BIEADL01 LAS/PBS SYSTEM SP 03/11/2010 14:10 PAGE: 1 EXHIBIT D-3A BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

> COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REO

FY 2010-11 OVER (UNDER)

1600000

160FA70

AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES

FINANCIAL SERVICES 43000000 PRG: CHIEF FIN OFFICER/ADM 43010000 EXECUTIVE DIR/SUPPORT SVCS 43010100 GOV OPERATIONS/SUPPORT EXEC LEADERSHIP/SUPPRT SVC 1602.00.00.00 ADJUSTMENTS TO CURRENT YEAR

ESTIMATED EXPENDITURES REAPPROVAL OF BUDGET AMENDMENT TRANSFERRING POSITIONS FROM

EXECUTIVE DIRECTION TO INFORMATION TECHNOLOGY (DEDUCT)

SALARIES AND BENEFITS 010000 2.00-2.00-

ADMINISTRATIVE TRUST FUND -STATE 

\*

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

Amended 2010-11 Narrative after February 3, 2010

IT COMPONENT? NO

Issue Title: REAPPROVAL OF BUDGET AMENDMENT TRANSFERRING POSITIONS BETWEEN EXECUTIVE DIRECTION AND SUPPORT SERVICES AND INFORMATION TECHNOLOGY (DEDUCT)

This is a technical issue that requests the re-approval of Budget Amendments, Executive Office of the Governor number Q0027 and Q0026, which transfers a Government Analyst II (position number 0010) and a Senior Management Analyst II (position number 01550) from Executive Direction and Support Services. This is a program flexibility amendment and is being done as the result of an agreement between the Office of Strategic Planning and the Division of Information Technology.

Detail of Costs:

Salaries and Benefits:

Position Title & Pay Grade Rate Amount \_\_\_\_\_ \_\_\_\_\_ Government Analyst II (00110) (\$66,155) (50,000) Senior Management Analyst II (01550) (\$60,677) (46,361)

> Issue Total (\$126,832) (96,361)

Summary: This is a new Issue

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 2
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

BUDGET PERIOD: 2000-2011 STATE OF FLORIDA	ISSU	EXPENDITURES E AND APPROPRIAT				DETAIL	EXHIBIT D-3A OF EXPENDITURES
:	AGY FIN REQ FY 2010-11			COL A16  AGY AMD ANZ FY 2010-11 POS AMOUNT	AGY AMD FY 2010- OVER(UND AGY FIN FY 2010-	REQ 11 ER)	CODES
CINANCIAL SERVICES PRG: CHIEF FIN OFFICER/ADM EXECUTIVE DIR/SUPPORT SVCS GOV OPERATIONS/SUPPORT EXEC LEADERSHIP/SUPPRT SVC EXIDJUSTMENTS TO CURRENT YEAR EXTENDATED EXPENDITURES EXAPPROVAL OF BUDGET AMENDMENT EXANSFERRING POSITIONS FROM EXECUTIVE DIRECTION TO INFORMATION							43000000 43010000 43010100 16 1602.00.00.00
ECHNOLOGY (DEDUCT) POSITION DETAIL OF SALARIES AND	BENEFITS:					LAPSE	160FA70  LAPSED SALARIES
	FTE	BASE RATE	ADDITIVES	BENEFITS S	UBTOTAL	왕 	AND BENEFITS
A14 - AGY AMD REQ FY 2010-11							
CHANGES TO CURRENTLY AUTHORIZED RA02 RATE & SALARY ADJ - FTE 00110 001		50,000-			50,000-	0.00	50,000-
01550 001	1.00-	46,381-			46,381-		. ,
TOTALS FOR ISSUE BY FUND 2021 ADMINISTRATIVE TRUST FU	ND						96,381-
		96,381- 			96,381-		96,381-
OFFICE GLIDE MOUNT	============	=======================================	=======================================		=======		========
OTHER SALARY AMOUNT 2021 ADMINISTRATIVE TRUST FU	ND						30,451-
							126,832-

 BIEADL01 LAS/PBS SYSTEM
 EXHIBIT D-3A
 SP 03/11/2010 14:10 PAGE: 3

 BUDGET PERIOD: 2000-2011
 EXPENDITURES BY
 EXHIBIT D-3A

STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

COL A12 COL A14 COL A15 COL A16 COL A14-A12

AGY AMD REO

FY 2010-11 OVER(UNDER)

AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11

POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES

FINANCIAL SERVICES
4300000
PRG: CHIEF FIN OFFICER/ADM
43010000

LEGAL SERVICES 43010200

GOV OPERATIONS/SUPPORT 16
EXEC LEADERSHIP/SUPPRT SVC 1602

EXEC LEADERSHIP/SUPPRT SVC

FUND SHIFT

TRANSFER HOLOCAUST VICTIMS

1602.00.00.00
3400000

ASSISTANCE FROM ADMINISTRATIVE
TRUST FUND TO INSURANCE REGULATORY
TRUST FUND - DEDUCT
SPECIAL CATEGORIES
3400110
100000

HOLOCAUST VICTIMS ASST ADM 101085

ADMINISTRATIVE TRUST FUND -STATE 308,007- 2021 1

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Amended 2010-11 Narrative after February 3,2010

This is a technical issue. The Holocaust Victims Assistance program was incorrectly moved to the Administrative Trust Fund in the 2009-2010 General Appropriation Act. This issue corrects that move.

Summary: This is a new issue

TRANSFER HOLOCAUST VICTIMS

ASSISTANCE FROM ADMINISTRATIVE
TRUST FUND TO INSURANCE REGULATORY
TRUST FUND - ADD 3400120
SPECIAL CATEGORIES 100000
HOLOCAUST VICTIMS ASST ADM 101085

INSURANCE REG TF -STATE 308,007 308,007 2393 1

\*

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Amended 2010-11 Narrative after February 3,2010

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 4
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

STATE OF FLORIDA	ISSUE AND APPROPRIA	DETAIL OF EXPENDITURES	
	COL A12 COL A14	COL A15 COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER)
	~ ~	AGY AMD N/R AGY AMD ANZ FY 2010-11 FY 2010-11 IT POS AMOUNT POS AMOUN	AGY FIN REQ FY 2010-11
FINANCIAL SERVICES PRG: CHIEF FIN OFFICER/ADM LEGAL SERVICES GOV OPERATIONS/SUPPORT EXEC LEADERSHIP/SUPPRT SVC FUND SHIFT TRANSFER HOLOCAUST VICTIMS			4300000 43010000 43010200 16 1602.00.00.00 3400000

This is a technical issue. The Holocaust Victims Assistance program was incorrectly moved to the Administrative Trust Fund in the 2009-2010 General Appropriation Act. This issue corrects that move.

ASSISTANCE FROM ADMINISTRATIVE
TRUST FUND TO INSURANCE REGULATORY

TRUST FUND - ADD

3400120

 BIEADL01 LAS/PBS SYSTEM
 EXHIBIT D-3A
 SP 03/11/2010 14:10 PAGE: 5

 BUDGET PERIOD: 2000-2011
 EXPENDITURES BY
 EXHIBIT D-3A

BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

COL A12 COL A14 COL A15 COL A16 COL A14-A12
AGY AMD REQ
FY 2010-11
OVER (UNDER)

AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ
FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11
POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES

FINANCIAL SERVICES
PRG: CHIEF FIN OFFICER/ADM
INFORMATION TECHNOLOGY
GOV OPERATIONS/SUPPORT
INFORMATION TECHNOLOGY
ADJUSTMENTS TO CURRENT YEAR
ESTIMATED EXPENDITURES
REAPPROVAL OF BUDGET AMENDMENT
TRANSFERRING POSITIONS FROM
EXECUTIVE DIRECTION TO INFORMATION

EXECUTIVE DIRECTION TO INFORMATION

TECHNOLOGY (ADD) 160FA60

SALARIES AND BENEFITS 010000

2.00 2.00 ADMINISTRATIVE TRUST FUND -STATE 126,832 126,832 2021 1

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

Amended 2010-11 Narrative after February 3, 2010

IT COMPONENT? NO

43000000

43010000

43010300

1600000

1603.00.00.00

Issue Title: REAPPROVAL OF BUDGET AMENDMENT TRANSFERRING POSITIONS BETWEEN EXECUTIVE DIRECTION AND SUPPORT SERVICES AND INFORMATION TECHNOLOGY (ADD)

This is a technical issue that requests the re-approval of Budget Amendments, Executive Office of the Governor number Q0027 and Q0026, which transfers a Government Analyst II (position number 0010) and a Senior Management Analyst II (position number 01550) from Executive Direction and Support Services. This is a program flexibility amendment and is being done as the result of an agreement between the Office of Strategic Planning and the Division of Information Technology.

Detail of Costs:

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Rate
1	Government Analyst II (00110)	\$66,155	50,000
1	Senior Management Analyst II (01550)	\$60,677	46,361
	Issue Total	\$126,832	96,361

Summary: This is a new issue.

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 6
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

STATE OF FLORIDA	ISSU	EXPENDITURES E AND APPROPRIAT				DETAIL	OF EXPENDITURE
	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	COL A15  AGY AMD N/R FY 2010-11 POS AMOUNT	AGY AMD ANZ FY 2010-11	FY 2010-	REQ -11 DER) REQ	CODES
FINANCIAL SERVICES PRG: CHIEF FIN OFFICER/ADM INFORMATION TECHNOLOGY GOV OPERATIONS/SUPPORT INFORMATION TECHNOLOGY ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES REAPPROVAL OF BUDGET AMENDMENT TRANSFERRING POSITIONS FROM EXECUTIVE DIRECTION TO INFORMATION TECHNOLOGY (ADD)							43000000 43010000 43010300 16 1603.00.00.00 1600000
POSITION DETAIL OF SALARIES AND		BASE RATE	ADDITIVES	BENEFITS S		LAPSE %	LAPSED SALARIES
A14 - AGY AMD REO FY 2010-11							
CHANGES TO CURRENTLY AUTHORIZED RA02 RATE & SALARY ADJ - FTE 00110 001 01550 001		50,000 46,381			40,301	0.00	
CHANGES TO CURRENTLY AUTHORIZED RA02 RATE & SALARY ADJ - FTE 00110 001	: - NO BENEFITS 1.00 1.00						96,381
CHANGES TO CURRENTLY AUTHORIZED RA02 RATE & SALARY ADJ - FTE 00110 001 01550 001 TOTALS FOR ISSUE BY FUND	- NO BENEFITS 1.00 1.00 	96,381			96,381		96,381 96,381
CHANGES TO CURRENTLY AUTHORIZED RA02 RATE & SALARY ADJ - FTE 00110 001 01550 001 TOTALS FOR ISSUE BY FUND	ND ====================================	96,381			96,381		96,381 96,381

BIEADL01 LAS/PBS SYSTEM

## EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 7

BUDGET PERIOD: 2000-2011		EXPENDITURE				EXHIBIT D-3A
STATE OF FLORIDA		SUE AND APPROPRIA				OF EXPENDITURES
	COL A12  AGY FIN REQ FY 2010-11	COL A14  AGY AMD REQ FY 2010-11	COL A15  AGY AMD N/R  FY 2010-11  IT POS AMOUN	COL A16  AGY AMD ANZ FY 2010-11	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REQ FY 2010-11	CODES
FINANCIAL SERVICES PROGRAM: TREASURY SUP RETIREMENT PLAN GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES REAPPROVAL OF FIVE PERCENT TRANS WITHIN THE SUPPLEMENTAL RETIREME PLAN BUDGET ENTITY (ADD)						43000000 43100000 43100400 16 1601.00.00.00
OTHER PERSONAL SERVICES		10.00			10.000	030000
TREASURY ADM/INVEST TF -STA		10,00	)() := ============	= =========	10,000	2725 1
**************************************	IVE:		IT COMPONE		******	******
Amended 2010-11 Narrative	after February 3	, 2010				
Issue Title: Re-approval o	of Five Percent T	ransfer within th	ne Supplemental Re	etirement Plan Bu	dget Entity (ADD)	)
Re-approval of five percen Entity. The Department of Administrative and Investm	Financial Servic ent Trust Fund f	es, Supplemental rom Expenses to C	Retirement Plan,	needs to move \$1	0,000 in the Trea	asury

budget authority for payments to temporary employees.

OPS(030000):

Quantity Description Amount \$10,000

Expenses (040000):

Quantity Description Amount \$(10,000)

Summary: This is a new issue.

BIEADL01 LAS/PBS SYSTEM SP 03/11/2010 14:10 PAGE: EXHIBIT D-3A BUDGET PERIOD: 2000-2011 EXPENDITURES BY

EXHIBIT D-3A STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES COL A14 COL A15 COL A12 COL A16 COL A14-A12 AGY AMD REO FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PROGRAM: TREASURY 43100000 SUP RETIREMENT PLAN 43100400 GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS 1601.00.00.00 ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES 1600000 REAPPROVAL OF FIVE PERCENT TRANSFER WITHIN THE SUPPLEMENTAL RETIREMENT PLAN BUDGET ENTITY (DEDUCT) 160F110 EXPENSES 040000 TREASURY ADM/INVEST TF -STATE 10,000-10,000- 2725 1 AGENCY ISSUE NARRATIVE: 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO Amended 2010-11 Narrative after February 3, 2010 Issue Title: Re-approval of Five Percent Transfer within the Supplemental Retirement Plan Budget Entity (DEDUCT) Re-approval of five percent transfer (EOG Log #: B7005) between categories within the Supplemental Retirement Plan Budget Entity. The Department of Financial Services, Supplemental Retirement Plan, needs to move \$10,000 in the Treasury Administrative and Investment Trust Fund from Expenses to Other Personal Services. This transfer is being made to provide budget authority for payments to temporary employees. OPS(030000): Quantity Description Amount. \_\_\_\_\_ \_\_\_\_\_ \$10,000 Expenses (040000): Quantity Description Amount

> \_\_\_\_\_ \$(10,000)

Summary: This is a new issue.

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 9
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

STATE OF FLORIDA	15501	E AND APPROPRIATI	ON CATEGORY		DETALL	OF EXPENDITURES
	AGY FIN REQ	COL A14	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REQ	
	POS AMOUNT	FY 2010-11 POS AMOUNT				CODES
FINANCIAL SERVICES PROGRAM: TREASURY SUP RETIREMENT PLAN GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS PROGRAM ISSUES ADDITIONAL BUDGET AUTHORITY IN SUPPLEMENTAL RETIREMENT PLAN TO						43000000 43100000 43100400 16 1601.00.00.00 4000000
COMPLY WITH DEFERRED COMPENSATION PROGRAM STATUTE SPECIAL CATEGORIES DEFERRED COMP ADM SVCS						4000120 100000 100868
TREASURY ADM/INVEST TF -STATE	=========	1,050,000	=======================================	=========	1,050,000	2725 1

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

Amended 2010-11 Narrative after February 3, 2010

IT COMPONENT? NO

Issue Title: Additional Budget Authority in Supplemental Retirement Plan to Comply with Deferred Compensation Program Statute

\*

Issue Description/Need:

Section 112.215(4)(e), Florida Statutes, states the administrative costs of the deferred compensation plan must be wholly or partially self-funded. Fees for such self-funding of the plan shall be paid by investment providers and may be recouped from their respective plan participants. Such fees shall be deposited in the Deferred Compensation Trust Fund.

Currently, 65 cents of the \$1.20 fee collected is deposited into outside accounts. These outside accounts pay for communication and record keeping and compliance services. In order to comply with statute, the fees currently deposited into these outside accounts will need to be deposited into the Deferred Compensation Trust Fund and an appropriation for these services will need to be provided in the Supplemental Retirement Plan budget entity.

The communication services include various mail-outs and other outreach efforts to deferred compensation participants and to the pool of potential participants. Without these services, there would be no active recruitment or education materials available for current and potential participants. As Plan Fiduciary, the Employee Retirement Income Security Act of 1974 (ERISA) requires the department provide access to basic investment education on diversification and investment asset classes for Plan participants. Education reduces the fiduciary liability and risk of litigation. In addition, the Plan Fiduciary best practice is to provide a comprehensive plan enrollment program to include tax savings benefit, investment tools and comparative and detailed information on investment options. Ongoing education programs are part of the Plan Fiduciaries duties and must engage in an objective process to assess the investment provider's qualifications, service, and fees.

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A
BUDGET PERIOD: 2000-2011 EXPENDITURES BY
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY

03/11/2010 14:10 PAGE: 10 EXHIBIT D-3A DETAIL OF EXPENDITURES

4000120

COL A12  AGY FIN REQ FY 2010-11 POS AMOUNT	FY 2010-11		CODES
	 	 	43000000 43100000 43100400 16 1601.00.00.00

Record keeping and compliance services involve the collection of participant financial and demographic information from the five deferred compensation providers on a daily basis. The record keeping vendor is the central source that collects the participant deferred compensation payroll information that is then fed to the Bureau of State Payrolls and non-centralized agencies such as universities to withdraw actual dollars from the participants' payroll warrants. Record keeping services are necessary to maintain current information on plan activity. The record keeping vendor also compiles the data, providing a comprehensive file of participant and provider activity. This information is used by staff to update participant accounts, make corrections if needed, and to daily update the Deferred Compensation website. The Compliance services include the audit expense and other expenses that are necessary to comply with the Deferred Compensation F.S. 112.215 and the contracts between the State and the Investment Vendors.

In order to comply with F.S. 112.215, the fees currently deposited into outside accounts will need to be deposited into the Deferred Compensation Trust Fund. An appropriation for these services is necessary in the Supplemental Retirement Plan budget entity.

The operating budget for the Supplemental Retirement plan is appropriated from the Treasury Administrative and Investment Trust Fund. The cash is transferred from the Deferred Compensation Trust Fund to cover the appropriation.

\*\*\*\*\*\*

This request does not have an impact on the General Revenue Fund.

Detail of Costs:

FINANCIAL SERVICES
PROGRAM: TREASURY
SUP RETIREMENT PLAN
GOV OPERATIONS/SUPPORT
GOVERNMENTAL OPERATIONS

PROGRAM ISSUES

PROGRAM STATUTE

ADDITIONAL BUDGET AUTHORITY IN SUPPLEMENTAL RETIREMENT PLAN TO COMPLY WITH DEFERRED COMPENSATION

Deferred Compensation Administrative Services (100868) Recurring
-----Total \$1,050,000

Summary: This is a new issue.

BIEADL01 LAS/PBS SYSTEM	EXHIBIT D-3A	SP	03/11/2010 14:10 PAGE: 11
BUDGET PERIOD: 2000-2011	EXPENDITURES BY		EXHIBIT D-3A
STATE OF FLORIDA	ISSUE AND APPROPRIATION CATEGORY		DETAIL OF EXPENDITURES

STATE OF FLORIDA	ISSUE AND APPROPRIATION CATEGORY					OF EXPENDITURES
		COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER)	
		FY 2010-11		AGY AMD ANZ FY 2010-11 POS AMOUNT	AGY FIN REQ FY 2010-11	CODES
FINANCIAL SERVICES PROGRAM: TREASURY SUP RETIREMENT PLAN GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS						43000000 43100000 43100400 16 1601.00.00.00
TOTAL: GOVERNMENTAL OPERATIONS BY FUND TYPE TRUST FUNDS		1,050,000			1,050,000	<u>1601.00.00.00</u> 2000
TRUST FUNDS				=========		2000

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 12
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA STATE OF FLORIDA DEPONDENTING CATEGORY

STATE OF FLORIDA		ISSUE AND APPROPRIATION CATEGORY							DETAIL	OF EXPENDITURES		
	COL	A12	(	COL A14	CO	L A15	COL	A16	AGY A FY 20	MD REQ		
			~		AMD REQ					AGY F	~	
					2010-11 AMOUNT			POS				CODES
FINANCIAL SERVICES PGM: ST PROP/CASUALTY CLMS ST SELF-INSURED CLAIMS ADJ GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS PROGRAM OR SERVICE-LEVEL												43000000 43400000 43400100 16 1601.00.00.00
INFORMATION TECHNOLOGY RISK MANAGEMENT INFORMATION BUSINESS NEEDS ANALYSIS SPECIAL CATEGORIES CONTRACTED SERVICES	SYSTEM											36320C0 100000 100777
STATE RISK MGMT TF	-STATE	:	300,000		175,000						125,000-	2078 1

## AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Risk Management Information System Business Needs Analysis

Reference to Long-Range Program Plan: The procurement of consulting services to perform re-engineering of business practices and a feasibility study is a necessary first step towards increasing the financial accountability across all agencies in the state of Florida. The deliverables produced by the consulting firm will be used to procure a comprehensive risk management information system (RMIS) to support the newly developed best practices. This effort is expected to produce more efficient risk management operations, fewer claims, more accurate financial statements, appropriate insurance levels and stronger risk management for all state agencies and universities, all of which will result in cost savings for the state of Florida and its citizens.

ISSUE SUMMARY: The Division of Risk Management is requesting to re-engineer its risk management information system (RMIS) and the business processes that drive it. The current system no longer meets the business needs of its customers due to changes in industry requirements and the isolated components used to administer the risk management program. The division needs a cohesive system that will operate to achieve all goals of the department and, by extension, the State of Florida agencies and universities. The division procured consultant will document how other comparable insurance companies, risk management firms and government entities are performing these tasks and a methodology for the application of these practices into the state environment.

Re-engineering is needed to comply with state and federal mandates of electronic data interfacing (EDI). Both carry substantial fines and penalties for inaccuracy or non-compliance. These mandates require changes to business processes and increased functionality and reporting capabilities. Current system functionality is not designed to accommodate these mandates and requires duplicate entry of data and manual initiation of daily data transfers.

A currently unsupported imaging system was developed prior to the current RMIS system for supplemental claims handling processes and remains incompatible and segregated as it was designed and customized for workflow in 2000.

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 13
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

STATE OF FLORIDA	1550	DETAL	DETAIL OF EXPENDITORES			
	COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
	POS AMOUNT	r pos amount	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES PGM: ST PROP/CASUALTY CLMS ST SELF-INSURED CLAIMS ADJ GOV OPERATIONS/SUPPORT						43000000 43400000 43400100
GOVERNMENTAL OPERATIONS PROGRAM OR SERVICE-LEVEL						1601.00.00.00
INFORMATION TECHNOLOGY						3630000

In addition to handling claims, the system is also needed for substantial accounting processes including the issuance of casualty and property premiums to the agencies and universities it insures. The current database structure does not facilitate the premium generation process and requires the manual manipulation of data after exporting the data to another application.

36320C0

RISK MANAGEMENT INFORMATION SYSTEM

BUSINESS NEEDS ANALYSIS

The inefficiencies of check processing within the database have remained undiagnosed despite joint efforts between the vendor and the department's IT staff. This process can take anywhere from 1 hour to 6 hours to produce a minimum number of checks. The accounting module is inefficient with regards to account reconciliation, vendor management and audit capability. There is no alignment with the state accounting structure of object codes. Without object code alignment functionality, outside applications are again required for data manipulation and state financial reporting reducing the ability to produce timely and accurate financial data.

The current RMIS system is housing workers' compensation, liability, property claims and property policies. In managing the policies of more than 49 insured entities covering approximately 22,000 buildings, it is essential to have efficient and comprehensive reporting available to the division's customers and to secure excess property insurance of the highest level for the lowest cost. Part of the re-engineering process will be to review the property data gathering process and requirements to standardize the building valuation process; obtain the most cost-effective excess property insurance; and implement on-line reporting capabilities for the insured entities and for the division. The current system does not support historical changes and therefore paper files must be managed in addition to system data in order to maintain value changes and exposure data needed to reconcile requests from the insured entities. The accuracy of data valuation in comparison to the cost of claims paid out determines the level of risk in which the commercial insurance market is willing to invest.

Claims handling practices make up the majority of existing workflow processes within the Division. The application of insurance industry best practices will serve to increase the effectiveness of claims handling to keep staffing at its current level for which it already exceeds the industry standard caseload by a factor of 3. This workflow improvement will improve service levels while complying with increased responsibilities from state and federal mandates for EDI without adding FTE's.

BENEFITS The study and application of best practices in the insurance industry will increase operational efficiency at the division. These efficiencies will be converted into requirements for a comprehensive RMIS. Manual processes will be eliminated from premium calculations, generation of EDI files and communication of policy changes with insured entities. Purchasing the most cost-effective level of excess insurance will translate into cost savings for all state agencies.

EXHIBIT D-3A EXPENDITURES BY ISSUE AND APPROPRIATION CATEGORY BIEADL01 LAS/PBS SYSTEM SP 03/11/2010 14:10 PAGE: 14 IEADL01 LAS/PBS SYSTEM BUDGET PERIOD: 2000-2011 STATE OF FLORIDA

DETAIL OF EXPENDITURES

EXHIBIT D-3A

COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REO FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES

FINANCIAL SERVICES PGM: ST PROP/CASUALTY CLMS ST SELF-INSURED CLAIMS ADJ GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS PROGRAM OR SERVICE-LEVEL INFORMATION TECHNOLOGY RISK MANAGEMENT INFORMATION SYSTEM BUSINESS NEEDS ANALYSIS

43000000 43400000 43400100

1601.00.00.00

3630000

36320C0

ASSUMPTIONS AND CONTRAINTS In order to design a comprehensive RMIS to meet the needs of the division and its customers the business processes must be re-engineered for current industry best practices and requirements. Successful procurement of this system is dependent on developing system requirements based on re-engineered business practices and aligning them with industry enabling products.

IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS. IMPLEMENTATION APPROACH The consultant will deliver best practices for claims handling and policy management in the state environment; industry research of products appropriate for the division and its best practices; a feasibility study; and system requirements.

IMPLEMENTATION TIMELINE FY 10-11 Business Process Analysis, Schedule IV-B Feasibility Study

FY 11-12 Use Cases, ITN

FY 12-13 Requirement Validation, Data Conversion, Design Development, Testing, Implementation

ESTIMATED COSTS

Contracted Services:

Quantity	Description	Amount	Non-Recurring
1	Business Analysis & Feasibility	\$300,000	
	Issue Total	\$300,000	
		=======	

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Risk Management Information System Business Needs Analysis

Reference to Long-Range Program Plan: The procurement of consulting services to perform re-engineering of business practices and a feasibility study is a necessary first step towards increasing the financial accountability across all agencies in the state of Florida. The deliverables produced by the consulting firm will be used to procure a

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 15
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REO	
				FY 2010-11 OVER(UNDER)	
AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	
POS AMOUN'	r pos amount	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES

PINANCIAL SERVICES
PGM: ST PROP/CASUALTY CLMS
ST SELF-INSURED CLAIMS ADJ
GOV OPERATIONS/SUPPORT
GOVERNMENTAL OPERATIONS
PROGRAM OR SERVICE-LEVEL
INFORMATION TECHNOLOGY
RISK MANAGEMENT INFORMATION SYSTEM
BUSINESS NEEDS ANALYSIS

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comprehensive risk management information system (RMIS) to support the newly developed best practices. This effort is expected to produce more efficient risk management operations, fewer claims, more accurate financial statements, appropriate insurance levels and stronger risk management for all state agencies and universities, all of which will result in cost savings for the state of Florida and its citizens.

ISSUE SUMMARY: The Division of Risk Management (DRM) is requesting spending authority in the amount of \$175,000 from the Risk Management Trust Fund to re-engineer its risk management information system (RMIS) and the business processes that drive it. The current system no longer meets the business needs of its customers due to changes in industry requirements and the isolated components used to administer the risk management program. The division needs a cohesive system that will operate to achieve all goals of the department and, by extension, the state of Florida agencies and universities. The division procured consultant will document how other comparable insurance companies, risk management firms and government entities are performing these tasks and a methodology for the application of these practices into the state environment.

Re-engineering is needed to comply with state and federal mandates of electronic data interfacing (EDI). Both carry substantial fines and penalties for inaccuracy or non-compliance. These mandates require changes to business processes and increased functionality and reporting capabilities. Current system functionality is not designed to accommodate these mandates and requires duplicate entry of data and manual initiation of daily data transfers.

A currently unsupported imaging system was developed prior to the current RMIS system for supplemental claims handling processes and remains incompatible and segregated as it was designed and customized for workflow in 2000.

In addition to handling claims, the system is also needed for substantial accounting processes including the issuance of casualty and property premiums to the agencies and universities it insures. The current database structure does not facilitate the premium generation process and requires the manual manipulation of data after exporting the data to another application.

The inefficiencies of check processing within the database have remained undiagnosed despite joint efforts between the vendor and the department's IT staff. This process can take anywhere from 1 hour to 6 hours to produce a minimum number of checks. The accounting module is inefficient with regards to account reconciliation, vendor management and audit capability. There is no alignment with the state accounting structure of object codes. Without object code alignment functionality, outside applications are again required for data manipulation and state financial reporting reducing the ability to produce timely and accurate financial data.

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A 03/11/2010 14:10 PAGE: 16 BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

	COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REO	
					FY 2010-11 OVER(UNDER)	
	AGY FIN REQ FY 2010-11	AGY AMD REQ FY 2010-11	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY FIN REQ FY 2010-11	
	POS AMOUNT	CODES				
FINANCIAL SERVICES						43000000

PGM: ST PROP/CASUALTY CLMS ST SELF-INSURED CLAIMS ADJ GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS PROGRAM OR SERVICE-LEVEL INFORMATION TECHNOLOGY RISK MANAGEMENT INFORMATION SYSTEM BUSINESS NEEDS ANALYSIS

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The current RMIS system is housing workers' compensation, liability, property claims and property policies. In managing the policies of more than 49 insured entities covering approximately 22,000 buildings, it is essential to have efficient and comprehensive reporting available to our customers to be able to secure excess property insurance of the highest level for the lowest cost. Part of the re-engineering process will be to review the property data gathering process and requirements to standardize the building valuation process; obtain the most cost-effective excess property insurance; and implement on-line reporting capabilities for the insured entities and for DRM. The current system does not support historical changes and therefore paper files must be managed in addition to system data in order to maintain value changes and exposure data needed to reconcile requests from the insured entities. The accuracy of data valuation in comparison to the cost of claims paid out determines the level of risk in which the commercial insurance market is willing to invest.

Claims handling practices make up the majority of existing workflow processes within the Division. The application of insurance industry best practices will serve to increase the effectiveness of claims handling to keep staffing at its current level for which it already exceeds the industry standard caseload by a factor of 3. This work-flow improvement will improve service levels while complying with increased responsibilities from state and federal mandates for EDI without adding FTE's.

BENEFITS: The study and application of best practices in the insurance industry will increase operational efficiency at DRM. These efficiencies will be converted into functional and technical requirements for a comprehensive RMIS. Manual processes will be eliminated from premium calculations, generation of EDI files and communication of policy changes with insured entities. Purchasing the most cost-effective level of excess insurance will translate into cost savings for all state agencies.

ASSUMPTIONS AND CONTRAINTS: In order to design a comprehensive RMIS to meet the needs of the division and its customers we must first have the business processes re-engineered for current industry best practices and requirements. Successful procurement of this system is dependent on developing functional and technical system requirements based on re-engineered business practices and aligning them with industry enabling products.

IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS: IMPLEMENTATION APPROACH: The consultant will deliver best practices for claims handling and policy management in the state environment; industry research of products appropriate for the division and its best practices; a feasibility study; and system requirements.

BIEADL01 LAS/PBS SYSTEM BUDGET PERIOD: 2000-2011

EXHIBIT D-3A EXPENDITURES BY SP 03/11/2010 14:10 PAGE: 17

EXHIBIT D-3A

STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

> COL A12 COL A14

COL A15 COL A16

COL A14-A12 AGY AMD REQ

> FY 2010-11 OVER (UNDER)

AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11

POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES

FINANCIAL SERVICES

PGM: ST PROP/CASUALTY CLMS ST SELF-INSURED CLAIMS ADJ

GOV OPERATIONS/SUPPORT GOVERNMENTAL OPERATIONS

PROGRAM OR SERVICE-LEVEL INFORMATION TECHNOLOGY

RISK MANAGEMENT INFORMATION SYSTEM

BUSINESS NEEDS ANALYSIS

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## IMPLEMENTATION TIMELINE:

FY 10-11 Business Process Analysis, Schedule IV-B Feasibility Study, ITN Development

Sept 2010 Consultant Procurement through State Term Contract

Oct/Nov 2010

Business Process Analysis

Dec 2010

Requirements Validation and Feasibility Study

Jan 2011

Begin ITN Development

Begin ITN Development

FY 11-12 ITN/Procurement of RMIS System

ESTIMATED COSTS DRM has received informal price estimates from two firms for these services, one for \$180,000 and another for \$100,000. Similar projects for other agencies have ranged in cost from \$120,000 to \$186,000.

Contracted Services:

Quantity Description Amount Non-Recurring \_\_\_\_\_ \_\_\_\_\_

Business Analysis & Feasibility \$175,000

COST IMPACT OF OVERALL OPERATIONS AND MAINTENANCE No operation or maintenance cost for the consultant.

Summary: This is a change in the dollar amount being request from \$300,000 to \$175,000

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 18
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

COL A12 COL A14 COL A15 COL A16 COL A14-A12

AGY AMD REQ FY 2010-11 OVER(UNDER)

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AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11

POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES

FINANCIAL SERVICES
PGM: LICNSNG/CNSMER PROTEC
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PUBLIC PROTECTION
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ADJUSTMENTS TO CURRENT YEAR
ESTIMATED EXPENDITURES

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ADJUSTMENTS TO CURRENT YEAR
ESTIMATED EXPENDITURES
REAPPROVAL OF BUDGET AMENDMENT
TRANSFERRING POSITION FROM
REHABILITATION AND LIQUIDATION TO

REHABILITATION AND LIQUIDATION TO INSURANCE FRAUD - DEDUCT

SALARIES AND BENEFITS
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INSURANCE REG TF -STATE 52,571- 52,571- 2393 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

Amended 2010 Narrative after February 3, 2010

IT COMPONENT? NO

This issue is for the re-approval of current year budget amendment EOG Log#Q0029, approved January 25th, 2010.

The Department of Financial Services requests the transfer of one (1) position and budget authority within programs from identical funding sources, pursuant to Section 216.292(2)(b)2, Florida Statutes. The Department requests the move of 1 position, 33,106 in Salary Rate, and the related budget authority in Salaries and Benefits (010000) of \$21,905 (or an annualized amount of \$52,571) in the Insurance Regulatory Trust Fund from Insurance Company Rehabilitation and Liquidation to the Division of Insurance Fraud.

The original amendment moved 5 months of Salaries and Benefits from between budget entities Insurance Company Rehabilitation and Liquidation and the Division of Insurance Fraud. This budget issue moves the the annualized amount for 12 months.

In addition to the move of Salaries and Benefits, Salary Rate was also moved. In order to ensure that the original budget amendment and this budget issue match, an additional Salary Rate transaction is included in this issue. The Salary Rate moved in the original amendment was 33,106; the sum of the transactions in this issue, related to Salary Rate, equal the original budget amendment.

BIEADL01 LAS/PBS SYSTEM	EXHIBIT D-3A	SP	03/11/2010 14:10 PAGE:	19
BUDGET PERIOD: 2000-2011	EXPENDITURES BY		EXHIBIT D-	-3A
STATE OF FLORIDA	TSSHE AND APPROPRIATION CATEGORY		DETAIL OF EXPENDITUE	

BUDGET PERIOD: 2000-2011 STATE OF FLORIDA		EXPENDITURES JE AND APPROPRIAT		EXHIBIT D-3.  DETAIL OF EXPENDITURE			
	COL A12		COL A15	COL A16			
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	, ,		
	FY 2010-11 POS AMOUNT	FY 2010-11 POS AMOUNT			FY 2010-11 NT POS AMOUNT	C CODES	
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INANCIAL SERVICES GM: LICNSNG/CNSMER PROTEC NSURANCE CO REHAB/LIQDATN PUBLIC PROTECTION						43000000 43500000 43500100 12	
REGULATION AND LICENSING DJUSTMENTS TO CURRENT YEAR STIMATED EXPENDITURES EAPPROVAL OF BUDGET AMENDMENT						1204.00.00.00 1600000	
RAPSFERVAL OF BUDGET AMENDMENT RANSFERRING POSITION FROM EHABILITATION AND LIQUIDATION TO NSURANCE FRAUD - DEDUCT						160FA80	
POSITION DETAIL OF SALARIES AND	D BENEFITS:				IADCI	LAPSED SALARIES	
	FTE	BASE RATE	ADDITIVES	BENEFITS		AND BENEFITS	
A14 - AGY AMD REQ FY 2010-11							
CHANGES TO CURRENTLY AUTHORIZED 0130 RECORDS SPECIALIST - SE							
C0575 001		35,893-		16,678-	52,571- 0.0	52,571-	
TOTALS FOR ISSUE BY FUND 2393 INSURANCE REG TF						52,571-	
	1.00-			16,678-		52,571-	

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 20
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

COL A12 COL A14 COL A15 COL A16 COL A14-A12
AGY AMD REQ
FY 2010-11

AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11

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FINANCIAL SERVICES 4300000
PGM: LICNSNG/CNSMER PROTEC 43500000
INSURANCE FRAUD 43500300
PUBLIC PROTECTION 12

CONSUMER SAFETY/PROTECTION

ADJUSTMENTS TO CURRENT YEAR
ESTIMATED EXPENDITURES
REAPPROVAL OF BUDGET AMENDMENT
TRANSFERRING POSITION FROM
REHABILITATION AND LIQUIDATION TO
INSURANCE FRAUD - ADD

SALARIES AND BENEFITS 010000

1.00 1.00

INSURANCE REG TF -STATE 52,571 52,571 2393 1

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AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

Amended 2010 Narrative after February 3, 2010

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BIEADL01 LAS/PBS SYSTEM	EXHIBIT D-3A	SP	03/11/2010 14:10 PAGE:	21
BUDGET PERIOD: 2000-2011	EXPENDITURES BY		EXHIBIT D	-3A
STATE OF FLORIDA	TSSHE AND APPROPRIATION CATEGORY		DETAIL OF EXPENDITE	RES

STATE OF FLORIDA		EXPENDITURES UE AND APPROPRIAT		DETAIL OF EXPENDITURES				
	COL A12	COL A14		COL A16	COL A14- AGY AMD FY 2010- OVER(UNI	-A12 REQ -11		
	FY 2010-11	AGY AMD REQ FY 2010-11 T POS AMOUNT	FY 2010-11	FY 2010-11	AGY FIN FY 2010-	REQ	CODES	
'INANCIAL SERVICES 'GM: LICNSNG/CNSMER PROTEC NSURANCE FRAUD PUBLIC PROTECTION CONSUMER SAFETY/PROTECTION DJUSTMENTS TO CURRENT YEAR							43000000 43500000 43500300 12 1205.00.00.00	
STIMATED EXPENDITURES EAPPROVAL OF BUDGET AMENDMENT RANSFERRING POSITION FROM EHABILITATION AND LIQUIDATION TO NSURANCE FRAUD - ADD							1600000 160FA90	
POSITION DETAIL OF SALARIES ANI	D BENEFITS:	BASE RATE	ADDITIVES	BENEFITS S	SUBTOTAL	LAPSE %	LAPSED SALARIE AND BENEFITS	
A14 - AGY AMD REQ FY 2010-11								
CHANGES TO CURRENTLY AUTHORIZED 0130 RECORDS SPECIALIST - SE								
C0575 001	1.00	35,893 		16,678 	52,571	0.00	52,571 	
TOTALS FOR ISSUE BY FUND 2393 INSURANCE REG TF							52,571	
		35,893		16,678			52,571	

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 22
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

STATE OF FLORIDA	ISSUE AND APPROPRIATION CATEGORY									DETAIL OF EXPENDITORES			
	COL A12		C0	COL A14		COL A15		COL A16		A14-A12 AMD REQ 010-11 (UNDER)			
	AGY F	IN REQ	AGY	AMD REQ	AGY	AMD N/R				FIN REQ			
	FY 20	10-11	FY 2	010-11	FY	2010-11	FY :	2010-11	FY 2	010-11			
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES		
FINANCIAL SERVICES											43000000		
PGM: FINANCIAL SVCS COMM											43900000		
OFFICE OF FINANCIAL REG SFTY & SOUND ST BKG SYST											43900500 43900530		
PUBLIC PROTECTION											12		
REGULATION AND LICENSING											1204.00.00.00		
WORKLOAD											3000000		
SALARY REALIGNMENT TO PREVENT LOSS											300000		
OF EXPERIENCED EXAMINERS - DEDUCT											3000A30		
SALARIES AND BENEFITS											010000		
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AGENCY ISSUE NARRATIVE:

FINANCIAL INST REG TE

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

1,619,659-2275 1

Amended 2010-2011 Narrative after February 3, 2010

\_ STATE

Issue Title: Salary Realignment to Prevent Loss of Experienced Examiners - Deduct

Reference to Long-Range Program Plan: Goal #2: Ensure the safety and soundness of the state financial institution system

Issue: Loss of Experienced Examiners: Office of Financial Regulation (OFR) is losing a large number of financial institution examiners to federal regulatory agencies for significantly higher salaries than OFR is able to pay. OFR has lost 11 examination staff to the Federal Deposit Insurance Corporation (FDIC) or the Office of the Comptroller of the Currency (OCC) between January 1, 2009 and January 31, 2009. Salary increases ranged from 18% to over 100% of the OFR salary. Because OFR examiners work in conjunction with the federal regulators, the federal agencies are able to identify and recruit the best and brightest OFR employees. In December, 2009 the National Credit Union Administration (NCUA) announced a plan to add 74 new positions. Additionally, the FDIC plans to add another 400 examiners nationwide and the Federal Reserve Bank of Atlanta (FRB) plans to increase examination staff by 10 in the Southeast, mostly in Florida. All of these plans put OFR at risk of losing additional examiners due to the higher salaries paid.

Recommended Solution: Develop a realignment plan focused on upper level examination and regulatory positions to offer examiners a career path, retention and recruitment possibilities, and establish management succession to ensure a capable and well-regarded regulatory program.

Development of the Plan: A salary realignment plan was developed to address disparities between the federal financial institution regulators and Florida examiners. The realignment plan begins at the Financial Control Analyst (FCA) level. An FCA will have a minimum of four years of experience (some have over 30 years of experience) and function as examiner-in-charge (EIC) of larger, complex or problem institutions. A Financial Examiner/Analyst (FE/A) Supervisor also acts as EIC of large and complex institutions and supervises teams of examiners. Senior Management Analyst II positions manage portfolios of large and problem institutions. Area Financial Managers (AFMs) and Financial Administrators manage geographical regions of institutions and examiners. Because of the need to target the plan to the upper level positions,

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A 03/11/2010 14:10 PAGE: 23 BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

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								AGY	AMD REQ	
								FY	2010-11	
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AGY	FIN REQ	AGY	AMD REQ	AGY	AMD N/R	AG	Y AMD ANZ	AGY	FIN REQ	
FY 2	010-11	FY	2010-11	FY	2010-11	FY	2010-11	FY	2010-11	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES

FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG SFTY & SOUND ST BKG SYST PUBLIC PROTECTION REGULATION AND LICENSING WORKLOAD SALARY REALIGNMENT TO PREVENT LOSS 43000000 43900000 43900500 43900530 12

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OF EXPERIENCED EXAMINERS - DEDUCT

OFR's three lowest level positions (FEA I, FEA II and Financial Specialist) were excluded from the plan.

The goal of the plan is to bring OFR's base for an FCA to approximately two-thirds of the average compensation for a comparable FDIC position. There is a 12% differential between each proposed base level for classes used by OFR. Salaries are capped at \$90,000. OFR's base salaries are currently 40-51% of the average for the comparable FDIC positions, and with the increase would rise to 56-64% of the average for the comparable FDIC positions.

Ultimate Outcome: There are a number of benefits that will be realized if the issue is approved:

- 1) The Office may be able to prevent additional failures and loss of uninsured deposits.
- 2) The level of confidence of Florida's citizens in Florida's financial institution industry will be maintained by an effective state regulatory system.
- 3) The Office will be able to fulfill its primary goal of ensuring that state financial institutions operate in a safe and sound manner.
- 4) Florida's financial institution industry will receive the level of effective regulation by the Office that the industry desires, demands and currently funds.
- 5) The state of Florida will continue to have a highly respected, well run regulatory program that meets the best practices for national accreditation.

Detail of Costs: OFR requests the deletion of 28 positions at various levels coupled with adding 14 high level positions above the minimum salary for the class. Currently, the division has 22 vacant positions which will be deleted along with 6 additional positions. The 14 "new" positions are requested at the amount of salary and rate generated by the deletion of the 28, creating a zero dollar issue with a net decrease of 14 FTE.

Salaries an Position	d Benefits (010000): Title & Pay Grade	Amount
(28) 14	Various level positions Proposed Class Code (P 101)	(\$ 1,619,659) \$ 1,619,659

Total:

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 24 BUDGET PERIOD: 2000-2011 EXPENDITURES BY

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BUDGET PERIOD: 2000-2011 STATE OF FLORIDA	теен	EXPENDITURE; E AND APPROPRIA				בייא דד.	EXHIBIT D-3 OF EXPENDITURE
STATE OF FLORIDA							
	AGY FIN REQ FY 2010-11	COL A14  AGY AMD REQ FY 2010-11 POS AMOUNT	AGY AMD N/ FY 2010-11	R AGY AMD A FY 2010-1	1 FY 2010-	REQ -11 DER) REQ	CODES
INANCIAL SERVICES							43000000
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FTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
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F EXPERIENCED EXAMINERS - DEDUCT (14)  Summary: This is a new issu	ne. ************************************			******			**************************************
F EXPERIENCED EXAMINERS - DEDUCT  (14)  Summary: This is a new issu	ne. ************************************	**************************************	**************************************	******		LAPSE	**************************************
F EXPERIENCED EXAMINERS - DEDUCT  (14)  Summary: This is a new issu	ne. ************************************			******		LAPSE	**************************************
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F EXPERIENCED EXAMINERS - DEDUCT  (14)  Summary: This is a new issu ***********************************	TE			******		LAPSE	**************************************
F EXPERIENCED EXAMINERS - DEDUCT  (14)  Summary: This is a new issu ***********************************	TE POSITIONS TE NO BENEFITS  28.00-	BASE RATE 	ADDITIVES	**************************************	SUBTOTAL 	LAPSE % 	**************************************
F EXPERIENCED EXAMINERS - DEDUCT  (14)  Summary: This is a new issu ***********************************	TE POSITIONS TE NO BENEFITS	BASE RATE 	ADDITIVES	******	SUBTOTAL 	LAPSE % 	**************************************
F EXPERIENCED EXAMINERS - DEDUCT  (14)  Summary: This is a new issu *********************************  POSITION DETAIL OF SALARIES AN  A14 - AGY AMD REQ FY 2010-11  CHANGES TO CURRENTLY AUTHORIZE RA02 RATE & SALARY ADJ - FT	DENEFITS:  FTE  DED POSITIONS TE - NO BENEFITS  28.00-	BASE RATE	ADDITIVES	**************************************	SUBTOTAL  1,619,659-	LAPSE %	**************************************

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 25
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

	COL A12  AGY FIN RE	COL A14	COL A15  AGY AMD N/R	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REO	
	FY 2010-11	~ ~			FY 2010-11	
		UNT POS AMOUNT		FY 2010-11 POS AMOUNT		CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
SALARY REALIGNMENT TO PREVENT LOSS	3					
OF EXPERIENCED EXAMINERS - ADD						3000A40
SALARIES AND BENEFITS						010000
		14.00			14.00	
FINANCIAL INST REG TF -STATE	3	1,619,659			1,619,659	2275 1

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-2011 Narrative after February 3, 2010

Issue Title: Salary Realignment to Prevent Loss of Experienced Examiners - Add

Reference to Long-Range Program Plan: Goal #2: Ensure the safety and soundness of the state financial institution system

Issue: Loss of Experienced Examiners: Office of Financial Regulation (OFR) is losing a large number of financial institution examiners to federal regulatory agencies for significantly higher salaries than OFR is able to pay. OFR has lost 11 examination staff to the Federal Deposit Insurance Corporation (FDIC) or the Office of the Comptroller of the Currency (OCC) between January 1, 2009 and January 31, 2009. Salary increases ranged from 18% to over 100% of the OFR salary. Because OFR examiners work in conjunction with the federal regulators, the federal agencies are able to identify and recruit the best and brightest OFR employees. In December, 2009 the National Credit Union Administration (NCUA) announced a plan to add 74 new positions. Additionally, the FDIC plans to add another 400 examiners nationwide and the Federal Reserve Bank of Atlanta (FRB) plans to increase examination staff by 10 in the Southeast, mostly in Florida. All of these plans put OFR at risk of losing additional examiners due to the higher salaries paid.

Recommended Solution: Develop a realignment plan focused on upper level examination and regulatory positions to offer examiners a career path, retention and recruitment possibilities, and establish management succession to ensure a capable and well-regarded regulatory program.

Development of the Plan: A salary realignment plan was developed to address disparities between the federal financial institution regulators and Florida examiners. The realignment plan begins at the Financial Control Analyst (FCA) level. An FCA will have a minimum of four years of experience (some have over 30 years of experience) and function as examiner-in-charge (EIC) of larger, complex or problem institutions. A Financial Examiner/Analyst (FE/A) Supervisor also acts as EIC of large and complex institutions and supervises teams of examiners. Senior Management Analyst II positions manage portfolios of large and problem institutions. Area Financial Managers (AFMs) and Financial Administrators manage geographical regions of institutions and examiners. Because of the need to target the plan to the upper level positions,

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 26
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES	 													
FY 2010-11 OVER(UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11	COL .	A12	COL	A14	C	OL A15		COL A	116	CO	L A14-	A12		
OVER(UNDER)  AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ  FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11										AG:	Y AMD	REQ		
AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11										FY	2010-	11		
FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11										OVI	ER ( UND	ER)		
	AGY FI	N REQ	AGY AN	MD REQ	AGY	AMD N/R	. A0	GY AMI	) ANZ	AG:	Y FIN	REQ		
POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES	FY 201	0-11	FY 201	10-11	FY	2010-11	F	Y 2010	)-11	FY	2010-	11		
	POS	AMOUNT	POS	AMOUNT	POS	AMOU	NT POS		${\tt AMOUNT}$	POS	A	MOUNT	CODES	

FINANCIAL SERVICES
PGM: FINANCIAL SVCS COMM
OFFICE OF FINANCIAL REG
SFTY & SOUND ST BKG SYST
PUBLIC PROTECTION
REGULATION AND LICENSING
WORKLOAD
SALARY REALIGNMENT TO PREVENT LOSS

OF EXPERIENCED EXAMINERS - ADD

4300000 4390000 4390050 43900530 12 1204.00.00.00

3000000

3000A40

OFR's three lowest level positions (FEA I, FEA II and Financial Specialist) were excluded from the plan.

The goal of the plan is to bring OFR's base for an FCA to approximately two-thirds of the average compensation for a comparable FDIC position. There is a 12% differential between each proposed base level for classes used by OFR. Salaries are capped at \$90,000. OFR's base salaries are currently 40-51% of the average for the comparable FDIC positions, and with the increase would rise to 56-64% of the average for the comparable FDIC positions.

Ultimate Outcome: There are a number of benefits that will be realized if the issue is approved:

- 1) The Office may be able to prevent additional failures and loss of uninsured deposits.
- 2) The level of confidence of Florida's citizens in Florida's financial institution industry will be maintained by an effective state regulatory system.
- 3) The Office will be able to fulfill its primary goal of ensuring that state financial institutions operate in a safe and sound manner.
- 4) Florida's financial institution industry will receive the level of effective regulation by the Office that the industry desires, demands and currently funds.
- 5) The state of Florida will continue to have a highly respected, well run regulatory program that meets the best practices for national accreditation.

Detail of Costs OFR requests the deletion of 28 positions at various levels coupled with adding 14 high level positions above the minimum salary for the class. Currently, the division has 22 vacant positions which will be deleted along with 6 additional positions. The 14 "new" positions are requested at the amount of salary and rate generated by the deletion of the 28, creating a zero dollar issue with a net decrease of 14 FTE.

ınt	Amount	and Benefits (010000): Title & Pay Grade	Position
, ,	(\$ 1,619,659 \$ 1,619,659	Various level positions Proposed Class Code (P 101)	(28)
-			

Total:

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 27
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA DEPONDATION CATEGORY

BUDGET PERIOD: 2000-2011 STATE OF FLORIDA	ISSU	EXPENDITURE: E AND APPROPRIA				DETAIL	OF EXPENDITURE
	COL A12	COL A14	COL A15	COL A16	COL A14- AGY AMD FY 2010- OVER(UNI	REQ -11	
	FY 2010-11 POS AMOUNT			FY 2010-11 POS AMOUNT	AGY FIN FY 2010- POS	REQ -11 AMOUNT	CODES
ENANCIAL SERVICES  EM: FINANCIAL SVCS COMM  FFICE OF FINANCIAL REG  FTY & SOUND ST BKG SYST  PUBLIC PROTECTION  REGULATION AND LICENSING  DIKKLOAD							43000000 43900000 43900500 43900530 12 1204.00.00.00 3000000
LLARY REALIGNMENT TO PREVENT LOSS EXPERIENCED EXAMINERS - ADD			Ś	0			3000A40
LARY REALIGNMENT TO PREVENT LOSS	e.	******	·	v	*****	*****	
ALARY REALIGNMENT TO PREVENT LOSS CEXPERIENCED EXAMINERS - ADD  (14)  Summary: This is a new issu	e. *********	******	·	v			******
LARY REALIGNMENT TO PREVENT LOSS  EXPERIENCED EXAMINERS - ADD  (14)  Summary: This is a new issu  **********************************	e. ************************************	BASE RATE	********	**************************************	UBTOTAL	LAPSE %	**************************************
LARY REALIGNMENT TO PREVENT LOSS  EXPERIENCED EXAMINERS - ADD  (14)  Summary: This is a new issu  **********************************	e. ************************************	BASE RATE	**************************************	**************************************	UBTOTAL	LAPSE %	**************************************
LLARY REALIGNMENT TO PREVENT LOSS EXPERIENCED EXAMINERS - ADD  (14)  Summary: This is a new issu ***********************************	e. ************************************	BASE RATE	**************************************	**************************************	UBTOTAL  1,619,659	LAPSE % 	***************  LAPSED SALARIE  AND BENEFITS
CLARY REALIGNMENT TO PREVENT LOSS  EXPERIENCED EXAMINERS - ADD  (14)  Summary: This is a new issu  **********************************	e. **************  D BENEFITS:  FTE   D POSITIONS E - NO BENEFITS	BASE RATE	**************************************	**************************************	UBTOTAL  1,619,659	LAPSE % 	**************************************

\*

BIEADL01 LAS/PBS SYSTEM BUDGET PERIOD: 2000-2011 STATE OF FLORIDA

## EXHIBIT D-3A EXPENDITURES BY

ISSUE AND APPROPRIATION CATEGORY

03/11/2010 14:10 PAGE: 28 EXHIBIT D-3A

DETAIL OF EXPENDITURES

COL A12	COL A14	COL A15	COL A16	COL A14-A12	
				AGY AMD REQ	
				FY 2010-11	
				OTTED (TRIDED)	

								OVE	ER (UNDER)
AGY	FIN REQ	AGY	AMD REQ	AGY	AMD N/R	AGY	AMD ANZ	AGY	FIN REQ
FY	2010-11								
POS	AMOUNT								

PC NT CODES

FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 SFTY & SOUND ST BKG SYST 43900530 PUBLIC PROTECTION 12 1204.00.00.00 REGULATION AND LICENSING SCHEDULE VIIIB REDUCTIONS -

33B0000 OPERATING REDUCTION WIRELESS DEVICES OFFICE OF FINANCIAL REGULATION 33B1280 EXPENSES 040000

FINANCIAL INST REG TF 2,067-2,067- 2275 1

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Recognize Cost Savings Related to Cell Phone Reductions

Issue: The Office of Financial Regulation reviewed its use of cellular phones and other wireless devices and established a more restrictive policy for the assignment of wireless devices. OFR reduced the number of devices in use and renegotiated the contracts for the remaining devices. As a result, OFR is offering the savings as a reduction to its budget.

Expenses (040000)

Description Amount. Reduce Expense (2,067)Total (2,067)

Summary: This is a new issue

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 29 -3A RES

BUDGET PERIOD: 2000-2011		EXHIBIT D-3A EXPENDITURES			SP 03/11/2010	EXHIBIT D-3A
STATE OF FLORIDA		AND APPROPRIATI			DETAI	L OF EXPENDITURES
	AGY FIN REQ FY 2010-11 POS AMOUNT	COL A14  AGY AMD REQ FY 2010-11 POS AMOUNT	COL A15  AGY AMD N/R FY 2010-11 POS AMOUNT	COL A16  AGY AMD ANZ FY 2010-11	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REQ FY 2010-11 POS AMOUNT	
FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG STTY & SOUND ST BKG SYST PUBLIC PROTECTION REGULATION AND LICENSING SCHEDULE VIIIB REDUCTIONS OPERATING REDUCTION OF RENT - OFFICE FINANCIAL REGULATION EXPENSES						43000000 43900000 43900500 43900530 12 1204.00.00.00 33B0000
FINANCIAL INST REG TF	-STATE	1,801-			1,801	- 2275 1
*************	=======================================	======== * * * * * * * * * * * * * * * *			******	*****
			IT COMPONEN	T? NO		
	Financial Regulation ren eased space. As a result,					ost per
Contracted Services Quantity I			Amou			
	avings in renegotiated re	nt contract	(1,8			
Is	ssue Total		(1,8	01)		
Summary: This is a ne	ew issue *********	*****	******	******	******	******
TOTAL: REGULATION AND LICENBY FUND TYPE	NSING					1204.00.00.00
TRUST FUNDS		14.00-			14.00- 3,868	- 2000
		· · · · · · · · · · · · · · · · · · ·			==========	

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 30 BUDGET PERIOD: 2000-2011 EXPENDITURES BY

Issue Total

Summary: This is a new issue

EXHIBIT D-3A STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 FINANCIAL INVESTIGATIONS 43900540 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 SCHEDULE VIIIB REDUCTIONS -33B0000 OPERATING REDUCTION OF RENT - OFFICE OF FINANCIAL REGULATION 33B2210 EXPENSES 040000 ADMINISTRATIVE TRUST FUND -STATE 1,300-1,300- 2021 1 AGENCY ISSUE NARRATIVE: 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO Amended 2010-11 Narrative after February 3, 2010 Issue Title: Reduction of rent - Office of Financial Regulation Issue: The Office of Financial Regulation renegotiated contracts for office space leases. OFR reduced the cost per square foot of the leased space. As a result, OFR is offering the savings as a reduction to its budget. Contracted Services (040000) Ouantity Description Amount Savings in renegotiated rent contract (1,300)

(1,300)

SP 03/11/2010 14:10 PAGE: 31 BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A DETAIL OF EXPENDITURES STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 FINANCIAL INVESTIGATIONS 43900540 PUBLIC PROTECTION 12 1204.00.00.00 REGULATION AND LICENSING TRUST FUND REALIGNMENT PURSUANT TO 34F0000 SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND -DEDUCT 34F0120 SALARIES AND BENEFITS 010000 REGULATORY TRUST FUND 1,704,546- 2573 1 E SI

EXPENSES				04000	٥
EXI ENGED				0 1000	· ·
REGULATORY TRUST FUND	-STATE	329,936-	329,936-	2573	1
	=======================================		=======		
SPECIAL CATEGORIES CONTRACTED SERVICES				10000 10077	
REGULATORY TRUST FUND	-STATE	5,936-	5,936-	2573	1
	=======================================		======		
RISK MANAGEMENT INSURANCE				10324	1
REGULATORY TRUST FUND	-STATE	4,732-	4,732-	2573	1
	=======================================		======		
TR/DMS/HR SVCS/STW CONTRC	Γ			10704	0
REGULATORY TRUST FUND	-STATE	10,880-	10,880-	2573	1
TOTAL: TRANSFER FROM REGULATO THE ADMINISTR	======================================		======	34F01	20

2,056,030-

DEDUCT

TOTAL ISSUE.....

 BIEADL01 LAS/PBS SYSTEM
 EXHIBIT D-3A
 SP 03/11/2010 14:10 PAGE: 32

 BUDGET PERIOD: 2000-2011
 EXPENDITURES BY
 EXHIBIT D-3A

BUDGET PERIOD: 2000-2011 STATE OF FLORIDA	EXPENDITURES BY ISSUE AND APPROPRIATION CATEGORY							DETAIL	OF EXPEND			
	COI	A12	C	OL A14	(	COL A15	(	COL A16	AGY A FY 20	 14-A12 MD REQ 10-11 UNDER)		
		FIN REQ )10-11 AMOUNT	FY	2010-11	FY		FY	Y AMD ANZ 2010-11 AMOUNT	AGY F FY 20	IN REQ 10-11	CODES	
FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG FINANCIAL INVESTIGATIONS PUBLIC PROTECTION REGULATION AND LICENSING											43000000 43900000 43900500 43900540 12 1204.00.0	0.00
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND -	D										34F0000	

AGENCY ISSUE NARRATIVE:

DEDUCT

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

34F0120

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriations to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount		
Salary and Benefits	010000	(\$1,704,546)		
Expenses	040000	(\$ 329,936)		
Contracted Services	100777	(\$ 5,936)		
Risk Management	103241	(\$ 4,732)		
Transfer to DMS HR Services	107040	(\$ 10,880)		
Total		(\$2,056,030)		

Administrative Services 43900550

Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,406,032)
Expenses	040000	(\$ 186,300)
Contracted Services	100777	(\$ 6,914)

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 33
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

STATE OF FLORIDA	ISSU	EXPENDITURES E AND APPROPRIAT			DETAIL	EXHIBIT D-3 OF EXPENDITUR
	COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R FY 2010-11	AGY AMD ANZ	AGY FIN REQ	
			POS AMOUNT			CODES
NCIAL SERVICES  FINANCIAL SVCS COMM  CE OF FINANCIAL REG  NCIAL INVESTIGATIONS  BLIC PROTECTION  WULATION AND LICENSING						43000000 43900000 43900500 43900540 12 1204.00.00.00
ET FUND REALIGNMENT PURSUANT TO TION 215.32, FLORIDA STATUTES ISFER FROM REGULATORY TRUST FUN THE ADMINISTRATIVE TRUST FUND -	ID					34F0000
JCT						34F0120
Risk Management Transfer to DMS HR Service		103241 107040			(\$ 810) (\$ 7,785)	
Total						_
					(\$1,607,841)	
Office of Financial Investig Transfer To the Administrati Category Title	ve Trust Fund (20	Category 010000 040000 100777 103241 107040			Amount 	
Office of Financial Investig Transfer To the Administrati  Category Title	ve Trust Fund (20	Category 010000 040000 100777 103241 107040			Amount \$1,704,546 \$ 329,936 \$ 5,936 \$ 4,732 \$ 10,880	
Office of Financial Investig Transfer To the Administrati Category Title	es	Category 010000 040000 100777 103241 107040			Amount 	
Office of Financial Investig Transfer To the Administrati  Category Title	es	Category 010000 040000 100777 103241 107040			Amount 	-
Office of Financial Investig Transfer To the Administrati  Category Title Salary and Benefits Expenses Contracted Services Risk Management Transfer to DMS HR Service Total  Administrative Services 4390 Transfer To the Administrati Category Title	es	Category 010000 040000 100777 103241 107040			Amount 	-
Office of Financial Investig Transfer To the Administrati  Category Title	es	Category 010000 040000 100777 103241 107040 021)  Category 010000 040000			Amount  \$1,704,546 \$ 329,936 \$ 5,936 \$ 4,732 \$ 10,880  \$2,056,030  Amount  \$1,406,032 \$ 186,300	-
Office of Financial Investig Transfer To the Administrati  Category Title	es	Category 010000 040000 100777 103241 107040 021)  Category 010000			Amount \$1,704,546 \$ 329,936 \$ 5,936 \$ 4,732 \$ 10,880 \$2,056,030 Amount \$1,406,032	-
Office of Financial Investig Transfer To the Administrati  Category Title	ve Trust Fund (20	Category 010000 040000 100777 103241 107040 021)  Category 010000 040000			Amount  \$1,704,546 \$ 329,936 \$ 5,936 \$ 4,732 \$ 10,880  \$2,056,030  Amount  \$1,406,032 \$ 186,300	-
Office of Financial Investig Transfer To the Administrati  Category Title	ve Trust Fund (20	Category 010000 040000 100777 103241 107040 0221)  Category 010000 040000 100777			Amount  \$1,704,546 \$ 329,936 \$ 5,936 \$ 4,732 \$ 10,880  \$2,056,030  Amount  \$1,406,032 \$ 186,300 \$ 6,914	-

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 34 BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

ISS				DETAIL	EXHIBIT D-3A OF EXPENDITURES
		COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REO	
				FY 2010-11	
POS AMOUN	NT POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
)					43000000 43900000 43900500 43900540 12 1204.00.00.00
					34F0120
	******	******	******	*******	*****
FTE	BASE RATE	ADDITIVES	BENEFITS SU		LAPSED SALARIES AND BENEFITS
POSITIONS					1 704 546
					1,704,546-
					1,704,546-
******	******	* * * * * * * * * * * * * * * * *	: * * * * * * * * * * * * * * * * * *	*****	
					34F0130 010000
=========			: ========		2021 1
					040000
	•			/	2021 1
	AGY FIN REQ FY 2010-11 POS AMOUN   *******************************	ISSUE AND APPROPRIAT  COL A12 COL A14  AGY FIN REQ AGY AMD REQ FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT  BENEFITS:  FTE BASE RATE  POSITIONS  1,704,546  1,704,546	AGY FIN REQ AGY AMD REQ AGY AMD N/R FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOU	ISSUE AND APPROPRIATION CATEGORY  COL A12 COL A14 COL A15 COL A16  AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AM	TISSUE AND APPROPRIATION CATEGORY  COL A12  COL A14  COL A15  COL A16  COL A14-ALC  AGY AMD REQ  FY 2010-11  OVER(UNDER)  AGY FIN REQ  AGY AMD REQ  FY 2010-11  FY 2010-11  FY 2010-11  POS  AMOUNT PO

# BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 35 BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

	COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER)	
	AGY FIN REQ FY 2010-11 POS AMOUNT		FY 2010-11	FY 2010-11	AGY FIN REQ FY 2010-11	CODES
FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG FINANCIAL INVESTIGATIONS PUBLIC PROTECTION REGULATION AND LICENSING TRUST FUND REALIGNMENT PURSUANT TO						43000000 43900000 43900500 43900540 12 1204.00.00.00
SECTION 215.32, FLORIDA STATUTES TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD SPECIAL CATEGORIES CONTRACTED SERVICES						34F0000 34F0130 100000 100777
ADMINISTRATIVE TRUST FUND -STATE		5,936	=========		- ,	2021 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	==========	4,732	=========	=========	4,732	2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE		10,880			10,880	2021 1
TOTAL: TRANSFER FROM THE REGULATOR' FUND TO THE ADMININSTRA' FUND - ADD	Y TRUST					34F0130
TOTAL ISSUE		2,056,030			2,056,030	
******	************	******	======== ************	******	************	*****

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriations to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540

#### BIEADL01 LAS/PBS SYSTEM EXPENDITURES BY SP 03/11/2010 14:10 PAGE: 36 BUDGET PERIOD: 2000-2011

EXHIBIT D-3A DETAIL OF EXPENDITURES STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY COL A14 COL A15 COL A16 COL A12 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 FINANCIAL INVESTIGATIONS 43900540 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000 TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD 34F0130 Transfer from the Regulatory Trust Fund (2573) Category Title Category Amount. \_\_\_\_\_ -----Salary and Benefits 010000 (\$1,704,546)Expenses 040000 (\$ 329,936) Contracted Services 100777 (\$ 5,936) (\$ 4,732) Risk Management 103241 (\$ 10,880) Transfer to DMS HR Services 107040 Total (\$2,056,030) Administrative Services 43900550 Transfer from the Regulatory Trust Fund (2573) Category Title Category Amount Salary and Benefits 010000 (\$1,406,032) Expenses 040000 (\$ 186,300) Contracted Services 100777 (\$ 6,914) Risk Management 103241 (\$ 810) 107040 Transfer to DMS HR Services (\$ 7,785) Total (\$1,607,841) Office of Financial Investigations - 43900540 Transfer To the Administrative Trust Fund (2021) Category Category Title Amount. \_\_\_\_\_ -----

010000

040000

\$1,704,546

\$ 329,936

Salary and Benefits

Expenses

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 37
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

BUDGET PERIOD: 2000-2011 STATE OF FLORIDA					DETAIL	EXHIBIT D-3 OF EXPENDITURE
	COL A12	COL A14	COL A15	COL A16	COL A14-A12 AGY AMD REQ FY 2010-11	
	POS AMOUNT	POS AMOUNT	AGY AMD N/R FY 2010-11 POS AMOUNT	AGY AMD ANZ FY 2010-11 POS AMOUNT	AGY FIN REQ FY 2010-11 POS AMOUNT	CODES
NANCIAL SERVICES M: FINANCIAL SVCS COMM FICE OF FINANCIAL REG NANCIAL INVESTIGATIONS PUBLIC PROTECTION LUST FUND REALIGNMENT PURSUANT TO COUNTY TO THE ADMININSTRATIVE TRUST TO THE ADMININSTRATIVE TRUST						43000000 43900000 43900500 43900540 12 1204.00.00.00
IND - ADD  Contracted Services Risk Management Transfer to DMS HR Service	S	100777 103241 107040			\$ 5,936 \$ 4,732 \$ 10,880	34F0130
Total					\$2,056,030	-
Administrative Services 4390 Transfer To the Administrati		)21)				
Category Title		Category	_		Amount	
Salary and Benefits Expenses Contracted Services Risk Management Transfer to DMS HR Service	S	010000 040000 100777 103241 107040			\$1,406,032 \$ 186,300 \$ 6,914 \$ 810 \$ 7,785	

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 38
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND ADDROPLATION CATEGORY

BUDGET PERIOD: 2000-2011 STATE OF FLORIDA	ISS	EXPENDITURE: UE AND APPROPRIA			DETAIL	EXHIBIT D-3A OF EXPENDITURES
	COL A12  AGY FIN REQ FY 2010-11 POS AMOUN			FY 2010-11		CODES
FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG FINANCIAL INVESTIGATIONS PUBLIC PROTECTION REGULATION AND LICENSING TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						43000000 43900000 43900500 43900540 12 1204.00.00.00 34F0000
POSITION DETAIL OF SALARIES AN	D BENEFITS: FTE	BASE RATE	ADDITIVES	BENEFITS SI	LAPSE UBTOTAL %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
CHANGES TO CURRENTLY AUTHORIZE OTHER SALARY AMOUNT 2021 ADMINISTRATIVE TRUST F						1,704,546
						1,704,546
**************************************	******	******	*******	******	*******	******** 1204.00.00.00
BY FUND TYPE TRUST FUNDS		1,30	0 –		1,300-	2000

BIEADL01 LAS/PBS SYSTEM SP 03/11/2010 14:10 PAGE: 39 EXHIBIT D-3A STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES COL A14 COL A15 COL A12 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 EXEC DIR & SUPPORT SERVICE 43900550 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 TRUST FUND REALIGNMENT PURSUANT TO 34F0000 SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND -DEDUCT 34F0120 SALARIES AND BENEFITS 010000 REGULATORY TRUST FUND -STATE 1,406,032-1,406,032-2573 1 EXPENSES 040000 REGULATORY TRUST FUND -STATE 186,300-186,300- 2573 1 SPECIAL CATEGORIES 100000 CONTRACTED SERVICES 100777 6,914-REGULATORY TRUST FUND -STATE 6,914-2573 1

RISK MANAGEMENT INSURANCE 103241 810-REGULATORY TRUST FUND -STATE 810- 2573 1 TR/DMS/HR SVCS/STW CONTRCT 107040 7,785-7,785- 2573 1 REGULATORY TRUST FUND TOTAL: TRANSFER FROM REGULATORY TRUST FUND 34F0120 TO THE ADMINISTRATIVE TRUST FUND -DEDUCT

1,607,841-

TOTAL ISSUE.....

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 40 BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES 43000000 43900000

FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG 43900500 EXEC DIR & SUPPORT SERVICE 43900550 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 TRUST FUND REALIGNMENT PURSUANT TO 34F0000 SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND -DEDUCT 34F0120 \*

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

Amended 2010-11 Narrative after February 3, 2010

IT COMPONENT? NO

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriation to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,704,546)
Expenses	040000	(\$ 329,936)
Contracted Services	100777	(\$ 5,936)
Risk Management	103241	(\$ 4,732)
Transfer to DMS HR Services	107040	(\$ 10,880)
Total		(\$2,056,030)

Administrative Services 43900550 Transfer from the Regulatory Trust Fund (2573)

Category Title	Category	Amount
Salary and Benefits	010000	(\$1,406,032)
Expenses	040000	(\$ 186,300)
Contracted Services	100777	(\$ 6,914)

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 41
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

NANCIAL SERVICES 4: FINANCIAL SVCS COMM FICE OF FINANCIAL REG EC DIR & SUPPORT SERVICE JBLIC PROTECTION EGULATION AND LICENSING JST FUND REALIGNMENT PURSUANT TO TION 215.32, FLORIDA STATUTES ANSFER FROM REGULATORY TRUST FUND THE ADMINISTRATIVE TRUST FUND - JUCT  Risk Management Transfer to DMS HR Services	AGY FIN REQ FY 2010-11 POS AMOUNT	AGY AMD REQ FY 2010-11 POS AMOUNT	AGY AMD N/R FY 2010-11 POS AMOUNT	COL A16  AGY AMD ANZ FY 2010-11 POS AMOUNT	AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REQ FY 2010-11 F POS AMOUNT (\$ 810) (\$ 7,785)	CODES  43000000 43900000 43900500 43900550 12 1204.00.00.00 34F0000
M: FINANCIAL SVCS COMM FICE OF FINANCIAL REG EC DIR & SUPPORT SERVICE JBLIC PROTECTION EGULATION AND LICENSING JUST FUND REALIGNMENT PURSUANT TO TION 215.32, FLORIDA STATUTES ANSFER FROM REGULATORY TRUST FUND THE ADMINISTRATIVE TRUST FUND - JUCT  Risk Management Transfer to DMS HR Services	FY 2010-11 POS AMOUNT	FY 2010-11 POS AMOUNT	FY 2010-11 POS AMOUNT	FY 2010-11 POS AMOUNT	FY 2010-11 POS AMOUNT  (\$ 810) (\$ 7,785)	43000000 43900000 43900500 43900550 12 1204.00.00.00 34F0000
M: FINANCIAL SVCS COMM FICE OF FINANCIAL REG EC DIR & SUPPORT SERVICE JBLIC PROTECTION EGULATION AND LICENSING JUST FUND REALIGNMENT PURSUANT TO TION 215.32, FLORIDA STATUTES ANSFER FROM REGULATORY TRUST FUND THE ADMINISTRATIVE TRUST FUND - JUCT  Risk Management Transfer to DMS HR Services	POS AMOUNT	POS AMOUNT  103241	POS AMOUNT	POS AMOUNT	(\$ 810) (\$ 7,785)	43000000 43900000 43900500 43900550 12 1204.00.00.00 34F0000
M: FINANCIAL SVCS COMM FICE OF FINANCIAL REG EC DIR & SUPPORT SERVICE JBLIC PROTECTION EGULATION AND LICENSING JUST FUND REALIGNMENT PURSUANT TO TION 215.32, FLORIDA STATUTES ANSFER FROM REGULATORY TRUST FUND THE ADMINISTRATIVE TRUST FUND - JUCT  Risk Management Transfer to DMS HR Services	D	103241			(\$ 810) (\$ 7,785)	43900000 43900500 43900550 12 1204.00.00.00 34F0000
M: FINANCIAL SVCS COMM FICE OF FINANCIAL REG EC DIR & SUPPORT SERVICE JBLIC PROTECTION EGULATION AND LICENSING JUST FUND REALIGNMENT PURSUANT TO TION 215.32, FLORIDA STATUTES ANSFER FROM REGULATORY TRUST FUND THE ADMINISTRATIVE TRUST FUND - JUCT  Risk Management Transfer to DMS HR Services	D				(\$ 7,785)	43900000 43900500 43900550 12 1204.00.00.00 34F0000
TION 215.32, FLORIDA STATUTES ANSFER FROM REGULATORY TRUST FUND THE ADMINISTRATIVE TRUST FUND - DUCT  Risk Management Transfer to DMS HR Services	D				(\$ 7,785)	34F0120
Risk Management Transfer to DMS HR Services					(\$ 7,785)	
Transfer to DMS HR Services					(\$ 7,785)	
					(\$1,607,841)	· <del>-</del>
Office of Financial Investigate Transfer To the Administrative Category Title	ve Trust Fund (20		-		Amount 	
Total					\$2,056,030	
Administrative Services 43900 Transfer To the Administrativ		021)				
Category Title		Category			Amount	
Salary and Benefits Expenses Contracted Services Risk Management Transfer to DMS HR Services	s	010000 040000 100777 103241 107040	_		\$1,406,032 \$ 186,300 \$ 6,914 \$ 810 \$ 7,785	
Total					\$1,607,841	

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 42
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

	ISSU	EXPENDITURES JE AND APPROPRIAT:			DETAIL	EXHIBIT D-3A OF EXPENDITURES
	AGY FIN REQ FY 2010-11	COL A14  AGY AMD REQ FY 2010-11 F POS AMOUNT	AGY AMD N/R FY 2010-11	AGY AMD ANZ FY 2010-11	AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REQ FY 2010-11	CODES
FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG EXEC DIR & SUPPORT SERVICE PUBLIC PROTECTION REGULATION AND LICENSING TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT  Summary: This is a new issue	e.					43000000 43900000 43900500 43900550 12 1204.00.00000 34F0000
POSITION DETAIL OF SALARIES AND		*********	*****	***********		LAPSED SALARIES
	FTE	BASE RATE	ADDITIVES	BENEFITS S	SUBTOTAL %	AND BENEFITS
A14 - AGY AMD REQ FY 2010-11						
A14 - AGY AMD REQ FY 2010-11  CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT 2573 REGULATORY TRUST FUND	D POSITIONS					1,406,032-
CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT	D POSITIONS					1,406,032-
CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT 2573 REGULATORY TRUST FUND		*****	******	******	******	1,406,032-
CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT 2573 REGULATORY TRUST FUND		******	*****	******	*****	1,406,032-
CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT 2573 REGULATORY TRUST FUND		******	******	******	*****	1,406,032-
CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT 2573 REGULATORY TRUST FUND  ***********************************	******	1,406,032			1,406,032	1,406,032- ====================================
CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT 2573 REGULATORY TRUST FUND  ***********************************	******	1,406,032				1,406,032- ====================================

## BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 43 BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A

STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES COL A14 COL A15 COL A16 COL A12 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 EXEC DIR & SUPPORT SERVICE 43900550 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000 TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD 34F0130 SPECIAL CATEGORIES 100000 CONTRACTED SERVICES 100777 ADMINISTRATIVE TRUST FUND -STATE 6,914 6,914 2021 1 RISK MANAGEMENT INSURANCE 103241 810 810 2021 1 ADMINISTRATIVE TRUST FUND -STATE TR/DMS/HR SVCS/STW CONTRCT 107040 ADMINISTRATIVE TRUST FUND -STATE 7,785 7,785 2021 1 TOTAL: TRANSFER FROM THE REGULATORY TRUST 34F0130

FUND TO THE ADMININSTRATIVE TRUST

FUND - ADD

1,607,841

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Transfer the Regulatory Trust Fund to the Administrative Trust Fund Office of Financial Regulation

This is a technical issue. This issue request the transfer of the Regulatory Trust Fund Appropriation to the Administrative Trust Fund in the Office of Financial Investigations (43900540) and Executive Direction (43900550).

Office of Financial Investigations - 43900540

## BIEADL01 LAS/PBS SYSTEM EXPENDITURES BY SP 03/11/2010 14:10 PAGE: 44 BUDGET PERIOD: 2000-2011

EXHIBIT D-3A DETAIL OF EXPENDITURES STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY COL A14 COL A15 COL A16 COL A12 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 EXEC DIR & SUPPORT SERVICE 43900550 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000 TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD 34F0130 Transfer from the Regulatory Trust Fund (2573) Category Title Category Amount. \_\_\_\_\_ -----Salary and Benefits 010000 (\$1,704,546)Expenses 040000 (\$ 329,936) Contracted Services 100777 (\$ 5,936) (\$ 4,732) Risk Management 103241 (\$ 10,880) Transfer to DMS HR Services 107040 Total (\$2,056,030) Administrative Services 43900550 Transfer from the Regulatory Trust Fund (2573) Category Title Category Amount Salary and Benefits 010000 (\$1,406,032) Expenses 040000 (\$ 186,300) Contracted Services 100777 (\$ 6,914) Risk Management 103241 (\$ 810) 107040 Transfer to DMS HR Services (\$ 7,785) Total (\$1,607,841) Office of Financial Investigations - 43900540 Transfer To the Administrative Trust Fund (2021) Category Category Title Amount. \_\_\_\_\_ -----Salary and Benefits 010000 \$1,704,546

040000

\$ 329,936

Expenses

BIEADLO1 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 45
BUDGET PERIOD: 2000-2011 EXPENDITURES BY EXHIBIT D-3A
STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES

UDGET PERIOD: 2000-2011 STATE OF FLORIDA	ISSUE	EXPENDITURES AND APPROPRIATI	BY ON CATEGORY		DETAIL	EXHIBIT D- OF EXPENDITUR
				COL A16		
		FY 2010-11	FY 2010-11	AGY AMD ANZ FY 2010-11 POS AMOUNT	AGY FIN REQ FY 2010-11	CODES
ANCIAL SERVICES : FINANCIAL SVCS COMM ICE OF FINANCIAL REG C DIR & SUPPORT SERVICE BLIC PROTECTION GULATION AND LICENSING ST FUND REALIGNMENT PURSUANT TO						43000000 43900000 43900500 43900550 12 1204.00.00.00
TION 215.32, FLORIDA STATUTES NSFER FROM THE REGULATORY TRUST D TO THE ADMININSTRATIVE TRUST D - ADD						34F0000 34F0130
Contracted Services Risk Management Transfer to DMS HR Services		100777 103241 107040			\$ 5,936 \$ 4,732 \$ 10,880	
Total					\$2,056,030	· <del>-</del>
Administrative Services 43900 Transfer To the Administrative		21)				
Category Title		Category			Amount	
Salary and Benefits Expenses Contracted Services Risk Management Transfer to DMS HR Services	5	010000 040000 100777 103241 107040			\$1,406,032 \$ 186,300 \$ 6,914 \$ 810 \$ 7,785	
					\$1,607,841	

BIEADL01 LAS/PBS SYSTEM	EXHIBIT D-3A	SP	03/11/2010 14:10 PAGE: 46
BUDGET PERIOD: 2000-2011	EXPENDITURES BY		EXHIBIT D-3A
STATE OF FLORIDA	ISSUE AND APPROPRIATION CATEGORY		DETAIL OF EXPENDITURES

BUDGET PERIOD: 2000-2011 STATE OF FLORIDA		EXPENDITURES E AND APPROPRIAT	CION CATEGORY			EXHIBIT D-3A L OF EXPENDITURES
	COL A12  AGY FIN REQ FY 2010-11 POS AMOUNT	COL A14  AGY AMD REQ FY 2010-11 POS AMOUNT	COL A15  AGY AMD N/R FY 2010-11 POS AMOUNT	COL A16  AGY AMD ANZ FY 2010-11 I POS AMOUNT	COL A14-A12 AGY AMD REQ FY 2010-11 OVER(UNDER) AGY FIN REQ FY 2010-11 POS AMOUNT	CODES
FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG EXEC DIR & SUPPORT SERVICE PUBLIC PROTECTION REGULATION AND LICENSING TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						43000000 43900000 43900500 43900550 12 1204.00.00.00 34F0000
POSITION DETAIL OF SALARIES AND			ADDIETHE	DENHETHO		LAPSED SALARIES
A14 - AGY AMD REQ FY 2010-11	FTE 	BASE RATE	ADDITIVES	BENEFITS S		
CHANGES TO CURRENTLY AUTHORIZED OTHER SALARY AMOUNT 2021 ADMINISTRATIVE TRUST FU						1,406,032
						1,406,032

BIEADL01 LAS/PBS SYSTEM BUDGET PERIOD: 2000-2011 STATE OF FLORIDA

## EXHIBIT D-3A EXPENDITURES BY

COL A14

ISSUE AND APPROPRIATION CATEGORY

COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER)

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EXHIBIT D-3A

DETAIL OF EXPENDITURES

AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES

COL A15

FINANCIAL SERVICES PGM: FINANCIAL SVCS COMM OFFICE OF FINANCIAL REG FINANCE REGULATION PUBLIC PROTECTION REGULATION AND LICENSING SCHEDULE VIIIB REDUCTIONS -OPERATING REDUCTION WIRELESS DEVICES

43000000 43900000 43900500 43900560 12

33B0000

1204.00.00.00

OFFICE OF FINANCIAL REGULATION 33B1280 EXPENSES 040000

REGULATORY TRUST FUND -STATE 2,066-2,066-2573 1

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Recognize Cost Savings Related to Cell Phone Reductions

COL A12

Issue: The Office of Financial Regulation reviewed its use of cellular phones and other wireless devices and established a more restrictive policy for the assignment of wireless devices. OFR reduced the number of devices in use and renegotiated the contracts for the remaining devices. As a result, OFR is offering the savings as a reduction to its budget.

Expenses (040000)

Description Amount. Reduce Expense (2,066)Total (2.066)

Summary: This is a new issue

BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 48 BUDGET PERIOD: 2000-2011 EXPENDITURES BY

EXHIBIT D-3A STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 FINANCE REGULATION 43900560 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 SCHEDULE VIIIB REDUCTIONS -33B0000 OPERATING REDUCTION OF RENT - OFFICE OF FINANCIAL REGULATION 33B2210 EXPENSES 040000 REGULATORY TRUST FUND -STATE 1,801-1,801-2573 1 AGENCY ISSUE NARRATIVE: 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO Amended 2010-11 Narrative after February 3, 2010 Issue Title: Reduction of rent - Office of Financial Regulation Issue: The Office of Financial Regulation renegotiated contracts for office space leases. OFR reduced the cost per square foot of the leased space. As a result, OFR is offering the savings as a reduction to its budget. Contracted Services (040000) Ouantity Description Amount Savings in renegotiated rent contract (1,801)Issue Total (1,801)Summary: This is a new issue \*\*\*\*\*\*\* TOTAL: REGULATION AND LICENSING 1204.00.00.00 BY FUND TYPE 3,867-

BIEADL01 LAS/PBS SYSTEM BUDGET PERIOD: 2000-2011

## EXHIBIT D-3A EXPENDITURES BY

EXPENDITURES BY
ISSUE AND APPROPRIATION CATEGORY

EXHIBIT D-3A DETAIL OF EXPENDITURES

SP 03/11/2010 14:10 PAGE: 49

STATE OF FLORIDA COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 SECURITIES REGULATION 43900570 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 SCHEDULE VIIIB REDUCTIONS -33B0000 OPERATING REDUCTION WIRELESS DEVICES OFFICE OF FINANCIAL REGULATION 33B1280 EXPENSES 040000 REGULATORY TRUST FUND -STATE 2,066-2,066-2573 1

EQULATORY TRUST FUND -STATE 2,066- 25/3 1

AGENCY ISSUE NARRATIVE:

2010-2011 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2010-11 Narrative after February 3, 2010

Issue Title: Recognize Cost Savings Related to Cell Phone Reductions

Issue: The Office of Financial Regulation reviewed its use of cellular phones and other wireless devices and established a more restrictive policy for the assignment of wireless devices. OFR reduced the number of devices in use and renegotiated the contracts for the remaining devices. As a result, OFR is offering the savings as a reduction to its budget.

Expenses (040000)

Description Amount
Reduce Expense (2,066)
Total (2,066)

Summary: This is a new issue

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BIEADL01 LAS/PBS SYSTEM EXHIBIT D-3A SP 03/11/2010 14:10 PAGE: 50 BUDGET PERIOD: 2000-2011 EXPENDITURES BY

EXHIBIT D-3A STATE OF FLORIDA ISSUE AND APPROPRIATION CATEGORY DETAIL OF EXPENDITURES COL A12 COL A14 COL A15 COL A16 COL A14-A12 AGY AMD REQ FY 2010-11 OVER (UNDER) AGY FIN REQ AGY AMD REQ AGY AMD N/R AGY AMD ANZ AGY FIN REQ FY 2010-11 FY 2010-11 FY 2010-11 FY 2010-11 POS AMOUNT POS AMOUNT POS AMOUNT POS AMOUNT CODES FINANCIAL SERVICES 43000000 PGM: FINANCIAL SVCS COMM 43900000 OFFICE OF FINANCIAL REG 43900500 SECURITIES REGULATION 43900570 PUBLIC PROTECTION 12 REGULATION AND LICENSING 1204.00.00.00 SCHEDULE VIIIB REDUCTIONS -33B0000 OPERATING REDUCTION OF RENT - OFFICE OF FINANCIAL REGULATION 33B2210 EXPENSES 040000 REGULATORY TRUST FUND -STATE 1,464-2573 1 AGENCY ISSUE NARRATIVE: 2010-2011 BUDGET YEAR NARRATIVE: IT COMPONENT? NO Amended 2010-11 Narrative after February 3, 2010 Issue Title: Reduction of rent - Office of Financial Regulation Issue: The Office of Financial Regulation renegotiated contracts for office space leases. OFR reduced the cost per square foot of the leased space. As a result, OFR is offering the savings as a reduction to its budget. Contracted Services (040000) Ouantity Description Amount Savings in renegotiated rent contract (1,464)Issue Total (1,464)Summary: This is a new issue TOTAL: REGULATION AND LICENSING 1204.00.00.00 BY FUND TYPE 3,530-