHNOLOGY				43010300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	5,413-			2021 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	45,231-			2021 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	26,895			2021 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	3,866-			2021 1
TOTAL: INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	130.00			
SALARY RATE.....	17,479,085			2000
	6,205,700			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	699,292			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	811,246		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	95,229		2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	76,765		2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	5,000		2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	92,971		2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	1,144		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	3,980		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....		1,086,335		
TOTAL SALARY RATE.....	699,292			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE		34		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		6,191		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		2,851		2393 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		1,818-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	937-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,036		2393 1
=====				
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	669-		2393 1
=====				
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	9.00			
SALARY RATE.....		1,094,023		2000
		699,292		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,979,333			
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	6,783,006			1000 1
ADMINISTRATIVE TRUST FUND -STATE	53,000			2021 1
TOTAL POSITIONS.....	111.00			
TOTAL APPRO.....	6,836,006			
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	232,400			1000 1
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,945,863			1000 1
ADMINISTRATIVE TRUST FUND -STATE	112,000			2021 1
TOTAL APPRO.....	2,057,863			
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	190,794			1000 1
ADMINISTRATIVE TRUST FUND -STATE	25,000			2021 1
TOTAL APPRO.....	215,794			
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	2,668,816			1000 1
ADMINISTRATIVE TRUST FUND -STATE	431,500			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	3,100,316			
=====				
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE	15,319			1000 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	45,124			1000 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	111.00			
TOTAL ISSUE.....	12,502,822			
TOTAL SALARY RATE.....	4,979,333			
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	41,887			1000 1
ADMINISTRATIVE TRUST FUND -STATE	388			2021 1
TOTAL APPRO.....	42,275			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	31,292			1000 1
ADMINISTRATIVE TRUST FUND -STATE	246			2021 1
TOTAL APPRO.....	31,538			
=====				



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		7,331-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		60-		2021 1
TOTAL APPRO.....		7,391-		
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		2,500-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		27-		2021 1
TOTAL APPRO.....		2,527-		
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
GENERAL REVENUE FUND -STATE		5,725-		1000 1
NONRECURRING EXPENDITURES				2100000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				2103095
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE		100,000-		1000 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
NONRECURRING EXPENDITURES				2100000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				2103095
EXPENSES				040000
GENERAL REVENUE FUND -STATE	25,847-			1000 1
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO				2103095
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				
TOTAL ISSUE.....	125,847-			
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	22,351			1000 1
ADMINISTRATIVE TRUST FUND -STATE	176			2021 1
TOTAL APPRO.....	22,527			
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	1,786-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	19-			2021 1
TOTAL APPRO.....	1,805-			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY SALARY RATE				3612AC0
SALARY RATE.....	104,211			000000
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	2.00			1000 1
	142,274			
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	20,906	7,796		1000 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	712			1000 1
=====				
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....	163,892	7,796		
TOTAL SALARY RATE.....	104,211			
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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Budget Entity: State Agency Accounting and Auditing/Information Technology FLAIR

Issue Title: Required FLAIR Modification due to Internal Revenue Code 3402 Mandate for Three Percent Withholding on Payments for Service and Property after December 2011

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial,

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

information and operational systems.

Issue Description:

Effective January 1, 2012, the federal government has mandated (Public Law 109-222) that governmental agencies withhold three (3) percent from vendor payments for goods and services. This deadline was extended by the federal stimulus package, "American Recovery and Reinvestment Act of 2009," from the original effective date of January 1, 2011. The draft Internal Revenue Service (IRS) rules for this new law requires governments to withhold 3 percent of payments of \$10,000 or more. During the last fiscal year, the state processed 166,976 vendor payment transactions through FLAIR (State's Accounting System) in excess of \$10,000 to 18,556 vendors. In order to implement this federal mandate, the Department of Financial Services (DFS) will be required to withhold 3 percent from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

Division of Information Systems (DIS) Impact:

In order to comply with Public Law 109-222, the Bureaus of Accounting Systems Design and Programming Design estimate that 3,185 complex FLAIR computer programs must be modified and tested to address the reconciliation of withholdings and subsequent payments to the federal government, preparation of tax deposits, monitoring of agency payments, and increased compliance monitoring. For the 2011-2012 fiscal year, the calculation of costs for FLAIR modifications are stated below in the detail of costs. This cost is to analyze, design and code modifications to FLAIR for vendor data consolidation and the 3 percent withholding requirements, as well as analyze IRS reporting requirements.

The complexity of the mandate will require modifications to FLAIR's basic functionality. Designers, testers and programmers in the two FLAIR application support bureaus must understand the existing functionality in order to make these modifications. Recruiting candidates with the appropriate level of knowledge and experience is extremely difficult, making it necessary for the Division to hire staff at 10 percent above the minimum salary base for the requested positions.

This issue will also be in the State Financial Information and State Agency Accounting budget entity (43200200) and the State Self-Insured Claims Adjustment budget entity (43400100).

Potential Agency Impact:

This law will also apply to state disbursements not made currently through FLAIR, such as agency revolving funds. DFS will be required to work with all state agencies to identify non-FLAIR disbursements and devise a method for implementing

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

the 3 percent withholding requirement.

We have two sets of customers for this project. The first is the federal government. The second is the 200,000 plus vendors doing business with the State of Florida on an annual basis. The mandate to begin the 3% withholding is January 1, 2012. This LBR will create 9 positions and one other personal services position as of July 1, 2011, to develop technical specifications, perform programming, perform testing, develop new policies, continue upgrading the FLAIR vendor files and obtain taxpayer information on all vendors needed for 3 percent withholding. Failure to fund these positions will prevent the State from making the technical modifications to FLAIR necessary for successful implementation of 3 percent withholding by the federally mandated deadline and from making sufficient progress in the clean-up and consolidation of vendor information necessary for the 3 percent withholding.

If this LBR is not funded and the State cannot implement by January 1, 2012, potential penalties could be assessed. Governments that fail to withhold the tax imposed by this law are generally liable for the payment of the tax owed to the IRS unless it can prove that the payee has paid its income tax liability independently of the State. The dollar amount of 3 percent withholdings in a calendar year for the State of Florida is estimated to be over \$500 million a year when considering the amount of vendor payments made each year. Second, payers that fail to withhold the tax are liable for interest and will incur additional penalties depending on the time period taken to eventually remit the withholding amount. Third, annual 1099 forms to the IRS would not be correct, which could result in additional penalties of \$100 per Form 1099 with no cap. The fines under this scenario could run into millions of dollars per year.

From a technical, functional or operational standpoint, if positions are not funded, resources will have to be shifted from other statutory responsibilities which will significantly impact the agency's ability to meet its obligations resulting in extreme impacts to the citizens of Florida. In addition, when a FLAIR production problem occurs, it becomes a critical situation requiring involvement of numerous FLAIR staff members that will be working on the 3 percent withholding project. These resources could be pulled away from the 3 percent withholding project for days or weeks to correct the problem in order to provide the same level of service that customers are currently receiving. With the addition of these new resources, DFS would be able to resolve production problems and continue current levels of support without impacting the 3 percent project schedule. If this LBR is not funded and DFS is required to shift resources for the development, implementation and operations of the 3 percent withholding requirement, the following impacts will be seen:

- 1) Inability to support changes to MFMP processes and interfaces that also require FLAIR to make system modifications, inadequate support of these processes could impact the accuracy and timeliness of payment processing to vendors.
- 2) Inability to support changes to PeopleFirst processes and interfaces that also require FLAIR to make system modifications. Inadequate support of these processes could negatively affect employee payrolls and the accounting for the payroll.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

- 3) Currently Division of Information Systems responds to over 1,400 Help Desk tickets each year. These tickets cover issues as simple as how to use the FLAIR accounting system to helping a user work through a complex accounting scenario. If staff is pulled from this functionality, they will be unavailable to work through Help Desk tickets which will not allow Division of Information Systems to respond to critical agency needs involving FLAIR programs.
- 4) Currently, Division of Information Systems provides over 160 files to the Auditor General (AG) monthly. The AG requests specific historical accounting data from prior years for each agency being audited. This requires resources with an understanding of the accounting transactions as well as the technical knowledge to extract and send the data to the AG. If staff is pulled from support of data requests to the AG, the Division of Information Systems will be unable to provide assistance to the AG for their audits of other agencies.
- 5) Currently, FLAIR staff spends 35-40 percent of their work time performing maintenance and resolving production problems. If staff is unavailable for this type of activity, FLAIR production problems could result in significant FLAIR downtime and data corruption.
- 6) Each year several members of the FLAIR Central Accounting staff spend approximately three months (over 1100 hours) preparing for the fiscal year-end process. The year-end process includes closing cash balances from the prior year, opening cash balances for the current year and loading budgets to FLAIR from LAS/PBS in order to control agency spending. If staff is unavailable for this type of activity, the Department will not be able to meet the Chief Financial Officer's constitutional duty for the settlement of claims against the State.
- 7) Inability to provide timely support for FLAIR data requests, including transparency initiatives for DFS as well as from the Legislature and the Governor's Office. Division of Information Systems spends over 480 hours in support of this effort.
- 8) FLAIR staff responds to over 200 requests for public information each year. These are the same staff members who will be working on parts of the 3 percent project. Without additional staff, DIS will not be able to respond to public information requests from citizens, which could put the Department in violation of the Sunshine Law. Division of Information Systems staff spends over 1,050 annually on these requests.
- 9) Data integrity in FLAIR will suffer, which could result in statewide financial statement audit qualifications. This could result in a lower bond rating, affecting the State's ability to issue new bonds and increase the cost of borrowing. As stated above, the current FLAIR staff spends 35-40 percent of their time working through maintenance issues and solving production issues. This is the same staff that will need to spend their time doing the work for the 3 percent project. If Division of Information Systems does not get additional staff to help with the project, the current staff will spend fewer hours on maintenance.
- 10) System modifications required for federal reporting could be affected, such as payroll withholdings, which would result in significant fines by the federal government. Because personnel in the payroll programming and design sections have experience with withholding requirements, Division of Information Systems will have to pull from their expertise. If Division of Information Systems does not get additional staff, the staff currently supporting payroll will be re-assigned to 3 percent withholding and not available for support to the payroll system.
- 11) FLAIR report modifications for production of the State's Comprehensive Annual Financial Report (CAFR) could be

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

affected, resulting in issuing the CAFR after the statutory deadline.

Detail of Costs:  
 Fiscal Year 2011-2012

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
1	Senior Information Technology Business Consultant	\$ 68,876	
1	Systems Programming Consultant	\$ 73,398	
Total for DIS positions		\$142,274	

Expenses:

Quantity	Description	Amount	Non-Recurring
2	Standard Assessment Package (Professional) at \$10,453	\$ 20,906	\$ 7,796

Special Category

HR Outsourcing:

Quantity	Description	Amount	Non-Recurring
2	Human Resource Services (Professional)	\$ 712	

Division of Information Systems Total \$163,892 \$ 7,796

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
NEW POSITIONS							
2114 SENIOR INFO TECH BUSINESS CONSULTANT							
N0001 001	1.00	50,196		18,680	68,876	0.00	68,876
2117 SYSTEMS PROGRAMMING CONSULTANT							
N0002 001	1.00	54,015		19,383	73,398	0.00	73,398
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							142,274
	2.00	104,211		38,063	142,274		142,274

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TOTAL: INFORMATION TECHNOLOGY							<u>1603.00.00.00</u>
BY FUND TYPE							
GENERAL REVENUE FUND	11,997,555	7,796					1000
TRUST FUNDS	622,204						2000
TOTAL POSITIONS.....	113.00						
TOTAL PROG COMP.....	12,619,759	7,796					
TOTAL SALARY RATE.....	5,083,544						

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,043,608			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	26.50	1,547,529		2725 1
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	1,500			2725 1
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	255,152			2725 1
OPERATING CAPITAL OUTLAY				060000
TREASURY ADM/INVEST TF -STATE	1,783			2725 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	80,205			2725 1
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF -STATE	64,104			2725 1
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	10,687			2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	26.50			
TOTAL ISSUE.....		1,960,960		
TOTAL SALARY RATE.....		1,043,608		
=====				
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
TREASURY ADM/INVEST TF -STATE		43,864-		2725 1
=====				
FLORIDA RETIREMENT SYSTEM				1001220
CONTRIBUTION ADJUSTMENT FOR				010000
FY 2010-11 - EFFECTIVE 7/1/2010				
SALARIES AND BENEFITS				
TREASURY ADM/INVEST TF -STATE		11,202		2725 1
=====				
ADJUSTMENT TO STATE HEALTH				1001810
INSURANCE PREMIUM CONTRIBUTION FOR				010000
FY 2010-11 - EFFECTIVE 12/1/2010				
SALARIES AND BENEFITS				
TREASURY ADM/INVEST TF -STATE		8,268		2725 1
=====				
ELIMINATION OF AGENCY PAYALL				1001820
STATUS - EFFECTIVE 7/1/2010				010000
SALARIES AND BENEFITS				
TREASURY ADM/INVEST TF -STATE		3,383-		2725 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		854-		2725 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE		23-		2725 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		5,906		2725 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		610-		2725 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	26.50			
SALARY RATE.....		1,937,602		2000
		1,043,608		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,183,429			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	28.50	1,706,571		2725 1
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	17,500			2725 1
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	349,876			2725 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	848,785			2725 1
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	11,516			2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	28.50			
TOTAL ISSUE.....	2,934,248			
TOTAL SALARY RATE.....	1,183,429			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	12,502			2725 1
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	8,638			2725 1
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	2,965-			2725 1
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	1,160-			2725 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	30-			2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		6,170		2725 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		829-		2725 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	28.50			
TRUST FUNDS.....	2,956,574			2000
SALARY RATE.....	1,183,429			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	437,759			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	12.50	648,861		2725 1
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	10,100			2725 1
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	110,786			2725 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	252			2725 1
DEFERRED COMP ADM SVCS				100868
TREASURY ADM/INVEST TF -STATE	1,050,000			2725 1
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	4,689			2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	12.50			
TOTAL ISSUE.....	1,824,688			
TOTAL SALARY RATE.....	437,759			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		4,325		2725 1
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		4,511		2725 1
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		1,400-		2725 1
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		357-		2725 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE		53-		2725 1



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		3,222		2725 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		255-		2725 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	12.50			
TRUST FUNDS.....		1,834,681		2000
SALARY RATE.....		437,759		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	7,762,348			
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	9,337,516			1000 1
ADMINISTRATIVE TRUST FUND -STATE	1,121,712			2021 1
TOTAL POSITIONS.....	167.00			
TOTAL APPRO.....	10,459,228			
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	86,763			1000 1
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,207,591			1000 1
ADMINISTRATIVE TRUST FUND -STATE	260,595			2021 1
TOTAL APPRO.....	1,468,186			
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	27,000			1000 1
SPECIAL CATEGORIES				100000
REGISTRY ATTORNEYS				100650
ADMINISTRATIVE TRUST FUND -STATE	1,716,384			2021 1
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	405,949			1000 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
DEBT SERVICE - FLAIR/CMS				100873
INSURANCE REG TF -STATE	1,513,285			2393 1
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE	40,004			1000 1
ADMINISTRATIVE TRUST FUND -STATE	792			2021 1
TOTAL APPRO.....	40,796			
SALARY INCENTIVE PAYMENTS				103290
GENERAL REVENUE FUND -STATE	700			1000 1
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	64,426			1000 1
ADMINISTRATIVE TRUST FUND -STATE	4,800			2021 1
TOTAL APPRO.....	69,226			
TR/PRISON INDUSTRY ENH PRG				108005
PRISON INDUSTRIES TF -STATE	750,000			2385 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	167.00			
TOTAL ISSUE.....	16,537,517			
TOTAL SALARY RATE.....	7,762,348			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	90,067			2021 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	56,966			1000 1
ADMINISTRATIVE TRUST FUND -STATE	8,061			2021 1
TOTAL APPRO.....	65,027			
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	47,509			1000 1
ADMINISTRATIVE TRUST FUND -STATE	5,705			2021 1
TOTAL APPRO.....	53,214			
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	24,954-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	3,100-			2021 1
TOTAL APPRO.....	28,054-			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		4,831-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		807-		2021 1
TOTAL APPRO.....		5,638-		
NONRECURRING EXPENDITURES				2100000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				2103095
EXPENSES				040000
GENERAL REVENUE FUND -STATE		15,508-		1000 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		33,935		1000 1
ADMINISTRATIVE TRUST FUND -STATE		4,075		2021 1
TOTAL APPRO.....		38,010		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1920
				010000
GENERAL REVENUE FUND -STATE	3,451-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	576-			2021 1
TOTAL APPRO.....	4,027-			
STATE FUNDING REDUCTIONS				3300000
REDUCE DEBT SERVICE RELATED TO FLAIR ACCOUNTING AND CASH				
MANAGEMENT SYSTEM REPLACEMENT				3300010
SPECIAL CATEGORIES				100000
DEBT SERVICE - FLAIR/CMS				100873
INSURANCE REG TF -STATE	1,513,285-			2393 1

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: FLAIR/CASH MANAGEMENT REPLACEMENT DEBT SERVICE

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

This issue reduces the recurring debt service for FLAIR replacement. The final payment has been made in state fiscal year 2010-2011. The amount left is zero.

Detail of Costs:

Special Category	Quantity	Description	Amount
Debt Service - FLAIR REP (100873)			
		Final Debt Service Reduction	(\$1,513,285)

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0
SALARY RATE				000000
SALARY RATE.....	271,997			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	7.00			
	388,137			1000 1
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE		35,198		1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE		83,624	31,184	1000 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE		2,607		1000 1
=====				
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO				3612AC0
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....		509,566	31,184	
TOTAL SALARY RATE.....	271,997			
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AGENCY ISSUE NARRATIVE:  
 2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Budget Entity: State Agency Accounting and Auditing/Information Technology FLAIR

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

Issue Title: Required FLAIR Modification due to Internal Revenue Code 3402 Mandate for Three Percent Withholding on Payments for Service and Property after December 2011

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

Effective January 1, 2012, the federal government has mandated (Public Law 109-222) that governmental agencies withhold three (3) percent from vendor payments for goods and services. This deadline was extended by the federal stimulus package, "American Recovery and Reinvestment Act of 2009," from the original effective date of January 1, 2011. The draft Internal Revenue Service (IRS) rules for this new law requires governments to withhold 3 percent of payments of \$10,000 or more. During the last fiscal year, the state processed 166,976 vendor payment transactions through FLAIR (State's Accounting System) in excess of \$10,000 to 18,556 vendors. In order to implement this federal mandate, the Department of Financial Services (DFS) will be required to withhold 3 percent from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

Division of Accounting & Auditing Impact:

For the 2010-2011 state fiscal year, DFS is working on functional and technical design issues in FLAIR related to new business processes, designing an electronic Form W-9 website, formulating business requirements, and cleaning up the State's multiple vendor files. In addition, DFS is developing an outreach program with State of Florida vendors to make them aware of the federal mandate and how it will affect them, which includes working with MyFloridaMarketPlace (MFMP). Federal withholding and Form W-9 training workshops will also be developed for communicating requirements and business process changes to vendors and state agencies.

For the 2011-2012 state fiscal year, it is anticipated that seven additional FTEs and 1 other personal services (OPS) position will be necessary to handle all remaining areas of this withholding requirement. The total estimated costs for these positions are stated below in the detail of costs. The requested positions will be involved with the following components of implementation: 1) Finalizing technical design and testing in FLAIR; 2) Working with vendors to obtain accurate, up-to-date W-9 forms prior to go-live on January 1, 2012; 3) Establishing a tax and reconciliation section that will be responsible for ensuring tax deposits with the IRS are made accurately and timely to avoid IRS penalties, and continually reconciling the tax account for payment cancellations and error corrections; 4) Continuing work on the



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

cleaning up of vendor information from the state's multiple vendor files with consolidating data where possible, which is a critical component to implementation; and 5) Conducting training and outreach programs with State of Florida vendors and state agencies which will be necessary to answer questions and work with individual vendors on compliance issues. The requested Other Personal Services (OPS) position will be dedicated to improving data integrity in the current FLAIR vendor files. Once the 3 percent withholding requirement has been implemented and the vendor files have been sufficiently cleaned up, the OPS position can be eliminated.

Once the law is implemented, the workload for DFS will increase significantly from an operational standpoint. For example, the number of 1099s produced by DFS will greatly increase and the questions arising due to withholding reported on the new 1099 form will increase the volume of vendor calls to the 1099 Section. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law. In addition to the 3 percent federal withholding law, Congress passed the healthcare reform bill that became Public Law 111-148, "Patient Protection and Affordable Care Act." Included in the law is a provision which eliminates corporate exemptions from 1099 reporting. Currently, the State does not prepare Form 1099s for payments to corporations unless they are related to healthcare or attorney's fees. This new provision will result in a significant increase in 1099s that the State must produce, as almost all payments to corporations will be required to be reported on a Form 1099.

Due to the complexity of this federal mandate, it is necessary for the Division to hire staff at 10% above the minimum salary base for the requested positions that are a pay-grade 20 or higher in order to acquire and retain experienced professionals. The Division plans to hire the OPS employee at the minimum hourly rate.

The requirements, forecasted above, are modeled on current 1099 and Bureau of State Payrolls processes which demonstrates the workload associated with these types of business functions.

This issue will also be in the Information Technology - FLAIR Infrastructure budget entity (43010500) and the State Self-Insured Claims Adjustment budget entity (43400100).

Potential Agency Impact:

This law will also apply to state disbursements not made currently through FLAIR, such as agency revolving funds. DFS will be required to work with all state agencies to identify non-FLAIR disbursements and devise a method for implementing the 3 percent withholding requirement.

We have two sets of customers for this project. The first is the federal government. The second is the 200,000 plus vendors doing business with the State of Florida on an annual basis. The mandate to begin the 3% withholding is January

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

1, 2012. This LBR will create nine positions and one other personal services position as of July 1, 2011, to develop technical specifications, perform programming, perform testing, develop new policies, continue upgrading the FLAIR vendor files and obtain taxpayer information on all vendors needed for 3 percent withholding. Failure to fund these positions will prevent the State from making the technical modifications to FLAIR necessary for successful implementation of 3 percent withholding by the federally mandated deadline and from making sufficient progress in the clean-up and consolidation of vendor information necessary for the 3 percent withholding.

If this LBR is not funded and the State cannot implement by January 1, 2012, potential penalties could be assessed. Governments that fail to withhold the tax imposed by this law are generally liable for the payment of the tax owed to the IRS unless it can prove that the payee has paid its income tax liability independently of the State. The dollar amount of 3 percent withholdings in a calendar year for the State of Florida is estimated to be over \$500 million a year when considering the amount of vendor payments made each year. Second, payers that fail to withhold the tax are liable for interest and will incur additional penalties depending on the time period taken to eventually remit the withholding amount. Third, annual 1099 forms to the IRS would not be correct, which could result in additional penalties of \$100 per Form 1099 with no cap. The fines under this scenario could run into millions of dollars per year.

From a technical, functional or operational standpoint, if positions are not funded, resources will have to be shifted from other statutory responsibilities which will significantly impact the agency's ability to meet its obligations resulting in extreme impacts to the citizens of Florida. In addition, when a FLAIR production problem occurs, it becomes a critical situation requiring involvement of numerous FLAIR staff members that will be working on the 3 percent withholding project. These resources could be pulled away from the 3 percent withholding project for days or weeks to correct the problem in order to provide the same level of service that customers are currently receiving. With the addition of these new resources, DFS would be able to resolve production problems and continue current levels of support without impacting the 3 percent project schedule. If this LBR is not funded and DFS is required to shift resources for the development, implementation and operations of the 3 percent withholding requirement, the following impacts will be seen:

- 1) Inability to support changes to MFMP processes and interfaces that also require FLAIR to make system modifications, inadequate support of these processes could impact the accuracy and timeliness of payment processing to vendors.
- 2) Inability to support changes to PeopleFirst processes and interfaces that also require FLAIR to make system modifications. Inadequate support of these processes could negatively affect employee payrolls and the accounting for the payroll.
- 3) Currently Division of Information Systems responds to over 1,400 Help Desk tickets each year. These tickets cover issues as simple as how to use the FLAIR accounting system to helping a user work through a complex accounting scenario. If staff is pulled from this functionality, they will be unavailable to work through Help Desk tickets which will not allow Division of Information Systems to respond to critical agency needs involving FLAIR programs.
- 4) Currently, Division of Information Systems provides over 160 files to the Auditor General (AG) monthly. The AG

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2011-12	FY 2011-12	FY 2011-12	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

requests specific historical accounting data from prior years for each agency being audited. This requires resources with an understanding of the accounting transactions as well as the technical knowledge to extract and send the data to the AG. If staff is pulled from support of data requests to the AG, the Division of Information Systems will be unable to provide assistance to the AG for their audits of other agencies.

5) Currently, FLAIR staff spends 35-40 percent of their work time performing maintenance and resolving production problems. If staff is unavailable for this type of activity, FLAIR production problems could result in significant FLAIR downtime and data corruption.

6) Each year several members of the FLAIR Central Accounting staff spend approximately three months (over 1100 hours) preparing for the fiscal year-end process. The year-end process includes closing cash balances from the prior year, opening cash balances for the current year and loading budgets to FLAIR from LAS/PBS in order to control agency spending. If staff is unavailable for this type of activity, the Department will not be able to meet the Chief Financial Officer's constitutional duty for the settlement of claims against the State.

7) Inability to provide timely support for FLAIR data requests, including transparency initiatives for DFS as well as from the Legislature and the Governor's Office. Division of Information Systems spends over 480 hours in support of this effort.

8) FLAIR staff responds to over 200 requests for public information each year. These are the same staff members who will be working on parts of the 3 percent project. Without additional staff, DIS will not be able to respond to public information requests from citizens, which could put the Department in violation of the Sunshine Law. Division of Information Systems staff spends over 1,050 annually on these requests.

9) Data integrity in FLAIR will suffer, which could result in statewide financial statement audit qualifications. This could result in a lower bond rating, affecting the State's ability to issue new bonds and increase the cost of borrowing. As stated above, the current FLAIR staff spends 35-40 percent of their time working through maintenance issues and solving production issues. This is the same staff that will need to spend their time doing the work for the 3 percent project. If Division of Information Systems does not get additional staff to help with the project, the current staff will spend fewer hours on maintenance.

10) System modifications required for federal reporting could be affected, such as payroll withholdings, which would result in significant fines by the federal government. Because personnel in the payroll programming and design sections have experience with withholding requirements, Division of Information Systems will have to pull from their expertise. If Division of Information Systems does not get additional staff, the staff currently supporting payroll will be re-assigned to 3 percent withholding and not available for support to the payroll system.

11) FLAIR report modifications for production of the State's Comprehensive Annual Financial Report (CAFR) could be affected, resulting in issuing the CAFR after the statutory deadline.

Detail of Costs:  
 Fiscal Year 2011-2012

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
Division of Accounting & Auditing:			
2	Professional Accountant Specialists (1469)	\$121,616	
2	Senior Professional Accountants (1468)	\$108,310	
1	Senior Management Analyst I (2224)	\$ 54,155	
2	Accountant IV (1437)	\$ 88,282	
Total for A & A positions		\$372,362	

OPS:

Quantity	Description	Amount	Non-Recurring
Division of Accounting & Auditing:			
1	Accountant IV	\$ 35,198	

Expenses:

Quantity	Description	Amount	Non-Recurring
Division of Accounting & Auditing:			
7	Standard Assessment Package (Professional) at \$10,453	\$ 73,171	\$27,286
1	Standard Assessment Package (OPS professional) at \$10,453	\$ 10,453	3,898
Total		\$ 83,624	\$31,184

Special Category

HR Outsourcing:

Quantity	Description	Amount	Non-Recurring
Division of Accounting & Auditing			
7	Human Resource Services (Professional) at \$356	\$ 2,492	
1	Human Resource Services (Professional OPS Staff) at 115	\$ 115	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0
Total			\$ 2,607	
Division of Accounting & Auditing Total			\$493,791	\$31,184

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
NEW POSITIONS							
1437 ACCOUNTANT IV							
N0004 001	2.00	71,938		32,119	104,057	0.00	104,057
1468 SENIOR PROFESSIONAL ACCOUNTANT							
N0002 001	2.00	75,529		32,780	108,309	0.00	108,309
1469 PROFESSIONAL ACCOUNTANT SPECIALIST							
N0001 001	2.00	86,765		34,851	121,616	0.00	121,616
2224 GOVERNMENT ANALYST I							
N0003 001	1.00	37,765		16,390	54,155	0.00	54,155
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							388,137
	7.00	271,997		116,140	388,137		388,137

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	11,769,181	31,184		1000
TRUST FUNDS	3,957,708			2000
TOTAL POSITIONS.....	174.00			
TOTAL PROG COMP.....	15,726,889	31,184		
TOTAL SALARY RATE.....	8,034,345			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,333,950			
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	60.00	2,919,769		2007 1
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF -STATE	180,000			2007 1
EXPENSES				040000
UNCLAIMED PROPERTY TF -STATE	762,243			2007 1
OPERATING CAPITAL OUTLAY				060000
UNCLAIMED PROPERTY TF -STATE	7,500			2007 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF -STATE	176,794			2007 1
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF -STATE	8,842			2007 1
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF -STATE	24,823			2007 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	60.00			
TOTAL ISSUE.....		4,079,971		
TOTAL SALARY RATE.....	2,333,950			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF -STATE		87-		2007 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		19,568		2007 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		17,351		2007 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		5,303-		2007 1
=====				



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		1,239-		2007 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
UNCLAIMED PROPERTY TF -STATE		376-		2007 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		12,394		2007 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		885-		2007 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	60.00			
SALARY RATE.....		4,121,394		2000
		2,333,950		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,708,788			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	69.50	3,642,204	2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	15,339		2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	595,037		2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	9,144		2393 1
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	68,000		2393 1
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	18,405		2393 1
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF	-STATE	8,000		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	27,973		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	69.50			
TOTAL ISSUE.....	4,384,102			
TOTAL SALARY RATE.....	2,708,788			
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	25,262		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	16,798		2393 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,321-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
COMPLIANCE & ENFORCEMENT				43300200
PUBLIC PROTECTION				12
LAW ENFORCEMENT				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,478-		2393 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	65-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	11,999		2393 1
=====				
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,056-		2393 1
=====				
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....		69.50		
SALARY RATE.....		4,432,241		2000
SALARY RATE.....		2,708,788		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,021,022			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	128.00		
		8,462,023		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	33,391		
				2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	1,572,131		
				2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	82,409		
				2393 1
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	405,000		
				2393 1
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	155,374		
				2393 1
ON-CALL FEES				102261
INSURANCE REG TF	-STATE	250,000		
				2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF -STATE	144,174			2393 1
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF -STATE	5,000			2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	51,722			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	128.00			
TOTAL ISSUE.....	11,161,224			
TOTAL SALARY RATE.....	6,021,022			
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	118,174			2393 1
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	38,371			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE & ARSON INVESTIGATION				43300300
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	4,411-		2393 1
=====				
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,487-		2393 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	423-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	27,408		2393 1
=====				
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,491-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE &amp; ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
		128.00		
TRUST FUNDS.....		11,334,365		2000
SALARY RATE.....		6,021,022		
		=====		



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,214,442			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	32.00	1,778,574	2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	240,000		2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	615,342		2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	23,294		2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	133,697		2393 1
DOMESTIC SECURITY				100851
INSURANCE REG TF	-STATE	400,000		2393 1
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF	-STATE	17,500		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	16,146		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		32.00		
TOTAL ISSUE.....		3,224,553		
TOTAL SALARY RATE.....		1,214,442		
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	16,869		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	9,385		2393 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,711-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
PUBLIC PROTECTION				12
LAW ENFORCEMENT				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	859-		2393 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	100-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	6,704		2393 1
=====				
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	614-		2393 1
=====				
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....		32.00		
SALARY RATE.....		3,253,227		2000
		1,214,442		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	851,168			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	20.00		
		1,350,909		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	9,102		
				2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	396,901		
				2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	12,000		
				2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	126,189		
				2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	280,945		
				2393 1
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF	-STATE	7,500		
				2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	8,972		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		20.00		
TOTAL ISSUE.....		2,192,518		
TOTAL SALARY RATE.....		851,168		
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	144,324		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	16,341		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	5,786		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,892-		2393 1
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	879-		2393 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	24-		2393 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	4,133		2393 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	628-		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN &amp; SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	20.00			
TRUST FUNDS.....	2,359,679			2000
SALARY RATE.....	851,168			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	3,911,341			
=====				
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	105.00		
		5,589,000		2078 1
=====				
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF	-STATE	35,000		
				2078 1
=====				
EXPENSES				040000
STATE RISK MGMT TF	-STATE	890,587		
				2078 1
=====				
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF	-STATE	7,205		
				2078 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	-STATE	16,243,951		
				2078 1
=====				
CONTRACT LEGAL - ATTY GEN				100904
STATE RISK MGMT TF	-STATE	4,302,284		
				2078 1
=====				
CONTRACTED LEGAL SERVICES				100905
STATE RISK MGMT TF	-STATE	21,401,020		
				2078 1
=====				



	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
EXCESS INSUR. & CLAIM SER							101221
STATE RISK MGMT TF	-STATE	10,871,000					2078 1
RISK MANAGEMENT INSURANCE							103241
STATE RISK MGMT TF	-STATE	99,252					2078 1
TR/DMS/HR SVCS/STW CONTRCT							107040
STATE RISK MGMT TF	-STATE	42,321					2078 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		105.00					
TOTAL ISSUE.....		59,481,620					
TOTAL SALARY RATE.....		3,911,341					
CASUALTY INSURANCE PREMIUM							1001090
ADJUSTMENT							100000
SPECIAL CATEGORIES							103241
RISK MANAGEMENT INSURANCE							
STATE RISK MGMT TF	-STATE	17,682					2078 1
FLORIDA RETIREMENT SYSTEM							1001220
CONTRIBUTION ADJUSTMENT FOR							010000
FY 2010-11 - EFFECTIVE 7/1/2010							
SALARIES AND BENEFITS							
STATE RISK MGMT TF	-STATE	37,624					2078 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	31,433		2078 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	8,897-		2078 1
=====				
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	2,654-		2078 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
STATE RISK MGMT TF	-STATE	264-		2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
NONRECURRING EXPENDITURES				2100000
REDUCE PAYMENTS TO THIRD PARTY				
ADMINISTRATOR FOR WORKERS'				
COMPENSATION CLAIMS				2103101
EXPENSES				040000
STATE RISK MGMT TF	-STATE	3,877-		2078 1
=====				
ADDITIONAL STAFF TO PROVIDE MORE				
PROACTIVE LOSS PREVENTION SERVICES				
TO STATE AGENCIES AND UNIVERSITIES				2103102
EXPENSES				040000
STATE RISK MGMT TF	-STATE	8,931-		2078 1
=====				
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF	-STATE	5,400-		2078 1
=====				
TOTAL: ADDITIONAL STAFF TO PROVIDE MORE				2103102
PROACTIVE LOSS PREVENTION SERVICES				
TO STATE AGENCIES AND UNIVERSITIES				
TOTAL ISSUE.....		14,331-		
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	22,452		2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION SALARIES AND BENEFITS				26A1920
				010000
STATE RISK MGMT TF -STATE	1,896-			2078 1
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY SALARY RATE				3612AC0
SALARY RATE.....	86,244			000000
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF -STATE	2.00	123,332		2078 1
EXPENSES				040000
STATE RISK MGMT TF -STATE	20,906	7,796		2078 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF -STATE	712			2078 1
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY				3612AC0
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....	144,950	7,796		
TOTAL SALARY RATE.....	86,244			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

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AGENCY ISSUE NARRATIVE:  
 2011-2012 BUDGET YEAR NARRATIVE:  
 Budget Entity: Risk

IT COMPONENT? YES

Issue Title: Required FLAIR Modification Due to Internal Revenue Service Mandate of Three Percent Withholding of Payments for Service and Property

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

Effective January 1, 2012, the federal government has mandated (Public Law 109-222) that governmental agencies withhold three percent from vendor payments for goods and services. This deadline was extended by the federal stimulus package, "American Recovery and Reinvestment Act of 2009," from the original effective date of January 1, 2011. The draft IRS rules for this new law require governments to withhold three percent of payments of \$10,000 or more. To implement this federal mandate, the Division of Risk Management (DRM) must withhold three percent from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement. For the state fiscal year 2011-12, positions are needed to work on issues related to technical designs and testing; monitoring and assessing impacts of final IRS rules; developing new vendor payment policies; and preparing training and communication materials. The new positions also will be the Division of Risk Management's (DRM) direct interface with the federal government for compliance and ongoing modifications to this new program. It is envisioned that an outreach program with DRM's vendors will also be necessary to answer questions and work with individual vendors on compliance issues. Once implemented, the additional positions will manage the day-to-day operations of this new requirement. From an operational standpoint (once the law is implemented), this new requirement will significantly increase the workload for DRM. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law. For the state fiscal year 2011-12, it is anticipated that additional positions will be necessary to handle all areas of this withholding requirement including the following: withholding tax deposits and reconciliation; corrections/resolutions; agency/vendor liaisons; Form W-9/1099 reporting; and training. Due to the complexity of this federal mandate, it is necessary for the DRM to hire staff at ten percent above the minimum salary base for the requested positions in order to acquire and retain experienced professionals. The requirements

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

forecasted above are modeled on current 1099 and Bureau of State Payrolls processes which demonstrates the workload associated with these types of business functions

This issue will also be in the Information Technology - FLAIR Infrastructure budget entity (43010500) and the State Financial Information and State Agency Accounting budget entity (43200100).

If this is not funded then the state will not be in compliance with the federal law.

This issue is linked to the Required FLAIR modification due to Internal Revenue Code 3402 mandate of three percent withholding on payments for service and property but has no IT component. Positions are requested at 10 percent over the base.

Detail of Costs:

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
1	Professional Accountant Supervisor (1470/426)	\$ 72,081	
1	Accountant IV (1437/420)	\$ 51,251	
	Total	\$123,332	

Expenses:

Quantity	Description	Amount	Non-Recurring
2	Profession Packages at \$10,453	\$ 20,906	\$ 7,796
	Total	\$ 20,906	\$ 7,796

DMS Human Resources:

Quantity	Description	Amount	Non-Recurring
2	Professional Positions	\$ 712	
	Total	\$ 712	

Issue Total \$144,950 \$ 7,796

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
REQUIRED FLAIR MODIFICATION DUE TO				
INTERNAL REVENUE CODE 3402 MANDATE				
OF THREE PERCENT WITHHOLDING ON				
PAYMENTS FOR SERVICE AND PROPERTY				3612AC0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
NEW POSITIONS							
1437 ACCOUNTANT IV - SES							
N0002 001	1.00	34,330		16,921	51,251	0.00	51,251
1470 PROFESSIONAL ACCOUNTANT SUPERVISOR - SES							
N0001 001	1.00	51,914		20,167	72,081	0.00	72,081
TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							123,332
	2.00	86,244		37,088	123,332		123,332

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
RISK MANAGEMENT CHARGES				4400000
INCREASED FUNDING TO ENSURE				
APPROPRIATE EXCESS INSURANCE LEVEL				4400100
SPECIAL CATEGORIES				100000
EXCESS INSUR. & CLAIM SER				101221
STATE RISK MGMT TF	-STATE	2,500,000		2078 1

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

KEY REC\*\*4340010016010000004400100000000

Budget Entity: Division of Risk Management

Issue Title: Increase Appropriation for Purchase of Excess Property Insurance for State Property

Reference to Long-Range Program Plan: Goal 3 The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

The Division of Risk Management is asking for a 37 percent increase over the \$6.8 million initially funded in state fiscal year 2009-10. As this amount could not purchase adequate coverage in state fiscal year 2009-10, the Legislature appropriated additional funding of \$2,500,000 in the state fiscal year 2009-10 General Appropriations Act. This funding was used to purchase an additional \$18.75 million in named wind storm and flood insurance plus fill in a \$3.3 million dollar gap in coverage in the \$40 million coverage layer. This request equates to a requested increase of \$2,500,000 in appropriation category 101221 Claims Servicing/Excess Insurance. If this issue is funded the State should be able to purchase the same or nearly the same excess property insurance coverage in what is expected to be a continued "hardened market". If this issue is not funded, the State could find itself in the situation of purchasing even less excess property insurance coverage than what the State currently maintains. For state fiscal year 2010-11, the State maintains \$58.75 million of windstorm and flood coverage, with coverage for other perils up to \$200 million, and with a "deductible, or retention, of \$42 million. The Division of Risk Management has been "flat funded" in appropriation category 101221 Claims Servicing/Excess Insurance since state fiscal year 2005-2006. During state fiscal year 2008-09, the excess property insurance premium cost the state \$7.35 million, which was \$1.7 million higher than the cost of slightly more excess property insurance coverage in state fiscal year 2007-08. The division expects a "hardened market" to continue, driving the cost of excess property insurance higher. The state's excess property insurance broker was asked to provide an estimate of the cost to procure the same amount of excess property insurance during state fiscal year 2011-12. The broker's response is as follows: "If all pricing factors continue as they are today, we believe that the pricing for excess property insurance will increase by twenty (20) percent by February 15, 2011." This estimate does not take into account the possibility of historically catastrophic hurricane events such as one or more hurricanes the magnitude of Andrew or Katrina or historic years as seen by Florida during the hurricane seasons of 2004 and 2005, which could drive the price even higher.



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
RISK MANAGEMENT CHARGES				4400000
INCREASED FUNDING TO ENSURE				
APPROPRIATE EXCESS INSURANCE LEVEL				4400100

Detail of Costs:  
 Fiscal Year 2011-12

Quantity	Description	Amount
-----	-----	-----
	Additional Insurance needed for State	\$2,500,000
	Issue Total	\$2,500,000

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TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	107.00			
SALARY RATE.....	62,203,842	7,796		2000
	3,997,585			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE_CO_REHAB/LIQDATN				43500100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	431,201			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	7.00	587,128	2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	45,691		2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	112,031		2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	1,120		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	258,352		2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	12,900		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	3,168		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE_CO_REHAB/LIQDATN				43500100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....		1,020,390		
TOTAL SALARY RATE.....	431,201			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	10,937-		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	4,631		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,921		2393 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,327-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE_CO_REHAB/LIQDATN				43500100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	604-		2393 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	1,404-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	1,372		2393 1
=====				
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	431-		2393 1
=====				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	7.00			2000
SALARY RATE.....		1,013,611		
		431,201		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,871,627			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	159.00	7,829,073	2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	28,938		2393 1
EXPENSES				040000
INSURANCE REG TF	-STATE	992,409		2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	2,500		2393 1
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	46,750		2393 1
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	2,918,892		2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	127,968		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	66,016		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		159.00		
TOTAL ISSUE.....		12,012,546		
TOTAL SALARY RATE.....		5,871,627		
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	36,995		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	55,112		2393 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	46,854		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	10,211-		2393 1
=====				
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,258-		2393 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	117-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	33,467		2393 1
=====				
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,327-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
BUDGET TRANSPARENCY				
AND ACCOUNTABILITY				5500000
BANKING FEES ASSOCIATED WITH				
ELECTRONIC PAYMENT SERVICES FOR				
LICENSEES - ADD				5500020
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF	-STATE	2,100,000		2393 1

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Budget Entity: Division of Licensure, Sales Appointment and Oversight  
 Division of Funeral and Cemetery Services  
 Division of Workers' Compensation

Issue Title: Banking Fees Associated with Electronic Payment Services for Licensees

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

Divisions of Agents, and Agency Services, Workers Compensation and Funeral and Cemetery Services are moving to an eCommerce payment processing system from an existing system. Currently, fees for licenses are accepted online and processed by Link 2 Gov. Effective January 1, 2011, the department systems (Agent and Agency Licensing Function (AALF), eAppoint) will be incompatible with Link 2 Gov platform changes. Because similar eCommerce payment processing exists with the state term contract vendor, Bank of America, the department will migrate the Link 2 Gov processing to Bank of America.

Currently, when a licensee makes an electronic payment, Link 2 Gov charges an additional electronic payment services fee. Link 2 Gov keeps this fee and sends the license portion of the payment to the Department of Financial Services. For example, if an applicant were paying a \$60 fee and Link 2 Gov charges them a \$2 electronic payment services fee, the applicant would pay a total of \$62 to Link 2 Gov. Link 2 Gov would keep the \$2 and remit the \$60 to the department. The process will change with Bank of America. Bank of America will remit the full payment to the department, and the department will pay Bank of America the electronic payment services fees.

Budget amendment, EOG Log number B0144, is currently pending for the December LBC meeting. The amendment will appropriate \$1.4 million to this budget entity for six months. This issue annualizes that budget amendment to \$2.1 million for an entire fiscal year.



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
BUDGET TRANSPARENCY				
AND ACCOUNTABILITY				5500000
BANKING FEES ASSOCIATED WITH				
ELECTRONIC PAYMENT SERVICES FOR				
LICENSEES - ADD				5500020

This issue will also appear in the Division of Funeral and Cemetery Services (43500500) and the Division of Workers' Compensation (43600100).

Detail of Costs:

Special Category

Contracted Services

Quantity	Description	Amount
	Funeral and Cemetery Services	(\$ 14,100) (2573)

Special Category

Electronic Commerce Fees (100064):

Quantity	Description	Amount
	Licensure Sales Appointment and Oversight	\$2,100,000 (2393)
	Funeral and Cemetery Services	\$ 14,100 (2573)
	Workers' Compensation	\$ 288,000 (2795)

Issue Total \$2,388,000

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TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	159.00			
TRUST FUNDS.....	14,269,061			2000
SALARY RATE.....	5,871,627			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	9,385,507			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	196.00		
		12,758,628		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	45,000		
				2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	2,035,321		
				2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	1,700		
				2393 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	297,000		
				2393 1
=====				
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	1,251,257		
				2393 1
=====				
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	214,617		
				2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE FRAUD							43500300
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	218,602					2393 1
=====							
SALARY INCENTIVE PAYMENTS							103290
INSURANCE REG TF	-STATE	216,256					2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	81,432					2393 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		196.00					
TOTAL ISSUE.....		17,119,813					
TOTAL SALARY RATE.....		9,385,507					
=====							
CASUALTY INSURANCE PREMIUM							1001090
ADJUSTMENT							100000
SPECIAL CATEGORIES							103241
RISK MANAGEMENT INSURANCE							
INSURANCE REG TF	-STATE	69,512-					2393 1
=====							
FLORIDA RETIREMENT SYSTEM							1001220
CONTRIBUTION ADJUSTMENT FOR							010000
FY 2010-11 - EFFECTIVE 7/1/2010							
SALARIES AND BENEFITS							
INSURANCE REG TF	-STATE	179,825					2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
INSURANCE FRAUD							43500300
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
CONTRIBUTION ADJUSTMENT FOR							
FY 2010-11 - EFFECTIVE 7/1/2010							1001220
SPECIAL CATEGORIES							100000
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF							2393 1
-STATE		8,673					
TOTAL: FLORIDA RETIREMENT SYSTEM							1001220
CONTRIBUTION ADJUSTMENT FOR							
FY 2010-11 - EFFECTIVE 7/1/2010							
TOTAL ISSUE.....		188,498					
ADJUSTMENT TO STATE HEALTH							
INSURANCE PREMIUM CONTRIBUTION FOR							
FY 2010-11 - EFFECTIVE 12/1/2010							1001810
SALARIES AND BENEFITS							010000
INSURANCE REG TF							2393 1
-STATE		53,753					
SPECIAL CATEGORIES							100000
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF							2393 1
-STATE		4,185					
TOTAL: ADJUSTMENT TO STATE HEALTH							1001810
INSURANCE PREMIUM CONTRIBUTION FOR							
FY 2010-11 - EFFECTIVE 12/1/2010							
TOTAL ISSUE.....		57,938					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	7,092-		2393 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	2,100-		2393 1
=====				
TOTAL: ELIMINATION OF AGENCY PAYALL				1001820
STATUS - EFFECTIVE 7/1/2010				
TOTAL ISSUE.....		9,192-		
=====				
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	5,672-		2393 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	779-		2393 1
=====				
TOTAL: ADJUSTMENT TO STATE LIFE INSURANCE				1001920
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				
TOTAL ISSUE.....		6,451-		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	188-		2393 1
=====				
ANNUALIZATION OF ADMINISTERED				26A0000
FUNDS APPROPRIATIONS				
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	38,395		2393 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	2,989		2393 1
=====				
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT				26A1810
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				
TOTAL ISSUE.....		41,384		
=====				
STATE LIFE INSURANCE ADJUSTMENT				26A1920
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				010000
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	4,051-		2393 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	556-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE FRAUD				43500300
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
TOTAL: STATE LIFE INSURANCE ADJUSTMENT				26A1920
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				
TOTAL ISSUE.....		4,607-		
		=====		
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	196.00			
SALARY RATE.....		17,317,683		2000
		9,385,507		
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,963,168			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	31,594			2021 1
FINANCIAL INST REG TF -STATE	33,946			2275 1
INSURANCE REG TF -STATE	6,188,164			2393 1
REGULATORY TRUST FUND -STATE	305,310			2573 1
-----				
TOTAL POSITIONS.....	123.50			
TOTAL APPRO.....	6,559,014			
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	167,731			2393 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	16,463			2021 1
INSURANCE REG TF -STATE	1,353,388			2393 1
REGULATORY TRUST FUND -STATE	23,655			2573 1
-----				
TOTAL APPRO.....	1,393,506			
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	2,200			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	120			2021 1
FINANCIAL INST REG TF -STATE	355			2275 1
INSURANCE REG TF -STATE	645,374			2393 1
REGULATORY TRUST FUND -STATE	2,766			2573 1
-----				



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	648,615			
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF		-STATE	62,599	2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND		-STATE	525	2021 1
FINANCIAL INST REG TF		-STATE	350	2275 1
INSURANCE REG TF		-STATE	49,947	2393 1
REGULATORY TRUST FUND		-STATE	2,719	2573 1
TOTAL APPRO.....	53,541			
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	123.50			
TOTAL ISSUE.....	8,887,206			
TOTAL SALARY RATE.....	4,963,168			
=====				
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
INSURANCE REG TF		-STATE	27,579	2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	212			2021 1
FINANCIAL INST REG TF -STATE	231			2275 1
INSURANCE REG TF -STATE	41,873			2393 1
REGULATORY TRUST FUND -STATE	2,064			2573 1
TOTAL APPRO.....	44,380			
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	170			2021 1
FINANCIAL INST REG TF -STATE	184			2275 1
INSURANCE REG TF -STATE	33,470			2393 1
REGULATORY TRUST FUND -STATE	1,650			2573 1
TOTAL APPRO.....	35,474			
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	38-			2021 1
FINANCIAL INST REG TF -STATE	41-			2275 1
INSURANCE REG TF -STATE	7,438-			2393 1
REGULATORY TRUST FUND -STATE	367-			2573 1
TOTAL APPRO.....	7,884-			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		13-		2021 1
FINANCIAL INST REG TF -STATE		14-		2275 1
INSURANCE REG TF -STATE		2,572-		2393 1
REGULATORY TRUST FUND -STATE		127-		2573 1
TOTAL APPRO.....		2,726-		
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF -STATE		1,257-		2393 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		121		2021 1
FINANCIAL INST REG TF -STATE		131		2275 1
INSURANCE REG TF -STATE		23,907		2393 1
REGULATORY TRUST FUND -STATE		1,179		2573 1
TOTAL APPRO.....		25,338		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	9-			2021 1
FINANCIAL INST REG TF -STATE	10-			2275 1
INSURANCE REG TF -STATE	1,837-			2393 1
REGULATORY TRUST FUND -STATE	91-			2573 1
TOTAL APPRO.....	1,947-			
FUND SHIFT				3400000
TRANSFER CONSUMER ASSISTANCE FUNDING FROM MULTIPLE TRUST FUNDS TO THE INSURANCE REGULATORY TRUST FUND - ADD				3400130
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	376,082			2393 1
EXPENSES				040000
INSURANCE REG TF -STATE	40,118			2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE	3,241			2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	3,594			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
FUND SHIFT				3400000
TRANSFER CONSUMER ASSISTANCE				
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - ADD				3400130
TOTAL: TRANSFER CONSUMER ASSISTANCE				3400130
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - ADD				
TOTAL ISSUE.....		423,035		

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer Consumer Assistance Funding from Multiple Trust Funds to the Insurance Regulatory Trust Fund - Add

Reference to Long-Range Program Plan: Goal 4 The department will protect the health, safety and welfare of the public.

Issue Description:

This issue requests the fund shift of the Administrative Trust Fund (2021), Financial Institution Regulatory Trust Fund (2275) and the Regulatory Trust Fund (2573) to the Insurance Regulatory Trust Fund (2393). This shift will allow the division to have one trust fund and will simplify the appropriations and the accounting processes. The corresponding issue is 3400140.

Detail of Costs:

Salaries and Benefits:

Position	Title & Pay Grade	Amount
Insurance Regulatory TF (2093)		\$376,082

Expenses (040000)

Quantity	Description	Amount
	Insurance Regulatory TF (2093)	\$ 40,118

Special Category

Contracted Services (100777)

Quantity	Description	Amount

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
FUND SHIFT				3400000
TRANSFER CONSUMER ASSISTANCE				
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - ADD				3400130

Insurance Regulatory TF (2093) \$ 3,241

Special Category:

HR Outsourcing (107040):

Quantity	Description	Amount
-----	-----	-----
Insurance Regulatory TF (2093)		\$ 3,594

Issue Total \$423,035

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 POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							376,082
							-----
							376,082
							=====

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
FUND SHIFT				3400000
TRANSFER CONSUMER ASSISTANCE				
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - DEDUCT				3400140
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		32,037-		2021 1
FINANCIAL INST REG TF -STATE		34,427-		2275 1
REGULATORY TRUST FUND -STATE		309,618-		2573 1
TOTAL APPRO.....		376,082-		
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE		16,463-		2021 1
REGULATORY TRUST FUND -STATE		23,655-		2573 1
TOTAL APPRO.....		40,118-		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		120-		2021 1
FINANCIAL INST REG TF -STATE		355-		2275 1
REGULATORY TRUST FUND -STATE		2,766-		2573 1
TOTAL APPRO.....		3,241-		
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		525-		2021 1
FINANCIAL INST REG TF -STATE		350-		2275 1
REGULATORY TRUST FUND -STATE		2,719-		2573 1
TOTAL APPRO.....		3,594-		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
FUND SHIFT				3400000
TRANSFER CONSUMER ASSISTANCE				
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - DEDUCT				3400140
TOTAL: TRANSFER CONSUMER ASSISTANCE				3400140
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - DEDUCT				
TOTAL ISSUE.....		423,035-		

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Transfer Consumer Assistance Funding from Multiple Trust Funds to the Insurance Regulatory Trust Fund - Deduct

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

This issue requests the fund shift of the Administrative Trust Fund (2021), Financial Institution Regulatory Trust Fund (2275) and the Regulatory Trust Fund (2573) to the Insurance Regulatory Trust Fund (2393). This shift will allow the division to have one trust fund and will simplify the appropriations and the accounting processes. The corresponding issue is 3400130.

Detail of Costs:

Salaries and Benefits:

Position	Title & Pay Grade	Amount
Administrative TF (2021)		(\$ 32,037)
Financial Institutions TF (2275)		(\$ 34,427)
Regulatory TF (2573)		(\$309,618)
Total		(\$376,082)

Expenses (040000)

Quantity	Description	Amount
----------	-------------	--------



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
FUND SHIFT				3400000
TRANSFER CONSUMER ASSISTANCE				
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - DEDUCT				3400140
-----				
Administrative TF (2021)				(\$ 16,463)
Regulatory TF (2573)				(\$ 23,655)
Total				(\$ 40,118)
-----				
Special Category				
Contracted Services (100777)				
Quantity	Description		Amount	
-----	-----		-----	
	Administrative TF (2021)		(\$ 120)	
	Financial Institutions TF (2275)		(\$ 355)	
	Regulatory TF (2573)		(\$ 2,766)	
Total			(\$ 3,241)	
-----				
Special Category:				
HR Outsourcing (107040):				
Quantity	Description		Amount	
-----	-----		-----	
	Administrative TF (2021)		(\$ 525)	
	Financial Institutions TF (2275)		(\$ 350)	
	Regulatory TF (2573)		(\$ 2,719)	
Total			(\$ 3,594)	
-----				
Issue Total				(\$423,035)

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
FUND SHIFT				3400000
TRANSFER CONSUMER ASSISTANCE				
FUNDING FROM MULTIPLE TRUST FUNDS				
TO THE INSURANCE REGULATORY TRUST				
FUND - DEDUCT				3400140

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							32,037-
2275 FINANCIAL INST REG TF							34,427-
2573 REGULATORY TRUST FUND							309,618-
							<u>376,082-</u>
							=====
TOTAL: CONSUMER SAFETY/PROTECTION							<u>1205.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	123.50						2000
SALARY RATE.....		9,006,163					
		4,963,168					
		=====					=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,032,727			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	23.00	1,409,690		2573 1
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE	25,000			2573 1
EXPENSES				040000
REGULATORY TRUST FUND -STATE	406,884			2573 1
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	9,500			2573 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND -STATE	149,425			2573 1
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	4,755			2573 1
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	15,387			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	23.00			
TOTAL ISSUE.....		2,020,641		
TOTAL SALARY RATE.....	1,032,727			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE		15		2573 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		9,874		2573 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		6,805		2573 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		1,818-		2573 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
FUNERAL/CEMETERY SERVICES							43500500
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ADJUSTMENT TO STATE LIFE INSURANCE CONTRIBUTION RATE FOR FY 2010-11 - EFFECTIVE 12/1/2010							1001920
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND      -STATE		809-					2573 1
=====							
SUNCOM SERVICES RATE REDUCTION EXPENSES							1005600
REGULATORY TRUST FUND      -STATE		12-					2573 1
=====							
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS							26A1810
ANNUALIZATION							010000
SALARIES AND BENEFITS							2573 1
REGULATORY TRUST FUND      -STATE		4,861					2573 1
=====							
STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS							26A1920
ANNUALIZATION							010000
SALARIES AND BENEFITS							2573 1
REGULATORY TRUST FUND      -STATE		578-					2573 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
BUDGET TRANSPARENCY AND ACCOUNTABILITY				5500000
BANKING FEES ASSOCIATED WITH ELECTRONIC PAYMENT SERVICES FOR LICENSEES - ADD				5500020
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
REGULATORY TRUST FUND	-STATE	14,100		2573 1

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Budget Entity: Division of Licensure, Sales Appointment and Oversight  
 Division of Funeral and Cemetery Services  
 Division of Workers' Compensation

Issue Title: Banking Fees Associated with Electronic Payment Services for Licensees

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

Divisions of Agents and Agency Services, Workers' Compensation and Funeral and Cemetery Services are moving to an eCommerce payment processing system from an existing system. Currently, fees for licenses are accepted online and processed by Link 2 Gov . Effective January 1, 2011, the department systems (Agent and Agency Licensing Function (AALF), eAppoint) will be incompatible with Link 2 Gov platform changes. Because similar eCommerce payment processing exists with the state term contract vendor, Bank of America , the department will migrate the Link 2 Gov processing to Bank of America.

Currently, when a licensee makes an electronic payment, Link 2 Gov charges an additional electronic payment services fee. Link 2 Gov keeps this fee and sends the license portion of the payment to the Department of Financial Services. For example, if an applicant were paying a \$60 fee and Link 2 Gov charges them a \$2 electronic payment services fee, the applicant would pay a total of \$62 to Link 2 Gov. Link 2 Gov would keep the \$2 and remit the \$60 to the department. The process will change with Bank of America. Bank of America will remit the full payment to the department, and the department will pay Bank of America the electronic payment services fees.

This is the only division which has excess authority in the contractual services category next year. Due to that, the excess authority is being deducted in issue code 5500030. This issue appropriates the authority in the new category.

This issue will also appear in the Division of Licensure, Sales Appointment and Oversight (43500200) and the Division of Workers' Compensation (43600100).

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
FUNERAL/CEMETERY SERVICES							43500500
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
BUDGET TRANSPARENCY AND ACCOUNTABILITY							5500000
BANKING FEES ASSOCIATED WITH ELECTRONIC PAYMENT SERVICES FOR LICENSEES - ADD							5500020

Detail of Costs:

Special Category

Electronic Commerce Fees (100064):

Quantity	Description	Amount
	Funeral and Cemetery Services	\$ 14,100 (2573)

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BANKING FEES ASSOCIATED WITH ELECTRONIC PAYMENT SERVICES FOR LICENSEES - DEDUCT						5500030
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND	-STATE	14,100-				2573 1

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Budget Entity: Division of Licensure, Sales Appointment and Oversight  
 Division of Funeral and Cemetery Services  
 Division of Workers' Compensation

Issue Title: Banking Fees Associated with Electronic Payment Services for Licensees - Deduct

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

Divisions of Licensure, Sales Appointment and Oversight and Funeral and Cemetery Services are moving to an eCommerce payment processing system from an existing system. Fees for licenses are accepted online and processed by Link 2 Gov . Effective January 1, 2011, the department systems (Agent and Agency Licensing Function (AALF), eAppoint) will be

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
FUNERAL/CEMETERY SERVICES				43500500
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
BUDGET TRANSPARENCY				
AND ACCOUNTABILITY				5500000
BANKING FEES ASSOCIATED WITH				
ELECTRONIC PAYMENT SERVICES FOR				
LICENSES - DEDUCT				5500030

incompatible with Link 2 Gov platform changes. Because similar eCommerce payment processing exists with the State Term Contract vendor, Bank of America , the department will migrate the Link 2 Gov processing to Bank of America.

Currently, when a licensee makes an electronic payment , Link 2 Gov charges an additional electronic payment services fee. Link 2 Gov keeps this fee and sends the license portion of the payment to the Department of Financial Services. For example, if an applicant were paying a \$60 fee and Link 2 Gov charges them a \$2 electronic payment services fee, the applicant would pay a total of \$62 to Link 2 Gov. Link 2 Gov would keep the \$2 and remit the \$60 to the department. The process will change with Bank of America. Bank of America will remit the full payment to the department, and the department will pay Bank of America the electronic payment services fees.

This is the only division which has excess authority in the contractual services category next year. Due to that, the excess authority is being deducted in this issue. Issue code 5500020 will appropriate the authority in the new category.

This issue will also appear in the Division of Licensure, Sales Appointment and Oversight (43500200) and the Division of Workers' Compensation (43600100).

Detail of Costs:

Special Category		
Contracted Services		
Quantity	Description	Amount
-----	-----	-----
	Funeral and Cemetery Services	(\$ 14,100) (2573)

Special Category		
Electronic Commerce Fees (100064):		
Quantity	Description	Amount
-----	-----	-----
	Licensure Sales Appointment and Oversight	\$2,100,000 (2393)
	Funeral and Cemetery Services	\$ 14,100 (2573)
	Workers' Compensation	\$ 288,000 (2795)

Issue Total \$2,388,000

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	23.00			
TRUST FUNDS.....			2,038,979	2000
SALARY RATE.....			1,032,727	
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
INTER-AGENCY REORGANIZATIONS				1700000
TRANSFER OF THE DIVISION OF PUBLIC				
ASSISTANCE FRAUD FROM FDLE				1701100
SALARY RATE				000000
SALARY RATE.....	4,291,185			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	1,649,075			1000 1
ADMINISTRATIVE TRUST FUND -RECPNT	3,327,509			2021 9
TOTAL POSITIONS.....	63.00			
TOTAL APPRO.....	4,976,584			
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	1,406			1000 1
ADMINISTRATIVE TRUST FUND -RECPNT	144			2021 9
TOTAL APPRO.....	1,550			
	=====	=====	=====	
EXPENSES				040000
GENERAL REVENUE FUND -STATE	491,104			1000 1
ADMINISTRATIVE TRUST FUND -RECPNT	475,869			2021 9
TOTAL APPRO.....	966,973			
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	73,058			1000 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	21,529			1000 1
ADMINISTRATIVE TRUST FUND -RECPNT	527			2021 9
	-----	-----	-----	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
INTER-AGENCY REORGANIZATIONS				1700000
TRANSFER OF THE DIVISION OF PUBLIC				
ASSISTANCE FRAUD FROM FDLE				1701100
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	22,056			
=====				
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE	9,760			1000 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	18,141			1000 1
ADMINISTRATIVE TRUST FUND -RECPNT	24,892			2021 9
TOTAL APPRO.....	43,033			
=====				
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
GENERAL REVENUE FUND -STATE	34,204			1000 1
ADMINISTRATIVE TRUST FUND -RECPNT	109,722			2021 9
TOTAL APPRO.....	143,926			
=====				
TOTAL: TRANSFER OF THE DIVISION OF PUBLIC				1701100
ASSISTANCE FRAUD FROM FDLE				
TOTAL POSITIONS.....	63.00			
TOTAL ISSUE.....	6,236,940			
TOTAL SALARY RATE.....	4,291,185			
=====				

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Division of Public Assistance Fraud

Issue Title: Transfer of the Division of Public Assistance Fraud from Florida Department of Law Enforcement

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
INTER-AGENCY REORGANIZATIONS				1700000
TRANSFER OF THE DIVISION OF PUBLIC				
ASSISTANCE FRAUD FROM FDLE				1701100

Reference to Long-Range Program Plan: Goal 4 The department will protect the health, safety and welfare of the public.

Issue Description:

During the 2010 legislative session, Senate Bill 1484 (Law of Florida 2010-144), an act relating to Medicaid, was signed into law by the Governor on May 28, 2010. Section 17 of the bill requires the transfer of all powers, duties, functions, records, offices, personnel, property, pending issues and existing contracts, administrative authority, administrative rules, and unexpended balances of appropriations, allocations, and other funds relating to public assistance fraud in the Department of Law Enforcement (FDLE) to be transferred by type two transfer, to the Division of Public Assistance Fraud in the Department of Financial Services (DFS) by January 1, 2011. A budget amendment is being done for this transfer in the current state fiscal year. This issue transfers all the budget for fiscal year 2011-2012.

DFS has created the new Division of Public Assistance Fraud within the Licensing and Consumer Protection Program in order to comply with SB 1484.

Detail of Costs:

Fiscal Year 2011-2012

Salaries and Benefits:

Position	Title & Pay Grade	Amount
63	P101 General Revenue	\$1,649,075
	Administrative Trust Fund	\$3,327,509
	Total	\$4,976,584

OPS:

Quantity	Description	Amount
	General Revenue	\$ 1,406
	Administrative Trust Fund	\$ 144
	Total	\$ 1,550

Expenses:

Quantity	Description	Amount
	General Revenue	\$ 491,104
	Administrative Trust Fund	\$ 473,669
	Total	\$ 966,973

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
INTER-AGENCY REORGANIZATIONS				1700000
TRANSFER OF THE DIVISION OF PUBLIC				
ASSISTANCE FRAUD FROM FDLE				1701100

OCO:

Quantity	Description	Amount
-----	-----	-----
	General Revenue	\$ 73,058

Contracted Services:

Quantity	Description	Amount
-----	-----	-----
	General Revenue	\$ 21,529
	Administrative Trust Fund	\$ 527
	Total	\$ 22,056

Risk Management Insurance

Quantity	Description	Amount
-----	-----	-----
	General Revenue	\$ 9,760

TR/DMS/HR SVCS/STW Contract:

Quantity	Description	Amount
-----	-----	-----
	General Revenue	\$ 18,141
	Administrative Trust Fund	\$ 24,892
	Total	\$ 43,033

Data Processing Services

Other Data Processing Services:

Quantity	Description	Amount
-----	-----	-----
	General Revenue	\$ 34,204
	Administrative Trust Fund	\$ 108,722
	Total	\$ 143,926

Issue Total \$6,236,940

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
INTER-AGENCY REORGANIZATIONS				1700000
TRANSFER OF THE DIVISION OF PUBLIC ASSISTANCE FRAUD FROM FDLE				1701100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N0001 001	63.00					0.00	
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N0002 001	0.00	3,327,509			3,327,509	0.00	3,327,509
N0003 001	0.00	1,649,075			1,649,075	0.00	1,649,075
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							3,327,509
1000 GENERAL REVENUE FUND							1,649,075
	63.00	4,976,584			4,976,584		4,976,584
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
N0004 001		685,399-					
TOTAL SALARY RATE		685,399-					

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER THE ADMINISTRATIVE TRUST FUND TO THE FEDERAL GRANTS TRUST FUND - ADD				34F0010
SALARIES AND BENEFITS				010000
	63.00			
FEDERAL GRANTS TRUST FUND -RECPNT		3,327,509		2261 9
=====				
OTHER PERSONAL SERVICES				030000
FEDERAL GRANTS TRUST FUND -RECPNT		144		2261 9
=====				
EXPENSES				040000
FEDERAL GRANTS TRUST FUND -RECPNT		475,869		2261 9
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FEDERAL GRANTS TRUST FUND -RECPNT		527		2261 9
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
FEDERAL GRANTS TRUST FUND -RECPNT		24,892		2261 9
=====				
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
FEDERAL GRANTS TRUST FUND -RECPNT		109,722		2261 9
=====				
TOTAL: TRANSFER THE ADMINISTRATIVE TRUST FUND TO THE FEDERAL GRANTS TRUST FUND - ADD				34F0010
TOTAL POSITIONS.....	63.00			
TOTAL ISSUE.....		3,938,663		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER THE ADMINISTRATIVE TRUST				
FUND TO THE FEDERAL GRANTS TRUST				
FUND - ADD				34F0010
*****				

AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Budget Entity: Division of Public Assistance Fraud

Issue Title: Transfer of the Division of Public Assistance Fraud from Florida Department of Law Enforcement

Reference to Long-Range Program Plan: Goal 4 The department will protect the health, safety and welfare of the public.

Issue Description:

During the 2010 legislative session, Senate Bill 1484 (Law of Florida 2010-144), an act relating to Medicaid, was signed into law by the Governor on May 28, 2010. Section 17 of the bill requires the transfer of all powers, duties, functions, records, offices, personnel, property, pending issues and existing contracts, administrative authority, administrative rules, and unexpended balances of appropriations, allocations, and other funds relating to public assistance fraud in the Department of Law Enforcement (FDLE) to be transferred by type two transfer, to the Division of Public Assistance Fraud (PAF) in the Department of Financial Services (DFS) by January 1, 2011. A budget amendment, EOG Log number B0144, is pending for the December LBC.

The PAF unit, while at FDLE, used multiple trust funds for their revenues. Many of those revenues were specific to FDLE and will not transfer over to DFS. The original budget amendment being processed at the December LBC merged all trust fund revenues from FDLE into the Administrative Trust Fund at DFS. At this time, that is the only trust fund that DFS has created that would be proper for the revenues.

The majority of revenues for the PAF unit are federal funds from other state agencies. A trust fund bill will be drafted for the 2011 Legislature to create a new Federal Grants Trust Fund in the department. This issue transfers all the trust fund authority from the Administrative Trust Fund to the new Federal Grants Trust Fund.

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER THE ADMINISTRATIVE TRUST FUND TO THE FEDERAL GRANTS TRUST FUND - ADD				34F0010

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0001 001	63.00					0.00	
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0004 001	0.00	3,327,509			3,327,509	0.00	3,327,509
TOTALS FOR ISSUE BY FUND							
2261 FEDERAL GRANTS TRUST FUND							3,327,509
	63.00	3,327,509			3,327,509		3,327,509
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C0005 001		3,327,509-					
TOTAL SALARY RATE		3,327,509-					

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER THE ADMINISTRATIVE TRUST FUND TO THE FEDERAL GRANTS TRUST FUND - DEDUCT				34F0020
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -RECPNT	63.00-	3,327,509-		2021 9
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -RECPNT	144-			2021 9
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -RECPNT	475,869-			2021 9
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -RECPNT	527-			2021 9
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -RECPNT	24,892-			2021 9
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
ADMINISTRATIVE TRUST FUND -RECPNT	109,722-			2021 9
TOTAL: TRANSFER THE ADMINISTRATIVE TRUST FUND TO THE FEDERAL GRANTS TRUST FUND - DEDUCT				34F0020
TOTAL POSITIONS.....	63.00-			
TOTAL ISSUE.....	3,938,663-			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO				
SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER THE ADMINISTRATIVE TRUST				
FUND TO THE FEDERAL GRANTS TRUST				
FUND - DEDUCT				34F0020
*****				

AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Division of Public Assistance Fraud

Issue Title: Transfer of the Division of Public Assistance Fraud from Florida Department of Law Enforcement

Reference to Long-Range Program Plan: Goal 4 The department will protect the health, safety and welfare of the public.

Issue Description:

During the 2010 legislative session, Senate Bill 1484 (Law of Florida 2010-144), an act relating to Medicaid, was signed into law by the Governor on May 28, 2010. Section 17 of the bill requires the transfer of all powers, duties, functions, records, offices, personnel, property, pending issues and existing contracts, administrative authority, administrative rules, and unexpended balances of appropriations, allocations, and other funds relating to public assistance fraud in the Department of Law Enforcement (FDLE) to be transferred by type two transfer, to the Division of Public Assistance Fraud in the Department of Financial Services (DFS) by January 1, 2011. A budget amendment, EOG Log number B0144, is pending for the December LBC.

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The majority of revenues for the PAF unit are federal funds from other state agencies. A trust fund bill will be drafted for the 2011 Legislature to create a new Federal Grants Trust Fund in the department. This issue transfers all the trust fund authority from the Administrative Trust Fund to the new Federal Grants Trust Fund.

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES				34F0000
TRANSFER THE ADMINISTRATIVE TRUST FUND TO THE FEDERAL GRANTS TRUST FUND - DEDUCT				34F0020

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0001 001	63.00-					0.00	
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C0003 001	0.00	3,327,509-			3,327,509-	0.00	3,327,509-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							3,327,509-
	63.00-	3,327,509-			3,327,509-		3,327,509-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
C0004 001		3,327,509					
TOTAL SALARY RATE		3,327,509					

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TOTAL: CONSUMER SAFETY/PROTECTION							<u>1205.00.00.00</u>
BY FUND TYPE							
GENERAL REVENUE FUND	2,298,277						1000
TRUST FUNDS	3,938,663						2000
TOTAL POSITIONS.....	63.00						
TOTAL PROG COMP.....		6,236,940					
TOTAL SALARY RATE.....	4,291,185						

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	12,926,823			
=====				
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF	-STATE	17,134,010		2795 1
	-MATCH	143,003		2795 2
	-FEDERL	143,003		2795 3
-----				
TOTAL WORKERS' COMP ADMIN TF		17,420,016		2795
=====				
WORKERS' COMP SPEC DISAB TF-STATE		964,446		2798 1
=====				
TOTAL POSITIONS.....	342.00			
TOTAL APPRO.....	18,384,462			
=====				
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF	-STATE	237,570		2795 1
WORKERS' COMP SPEC DISAB TF-STATE		17,550		2798 1
-----				
TOTAL APPRO.....	255,120			
=====				
EXPENSES				040000
WORKERS' COMP ADMIN TF	-STATE	3,593,350		2795 1
	-MATCH	39,085		2795 2
	-FEDERL	39,085		2795 3
-----				
TOTAL WORKERS' COMP ADMIN TF		3,671,520		2795
=====				
WORKERS' COMP SPEC DISAB TF-STATE		129,150		2798 1
=====				
TOTAL APPRO.....	3,800,670			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
OPERATING CAPITAL OUTLAY				060000
WORKERS' COMP ADMIN TF -STATE	200,021			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	16,851			2798 1
TOTAL APPRO.....	216,872			
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	1,994,090			2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	275,328			2795 1
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF -STATE	3,627,499			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	86,360			2798 1
TOTAL APPRO.....	3,713,859			
RISK MANAGEMENT INSURANCE				103241
WORKERS' COMP ADMIN TF -STATE	225,714			2795 1
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF -STATE	139,786			2795 1
WORKERS'COMP SPEC DISAB TF-STATE	8,359			2798 1
TOTAL APPRO.....	148,145			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				1102.02.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	342.00			
TOTAL ISSUE.....	29,014,260			
TOTAL SALARY RATE.....	12,926,823			
=====				
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
WORKERS' COMP ADMIN TF -STATE	122,612			2795 1
=====				
FLORIDA RETIREMENT SYSTEM				1001220
CONTRIBUTION ADJUSTMENT FOR				010000
FY 2010-11 - EFFECTIVE 7/1/2010				
SALARIES AND BENEFITS				
WORKERS' COMP ADMIN TF -STATE	120,149			2795 1
-MATCH	1,002			2795 2
-FEDERL	1,002			2795 3
TOTAL WORKERS' COMP ADMIN TF	122,153			2795
WORKERS' COMP SPEC DISAB TF-STATE	6,768			2798 1
TOTAL APPRO.....	128,921			
=====				
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	35,248			2795 1
=====				
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	2,230			2795 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				1102.02.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
TOTAL: FLORIDA RETIREMENT SYSTEM				1001220
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				
TOTAL ISSUE.....		166,399		
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF	-STATE	92,969		2795 1
-MATCH		775		2795 2
-FEDERL		775		2795 3
TOTAL WORKERS' COMP ADMIN TF		94,519		2795
WORKERS' COMP SPEC DISAB TF-STATE		5,237		2798 1
TOTAL APPRO.....		99,756		
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF	-STATE	6,778		2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF	-STATE	965		2795 1
TOTAL: ADJUSTMENT TO STATE HEALTH				1001810
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				
TOTAL ISSUE.....		107,499		



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	20,245-			2795 1
-MATCH	168-			2795 2
-FEDERL	168-			2795 3
TOTAL WORKERS' COMP ADMIN TF	20,581-			2795
WORKERS' COMP SPEC DISAB TF-STATE	1,140-			2798 1
TOTAL APPRO.....	21,721-			
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	1,260-			2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	560-			2795 1
TOTAL: ELIMINATION OF AGENCY PAYALL				1001820
STATUS - EFFECTIVE 7/1/2010				
TOTAL ISSUE.....	23,541-			
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	6,770-			2795 1
-MATCH	57-			2795 2
-FEDERL	57-			2795 3
TOTAL WORKERS' COMP ADMIN TF	6,884-			2795
WORKERS' COMP SPEC DISAB TF-STATE	382-			2798 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
TOTAL APPRO.....		7,266-		
=====				
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE		646-		2795 1
=====				
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE		90-		2795 1
=====				
TOTAL: ADJUSTMENT TO STATE LIFE INSURANCE				1001920
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				
TOTAL ISSUE.....		8,002-		
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE		4,289-		2795 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12 POS	AMOUNT	AGY REQ N/R FY 2011-12 POS	AMOUNT	AG REQ ANZ FY 2011-12 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: WORKERS' COMPENSATION							43600000
WORKERS' COMPENSATION							43600100
ECONOMIC OPPORTUNITIES							11
WORKERS' COMPENSATION							<u>1102.02.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS							
ANNUALIZATION							26A1810
SALARIES AND BENEFITS							010000
WORKERS' COMP ADMIN TF	-STATE	66,406					2795 1
-MATCH		554					2795 2
-FEDERL		554					2795 3
TOTAL WORKERS' COMP ADMIN TF		67,514					2795
WORKERS' COMP SPEC DISAB TF-STATE		3,741					2798 1
TOTAL APPRO.....		71,255					
SPECIAL CATEGORIES							100000
TR DIST CT OF AP-WORK COMP							100507
WORKERS' COMP ADMIN TF	-STATE	4,841					2795 1
TR JAC - PROS WRKS COMP FR							100526
WORKERS' COMP ADMIN TF	-STATE	689					2795 1
TOTAL: STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS ANNUALIZATION							26A1810
TOTAL ISSUE.....		76,785					

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PGM: WORKERS' COMPENSATION							43600000
WORKERS' COMPENSATION							43600100
ECONOMIC OPPORTUNITIES							11
WORKERS' COMPENSATION							<u>1102.02.00.00</u>
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS							26A0000
STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS							
ANNUALIZATION SALARIES AND BENEFITS							26A1920 010000
WORKERS' COMP ADMIN TF	-STATE	4,836-					2795 1
-MATCH		41-					2795 2
-FEDERL		41-					2795 3
TOTAL WORKERS' COMP ADMIN TF		4,918-					2795
WORKERS' COMP SPEC DISAB TF-STATE		273-					2798 1
TOTAL APPRO.....		5,191-					
SPECIAL CATEGORIES							100000
TR DIST CT OF AP-WORK COMP							100507
WORKERS' COMP ADMIN TF	-STATE	461-					2795 1
TR JAC - PROS WRKS COMP FR							100526
WORKERS' COMP ADMIN TF	-STATE	64-					2795 1
TOTAL: STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS ANNUALIZATION							26A1920
TOTAL ISSUE.....		5,716-					

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST	AGY REQ N/R	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: WORKERS' COMPENSATION							43600000
WORKERS' COMPENSATION							43600100
ECONOMIC OPPORTUNITIES							11
WORKERS' COMPENSATION							<u>1102.02.00.00</u>
BUDGET TRANSPARENCY AND ACCOUNTABILITY							5500000
BANKING FEES ASSOCIATED WITH ELECTRONIC PAYMENT SERVICES FOR LICENSEES - ADD SPECIAL CATEGORIES							5500020 100000 100064
ELECTRONIC COMMERCE FEES							
WORKERS' COMP ADMIN TF -STATE		288,000					2795 1

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Budget Entity: Division of Licensure, Sales Appointment and Oversight  
 Division of Funeral and Cemetery Services  
 Division of Workers' Compensation

Issue Title: Banking Fees Associated with Electronic Payment Services for Licensees

Reference to Long-Range Program Plan: Goal 3 - The department will establish processes that reduce risk in its financial, information and operational systems.

Issue Description:

Divisions of Agents and Agency Services, Worker's Compensation and Funeral and Cemetery Services are moving to an eCommerce payment processing system from an existing system. This is a new system for Workers' Compensation. Fees for licenses are accepted online and processed by Link 2 Gov . Effective January 1, 2011, the department systems (Agent and Agency Licensing Function (AALF), eAppoint) will be incompatible with Link 2 Gov platform changes. Because similar eCommerce payment processing exists with the State Term Contract vendor, Bank of America , the department will migrate the Link 2 Gov processing to Bank of America.

Currently, when a licensee makes an electronic payment , Link 2 Gov charges an additional electronic payment services fee. Link 2 Gov keeps this fee and sends the license portion of the payment to the Department of Financial Services. For example, if an applicant were paying a \$60 fee and Link 2 Gov charges them a \$2 electronic payment services fee, the applicant would pay a total of \$62 to Link 2 Gov. Link 2 Gov would keep the \$2 and remit the \$60 to the department. The process will change with Bank of America. Bank of America will remit the full payment to the department, and the department will pay Bank of America the electronic payment services fees.

Workers' Compensation did not require a budget amendment for the current fiscal year, there was sufficient authority. However, the division feels they will not have excess contractual services authority in Fiscal Year 2011-12.

This issue will also appear in the Division of Licensure, Sales Appointment and Oversight (43500200) and the Division of Funeral and Cemetery Services (43500500).

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
ECONOMIC OPPORTUNITIES				11
WORKERS' COMPENSATION				<u>1102.02.00.00</u>
BUDGET TRANSPARENCY				
AND ACCOUNTABILITY				5500000
BANKING FEES ASSOCIATED WITH				
ELECTRONIC PAYMENT SERVICES FOR				
LICENSEES - ADD				5500020

Detail of Costs:

Special Category  
 Contracted Services

Quantity	Description	Amount
	Funeral and Cemetery Services	(\$ 14,100) (2573)

Special Category  
 Electronic Commerce Fees (100064):

Quantity	Description	Amount
	Licensure Sales Appointment and Oversight	\$2,100,000 (2393)
	Funeral and Cemetery Services	\$ 14,100 (2573)
	Workers' Compensation	\$ 288,000 (2795)

Issue Total \$2,388,000

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TOTAL: WORKERS' COMPENSATION				<u>1102.02.00.00</u>
BY FUND TYPE				
	342.00			
TRUST FUNDS.....	29,734,007			2000
SALARY RATE.....	12,926,823			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				0000000
SALARY RATE.....	11,976,851			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	255.00			
-STATE	15,929,261			2393 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF		150,000		
-STATE				2393 1
	=====	=====	=====	
EXPENSES				040000
INSURANCE REG TF		2,831,633		
-STATE				2393 1
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF		2,000		
-STATE				2393 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF		623,512		
-STATE				2393 1
	=====	=====	=====	
PROPERTY/CASUALTY EXAMS				100523
INSURANCE REG TF		4,651,763		
-STATE				2393 1
	=====	=====	=====	
LIFE AND HEALTH EXAMS				100524
INSURANCE REG TF		50,000		
-STATE				2393 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	728,016		2393 1
		=====		
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	121,462		2393 1
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	110,555		2393 1
		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		255.00		
TOTAL ISSUE.....		25,198,202		
TOTAL SALARY RATE.....		11,976,851		
		=====		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	5,819-		2393 1
		=====		
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	116,106		2393 1
		=====		



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	73,171		2393 1
		=====		
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	25,504-		2393 1
		=====		
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	11,455-		2393 1
		=====		
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	270-		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	52,265		2393 1
		=====		
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	8,182-		2393 1
		=====		
OFFICE OF INSURANCE REGULATION				
PROGRAM				4800000
POSITION(S) FOR LIFE AND HEALTH				
INSURANCE PROJECT REVIEW BUSINESS				
UNIT				4800010
SALARY RATE				000000
SALARY RATE.....		154,598		
		=====		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3.00		
		212,562		2393 1
		=====		
EXPENSES				040000
INSURANCE REG TF	-STATE	31,359	11,694	2393 1
		=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
OFFICE OF INSURANCE REGULATION				4800000
PROGRAM				
POSITION(S) FOR LIFE AND HEALTH				4800010
INSURANCE PROJECT REVIEW BUSINESS				100000
UNIT				107040
SPECIAL CATEGORIES				
TR/DMS/HR SVCS/STW CONTRCT				
INSURANCE REG TF	-STATE	1,068		2393 1
=====				
TOTAL: POSITION(S) FOR LIFE AND HEALTH				4800010
INSURANCE PROJECT REVIEW BUSINESS				
UNIT				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	244,989	11,694		
TOTAL SALARY RATE.....	154,598			
=====				

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Budget Entity: Compliance and Enforcement Office of Insurance Regulation

Issue Title: Position(s) for the Life & Health Product Review Business Unit

Reference to Long Range Program Plan: Goal 1: The availability of insurance products that are not discriminatory, excessive or inadequately priced.

The Office of Insurance Regulation (Office) is responsible for regulation, compliance, and enforcement of statutes related to the business of insurance and the monitoring of industry markets. Business Units within the office are organized by regulatory expertise related to life and health, property and casualty, specialty lines and other regulated insurance entities. The office fulfills its mission to protect the public through its regulation of company solvency, policy forms and rates, market conduct performance and new company entrants to the Florida market.

Issue Description:

The Life and Health Product Review (LHPR) business unit is responsible for the review of insurance company policy forms and rates for life, annuities and health policies that will be issued to Florida residents. The business unit receives rate filings from over 900 companies licensed to sell life and health insurance in Florida, including Health Maintenance Organizations (HMOs), Discount Medical Plan Organizations (DMPOs), Prepaid Limited Health Service Plans, Continuing Care Retirement Centers, as well as contracts and advertisements for Medicare Supplement, Long-Term Care and small group health plans.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
OFFICE OF INSURANCE REGULATION				4800000
PROGRAM				4800010
POSITION(S) FOR LIFE AND HEALTH				
INSURANCE PROJECT REVIEW BUSINESS				
UNIT				4800010

Since the creation of the office in 2003, the State of Florida has increased its number of insurance entities from 3400 to 3972. However, the Office's resources have been reduced from 315 positions in 2007 to 290 positions in 2010 with a substantial increase in regulatory responsibility. This responsibility has again increased significantly with the recent passage of federal health care reform known as the Affordable Care Act (ACA).

On September 23, 2010, some of the key ACA reforms were automatically implemented including: 1) no lifetime limits on health insurance benefits; 2) restricted limits on annual benefits; 3) first-dollar coverage for certain preventative services; 4) strict limitations on rescissions; 5) dependent coverage up to age 26 (current Florida law allows dependent coverage up to age 25, with optional coverage up to age 30); and 6) the elimination of pre-existing conditions for children. Technically these benefits under the ACA are automatic, but in practical terms, the Office has to deal with additional form filings for small group, individual, large group, and out-of-state group coverage as those forms have to comply with the law; the Office will need to expend considerable resources to ensure that health insurers operating in Florida are complying with these new requirements. Each year through 2014 there will be new additional requirements of the ACA to implement as they phase in to effect that will strain the Office's resources.

LHPR cannot continue to meet statutory requirements and the requirements of the ACA with its current staffing level. Rates and Forms filed by insurance companies may not be reviewed and approved in compliance with statutory time lines. The Office requests three (3) additional positions to ensure it meets its statutorily prescribed mission. These positions are an actuary, a Research and Statistics Consultant and an Insurance Analyst II.

Ultimate Outcome:

With the addition of three (3) positions, LHPR believes it will have the necessary resources to continue to execute its core mission of timely and thorough review of all life and health insurance form and rate filings submitted for use in the state of Florida.

Detail of Costs:

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
1	Actuary, Pay Grade 560/3554 at 10% Over Base	\$109,190	
1	Research and Statistics Consultant, 3142/023	\$ 55,217	
1	Insurance Analyst II, 3518/020	\$ 48,155	

	COL A03 AGY REQUEST FY 2011-12	COL A04 AGY REQ N/R FY 2011-12	COL A05 AG REQ ANZ FY 2011-12	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
OFFICE OF INSURANCE REGULATION				
PROGRAM				4800000
POSITION(S) FOR LIFE AND HEALTH				
INSURANCE PROJECT REVIEW BUSINESS				
UNIT				4800010

Total \$212,562

Expenses:

Quantity	Description	Amount	Non-Recurring
3	Professional expense packages	\$ 31,359	\$11,694

Human Resources:

Quantity	Description	Amount
3	HR Packages at \$356	\$ 1,068

Issue Total \$244,989 \$11,694

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
NEW POSITIONS							
3142 RESEARCH & STATISTICS CONSULTANT							
N0002 001	1.00	38,661		16,556	55,217	0.00	55,217
3518 INSURANCE ANALYST II							
N1003 001	1.00	32,698		15,457	48,155	0.00	48,155
3554 ACTUARY							
N0001 001	1.00	83,239		25,951	109,190	0.00	109,190

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP &amp; ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
OFFICE OF INSURANCE REGULATION				
PROGRAM				4800000
POSITION(S) FOR LIFE AND HEALTH				
INSURANCE PROJECT REVIEW BUSINESS				
UNIT				4800010

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							212,562
	3.00	154,598		57,964	212,562		212,562

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TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	258.00						
SALARY RATE.....	25,633,503	11,694					2000
	12,131,449						

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
EXEC DIR & SUPORT SERVICES				43900120
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,078,180			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	35.00			
INSURANCE REG TF -STATE	2,665,811			2393 1
EXPENSES				040000
INSURANCE REG TF	144,469			
INSURANCE REG TF -STATE				2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	117,710			
INSURANCE REG TF -STATE				2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	15,449			
INSURANCE REG TF -STATE				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	35.00			
TOTAL ISSUE.....	2,943,439			
TOTAL SALARY RATE.....	2,078,180			
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
INSURANCE REG TF	21,046			
INSURANCE REG TF -STATE				2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR &amp; SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	9,470		2393 1
		=====		
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	6,381-		2393 1
		=====		
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,886-		2393 1
		=====		
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
INSURANCE REG TF	-STATE	12-		2393 1
		=====		



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR &amp; SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	6,764		2393 1
=====				
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,061-		2393 1
=====				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....		35.00		
SALARY RATE.....		2,969,379		2000
SALARY RATE.....		2,078,180		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,820,403			
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF	118.00			
FINANCIAL INST REG TF	-STATE	8,845,474		2275 1
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF	-STATE	872,000		2275 1
EXPENSES				040000
FINANCIAL INST REG TF	-STATE	1,804,731		2275 1
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF	-STATE	7,130		2275 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF	-STATE	367,012		2275 1
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF	-STATE	31,996		2275 1
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF	-STATE	52,551		2275 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	118.00						
TOTAL ISSUE.....	11,980,894						
TOTAL SALARY RATE.....	6,820,403						
=====							
CASUALTY INSURANCE PREMIUM							1001090
ADJUSTMENT							100000
SPECIAL CATEGORIES							103241
RISK MANAGEMENT INSURANCE							
FINANCIAL INST REG TF -STATE	87,102						2275 1
=====							
FLORIDA RETIREMENT SYSTEM							1001220
CONTRIBUTION ADJUSTMENT FOR							010000
FY 2010-11 - EFFECTIVE 7/1/2010							
SALARIES AND BENEFITS							
FINANCIAL INST REG TF -STATE	58,592						2275 1
=====							
ADJUSTMENT TO STATE HEALTH							1001810
INSURANCE PREMIUM CONTRIBUTION FOR							010000
FY 2010-11 - EFFECTIVE 12/1/2010							
SALARIES AND BENEFITS							
FINANCIAL INST REG TF -STATE	30,927						2275 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2011-12	POS	AGY REQ N/R FY 2011-12	POS	AG REQ ANZ FY 2011-12	POS	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ELIMINATION OF AGENCY PAYALL							1001820
STATUS - EFFECTIVE 7/1/2010							010000
SALARIES AND BENEFITS							
FINANCIAL INST REG TF	-STATE	8,069-					2275 1
=====							
ADJUSTMENT TO STATE LIFE INSURANCE							
CONTRIBUTION RATE FOR FY 2010-11 -							1001920
EFFECTIVE 12/1/2010							010000
SALARIES AND BENEFITS							
FINANCIAL INST REG TF	-STATE	5,160-					2275 1
=====							
SUNCOM SERVICES RATE REDUCTION							1005600
EXPENSES							040000
FINANCIAL INST REG TF	-STATE	153-					2275 1
=====							
ADJUSTMENTS TO CURRENT YEAR							
ESTIMATED EXPENDITURES							1600000
REAPPROVAL OF BUDGET AMENDMENT TO							
REALIGN OFFICE OF FINANCIAL							
REGULATION LEGAL STAFF - ADD							1600860
SALARY RATE							000000
SALARY RATE.....		167,449					
=====							
SALARIES AND BENEFITS							010000
FINANCIAL INST REG TF	-STATE	3.00	180,000				2275 1
=====							
TOTAL: REAPPROVAL OF BUDGET AMENDMENT TO							1600860
REALIGN OFFICE OF FINANCIAL							
REGULATION LEGAL STAFF - ADD							
TOTAL POSITIONS.....	3.00						
TOTAL ISSUE.....		180,000					
TOTAL SALARY RATE.....		167,449					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				1600860

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Realign Office of Financial Regulation Legal Staff

Reference to Long Range Program Plan 2011-15: This request will further the Office's goals:  
 Goal #1: To enforce compliance with state laws related to the financial industry

Issue Description:  
 This is a technical issue.

This issue requests the re-approval of Budget Amendment Executive Office of the Governor (EOG) number B0138. The Office of Financial Regulation did this to improve the delivery of legal services within the Office of Financial Regulation (OFR), OFR is requesting to realign its structure to integrate attorneys and legal support into the functional areas they serve. This will assist the divisions in carrying out their core mission of enforcing the financial regulation statutes.

This amendment request the transfer of 3 positions and the authorized rate for these positions.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0712 ADMINISTRATIVE ASSISTANT II							
C1639 001	1.00	36,691		16,193	52,884	0.00	52,884
7738 SENIOR ATTORNEY							
C4626 001	1.00	59,650		21,595	81,245	0.00	81,245
7739 EXECUTIVE SENIOR ATTORNEY							
C1642 001	1.00	71,108		23,711	94,819	0.00	94,819

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				1600860

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							228,948
2275 FINANCIAL INST REG TF	3.00	167,449		61,499	228,948		228,948
OTHER SALARY AMOUNT							48,948-
2275 FINANCIAL INST REG TF							180,000

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY &amp; SOUND ST BKG SYST</u>				43900530
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE		22,091		2275 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE		3,686-		2275 1
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	121.00			
SALARY RATE.....		12,342,538		2000
		6,987,852		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,987,120			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
64.00				
ADMINISTRATIVE TRUST FUND -STATE	3,687,436			2021 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	5,321			2021 1
	=====	=====	=====	
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	706,436			2021 1
FED LAW ENFORCEMENT TF -FEDERL	51,758			2719 3
TOTAL APPRO.....	758,194			
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	10,600			2021 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	16,354			2021 1
	=====	=====	=====	
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	17,353			2021 1
	=====	=====	=====	



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	25,366			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	64.00			
TOTAL ISSUE.....	4,520,624			
TOTAL SALARY RATE.....	2,987,120			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	47,240			2021 1
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	28,996			2021 1
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	17,259			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	2,875-			2021 1
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	2,005-			2021 1
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	70-			2021 1
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	12,328			2021 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	1,432-			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	64.00			
TRUST FUNDS.....		4,620,065		2000
SALARY RATE.....	2,987,120			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,845,597			
=====				
SALARIES AND BENEFITS				010000
50.00				
ADMINISTRATIVE TRUST FUND -STATE	3,616,017			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	438,247			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	1,000			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	11,048			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	13,286			2021 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	19,823			2021 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	50.00			
TOTAL ISSUE.....	4,099,421			
TOTAL SALARY RATE.....	2,845,597			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	36,168			2021 1
=====				
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	29,542			2021 1
=====				
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	16,029			2021 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	9,865-			2021 1
=====				
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	4,212-			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE		18-		2021 1
=====		=====		=====
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - DEDUCT				1600850
SALARY RATE				000000
SALARY RATE.....	854,171-			
=====		=====		=====
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	15.00-	840,000-		2021 1
=====		=====		=====
TOTAL: REAPPROVAL OF BUDGET AMENDMENT TO				1600850
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - DEDUCT				
TOTAL POSITIONS.....	15.00-			
TOTAL ISSUE.....		840,000-		
TOTAL SALARY RATE.....	854,171-			
=====		=====		=====

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AGENCY ISSUE NARRATIVE:  
 2011-2012 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Re-approval of Budget Amendment to Realign Office of Financial Regulation Legal Staff - Deduct

Reference to Long Range Program Plan 2011-15: This request will further the Office's goals:  
 Goal #1: To enforce compliance with state laws related to the financial industry

Issue Narrative:  
 This is a technical issue.

This issue requests the re-approval of Budget Amendment Executive Office of the Governor (EOG) number B0138.  
 The Office of Financial Regulation did this to improve the delivery of legal services within the Office of Financial

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - DEDUCT				1600850

Regulation (OFR), OFR is requesting to realign its structure to integrate attorneys and legal support into the functional areas they serve. This will assist the divisions in carrying out their core mission of enforcing the financial regulation statutes.

This amendment requests the transfer of 15 positions and the authorized rate for these positions.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0108 ADMINISTRATIVE SECRETARY							
C4206 001	1.00-	26,763-		14,363-	41,126-	0.00	41,126-
C4462 001	1.00-	30,000-		14,960-	44,960-	0.00	44,960-
0712 ADMINISTRATIVE ASSISTANT II							
C1639 001	1.00-	36,691-		16,193-	52,884-	0.00	52,884-
7738 SENIOR ATTORNEY							
C1931 001	1.00-	51,627-		20,114-	71,741-	0.00	71,741-
C4203 001	1.00-	62,500-		22,121-	84,621-	0.00	84,621-
C4351 001	1.00-	54,133-		20,577-	74,710-	0.00	74,710-
C4403 001	1.00-	53,627-		20,484-	74,111-	0.00	74,111-
C4411 001	1.00-	67,025-		22,957-	89,982-	0.00	89,982-
C4626 001	1.00-	59,650-		21,595-	81,245-	0.00	81,245-
C4639 001	1.00-	63,442-		22,296-	85,738-	0.00	85,738-
C4647 001	1.00-	64,025-		22,403-	86,428-	0.00	86,428-
C4861 001	1.00-	58,300-		21,347-	79,647-	0.00	79,647-
7739 EXECUTIVE SENIOR ATTORNEY							
C1642 001	1.00-	71,108-		23,711-	94,819-	0.00	94,819-
7743 ATTORNEY SUPERVISOR							
C4907 001	1.00-	81,580-		25,644-	107,224-	0.00	107,224-
C4908 001	1.00-	73,700-		24,189-	97,889-	0.00	97,889-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - DEDUCT				1600850

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							1,167,125-
	15.00-	854,171-		312,954-	1,167,125-		1,167,125-
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							327,125
							840,000-

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
ATTORNEY FOR ENFORCMENT OF DEBT				
COLLECTION PRACTICES				2103103
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	3,877-			2021 1
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	1,000-			2021 1
TOTAL: ATTORNEY FOR ENFORCMENT OF DEBT				2103103
COLLECTION PRACTICES				
TOTAL ISSUE.....	4,877-			
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	11,449			2021 1
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	3,009-			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
FUND SHIFT				3400000
TRANSFER FUNDING FOR REAL SYSTEM				
FROM FINANCE REGULATION TO				
EXECUTIVE DIRECTION AND SUPPORT				
SERVICES (OFR) - ADD				3400190
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE	1,974,670			2021 1

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Budget Entity: Executive Direction and Support Services (OFR)

Issue Title: Transfer Funding Funding For REAL System From Finance Regulation to Executive Direction and Support Services (OIR) - Add

Reference to Long-Range Program Plan: The REAL System supports all of Office of Financial Regulation's goals: GOAL #1: Enforce compliance with State laws related to the financial industry; GOAL #2: Examine regulated companies and individuals; and GOAL #3: Register or charter institutions, companies and individuals.

Issue Description:

Beginning in state fiscal year 2006-07, the Office of Financial Regulation (OFR) began integration of several commercial-off-the-shelf (COTS) packages into a comprehensive system for all of OFR's regulatory functions: licensing, examinations, investigations, legal, and consumer complaints, as well as imaging and work-flow processes. The REAL System was implemented in two phases in March, 2008 and January, 2009. Although the REAL System benefits all areas of OFR, the total cost of the integration and implementation of the REAL System has been paid by one single division. In order to more appropriately allocate the cost of the REAL System, OFR would like to move the appropriation for the system from the Division of Finance (43900560) to Executive Direction. This would allow the costs to be shared between the OFR budget entities through OFR's cost allocation process.

Ultimate Outcome: Shifting the appropriation from Division of Finance to Executive Direction will allow greater transparency into the costs associated with operating OFR's regulatory programs by appropriately charging the operating units for the use of the REAL System.

Detail of Costs:

REAL System - OFR:  
 Quantity Description Amount  
 -----

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
FUND SHIFT				3400000
TRANSFER FUNDING FOR REAL SYSTEM				
FROM FINANCE REGULATION TO				
EXECUTIVE DIRECTION AND SUPPORT				
SERVICES (OFR) - ADD				3400190
REAL System Operations (2021)		\$1,974,670		
Issue Total		\$1,974,670		

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PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
OPERATIONS AND MAINTENANCE TO				
SUPPORT THE REGULATORY ENFORCEMENT				
AND LICENSING (REAL) SYSTEM				36322C0
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE	756,622	75,276		2021 1
	=====	=====	=====	

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Budget Entity: Finance Regulation

Issue Title: Operations and Maintenance to Support the Regulatory Enforcement and Licensing (REAL) System

Reference to Long-Range Program Plan: The REAL System supports all of Office of Financial Regulation's goals: GOAL #1: Enforce compliance with State laws related to the financial industry; GOAL #2: Examine regulated companies and individuals; and GOAL #3: Register or charter institutions, companies and individuals.

Issue Description/Need:

The Regulatory Enforcement and Licensing (REAL) System provides the Office of Financial Regulation (OFR) with an integrated financial regulatory management system that combines core processes for examination, investigation, consumer complaint, licensing, legal and fiscal functions. The REAL System contains all activities related to the entity (i.e., legal cases, investigative cases, examinations, complaints, business relationships, and other licenses held). REAL also provides a tracking mechanism for unlicensed entities. Due to federal requirements to share information with other states and regulatory bodies, the design of the REAL System additionally allows for the import of data from other systems that exist outside OFR to support the licensing and enforcement programs. This approach provides OFR with a comprehensive

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
OPERATIONS AND MAINTENANCE TO				
SUPPORT THE REGULATORY ENFORCEMENT				
AND LICENSING (REAL) SYSTEM				36322C0

regulatory tool to maintain licensing information and monitor licensee compliance. REAL aligns the agency's business units and processes to support the enforcement authority of the Office.

On March 12, 2007, the agency contracted with Accenture, LLP for implementation services for the REAL System. Release 1 of the REAL System was deployed on March 24, 2008 and Release 2 was deployed on January 20, 2009. The implementation contract between OFR and the vendor provides an option to retain the vendor beyond the initial implementation phase to provide on-going operations and maintenance services. The Office exercised this option and the subsequent extensions for the vendor to provide REAL System application support, database support, and technical infrastructure support on a fixed price basis. The current contract expires on January 20, 2012.

In June 2010, Office of Financial Regulation secured the services of a third party vendor to review and analyze the options for on-going application support for the REAL System, as well as other internal technology services. The analysis will provide a recommendation concerning the best approach for on-going support to begin January 20, 2012 when the Accenture contract expires. The analysis evaluates cost estimates and service performance of current providers.

The third party vendor is also required to prepare and submit a detailed Transition Plan based on the service provider option selected by the Office of Financial Regulation management. The Transition Plan will set forth a transition schedule (project plan), procurement requirements, hardware and software needs, and will detail anticipated costs associated with the transition of application and Information Technology support services.

Based on preliminary information, the Office of Financial Regulation estimates it will need recurring funds for Operations and Maintenance of \$681,346 and non-recurring funds of \$75,276 to cover transition expenses for FY 2011-12. More detailed cost information will be provided as it becomes available.

Detail of Costs:  
 Fiscal Year 2011-2012

REAL System - Office of Financial Regulation:

Quantity	Description	Amount	Non-Recurring
	Operations and Maintenance	\$756,622	\$75,276
	Issue Total	\$756,622	\$75,276

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	35.00			
TRUST FUNDS.....	6,061,920	75,276		2000
SALARY RATE.....	1,991,426			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,831,266			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	130.00	7,735,527		2573 1
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE	300,000			2573 1
EXPENSES				040000
REGULATORY TRUST FUND -STATE	1,322,915			2573 1
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	5,631			2573 1
SPECIAL CATEGORIES				100000
MORTGAGE BRK EXAMS - OFR				100514
REGULATORY TRUST FUND -STATE	201,030			2573 1
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND -STATE	3,141,565			2573 1
MONEY SER BUSINESS EXAMS				100830
REGULATORY TRUST FUND -STATE	500,000			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND	-STATE	30,368		2573 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	52,134		2573 1
=====				
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
REGULATORY TRUST FUND	-STATE	2,178,703		2573 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		130.00		
TOTAL ISSUE.....		15,467,873		
TOTAL SALARY RATE.....		5,831,266		
=====				
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
REGULATORY TRUST FUND	-STATE	82,671		2573 1
=====				
FLORIDA RETIREMENT SYSTEM				1001220
CONTRIBUTION ADJUSTMENT FOR				010000
FY 2010-11 - EFFECTIVE 7/1/2010				
SALARIES AND BENEFITS				
REGULATORY TRUST FUND	-STATE	55,937		2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	36,935		2573 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	8,764-		2573 1
=====				
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	3,966-		2573 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	370-		2573 1
=====				



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				1600860
SALARY RATE				000000
SALARY RATE.....	393,702			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	7.00			
-STATE	360,000			2573 1
	=====	=====	=====	
TOTAL: REAPPROVAL OF BUDGET AMENDMENT TO				1600860
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....	360,000			
TOTAL SALARY RATE.....	393,702			
	=====	=====	=====	

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Issue Title: Re-approval of Budget Amendment to Realign Office of Financial Regulation Legal Staff - Add

Reference to Long Range Program Plan 2011-15: This request will further the Office's goals:  
 Goal #1: To enforce compliance with state laws related to the financial industry

Issue Description:  
 This is a technical issue.

This issue requests the re-approval of Budget Amendment Executive Office of the Governor (EOG) number B0138. The Office of Financial Regulation did this to improve the delivery of legal services within the Office of Financial Regulation (OFR), OFR is requesting to realign its structure to integrate attorneys and legal support into the functional areas they serve. This will assist the divisions in carrying out their core mission of enforcing the financial regulation statutes.

This amendment requests the transfer of seven positions and the authorized rate for these positions.

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				1600860

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0108 ADMINISTRATIVE SECRETARY							
C4462 001	1.00	30,000		14,960	44,960	0.00	44,960
7738 SENIOR ATTORNEY							
C1931 001	1.00	51,627		20,114	71,741	0.00	71,741
C4203 001	1.00	62,500		22,121	84,621	0.00	84,621
C4351 001	1.00	54,133		20,577	74,710	0.00	74,710
C4639 001	1.00	63,442		22,296	85,738	0.00	85,738
C4861 001	1.00	58,300		21,347	79,647	0.00	79,647
7743 ATTORNEY SUPERVISOR							
C4908 001	1.00	73,700		24,189	97,889	0.00	97,889
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							539,306
	7.00	393,702		145,604	539,306		539,306

OTHER SALARY AMOUNT							179,306-
2573 REGULATORY TRUST FUND							360,000

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
NONRECURRING EXPENDITURES				2100000
LICENSING ENFORCEMENT SYSTEM				2103035
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
REGULATORY TRUST FUND	-STATE	204,033-		2573 1
ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	26,382		2573 1
STATE LIFE INSURANCE ADJUSTMENT FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	2,833-		2573 1
SCHEDULE VIII B REDUCTIONS - OPERATING				33B0000
ELIMINATE MORTGAGE BROKER EXAMINATIONS - OFFICE OF FINANCIAL REGULATION				33B9500
SPECIAL CATEGORIES				100000
MORTGAGE BRK EXAMS - OFR				100514
REGULATORY TRUST FUND	-STATE	201,030-		2573 1

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AGENCY ISSUE NARRATIVE:  
 2011-2012 BUDGET YEAR NARRATIVE:  
 Budget Entity: Financial Regulation

IT COMPONENT? NO

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
SCHEDULE VIIIIB REDUCTIONS -				
OPERATING				33B0000
ELIMINATE MORTGAGE BROKER				
EXAMINATIONS - OFFICE OF FINANCIAL				
REGULATION				33B9500

Issue Title: Eliminate Mortgage Broker Examinations (Finance)

Reference to Long-Range Program Plan: Goal #3: Register or charter institutions, companies and individuals

Issue Description:

Reduction of the Mortgage Broker Examination fund would have a minimal impact on the Division's operating budget. The U.S. Congress passed the Secure and Fair Enforcement for Mortgage Licensing Act (SAFE Act) effective July 23, 2008, federal law requires all loan originators (which includes mortgage brokers) become licensed with the Nationwide Mortgage Licensing System (NMLS), developed by the Conference of State Bank Supervisors (CSBS). The 2009 Florida Legislature approved significant changes to Chapter 494, Florida Statutes, by passing Senate Bill 2226 to comply with SAFE. As part of those changes, the Office of Financial Regulation will no longer administer the mortgage broker test and applicants will be required to take the test through NMLS effective October 1, 2010.

For the first three months of state fiscal year 2010-11, OFR will continue to use third party services to conduct the mortgage broker testing for the agency. However, based on the federal requirement that all mortgage related licensees are tested through the national testing center, OFR anticipates the remaining funds will no longer be used by the agency for this purpose and are available for elimination.

Effective 10/1/2010, as a result of the SAFE Act legislation passed at the federal and state level, the pre-licensure mortgage broker examination will be conducted at the federal level and OFR will no longer administer the examination.

Detail of Costs:

Fiscal Year 2011-2012

Expenses:

Quantity	Description	Amount
1	Mortgage Broker Fees	(\$201,030)

Issue Total (\$201,030)

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
FUND SHIFT				3400000
TRANSFER FUNDING FOR REAL SYSTEM				
FROM FINANCE REGULATION TO				
EXECUTIVE DIRECTION AND SUPPORT				
SERVICES (OFR) - DEDUCT				3400180
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
REGULATORY TRUST FUND				
-STATE	1,974,670-			2573 1
	=====	=====	=====	

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Budget Entity: Finance Regulation

Issue Title: Transfer Funding Funding For REAL System From Finance Regulation to Executive Direction and Support Services (OFR) - Deduct

Reference to Long-Range Program Plan: The REAL System supports all of the Office of Financial Regulation's goals: GOAL #1: Enforce compliance with State laws related to the financial industry; GOAL #2: Examine regulated companies and individuals; and GOAL #3: Register or charter institutions, companies and individuals.

Issue Description:

Beginning in state fiscal year 2006-07, the Office of Financial Regulation (OFR) began integration of several commercial-off-the-shelf (COTS) packages into a comprehensive system for all of OFR's regulatory functions: licensing, examinations, investigations, legal, and consumer complaints, as well as imaging and work-flow processes. The REAL System was implemented in two phases in March, 2008 and January, 2009. Although the REAL System benefits all areas of OFR, the total cost of the integration and implementation of the REAL System has been paid by one single division. In order to more appropriately allocate the cost of the REAL System, OFR would like to move the appropriation for the system from the Division of Finance (43900560) to Executive Direction. This would allow the costs to be shared between the OFR budget entities through OFR's cost allocation process.

Ultimate Outcome: Shifting the appropriation from Division of Finance to Executive Direction will allow greater transparency into the costs associated with operating OFR's regulatory programs by appropriately charging the operating units for the use of the REAL System.

Detail of Costs:

REAL System - OFR:  
 Quantity Description Amount  
 -----

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
FUND SHIFT				3400000
TRANSFER FUNDING FOR REAL SYSTEM				
FROM FINANCE REGULATION TO				
EXECUTIVE DIRECTION AND SUPPORT				
SERVICES (OFR) - DEDUCT				3400180
REAL System Operations (2573)		(\$1,974,670)		
Issue Total		(\$1,974,670)		
*****				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	137.00			
SALARY RATE.....		13,634,132		2000
		6,224,968		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,118,792			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	92.00			
	5,628,277			2573 1
=====				
OTHER PERSONAL SERVICES				030000
ANTI-FRAUD TRUST FUND -STATE	61,730			2038 1
REGULATORY TRUST FUND -STATE	4,466			2573 1
TOTAL APPRO.....	66,196			
=====				
EXPENSES				040000
ANTI-FRAUD TRUST FUND -STATE	187,885			2038 1
REGULATORY TRUST FUND -STATE	747,918			2573 1
TOTAL APPRO.....	935,803			
=====				
OPERATING CAPITAL OUTLAY				060000
ANTI-FRAUD TRUST FUND -STATE	31,802			2038 1
REGULATORY TRUST FUND -STATE	4,566			2573 1
TOTAL APPRO.....	36,368			
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ANTI-FRAUD TRUST FUND -STATE	215,049			2038 1
REGULATORY TRUST FUND -STATE	4,500			2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
			AMOUNT	
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	219,549			
=====		=====		
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	24,132			2573 1
=====		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	39,318			2573 1
=====		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	92.00			
TOTAL ISSUE.....	6,949,643			
TOTAL SALARY RATE.....	4,118,792			
=====		=====		
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
REGULATORY TRUST FUND -STATE	65,694			2573 1
=====		=====		
FLORIDA RETIREMENT SYSTEM				
CONTRIBUTION ADJUSTMENT FOR				
FY 2010-11 - EFFECTIVE 7/1/2010				1001220
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	40,064			2573 1
=====		=====		



	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ADJUSTMENT TO STATE HEALTH				
INSURANCE PREMIUM CONTRIBUTION FOR				
FY 2010-11 - EFFECTIVE 12/1/2010				1001810
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	26,852		2573 1
=====				
ELIMINATION OF AGENCY PAYALL				
STATUS - EFFECTIVE 7/1/2010				1001820
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	6,720-		2573 1
=====				
ADJUSTMENT TO STATE LIFE INSURANCE				
CONTRIBUTION RATE FOR FY 2010-11 -				
EFFECTIVE 12/1/2010				1001920
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	3,095-		2573 1
=====				
SUNCOM SERVICES RATE REDUCTION				1005600
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	100-		2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				1600860
SALARY RATE				000000
SALARY RATE.....	293,020			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	5.00			
-STATE	300,000			2573 1
	=====	=====	=====	
TOTAL: REAPPROVAL OF BUDGET AMENDMENT TO				1600860
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				
TOTAL POSITIONS.....	5.00			
TOTAL ISSUE.....	300,000			
TOTAL SALARY RATE.....	293,020			
	=====	=====	=====	

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Issue Title: Reapproval of Budget Amendment to Realign Office of Financial Regulation Legal Staff - Add

Reference to Long Range Program Plan 2011-15: This request will further the Office's goals:

Goal #1: To enforce compliance with state laws related to the financial industry

Issue Description: This is a technical issue.

This issue requests the re-approval of Budget Amendment Executive Office of the Governor (EOG) number B0138. The Office of Financial Regulation did this to improve the delivery of legal services within the Office of Financial Regulation (OFR), OFR is requesting to realign its structure to integrate attorneys and legal support into the functional areas they serve. This will assist the divisions in carrying out their core mission of enforcing the financial regulation statutes.

This amendment requests the transfer of 5 positions and the authorized rate for these positions.

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT TO				
REALIGN OFFICE OF FINANCIAL				
REGULATION LEGAL STAFF - ADD				1600860

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0108 ADMINISTRATIVE SECRETARY							
C4206 001	1.00	26,763		14,363	41,126	0.00	41,126
7738 SENIOR ATTORNEY							
C4403 001	1.00	53,627		20,484	74,111	0.00	74,111
C4411 001	1.00	67,025		22,957	89,982	0.00	89,982
C4647 001	1.00	64,025		22,403	86,428	0.00	86,428
7743 ATTORNEY SUPERVISOR							
C4907 001	1.00	81,580		25,644	107,224	0.00	107,224
-----							
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							398,871
							-----
	5.00	293,020		105,851	398,871		398,871
							=====
OTHER SALARY AMOUNT							
2573 REGULATORY TRUST FUND							98,871-
							-----
							300,000
							=====

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ANNUALIZATION OF ADMINISTERED				
FUNDS APPROPRIATIONS				26A0000
STATE HEALTH INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1810
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	19,180		2573 1
		=====		
STATE LIFE INSURANCE ADJUSTMENT				
FOR FY 2010-11 - 5 MONTHS				
ANNUALIZATION				26A1920
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	2,211-		2573 1
		=====		
OFFICE OF FINANCIAL REGULATION				
INITIATIVES				4900000
DODD-FRANK WALL STREET REFORM AND				
CONSUMER PROTECTION ACT				4900010
SALARY RATE				000000
SALARY RATE.....		528,239		
		=====		
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE	9.00	712,798	2573 1
		=====		
EXPENSES				040000
REGULATORY TRUST FUND	-STATE	107,577	35,082	2573 1
		=====		
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND	-STATE	13,500	13,500	2573 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				1204.00.00.00
OFFICE OF FINANCIAL REGULATION				
INITIATIVES				4900000
DODD-FRANK WALL STREET REFORM AND				
CONSUMER PROTECTION ACT				4900010
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE	3,204		2573 1
TOTAL: DODD-FRANK WALL STREET REFORM AND				4900010
CONSUMER PROTECTION ACT				
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....		837,079	48,582	
TOTAL SALARY RATE.....	528,239			

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AGENCY ISSUE NARRATIVE:

2011-2012 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Budget Entity: Division of Securities

Issue Title: Comply with Dodd-Frank Wall Street Reform and Consumer Protection Act

Reference to Long Range Program Plan 2011-15: This request will further the Office's goals:  
 Goal #1: To enforce compliance with state laws related to the financial industry  
 Goal #2: To examine regulated companies and individuals

The Dodd-Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank) was recently signed into law. It mandates investment advisers with assets under management less than \$100 million will be regulated by the state securities regulator. Currently, the states are responsible for investment adviser firms with less than \$25 million in assets under management. Unless the Division of Securities (Division) has the resources necessary to adequately examine these investment advisory firms, Florida citizens will be placed at risk.

Issue Description:

As the state securities regulator in Florida, the division will become the sole regulator of investment adviser firms with less than \$100 million assets under management. Currently, the division is the regulator for 1,100 state registered investment advisers with less than \$25 million in assets under management doing business in Florida. As a result of Dodd-Frank, more than 700 additional investment adviser firms are expected to transfer to state registration from registration with the Securities Exchange Commission (SEC), bringing the total registered in Florida to 1,800 advisers. By 2015, approximately 2,600 investment advisory firms are projected to be registered with the Office. This will greatly increase the workload for the regulatory staff.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
OFFICE OF FINANCIAL REGULATION				
INITIATIVES				4900000
DODD-FRANK WALL STREET REFORM AND				
CONSUMER PROTECTION ACT				4900010

During Fiscal Year 2009-2010, on average the Bureau of Securities Regulation (Bureau) expended 113 examiner hours (excluding legal hours) to complete an examination of an investment adviser. Due to the increasing complexity of the investment advisory business and the greater size and sophistication of the companies falling under the agency's jurisdiction, the Bureau projects it will take 141 examiner hours (excluding legal hours) to complete an average examination of an investment adviser. Due to the significant risk to investors, the bureau feels it is imperative to increase the number of investment adviser examinations to a minimum of 80 per year. Because of the impending increase in state registered investment advisers, and the increasing growth and complexity of the investment advisory business being regulated, the bureau projects, based on an average of 1,600 hours per year to conduct examinations, this will require seven additional FTE. These examinations and investigations will also require two additional senior attorneys to bring actions against firms and individuals engaged in unlawful activity.

By increasing the number of examiners, the number of examinations and investigations conducted and enforcement actions brought by the division will be increased. Over the past seven years, the division's resources have been considerably stressed by a decrease in the number of positions at the same time the securities industry has experienced considerable growth. Since Fiscal Year 2003-2004, the Division has lost 13 positions (104 to 91), while the number of registered agents increased from 234,816 to 265,934 (13%) and registered firms and branch offices increased from 12,639 to 19,221 (52%). Securities Regulation currently has 61 FTE, 56 of which are examiners. The additional nine positions are critical to achieving the Office's mission to protect the citizens of Florida by enforcing the securities laws and providing regulation of businesses that promote the sound growth and development of Florida's economy.

Ultimate Outcome:

Securities Regulation will be able to preserve the integrity of Florida's financial services market and protect the public by examining, investigating, regulating and taking enforcement actions against a greater number of securities firms engaged in unlawful activity. The ability to conduct more examinations and bring more enforcement actions will better protect Florida citizens and deter unlawful activity by investment advisory firms and the individuals employed by them. These examinations and enforcement actions will result in substantial restitution being paid to Florida consumers and more penalties and fines being paid to the state.

For Fiscal Year 2011-2012, the office requests seven (7) additional examiners and two (2) attorneys to focus on investment advisory examinations, investigations and enforcement. Because of the complexity of the securities industry and the knowledge required of its staff, these positions are requested at 20% above base. Because of the extensive travel required for these employees to conduct examinations, Office of Financial Regulation is requesting additional travel dollars of \$1,500 each and funds to purchase laptops with docking stations. Because of the travel required to conduct examinations, the ability to use a laptop will greatly increase the productivity of the staff.

Detail of Costs:

	COL A03 AGY REQUEST FY 2011-12	COL A04 AGY REQ N/R FY 2011-12	COL A05 AG REQ ANZ FY 2011-12	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
OFFICE OF FINANCIAL REGULATION				
INITIATIVES				4900000
DODD-FRANK WALL STREET REFORM AND				
CONSUMER PROTECTION ACT				4900010

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
7	Financial Control Analysts (PG 26) (20% above base salary)	\$540,615	\$
2	Senior Attorneys (PG 230) (20% above base salary)	\$172,183	\$
	Total	\$712,798	\$

Expenses:

Quantity	Description	Amount	Non-Recurring
9	Professional FTE Package @\$10,453	\$ 94,077	\$ 35,082
9	Additional Travel Cost (\$1500 each)	\$ 13,500	\$
	Total	\$107,577	\$ 35,082

OCO:

Quantity	Description	Amount	Non-Recurring
9	Laptops computers with docking stations @ \$1,500	\$ 13,500	\$ 13,500
	Total	\$ 13,500	\$ 13,500

TR/DMS/HR SVCS

		Amount	Non-Recurring
9	Standard TR/DMS/HR SVCS @ \$356	\$ 3,204	\$

Issue Total \$837,079 \$ 48,582

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2011-12	FY 2011-12	FY 2011-12	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
OFFICE OF FINANCIAL REGULATION				
INITIATIVES				4900000
DODD-FRANK WALL STREET REFORM AND				
CONSUMER PROTECTION ACT				4900010

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2011-12							
NEW POSITIONS							
1567 FINANCIAL CONTROL ANALYST							
N1000 001	7.00	400,757		139,858	540,615	0.00	540,615
7738 SENIOR ATTORNEY							
N1001 001	2.00	127,482		44,701	172,183	0.00	172,183
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							712,798
	9.00	528,239		184,559	712,798		712,798

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TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	106.00						2000
SALARY RATE.....		8,226,386	48,582				
		4,940,051					

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