

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITIONS FROM										
EXECUTIVE DIRECTION TO INFORMATION										
TECHNOLOGY (DEDUCT)										160FA70
SALARIES AND BENEFITS										010000
ADMINISTRATIVE TRUST FUND -STATE		2.00-		126,832-				2.00-		126,832- 2021 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: REAPPROVAL OF BUDGET AMENDMENT TRANSFERRING POSITIONS BETWEEN EXECUTIVE DIRECTION AND SUPPORT SERVICES AND INFORMATION TECHNOLOGY (DEDUCT)

This is a technical issue that requests the re-approval of Budget Amendments, Executive Office of the Governor number Q0027 and Q0026, which transfers a Government Analyst II (position number 0010) and a Senior Management Analyst II (position number 01550) from Executive Direction and Support Services. This is a program flexibility amendment and is being done as the result of an agreement between the Office of Strategic Planning and the Division of Information Technology.

Detail of Costs:

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Rate
1	Government Analyst II (00110)	(\$66,155)	(50,000)
1	Senior Management Analyst II (01550)	(\$60,677)	(46,361)
Issue Total		(\$126,832)	(96,361)

Summary: This is a new Issue

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										1602.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITIONS FROM										
EXECUTIVE DIRECTION TO INFORMATION										
TECHNOLOGY (DEDUCT)										160FA70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
00110 001	1.00-	50,000-		50,000-	0.00	50,000-
01550 001	1.00-	46,381-		46,381-	0.00	46,381-
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
2.00-		96,381-		96,381-		96,381-
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						
						30,451-
						126,832-

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
LEGAL SERVICES						43010200
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
FUND SHIFT						3400000
TRANSFER HOLOCAUST VICTIMS						
ASSISTANCE FROM ADMINISTRATIVE						
TRUST FUND TO INSURANCE REGULATORY						
TRUST FUND - DEDUCT						3400110
SPECIAL CATEGORIES						100000
HOLOCAUST VICTIMS ASST ADM						101085
ADMINISTRATIVE TRUST FUND -STATE		308,007-				308,007- 2021 1

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AGENCY ISSUE NARRATIVE:  
 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3,2010

This is a technical issue. The Holocaust Victims Assistance program was incorrectly moved to the Administrative Trust Fund in the 2009-2010 General Appropriation Act. This issue corrects that move.

Summary: This is a new issue

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TRANSFER HOLOCAUST VICTIMS						
ASSISTANCE FROM ADMINISTRATIVE						
TRUST FUND TO INSURANCE REGULATORY						
TRUST FUND - ADD						3400120
SPECIAL CATEGORIES						100000
HOLOCAUST VICTIMS ASST ADM						101085
INSURANCE REG TF	-STATE	308,007				308,007 2393 1

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AGENCY ISSUE NARRATIVE:  
 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3,2010

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
LEGAL SERVICES										43010200
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
FUND SHIFT										3400000
TRANSFER HOLOCAUST VICTIMS										
ASSISTANCE FROM ADMINISTRATIVE										
TRUST FUND TO INSURANCE REGULATORY										
TRUST FUND - ADD										3400120

This is a technical issue. The Holocaust Victims Assistance program was incorrectly moved to the Administrative Trust Fund in the 2009-2010 General Appropriation Act. This issue corrects that move.

Summary: This is a new issue

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITIONS FROM										
EXECUTIVE DIRECTION TO INFORMATION										
TECHNOLOGY (ADD)										160FA60
SALARIES AND BENEFITS										010000
		2.00					2.00			
ADMINISTRATIVE TRUST FUND -STATE			126,832					126,832	2021 1	

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AGENCY ISSUE NARRATIVE:  
 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: REAPPROVAL OF BUDGET AMENDMENT TRANSFERRING POSITIONS BETWEEN EXECUTIVE DIRECTION AND SUPPORT SERVICES AND INFORMATION TECHNOLOGY (ADD)

This is a technical issue that requests the re-approval of Budget Amendments, Executive Office of the Governor number Q0027 and Q0026, which transfers a Government Analyst II (position number 0010) and a Senior Management Analyst II (position number 01550) from Executive Direction and Support Services. This is a program flexibility amendment and is being done as the result of an agreement between the Office of Strategic Planning and the Division of Information Technology.

Detail of Costs:

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Rate
1	Government Analyst II (00110)	\$66,155	50,000
1	Senior Management Analyst II (01550)	\$60,677	46,361
Issue Total		\$126,832	96,361

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										1603.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITIONS FROM										
EXECUTIVE DIRECTION TO INFORMATION										
TECHNOLOGY (ADD)										160FA60

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
00110 001	1.00	50,000		50,000	0.00	50,000
01550 001	1.00	46,381		46,381	0.00	46,381
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
2.00	96,381			96,381		96,381
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						
						30,451
						126,832

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PROGRAM: TREASURY										43100000
SUP RETIREMENT PLAN										43100400
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF FIVE PERCENT TRANSFER WITHIN THE SUPPLEMENTAL RETIREMENT PLAN BUDGET ENTITY (ADD)										160F100
OTHER PERSONAL SERVICES										030000
TREASURY ADM/INVEST TF			10,000					10,000	2725 1	

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Re-approval of Five Percent Transfer within the Supplemental Retirement Plan Budget Entity (ADD)

Re-approval of five percent transfer (EOG Log #: B7005) between categories within the Supplemental Retirement Plan Budget Entity. The Department of Financial Services, Supplemental Retirement Plan, needs to move \$10,000 in the Treasury Administrative and Investment Trust Fund from Expenses to Other Personal Services. This transfer is being made to provide budget authority for payments to temporary employees.

OPS(030000):

Quantity	Description	Amount
		\$10,000

Expenses (040000):

Quantity	Description	Amount
		\$(10,000)

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PROGRAM: TREASURY										43100000
SUP RETIREMENT PLAN										43100400
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF FIVE PERCENT TRANSFER WITHIN THE SUPPLEMENTAL RETIREMENT PLAN BUDGET ENTITY (DEDUCT)										160F110 040000
TREASURY ADM/INVEST TF		-STATE		10,000-		10,000-		2725 1		

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Re-approval of Five Percent Transfer within the Supplemental Retirement Plan Budget Entity (DEDUCT)  
 Re-approval of five percent transfer (EOG Log #: B7005) between categories within the Supplemental Retirement Plan Budget Entity. The Department of Financial Services, Supplemental Retirement Plan, needs to move \$10,000 in the Treasury Administrative and Investment Trust Fund from Expenses to Other Personal Services. This transfer is being made to provide budget authority for payments to temporary employees.

OPS(030000):

Quantity	Description	Amount
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		\$10,000

Expenses (040000):

Quantity	Description	Amount
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		\$(10,000)

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
SUP RETIREMENT PLAN						43100400
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
PROGRAM ISSUES						4000000
ADDITIONAL BUDGET AUTHORITY IN						
SUPPLEMENTAL RETIREMENT PLAN TO						
COMPLY WITH DEFERRED COMPENSATION						
PROGRAM STATUTE						4000120
SPECIAL CATEGORIES						100000
DEFERRED COMP ADM SVCS						100868
TREASURY ADM/INVEST TF -STATE		1,050,000			1,050,000	2725 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Additional Budget Authority in Supplemental Retirement Plan to Comply with Deferred Compensation Program Statute

Issue Description/Need:

Section 112.215(4)(e), Florida Statutes, states the administrative costs of the deferred compensation plan must be wholly or partially self-funded. Fees for such self-funding of the plan shall be paid by investment providers and may be recouped from their respective plan participants. Such fees shall be deposited in the Deferred Compensation Trust Fund.

Currently, 65 cents of the \$1.20 fee collected is deposited into outside accounts. These outside accounts pay for communication and record keeping and compliance services. In order to comply with statute, the fees currently deposited into these outside accounts will need to be deposited into the Deferred Compensation Trust Fund and an appropriation for these services will need to be provided in the Supplemental Retirement Plan budget entity.

The communication services include various mail-outs and other outreach efforts to deferred compensation participants and to the pool of potential participants. Without these services, there would be no active recruitment or education materials available for current and potential participants. As Plan Fiduciary, the Employee Retirement Income Security Act of 1974 (ERISA) requires the department provide access to basic investment education on diversification and investment asset classes for Plan participants. Education reduces the fiduciary liability and risk of litigation. In addition, the Plan Fiduciary best practice is to provide a comprehensive plan enrollment program to include tax savings benefit, investment tools and comparative and detailed information on investment options. Ongoing education programs are part of the Plan Fiduciaries duties and must engage in an objective process to assess the investment provider's qualifications, service, and fees.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY FIN REQ FY 2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PROGRAM: TREASURY										43100000
SUP RETIREMENT PLAN										43100400
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
PROGRAM ISSUES										4000000
ADDITIONAL BUDGET AUTHORITY IN										
SUPPLEMENTAL RETIREMENT PLAN TO										
COMPLY WITH DEFERRED COMPENSATION										
PROGRAM STATUTE										4000120

Record keeping and compliance services involve the collection of participant financial and demographic information from the five deferred compensation providers on a daily basis. The record keeping vendor is the central source that collects the participant deferred compensation payroll information that is then fed to the Bureau of State Payrolls and non-centralized agencies such as universities to withdraw actual dollars from the participants' payroll warrants. Record keeping services are necessary to maintain current information on plan activity. The record keeping vendor also compiles the data, providing a comprehensive file of participant and provider activity. This information is used by staff to update participant accounts, make corrections if needed, and to daily update the Deferred Compensation website. The Compliance services include the audit expense and other expenses that are necessary to comply with the Deferred Compensation F.S. 112.215 and the contracts between the State and the Investment Vendors.

In order to comply with F.S. 112.215, the fees currently deposited into outside accounts will need to be deposited into the Deferred Compensation Trust Fund. An appropriation for these services is necessary in the Supplemental Retirement Plan budget entity.

The operating budget for the Supplemental Retirement plan is appropriated from the Treasury Administrative and Investment Trust Fund. The cash is transferred from the Deferred Compensation Trust Fund to cover the appropriation.

This request does not have an impact on the General Revenue Fund.

Detail of Costs:

Deferred Compensation Administrative Services (100868)	Recurring
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Total	\$1,050,000

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2010-11	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
RISK MANAGEMENT INFORMATION SYSTEM						
BUSINESS NEEDS ANALYSIS						36320C0
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
STATE RISK MGMT TF	-STATE	300,000	175,000			125,000- 2078 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? YES  
 Issue Title: Risk Management Information System Business Needs Analysis

Reference to Long-Range Program Plan: The procurement of consulting services to perform re-engineering of business practices and a feasibility study is a necessary first step towards increasing the financial accountability across all agencies in the state of Florida. The deliverables produced by the consulting firm will be used to procure a comprehensive risk management information system (RMIS) to support the newly developed best practices. This effort is expected to produce more efficient risk management operations, fewer claims, more accurate financial statements, appropriate insurance levels and stronger risk management for all state agencies and universities, all of which will result in cost savings for the state of Florida and its citizens.

ISSUE SUMMARY: The Division of Risk Management is requesting to re-engineer its risk management information system (RMIS) and the business processes that drive it. The current system no longer meets the business needs of its customers due to changes in industry requirements and the isolated components used to administer the risk management program. The division needs a cohesive system that will operate to achieve all goals of the department and, by extension, the State of Florida agencies and universities. The division procured consultant will document how other comparable insurance companies, risk management firms and government entities are performing these tasks and a methodology for the application of these practices into the state environment.

Re-engineering is needed to comply with state and federal mandates of electronic data interfacing (EDI). Both carry substantial fines and penalties for inaccuracy or non-compliance. These mandates require changes to business processes and increased functionality and reporting capabilities. Current system functionality is not designed to accommodate these mandates and requires duplicate entry of data and manual initiation of daily data transfers.

A currently unsupported imaging system was developed prior to the current RMIS system for supplemental claims handling processes and remains incompatible and segregated as it was designed and customized for workflow in 2000.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
RISK MANAGEMENT INFORMATION SYSTEM						
BUSINESS NEEDS ANALYSIS						36320C0

In addition to handling claims, the system is also needed for substantial accounting processes including the issuance of casualty and property premiums to the agencies and universities it insures. The current database structure does not facilitate the premium generation process and requires the manual manipulation of data after exporting the data to another application.

The inefficiencies of check processing within the database have remained undiagnosed despite joint efforts between the vendor and the department's IT staff. This process can take anywhere from 1 hour to 6 hours to produce a minimum number of checks. The accounting module is inefficient with regards to account reconciliation, vendor management and audit capability. There is no alignment with the state accounting structure of object codes. Without object code alignment functionality, outside applications are again required for data manipulation and state financial reporting reducing the ability to produce timely and accurate financial data.

The current RMIS system is housing workers' compensation, liability, property claims and property policies. In managing the policies of more than 49 insured entities covering approximately 22,000 buildings, it is essential to have efficient and comprehensive reporting available to the division's customers and to secure excess property insurance of the highest level for the lowest cost. Part of the re-engineering process will be to review the property data gathering process and requirements to standardize the building valuation process; obtain the most cost-effective excess property insurance; and implement on-line reporting capabilities for the insured entities and for the division. The current system does not support historical changes and therefore paper files must be managed in addition to system data in order to maintain value changes and exposure data needed to reconcile requests from the insured entities. The accuracy of data valuation in comparison to the cost of claims paid out determines the level of risk in which the commercial insurance market is willing to invest.

Claims handling practices make up the majority of existing workflow processes within the Division. The application of insurance industry best practices will serve to increase the effectiveness of claims handling to keep staffing at its current level for which it already exceeds the industry standard caseload by a factor of 3. This workflow improvement will improve service levels while complying with increased responsibilities from state and federal mandates for EDI without adding FTE's.

BENEFITS The study and application of best practices in the insurance industry will increase operational efficiency at the division. These efficiencies will be converted into requirements for a comprehensive RMIS. Manual processes will be eliminated from premium calculations, generation of EDI files and communication of policy changes with insured entities. Purchasing the most cost-effective level of excess insurance will translate into cost savings for all state agencies.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
RISK MANAGEMENT INFORMATION SYSTEM										
BUSINESS NEEDS ANALYSIS										36320C0

ASSUMPTIONS AND CONSTRAINTS In order to design a comprehensive RMIS to meet the needs of the division and its customers the business processes must be re-engineered for current industry best practices and requirements. Successful procurement of this system is dependent on developing system requirements based on re-engineered business practices and aligning them with industry enabling products.

IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS. IMPLEMENTATION APPROACH The consultant will deliver best practices for claims handling and policy management in the state environment; industry research of products appropriate for the division and its best practices; a feasibility study; and system requirements.

IMPLEMENTATION TIMELINE FY 10-11 Business Process Analysis, Schedule IV-B Feasibility Study  
 FY 11-12 Use Cases, ITN  
 FY 12-13 Requirement Validation, Data Conversion, Design Development, Testing, Implementation

ESTIMATED COSTS

Contracted Services:

Quantity	Description	Amount	Non-Recurring
1	Business Analysis & Feasibility	\$300,000	
	Issue Total	\$300,000	

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Risk Management Information System Business Needs Analysis

Reference to Long-Range Program Plan: The procurement of consulting services to perform re-engineering of business practices and a feasibility study is a necessary first step towards increasing the financial accountability across all agencies in the state of Florida. The deliverables produced by the consulting firm will be used to procure a

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	AMOUNT	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	AMOUNT	AGY AMD REQ FY 2010-11	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
RISK MANAGEMENT INFORMATION SYSTEM										
BUSINESS NEEDS ANALYSIS										36320C0

comprehensive risk management information system (RMIS) to support the newly developed best practices. This effort is expected to produce more efficient risk management operations, fewer claims, more accurate financial statements, appropriate insurance levels and stronger risk management for all state agencies and universities, all of which will result in cost savings for the state of Florida and its citizens.

ISSUE SUMMARY: The Division of Risk Management (DRM) is requesting spending authority in the amount of \$175,000 from the Risk Management Trust Fund to re-engineer its risk management information system (RMIS) and the business processes that drive it. The current system no longer meets the business needs of its customers due to changes in industry requirements and the isolated components used to administer the risk management program. The division needs a cohesive system that will operate to achieve all goals of the department and, by extension, the state of Florida agencies and universities. The division procured consultant will document how other comparable insurance companies, risk management firms and government entities are performing these tasks and a methodology for the application of these practices into the state environment.

Re-engineering is needed to comply with state and federal mandates of electronic data interfacing (EDI). Both carry substantial fines and penalties for inaccuracy or non-compliance. These mandates require changes to business processes and increased functionality and reporting capabilities. Current system functionality is not designed to accommodate these mandates and requires duplicate entry of data and manual initiation of daily data transfers.

A currently unsupported imaging system was developed prior to the current RMIS system for supplemental claims handling processes and remains incompatible and segregated as it was designed and customized for workflow in 2000.

In addition to handling claims, the system is also needed for substantial accounting processes including the issuance of casualty and property premiums to the agencies and universities it insures. The current database structure does not facilitate the premium generation process and requires the manual manipulation of data after exporting the data to another application.

The inefficiencies of check processing within the database have remained undiagnosed despite joint efforts between the vendor and the department's IT staff. This process can take anywhere from 1 hour to 6 hours to produce a minimum number of checks. The accounting module is inefficient with regards to account reconciliation, vendor management and audit capability. There is no alignment with the state accounting structure of object codes. Without object code alignment functionality, outside applications are again required for data manipulation and state financial reporting reducing the ability to produce timely and accurate financial data.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
RISK MANAGEMENT INFORMATION SYSTEM										
BUSINESS NEEDS ANALYSIS										36320C0

The current RMIS system is housing workers' compensation, liability, property claims and property policies. In managing the policies of more than 49 insured entities covering approximately 22,000 buildings, it is essential to have efficient and comprehensive reporting available to our customers to be able to secure excess property insurance of the highest level for the lowest cost. Part of the re-engineering process will be to review the property data gathering process and requirements to standardize the building valuation process; obtain the most cost-effective excess property insurance; and implement on-line reporting capabilities for the insured entities and for DRM. The current system does not support historical changes and therefore paper files must be managed in addition to system data in order to maintain value changes and exposure data needed to reconcile requests from the insured entities. The accuracy of data valuation in comparison to the cost of claims paid out determines the level of risk in which the commercial insurance market is willing to invest.

Claims handling practices make up the majority of existing workflow processes within the Division. The application of insurance industry best practices will serve to increase the effectiveness of claims handling to keep staffing at its current level for which it already exceeds the industry standard caseload by a factor of 3. This work-flow improvement will improve service levels while complying with increased responsibilities from state and federal mandates for EDI without adding FTE's.

**BENEFITS:** The study and application of best practices in the insurance industry will increase operational efficiency at DRM. These efficiencies will be converted into functional and technical requirements for a comprehensive RMIS. Manual processes will be eliminated from premium calculations, generation of EDI files and communication of policy changes with insured entities. Purchasing the most cost-effective level of excess insurance will translate into cost savings for all state agencies.

**ASSUMPTIONS AND CONSTRAINTS:** In order to design a comprehensive RMIS to meet the needs of the division and its customers we must first have the business processes re-engineered for current industry best practices and requirements. Successful procurement of this system is dependent on developing functional and technical system requirements based on re-engineered business practices and aligning them with industry enabling products.

**IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS:**

**IMPLEMENTATION APPROACH:** The consultant will deliver best practices for claims handling and policy management in the state environment; industry research of products appropriate for the division and its best practices; a feasibility study; and system requirements.



	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2010-11	
					OVER (UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
RISK MANAGEMENT INFORMATION SYSTEM						
BUSINESS NEEDS ANALYSIS						36320C0

IMPLEMENTATION TIMELINE:

FY 10-11 Business Process Analysis, Schedule IV-B Feasibility Study, ITN Development  
 Sept 2010 Consultant Procurement through State Term Contract  
 Oct/Nov 2010 Business Process Analysis  
 Dec 2010 Requirements Validation and Feasibility Study  
 Jan 2011 Begin ITN Development

FY 11-12 ITN/Procurement of RMIS System

ESTIMATED COSTS DRM has received informal price estimates from two firms for these services, one for \$180,000 and another for \$100,000. Similar projects for other agencies have ranged in cost from \$120,000 to \$186,000.

Contracted Services:

Quantity	Description	Amount	Non-Recurring
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1	Business Analysis & Feasibility	\$175,000	

COST IMPACT OF OVERALL OPERATIONS AND MAINTENANCE

No operation or maintenance cost for the consultant.

Summary: This is a change in the dollar amount being request from \$300,000 to \$175,000

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
INSURANCE CO REHAB/LIQDATN										43500100
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITION FROM										
REHABILITATION AND LIQUIDATION TO										
INSURANCE FRAUD - DEDUCT										160FA80
SALARIES AND BENEFITS										010000
INSURANCE REG TF			1.00-		52,571-			1.00-	52,571-	2393 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010 Narrative after February 3, 2010

This issue is for the re-approval of current year budget amendment EOG Log#Q0029, approved January 25th, 2010.

The Department of Financial Services requests the transfer of one (1) position and budget authority within programs from identical funding sources, pursuant to Section 216.292(2)(b)2, Florida Statutes. The Department requests the move of 1 position, 33,106 in Salary Rate, and the related budget authority in Salaries and Benefits (010000) of \$21,905 (or an annualized amount of \$52,571) in the Insurance Regulatory Trust Fund from Insurance Company Rehabilitation and Liquidation to the Division of Insurance Fraud.

The original amendment moved 5 months of Salaries and Benefits from between budget entities Insurance Company Rehabilitation and Liquidation and the Division of Insurance Fraud. This budget issue moves the the annualized amount for 12 months.

In addition to the move of Salaries and Benefits, Salary Rate was also moved. In order to ensure that the original budget amendment and this budget issue match, an additional Salary Rate transaction is included in this issue. The Salary Rate moved in the original amendment was 33,106; the sum of the transactions in this issue, related to Salary Rate, equal the original budget amendment.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
INSURANCE CO REHAB/LIQDATN										43500100
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITION FROM										
REHABILITATION AND LIQUIDATION TO										
INSURANCE FRAUD - DEDUCT										160FA80

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS	
A14 - AGY AMD REQ FY 2010-11							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0130 RECORDS SPECIALIST - SES							
C0575 001	1.00-	35,893-		16,678-	52,571-	0.00	52,571-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							
	1.00-	35,893-		16,678-	52,571-		52,571-

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
INSURANCE FRAUD										43500300
PUBLIC PROTECTION										12
CONSUMER SAFETY/PROTECTION										1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITION FROM										
REHABILITATION AND LIQUIDATION TO										
INSURANCE FRAUD - ADD										160FA90
SALARIES AND BENEFITS										010000
INSURANCE REG TF			1.00		52,571			1.00	52,571	2393 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010 Narrative after February 3, 2010

This issue is for the re-approval of current year budget amendment EOG Log#Q0029, approved January 25th, 2010.

The Department of Financial Services requests the transfer of one (1) position and budget authority within programs from identical funding sources, pursuant to Section 216.292(2)(b)2, Florida Statutes. The Department requests the move of 1 position, 33,106 in Salary Rate, and the related budget authority in Salaries and Benefits (010000) of \$21,905 (or an annualized amount of \$52,571) in the Insurance Regulatory Trust Fund from Insurance Company Rehabilitation and Liquidation to the Division of Insurance Fraud.

The original amendment moved 5 months of Salaries and Benefits from between budget entities Insurance Company Rehabilitation and Liquidation and the Division of Insurance Fraud. This budget issue moves the the annualized amount for 12 months.

In addition to the move of Salaries and Benefits, Salary Rate was also moved. In order to ensure that the original budget amendment and this budget issue match, an additional Salary Rate transaction is included in this issue. The Salary Rate moved in the original amendment was 33,106; the sum of the transactions in this issue, related to Salary Rate, equal the original budget amendment.

Summary: This is a new issue.

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
<u>INSURANCE FRAUD</u>										43500300
PUBLIC PROTECTION										12
<u>CONSUMER SAFETY/PROTECTION</u>										<u>1205.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
REAPPROVAL OF BUDGET AMENDMENT										
TRANSFERRING POSITION FROM										
REHABILITATION AND LIQUIDATION TO										
INSURANCE FRAUD - ADD										160FA90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
0130 RECORDS SPECIALIST - SES						
C0575 001	1.00	35,893	16,678	52,571	0.00	52,571
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						
1.00	35,893		16,678	52,571		52,571

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
SFTY & SOUND ST BKG SYST										43900530
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
WORKLOAD										3000000
SALARY REALIGNMENT TO PREVENT LOSS										
OF EXPERIENCED EXAMINERS - DEDUCT										3000A30
SALARIES AND BENEFITS										010000
		28.00-			28.00-					
FINANCIAL INST REG TF	-STATE		1,619,659-				1,619,659-	2275	1	

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-2011 Narrative after February 3, 2010

Issue Title: Salary Realignment to Prevent Loss of Experienced Examiners - Deduct

Reference to Long-Range Program Plan: Goal #2: Ensure the safety and soundness of the state financial institution system

Issue: Loss of Experienced Examiners: Office of Financial Regulation (OFR) is losing a large number of financial institution examiners to federal regulatory agencies for significantly higher salaries than OFR is able to pay. OFR has lost 11 examination staff to the Federal Deposit Insurance Corporation (FDIC) or the Office of the Comptroller of the Currency (OCC) between January 1, 2009 and January 31, 2009. Salary increases ranged from 18% to over 100% of the OFR salary. Because OFR examiners work in conjunction with the federal regulators, the federal agencies are able to identify and recruit the best and brightest OFR employees. In December, 2009 the National Credit Union Administration (NCUA) announced a plan to add 74 new positions. Additionally, the FDIC plans to add another 400 examiners nationwide and the Federal Reserve Bank of Atlanta (FRB) plans to increase examination staff by 10 in the Southeast, mostly in Florida. All of these plans put OFR at risk of losing additional examiners due to the higher salaries paid.

Recommended Solution: Develop a realignment plan focused on upper level examination and regulatory positions to offer examiners a career path, retention and recruitment possibilities, and establish management succession to ensure a capable and well-regarded regulatory program.

Development of the Plan: A salary realignment plan was developed to address disparities between the federal financial institution regulators and Florida examiners. The realignment plan begins at the Financial Control Analyst (FCA) level. An FCA will have a minimum of four years of experience (some have over 30 years of experience) and function as examiner-in-charge (EIC) of larger, complex or problem institutions. A Financial Examiner/Analyst (FE/A) Supervisor also acts as EIC of large and complex institutions and supervises teams of examiners. Senior Management Analyst II positions manage portfolios of large and problem institutions. Area Financial Managers (AFMs) and Financial Administrators manage geographical regions of institutions and examiners. Because of the need to target the plan to the upper level positions,

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
SFTY & SOUND ST BKG SYST										43900530
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
WORKLOAD										3000000
SALARY REALIGNMENT TO PREVENT LOSS OF EXPERIENCED EXAMINERS - DEDUCT										3000A30

OFR's three lowest level positions (FEA I, FEA II and Financial Specialist) were excluded from the plan.

The goal of the plan is to bring OFR's base for an FCA to approximately two-thirds of the average compensation for a comparable FDIC position. There is a 12% differential between each proposed base level for classes used by OFR. Salaries are capped at \$90,000. OFR's base salaries are currently 40-51% of the average for the comparable FDIC positions, and with the increase would rise to 56-64% of the average for the comparable FDIC positions.

Ultimate Outcome: There are a number of benefits that will be realized if the issue is approved:

- 1) The Office may be able to prevent additional failures and loss of uninsured deposits.
- 2) The level of confidence of Florida's citizens in Florida's financial institution industry will be maintained by an effective state regulatory system.
- 3) The Office will be able to fulfill its primary goal of ensuring that state financial institutions operate in a safe and sound manner.
- 4) Florida's financial institution industry will receive the level of effective regulation by the Office that the industry desires, demands and currently funds.
- 5) The state of Florida will continue to have a highly respected, well run regulatory program that meets the best practices for national accreditation.

Detail of Costs: OFR requests the deletion of 28 positions at various levels coupled with adding 14 high level positions above the minimum salary for the class. Currently, the division has 22 vacant positions which will be deleted along with 6 additional positions. The 14 "new" positions are requested at the amount of salary and rate generated by the deletion of the 28, creating a zero dollar issue with a net decrease of 14 FTE.

Salaries and Benefits (010000):		
Position	Title & Pay Grade	Amount
(28)	Various level positions	(\$ 1,619,659)
14	Proposed Class Code (P 101)	\$ 1,619,659

Total:

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	

FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>SFTY &amp; SOUND ST BKG SYST</u>										43900530
<u>PUBLIC PROTECTION</u>										12
<u>REGULATION AND LICENSING</u>										1204.00.00.00
WORKLOAD										3000000
SALARY REALIGNMENT TO PREVENT LOSS OF EXPERIENCED EXAMINERS - DEDUCT										3000A30

(14) \$ 0

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0001 001	28.00-	1,619,659-		1,619,659-	0.00	1,619,659-
TOTALS FOR ISSUE BY FUND						
2275 FINANCIAL INST REG TF						
	28.00-	1,619,659-		1,619,659-		1,619,659-
=====						

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
SFTY & SOUND ST BKG SYST										43900530
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
WORKLOAD										3000000
SALARY REALIGNMENT TO PREVENT LOSS										
OF EXPERIENCED EXAMINERS - ADD										3000A40
SALARIES AND BENEFITS										010000
		14.00				14.00				
FINANCIAL INST REG TF	-STATE		1,619,659					1,619,659		2275 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-2011 Narrative after February 3, 2010

Issue Title: Salary Realignment to Prevent Loss of Experienced Examiners - Add

Reference to Long-Range Program Plan: Goal #2: Ensure the safety and soundness of the state financial institution system

Issue: Loss of Experienced Examiners: Office of Financial Regulation (OFR) is losing a large number of financial institution examiners to federal regulatory agencies for significantly higher salaries than OFR is able to pay. OFR has lost 11 examination staff to the Federal Deposit Insurance Corporation (FDIC) or the Office of the Comptroller of the Currency (OCC) between January 1, 2009 and January 31, 2009. Salary increases ranged from 18% to over 100% of the OFR salary. Because OFR examiners work in conjunction with the federal regulators, the federal agencies are able to identify and recruit the best and brightest OFR employees. In December, 2009 the National Credit Union Administration (NCUA) announced a plan to add 74 new positions. Additionally, the FDIC plans to add another 400 examiners nationwide and the Federal Reserve Bank of Atlanta (FRB) plans to increase examination staff by 10 in the Southeast, mostly in Florida. All of these plans put OFR at risk of losing additional examiners due to the higher salaries paid.

Recommended Solution: Develop a realignment plan focused on upper level examination and regulatory positions to offer examiners a career path, retention and recruitment possibilities, and establish management succession to ensure a capable and well-regarded regulatory program.

Development of the Plan: A salary realignment plan was developed to address disparities between the federal financial institution regulators and Florida examiners. The realignment plan begins at the Financial Control Analyst (FCA) level. An FCA will have a minimum of four years of experience (some have over 30 years of experience) and function as examiner-in-charge (EIC) of larger, complex or problem institutions. A Financial Examiner/Analyst (FE/A) Supervisor also acts as EIC of large and complex institutions and supervises teams of examiners. Senior Management Analyst II positions manage portfolios of large and problem institutions. Area Financial Managers (AFMs) and Financial Administrators manage geographical regions of institutions and examiners. Because of the need to target the plan to the upper level positions,

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	OVER (UNDER)	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES									43000000	
PGM: FINANCIAL SVCS COMM									43900000	
OFFICE OF FINANCIAL REG									43900500	
<u>SFTY &amp; SOUND ST BKG SYST</u>									43900530	
PUBLIC PROTECTION									12	
<u>REGULATION AND LICENSING</u>									<u>1204.00.00.00</u>	
WORKLOAD									3000000	
SALARY REALIGNMENT TO PREVENT LOSS OF EXPERIENCED EXAMINERS - ADD									3000A40	

OFR's three lowest level positions (FEA I, FEA II and Financial Specialist) were excluded from the plan.

The goal of the plan is to bring OFR's base for an FCA to approximately two-thirds of the average compensation for a comparable FDIC position. There is a 12% differential between each proposed base level for classes used by OFR. Salaries are capped at \$90,000. OFR's base salaries are currently 40-51% of the average for the comparable FDIC positions, and with the increase would rise to 56-64% of the average for the comparable FDIC positions.

Ultimate Outcome: There are a number of benefits that will be realized if the issue is approved:

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- 2) The level of confidence of Florida's citizens in Florida's financial institution industry will be maintained by an effective state regulatory system.
- 3) The Office will be able to fulfill its primary goal of ensuring that state financial institutions operate in a safe and sound manner.
- 4) Florida's financial institution industry will receive the level of effective regulation by the Office that the industry desires, demands and currently funds.
- 5) The state of Florida will continue to have a highly respected, well run regulatory program that meets the best practices for national accreditation.

Detail of Costs OFR requests the deletion of 28 positions at various levels coupled with adding 14 high level positions above the minimum salary for the class. Currently, the division has 22 vacant positions which will be deleted along with 6 additional positions. The 14 "new" positions are requested at the amount of salary and rate generated by the deletion of the 28, creating a zero dollar issue with a net decrease of 14 FTE.

Salaries and Benefits (010000):		Amount
Position	Title & Pay Grade	
(28)	Various level positions	(\$ 1,619,659)
14	Proposed Class Code (P 101)	\$ 1,619,659

Total:

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	

FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>SFTY &amp; SOUND ST BKG SYST</u>										43900530
<u>PUBLIC PROTECTION</u>										12
<u>REGULATION AND LICENSING</u>										1204.00.00.00
WORKLOAD										3000000
SALARY REALIGNMENT TO PREVENT LOSS OF EXPERIENCED EXAMINERS - ADD										3000A40

(14) \$ 0

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA02 RATE & SALARY ADJ - FTE - NO BENEFITS						
C0002 001	14.00	1,619,659		1,619,659	0.00	1,619,659
TOTALS FOR ISSUE BY FUND						
2275 FINANCIAL INST REG TF						
14.00	1,619,659			1,619,659		1,619,659

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	OVER(UNDER) AGY FIN REQ FY 2010-11	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>SFTY &amp; SOUND ST BKG SYST</u>										43900530
<u>PUBLIC PROTECTION</u>										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
SCHEDULE VIIIIB REDUCTIONS - OPERATING										33B0000
REDUCTION WIRELESS DEVICES										
OFFICE OF FINANCIAL REGULATION EXPENSES										33B1280 040000
FINANCIAL INST REG TF										
-STATE			2,067-							2,067- 2275 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Recognize Cost Savings Related to Cell Phone Reductions

Issue: The Office of Financial Regulation reviewed its use of cellular phones and other wireless devices and established a more restrictive policy for the assignment of wireless devices. OFR reduced the number of devices in use and renegotiated the contracts for the remaining devices. As a result, OFR is offering the savings as a reduction to its budget.

Expenses (040000) Description	Amount
Reduce Expense	(2,067)
Total	(2,067)

Summary: This is a new issue

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2010-11	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>SFTY &amp; SOUND ST BKG SYST</u>						43900530
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
SCHEDULE VIIIIB REDUCTIONS -						
OPERATING						33B0000
REDUCTION OF RENT - OFFICE OF						
FINANCIAL REGULATION						33B2210
EXPENSES						040000
FINANCIAL INST REG TF -STATE		1,801-				1,801- 2275 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Reduction of rent Office of Financial Regulation

Issue: The Office of Financial Regulation renegotiated contracts for office space leases. OFR reduced the cost per square foot of the leased space. As a result, OFR is offering the savings as a reduction to its budget.

Contracted Services (040000)	Quantity	Description	Amount
		Savings in renegotiated rent contract	(1,801)
		Issue Total	(1,801)

Summary: This is a new issue

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TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	14.00-	3,868-		14.00-	3,868-	2000

=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2010-11	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCIAL INVESTIGATIONS</u>						43900540
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
SCHEDULE VIIIIB REDUCTIONS -						
OPERATING						33B0000
REDUCTION OF RENT - OFFICE OF						
FINANCIAL REGULATION						33B2210
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE		1,300-				1,300- 2021 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Reduction of rent - Office of Financial Regulation

Issue: The Office of Financial Regulation renegotiated contracts for office space leases. OFR reduced the cost per square foot of the leased space. As a result, OFR is offering the savings as a reduction to its budget.

Contracted Services (040000)	Quantity	Description	Amount
		Savings in renegotiated rent contract	(1,300)
		Issue Total	(1,300)

Summary: This is a new issue

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCIAL INVESTIGATIONS</u>						43900540
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO						
SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND						
TO THE ADMINISTRATIVE TRUST FUND -						
DEDUCT						34F0120
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		1,704,546-			1,704,546-	2573 1
=====		=====			=====	
EXPENSES						040000
REGULATORY TRUST FUND -STATE		329,936-			329,936-	2573 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND -STATE		5,936-			5,936-	2573 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE		4,732-			4,732-	2573 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE		10,880-			10,880-	2573 1
=====		=====			=====	
TOTAL: TRANSFER FROM REGULATORY TRUST FUND						34F0120
TO THE ADMINISTRATIVE TRUST FUND -						
DEDUCT						
TOTAL ISSUE.....		2,056,030-			2,056,030-	
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>FINANCIAL INVESTIGATIONS</u>										43900540
PUBLIC PROTECTION										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO										
SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM REGULATORY TRUST FUND										
TO THE ADMINISTRATIVE TRUST FUND -										
DEDUCT										34F0120

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriations to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540  
 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,704,546)
Expenses	040000	(\$ 329,936)
Contracted Services	100777	(\$ 5,936)
Risk Management	103241	(\$ 4,732)
Transfer to DMS HR Services	107040	(\$ 10,880)
Total		(\$2,056,030)

Administrative Services 43900550  
 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,406,032)
Expenses	040000	(\$ 186,300)
Contracted Services	100777	(\$ 6,914)



	COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER)	
	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY FIN REQ FY 2010-11	CODES
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCIAL INVESTIGATIONS</u>						43900540
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT						34F0120
Risk Management		103241				(\$ 810)
Transfer to DMS HR Services		107040				(\$ 7,785)
Total						(\$1,607,841)

Office of Financial Investigations - 43900540  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,704,546
Expenses	040000	\$ 329,936
Contracted Services	100777	\$ 5,936
Risk Management	103241	\$ 4,732
Transfer to DMS HR Services	107040	\$ 10,880
Total		\$2,056,030

Administrative Services 43900550  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,406,032
Expenses	040000	\$ 186,300
Contracted Services	100777	\$ 6,914
Risk Management	103241	\$ 810
Transfer to DMS HR Services	107040	\$ 7,785
Total		\$1,607,841

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	AGY FIN REQ FY 2010-11	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>FINANCIAL INVESTIGATIONS</u>										43900540
PUBLIC PROTECTION										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT										34F0120

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2573 REGULATORY TRUST FUND						1,704,546-
						-----
						1,704,546-
						=====

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TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						34F0130
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		1,704,546		1,704,546	2021	1
		=====	=====	=====		
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE		329,936		329,936	2021	1
		=====	=====	=====		

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCIAL INVESTIGATIONS</u>						43900540
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO						
SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM THE REGULATORY TRUST						
FUND TO THE ADMININSTRATIVE TRUST						
FUND - ADD						34F0130
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE		5,936			5,936	2021 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE		4,732			4,732	2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE		10,880			10,880	2021 1
TOTAL: TRANSFER FROM THE REGULATORY TRUST						34F0130
FUND TO THE ADMININSTRATIVE TRUST						
FUND - ADD						
TOTAL ISSUE.....		2,056,030			2,056,030	

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriations to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY FIN REQ FY 2010-11 POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
FINANCIAL INVESTIGATIONS 43900540  
 PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000  
 TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD 34F0130

Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,704,546)
Expenses	040000	(\$ 329,936)
Contracted Services	100777	(\$ 5,936)
Risk Management	103241	(\$ 4,732)
Transfer to DMS HR Services	107040	(\$ 10,880)
<b>Total</b>		<b>(\$2,056,030)</b>

Administrative Services 43900550  
 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,406,032)
Expenses	040000	(\$ 186,300)
Contracted Services	100777	(\$ 6,914)
Risk Management	103241	(\$ 810)
Transfer to DMS HR Services	107040	(\$ 7,785)
<b>Total</b>		<b>(\$1,607,841)</b>

Office of Financial Investigations - 43900540  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,704,546
Expenses	040000	\$ 329,936

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCIAL INVESTIGATIONS</u>						43900540
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO						
SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM THE REGULATORY TRUST						
FUND TO THE ADMININSTRATIVE TRUST						
FUND - ADD						34F0130
Contracted Services		100777			\$	5,936
Risk Management		103241			\$	4,732
Transfer to DMS HR Services		107040			\$	10,880
Total						\$2,056,030

Administrative Services 43900550  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,406,032
Expenses	040000	\$ 186,300
Contracted Services	100777	\$ 6,914
Risk Management	103241	\$ 810
Transfer to DMS HR Services	107040	\$ 7,785
Total		\$1,607,841

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>						43900550
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO						
SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND						
TO THE ADMINISTRATIVE TRUST FUND -						
DEDUCT						34F0120
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		1,406,032-			1,406,032-	2573 1
=====		=====			=====	
EXPENSES						040000
REGULATORY TRUST FUND -STATE		186,300-			186,300-	2573 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND -STATE		6,914-			6,914-	2573 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE		810-			810-	2573 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE		7,785-			7,785-	2573 1
=====		=====			=====	
TOTAL: TRANSFER FROM REGULATORY TRUST FUND						34F0120
TO THE ADMINISTRATIVE TRUST FUND -						
DEDUCT						
TOTAL ISSUE.....		1,607,841-			1,607,841-	
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>										43900550
PUBLIC PROTECTION										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT										34F0120

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriation to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540  
 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,704,546)
Expenses	040000	(\$ 329,936)
Contracted Services	100777	(\$ 5,936)
Risk Management	103241	(\$ 4,732)
Transfer to DMS HR Services	107040	(\$ 10,880)
Total		(\$2,056,030)

Administrative Services 43900550  
 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,406,032)
Expenses	040000	(\$ 186,300)
Contracted Services	100777	(\$ 6,914)



	COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER)	
	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY FIN REQ FY 2010-11	CODES
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>						43900550
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT						34F0120
Risk Management		103241				(\$ 810)
Transfer to DMS HR Services		107040				(\$ 7,785)
Total						(\$1,607,841)

Office of Financial Investigations - 43900540  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,704,546
Expenses	040000	\$ 329,936
Contracted Services	100777	\$ 5,936
Risk Management	103241	\$ 4,732
Transfer to DMS HR Services	107040	\$ 10,880
Total		\$2,056,030

Administrative Services 43900550  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,406,032
Expenses	040000	\$ 186,300
Contracted Services	100777	\$ 6,914
Risk Management	103241	\$ 810
Transfer to DMS HR Services	107040	\$ 7,785
Total		\$1,607,841

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>										43900550
PUBLIC PROTECTION										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT										34F0120

Summary: This is a new issue.

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POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2573 REGULATORY TRUST FUND						1,406,032-
						-----
						1,406,032-
						=====

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TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD							34F0130
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE		1,406,032		1,406,032	2021	1	
		=====	=====	=====			
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE		186,300		186,300	2021	1	
		=====	=====	=====			

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>						43900550
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO						
SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM THE REGULATORY TRUST						
FUND TO THE ADMININSTRATIVE TRUST						
FUND - ADD						34F0130
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE		6,914			6,914	2021 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE		810			810	2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE		7,785			7,785	2021 1
TOTAL: TRANSFER FROM THE REGULATORY TRUST						34F0130
FUND TO THE ADMININSTRATIVE TRUST						
FUND - ADD						
TOTAL ISSUE.....		1,607,841			1,607,841	

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AGENCY ISSUE NARRATIVE:  
 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriation to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11 POS	AMOUNT	AGY AMD REQ FY 2010-11 POS	AMOUNT	AGY AMD N/R FY 2010-11 POS	AMOUNT	AGY AMD ANZ FY 2010-11 POS	AMOUNT	AGY FIN REQ FY 2010-11 POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
EXEC DIR & SUPPORT SERVICE 43900550  
 PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000  
 TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD 34F0130

Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,704,546)
Expenses	040000	(\$ 329,936)
Contracted Services	100777	(\$ 5,936)
Risk Management	103241	(\$ 4,732)
Transfer to DMS HR Services	107040	(\$ 10,880)
<b>Total</b>		<b>(\$2,056,030)</b>

Administrative Services 43900550  
 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,406,032)
Expenses	040000	(\$ 186,300)
Contracted Services	100777	(\$ 6,914)
Risk Management	103241	(\$ 810)
Transfer to DMS HR Services	107040	(\$ 7,785)
<b>Total</b>		<b>(\$1,607,841)</b>

Office of Financial Investigations - 43900540  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,704,546
Expenses	040000	\$ 329,936

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>						43900550
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO						34F0000
SECTION 215.32, FLORIDA STATUTES						
TRANSFER FROM THE REGULATORY TRUST						
FUND TO THE ADMININSTRATIVE TRUST						
FUND - ADD						34F0130
Contracted Services		100777			\$	5,936
Risk Management		103241			\$	4,732
Transfer to DMS HR Services		107040			\$	10,880
Total						\$2,056,030

Administrative Services 43900550  
 Transfer To the Administrative Trust Fund (2021)

Category Title	Category	Amount
Salary and Benefits	010000	\$1,406,032
Expenses	040000	\$ 186,300
Contracted Services	100777	\$ 6,914
Risk Management	103241	\$ 810
Transfer to DMS HR Services	107040	\$ 7,785
Total		\$1,607,841

Summary: This is a new issue.

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2010-11	
					OVER (UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR &amp; SUPPORT SERVICE</u>						43900550
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO						
SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM THE REGULATORY TRUST						
FUND TO THE ADMININSTRATIVE TRUST						
FUND - ADD						34F0130

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							1,406,032
							-----
							1,406,032
							=====

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	AGY AMD N/R FY 2010-11	POS	AGY AMD ANZ FY 2010-11	POS	AGY AMD REQ FY 2010-11	POS	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>FINANCE REGULATION</u>										43900560
<u>PUBLIC PROTECTION</u>										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
SCHEDULE VIIIIB REDUCTIONS - OPERATING										33B0000
REDUCTION WIRELESS DEVICES										
OFFICE OF FINANCIAL REGULATION EXPENSES										33B1280 040000
REGULATORY TRUST FUND										
-STATE				2,066-						2,066- 2573 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Recognize Cost Savings Related to Cell Phone Reductions

Issue: The Office of Financial Regulation reviewed its use of cellular phones and other wireless devices and established a more restrictive policy for the assignment of wireless devices. OFR reduced the number of devices in use and renegotiated the contracts for the remaining devices. As a result, OFR is offering the savings as a reduction to its budget.

Expenses (040000)	Description	Amount
	Reduce Expense	(2,066)
	Total	(2,066)

Summary: This is a new issue

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2010-11	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
SCHEDULE VIIIIB REDUCTIONS -						
OPERATING						33B0000
REDUCTION OF RENT - OFFICE OF						
FINANCIAL REGULATION						33B2210
EXPENSES						040000
REGULATORY TRUST FUND -STATE		1,801-				1,801- 2573 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Reduction of rent - Office of Financial Regulation

Issue: The Office of Financial Regulation renegotiated contracts for office space leases. OFR reduced the cost per square foot of the leased space. As a result, OFR is offering the savings as a reduction to its budget.

Contracted Services (040000)	Quantity	Description	Amount
		Savings in renegotiated rent contract	(1,801)
		Issue Total	(1,801)

Summary: This is a new issue

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TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....		3,867-				3,867- 2000

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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11	OVER(UNDER) AGY FIN REQ FY 2010-11	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>SECURITIES REGULATION</u>										43900570
<u>PUBLIC PROTECTION</u>										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
SCHEDULE VIIIIB REDUCTIONS - OPERATING										33B0000
REDUCTION WIRELESS DEVICES										
OFFICE OF FINANCIAL REGULATION EXPENSES										33B1280 040000
REGULATORY TRUST FUND										
-STATE			2,066-						2,066-	2573 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Recognize Cost Savings Related to Cell Phone Reductions

Issue: The Office of Financial Regulation reviewed its use of cellular phones and other wireless devices and established a more restrictive policy for the assignment of wireless devices. OFR reduced the number of devices in use and renegotiated the contracts for the remaining devices. As a result, OFR is offering the savings as a reduction to its budget.

Expenses (040000) Description	Amount
Reduce Expense	(2,066)
Total	(2,066)

Summary: This is a new issue

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2010-11	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>SECURITIES REGULATION</u>						43900570
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
SCHEDULE VIIIIB REDUCTIONS -						
OPERATING						33B0000
REDUCTION OF RENT - OFFICE OF						
FINANCIAL REGULATION						33B2210
EXPENSES						040000
REGULATORY TRUST FUND -STATE		1,464-				1,464- 2573 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO  
 Amended 2010-11 Narrative after February 3, 2010

Issue Title: Reduction of rent - Office of Financial Regulation

Issue: The Office of Financial Regulation renegotiated contracts for office space leases. OFR reduced the cost per square foot of the leased space. As a result, OFR is offering the savings as a reduction to its budget.

Contracted Services (040000)	Quantity	Description	Amount
	-----	-----	-----
		Savings in renegotiated rent contract	(1,464)
		Issue Total	(1,464)

Summary: This is a new issue

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TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....		3,530-				3,530- 2000

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