

BUDGET ENTITY	D3A ISSUE CODE	COLUMN NUMBERS	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>							43010100
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
MSFHP/HUR MITIG INSPEC							101660
GENERAL REVENUE FUND -STATE		25,000,000					1000 1
=====							
G/A-MSFHP/HUR MITIG GRANTS							101661
GENERAL REVENUE FUND -STATE		115,000,000					1000 1
=====							
MSFHP/EDU/CONSUM AWARENESS							101662
GENERAL REVENUE FUND -STATE		4,000,000					1000 1
=====							
MSFHP/PUBLIC OUTREACH							101663
GENERAL REVENUE FUND -STATE		1,000,000					1000 1
=====							
MSFHP/OPERTNS/ADM							101664
GENERAL REVENUE FUND -STATE		5,000,000					1000 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL ISSUE.....		150,000,000					
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>							43010100
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
NONRECURRING EXPENDITURES							2100000
PROPERTY INSURANCE (SPECIAL SESSION SB 2-D)							2103043
SPECIAL CATEGORIES							100000
MSFHP/HUR MITIG INSPEC							101660
GENERAL REVENUE FUND -STATE		25,000,000-					1000 1
=====							
G/A-MSFHP/HUR MITIG GRANTS							101661
GENERAL REVENUE FUND -STATE		115,000,000-					1000 1
=====							
MSFHP/EDU/CONSUM AWARENESS							101662
GENERAL REVENUE FUND -STATE		4,000,000-					1000 1
=====							
MSFHP/PUBLIC OUTREACH							101663
GENERAL REVENUE FUND -STATE		1,000,000-					1000 1
=====							
MSFHP/OPERTNS/ADM							101664
GENERAL REVENUE FUND -STATE		5,000,000-					1000 1
=====							
TOTAL: PROPERTY INSURANCE (SPECIAL SESSION SB 2-D)							2103043
TOTAL ISSUE.....		150,000,000-					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,982,582			
=====				
SALARIES AND BENEFITS				010000
	129.00			
ADMINISTRATIVE TRUST FUND -STATE	10,694,020			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	111,518			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	1,343,766			2021 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
ADMINISTRATIVE TRUST FUND -STATE	1,240,217			2021 1
=====				
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	427,325			2021 1
=====				
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND -STATE	3,500			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	43,545			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TENANT BROKER COMMISSIONS				105084
ADMINISTRATIVE TRUST FUND -STATE	125,000			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	134,268			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	42,238			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	129.00			
TOTAL ISSUE.....	14,165,397			
TOTAL SALARY RATE.....	6,982,582			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	6,816			2021 1
SALARY INCREASE FY 2022-23 - LAW				1001110
ENFORCEMENT - EFFECTIVE 7/1/2022				000000
SALARY RATE				
SALARY RATE.....	4,580			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	6,207			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 - LAW				
ENFORCEMENT - EFFECTIVE 7/1/2022				1001110
TOTAL: SALARY INCREASE FY 2022-23 - LAW				1001110
ENFORCEMENT - EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		6,207		
TOTAL SALARY RATE.....		4,580		
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....		376,568		
	=====	=====	=====	
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		464,218		2021 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		464,218		
TOTAL SALARY RATE.....		376,568		
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....		28,056		
	=====	=====	=====	
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		33,544		2021 1
	=====	=====	=====	

	COL A03 AGY REQUEST FY 2023-24 POS	COL A04 AGY REQ N/R FY 2023-24 POS	COL A05 AG REQ ANZ FY 2023-24 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
EXECUTIVE DIR/SUPPORT SVCS							43010100
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							1602.00.00.00
ESTIMATED EXPENDITURES							1000000
SALARY INCREASE FY 2022-23 -							
STATEWIDE \$15 MINIMUM WAGE INCREASE							
- EFFECTIVE 7/1/2022							1001325
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE				1,815			2021 1
TOTAL: SALARY INCREASE FY 2022-23 -							1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE							
- EFFECTIVE 7/1/2022							
TOTAL ISSUE.....				35,359			
TOTAL SALARY RATE.....				28,056			
SALARY INCREASE FY 2022-23 - OTHER							
ELECTED OFFICERS - EFFECTIVE							
7/1/2022							1001350
SALARY RATE							000000
SALARY RATE.....				7,147			
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE				12,541			2021 1
TOTAL: SALARY INCREASE FY 2022-23 - OTHER							1001350
ELECTED OFFICERS - EFFECTIVE							
7/1/2022							
TOTAL ISSUE.....				12,541			
TOTAL SALARY RATE.....				7,147			
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2022-23 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1002010
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE				95,892			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	4,554			2021 1
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	129.00			
SALARY RATE.....		14,790,984		2000
SALARY RATE.....		7,398,933		
TOTAL: EXECUTIVE DIR/SUPPORT SVCS				43010100
BY FUND TYPE				
TRUST FUNDS.....	129.00			
SALARY RATE.....		14,790,984		2000
SALARY RATE.....		7,398,933		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,338,334			
=====				
SALARIES AND BENEFITS				010000
90.00				
ADMINISTRATIVE TRUST FUND -STATE	7,721,050			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	284,539			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	717,375			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	1,000			2021 1
=====				
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
ADMINISTRATIVE TRUST FUND -STATE	75,000			2021 1
=====				
TRANS TO DIV ADM HEARINGS				100565
ADMINISTRATIVE TRUST FUND -STATE	165,873			2021 1
=====				
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	253,306			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	21,007			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	17,361			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	24,410			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	90.00			
TOTAL ISSUE.....	9,280,921			
TOTAL SALARY RATE.....	5,338,334			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	92,759			2021 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	287,206			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	344,071			2021 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	344,071			
TOTAL SALARY RATE.....	287,206			
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	19,352			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	23,139			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	4,631			2021 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	27,770			
TOTAL SALARY RATE.....	19,352			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	54,804			2021 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	2,632			2021 1
=====				
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES - ELECTRONIC COMMERCE				
FEEES - ADD				2000500
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
ADMINISTRATIVE TRUST FUND -STATE	100,000			2021 1
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue realigns budget authority in the Electronic Commerce Fees category between the Division of Legal Services and the Division of Licensure, Sales Appointment and Oversight (Agent & Agency Services). The category is being increased by 100,000 in this issue code. The issue nets to zero with issue code 2000400 in Agent & Agency Services.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>LEGAL SERVICES</u>						43010200
GOV OPERATIONS/SUPPORT						16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>						<u>1602.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
SERVICE OF PROCESS ANALYTICS						36338C0
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777

ADMINISTRATIVE TRUST FUND -STATE			900,000			2021 1
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AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests recurring budget authority to provide the Office of the General Counsel, Service of Process (SOP) Section, with access to a new application for electronic service of process. Pursuant to Florida law, the CFO acts as the statutory registered agent for Florida insurers and the SOP Section provides initial service of process to these insurers through mail or electronic means. In calendar year 2021, the SOP section handled approximately 470,000 document packages for service on insurers (generating approximately \$7 million in revenue) and 10,000 document packages for service on the Department. The Department's current web-based system and procedures allowed the transmittal of over 99% of documents to insurers electronically, but the current system lacks the functionality and technological support necessary to maintain the high-volume practice.

New technology is available that will allow for workflow automation and increased functionality for processing service of process on insurers. The same application will also be used to receive, organize, and track other Department-required forms and information related to insurance litigation (Civil Remedy Notice of Insurer Violations; Property Insurance Intent to Initiate Litigation). This interconnectivity will provide efficiency, robust reporting, and expedited payment processing, benefiting external customers, the Department, and Florida insurance consumers.

TOTAL: EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
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BY FUND TYPE

90.00

TRUST FUNDS.....	10,802,957					2000
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SALARY RATE.....	5,644,892					
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	7,181,703			
=====				
SALARIES AND BENEFITS				010000
	118.00			
ADMINISTRATIVE TRUST FUND -STATE	10,922,601			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	99,854			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	7,502,720			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	369,620			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	175,000			1000 1
ADMINISTRATIVE TRUST FUND -STATE	9,232,643			2021 1

TOTAL APPRO.....	9,407,643			
=====				
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND -STATE	2,900			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	64,029			2021 1
DEFERRED-PAY COM CONTRACTS				105280
ADMINISTRATIVE TRUST FUND -STATE	184,076			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	9,275			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	38,371			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	118.00			
TOTAL ISSUE.....	28,601,089			
TOTAL SALARY RATE.....	7,181,703			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
ADMINISTRATIVE TRUST FUND -STATE	1,234-			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	407,850			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	491,080			2021 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	491,080			
TOTAL SALARY RATE.....	407,850			
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	1,625			2021 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	71,175			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	4,137			2021 1
=====				
NONRECURRING EXPENDITURES				2100000
INFORMATION TECHNOLOGY SECURITY				
RISK ASSESSMENT				2103044
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	300,000-			2021 1
=====				
SHAREPOINT ONLINE MIGRATION				2103045
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	412,500-			2021 1
=====				
TELEPHONE AND CONTACT CENTER				
REFRESH				2103046
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	3,200,000-			2021 1
=====				
CUSTOMER RELATIONSHIP MANAGEMENT				
REPLACEMENT FEASIBILITY STUDY				2103048
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	450,000-			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
TELEPHONE AND CONTACT CENTER				
REFRESH				36207C0
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE		1		2021 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:
 CONTINUATION ISSUE

IT COMPONENT? YES

In Fiscal Year 2022-23 the department requested 1,500,000 in nonrecurring budget authority for the transition to a cloud-centric telephone and contact center services, phone headsets and professional services needed to replace the impending obsolete on-premises infrastructure. An additional 1,700,000 in recurring budget authority was requested to cover the annual increase of support and maintenance for the updated phone system. The total issue was appropriated with nonrecurring budget authority enabling the department to migrate and subscribe to the cloud-based phone and contact center service. The department is actively working with the Department of Management Services (DMS) on the vendor selection process. This process will yield an exact cost for the new cloud-based phone and contact center services. Once a vendor is selected, the project will commence with discovery, implementation, and migration of the on-premises services to the cloud which will take several months.

This issue requests a \$1 placeholder for recurring budget authority to support the additional recurring cost of the new cloud-based phone and contact service using the SUNCOM Communication Services contract. The placeholder is requested until a vendor is selected in December 2022 and the recurring cost of the contract is known.

There are 14 divisional contact center groups (e.g., Consumer Services) set up to handle a large volume of phone calls, especially for managing cases and providing customer support services. Without this recurring appropriation the department will not be able to continue subscribing the telephone and contract center services provided by DMS.

WIRELESS ACCESS NETWORK EQUIPMENT
 REPLACEMENT
 EXPENSES

36208C0
 040000

ADMINISTRATIVE TRUST FUND -STATE	363,850	363,850		2021 1
----------------------------------	---------	---------	--	--------

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
WIRELESS ACCESS NETWORK EQUIPMENT				
REPLACEMENT				36208C0
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	66,150	66,150		2021 1
TOTAL: WIRELESS ACCESS NETWORK EQUIPMENT				36208C0
REPLACEMENT				
TOTAL ISSUE.....	430,000	430,000		

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE:
 END OF LIFE ISSUE

IT COMPONENT? YES

The department requests nonrecurring funding to replace the telecommunication infrastructure that is approaching end of life. The hardware scheduled to be replaced consists of local area network (LAN) switches and associated wireless access equipment which are located at each of the 34 DFS office buildings.

Cisco announced that July 31, 2023, is the end-of-life for the wireless controller and there are several access points that will not be supported after April 30, 2024. The wireless LAN technology which includes controller and access points acquired since August 3, 2011 (11 years). Some access points were acquired throughout the 11-year period. Typically, hardware must be replaced every five to seven years. The vendor's end-of-life and end-of-sale will ultimately drive the replacement timeline.

Without this funding, the department will be unable to obtain hardware and software support for the current local area network wireless components. This includes maintenance and security updates. The legacy network may not be able to handle future increased traffic demands and could become unreliable.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
INFORMATION TECHNOLOGY CONTRACTUAL				
PRICE INCREASES				36211C0
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE		10,000		2021 1
=====		=====		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		165,000		2021 1
=====		=====		
TOTAL: INFORMATION TECHNOLOGY CONTRACTUAL				36211C0
PRICE INCREASES				
TOTAL ISSUE.....		175,000		
=====		=====		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:
 CONTINUATION ISSUE

IT COMPONENT? YES

This issue requests recurring budget authority in expenses and contracted services for contractual price increases. The Office of Information Technology (OIT) relies on a portfolio of approximately 140 contracts that supply a wide range of resources necessary to maintain, develop, and administer Information Technology (IT) services. Some are day-to-day tools used by employees (e.g., Microsoft Office) while others support the backend functions of department applications and technical operations (e.g., Oracle, ADATABASE, SQL Server, etc.). The IT services they provide and/or support are critical for the department, the Offices of Financial Regulation (OFR) and Insurance Regulation (OIR), and the entire Florida government enterprise (e.g., through FLAIR).

The renewal of these contracts will provide continued service delivery that includes, but is not limited to hardware, software, firmware, programs, services, systems, networks, data, infrastructure, media, facilities, maintenance, and related material used to automatically, electronically, and wirelessly communicate or disseminate information of any kind or form.

The Office of Information Technology (OIT) submitted a Legislative Budget Request for this same purpose for Fiscal Year 2022-23 and received an additional recurring appropriation of 817,380 in the Information Technology budget entity, and a recurring appropriation the Information Technology-FLAIR Infrastructure budget entity. The Fiscal Year 2022-23 appropriations were sufficient to cover the cost increases from prior years.

This issue is for additional contract increases the OIT will see during the next fiscal year. An analysis of the cost

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
INFORMATION TECHNOLOGY CONTRACTUAL				
PRICE INCREASES				36211C0

increases for both budget entities is available upon request. The Fiscal Year 2022-2023 contract increases are as follows and will be split between the IT budget entity (175K) and the FLAIR budget entity (475K):

- BMC Maintenance +\$44,948
- Cisco Maintenance +\$25,489
- Dell Server/Storage Maintenance +\$25,398
- EasyRemine Subscription +\$10,570
- IBM Software Maintenance +\$311,849
- MyFloridaNet-2 (MFN-2) +\$15,800
- Remaining contracts: \$215,946

Total: \$650,000

CUSTOMER RELATIONSHIP MANAGEMENT				36230C0
SOFTWARE STRATEGY				100000
SPECIAL CATEGORIES				100777
CONTRACTED SERVICES				

ADMINISTRATIVE TRUST FUND -STATE 1 2021 1

=====

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:
 CONTINUATION ISSUE

IT COMPONENT? YES

This issue requests a \$1 placeholder for recurring budget authority to implement the department's Customer Relationship Management software strategy for consolidation and modernization. The department was appropriated 450,000 in FY 2022-2023 to obtain a third-party feasibility study to assess the current state of the department's customer relationship management (CRM) software and provide a recommended strategy for consolidation and modernization. Proviso requires that the department shall provide a copy of the study to the Executive Office of the Governor's Office of Policy and Budget, the chair of the Senate Committee on Appropriations, and the chair of the House of Representatives Appropriations Committee by March 1, 2023. The placeholder is requested until the study is completed and the actual recurring cost is known.

The request for quote (RFQ) was posted on September 7, 2022, with vendor responses due by September 20, 2022, and an anticipated start date of October 24, 2022. The current system is on end-of-life software and cannot get mainstream

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY						3620000
CUSTOMER RELATIONSHIP MANAGEMENT						
SOFTWARE STRATEGY						36230C0

support from the software manufacturer. The Office of Information Technology will continue to run the current system on unsupported software which poses a risk should there be a security vulnerability and/or a system problem, which could cause a permanent outage for the system.

CLOUD FIRST STRATEGY						36242C0
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777

ADMINISTRATIVE TRUST FUND -STATE	250,000	250,000				2021 1
	=====	=====	=====			

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE:
 NEW PROJECT

IT COMPONENT? YES

This issue requests nonrecurring budget authority to procure a third-party vendor to assist the department in developing a cloud-computing strategy.

The Florida Legislature states in section 282.206, Florida Statutes, that the most efficient and effective means of providing quality data processing services is using cloud-computing services. To that end, the department desires to assess its computing infrastructure and develop a high-level timeline for transitioning to cloud-computing service. This Cloud First Strategy will provide a strategic framework for migrating the department's applications, in phases, from the Department's data center.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MAINFRAME MIGRATION				36307C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	450,000	450,000		2021 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 NEW PROJECT

This issue requests nonrecurring budget authority to support the Division of Workers' Compensation (DWC) mainframe migration.

The DFS mainframe is targeted to be decommissioned after the successful transition of the Florida Accounting Information Resource (FLAIR) system to Florida Planning, Accounting, and Ledger Management (PALM). As a result, DWC needs to start the process of migrating its systems off the mainframe to a new environment. DWC has eight systems that work directly in conjunction with the DFS mainframe and 23 systems that rely on data that originates from the mainframe. An analysis of the DWC mainframe environment, performed by a third-party management consulting vendor in 2021, detailed several approaches to address this issue. Based on the analysis, migrating DWC mainframe systems and batch jobs to a .NET applications framework and SQL Server database environment was recommended as the best path forward.

This request will fund the gathering of detailed requirements and design documentation to support the development of a comprehensive migration plan. The vendor has estimated that the transition from the IBM Mainframe environment to the new Microsoft Server environment would cost between 1.5 million and 2 million dollars. The department estimates that 30 percent (\$450,000) of the \$1.5 million estimate would be needed to hire a third-party consulting firm to develop the technical requirements and design the new computing environment. The cost to code, configure, test, and implement the new computing environment will be known once this phase is completed.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
LICENSING SYSTEM MODERNIZATION				
FEASIBILITY STUDY				36312C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	450,000	450,000		2021 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:
 NEW PROJECT

IT COMPONENT? YES

This issue requests nonrecurring budget authority to develop a feasibility study, in accordance with the legislative Schedule IV-B guidelines, in support of the licensing system used by the Division of Insurance, Agent, and Agency Services (IAAS).

IAAS licensing system, "ALIS" (Automated Licensing Information System) was developed 18 years ago and is developed in Microsoft CLASSIC ASP.NET Framework. ALIS is used to approve licenses for insurance agents, retrieve pertinent applicant documents, generate license certificates, produce invoices for insurance license renewals, schedule insurance appointment renewals, and other business processes necessary to service the insurance licensing program.

DFS is looking towards technology to assist with automating citizen services as well as day-to-day business processes to increase program productivity. This request is to study the IAAS business and technical support problems, evaluate alternatives, detail the potential costs and benefits, quantify the appropriate solution, and establish the best path forward.

The study will be performed by an outside vendor with an estimated 3,000 hours at \$150 per hour. The study will include a Schedule IV-B that will be used to determine the actual amount required for the proposed solution.

TOTAL: INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	175,000			1000
TRUST FUNDS	26,385,374	1,580,000		2000
TOTAL POSITIONS.....	118.00			
TOTAL PROG COMP.....	26,560,374	1,580,000		
TOTAL SALARY RATE.....	7,589,553			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	504,053			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	5.00			
	619,216			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE		63,132		
				2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE		72,357		
				2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE		20,471		
				2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE		3,799		
				2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE		1,888		
				2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		1,508		
				2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	5.00			
TOTAL ISSUE.....		782,371		
TOTAL SALARY RATE.....	504,053			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	102-		2393 1
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	27,119			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	32,301		2393 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		32,301		
TOTAL SALARY RATE.....	27,119			
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
CONSUMER ADVOCATE							43010400
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
SALARY INCREASE FY 2022-23 -							
STATEWIDE \$15 MINIMUM WAGE INCREASE							
- EFFECTIVE 7/1/2022							1001325
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	1,027					2393 1
=====							
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2022-23 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1002010
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	5,843					2393 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	163					2393 1
=====							
WORKLOAD							3000000
ADDITIONAL POSITION FOR CONSUMER							
ADVOCATE							3006160
SALARY RATE							000000
SALARY RATE.....		65,000					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	1.00					2393 1
INSURANCE REG TF	-STATE	93,421					2393 1
=====							

	COL A03 AGY REQUEST FY 2023-24 POS	COL A04 AGY REQ N/R FY 2023-24 POS	COL A05 AG REQ ANZ FY 2023-24 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
CONSUMER ADVOCATE							43010400
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							1602.00.00.00
WORKLOAD							3000000
ADDITIONAL POSITION FOR CONSUMER ADVOCATE							3006160
EXPENSES							040000
INSURANCE REG TF -STATE	11,051	4,682					2393 1
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF -STATE	342						2393 1
TOTAL: ADDITIONAL POSITION FOR CONSUMER ADVOCATE							3006160
TOTAL POSITIONS.....	1.00						
TOTAL ISSUE.....	104,814	4,682					
TOTAL SALARY RATE.....	65,000						

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

This issue requests one full time equivalent (FTE) and associated funding within the Office of Insurance Consumer Advocate(OICA) to provide assistance to consumers with insurance concerns, reach out to Florida consumers and discuss emerging market trends and insurance difficulties being faced by consumers, report on, review and analyze the current market conditions, future outlooks, and recognizable trends in the industry, provide a subject matter expert on the numerous insurance topics, and assist in conducting research with the Insurance Consumer Advocate on rate and form filings, insurance industry trends, consumer complaints, OIR public hearings and actions, insurance actions taken by other States, and legal proceedings.

With the increasing complexity of the insurance market and variety of products available, the addition of another FTE would allow OICA to become more involved with and knowledgeable of the Property, Casualty, Life, and Health insurance issues and trends facing Florida's consumers.

The OICA is a fast-paced and highly active office. With the broad range of duties, confidential nature of information, and high level of involvement with the insurance industry, a salary of \$65,000 (33% above minimum base rate) is requested in an effort to obtain a qualified and experienced individual who will be able to meet the needs of the OICA.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PRG: CHIEF FIN OFFICER/ADM						43000000
CONSUMER ADVOCATE						43010000
GOV OPERATIONS/SUPPORT						43010400
EXEC LEADERSHIP/SUPPRT SVC						16
WORKLOAD						1602.00.00.00
ADDITIONAL POSITION FOR CONSUMER						3000000
ADVOCATE						3006160

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24						
NEW POSITIONS						
2225 SENIOR MANAGEMENT ANALYST II - SES						
N0001 001	1.00	65,000	28,421	93,421	0.00	93,421
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						
	1.00	65,000	28,421	93,421		93,421

PROGRAM ISSUES	4000000
ADDITIONAL CONTRACTED SERVICES	
BUDGET	4000360
SPECIAL CATEGORIES	100000
CONTRACTED SERVICES	100777
INSURANCE REG TF -STATE	25,000
	2393 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority to increase the available hours on the actuarial contract for the Office of the Insurance Consumer Advocate (OICA). One of the missions and statutory duties of the OICA is to examine rate and form filings submitted to the Office of Insurance Regulation (OIR) by licensed Florida insurers and hire consultants as necessary to aid in the review process of these rate and form filings.

Services from an actuarial firm are needed to provide actuarial analysis on companies' rate and form filings submitted to

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
PROGRAM ISSUES				4000000
ADDITIONAL CONTRACTED SERVICES				
BUDGET				4000360

the OIR by insurance companies. Analysis and reports from the actuarial firm are used to aid the Consumer Advocate in reviewing rate and form filings, providing an outside review and perspective of rates and forms submitted to OIR, assisting the Consumer Advocate in representing the public (health care panels, working groups, NAIC Working Groups, statutorily appointed boards, task forces, etc.), assisting the Consumer Advocate in special projects assigned by the Chief Financial Officer, the Department of Financial Services (DFS), and the Florida Legislature, and participating and representing Florida consumers in regulatory proceedings regarding all insurance activities conducted under the jurisdiction of the DFS and the OIR.

Based upon the current actuary contract, actuary services for reviewing rate filings cost on average \$1,842 per rate filing. With the dynamic and impactful changes going on in the homeowner's insurance industry, Florida is seeing a significant increase in the number of rate filings and Public Rate Hearings held. Public Rate Hearings from FY 19/20 to FY 20/21 increased by 75%, and Public Rate Hearings increased by 29% from FY 20/21 to FY 21/22.

The cost to engage a qualified actuarial firm and use on an as needed basis is much lower than the cost to hire a full-time actuary employee to the OICA. The current actuary contract is \$25,000 per year, whereas, an in-house actuary has a base salary of \$78,886.

TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
6.00				
TRUST FUNDS.....	951,417	4,682		2000
SALARY RATE.....	596,172			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,547,493			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	5,683,908			1000 1
ADMINISTRATIVE TRUST FUND -STATE	333,018			2021 1
INSURANCE REG TF -STATE	653,166			2393 1
TOTAL POSITIONS.....	77.00			
TOTAL APPRO.....	6,670,092			
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	5,531			1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,198,941			1000 1
ADMINISTRATIVE TRUST FUND -STATE	257,929			2021 1
TOTAL APPRO.....	1,456,870			
=====				
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	104,880			1000 1
=====				
SPECIAL CATEGORIES				100000
FLAIR OPERS & MAINTENANCE				100702
GENERAL REVENUE FUND -STATE	699,369			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,533,604			2021 1
TOTAL APPRO.....	3,232,973			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	2,968,816			1000 1
ADMINISTRATIVE TRUST FUND -STATE	1,329,104			2021 1
TOTAL APPRO.....	4,297,920			
FLAIR SYSTEM REPLACEMENT				100781
ADMINISTRATIVE TRUST FUND -STATE	1,016,548			2021 1
DEFERRED-PAY COM CONTRACTS				105280
GENERAL REVENUE FUND -STATE	85,914			1000 1
ADMINISTRATIVE TRUST FUND -STATE	390,209			2021 1
INSURANCE REG TF -STATE	135,755			2393 1
TOTAL APPRO.....	611,878			
LEASE/PURCHASE/EQUIPMENT				105281
GENERAL REVENUE FUND -STATE	1,424			1000 1
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	24,944			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,142			2021 1
INSURANCE REG TF -STATE	2,119			2393 1
TOTAL APPRO.....	29,205			
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	77.00			
TOTAL ISSUE.....	17,427,321			
TOTAL SALARY RATE.....	4,547,493			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	244,097			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	248,988			1000 1
ADMINISTRATIVE TRUST FUND -STATE	14,579			2021 1
INSURANCE REG TF -STATE	28,604			2393 1
	-----	-----	-----	
TOTAL APPRO.....	292,171			
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	292,171			
TOTAL SALARY RATE.....	244,097			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	7,182			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	7,318			1000 1
ADMINISTRATIVE TRUST FUND -STATE	428			2021 1
INSURANCE REG TF -STATE	841			2393 1
	-----	-----	-----	
TOTAL APPRO.....	8,587			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				
PRG: CHIEF FIN OFFICER/ADM				43000000
<u>INFO TECHNOLOGY - FLAIR</u>				43010000
GOV OPERATIONS/SUPPORT				43010500
<u>INFORMATION TECHNOLOGY</u>				16
ESTIMATED EXPENDITURES				<u>1603.00.00.00</u>
SALARY INCREASE FY 2022-23 -				1000000
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	90			1000 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	8,677			
TOTAL SALARY RATE.....	7,182			
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	36,553			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,140			2021 1
INSURANCE REG TF -STATE	4,199			2393 1
TOTAL APPRO.....	42,892			
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	231			2021 1
INSURANCE REG TF -STATE	228			2393 1
TOTAL APPRO.....	459			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
FLORIDA PLANNING, ACCOUNTING, AND				
LEDGER MANAGEMENT (PALM) READINESS				2103008
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
ADMINISTRATIVE TRUST FUND -STATE	1,016,548-			2021 1
=====				
NEW INFORMATION RESOURCE MANAGEMENT				
INFRASTRUCTURE PROJECT				3600000
FLORIDA PLANNING, ACCOUNTING, AND				
LEDGER MANAGEMENT (PALM) READINESS				3600PC0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
ADMINISTRATIVE TRUST FUND -STATE	2,452,680	2,452,680		2021 1
=====				

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE:
 CONTINUATION ISSUE

IT COMPONENT? YES

FLORIDA PALM READINESS 1,584,360

This is a continuation issue requesting nonrecurring budget authority to support the Florida Planning, Accounting, and Ledger Management (PALM) transition.

Florida PALM will be offering several standardized interfaces to exchange data with agency-maintained applications that will still be needed to support critical agency functions. The requested budget authority would fund the Department's efforts to connect interfaces with Florida PALM. FLAIR currently uses an antiquated batching system to exchange data with agency business systems. However, Florida PALM will offer a modern Application Program Interface (API) to process data inputs and outputs. This improved interface approach will require development within the Department's interface architecture, including software licenses and hardware.

During the next several years, subject matter experts will be utilized to participate in the collaborative design, testing, and implementation activities for Florida PALM. Additional resources are needed to provide continuity of the Department's business functions while the staff are transitioning the current business processes and systems to align with Florida PALM.

Staff Augmentation Request:

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFO TECHNOLOGY - FLAIR</u>						43010500
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
NEW INFORMATION RESOURCE MANAGEMENT						
INFRASTRUCTURE PROJECT						3600000
FLORIDA PLANNING, ACCOUNTING, AND						
LEDGER MANAGEMENT (PALM) READINESS						3600PC0

- 1 Program Manager @ \$135 per hour x 1944 hours = \$262,440
- 1 Application Integration Engineer @ \$145 per hour x 1944 hours = \$281,880
- 3 Application Developer @ \$115 per hour x 1944 hours = \$670,680
- 2 Technical Business Analyst @ \$95 per hour x 1944 hours = \$369,360

FLAIR REPLACEMENT 868,320

Nonrecurring budget authority is also requested to support the transition of the Florida Accounting Information Resource (FLAIR) system to the Florida PALM solution.

A combination of FLAIR subject matter experts (SME), the managed services provider and staff augmentation will provide the needed capacity to successfully complete the transition of FLAIR to Florida PALM. Activities will include the transition plans for the hardware, software, data, and staffing related to the FLAIR system. These resources will provide support as request by the Florida PALM with the coordination and implementation of shared milestones that will be identified in the department's operational work plans.

Staff Augmentation Request:

- 1 Managed Services Provider @ \$81 per hour x 4,000 hours = \$324,000
- 1 Program Management Director @ \$145 per hour x 1944 hours = \$281,880
- 1 Program Management Manager @ \$135 per hour x 1944 hours = \$262,440

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
INFORMATION TECHNOLOGY CONTRACTUAL				
PRICE INCREASES				36211C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE		475,000		2021 1

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE:
 CONTINUATION ISSUE

IT COMPONENT? YES

This issue requests recurring budget authority in expenses and contracted services for contractual price increases. The Office of Information Technology (OIT) relies on a portfolio of approximately 140 contracts that supply a wide range of resources necessary to maintain, develop, and administer Information Technology (IT) services. Some are day-to-day tools used by employees (e.g., Microsoft Office) while others support the backend functions of department applications and technical operations (e.g., Oracle, ADATABASE, SQL Server, etc.). The IT services they provide and/or support are critical for the department, the Offices of Financial Regulation (OFR) and Insurance Regulation (OIR), and the entire Florida government enterprise (e.g., through FLAIR).

The renewal of these contracts will provide continued service delivery that includes, but is not limited to hardware, software, firmware, programs, services, systems, networks, data, infrastructure, media, facilities, maintenance, and related material used to automatically, electronically, and wirelessly communicate or disseminate information of any kind or form.

The Office of Information Technology (OIT) submitted a Legislative Budget Request for this same purpose for Fiscal Year 2022-23 and received an additional recurring appropriation in the Information Technology budget entity, and 736,913 the Information Technology-FLAIR Infrastructure budget entity. The Fiscal Year 2022-23 appropriations were sufficient to cover the cost increases from prior years.

This issue is for additional contract increases the OIT will see during the next fiscal year. An analysis of the cost increases for both budget entities is available upon request. The Fiscal Year 2022-2023 contract increases are as follows and will be split between the IT budget entity (175K) and the FLAIR budget entity (475K):

- BMC Maintenance +\$44,948
- Cisco Maintenance +\$25,489
- Dell Server/Storage Maintenance +\$25,398
- EasyRemine Subscription +\$10,570
- IBM Software Maintenance +\$311,849
- MyFloridaNet-2 (MFN-2) +\$15,800

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFO TECHNOLOGY - FLAIR</u>						43010500
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY						3620000
INFORMATION TECHNOLOGY CONTRACTUAL						
PRICE INCREASES						36211C0

Remaining contracts: \$215,946

Total: \$650,000

TOTAL: INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
BY FUND TYPE						
GENERAL REVENUE FUND						1000
TRUST FUNDS						2000
TOTAL POSITIONS.....						77.00
TOTAL PROG COMP.....						19,682,652 2,452,680
TOTAL SALARY RATE.....						4,798,772
=====						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,050,597			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	21.00			
	1,740,699			2725 1
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	1,515			2725 1
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	231,896			2725 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	95,205			2725 1
LEASE/PURCHASE/EQUIPMENT				105281
TREASURY ADM/INVEST TF -STATE	6,616			2725 1
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	6,047			2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	21.00			
TOTAL ISSUE.....	2,081,978			
TOTAL SALARY RATE.....	1,050,597			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	58,154			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		71,328		2725 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		71,328		
TOTAL SALARY RATE.....	58,154			
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	2,705			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		3,234		2725 1
=====				
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE		25		2725 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		3,259		
TOTAL SALARY RATE.....	2,705			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		12,153		2725 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE		652		2725 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITION(S) IN THE				
TREASURY INVESTMENTS SECTION -				
DEDUCT				160F750
SALARY RATE				000000
SALARY RATE.....		31,201-		
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		52,000-		2725 1
TOTAL: REALIGNMENT OF POSITION(S) IN THE				160F750
TREASURY INVESTMENTS SECTION -				
DEDUCT				
TOTAL POSITIONS.....		1.00-		
TOTAL ISSUE.....		52,000-		
TOTAL SALARY RATE.....		31,201-		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

On October 13, 2022, budget amendment EOG# Q0023 was approved and implemented. The Division of Treasury moved position

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>DEPOSIT SECURITY</u>				43100200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITION(S) IN THE				
TREASURY INVESTMENTS SECTION -				
DEDUCT				160F750

number 43000059 and associated rate and budget from budget entity 43100200 to budget entity 43100300. This issue is to request budget amendment EOG# Q0023 be made permanent.

Position #43000059 is an Accountant II that is currently vacant. The Bureau of Funds Management is experiencing changes in duties because of the ongoing migration to Florida Planning, Accounting, and Ledger Management (PALM). The division determined that it was necessary to transfer this position to the Bureau of Funds Management to assist with the additional workload.

In addition to transferring the position and 31,200 in rate, the division requests the transfer of 52,000 in budget authority in the salaries and benefits category. With the transfer of budget authority, the Bureau of Funds Management will be able to upgrade this position to a supervisor.

Without this realignment, the division will be unable to continue to meet the demanding workload and challenges they are experiencing since the implementation of the Cash Management System phase of Florida PALM.

This issue corresponds with issue code 160F760 in budget entity 43100300 and is a net zero issue.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1430 ACCOUNTANT II							
00059 001	1.00-	31,340-		20,661-	52,001-	0.00	52,001-
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							52,001-
	1.00-	31,340-		20,661-	52,001-		52,001-

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2023-24	FY 2023-24	FY 2023-24	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PROGRAM: TREASURY 43100000
DEPOSIT SECURITY 43100200
 GOV OPERATIONS/SUPPORT 16
GOVERNMENTAL OPERATIONS 1601.00.00.00
 ADJUSTMENTS TO CURRENT YEAR
 ESTIMATED EXPENDITURES 1600000
 REALIGNMENT OF POSITION(S) IN THE
 TREASURY INVESTMENTS SECTION -
 DEDUCT 160F750

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
00059 002			139				
TOTAL SALARY RATE			139				
=====							
OTHER SALARY AMOUNT							
2725 TREASURY ADM/INVEST TF							1
							52,000-
							=====

PROGRAM OR SERVICE-LEVEL INFORMATION TECHNOLOGY 3630000
 FEASIBILITY STUDY FOR COLLATERAL ADMINISTRATION PROGRAM (CAP)
 REPLACEMENT 36344C0
 SPECIAL CATEGORIES 100000
 CONTRACTED SERVICES 100777
 TREASURY ADM/INVEST TF -STATE 450,000 450,000 2725 1
 =====

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 This issue requests nonrecurring funds to obtain a third-party feasibility study to assess the current state of the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>DEPOSIT SECURITY</u>				43100200
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
FEASIBILITY STUDY FOR COLLATERAL				
ADMINISTRATION PROGRAM (CAP)				
REPLACEMENT				36344C0

Department's collateral administration program (CAP) application and provide a recommended strategy for modernization. A feasibility study will provide the Department with the information necessary to plan and successfully move and consolidate the current systems with updated modern technology.

The Division of Treasury Bureau of Collateral Management (BCM) is responsible for the management of the statutorily defined collateral requirements and serves as Florida's collateral management service provider under section 17.59, Florida Statutes. To support this responsibility, the Collateral Administration Program (CAP) browser-based application was implemented in 2004. As of August 2022, the system supports the management of 4,436 active accounts and a total of \$16.5 billion in collateral assets such as book entry securities, cash, and certificates of deposit. This critical business system is the backbone of bureau of collateral management operations. Under Florida law and rules, designated entities including banks, savings associations, and insurance companies are required to have collateral on account. Further, if a qualified public depository were to default, the division is also required to pay claims in a timely manner using a contingent liability mechanism where other financial institutions would be required to cover a calculated percentage of public depositors' losses. The Division of Treasury is reliant on the CAP application to carry out their daily statutory duties.

While CAP provides a framework for BCM's minimum organizational needs, the application has critical limitations in the technical framework of the system due to outdated 3rd-party controls and unobtainable source code, inefficiencies in the user experience which results in time consuming and administratively heavy processes, and constraints when considering improvements and innovations in the way BCM conducts its business.

The identified issues are a problem to the agency as the application cannot effectively adapt to changing business and operational requirements. Further, even without enhancements, the dated technical framework is difficult and potentially costly to maintain and troubleshoot in the event of failure. In combination, these inefficiencies have resulted in stagnant service levels as well as reduced customer and employee user experience.

The system consists of sixteen interrelated modules: Account Management, Check Tracking, Claims Management, Collateral Market Update, Account Comments, Account Confirmations, Account Contacts, Correspondence, Employee Maintenance, Financial Analysis, Forms, Incoming Reports, Merger Management, Reporting, Tools, and Transactions. Collectively, the modules are designed to collect, compile, and report on collateral pledged to the Chief Financial Officer by approved entities. From an external user perspective, CAP provides a web-based environment to view account information, update demographic data, and submit reports to the Division of Treasury. For the internal user, the system gives additional options of managing and reconciling cash against the state accounting system, managing account information and status, managing collateral deposit and release transactions, performing financial analyses on institutions, and ensuring that the collateral is appropriately valued considering the market.

While the functionality described above does exist, not all processes operate efficiently. As a result, there are

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>DEPOSIT SECURITY</u>				43100200
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
FEASIBILITY STUDY FOR COLLATERAL				
ADMINISTRATION PROGRAM (CAP)				
REPLACEMENT				36344C0

significant opportunities to incorporate technology and better leverage FTE resources. There is also a need to add additional functionality and processes to automate manual tasks and to account for potential future statutory changes.

Additionally, a recent example of a business impact due to the dependency on an older framework and outdated 3rd-party control is related to the decommissioning of Microsoft's Internet Explorer browser. CAP was required to be modified to allow the user to use the application without the need for Compatibility Mode in the Microsoft Edge browser. There was significant effort undertaken to make the necessary changes in the applications. As technology progresses, maintenance on CAP is likely to increase in significance and in time consumption.

Further, there are opportunity costs associated with the continuation of the CAP system without a timely rebuild. The limitations of the custom and outdated framework reduce and/or eliminate the ability to adapt or expand to meet changing business needs. For example, BCM's current workflow is heavily dependent on documents, either paper or electronic documents, being routed via email and data from the documents being manually entered into the system for processing. Having data entry be integral to the process results in higher costs associated with maintaining FTEs, slower workflow times, and increased risk of errors in the data.

While this function is currently being performed, the methods for developing systems in current environments are completely different from those used when CAP was designed. The infrastructure and other applications that integrate with CAP (ex., the state accounting system, PALM) are designed to support and work with current technology. Significant work is required to ensure that the older technology will continue to work with new technology.

Further, the number and aggressiveness of security threats have also increased exponentially in the last 18 years. New technology inherently protects against many threats and new systems are designed to thwart known malicious attack methodologies.

The primary deliverable of this proposal is the completion of the required Schedule IV-B to evaluate the CAP system and determine next steps for addressing issues and risks. This document will consist of documented business, functional, and technical requirements, marketplace alternatives for the replacement of CAP, and will define the associated costs, benefits, timeline, and return on investment. Additionally, the study of the system and future modernization of the system will result in a decrease in technical debt. The documentation of the current state and viable replacement strategies will provide invaluable direction for building the future state, assisting with unknown causes of application issues, and providing a foundation for future budget requests to replace the application.

The time to replace the outdated and inefficient technology will increase if the issue is not funded. The results of the study will be instrumental in preparing future budget requests for the actual replacement. System anomalies will likely increase as the agency attempts to keep up with more modern infrastructure and applications as well as the dynamic number of accounts and potential claims. Any system anomalies may result in a decrease in the reliability of the system and

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>DEPOSIT SECURITY</u>				43100200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
FEASIBILITY STUDY FOR COLLATERAL				
ADMINISTRATION PROGRAM (CAP)				
REPLACEMENT				36344C0
<p>will likely cause an increase in the cost to maintain the system in its current state. The risk of security incidents will also increase as security threats continue to mature. The longer the legacy technology remains in place, the greater the risk to the Agency.</p> <p>*****</p>				
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
20.00				
TRUST FUNDS.....	2,567,370	450,000		2000
SALARY RATE.....	1,080,255			
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: TREASURY							43100000
<u>ST FUNDS MGT & INVESTMENT</u>							43100300
GOV OPERATIONS/SUPPORT							16
<u>GOVERNMENTAL OPERATIONS</u>							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,324,693					
=====							
SALARIES AND BENEFITS							010000
TREASURY ADM/INVEST TF -STATE		25.50					
TREASURY ADM/INVEST TF -STATE		2,047,932					2725 1
=====							
EXPENSES							040000
TREASURY ADM/INVEST TF -STATE		278,836					2725 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
TREASURY ADM/INVEST TF -STATE		1,952,785					2725 1
=====							
RISK MANAGEMENT INSURANCE							103241
TREASURY ADM/INVEST TF -STATE		6,637					2725 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
TREASURY ADM/INVEST TF -STATE		4,000					2725 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
TREASURY ADM/INVEST TF -STATE		7,657					2725 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		25.50					
TOTAL ISSUE.....		4,297,847					
TOTAL SALARY RATE.....		1,324,693					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF -STATE		1,566		2725 1
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....		73,482		
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		87,624		2725 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		87,624		
TOTAL SALARY RATE.....		73,482		
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....		2,705		
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		3,234		2725 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		3,234		
TOTAL SALARY RATE.....		2,705		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	14,759			2725 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	826			2725 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITION(S) IN THE				
TREASURY INVESTMENTS SECTION - ADD				160F760
SALARY RATE				000000
SALARY RATE.....	31,200			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	1.00	52,000		2725 1
=====				
TOTAL: REALIGNMENT OF POSITION(S) IN THE				160F760
TREASURY INVESTMENTS SECTION - ADD				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....		52,000		
TOTAL SALARY RATE.....	31,200			
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

On October 13, 2022, budget amendment EOG# Q0023 was approved and implemented. The Division of Treasury moved position number 43000059 and associated rate and budget from budget entity 43100200 to budget entity 43100300. This issue is to request budget amendment EOG# Q0023 be made permanent.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITION(S) IN THE				
TREASURY INVESTMENTS SECTION - ADD				160F760

Position #43000059 is an Accountant II that is currently vacant. The Bureau of Funds Management is experiencing changes in duties because of the ongoing migration to Florida Planning, Accounting, and Ledger Management (PALM). The division determined that it was necessary to transfer this position to the Bureau of Funds Management to assist with the additional workload.

In addition to transferring the position and 31,200 in rate, the division requests the transfer of 52,000 in budget authority in the salaries and benefits category. With the transfer of budget authority, the Bureau of Funds Management will be able to upgrade this position to a supervisor.

Without this realignment, the division will be unable to continue to meet the demanding workload and challenges they are experiencing since the implementation of the Cash Management System phase of Florida PALM.

This issue corresponds with issue code 160F750 in budget entity 43100200 and is a net zero issue.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1430 ACCOUNTANT II							
00059 001	1.00	31,340		20,661	52,001	0.00	52,001
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							52,001
	1.00	31,340		20,661	52,001		52,001

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
GOV OPERATIONS/SUPPORT						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITION(S) IN THE						
TREASURY INVESTMENTS SECTION - ADD						160F760

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
00059 002			140-				
TOTAL SALARY RATE			140-				
OTHER SALARY AMOUNT							
2725 TREASURY ADM/INVEST TF							1-
							52,000

NONRECURRING EXPENDITURES							2100000
ADDITIONAL POSITION FOR INVESTMENTS							
SECTION							2103049
EXPENSES							040000
TREASURY ADM/INVEST TF -STATE		4,591-					2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
NEW INFORMATION RESOURCE MANAGEMENT				
INFRASTRUCTURE PROJECT				3600000
FLORIDA PLANNING, ACCOUNTING, AND				
LEDGER MANAGEMENT (PALM) READINESS				3600PC0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
TREASURY ADM/INVEST TF -STATE		800,000		2725 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

TREASURY INVESTMENT ACCOUNTING SOLUTION

This issue requests recurring budget authority for the Treasury Investment Accounting Solution.

The Florida Treasury has worked, over the last year, to onboard a new Enterprise Resource Planning tool (ERP) to replace most of the Treasury cash management and investment accounting systems. Throughout this process, Treasury, along with the PALM project, has determined that the Deal Management submodule within the PALM application does not meet the needs of the state and cannot account for the types of transactions the Treasury requires accurately or without significant manual intervention. These accounting problems with the module have led to significant delays in reporting and Treasury processes over the last year as well as data discrepancies that have had to be manually adjusted throughout the year in order to balance the Treasury Ledgers.

Based on the information above, the Treasury has worked closely with the PALM project, Department Leadership, and House and Senate staff to bring forward a solution that will work for Florida moving forward. Treasury has executed a contract with a vendor who will provide a best of breed Investment Accounting solution. This solution is specifically designed to perform the types of complex fixed income investment accounting processes that the Treasury portfolio demands to form a solution. The solution also provides contractor staff to assist with any data discrepancies that may arise from market pricing issues, classification discrepancies, or any other typical investment related data discrepancies. This solution is in the process of being implemented and is scheduled to be deployed in early 2023 as the Treasury Investment Accounting solution and will be responsible for all investment reporting provided for the Annual Comprehensive Financial Report (ACFR) produced by the Division of Accounting and Auditing and other reporting requirements related to Treasury investments.

With the implementation of the new Investment Accounting Solutions, the internally managed portfolios will be able to invest in more complex types of securities such as Asset Backed Securities (ABS). These types of securities will continue to meet the stringent qualifications for quality securities as laid out in the Treasury Comprehensive Investment Policy. Based on this type of investment flexibility, the Treasury anticipates the state will be able to increase interest earned as stated below:

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
GOV OPERATIONS/SUPPORT						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
NEW INFORMATION RESOURCE MANAGEMENT						
INFRASTRUCTURE PROJECT						3600000
FLORIDA PLANNING, ACCOUNTING, AND						
LEDGER MANAGEMENT (PALM) READINESS						3600PC0

The current 3 Yr Treasury YTD is yielding 3.177 percent which would give an annual return of \$15.885mm in returns over a year span on \$500mm investment.

The current 3 Yr ABS AA is 4.19 percent which would give an annual return of \$20.95mm in returns on a \$500mm investment.

This is an increase of a little over \$5mm more per year for the investment into ABS. These investments will fluctuate based on early paybacks, refinance terms, etc. However, the Treasury still believes this to be a significant return on investment.

Utilizing a system that is designed specifically for investment accounting processes is extremely necessary for Treasury. The Treasury Pool is quickly approaching the \$60 billion mark and having the most up to date processes and systems is a must in order to continue to safeguard public assets and earn the income that the Florida constituents deserve.

PROGRAM ISSUES						4000000
TREASURY INVESTMENT SECTION						
SALARIES AND BENEFITS INCREASE						4000A10
SALARY RATE						000000
SALARY RATE.....	301,105					
	=====	=====	=====	=====		
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF						
-STATE	360,002					2725 1
	=====	=====	=====	=====		
TOTAL: TREASURY INVESTMENT SECTION						4000A10
SALARIES AND BENEFITS INCREASE						
TOTAL ISSUE.....	360,002					
TOTAL SALARY RATE.....	301,105					
	=====	=====	=====	=====		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority to increase salaries for the professional positions in the Investments Section.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT

FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM ISSUES				4000000
TREASURY INVESTMENT SECTION				
SALARIES AND BENEFITS INCREASE				4000A10

Currently the State of Florida Treasury Investment Section invests between \$58 and \$60 billion in a Fixed Income pool with four portfolio managers, two analysts, and a supervisor. Treasury is not able to compete with the competitive pay scale for investment professional positions with the current appropriated salary for these operations. In June 2021 there were six full time employees in this section with over 40 years of combined investment knowledge and experience with a total gross annual salary of \$545,194. Within three months' time, four of the six positions were vacated for significant pay increases elsewhere. Three of these employees were hired by the State board of Administration (SBA) performing the same or very similar duties that were being performed within the Treasury Investment Section. These employees received between 13 and 33 percent pay increases, in addition to bonuses.

In comparison, the SBA's fixed income section consists of 15 employees with a total gross annual salary of \$1,894,922. The Chief Investment Officer (CIO - PM 4) makes \$267,960 annually. SBA's four PM3 (Senior Portfolio Managers) make an average of \$175,700. Their five PM2s (Portfolio Managers) make an average of \$116,400 and their one PM1 (Junior Portfolio Manager) \$88,586. Additionally, they have a support staff of four that make an average of \$63,400. These positions also receive annual bonus' making their salaries 30-60% higher than the Treasury Investment Section staff doing the same work while managing a larger Fixed Income pool.

The vacated positions have been filled with qualified staff; however, they are very young in their careers as investment professionals. The Treasury has spent the last five years recruiting and training talent to make them into valuable investment professionals to carry out the mission of the Treasury. It is common for these employees to leave the Treasury for significantly higher paying jobs doing the same work with an average of 2 years' experience after being recruited and trained by the Treasury. If we do not invest in these positions, history has shown us that once they have the experience needed, they will seek employment or be recruited to better paying jobs even within the State. The division is not suggesting that they should be able to compete with the private sector; however, the goal is to get within 10-20% of what the current public sector employees performing the same or similar job duties are making.

Fees paid to external portfolio managers average \$1.4 million monthly in Fiscal Year 2021-22 which totals \$16.8 million annually. In Fiscal Year 2021-22, those fees were \$13.6 million annually. As stated above, the Treasury Investment section manages three portfolios in house on a salary and benefits budget of \$545,194.23 annually. The Internal Mandates that Treasury staff manages returned \$126,874,740 in Fiscal Year 2021-22. Externally managed portfolios were paid roughly 4% (\$16.8 million) of the earnings they produced in Fiscal Year 2021-22 while our internal pool managers only earned .43% of the total amount earned for the state. It is significantly more cost effective for the state to invest in our staff than to bring on more externally managed portfolios.

If the Treasury can continue to invest in our internal resources to retain and recruit staff, we will continue to be able to provide, and enhance, valuable returns to the constituents of the State of Florida. Without this additional funding, the Treasury Investment Section will continue to have significant turnover and will be unable to recruit and retain qualified staff within this field. Treasury yields will be in jeopardy of underperforming benchmarks. Public assets will not be used in the most effective manner to generate returns on excess dollars.

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: TREASURY							43100000
<u>SUP RETIREMENT PLAN</u>							43100400
GOV OPERATIONS/SUPPORT							16
<u>GOVERNMENTAL OPERATIONS</u>							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		564,307					
=====							
SALARIES AND BENEFITS							010000
TREASURY ADM/INVEST TF	-STATE	13.00					
TREASURY ADM/INVEST TF	-STATE	878,865					2725 1
=====							
OTHER PERSONAL SERVICES							030000
TREASURY ADM/INVEST TF	-STATE	20,307					2725 1
=====							
EXPENSES							040000
TREASURY ADM/INVEST TF	-STATE	107,328					2725 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
TREASURY ADM/INVEST TF	-STATE	1,252					2725 1
=====							
DEFERRED COMP ADM SVCS							100868
TREASURY ADM/INVEST TF	-STATE	823,190					2725 1
=====							
RISK MANAGEMENT INSURANCE							103241
TREASURY ADM/INVEST TF	-STATE	1,802					2725 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
TREASURY ADM/INVEST TF	-STATE	4,405					2725 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	2,996			2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	13.00			
TOTAL ISSUE.....	1,840,145			
TOTAL SALARY RATE.....	564,307			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF -STATE	142			2725 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	30,788			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	36,291			2725 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	36,291			
TOTAL SALARY RATE.....	30,788			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	554			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		662		2725 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE		330		2725 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		992		
TOTAL SALARY RATE.....	554			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		5,691		2725 1
	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE		323		2725 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	13.00			
TRUST FUNDS.....	1,883,584			2000
SALARY RATE.....	595,649			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	8,668,218			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	9,872,648			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,488,132			2021 1
TOTAL POSITIONS.....	157.00			
TOTAL APPRO.....	12,360,780			
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	24,586			1000 1
ADMINISTRATIVE TRUST FUND -STATE	23,788			2021 1
TOTAL APPRO.....	48,374			
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	988,972			1000 1
ADMINISTRATIVE TRUST FUND -STATE	116,201			2021 1
TOTAL APPRO.....	1,105,173			
=====				
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	1,000			1000 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	683,882			1000 1
ADMINISTRATIVE TRUST FUND -STATE	80,000			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	763,882			
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE	7,412			1000 1
ADMINISTRATIVE TRUST FUND -STATE	60,740			2021 1
TOTAL APPRO.....	68,152			
LEASE/PURCHASE/EQUIPMENT				105281
GENERAL REVENUE FUND -STATE	5,122			1000 1
ADMINISTRATIVE TRUST FUND -STATE	17,055			2021 1
TOTAL APPRO.....	22,177			
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	45,028			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,568			2021 1
TOTAL APPRO.....	47,596			
TR/PRISON INDUSTRY ENH PRG				108005
PRISON INDUSTRIES TF -STATE	1,250,000			2385 1
FL CLERKS/COURT/OPER CORP				109987
ADMINISTRATIVE TRUST FUND -STATE	2,300,000			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	157.00			
TOTAL ISSUE.....		17,967,134		
TOTAL SALARY RATE.....		8,668,218		
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE		12,258-		2021 1
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....		466,348		
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		442,271		1000 1
ADMINISTRATIVE TRUST FUND -STATE		111,468		2021 1
TOTAL APPRO.....		553,739		
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		553,739		
TOTAL SALARY RATE.....		466,348		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	1,108			
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	1,057			1000 1
ADMINISTRATIVE TRUST FUND -STATE	267			2021 1
TOTAL APPRO.....	1,324			
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	400			1000 1
ADMINISTRATIVE TRUST FUND -STATE	387			2021 1
TOTAL APPRO.....	787			
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	2,111			
TOTAL SALARY RATE.....	1,108			
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	67,003			1000 1
ADMINISTRATIVE TRUST FUND -STATE	16,888			2021 1
TOTAL APPRO.....	83,891			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	277			2021 1
=====				
WORKLOAD				3000000
ADDITIONAL RESOURCES FOR THE				
DIVISION OF ACCOUNTING AND AUDITING				3000020
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	600,000			1000 1
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority for the Annual Comprehensive Financial Report and for fraud prevention.

The Division of Accounting & Auditing is engaged in organizing, planning, and implementing changes to accommodate and incorporate the state's new accounting system, FL PALM. It has streamlined processes, automated certain manual processes, and performed process improvements in compliance of law and accounting standards. These activities have been done by maximizing existing resources; however, the operating costs of the division will not allow any further efficiencies or compliance efforts without additional funding.

The Division has identified two priority needs:

Annual Comprehensive Financial Report (ACFR)

Section 216.102 Florida Statutes, requires the Chief Financial Officer to publish an Annual Comprehensive Financial Report (ACFR) in accordance with generally accepted accounting principles. The ACFR includes the audited financial statements, note disclosures and required supplementary information, presenting the states' financial condition at fiscal year-end.

The Division of Accounting & Auditing has been seeking improvements to its ACFR preparation process. Wdesk has been used for compilation activities for several years. Currently, the division is engaged in expanding the use of the Wdesk tool to include preparation processes. This is a significant advancement in the accurate and timely preparation of the state's ACFR. The division has made improvements to the ACFR process using existing resources; however, the division's ability to sustain these improvements is severely limited. The Division requests additional recurring funding in the

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
WORKLOAD						3000000
ADDITIONAL RESOURCES FOR THE						
DIVISION OF ACCOUNTING AND AUDITING						3000020

amount of 300,000 in the Contracted Services for the Wdesk ACFR compilation and publishing tool. Not funding this issue will result in a loss of productivity and an increased reliance on manual processes.

Fraud Prevention

Protecting the state's resources are a fundamental responsibility of the Chief Financial Officer's Department of Financial Services.

Several vendors had information compromised in the Department of Management Services' procurement system, MyFloridaMarketPlace (MFMP) and in the Department of State, Division of Corporations systems. By changing corporate officers and vendor addresses in these systems, fraudsters attempted to divert the delivery of legitimate payments to the fraudulent recipients.

The transactions were rejected, and no funds left the state Treasury. The DFS FLAIR system was not "hacked" or otherwise infiltrated. These attempts do, however, illustrate the continual and increasing risk faced by the state with regard to fraudulent activities and bad actors.

The Division continues to scrutinize vendor records and activities in vendor files in an effort to detect and prevent fraud. It has instituted some validation changes and are developing some new IT analyses to support our processes. Risk analysis, greater use of fraud detection practices, and the analysis of business data will help us ensure that fraudulent attempts to divert resources are not successful.

The Division requests additional recurring funding in the amount of 300,000 in the Contracted Services for fraud detection and prevention. These funds will be used for a risk analysis, additional Lexus Nexus information verification services, as well as validation services via JP Morgan partner services. The intent is to take advantage of commercial information verification services which are industry standard and reduce dependence on manual, paper-based operations.

TOTAL: GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
BY FUND TYPE						
GENERAL REVENUE FUND						1000
TRUST FUNDS						2000

TOTAL POSITIONS.....						157.00
TOTAL PROG COMP.....						19,194,894
TOTAL SALARY RATE.....						9,135,674
=====						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,813,241			
=====				
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	65.00			
	3,997,155			2007 1
=====				
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF -STATE	567,110			2007 1
=====				
EXPENSES				040000
UNCLAIMED PROPERTY TF -STATE	829,664			2007 1
=====				
OPERATING CAPITAL OUTLAY				060000
UNCLAIMED PROPERTY TF -STATE	7,500			2007 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF -STATE	226,794			2007 1
=====				
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF -STATE	20,031			2007 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
UNCLAIMED PROPERTY TF -STATE	11,524			2007 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF -STATE	17,374			2007 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	65.00			
TOTAL ISSUE.....	5,677,152			
TOTAL SALARY RATE.....	2,813,241			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF -STATE	436			2007 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	151,110			
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	178,703			2007 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	178,703			
TOTAL SALARY RATE.....	151,110			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	50,242			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	60,073			2007 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF -STATE	9,230			2007 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	69,303			
TOTAL SALARY RATE.....	50,242			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	28,978			2007 1
	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF -STATE	1,873			2007 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	65.00			
TRUST FUNDS.....	5,956,445			2000
SALARY RATE.....	3,014,593			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
FL PALM				43200300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,560,568			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	50.00			
	6,199,114			2393 1
=====				
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
INSURANCE REG TF -STATE	36,476,535			2393 1
=====				
FLORIDA PALM CONTINGENCY				100819
INSURANCE REG TF -STATE	5,500,000			2393 1
=====				
TR/DMS/IV&V SVCS				100821
INSURANCE REG TF -STATE	2,600,933			2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE	7,623			2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	14,834			2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	50.00			
TOTAL ISSUE.....	50,799,039			
TOTAL SALARY RATE.....	4,560,568			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
FL PALM				43200300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	679-		2393 1
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....		245,354		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	287,060		2393 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		287,060		
TOTAL SALARY RATE.....		245,354		
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	39,182		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
FL PALM				43200300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	1,599			2393 1
NONRECURRING EXPENDITURES				2100000
PLANNING, ACCOUNTING, AND LEDGER				
MANAGEMENT CONTRACT CONTINGENCY				2103037
SPECIAL CATEGORIES				100000
FLORIDA PALM CONTINGENCY				100819
INSURANCE REG TF -STATE	5,500,000-			2393 1
FLAIR REPLACEMENT				2103123
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
INSURANCE REG TF -STATE	36,476,535-			2393 1
TR/DMS/IV&V SVCS				100821
INSURANCE REG TF -STATE	2,600,933-			2393 1
TOTAL: FLAIR REPLACEMENT				2103123
TOTAL ISSUE.....	39,077,468-			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>FL PALM</u>				43200300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
FLAIR REPLACEMENT				36105C0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
GENERAL REVENUE FUND -STATE	1	1		1000 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests funding for year ten of the Florida PALM Project and year six of the SSI contract. The Project currently has an amendment (A8) in development for the SSI Contract that will include updates to Deliverables related to Implementation Services in Att. 2 - Payment Schedule. This issue is requesting funds for \$1 as a placeholder from the General Revenue fund. Upon execution of A8, the Department will submit an amended LBR to refine the requested amount.

The State of Florida Accounting Information Resource (FLAIR) system, is based on software developed in the 1970s and implemented as the core of the state's financial system in 1981. FLAIR is an inefficient and wholly inadequate system, not suitable for delivering the functionality of a modern, twenty-first century financial platform or safeguarding the financial credibility of an enterprise the magnitude of Florida's. Recognizing the risks and shortcomings of FLAIR, the Legislature authorized and appropriated funds to the Department of Financial Services in Fiscal Year 2013-2014 to conduct a study of replacement options. The ultimate result of this study was a recommendation to replace the core functionality of FLAIR and the Treasury cash management system (CMS). The replacement of FLAIR and CMS has been renamed the Florida Planning Accounting and Ledger Management (PALM) Project.

The Department released an ITN on November 1, 2016 for a software and system integrator. On June 15, 2018, the negotiation team held a public meeting to make a recommendation for vendor selection. A contract was executed on July 20, 2018 and years 1-5 funding of the Software and System Integrator (SSI) contract have been provided through Fiscal Year 2022-2023.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
FL PALM				43200300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
PLANNING, ACCOUNTING, AND LEDGER				
MANAGEMENT CONTRACT CONTINGENCY				36105C1
SPECIAL CATEGORIES				100000
FLORIDA PALM CONTINGENCY				100819
GENERAL REVENUE FUND -STATE	5,500,000	5,500,000		1000 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 This issue is requesting non-recurring budget authority for contingency funds.

Starting in Fiscal Year 2021-2022, the Legislature created a separate category for the contingency amount for the Florida Planning Accounting and Ledger Management (PALM) project. For Fiscal Year 2022-2023, the Department of Financial Services is requesting 5,500,000 in the PALM Contingency category within the Florida Planning Accounting and Ledger Management budget entity. This request is for unforeseen expenditures that are essential to the implementation of the Florida PALM Solution.

TOTAL: INFORMATION TECHNOLOGY				1603.00.00.00
BY FUND TYPE				
GENERAL REVENUE FUND	5,500,001	5,500,001		1000
TRUST FUNDS	6,548,733			2000
TOTAL POSITIONS.....	50.00			
TOTAL PROG COMP.....	12,048,734	5,500,001		
TOTAL SALARY RATE.....	4,805,922			

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>COMPLIANCE & ENFORCEMENT</u>							43300200
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		2,969,737					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	65.00					2393 1
		4,171,870					
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	15,497					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	669,579					2393 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE FEES							100064
INSURANCE REG TF	-STATE	13,200					2393 1
=====							
CONST MATERIALS MINING							100121
GENERAL REVENUE FUND	-STATE	600,619					1000 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	113,305					2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	46,200					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF -STATE	12,000			2393 1
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE	14,442			2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	17,639			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	65.00			
TOTAL ISSUE.....	5,674,351			
TOTAL SALARY RATE.....	2,969,737			
SALARY INCREASE FY 2022-23 - STATE				
FIREFIGHTER -				
EFFECTIVE 7/1/2022				1001160
SALARY RATE				000000
SALARY RATE.....	67,259			
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	80,321			2393 1
TOTAL: SALARY INCREASE FY 2022-23 - STATE				1001160
FIREFIGHTER -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	80,321			
TOTAL SALARY RATE.....	67,259			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	159,545			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	188,170			
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	188,170			
TOTAL SALARY RATE.....	159,545			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	26,117			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	31,063			
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				2393 1
-STATE	252			
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	31,315			
TOTAL SALARY RATE.....	26,117			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	26,842		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,902		2393 1
=====				
PROGRAM ISSUES				4000000
PURCHASE OF FIRE PREVENTION CODE				
BOOKS				4000250
EXPENSES				040000
INSURANCE REG TF	-STATE	44,275	44,275	2393 1
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests nonrecurring expenses for the purchase of the 8th Edition of the Florida Fire Prevention Code books. The Bureau of Fire Prevention is required to purchase new Florida Fire Prevention Code books, and all referenced and adopted standards as the code is updated every three years. In the past, the Bureaus has purchased Florida Fire Prevention Code books for each Bureau Fire Safety Inspector, Bureau and Division senior staff, and Florida Fire Code Advisory Council members. (Totaling 61 books) This nonrecurring issue was last requested and funded in Fiscal Year 2020-21.

The price for this triennial code has risen with each printing. Administrative procedures and rulemaking also require the purchase of two complete sets of codes and standards that are adopted to be provided to the Florida Secretary of State and Joint Administrative Procedures Committee (JAPC). This funding will also allow the Bureau to provide a set of books to each field office.

8th Edition of Florida Fire Prevention Code: 61 books @ approximately \$275 per book = \$16,775

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>COMPLIANCE & ENFORCEMENT</u>						43300200
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
PURCHASE OF FIRE PREVENTION CODE						
BOOKS						4000250

Softbound National Fire Code Archive Set (which includes all referenced standards that are adopted with the Florida Fire Prevention Code: 11 sets @ approximately \$2,500 per set = \$27,500

TOTAL: LAW ENFORCEMENT						<u>1202.00.00.00</u>
BY FUND TYPE						
GENERAL REVENUE FUND	600,619					1000
TRUST FUNDS	5,446,557	44,275				2000
TOTAL POSITIONS.....	65.00					
TOTAL PROG COMP.....	6,047,176	44,275				
TOTAL SALARY RATE.....	3,222,658					
	=====	=====	=====	=====		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>PROF TRAINING & STANDARDS</u>							43300400
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,221,328					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	28.00					
		1,829,286					2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	272,991					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	512,385					2393 1
=====							
AID TO LOCAL GOVERNMENTS							050000
DECONTAM MATCH GRANT PROG							051070
INSURANCE REG TF	-STATE	500,000					2393 1
=====							
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	23,294					2393 1
=====							
SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR VEHICLES							100021
INSURANCE REG TF	-MATCH	125,000					2393 2
	-FEDERL	500,000					2393 3

TOTAL INSURANCE REG TF		625,000					2393
=====							
TOTAL APPRO.....		625,000					
=====							

		COL A03	COL A04	COL A05	
		AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
		FY 2023-24	FY 2023-24	FY 2023-24	
		POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES					43000000
PROGRAM: FIRE MARSHAL					43300000
<u>PROF TRAINING & STANDARDS</u>					43300400
PUBLIC PROTECTION					12
<u>LAW ENFORCEMENT</u>					<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES					1000000
ESTIMATED EXPENDITURES - OPERATIONS					1001000
SPECIAL CATEGORIES					100000
G/A-FIREFIGHTER ASSIST					100063
INSURANCE REG TF	-STATE	1,000,000			2393 1
=====					
ELECTRONIC COMMERCE FEES					100064
INSURANCE REG TF	-STATE	13,200			2393 1
=====					
CONTRACTED SERVICES					100777
INSURANCE REG TF	-STATE	567,765			2393 1
=====					
OPERATION/MOTOR VEHICLES					102289
INSURANCE REG TF	-STATE	22,900			2393 1
=====					
SUPP FIREFIGHTERS COMP					103725
INSURANCE REG TF	-STATE	14,500			2393 1
=====					
LEASE/PURCHASE/EQUIPMENT					105281
INSURANCE REG TF	-STATE	25,519			2393 1
=====					
TR/DMS/HR SVCS/STW CONTRCT					107040
INSURANCE REG TF	-STATE	10,641			2393 1
=====					
FIRE COLLEGE- MAINT/REPAIR					109010
INSURANCE REG TF	-STATE	120,000			2393 1
=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	28.00			
TOTAL ISSUE.....		5,537,481		
TOTAL SALARY RATE.....	1,221,328			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 - STATE				
FIREFIGHTER -				
EFFECTIVE 7/1/2022				1001160
SALARY RATE				000000
SALARY RATE.....	12,231			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		16,384		2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 - STATE				1001160
FIREFIGHTER -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		16,384		
TOTAL SALARY RATE.....	12,231			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	65,708			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		80,597		2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		80,597		
TOTAL SALARY RATE.....	65,708			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	15,083			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	18,033			2393 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	4,443			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	22,476			
TOTAL SALARY RATE.....	15,083			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	15,291			2393 1
	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	1,147			2393 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
REPLACEMENT OF MOTOR VEHICLES				2103047
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-MATCH 125,000-			2393 2
	-FEDERL 500,000-			2393 3
TOTAL INSURANCE REG TF	625,000-			2393
TOTAL APPRO.....	625,000-			
ADDITIONAL POSITION FOR GRANT MANAGEMENT AT THE FIRE COLLEGE EXPENSES				2103050 040000
INSURANCE REG TF	-STATE 4,591-			2393 1
REPLACE CONTINUING EDUCATION SYSTEM				2103051
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE 250,000-			2393 1
PROGRAM OR SERVICE-LEVEL INFORMATION TECHNOLOGY				3630000
REPLACE CONTINUING EDUCATION SYSTEM				36315C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE 1			2393 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue is to request a \$1 placeholder for the modernization of FCDICE (Fire College Department Insurance Continuing Education).

In the Fiscal year 2022-23 Legislative Budget Request, the department requested 825,000 in budget authority for the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REPLACE CONTINUING EDUCATION SYSTEM				36315C0

replacement of this antiquated system. FCDICE was implemented in 2004 as a spin-off of the DICE system utilized by the Division of Agent and Agency Services. Operationally, the State Fire Marshal (SFM) has determined that the FCDICE system is no longer sustainable and needs to be transitioned to a new platform that uses a relational database to allow them to better meet the changing needs of their various constituent groups.

In Fiscal Year 2022-23, the department was appropriated 250,000 in nonrecurring budget authority to contract for a feasibility study that includes detailed business and functional requirements to modernize the FCDICE system. The department is required to provide a copy of the study to the Executive Office of the Governor's Office of Policy and Budget, the chair of the Senate Committee on Appropriations, and the chair of the House of Representatives Appropriations Committee by March 1, 2023. Once the study is complete, the department will submit an amended request based on the study.

PROGRAM ISSUES				4000000
FLORIDA STATE FIRE COLLEGE				
IMPROVEMENTS				4000340
SPECIAL CATEGORIES				100000
FIRE COLLEGE- MAINT/REPAIR				109010
INSURANCE REG TF	-STATE	3,387,753	3,387,753	2393 1

=====

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

CAMPUS IMPROVEMENTS: 1,037,753

This issue requests funding for six projects on the State Fire College Campus. The cost for each project is determined by a quote plus an 8 percent contingency.

1) BUILDING C SLIP HAZARD (25,271) - The floor in the main lobby of the Fire College, Building C, is a slip hazard. The floor was redone in 2016 when the original tile buckled due to building settling. Paint that was chosen was not the optimal solution. Quotes have been obtained that combine carpet and tile in a safe and up to date design. Staff and students both have slipped and fallen over the last several years and the current floor is very hard to keep clean.

2) CARPET REPLACEMENT (118,141) The carpet in Building A (dormitory) is old and worn and is in poor condition. Carpets in this building were last replaced in 2004. This issue will replace carpet in the dormitory rooms and hallways with new carpet. The carpet in the lounges, laundry room and multipurpose rooms will be replaced with tile. This will provide a

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
FLORIDA STATE FIRE COLLEGE				
IMPROVEMENTS				4000340

cleaner and safer environment for students and staff as old carpet may contain allergens, mold spores, dirt, and dust, leading to health issues.

3) SIGN REPLACEMENT (72,909) This project will replace the electronic sign that is currently at the roadside showing the location of the Fire College. The current sign is in poor condition and the software is outdated. The proposed updated sign uses current technology that can sustain the weather exposure. The electronic sign also provides information such as, upcoming events, and which agencies are housed at the Fire College, for example.

4) REPLACE ELECTRICAL PANELS (259,200) The current electrical panels are no longer serviceable, and the parts are no longer available because of their age. Replacement includes, but is not limited to, adding additional circuits, replacing panels and sub-panels, adding dedicated lines and grounds, replacing motors, and other electrical work as described by the Contract Manager. This replacement will include campus buildings and grounds to include offices, the dormitory, kitchen, classrooms and maintenance and apparatus buildings.

5) PLUMBING RESET (270,081) Over the past several years the Fire College has experienced water leaks due to deteriorated piping. Licensed plumbers have noted the copper pipes show evidence of deterioration on the inside of the pipes. There are frequent leaks in Building A where the dorms and cafeteria are located. When the chiller pipes ruptured three years ago, the contractor found the same weakened condition which resulted in the leak in the hot water plumbing for the chillers.

6) POWER CONDITIONERS (292,151) - A power conditioner is needed for all six of the electrical panels. This equipment allows for a continuous duty electronic voltage regulator designed to supply reliable, clean regulated power to critical loads. An efficient design with a microprocessor controlled solid-state device provides immunity to all line disturbances. The quote does not include installation.

AUDITORIUM RENOVATION: 1,500,000

This issue requests funding for the renovation of the 5,000 square foot auditorium building located on the State Fire College campus that was built in 1989. The auditorium is a multi-purpose facility which accommodates lectures, graduation ceremonies, seminars, and other special events used by several agencies. It has a seating capacity of 400 and should remain portable to provide for a variety of venues and uses.

The renovation will modernize and brighten the interior, replace worn acoustic panels, as well as update chairs and tables. In addition, the current sound room located at the back of the auditorium will be brought up to support today's training and technical needs by adding multi-control capability of all the audio-visual equipment.

Costs for this issue have been calculated at \$300 per square foot and current pricing of equipment and furniture.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
FLORIDA STATE FIRE COLLEGE				
IMPROVEMENTS				4000340

CAFETERIA RENOVATION: 850,000

This issue requests funding to renovate the 2,000 square foot cafeteria and kitchen that were built when the campus was constructed. This renovation will include a kitchen update and expansion to include outdoor dining. This project includes the replacement of cooking equipment, steam tables, the hood system, warmers, electrical updates, new tables, chairs, and interior decorations. The cost of the project is based on \$425 per square foot.

The existing kitchen equipment is outdated and functionally unreliable. Issues with the current electrical system limits the use of newer kitchen equipment. Multiple operational issues have resulted in loss of stored food costing several hundred dollars. There is a high turnover in vendors providing the cafeteria service to the students and staff because of the condition of the kitchen.

Failure to fund this request will require departments and individuals, desiring to attend courses at the campus to stay in hotel rooms, creating an additional cost onto the agency or individual, which may negatively impact their ability to attend. In the past 4-5 years the Bureau has experienced a high turnover of vendors providing the cafeteria service.

CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000
FIXED CAPITAL OUTLAY				080000
FIRE COLLEGE-BLDG MAINT				080990

INSURANCE REG TF -STATE 5,789,646 5,789,646 2393 1

=====

AGENCY NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: FIRE COLLEGE-BLDG MAINT IT COMPONENT? NO

This issue requests nonrecurring budget authority for four (4) fixed capital outlay projects at the Florida State Fire College.

The Division of State Fire Marshal maintains the buildings and property on the Florida State Fire College campus. Much of the infrastructure on the campus is equipment and material that was installed when the campus was constructed almost 35 years ago. As the campus has aged, this infrastructure is beginning to fail and has required expensive repairs to keep operational. The roof is the original from its construction in 1989-90.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PROGRAM: FIRE MARSHAL						43000000
<u>PROF TRAINING & STANDARDS</u>						43300000
PUBLIC PROTECTION						43300400
<u>LAW ENFORCEMENT</u>						12
CAPITAL IMPROVEMENT PLAN						<u>1202.00.00.00</u>
MAINTENANCE AND REPAIR						9900000
						990M000

ARSON-PREVENTION BUILDING ROOF REPLACEMENT: 240,146

Chapter 2021-36, L.O.F. (SB 2500), section 152 authorized the spending of the federal State Fiscal Recovery Fund (SFRF) as part of the American Rescue Plan (ARP). The Department of Financial Services (DFS) received a General Revenue appropriation of 250,250 in the Deferred Building Maintenance category to repair the roof of the Arson/Fire Prevention building located at the State Fire College.

The current Department of Management Services (DMS) budget opinion for this project is \$490,396. DMS has determined that the roof cannot be repaired and must be replaced. This issue is to request an additional 240,146 in budget authority from the Insurance Regulatory Trust Fund to complete the roof replacement if no additional federal funding is available for this project. If additional ARP funding is appropriated, DFS will withdraw this request.

ROOF ASSESSMENT AND DESIGN: 249,500

Chapter 2021-36, L.O.F. (SB 2500), section 152 authorized the spending of the federal State Fiscal Recovery Fund (SFRF) as part of the American Rescue Plan (ARP). The Department of Financial Services (DFS) received a General Revenue appropriation of 101,793 in the Deferred Building Maintenance category for general roof repairs on five buildings located at the State Fire College.

It was determined by the Department of Management Services (DMS) that the roofs cannot be repaired and must be replaced. DMS provided the department a revised budget opinion of 4,642,000, which includes an inflation contingency. DMS received an estimated cost of roof replacement that will vary based on a roof testing investigation with the budget range being between \$2,133,500 and \$3,324,500 for the cost of replacement.

The continued deterioration of the roofs is causing structural damage; therefore, the division will use the appropriated amount to make repairs. This issue is to request 249,500 for the development of the design and construction drawings for the replacement of the metal roofs, recover/replace the built-up roofs and lightning protection system. The vendor will provide DMS with architectural drawings for the work. The scope of work will include:

- Site review and documentation of the existing conditions.
- Development of drawings, details and specifications for the removal and replacement of the metal roofs.
- Development of drawings and specifications for the replacement or recover of the built-up roofs.
- Development of drawings, details, and specifications for lightning protection system.
- Assist DMS in bidding the project.
- Provide Construction Contract Administration.

Prior to the design of the roof design, there will be testing to determine the condition of the existing systems. This

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000

will determine the exact scope of work for the roof replacement.

The testing will include:

- Conduct infrared moisture survey
- Field resistance uplift testing
- Withdrawal resistance of fasteners

Funding of this issue will enable DMS to receive more accurate quotes on the costs associated with this project. The department may submit a Legislative Budget Request (LBR) issue for Fiscal Year 2024-25 for the full amount needed should no additional ARP funding be available.

COURTYARD AND MEMORIAL GROUNDS RENOVATION: 3,300,000

The Florida Fallen Firefighter Memorial was dedicated in 1992 and occupies a place of honor at the center of the Florida State Fire College (FSFC) campus. The memorial was originally funded and maintained through private donations. The Florida Joint Council of Fire and Emergency Services (a nonprofit organization) and the staff of the FSFC maintain the memorial. Private donations are designated for the actual monument, not the grounds that surround the memorial.

Over the past several years the weather, ground settling, and tree roots have had a detrimental impact on the memorial and surrounding grounds. Due to the ongoing damage created by tree roots, the surrounding sidewalks, and irrigation are directly affecting the monument and the private funding is no longer sufficient.

This request is to repair and renovate the damaged memorial area, expand, and upgrade the sidewalks and steps, and to provide for additional landscaping and installation of shade canopies. This project will include the repair or replacement of masonry walls, sidewalks, irrigation, and the removal of trees. This work will change the elevation of the grounds surrounding the Firefighter Memorial to accommodate the increased number of family and friends who attend services at the memorial site.

The Fallen Firefighter Memorial ceremony is held annually at the Fire College. With the addition of Line of Duty Deaths (LODD) due to cancer, there has been a steady increase in the number of fallen firefighters recognized at the memorial. In 2021 the Fallen Firefighter Memorial was held for 18 firefighters, almost three times the number in previous years. Because of the number of fallen firefighters that were honored in 2021, over 400 were in attendance for the memorial service. The current memorial area is insufficient to accommodate this increased number of family and friends and needs to be expanded and upgraded. The importance of this memorial to the families of fallen firefighters cannot be understated.

DORMITORY EXPANSION: 2,000,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000

This issue requests funding to build a 5,000 square foot dormitory expansion to be located at the east and west end of the current dormitory building. The current campus of the Florida State Fire College was constructed in 1988. At the time of construction, the campus was built with future (phases) expansion in mind. One of the future "phases" was to construct additional dormitories. This is in line with the future planned "vision" of the Florida State Fire College. This request is being made in support of the State Fire Marshal's customers (first responders) to allow them to perform their jobs more effectively and safely.

Costs for this project were determined using a budgeted construction cost of \$400 per square foot. The addition of the dormitory expansion will have a positive impact on the number of programs currently being delivered at the FSFC and a resulting increase in revenues can be expected.

The availability of additional dormitories would allow for more students to stay on campus and reduce the logistics required of firefighter employers to house their respective staff members attending the Fire College. On many occasions, the current inventory of dormitories is in use on a regular basis due to an increased level of courses and programs being delivered. The current dormitory can accommodate 99 students and this expansion will add an additional 35 beds as well as restrooms and student lounges.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	28.00			
TRUST FUNDS.....	13,971,185	9,177,399		2000
SALARY RATE.....	1,314,350			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	701,127			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	12.00			
INSURANCE REG TF	-STATE	1,144,143		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	73,360		2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	318,488		2393 1
=====				
AID TO LOCAL GOVERNMENTS				050000
G/A-LOCAL GOV FIRE SRV				051065
GENERAL REVENUE FUND	-STATE	549,986		1000 1
INSURANCE REG TF	-STATE	250,000		2393 1
TOTAL APPRO.....		799,986		
=====				
SPECIAL CATEGORIES				100000
TR/UM-SYL CAN CEN/CAN RES				100518
GENERAL REVENUE FUND	-STATE	2,000,000		1000 1
=====				
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	38,189		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF -STATE	1,300			2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE	159,477			2393 1
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF -STATE	4,500			2393 1
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE	8,485			2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	4,953			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	12.00			
TOTAL ISSUE.....	4,552,881			
TOTAL SALARY RATE.....	701,127			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INSURANCE				
INSURANCE REG TF -STATE	6,960-			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	40,076			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	50,104			2393 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	50,104			
TOTAL SALARY RATE.....	40,076			
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	1,192			2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	9,501			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	534			2393 1
=====				
NONRECURRING EXPENDITURES				2100000
GRANTS AND AIDS LOCAL GOVERNMENT				
FIRE SERVICES				2103006
AID TO LOCAL GOVERNMENTS				050000
G/A-LOCAL GOV FIRE SRV				051065
GENERAL REVENUE FUND -STATE	567,968-			1000 1
INSURANCE REG TF -STATE	1,021,000-			2393 1
TOTAL APPRO.....	1,588,968-			
=====				
TECHNOLOGY SUSTAINMENT FOR				
EMERGENCY SUPPORT FUNCTIONS (ESF) 4				
(FIREFIGHTING) AND 9 (SEARCH AND				
RESCUE)				2103053
EXPENSES				040000
INSURANCE REG TF -STATE	3,425-			2393 1
=====				
CLERMONT FIRE STATION 103 GENERATOR				
ENCLOSURE (HB 2217)(SENATE FORM				
2474)				2103055
AID TO LOCAL GOVERNMENTS				050000
G/A-LOCAL GOV FIRE SRV				051065
GENERAL REVENUE FUND -STATE	17,982			1000 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
CLERMONT FIRE STATION 101 GENERATOR				
REPLACEMENT (HB 2215)(SENATE FORM				
2472)				2103056
AID TO LOCAL GOVERNMENTS				050000
G/A-LOCAL GOV FIRE SRV				051065
INSURANCE REG TF -STATE	271,000			2393 1
=====				
LEALMAN SPECIAL FIRE CONTROL				
DISTRICT LADDER TRUCK (HB 3107)				
(SENATE FORM 1789)				2103057
AID TO LOCAL GOVERNMENTS				050000
G/A-LOCAL GOV FIRE SRV				051065
INSURANCE REG TF -STATE	500,000			2393 1
=====				
TRANSFER TO UNIVERSITY OF				
MIAMI - SYLVESTER COMPREHENSIVE				
CANCER CENTER - FLORIDA				
FIREFIGHTER CANCER RESEARCH				2103139
SPECIAL CATEGORIES				100000
TR/UM-SYL CAN CEN/CAN RES				100518
GENERAL REVENUE FUND -STATE	2,000,000-			1000 1
=====				
PROGRAM ISSUES				4000000
URBAN SEARCH AND RESCUE TRAINING				
AND SUSTAINMENT				4000660
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	3,258,305			1000 1
=====				

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority for Urban Search and Rescue training and sustainment.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
URBAN SEARCH AND RESCUE TRAINING AND SUSTAINMENT				4000660

The Division of State Fire Marshal (SFM) is the lead agency for two Emergency Support Functions (ESF) at the State Emergency Operations Center (SEOC) during a disaster or state emergency. The Division is responsible for the management and staffing of ESF 4 (Firefighting) and ESF 9 (Search and Rescue) at the SEOC as outlined in the State of Florida Comprehensive Emergency Management Plan. ESF 9 is supported by eight Urban Search and Rescue (US&R) task forces staffed from local agencies across the state.

The US&R teams are hosted by local fire departments including Miami-Date Fire Rescue, City of Miami Fire Department, Hillsborough County Fire Rescue, Central Florida US&R (Orange County Fire Rescue, Seminole County Fire Rescue and Orlando Fire Department), Jacksonville Fire Rescue, South West Florida US&R (Estero Fire District with multiple departments), Tallahassee Fire Department and Marion County Fire Rescue.

This issue seeks recurring budget authority to continue a sustainment program for the eight Florida US&R task forces and offer training for forty Light Technical Rescue Teams (LTRT) that were previously funded with nonrecurring budget authority in Fiscal Year 2021-22.

A detailed spreadsheet of the following funding is available upon request:

- 1) \$2,482,400 for direct support of each task force for care and maintenance of the specialized equipment, plus replacement, storage, and personal protective items.
- 2) \$76,000 for the buildout, training, and equipment for the US&R Incident Support Team (IST)
- 3) \$449,905 for position-specific training for all task forces.
- 4) \$250,000 to conduct an annual large-scale exercise over consecutive days to include a variety of all size task forces to include multiple LTRT resources.

This request is a continuation of the of the Legislative Budget Request funded in the Fiscal Year 2022-2023 General Appropriations Act within the Department of Emergency Management (specific appropriation 2623), supporting the eight US&R teams statewide.

Since the inception of the US&R system in 2001, there have been over 15 deployments of US&R resources statewide, most recently at the Champlain Towers South Condominium Collapse in Surfside, FL. Over 400 rescuers operated around the clock performing search and rescue at the site. These rescuers represented one-third of the search and rescue members that are part of the eight US&R task forces and 40 LTRTs. These highly specialized, trained, and experienced resources respond to and perform search and rescue in the most hazardous situations.

These requests are not duplicative of existing funded projects allocated through the State Homeland Security Grant Program (SHSGP). As with many funding sources, SHSGP funds available for US&R teams has gradually diminished from a peak

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
URBAN SEARCH AND RESCUE TRAINING				
AND SUSTAINMENT				4000660

of \$2,500,000 in 2008, to a low of \$408,722 in 2019. These diminished funds have not only impacted US&R resources but all areas of public safety including law enforcement. As compared to other disciplines of public safety, the US&R system is unique because they are a limited resource used as a statewide asset for deployments in a time of disaster. As federal financial support declines, the cost of sustaining these valuable statewide assets is being absorbed through local fire rescue budgets. As local task force host jurisdictions bear more of the burden to sustain the teams from their own budgets, Florida risks losing some of these vital resources who may be forced to withdraw from the state response strategy. This funding is necessary to ensure the safety and security of our citizens and visitors during man-made and/or natural disasters.

COMMUNITY RISK REDUCTION PROGRAM				
COORDINATOR				4005000
SALARY RATE				000000
SALARY RATE.....	55,000			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	1.00			
-STATE	80,289			2393 1
	=====	=====	=====	
EXPENSES				040000
INSURANCE REG TF	56,051	4,682		
-STATE				2393 1
	=====	=====	=====	
TOTAL: COMMUNITY RISK REDUCTION PROGRAM				4005000
COORDINATOR				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	136,340	4,682		
TOTAL SALARY RATE.....	55,000			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests funding for a new full-time equivalent (FTE), and the associated funding to develop a statewide Community Risk Reduction (CRR) program to minimize the loss of life and property in Florida due to fires. This program will complete a statewide risk assessment, augment, and facilitate CRR efforts in local jurisdictions.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
COMMUNITY RISK REDUCTION PROGRAM				
COORDINATOR				4005000

The new position will report to the Director and augment the Florida Fire Incident Reporting Section (FFIRS). The position requested is a Government Analyst II, class code 2225. The department is requesting a starting salary of 55,000, which is approximately 14 percent over the minimum for this class code. This request is comparable to the salary for CRR Coordinators in other states. An additional 20,000 is requested for travel and 25,000 for computer software required to implement the program.

Currently, the division does not have anyone specifically dedicated to a comprehensive and coordinated all-hazards risk reduction effort across the state. The CRR Program Coordinator will facilitate and develop local CRR efforts to improve the overall safety of our communities and state by utilizing statewide data to identify fire and life safety trends and needs in local communities.

Most Florida fire agencies are all-hazards fire and emergency response agencies that respond to all types of emergencies resulting from the risks facing their community. CRR is the all-hazards approach to fire prevention and mitigation including education, engineering, enforcement, emergency response, and economic incentives.

The National Fire Protection Association (NFPA) has partnered with a leading technology company that has produced software to help fire and safety officials collect community data, enabling them to identify, assess and share local demographic, geographic and economic needs. Nationally, CCR programs have gained recognition in recent years as an effective approach to mitigating community risks and conducting a community risk assessment that utilizes community data is a critical first step in the CRR process. This software was developed to give fire departments, prevention advocates, and safety officials the tools and data resources to successfully execute these efforts. By purchasing this (or similar) software, the SFM will be able to incorporate local fire department incident data with an understanding of local community indicators along with knowledge about past fire department response history. This software will allow the division to build fully customized dashboards featuring self-selected critical elements unique to Florida's risk reduction initiatives.

Identifying the unique risks associated with individual communities throughout Florida will allow for an individualized community approach to accepting, transferring, mitigating, or eliminating those risks. Capabilities of local fire agencies and counties vary across the state. Many of these agencies either do not possess and/or do not have adequate resources to thoroughly analyze the data available to them in order to develop a risk reduction plan.

Additionally, having a CRR program can help improve the Insurance Services Office (ISO) rating for communities. The Verisk ISO Public Protection Classification (PPC) rating links directly to a community's property insurance rates. The lower the classification the better the insurance rates. The Insurance Service Office PPC program will measure and evaluate the effectiveness of fire-mitigation services in communities throughout the country. For each fire protection area, the ISO assigns a Public Protection Classification code number from 1 to 10. Class 1 represents exemplary fire protection, and Class 10 indicates that the area's fire-suppression program does not meet ISO's minimum criteria.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
COMMUNITY RISK REDUCTION PROGRAM				
COORDINATOR				4005000

The ISO completes a community/fire department site visit every five years. They evaluate their visit based on a Fire Suppression Rating Schedule (FSRS) and look at the fire department (staffing, vehicles, equipment, station locations, training, community risk reduction, code enforcement etc.) the PPC code number, and height of high-rise buildings, the water supply systems, and the dispatching/communications system. The community/fire department is graded on a 1-100 scale, with the 5.5 CRR points as an extra credit. Once complete, the results are provided to the entity who was evaluated.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
2225 GOVERNMENT ANALYST II							
N1001 001	1.00	55,000		25,289	80,289	0.00	80,289
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							80,289
	1.00	55,000		25,289	80,289		80,289

TOTAL: LAW ENFORCEMENT							<u>1202.00.00.00</u>
BY FUND TYPE							
GENERAL REVENUE FUND		3,258,305					1000
TRUST FUNDS		1,940,181	4,682				2000
TOTAL POSITIONS.....	13.00						
TOTAL PROG COMP.....		5,198,486	4,682				
TOTAL SALARY RATE.....	796,203						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,455,661			
=====				
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	116.00		
		8,220,021		2078 1
=====				
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF	-STATE	42,532		
				2078 1
=====				
EXPENSES				040000
STATE RISK MGMT TF	-STATE	5,110,786		
				2078 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	-STATE	4,776,964		
				2078 1
=====				
FLAIR SYSTEM REPLACEMENT				100781
STATE RISK MGMT TF	-STATE	77,350		
				2078 1
=====				
CONTRACT LEGAL - ATTY GEN				100904
STATE RISK MGMT TF	-STATE	6,645,924		
				2078 1
=====				
CONTRACTED LEGAL SERVICES				100905
STATE RISK MGMT TF	-STATE	21,976,020		
				2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907
STATE RISK MGMT TF	-STATE 18,199,117			2078 1
EXCESS INSUR. & CLAIM SER				101221
STATE RISK MGMT TF	-STATE 10,865,000			2078 1
RISK MGMT INFO CLAIMS SYS				101222
STATE RISK MGMT TF	-STATE 647,325			2078 1
OPERATION/MOTOR VEHICLES				102289
STATE RISK MGMT TF	-STATE 2,000			2078 1
RISK MANAGEMENT INSURANCE				103241
STATE RISK MGMT TF	-STATE 48,798			2078 1
LEASE/PURCHASE/EQUIPMENT				105281
STATE RISK MGMT TF	-STATE 27,831			2078 1
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE 30,469			2078 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	116.00			
TOTAL ISSUE.....	76,670,137			
TOTAL SALARY RATE.....	5,455,661			

	COL A03		COL A04		COL A05		CODES
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
STATE RISK MGMT TF	-STATE	3,405-					2078 1
=====							
SALARY INCREASE FY 2022-23 -							
STATEWIDE 5.38% PAY INCREASE -							
EFFECTIVE 7/1/2022							1001315
SALARY RATE							000000
SALARY RATE.....		293,446					
=====							
SALARIES AND BENEFITS							010000
STATE RISK MGMT TF	-STATE	349,422					2078 1
=====							
TOTAL: SALARY INCREASE FY 2022-23 -							1001315
STATEWIDE 5.38% PAY INCREASE -							
EFFECTIVE 7/1/2022							
TOTAL ISSUE.....		349,422					
TOTAL SALARY RATE.....		293,446					
=====							
SALARY INCREASE FY 2022-23 -							
STATEWIDE \$15 MINIMUM WAGE INCREASE							
- EFFECTIVE 7/1/2022							1001325
SALARY RATE							000000
SALARY RATE.....		36,022					
=====							
SALARIES AND BENEFITS							010000
STATE RISK MGMT TF	-STATE	43,116					2078 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				
				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				
SALARY INCREASE FY 2022-23 -				1000000
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF	-STATE	692		2078 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		43,808		
TOTAL SALARY RATE.....		36,022		
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				
				1002010
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF	-STATE	54,534		2078 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				
				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE	3,285		2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
NONRECURRING EXPENDITURES				2100000
FLORIDA PLANNING, ACCOUNTING, AND				
LEDGER MANAGEMENT (PALM) READINESS				2103008
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
STATE RISK MGMT TF	-STATE	77,350-		2078 1
=====				
WORKLOAD				3000000
ADDITIONAL FUNDING FOR DIVISION OF				
RISK MANAGEMENT CONTRACTED LEGAL				
SERVICES - OFFICE OF THE ATTORNEY				
GENERAL CATEGORY				3000050
SPECIAL CATEGORIES				100000
CONTRACT LEGAL - ATTY GEN				100904
STATE RISK MGMT TF	-STATE	438,000		2078 1
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority for the Attorney General contract with the Division of Risk Management.

Currently, the Attorney General's office handles a portion of the Federal Civil Rights (FCR) and General Liability cases. In September 2022, the Office of the Attorney General provided notice of a proposed 10% increase in legal service fees for FY 2023-24. Projected FY 2022-23 Attorney General legal service expenditures are \$4,171,040. A 10% fee increase in total expenditures is estimated at \$4,588,144, or an increase of \$417,104 for FY 2023-24.

The Office of the Attorney General also applies a 5% administrative fee to all legal service fees and expenses charged to the Division. The 5% administrative fee on the 10% increase in legal service fees will increase projected expenditures by another \$20,855, for a projected total increase of \$437,959 in FY 2023-24.

Without an increase in spending authority for this budget category the Division may be unable to obtain the level of legal services required. The transfer of pending cases to private law firms could be required, creating potential problems regarding the continuity and effectiveness of legal representation. This could result in legal issues in litigated cases not being timely addressed, defaults that result in large monetary judgments against state agencies, court orders from federal courts requiring costly changes to state programs, and other irreversible financial impacts to the State.

This issue requests 438,000 in additional budget authority in the Contracted Legal Services -Office of the Attorney General category from the State Risk Management Trust Fund within the State Self-Insured Claims Adjustment budget entity.

	COL A03 AGY REQUEST FY 2023-24 POS	COL A04 AGY REQ N/R FY 2023-24 POS	COL A05 AG REQ ANZ FY 2023-24 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
WORKLOAD							3000000
RISK MANAGEMENT INSURANCE							
MANAGEMENT SYSTEM RE-PROCUREMENT							30054C0
SPECIAL CATEGORIES							100000
RISK MGMT INFO CLAIMS SYS							101222
STATE RISK MGMT TF							2078 1
	-STATE			186,205			

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests recurring budget authority to re-procure the Risk Management Insurance Management System.

The Division of Risk Management is responsible for the management of claims reported by or against state agencies and universities for coverage under the self-insurance fund known as the "State Risk Management Trust Fund".

The Division currently contracts with a vendor to provide annual maintenance operation of a cloud based Insurance Management System (IMS). This contract will expire at the end of January 31, 2024. The division anticipate a new contract being executed by January 30, 2024.

Estimated licensing and service fees from the re-procurement will not be known until pricing is determined through the procurement process. It is anticipated there will be an increase in licensing and service fees resulting from the re-procurement of the Division's IMS service contract. The estimated budget increase of \$186,205 represents a 30% increase over projected FY 2023-2024 IMS licensing and service fees. As the Division is pursuing a sole-source procurement, no implementation fees are anticipated. However, it should be noted that 2015 implementation fees from the initial IMS contract totaled \$1,112,951.

Description	FY 22/23	FY 23/24 Without Projected Increase	FY 23/24 With Projected Fee Increase
Licenses	407,700	407,700	530,010
Service Hours	66,300	66,300	86,190
Hosting	45,657	45,657	59,354
File/Doc Storage	14,400	16,200	21,060
Integration/Interface			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
RISK MANAGEMENT INSURANCE				
MANAGEMENT SYSTEM RE-PROCUREMENT				30054C0
Support	27,625	27,625	35,913	
FROI/SROI	37,200	37,200	48,360	
Values Collection module	20,000	20,000	26,000	
FY Total	618,882	620,682	806,887	

Projected Fee Variance from FY 23/24 without fee increase to FY 23/24 with = 186,205

This issue requests 186,205 in additional budget authority in the Risk Management Information Claims System category from the State Risk Management Trust Fund within the State Self-Insured Claims Adjustment budget entity.

NEW INFORMATION RESOURCE MANAGEMENT				
INFRASTRUCTURE PROJECT				3600000
FLORIDA PLANNING, ACCOUNTING, AND				
LEDGER MANAGEMENT (PALM) READINESS				3600PC0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
STATE RISK MGMT TF	-STATE	77,350	77,350	2078 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests non-recurring budget authority to continue the transition of the Insurance Management System to Florida PALM.

The Division of Risk Management maintains a contractual agreement with Origami Risk, LLC to provide for the annual operation and maintenance of an Insurance Management System (IMS) used to manage program claims. Currently, the IMS utilizes a FLAIR interface that allows the Division to process claim payments directly through Central FLAIR. The Central and Departmental FLAIR Replacement Wave of the PALM Project is scheduled to occur on July 1, 2024, with design and development occurring in FY 2021-22 and FY 2022-23. Prior to implementation, the Division will need additional spending authority to purchase any additional integration and support hours required to modify the current IMS interface from

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
						43000000
						43400000
						43400100
						16
						<u>1601.00.00.00</u>
NEW INFORMATION RESOURCE MANAGEMENT						
						3600000
						3600PC0

FLAIR to Florida PALM.

This increase will allow the Division to purchase up to an additional 350 integration and support hours, as needed, to transition the IMS interface from FLAIR to Florida PALM.

PROGRAM ISSUES						4000000
INCREASE CONTRACTED SERVICES FOR						
INVESTIGATIONS						4000430
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
STATE RISK MGMT TF	-STATE	425,573				2078 1

=====

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority to complete the re-procurement of the professional investigator and claims adjusters service contract.

The Bureau of State Employee Workers' Compensation Claims within the Division of Risk Management is responsible for adjusting claims for state employees who are injured on the job. This includes payment of medical, indemnity, and death benefits; determination of compensability; and litigation management compensation claims.

The Division currently contracts with a third party to provide field investigations, surveillance, and investigations of suspected cases of workers' compensation fraud.

The Division has completed the process of re-procuring services for a new statewide professional investigations and claims adjusting services contract. A new contract was executed in August 2022. This Legislative Budget Request updates projections from a FY 2021-22 "placeholder" LBR for the re-procurement of services and the estimated increase in second contract year services fees.

The FY 2022-23 GAA includes proviso language placing \$335,855 in reserve until completion of the re-procurement process and a contract is executed. This requested amount assumed a 10% increase in the cost of services over the existing contract. However, the estimated cost increase from the negotiated contract is higher than this estimate. Estimated costs from the new negotiated contract is \$541,404, which is \$205,549 more than estimated. In addition to this increase

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
INCREASE CONTRACTED SERVICES FOR				
INVESTIGATIONS				4000430

in the cost of the base contract, this LBR also includes a second-year contract cost escalator of \$220,024.

Description	FY 21/22 Actual	FY 22/23 With Fee Increase	FY 23/24 With Cost Escalator Increase
Investigative Services	2,538,004	3,003,306	3,189,258
Temporary Claims and Non Hurricane Claims Adj	484,121	560,223	594,295
FY Total	3,022,125	3,563,529	3,783,553

The total issue request of 425,573 covers the 205,549 deficit in the base contract cost and 220,024 FY 23/24 cost escalator.

INCREASE CONTRACTED MEDICAL SERVICES - MEDICAL BILL REVIEW				4000670
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907
STATE RISK MGMT TF	-STATE	87,000		2078 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority to re-procure the medical bill review contract.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>				43400100
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM ISSUES				4000000
INCREASE CONTRACTED MEDICAL				
SERVICES - MEDICAL BILL REVIEW				4000670

The Division of Risk Management utilizes the services of a contracted vendor to provide medical bill review and pricing, utilization reviews, and Preferred Provider Organization (PPO) pricing utilization services.

The current vendor contract for medical bill review services will expire in September 2023. As a 90-day transition period will be needed if a new vendor is selected, the expected contract execution will occur in June 2023 in the 2022/2023 fiscal year.

It is anticipated there will be an increase in service fees resulting from the re-procurement of the medical bill review contract. The estimated budget request for this issue represents a 10% increase of the current projected FY 2023-2024 service and utilization review fees. PPO pricing utilization services are a unique service feature offered by the current medical bill review vendor. PPO fees are a function of cost savings and PPO services can be stopped or restarted at the discretion of the Division. It is unclear if similar services will be available should a new vendor be selected during re-procurement. Until availability of similar PPO services can be determined, the current estimates of PPO services fees are maintained at \$1.3 million.

	FY 22-23	FY 23-24 Without Fee Increase	FY 23-24 With Projected Re-Procurement Fee Inc
Medical Bill Review	832,996	835,728	919,301
Utilization Reviews	34,240	34,240	37,664
PPO Services	1,308,031	1,308,031	1,308,031
Total	2,175,267	2,177,999	2,264,996

Projected Fee Increase Variance from FY 23/24 without to FY 23/24 with Projected Fee Increase = 86,997

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
INCREASE EXCESS PROPERTY INSURANCE				4000700
SPECIAL CATEGORIES				100000
EXCESS INSUR. & CLAIM SER				101221
STATE RISK MGMT TF				2078 1
	-STATE	3,187,500		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority to increase the excess property insurance limits.

Excess property insurance is purchased in order to limit the State's loss exposure for over \$27.1 billion of state-owned property and is procured by the Broker of Record.

The Division of Risk Management traditionally targets purchasing excess property insurance sufficient to cover a 1 in 20-year event, or as close to this limit as possible with the budget available. According to the Division's Broker of Record, most corporate organizations target the purchase of coverage for a 1 in 100-year event. While this coverage level would be too expensive for the State to purchase, and probably unnecessary given the State's geographic spread of property risk, obtaining coverage for a 1 in 20-year event should be the minimum goal in regard to catastrophic loss coverage. In 2015, the Division obtained \$989,395 in additional appropriation to increase the amount of coverage purchased to cover a 1 in 20-year event. This additional appropriation allowed the Division to purchase an amount of named windstorm coverage much closer to the goal of a 1 in 20-year event.

At the peak of the "soft" property market in 2017-2018, the Division was able to purchase \$92,500,000 of named wind and flood coverage. The 2017-2018 hurricane seasons produced widespread industry losses (mainly stemming from Hurricanes Harvey, Irma, and Maria). Hurricane Michael followed in 2018 and put additional pressure on the property insurance marketplace. Subsequent global events, including flooding, wild-fires, convective storms, and other large property loss events have continued to add pressure to the global property insurance marketplace. These market dynamics have made it increasingly difficult for the Division to obtain limits consistent with its 1 in 20-year event goal.

After several years of a "hard" property market, the current appropriation is insufficient to fund the purchase of excess property insurance coverage sufficient to cover a 1 in 20-year event. Since the peak purchase of \$92,500,000 of named and wind flood coverage, the amount of coverage for named wind and flood has decreased every year while the budget has remained the same and the amount of total insured value has increased.

Policy Year	All Other Perils (AOP) Limit	Named Windstorm & Flood Limits	Insurable Value	Premium Cost
2016-2017	\$200,000,000	\$85,000,000	\$22.7 Billion	\$10,741,500

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
INCREASE EXCESS PROPERTY INSURANCE				4000700
2017-2018	\$225,000,000	\$92,500,000	\$22.6 Billion	\$10,821,060
2018-2019	\$225,000,000	\$78,000,000	\$22.8 Billion	\$10,857,765
2019-2020	\$225,000,000	\$68,500,000	\$22.8 Billion	\$10,833,400
2020-2021	\$225,000,000	\$62,750,000	\$23.4 Billion	\$10,856,954
2021-2022	\$225,000,000	\$57,500,000	\$24.8 Billion	\$10,832,589
2022-2023	\$225,000,000	\$56,270,000	\$25.5 Billion	\$10,851,323

Note : The Policy Year begins on February 15th of each year. Therefore, the Policy Year for 2022-2023 runs from February 15, 2022, through February 14, 2023. This LBR requests additional funding for Policy Year 2024-25, which will be placed effective February 15, 2024.

Current catastrophe modeling results predict losses from a 1 in 20-year event to be \$122 million and the Division's Broker predicts that this amount will increase to \$132 million in the next 3-4 years. For the coverage period beginning February 15, 2022, through February 15, 2023, \$225 million of excess coverage for named perils other than named wind and flood, and \$56.3 million of excess coverage for named wind and flood perils was purchased at an annual cost of \$10,850,672. When added to the current deductibles totaling \$42 million, the \$56.3 million excess coverage provides a total of only \$98.3 million of coverage for a catastrophic 1 in 20-year windstorm or flooding event.

The Broker of Record estimates that an additional \$33.7 million in excess insurance coverage for named wind and flood perils will increase excess property insurance costs by \$3 million annually. The additional coverage will increase total excess insurance coverage for named wind and flood perils from \$56.3 million to \$90 million. An additional \$75 million in excess insurance coverage for all other perils will increase excess property insurance costs by an estimated \$187,500. The additional coverage will increase total excess insurance coverage for all other perils from \$225 million to \$300 million. The proposed increase in all other perils coverage will cover the highest valued state-owned building in the event of a single total-loss catastrophic occurrence other than named wind and flood. Currently there are four buildings with a total insured value of between \$225 million and \$300 million, the highest being the FSU Strozier Library with a total insured value of \$297.8 million for both building and contents. An additional 12 buildings owned by the state have total insured values in excess of \$100 million.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>				43400100
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM ISSUES				4000000
INCREASE EXCESS PROPERTY INSURANCE				4000700

If this issue is not approved, a likely reduction in the excess insurance coverage for named wind and flood perils will occur. Since Policy Year 2017-2018, the amount of named wind and flood perils coverage the program was able to purchase, with the current budget amount, has been reduced from \$92.5 million to \$56.3 million. If a "hard" insurance market continues over the next couple of years, the Division expects that the amount of named wind and flood coverage purchased will continue to decrease without additional funding. Based on the current policy year and models, the State's loss exposure is increased should a 1 in 20-year event occur, as the State's current total coverage of \$98.3 million may be insufficient to pay the projected \$122 million to \$132 million in damages.

DIVISION OF RISK MANAGEMENT
 INCREASE FOR MEDICAL CASE
 MANAGEMENT
 SPECIAL CATEGORIES
 CONTRACTED MED SVCS

4000760
 100000
 100907

STATE RISK MGMT TF -STATE 2,373,065 700,000 2078 1

=====

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests additional budget authority to re-procure the medical case management contract.

The Division of Risk Management is responsible for the management of claims reported by or against state agencies and universities for coverage under the self-insurance fund known as the "State Risk Management Trust Fund". The Division provides workers' compensation coverage to more than 202,000 employees.

The Division utilizes the services of a contracted vendor to provide medical case management services. These services include the operation of a call center 24 hours/day, 365 day per year. The call center documents the First Report of Injury of state employees and submits an electronic data file to the division. The telephonic case manager provides the initial triage and direct the employee where to go for initial treatment. The medical case manager authorizes initial medical treatment, provides utilization reviews such as hospital pre-certifications and concurrent reviews.

The current vendor contract for medical case management will expire in March 2024. As a 90-day transition period will be needed if a new vendor is selected, the expected contract execution will occur in December 2023. Estimated service fees from re-procurement will not be known until the best and final offers are presented.

It is anticipated there will be an increase in service fees resulting from the re-procurement of the medical case management contract. The estimated increase of 2,373,065 represents 1,673,065 for 15% increase over projected FY

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>						43400100
GOV OPERATIONS/SUPPORT						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
PROGRAM ISSUES						4000000
DIVISION OF RISK MANAGEMENT						
INCREASE FOR MEDICAL CASE						
MANAGEMENT						4000760

2023-2024 medical case management fees, and 700,000 in non-recurring budget for anticipated implementation fees if a new vendor is selected.

Description	FY 22-23	FY 23/24 Without Fee Increase	FY 23/24 With Projected Fee Increase
Medical Case Management	10,928,007	11,153,768	12,826,833
Implementation	0	0	700,000
Total	10,928,007	11,153,768	13,526,833

Projected increase from FY 23/24 without fee increase and FY 23/24 with = 2,373,065

TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	116.00			
TRUST FUNDS.....	83,815,124	777,350		2000
SALARY RATE.....	5,785,129			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	361,829			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	1.00			
-STATE	223,604			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE	14,923			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF				
-STATE	380,484			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF				
-STATE	232,517			2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF				
-STATE	38,232			2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF				
-STATE	39,000			2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF				
-STATE	1,402			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....		930,162		
TOTAL SALARY RATE.....	361,829			
	=====	=====	=====	
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	19,550-		2393 1
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	19,466			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	21,874		2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		21,874		
TOTAL SALARY RATE.....	19,466			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE CO REHAB/LIQDATN				43500100
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE		243		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		2,919		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		151		2393 1
=====				
WORKLOAD				3000000
ADDITIONAL EXPENSES APPROPRIATIONS				
FOR DIVISION OF REHABILITATION AND				
LIQUIDATION				3000130
EXPENSES				040000
INSURANCE REG TF -STATE		55,255	23,410	2393 1
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority for expenses related to new positions. Due to increased insurance insolvencies, the internal receivership side of the Division of Rehabilitation and Liquidation (DRL) increased its full-time equivalents (FTE) count by five personnel. These positions support receivership activities related to IT data, policyholders, return of premium, reinsurance collections and claims handling. The funding for the salaries and benefits will come from Receivership funds (funds of the estates being administered), however increased building rent and staff

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
ADDITIONAL EXPENSES APPROPRIATIONS				
FOR DIVISION OF REHABILITATION AND				
LIQUIDATION				3000130

support expenses are needed, e.g. rent, computer equipment, desks, etc.

The division's operating expenses are primarily paid from the assets of insolvent insurance companies in receivership. The state budget is used to absorb administrative expenses of the division which increases the amount of funds available to pay claimants and the citizens of the State of Florida. Workforce reductions decreased DRL staff from 115 in 2010 to current level of 60. In 2022, DRL has acquired five new estates. With the increased receiverships, current conditions of the insurance market and potential for more insolvencies, DRL increased staff level by 5 FTEs. The majority of funding for these positions comes from the funds of the receiverships, however certain incremental support expenses are requested to be funded through DRL's state budget expense category.

PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
DIVISION OF REHABILITATION AND				
LIQUIDATION CLAIMS SYSTEM				36337C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777

INSURANCE REG TF	-STATE	450,000	450,000	2393	1
		=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests nonrecurring trust fund authority to complete a feasibility study to determine the best solution for the antiquated claims system in the Division of Rehabilitation and Liquidation (DRL).

DRL's current claims system is running on original 30+ year old FoxPro code. Over the year, IT staff have built ITS utilities and manual intervention as workarounds to enable the system to continue to be functional. The age and related issues of the system directly impacts DRL's ability to import/export data to Guaranty fund partners, to produce accurate claim records, and most importantly to timely prepare and distribute claims payments to policyholders, i.e. citizens of Florida.

This request is to study the DRL business and technical support problems, evaluate alternatives, detail the potential costs and benefits, quantify the appropriate solution, and establish the best path forward. The study will be performed by an outside vendor with an estimated 3,000 hours at \$150 per hour.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	1.00			
TRUST FUNDS.....	1,441,054	473,410		2000
SALARY RATE.....	381,295			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	5,161,171						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	104.00					2393 1
		7,484,356					
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	12,263					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	1,049,529					2393 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE FEES							100064
INSURANCE REG TF	-STATE	1,075,000					2393 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	716,292					2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	7,400					2393 1
=====							
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	34,063					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE	21,734			2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	37,063			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	104.00			
TOTAL ISSUE.....	10,437,700			
TOTAL SALARY RATE.....	5,161,171			
CASUALTY INSURANCE PREMIUM ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE	4,900-			2393 1
SALARY INCREASE FY 2022-23 - STATEWIDE 5.38% PAY INCREASE - EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	276,663			
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	326,192			2393 1
TOTAL: SALARY INCREASE FY 2022-23 - STATEWIDE 5.38% PAY INCREASE - EFFECTIVE 7/1/2022				1001315
TOTAL ISSUE.....	326,192			
TOTAL SALARY RATE.....	276,663			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	2,872			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	3,436			2393 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	200			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	3,636			
TOTAL SALARY RATE.....	2,872			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	46,041			2393 1
	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	3,996			2393 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES - ELECTRONIC COMMERCE				
FEEES - DEDUCT				2000400
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF				
-STATE	100,000-			2393 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue realigns budget authority in the Electronic Commerce Fees category between the Division of Legal Services and the Division of Licensure, Sales Appointment and Oversight (Agent & Agency Services). The category is being decreased by 100,000 in this issue code. The issue nets to zero with issue code 2000500 in Legal Services.

TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	104.00			
TRUST FUNDS.....		10,712,665		2000
SALARY RATE.....	5,440,706			

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>CONSUMER ASSISTANCE</u>				43500400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,144,467			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	107.00		
		7,256,616		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	179,921		
				2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	943,305		
				2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	595,374		
				2393 1
=====				
HOLOCAUST VICTIMS ASST ADM				101085
INSURANCE REG TF	-STATE	309,130		
				2393 1
=====				
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	1,500		
				2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	30,982		
				2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	12,224		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	32,114		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		107.00		
TOTAL ISSUE.....		9,361,166		
TOTAL SALARY RATE.....		5,144,467		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	10,972-		2393 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....		276,332		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	325,744		2393 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		325,744		
TOTAL SALARY RATE.....		276,332		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>CONSUMER ASSISTANCE</u>				43500400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	2,928		2393 1
		=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	48,593		2393 1
		=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	3,463		2393 1
		=====	=====	
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....		107.00		
SALARY RATE.....		9,730,922		2000
		5,420,799		
		=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>FUNERAL/CEMETERY SERVICES</u>							43500500
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,293,694					
=====							
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE		25.00					
REGULATORY TRUST FUND -STATE		1,915,253					2573 1
=====							
OTHER PERSONAL SERVICES							030000
REGULATORY TRUST FUND -STATE		67,933					2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND -STATE		351,327					2573 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE FEES							100064
REGULATORY TRUST FUND -STATE		39,100					2573 1
=====							
CONTRACTED SERVICES							100777
REGULATORY TRUST FUND -STATE		121,549					2573 1
=====							
OPERATION/MOTOR VEHICLES							102289
REGULATORY TRUST FUND -STATE		8,700					2573 1
=====							
RISK MANAGEMENT INSURANCE							103241
REGULATORY TRUST FUND -STATE		14,682					2573 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE	4,162			2573 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	10,697			2573 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	25.00			
TOTAL ISSUE.....	2,533,403			
TOTAL SALARY RATE.....	1,293,694			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	9,805-			2573 1
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	69,224			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	83,214			2573 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	83,214			
TOTAL SALARY RATE.....	69,224			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	16,876			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	17,078			2573 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE	1,106			2573 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	18,184			
TOTAL SALARY RATE.....	16,876			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	14,206			2573 1
	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	1,153			2573 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	25.00			
TRUST FUNDS.....	2,640,355			2000
SALARY RATE.....	1,379,794			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,615,637			
=====				
SALARIES AND BENEFITS				010000
FEDERAL GRANTS TRUST FUND -RECPNT	1,811,129			2261 9
INSURANCE REG TF -STATE	3,257,666			2393 1
TOTAL POSITIONS.....	74.00			
TOTAL APPRO.....	5,068,795			
=====				
OTHER PERSONAL SERVICES				030000
FEDERAL GRANTS TRUST FUND -RECPNT	678,903			2261 9
=====				
EXPENSES				040000
FEDERAL GRANTS TRUST FUND -RECPNT	606,879			2261 9
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FEDERAL GRANTS TRUST FUND -RECPNT	189,418			2261 9
=====				
OPERATION/MOTOR VEHICLES				102289
FEDERAL GRANTS TRUST FUND -RECPNT	25,675			2261 9
=====				
RISK MANAGEMENT INSURANCE				103241
FEDERAL GRANTS TRUST FUND -RECPNT	41,643			2261 9
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
FEDERAL GRANTS TRUST FUND -RECPNT	19,900			2261 9
TR/DMS/HR SVCS/STW CONTRCT				107040
FEDERAL GRANTS TRUST FUND -RECPNT	35,243			2261 9
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
FEDERAL GRANTS TRUST FUND -RECPNT	1,000			2261 9
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	74.00			
TOTAL ISSUE.....	6,667,456			
TOTAL SALARY RATE.....	4,615,637			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
FEDERAL GRANTS TRUST FUND -RECPNT	13,800			2261 9
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	247,025			

	COL A03 AGY REQUEST FY 2023-24 POS	COL A04 AGY REQ N/R FY 2023-24 POS	COL A05 AG REQ ANZ FY 2023-24 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>PUBLIC ASSISTANCE FRAUD</u>							43500700
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
SALARY INCREASE FY 2022-23 -							
STATEWIDE 5.38% PAY INCREASE -							
EFFECTIVE 7/1/2022							1001315
SALARIES AND BENEFITS							010000
FEDERAL GRANTS TRUST FUND -RECPNT				100,982			2261 9
INSURANCE REG TF -STATE				181,642			2393 1
TOTAL APPRO.....				282,624			
TOTAL: SALARY INCREASE FY 2022-23 -							1001315
STATEWIDE 5.38% PAY INCREASE -							
EFFECTIVE 7/1/2022							
TOTAL ISSUE.....				282,624			
TOTAL SALARY RATE.....				247,025			
SALARY INCREASE FY 2022-23 -							
STATEWIDE \$15 MINIMUM WAGE INCREASE							
- EFFECTIVE 7/1/2022							1001325
SALARY RATE							000000
SALARY RATE.....				20,425			
SALARIES AND BENEFITS							010000
FEDERAL GRANTS TRUST FUND -RECPNT				8,826			2261 9
INSURANCE REG TF -STATE				15,877			2393 1
TOTAL APPRO.....				24,703			
OTHER PERSONAL SERVICES							030000
FEDERAL GRANTS TRUST FUND -RECPNT				11,049			2261 9
TOTAL: SALARY INCREASE FY 2022-23 -							1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE							
- EFFECTIVE 7/1/2022							
TOTAL ISSUE.....				35,752			
TOTAL SALARY RATE.....				20,425			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
FEDERAL GRANTS TRUST FUND -RECPNT	12,879			2261 9
INSURANCE REG TF -STATE	23,169			2393 1
TOTAL APPRO.....	36,048			
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FEDERAL GRANTS TRUST FUND -RECPNT	3,800			2261 9
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
	74.00			
TRUST FUNDS.....	7,039,480			2000
SALARY RATE.....	4,883,087			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	12,758,903			
=====				
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	18,513,070			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	1,074,495			2798 1
TOTAL POSITIONS.....	281.00			
TOTAL APPRO.....	19,587,565			
=====				
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF -STATE	388,540			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	17,731			2798 1
TOTAL APPRO.....	406,271			
=====				
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	3,416,093			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	143,721			2798 1
TOTAL APPRO.....	3,559,814			
=====				
OPERATING CAPITAL OUTLAY				060000
WORKERS' COMP ADMIN TF -STATE	50,021			2795 1
=====				
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
WORKERS' COMP ADMIN TF -STATE	188,000			2795 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	2,040,090			2795 1
TR/USF-OSHA MATCH				100521
WORKERS' COMP ADMIN TF -STATE	250,000			2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	714,432			2795 1
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF -STATE	2,936,789			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	86,360			2798 1
TOTAL APPRO.....	3,023,149			
OPERATION/MOTOR VEHICLES				102289
WORKERS' COMP ADMIN TF -STATE	84,800			2795 1
PURCHASED CLIENT SERVICES				102933
WORKERS' COMP ADMIN TF -STATE	740,000			2795 1
RISK MANAGEMENT INSURANCE				103241
WORKERS' COMP ADMIN TF -STATE	208,187			2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
WORKERS' COMP ADMIN TF -STATE	62,320			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	2,280			2798 1
TOTAL APPRO.....	64,600			
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF -STATE	84,737			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	5,337			2798 1
TOTAL APPRO.....	90,074			
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	281.00			
TOTAL ISSUE.....	31,007,003			
TOTAL SALARY RATE.....	12,758,903			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
WORKERS' COMP ADMIN TF -STATE	35,309-			2795 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	683,124			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	774,808			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	45,008			2798 1
TOTAL APPRO.....	819,816			
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	72,540			2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	24,635			2795 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	916,991			
TOTAL SALARY RATE.....	683,124			
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	19,714			
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	22,276			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	1,294			2798 1
TOTAL APPRO.....	23,570			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF -STATE	6,323			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	289			2798 1
TOTAL APPRO.....	6,612			
SPECIAL CATEGORIES				100000
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	4,160			2795 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	34,342			
TOTAL SALARY RATE.....	19,714			
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	117,859			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	6,846			2798 1
TOTAL APPRO.....	124,705			
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	8,780			2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SPECIAL CATEGORIES				100000
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	3,075			2795 1
-FEDERL	3,327			2795 3
TOTAL WORKERS' COMP ADMIN TF	6,402			2795
TOTAL APPRO.....	6,402			
TOTAL: FLORIDA RETIREMENT SYSTEM				1002010
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				
TOTAL ISSUE.....	139,887			
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF -STATE	9,136			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	575			2798 1
TOTAL APPRO.....	9,711			
TOTAL: WORKERS' COMPENSATION				<u>1102.02.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	281.00			
SALARY RATE.....	32,072,625			2000
	13,461,741			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	8,014,615			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	136.00			
	11,988,753			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE	71,674			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE	2,166,764			2393 1
=====				
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF -STATE	525,932			2393 1
FED LAW ENFORCEMENT TF -FEDERL	432,000			2719 3
TOTAL APPRO.....	957,932			
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF -STATE	1,043,000			2393 1
=====				
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE	438,772			2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>FIRE/ARSON INVESTIGATIONS</u>							43700100
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
ON-CALL FEES							102261
INSURANCE REG TF	-STATE	446,000					2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	225,900					2393 1
=====							
SALARY INCENTIVE PAYMENTS							103290
INSURANCE REG TF	-STATE	135,284					2393 1
=====							
SUPP FIREFIGHTERS COMP							103725
INSURANCE REG TF	-STATE	8,000					2393 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	33,817					2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	37,355					2393 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		136.00					
TOTAL ISSUE.....		17,553,251					
TOTAL SALARY RATE.....		8,014,615					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 - LAW				
ENFORCEMENT - EFFECTIVE 7/1/2022				1001110
SALARY RATE				000000
SALARY RATE.....	380,605			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	512,265			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 - LAW				1001110
ENFORCEMENT - EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	512,265			
TOTAL SALARY RATE.....	380,605			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	419,180			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	542,656			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	542,656			
TOTAL SALARY RATE.....	419,180			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	9,887			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	11,821			
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				2393 1
-STATE	1,166			
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	12,987			
TOTAL SALARY RATE.....	9,887			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	126,328			
	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF				2393 1
-STATE	4,028			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
REPLACEMENT OF SAFETY EQUIPMENT -				
BOMB SQUADS				2103031
OPERATING CAPITAL OUTLAY				060000
FED LAW ENFORCEMENT TF	-FEDERL	184,000-		2719 3
	=====	=====	=====	
REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT - PORTABLE X-RAY SYSTEM				2103058
OPERATING CAPITAL OUTLAY				060000
FED LAW ENFORCEMENT TF	-FEDERL	248,000-		2719 3
	=====	=====	=====	
LAW ENFORCEMENT INVESTIGATOR II -				
BUREAU OF FIRE AND ARSON				
INVESTIGATIONS				2103061
EXPENSES				040000
INSURANCE REG TF	-STATE	178,032-		2393 1
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	213,556-		2393 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	420,000-		2393 1
	=====	=====	=====	
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	5,544-		2393 1
	=====	=====	=====	
TOTAL: LAW ENFORCEMENT INVESTIGATOR II -				2103061
BUREAU OF FIRE AND ARSON				
INVESTIGATIONS				
TOTAL ISSUE.....		817,132-		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
ADDITIONAL EQUIPMENT - MOTOR				
VEHICLES				2103152
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	154,967-		2393 1
		=====		
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	623,000-		2393 1
		=====		
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	7,854-		2393 1
		=====		
TOTAL: ADDITIONAL EQUIPMENT - MOTOR				2103152
VEHICLES				
TOTAL ISSUE.....		785,821-		
		=====		
EQUIPMENT NEEDS				2400000
REPLACEMENT OF SAFETY EQUIPMENT -				
BOMB SQUADS				2401030
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	184,000		2393 1
		=====		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring funding for the Division of Investigative and Forensic Services (DIFS) Bomb Squad to replace aging and expired personal protection equipment, bomb suits. The funding will provide for the purchase of four personal protection bomb suits for the Bomb Technicians at \$46,000 per suit. By replacing four suits a year instead of all bomb suits at once it will offset initial costs and help keep personal protection equipment current and up to date. It will take the agency approximately four years to provide each member with a bomb suit that meets current standards as outlined by the manufacturer and required by the Federal Bureau of Investigation (FBI). By replacing four suits a year, that would provide each region with at least one new bomb suit.

Currently the team consist of thirteen (13) certified bomb technicians and three (3) additional detectives in the Bureau

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				1205.00.00.00
EQUIPMENT NEEDS				2400000
REPLACEMENT OF SAFETY EQUIPMENT -				
BOMB SQUADS				2401030

bomb squad training program. Full staffing of the team would be 24 members. These personnel are located throughout the State. Each member of the team is issued personal protection equipment (Bomb Suit) to be utilized while conducting render safe procedures when dealing with suspicious packages, explosives and Improvised Explosive Devices (IED's).

In Fiscal Year 2020-21, \$160,000 in nonrecurring authority was provided for the purchase of four suits to begin the first year of replacement. The price of suits has increased and 184,000 is now requested on a recurring basis to continue to replace four suits each year to ensure the highest level of technical proficiency and operational readiness of the bomb squad. This issue was funded nonrecurring out of the Federal Law Enforcement Trust Fund (2719) in FY 2022-23.

REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT - RESPIRATORY PROTECTION				2401060
EXPENSES				040000

INSURANCE REG TF	-STATE	290,925	290,925	2393	1
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AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests nonrecurring funding in the Division of Investigative and Forensic Services (DIFS) for the replacement of respiratory protection for fire investigators. Bureau investigators are sworn state law enforcement officers and are available to respond 24 hours a day, 7 days a week to calls initiated by fire departments, law enforcement agencies, and citizens who need assistance conducting fire origin and cause investigations and explosives investigations. Currently, there are 115 sworn Bureau investigators who investigate fires full-time and 7 non-sworn Crime Intelligence Analysts, who are expected to respond to major fire scenes or critical incidents to assist Bureau investigative teams in real time.

Exposure to compounds known or believed to cause health risks through respiration, ingestion, and absorption through the skin and tear ducts is inherent in the investigation of every fire scene. There are over 100 known carcinogens in fire smoke and a variety of toxic gases. As more material items are being produced using synthetic compounds, the risk of exposure to hazardous solids, liquids and gases present on fire scenes has increased significantly in recent years. Historically, the Bureau has issued full-face, sealing respiratory protection systems. These systems are not lightweight, provide limited visibility, are not able to be worn for long periods in hot weather, require the purchase of prescription inserts for prescription eyeglass wearers, and require annual fit-testing for continued use.

DIFS is requesting funds for the purchase of 135 Powered Air Purifying Respirator Systems (PAPRs) and associated filters, batteries, and carry bags. The Bureau has identified a PAPR system that exceeds their current (ANSI Z87) eye and face

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT - RESPIRATORY PROTECTION				2401060

protection. These systems are non-sealing, thus require no fit testing. These systems are designed to constantly pump purified, fresh air into the hood while forcing contaminated air out, keeping the user cooler and more protected. They allow for the user to wear their own prescription eyeglasses without the need for additional prescription insert purchases. They have an integrated hard-hat and multi-gas respiratory filter protection. The purchase of a lightweight, hot-weather friendly respiratory protection system allows for more effective and efficient respiratory protection when working in increased temperatures present on fire scenes. The wearer will be able to process scenes with reduced fatigue and increased respiratory protection, reducing the risk of fire service-related exposure to carcinogens and other respiratory ailments, and increasing the overall health and longevity of bureau detectives.

REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT - ROBOTIC PLATFORMS				2401070
OPERATING CAPITAL OUTLAY				060000

INSURANCE REG TF	-STATE	360,000	360,000	2393 1
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AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests nonrecurring funding for the replacement of two bomb squad robotic platforms at \$180,000 each.

The DIFS Bomb Squad consists of four regions within the State of Florida. Each region currently has a large robotic platform assigned. Two of the regions (Pensacola and Tampa) currently have large platform robots that are approximately 18 years old. These older robots are using older antiquated technology, availability of repair parts is becoming difficult to acquire, and the cost for repair is also increasing. Newer robotic systems ((ICOR T5) are considered more efficient than current system, moves from an analog system to a digital system, and provides more capabilities than the older robots currently in use.

The Tallahassee large platform robot F6 robot was replaced in 2018 with a new ICOR T5 robot and the Ft. Myers large platform WOLVERINE is scheduled to be replaced in FY 2202-23 utilizing Regional Domestic Security Task Force money. Due to the age of the robots, the manufacturer, Remotec, is not producing or stocking parts for older robotic platforms. Changes in technology and the lack of available parts have made these current robots' platforms obsolete. Acquisition of these robotic systems will provide DIFS Bomb Technicians with a system that provides up to date technology and better capabilities. Updated robots will provide the bomb technician a better opportunity to work remotely with the robot versus having to manually approach a suspicious package or Improvised Explosive Device (IED). This equipment is critical to bomb technician safety when mitigating emergencies involving explosives and suspicious items.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT - NIGHT VISION GOGGLES				2401080
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	131,236	131,236	2393 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue requests nonrecurring funding in the Division of Investigative and Forensic Services (DIFS) for the replacement of Night Vision Goggles (NVGs) for the bomb squad team.

Currently the team consists of thirteen (13) certified bomb technicians and (3) additional detectives in the apprentice program. These personnel are located throughout the State divided into four (4) regional teams; Pensacola, Tallahassee, Tampa and Fort Myers. Currently there are four (4) first generation Night Vision Goggles (NVGs) issued at one (1) per regional office. The four (4) issued NVGs are outdated and unreliable technology that are not compatible with current member Personal Protective Equipment (PPE). NVGs are listed safety equipment under the National Bomb Squad Commanders Advisory Board, that sets the National Standards for Public Safety Bomb Squads in the United States. This request would issue a pair of NVGs and hands-free mounting system to each team member to comply with National Standards.

FIRE AND ARSON EQUIPMENT - RAMAN				2401090
DETECTORS				060000
OPERATING CAPITAL OUTLAY				
INSURANCE REG TF	-STATE	101,945	101,945	2393 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue requests nonrecurring funding in the Division of Investigative and Forensic Services (DIFS) for the purchase of handheld explosive substance detectors. Currently, there is one Serstech Raman detector that was purchased at the end of Fiscal Year 20-21 for the Tallahassee Regional unit. The requested amount will allow for the purchase of three (3) Serstech Raman Detectors that will be assigned to the Pensacola, Tampa, and Ft. Myers Bomb Teams and allow for each region to have one. The purpose of the Serstech 100 Indicator Handheld Raman Detector is to test unknown substances/powders for explosive properties. The Raman Detector will be a valuable safety asset to test and determine the presence of explosives. This ability is critical especially in a world where homemade explosives are becoming more prevalent. Quick identification of these substances will save money, time, and lives as it relates to first responders on scene.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF MOBILE SUSTAINMENT				
VEHICLE				2401530
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	570,000	570,000	2393 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests nonrecurring funding in the Division of Investigative and Forensic Services (DIFS) for the replacement of a mobile sustainment vehicle (MSV). The DIFS Bomb Squad has had increasing requests for service from local law enforcement to assist with bomb related activities that require multiple days of deployment. Using the current Mobile Sustainment Vehicle (MSV) the bomb squad has been able to provide 24-hour coverage and immediate emergency response during deployment. The MSV allows DIFS to provide an onsite command center to manage scenes and bomb related resources. It also provides lodging when it is not readily available greatly reducing response times.

The current MSV, 2008 Winnebago, is a recreation vehicle that was converted to meet the divisions needs. The current MSV is an outdated platform, has limited technology, and is not suitable as a command post. The current MSV also restricts the number of personnel that can be deployed as there is not a way to separate male and female bomb technicians. It was not designed to be used as a command post. The MSV being requested is a custom-built platform designed to meet the needs of the DIFS Bomb Squad to include an updated platform, modern technology, serve as a true command post with conference table and workstations, and will have four bunks with dividers for male and female members.

REPLACEMENT OF FIRE AND ARSON
 EQUIPMENT- MOBILE AMMUNITION BURN
 UNITS
 OPERATING CAPITAL OUTLAY

2402010
 060000

INSURANCE REG TF	-STATE	893,068	893,068	2393 1
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AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests nonrecurring funding in the Division of Investigative and Forensic Services (DIFS) for the replacement of two mobile ammunition burn units. The DIFS Bomb Squad currently has four (4) burn units located in Pensacola, Tallahassee, Tampa, and Ft. Myers. The Ft. Myers unit is currently out of service and in need of replacement parts. These units are permanently housed on a pumper-pull trailer. The burn units are utilized to burn flares, fireworks

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT- MOBILE AMMUNITION BURN				
UNITS				2402010

and small arms ammunition up to .50 caliber. Current ammunition disposal burners need to be replaced by safer, efficient, environmentally friendly units. Current burners emit hazardous particles and gasses during the venting process, putting bomb technicians/operators at risk with frequent use. The replacement of these older models with the "Environmental Mobile Ammunition Combustion System" will enable bomb technicians to more efficiently and safely dispose of degraded, seized, and damaged ammunition. The purchase of two of these units will replace two of the most frequently used burner units that need repairs.

TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
	136.00			
TRUST FUNDS.....	19,247,736	2,347,174		2000
SALARY RATE.....	8,824,287			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FORENSIC SERVICES</u>				43700200
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	516,083			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	9.00			
-STATE	815,549			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE	14,548			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF				
-STATE	125,754			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF				
-STATE	151,000			2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF				
-STATE	7,200			2393 1
=====				
ARSON LAB- MAINT/REPAIR				109011
INSURANCE REG TF				
-STATE	35,000			2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....	1,149,051			
TOTAL SALARY RATE.....	516,083			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FORENSIC SERVICES</u>				43700200
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	27,767			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE		35,958		2393 1
		=====	=====	=====
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		35,958		
TOTAL SALARY RATE.....	27,767			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	2,982			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE		3,609		2393 1
		=====	=====	=====
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE		237		2393 1
		=====	=====	=====
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		3,846		
TOTAL SALARY RATE.....	2,982			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FORENSIC SERVICES</u>				43700200
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	8,336		2393 1
=====				
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000
FIXED CAPITAL OUTLAY				080000
ARSON LAB-BLDG REP/MAINT				080940
INSURANCE REG TF	-STATE	200,000	200,000	2393 1
=====				

AGENCY NARRATIVE:				
2023-2024 BUDGET YEAR NARRATIVE: ARSON LAB-BLDG REP/MAINT IT COMPONENT? NO				
This issue requests nonrecurring funding for the Bureau of Forensic Services (Arson Lab) for the replacement of a 60-ton air-cooled water chiller that is over 32 years old.				
The Bureau of Forensic Services is housed in a facility specially built for it in 1988 - 90 and wholly owned by the Department of Financial Services (DFS). It receives no maintenance or infrastructure support from Department of Management Services (DMS) and must request any fixed capital improvements and funds for maintenance of the facility in a separate Legislative Budget Request (LBR). For the past, several fiscal years the lab have been granted 35,000 each year to accommodate maintenance issues that occur as facilities age. In FY 2019-20 funding was provided to replace part of the HVAC system.				
This issue requests funding for the 60-ton air cooled industrial chiller units and all piping connections to continue the HVAC system upgrades. The 14,000-square foot building will require a 60-ton air-cooled water chiller for the HVAC system that will be incorporated into the HVAC and Air Handler system currently at the Bureau.				

TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	9.00			
SALARY RATE.....	546,832	1,397,191	200,000	2000
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	12,097,538			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	207.00			
-STATE	17,727,056			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	46,067			
-STATE				2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	2,182,656			2393 1
FED LAW ENFORCEMENT TF	537,000			2719 3
TOTAL APPRO.....	2,719,656			
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	1,973,678			2393 1
=====				
TR TO JAC FOR PROP FRAUD				100529
INSURANCE REG TF	224,701			2393 1
=====				
CONTRACTED SERVICES				100777
INSURANCE REG TF	265,315			2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>INSURANCE FRAUD</u>							43700300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
ANTI-FRAUD DATABASE							100789
INSURANCE REG TF	-STATE	984,000					2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	186,253					2393 1
=====							
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	927,459					2393 1
=====							
SALARY INCENTIVE PAYMENTS							103290
INSURANCE REG TF	-STATE	219,776					2393 1
=====							
DEFERRED-PAY COM CONTRACTS							105280
INSURANCE REG TF	-STATE	186,000					2393 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	47,247					2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	55,717					2393 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		207.00					
TOTAL ISSUE.....		25,562,925					
TOTAL SALARY RATE.....		12,097,538					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	135,827-		2393 1
=====				
SALARY INCREASE FY 2022-23 - LAW				
ENFORCEMENT - EFFECTIVE 7/1/2022				1001110
SALARY RATE				000000
SALARY RATE.....		599,492		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	806,578		2393 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 - LAW				1001110
ENFORCEMENT - EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		806,578		
TOTAL SALARY RATE.....		599,492		
=====				
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....		632,285		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	810,890		2393 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	73,967		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SPECIAL CATEGORIES				100000
TR TO JAC FOR PROP FRAUD				100529
INSURANCE REG TF	-STATE	8,648		2393 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		893,505		
TOTAL SALARY RATE.....		632,285		
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....		21,662		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	25,900		2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	750		2393 1
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF	-STATE	893		2393 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		27,543		
TOTAL SALARY RATE.....		21,662		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>INSURANCE FRAUD</u>							43700300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2022-23 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1002010
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	182,063					2393 1
=====							
SPECIAL CATEGORIES							100000
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF	-STATE	21,094					2393 1
=====							
TR TO JAC FOR PROP FRAUD							100529
INSURANCE REG TF	-STATE	1,460					2393 1
=====							
TOTAL: FLORIDA RETIREMENT SYSTEM							1002010
ADJUSTMENT - FY 2022-23 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							
TOTAL ISSUE.....		204,617					
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	6,007					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL EXPENSES				2103117 040000
FED LAW ENFORCEMENT TF -FEDERL	285,000-			2719 3
=====				
COMPUTER ENHANCEMENTS FOR LAW ENFORCEMENT PERSONNEL EXPENSES				2103169 040000
FED LAW ENFORCEMENT TF -FEDERL	252,000-			2719 3
=====				
ACCESS TO ANTI-FRAUD DATABASE SPECIAL CATEGORIES ANTI-FRAUD DATABASE				2103173 100000 100789
INSURANCE REG TF -STATE	984,000-			2393 1
=====				
WORKLOAD				3000000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL EXPENSES				3001190 040000
INSURANCE REG TF -STATE	285,050			2393 1
=====				
SPECIAL CATEGORIES CONTRACTED SERVICES				100000 100777
INSURANCE REG TF -STATE	5,000			2393 1
=====				
TOTAL: INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL TOTAL ISSUE.....	290,050			3001190
=====				

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: INVEST/FORENSIC SVCS						43700000
<u>INSURANCE FRAUD</u>						43700300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL						3001190

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests recurring budget authority to provide required training for the Division of Investigative and Forensic Services (DIFS). The Division's detectives investigate complex, highly specialized criminal cases, such as money laundering, mortgage fraud, workers' compensation premium fraud, organized crime, PIP fraud, arson and explosives investigations, forensic investigations, and other state financial crimes.

DIFS must provide the required training for all law enforcement officers within the division to maintain its accreditation as a law enforcement agency and to develop the expertise required by its personnel to conduct the specialized investigations under its authority. Training on these topics is not readily available by outside sources and requires the Division to implement its own training programs. Current personnel turnover rates have left the division with a lack of institutional and specialized knowledge required to investigate and conduct operations necessary to meet its responsibilities. Without providing adequate training, the division will fail to maintain expected levels of performance and needs of the state.

This issue was funded nonrecurring out of the Federal Law Enforcement Trust Fund (2719) in FY 2022-23.

PROGRAM OR SERVICE-LEVEL

INFORMATION TECHNOLOGY						3630000
COMPUTER ENHANCEMENTS FOR LAW ENFORCEMENT PERSONNEL EXPENSES						36336C0 040000

INSURANCE REG TF	-STATE	252,000				2393 1
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AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests recurring funding for computer equipment replacement in the Division of Investigative and Forensic Services (DIFS). The funding will provide for 110 laptops (75 Fraud/Administration, and 35 for the Bureau of Fire, Arson & Explosives Investigations) for a total of \$217,000. Each of our approximately 360 positions require a laptop computer to perform their required statutory functions for criminal investigations of financial crimes, insurance fraud, workers' compensation fraud, and causes/investigations of fires and explosions. Some of these computers require vehicle mounts to

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: INVEST/FORENSIC SVCS						43700000
<u>INSURANCE FRAUD</u>						43700300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
COMPUTER ENHANCEMENTS FOR LAW						
ENFORCEMENT PERSONNEL						36336C0

facilitate use as a mobile data terminal to link into the Joint Dispatch Centers and criminal data bases as well as in the traditional office environment. The remaining \$35,000 is slated for IT accessories replacements (i.e. cell phone cases, cell phone power chargers, laptop power chargers, computer cabling, USB GPS devices, laptop batteries, replacement hard drives, etc.) as well as a small cushion in the event of price increases as were experienced in FY 2021-22. This issue will allow the Division to continue its current 3-year replacement plan for equipment.

This issue provides the continuation of funding for laptop replacements and IT related equipment in the total amount of \$252,000 in Expenses from the Insurance Regulatory Trust Fund. In Fiscal Year 19-20 the Division received \$107,750 in OCO and Expense Funding. In FY 2020-21 the Division received \$332,120 (2nd cycle) in Expense funding and OCO funding which allows the Division to continue with its 3-year plan for computer replacement. The division did not receive funding for this issue in FY 2021-22 and was funded nonrecurring out of the Federal Law Enforcement Trust Fund (2719) in FY 2022-23. This funding will allow for the next 3-year cycle replacement of computers.

PROGRAM ISSUES						4000000
DIVISION OF INSURANCE FRAUD -						
ADDITIONAL RESOURCES FOR TARGETED						
INVESTIGATION OF CRIMINAL ACTIVITY						4001510
SALARY RATE						000000
SALARY RATE..... 409,233						
=====						
SALARIES AND BENEFITS						010000
7.00						
INSURANCE REG TF -STATE 656,138						2393 1
=====						
EXPENSES						040000
INSURANCE REG TF -STATE 200,005 109,081						2393 1
=====						

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>INSURANCE FRAUD</u>							43700300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
PROGRAM ISSUES							4000000
DIVISION OF INSURANCE FRAUD -							
ADDITIONAL RESOURCES FOR TARGETED							
INVESTIGATION OF CRIMINAL ACTIVITY							4001510
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	140,000		140,000			2393 1
		=====		=====			
SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR VEHICLES							100021
INSURANCE REG TF	-STATE	238,000		238,000			2393 1
		=====		=====			
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	28,000		28,000			2393 1
		=====		=====			
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	14,700					2393 1
		=====		=====			
SALARY INCENTIVE PAYMENTS							103290
INSURANCE REG TF	-STATE	10,500					2393 1
		=====		=====			
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	2,391					2393 1
		=====		=====			
TOTAL: DIVISION OF INSURANCE FRAUD -							4001510
ADDITIONAL RESOURCES FOR TARGETED							
INVESTIGATION OF CRIMINAL ACTIVITY							
TOTAL POSITIONS.....		7.00					
TOTAL ISSUE.....		1,289,734		515,081			
TOTAL SALARY RATE.....		409,233					
		=====		=====			

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: INVEST/FORENSIC SVCS						43700000
<u>INSURANCE FRAUD</u>						43700300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
PROGRAM ISSUES						4000000
DIVISION OF INSURANCE FRAUD -						
ADDITIONAL RESOURCES FOR TARGETED						
INVESTIGATION OF CRIMINAL ACTIVITY						4001510

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests seven (7) new full-time equivalents (FTE) and the associated funding required for the creation of a third specialized squad dedicated to Property and Casualty, Homeowners' Fraud investigations.

In FY 2021-22 the request for 13 new full-time equivalents (FTE) and the associated funding required for the creation of two specialized squads dedicated to Property and Casualty, Homeowners' Fraud investigations was funded by the Legislature. Due to its success, an additional squad of six (6) detectives and one (1) lieutenant is requested. The squad is created for the sole purpose of covert operations and intelligence gathering needed to assist with demand associated with property & casualty/homeowners' fraud. The goal is to infiltrate the organized criminal enterprises operating in the state, defrauding insurers with bogus claims and schemes. Currently, the fraud cases are investigated by a traditional sworn detective that maintains an assigned caseload. DIFS squads are comprised of five detectives and one lieutenant as the supervisor. The new covert squad is comprised of six detectives and one lieutenant. Running covert operations require special considerations for operation. Covert operations require additional security and technical support during the operation. This approach has been successfully implemented in the past with the creation by the legislature of the Bureau of Workers' Compensation Fraud and internally by the Division through the creation of dedicated Auto/PIP squads around the state. Both programs effectively increased the level of criminal enforcement actions.

The six law enforcement investigator II, (class code 8522) are requested at 52,500 and the lieutenant at 59,226 (class code 8541), which is approximately 26 percent above minimum to help recruit and retain officers.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
8522 LAW ENFORCEMENT LIEUTENANT							
N0001 001	1.00	59,226	5,001	37,317	101,544	0.00	101,544
8541 LAW ENFORCEMENT INVESTIGATOR II							
N0002 001	6.00	315,000	30,006	209,588	554,594	0.00	554,594

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2023-24	FY 2023-24	FY 2023-24	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: INVEST/FORENSIC SVCS						43700000
<u>INSURANCE FRAUD</u>						43700300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
PROGRAM ISSUES						4000000
DIVISION OF INSURANCE FRAUD -						
ADDITIONAL RESOURCES FOR TARGETED						
INVESTIGATION OF CRIMINAL ACTIVITY						4001510

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						
7.00	374,226	35,007	246,905	656,138		656,138
=====	=====	=====	=====	=====		=====

TOTAL: CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	214.00					
SALARY RATE.....	27,676,132	515,081				2000
	13,760,210					
	=====	=====	=====	=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FISCAL INTEGRITY</u>				43700400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	397,158			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	7.00			
-STATE	634,474			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF		35,700		
-STATE				2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF		7,300		
-STATE				2393 1
=====				
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF		3,100		
-STATE				2393 1
=====				
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF		5,620		
-STATE				2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....		686,194		
TOTAL SALARY RATE.....	397,158			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FISCAL INTEGRITY</u>				43700400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 - LAW				
ENFORCEMENT - EFFECTIVE 7/1/2022				1001110
SALARY RATE				000000
SALARY RATE.....	16,394			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE		20,887		2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 - LAW				1001110
ENFORCEMENT - EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		20,887		
TOTAL SALARY RATE.....	16,394			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	20,992			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE		25,814		2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		25,814		
TOTAL SALARY RATE.....	20,992			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FISCAL INTEGRITY</u>				43700400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	5,029		2393 1
		=====	=====	=====
WORKLOAD				3000000
ADDITIONAL STAFF FOR OFFICE OF				
FISCAL INTEGRITY				3000670
SALARY RATE				000000
SALARY RATE.....		91,698		
		=====	=====	=====
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2.00	138,694	2393 1
		=====	=====	=====
EXPENSES				040000
INSURANCE REG TF	-STATE	22,102	9,364	2393 1
		=====	=====	=====
TOTAL: ADDITIONAL STAFF FOR OFFICE OF				3000670
FISCAL INTEGRITY				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		160,796	9,364	
TOTAL SALARY RATE.....		91,698		
		=====	=====	=====

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests two (2) new full-time equivalents (FTE) and the associated base funding for the Office of Fiscal Integrity (OFI) within the Division of Investigative and Forensic Services (DIFS).

The Office of Fiscal Integrity is comprised of sworn law enforcement and nonsworn personnel. The unit's primary responsibility is to detect and investigate the misappropriation or misuse of state assets to safeguard the State of Florida and its taxpayers. OFI works to ensure the proper use of taxpayer funds by investigating allegations of State contract and grant fraud, Unclaimed Property fraud, Theft of state property, Electronic Fund Transfer (EFT) fraud, State

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
						43000000
						43700000
						43700400
						12
						<u>1205.00.00.00</u>
						3000000
WORKLOAD						
						3000670
ADDITIONAL STAFF FOR OFFICE OF						
FISCAL INTEGRITY						

travel reimbursement, credit card and payroll fraud, and Fraud through counterfeit warrants and fraudulent debits to state treasury accounts.

The State of Florida has experienced a significant increase in the number of insolvent insurance companies in Florida. There were several factors that attributed to their insolvency. Significant weather events, significant fraudulent claims, litigation, and financial mismanagement of company assets. Companies placed into State managed receivership by the Courts are recommended for rehabilitation or liquidation. State auditors, actuaries and legal teams audit the company's books that lead to the insolvency. Final reports from the Division of Rehabilitation and Liquidation have found mismanagement of company funds, stripping cash assets from the company and sending it to other subsidiaries that benefit the owners directly, the lack of oversight with large payouts to management staff.

Fiscal Integrity investigators are charged with the authority to investigate the misuse and misappropriation of funds paid by the citizens of the State. The additional financial investigators will review final insolvency reports from the Division of Rehabilitation and Liquidation or referrals from the new property insurer stability unit that indicate mismanagement of funds. Investigators will determine if civil or criminal liability exist. If culpability is found or determined to exist, the investigating member will refer the investigation to the proper authorities.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
8325 FINANCIAL INVESTIGATOR-CRIMINAL ENFORCE							
N0001 001	2.00	91,698		46,996	138,694	0.00	138,694
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							138,694
	2.00	91,698		46,996	138,694		138,694

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FISCAL INTEGRITY</u>				43700400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
	9.00			
TRUST FUNDS.....	898,720	9,364		2000
SALARY RATE.....	526,242			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	14,197,864			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	249.00			
-STATE	19,812,041			2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	533,537			2393 1
EXPENSES				040000
INSURANCE REG TF	2,311,292			2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	1,000			2393 1
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF	1,031,689			2393 1
PROPERTY/CASUALTY EXAMS				100523
INSURANCE REG TF	3,951,763			2393 1
LIFE AND HEALTH EXAMS				100524
INSURANCE REG TF	1,950,000			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	1,688,016		2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	80,813		2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	40,989		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	74,093		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		249.00		
TOTAL ISSUE.....		31,475,233		
TOTAL SALARY RATE.....		14,197,864		
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	5,297-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	763,300			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	912,877			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	912,877			
TOTAL SALARY RATE.....	763,300			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	8,115			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	9,702			2393 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE	8,683			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	18,385			
TOTAL SALARY RATE.....	8,115			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	156,000		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	7,989		2393 1
=====				
NONRECURRING EXPENDITURES				2100000
OFFICE OF INSURANCE REGULATION -				
TRANSPARENCY IN CONTRACTING AND				
PROCUREMENT				2103174
EXPENSES				040000
INSURANCE REG TF	-STATE	4,492-		2393 1
=====				
OFFICE OF INSURANCE REGULATION -				
IMPLEMENTATION OF HOUSE BILL 357				
(2022) - PHARMACIES AND PHARMACY				
BENEFIT MANAGERS				2103175
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	100,000-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ADDITIONAL FUNDING FOR FLORIDA				
PUBLIC HURRICANE MODEL				3000640
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF				2393 1
	-STATE	241,750		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Additional Funding for Florida Public Hurricane Model

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Public Model, housed at Florida International University (FIU), was created in 2001 to be the first public, transparent model of its kind in the United States. It is the work product of the state university system including large contributions of resources from FIU, the University of Florida, and the Florida State University.

The Office runs most residential rate filings through the Public Model. Every assumption and method of the Public Model is open to public inspection. This gives greater transparency to the rate review process. Private models are proprietary and therefore the calculations and methodologies they use are trade secret or what the industry calls, inside a "black box."

The Legislature pursued the creation of a Public Model in order to bring the rate making process into the sunshine and create consumer confidence by making the process transparent. Without the Public Model, the sole objective basis for rate determinations would be the insurer's own selected private model. The Public Model gives the Office the ability to determine the propriety of the reinsurance factors independent of the model used in rate filings in many instances. Without it, rate filings may need to be litigated, insurance companies may not be able to get needed rate increases and policyholders may not receive deserved rate reductions. Additionally, Section 627.351(6)(n), Florida Statutes as enacted in 2009 requires that the Public Model serve as the minimum benchmark for determining the windstorm portion of the rates for Citizens Property Insurance Corporation.

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2023-24	POS	AGY REQ N/R FY 2023-24	POS	AG REQ ANZ FY 2023-24	POS	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
ADDITIONAL FUNDING FOR FLORIDA						
PUBLIC HURRICANE MODEL						3000640

FIU is under contract with the Office to continue to develop, maintain and support the Public Model and to provide model related services to the Office, including, but not limited to: running insurance policy file data through the Model, generating annual average loss cost estimates, and providing output reports to the Office.

In addition, OIR conducts an annual Catastrophe Stress Test of Florida homeowners' insurers to evaluate their ability to withstand the financial impact of a series of catastrophic events. The Florida Public Hurricane Model maintained by Florida International University (FIU) runs the Catastrophe Stress Test for OIR under its existing contract, which now provides for 500 stress tests annually.

Additional funding for the Model is requested by FIU to hire a full-time computer scientist for the FPHLM project. The Florida Public Hurricane Loss Model is a complex computer software program consisting of over 150,000 lines of code and representing multidisciplinary components in domains such as meteorology, engineering, statistics, GIS, hydrology, storm surge, and actuarial sciences. It uses over 50 servers and numerous databases and has an extensive computational platform that integrates the many components.

In addition to providing hurricane loss modeling service to the Office of Insurance Regulation for regulatory purposes, the Model also conduct stress test on about seventy companies. The project has also been adding components to the wind and flood loss models. Furthermore, every two years, the Model must be recertified by the Florida Commission on Hurricane Loss Projection Methodology. This requires upgrading the model and perform numerous Model runs and conduct many dozens of tests, along with producing copious amount of forms and outputs, in order to meet the revised standards of Commission. Thus, the model requires increasing number of computer scientists to develop, maintain, operate, upgrade, and certify the model. FIU is short of personnel and is currently relying mostly on part time computer scientists and graduate and undergraduate students. Also, FIU cannot retain full time computer scientists and hire new personnel with the salaries that are significantly below what the tech industry offers and therefore cannot be sustained.

The impact of not receiving this additional funding will significantly affect FIU's ability to provide quality modeling service and to improve the model and therefore, jeopardize the ability to get certified by the Florida Commission on Hurricane Loss Projection Methodology.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ANALYSTS - ADD				3002A40
SALARY RATE				000000
SALARY RATE.....	6,360,000			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	112.00			
-STATE	9,164,007			2393 1
	=====	=====	=====	
TOTAL: OFFICE OF INSURANCE REGULATION -				3002A40
RECRUITMENT AND RETENTION FOR				
ANALYSTS - ADD				
TOTAL POSITIONS.....	112.00			
TOTAL ISSUE.....	9,164,007			
TOTAL SALARY RATE.....	6,360,000			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Additional Funding for Recruitment and Retention for Analysts - ADD

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.
 Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of all regulated entities through the use of internal financial analysis and on-site examinations. The Office is also responsible for the admissions process for new entities as well as those proposing to expand into additional lines of business. The Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ANALYSTS - ADD				3002A40

The business of insurance continues to grow in complexity, requiring a far more intrinsic and risk-focused review of the underwriting, rate making, examination, and financial analysis of insurers and other regulated entities. Since Florida is one of the most complex insurance markets in the world, recruitment and retention of qualified financial analysts is critical to effective solvency regulation and to the fostering of a healthy and stable market. Analyst positions within OIR are critical to the pursuit of its mission. Within the Financial Oversight and Product Review sections for both Property and Casualty and Life and Health business units, the office has more than 100 positions specifically devoted to the different aspects of the analysis of regulated entities. Many of these positions require specific qualifications based on position requirements, NAIC accreditation standards, or the complexity of the work itself. For many of these positions, there is no substitution for experience, making the retention of employees a critical issue. Analysts are housed within the following sections:

Financial Analysis section staff are responsible for conducting the ongoing monitoring of the financial condition for regulated entities, including quarterly and annual reviews of company financials to ensure compliance with Florida law.

Examinations section staff are responsible for conducting both statutorily prescribed exams of regulated entities along with targeted examinations to assure compliance and verify that accurate information has been submitted pursuant to statute and administrative rule regulation.

Applications section staff review reviews all new applicants desiring entrance to the Florida marketplace and conducts targeted reviews for a variety of circumstances, including when there are any material changes in ownership of insurers domiciled in Florida.

Rate Review section staff review rate filings by regulated entities. Rates receive rigorous review by OIR staff to determine compliance with Florida Statutes and to ensure that products are offered at a fair and adequate price, premiums are reasonable in relation to the benefits they provide, and that they do not unfairly discriminate against segments of the public. Filing requirements established under Florida law with the primary objective is to encourage carriers to keep rate changes closely aligned with underlying cost changes over time, which prevents dramatic rate changes in products for the insurance-buying public.

OIR continues to strategically review its positions and structure to ensure that it can recruit and retain top talent to fulfill its mission. However, historically, OIR has experienced difficulties recruiting and retaining qualified and talented individuals, and after OIR employees receive their training and certifications, they become of high interest to the private sector. While over 40% of OIR's vacancies are due to internal promotions, more than half of OIR's current vacancies are based on moves to the private sector, and approximately 15% are based on employees who took positions at other state agencies.

In addition to recruiting efforts from PeopleFirst, from June 2021 to June 2022, OIR participated in seven career fairs

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ ANZ				
FY 2023-24	FY 2023-24	FY 2023-24				
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ANALYSTS - ADD						3002A40

at multiple universities to attract talent for its positions. Positions are posted to the OIR website, and executive leadership continues to promote career opportunities with OIR at events. OIR also highlights positions on social media sites, including ones which specialize in recruitment, posts to industry-specific job boards, and proactively looks for other non-traditional ways to recruit talent. Additionally, as part of its retention efforts, OIR has worked to create clear lines of career growth in several key areas to provide ongoing professional development opportunities to retain talented employees.

OIR is requesting additional budget to enhance its ability to recruit and retain highly skilled and talented individuals and remain competitive by setting a higher internal base salary for critical analysis positions.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
1554 FINANCIAL EXAMINER/ANALYST I							
00620 001	1.00	42,000		28,071	70,071	0.00	70,071
1564 FINANCIAL EXAMINER/ANALYST II							
00113 001	1.00	48,000		9,389	57,389	0.00	57,389
00123 001	1.00	48,000		18,594	66,594	0.00	66,594
00251 001	1.00	48,000		23,876	71,876	0.00	71,876
00340 001	1.00	48,000		29,245	77,245	0.00	77,245
00347 001	1.00	48,000		23,876	71,876	0.00	71,876
00383 001	1.00	48,000		29,245	77,245	0.00	77,245
00450 001	1.00	48,000		23,876	71,876	0.00	71,876
00502 001	1.00	48,000		23,876	71,876	0.00	71,876
00530 001	1.00	48,000		18,594	66,594	0.00	66,594
00601 001	1.00	48,000		23,876	71,876	0.00	71,876
00613 001	1.00	48,000		18,594	66,594	0.00	66,594
00728 001	1.00	48,000		9,432	57,432	0.00	57,432
00822 001	1.00	48,000		23,876	71,876	0.00	71,876
00826 001	1.00	48,000		23,876	71,876	0.00	71,876

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ANALYSTS - ADD						3002A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
00828 001	1.00	48,000		18,594	66,594	0.00	66,594
00889 001	1.00	48,000		23,876	71,876	0.00	71,876
01163 001	1.00	48,000		18,594	66,594	0.00	66,594
01165 001	1.00	48,000		29,245	77,245	0.00	77,245
01266 001	1.00	48,000		23,876	71,876	0.00	71,876
01267 001	1.00	48,000		23,876	71,876	0.00	71,876
01378 001	1.00	48,000		18,594	66,594	0.00	66,594
01379 001	1.00	48,000		9,389	57,389	0.00	57,389
01384 001	1.00	48,000		18,594	66,594	0.00	66,594
01387 001	1.00	48,000		23,876	71,876	0.00	71,876
01397 001	1.00	48,000		23,876	71,876	0.00	71,876
01398 001	1.00	48,000		29,245	77,245	0.00	77,245
01401 001	1.00	48,000		23,876	71,876	0.00	71,876
01406 001	1.00	48,000		23,876	71,876	0.00	71,876
01624 001	1.00	48,000		9,432	57,432	0.00	57,432
1566 FINANCIAL SPECIALIST							
00100 001	1.00	52,000		19,376	71,376	0.00	71,376
00186 001	1.00	52,000		30,027	82,027	0.00	82,027
00273 001	1.00	52,000		30,027	82,027	0.00	82,027
00448 001	1.00	52,000		24,658	76,658	0.00	76,658
00541 001	1.00	52,000		19,376	71,376	0.00	71,376
00548 001	1.00	52,000		30,027	82,027	0.00	82,027
00643 001	1.00	52,000		24,658	76,658	0.00	76,658
00753 001	1.00	52,000		30,027	82,027	0.00	82,027
00772 001	1.00	52,000		19,376	71,376	0.00	71,376
00824 001	1.00	52,000		19,376	71,376	0.00	71,376
00829 001	1.00	52,000		10,214	62,214	0.00	62,214
00833 001	1.00	52,000		19,376	71,376	0.00	71,376
00834 001	1.00	52,000		30,027	82,027	0.00	82,027

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ANALYSTS - ADD						3002A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
00951 001	1.00	52,000		30,027	82,027	0.00	82,027
01158 001	1.00	52,000		19,376	71,376	0.00	71,376
01162 001	1.00	52,000		10,214	62,214	0.00	62,214
01375 001	1.00	52,000		19,376	71,376	0.00	71,376
1567 FINANCIAL CONTROL ANALYST							
00014 001	1.00	58,000		20,550	78,550	0.00	78,550
00124 001	1.00	58,000		31,201	89,201	0.00	89,201
00349 001	1.00	58,000		20,550	78,550	0.00	78,550
00522 001	1.00	58,000		31,201	89,201	0.00	89,201
00752 001	1.00	58,000		25,832	83,832	0.00	83,832
00764 001	1.00	58,000		20,550	78,550	0.00	78,550
00788 001	1.00	58,000		25,832	83,832	0.00	83,832
00940 001	1.00	58,000		20,550	78,550	0.00	78,550
01169 001	1.00	58,000		20,550	78,550	0.00	78,550
01270 001	1.00	58,000		25,832	83,832	0.00	83,832
01404 001	1.00	58,000		20,550	78,550	0.00	78,550
01431 001	1.00	58,000		25,832	83,832	0.00	83,832
01445 001	1.00	58,000		20,550	78,550	0.00	78,550
01669 001	1.00	58,000		20,550	78,550	0.00	78,550
01671 001	1.00	58,000		31,201	89,201	0.00	89,201
01764 001	1.00	58,000		31,201	89,201	0.00	89,201
3142 RESEARCH & STATISTICS CONSULTANT							
00243 001	1.00	45,000		23,290	68,290	0.00	68,290
00900 001	1.00	45,000		8,846	53,846	0.00	53,846
01180 001	1.00	45,000		23,290	68,290	0.00	68,290
01437 001	1.00	45,000		28,659	73,659	0.00	73,659
02049 001	1.00	45,000		18,008	63,008	0.00	63,008
02097 001	1.00	45,000		18,008	63,008	0.00	63,008
3518 INSURANCE ANALYST II							

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PGM: FINANCIAL SVCS COMM						43000000
OFFICE OF INSURANCE REG						43900000
COMP & ENFORCE- INSURANCE						43900100
PUBLIC PROTECTION						43900110
REGULATION AND LICENSING						12
WORKLOAD						<u>1204.00.00.00</u>
OFFICE OF INSURANCE REGULATION -						3000000
RECRUITMENT AND RETENTION FOR						
ANALYSTS - ADD						3002A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
00519 001	1.00	42,000		17,420	59,420	0.00	59,420
01374 001	1.00	42,000		28,071	70,071	0.00	70,071
3553 ACTUARIAL ANALYST							
00657 001	1.00	45,000		18,008	63,008	0.00	63,008
00738 001	1.00	45,000		23,290	68,290	0.00	68,290
00942 001	1.00	45,000		18,008	63,008	0.00	63,008
00987 001	1.00	45,000		8,846	53,846	0.00	53,846
01137 001	1.00	45,000		18,008	63,008	0.00	63,008
01226 001	1.00	45,000		28,659	73,659	0.00	73,659
8701 SENIOR ACTUARIAL ANALYST							
00001 001	1.00	72,000		23,288	95,288	0.00	95,288
00704 001	1.00	72,000		23,288	95,288	0.00	95,288
01122 001	1.00	72,000		33,939	105,939	0.00	105,939
01381 001	1.00	72,000		23,288	95,288	0.00	95,288
01562 001	1.00	72,000		33,939	105,939	0.00	105,939
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
00031 001	1.00	65,000		34,397	99,397	0.00	99,397
00217 001	1.00	65,000		34,397	99,397	0.00	99,397
00261 001	1.00	65,000		34,397	99,397	0.00	99,397
00274 001	1.00	65,000		34,397	99,397	0.00	99,397
00399 001	1.00	65,000		28,378	93,378	0.00	93,378
00442 001	1.00	65,000		22,445	87,445	0.00	87,445
00661 001	1.00	65,000		34,397	99,397	0.00	99,397
00783 001	1.00	65,000		34,397	99,397	0.00	99,397
00830 001	1.00	65,000		22,445	87,445	0.00	87,445
00885 001	1.00	65,000		34,397	99,397	0.00	99,397
00886 001	1.00	65,000		22,445	87,445	0.00	87,445
00888 001	1.00	65,000		34,397	99,397	0.00	99,397
00936 001	1.00	65,000		34,397	99,397	0.00	99,397

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ANALYSTS - ADD						3002A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
01160 001	1.00	65,000		22,445	87,445	0.00	87,445
01276 001	1.00	65,000		34,397	99,397	0.00	99,397
01372 001	1.00	65,000		34,397	99,397	0.00	99,397
01388 001	1.00	65,000		23,590	88,590	0.00	88,590
01563 001	1.00	65,000		34,397	99,397	0.00	99,397
1587 FINANCIAL ADMINISTRATOR - SES							
00209 001	1.00	80,000		25,384	105,384	0.00	105,384
00505 001	1.00	80,000		37,336	117,336	0.00	117,336
00693 001	1.00	80,000		31,317	111,317	0.00	111,317
00766 001	1.00	80,000		25,384	105,384	0.00	105,384
00827 001	1.00	80,000		37,336	117,336	0.00	117,336
00899 001	1.00	80,000		37,336	117,336	0.00	117,336
00960 001	1.00	80,000		37,336	117,336	0.00	117,336
01564 001	1.00	80,000		37,336	117,336	0.00	117,336
01634 001	1.00	80,000		37,336	117,336	0.00	117,336
01761 001	1.00	80,000		37,336	117,336	0.00	117,336
01823 001	1.00	80,000		25,384	105,384	0.00	105,384
02098 001	1.00	80,000		37,336	117,336	0.00	117,336
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							9,164,007
	112.00	6,360,000		2,804,007	9,164,007		9,164,007

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
ADDITIONAL OTHER PERSONAL SERVICES				
(OPS) AUTHORITY				3002090
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE	400,000			2393 1

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Additional Funding for Other Personal Services (OPS)

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of all regulated entities through the use of internal financial analysis and on-site examinations. The Office is also responsible for the admissions process for new entities as well as those proposing to expand into additional lines of business. The Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates.

The Office continues to prioritize career paths and training for employees, starting with internships. Internships have been successful, along with being critical, to help provide additional support to most of the business units within the Office. The Office works and trains with the interns in the transition to hiring them as full-time employees. Given that Tallahassee is home to two major universities which boast nationally recognized risk management programs, the Office is looking to enhance its internship opportunities by participating in the Career Fairs that Florida State University and FAMU offers. The Office also participates in other college career fairs outside of Tallahassee.

This additional funding is needed to assist the Office in the continuing hiring of interns. Currently, there are about 10 college interns and the Office has a goal of at least doubling the number of interns to assist the Office. Due to the success of hiring interns and then hiring them as full-time employees, this has also assisted with lowering the vacancy rate of the Office.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ANALYSTS - DEDUCT				3003A40
SALARY RATE				000000
SALARY RATE.....	5,657,284-			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	112.00-			
-STATE	7,959,245-			2393 1
	=====	=====	=====	
TOTAL: OFFICE OF INSURANCE REGULATION -				3003A40
RECRUITMENT AND RETENTION FOR				
ANALYSTS - DEDUCT				
TOTAL POSITIONS.....	112.00-			
TOTAL ISSUE.....	7,959,245-			
TOTAL SALARY RATE.....	5,657,284-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Additional Funding for Recruitment and Retention for Analysts - DEDUCT

Reference to Long-Range Program Plan:

- Goal 2: Protect the public from illegal, unethical insurance products and services.
- Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of all regulated entities through the use of internal financial analysis and on-site examinations. The Office is also responsible for the admissions process for new entities as well as those proposing to expand into additional lines of business. The Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ANALYSTS - DEDUCT				3003A40

The business of insurance continues to grow in complexity, requiring a far more intrinsic and risk-focused review of the underwriting, rate making, examination, and financial analysis of insurers and other regulated entities. Since Florida is one of the most complex insurance markets in the world, recruitment and retention of qualified financial analysts is critical to effective solvency regulation and to the fostering of a healthy and stable market. Analyst positions within OIR are critical to the pursuit of its mission. Within the Financial Oversight and Product Review sections for both Property and Casualty and Life and Health business units, the office has more than 100 positions specifically devoted to the different aspects of the analysis of regulated entities. Many of these positions require specific qualifications based on position requirements, NAIC accreditation standards, or the complexity of the work itself. For many of these positions, there is no substitution for experience, making the retention of employees a critical issue. Analysts are housed within the following sections:

Financial Analysis section staff are responsible for conducting the ongoing monitoring of the financial condition for regulated entities, including quarterly and annual reviews of company financials to ensure compliance with Florida law.

Examinations section staff are responsible for conducting both statutorily prescribed exams of regulated entities along with targeted examinations to assure compliance and verify that accurate information has been submitted pursuant to statute and administrative rule regulation.

Applications section staff review reviews all new applicants desiring entrance to the Florida marketplace and conducts targeted reviews for a variety of circumstances, including when there are any material changes in ownership of insurers domiciled in Florida.

Rate Review section staff review rate filings by regulated entities. Rates receive rigorous review by OIR staff to determine compliance with Florida Statutes and to ensure that products are offered at a fair and adequate price, premiums are reasonable in relation to the benefits they provide, and that they do not unfairly discriminate against segments of the public. Filing requirements established under Florida law with the primary objective is to encourage carriers to keep rate changes closely aligned with underlying cost changes over time, which prevents dramatic rate changes in products for the insurance-buying public.

OIR continues to strategically review its positions and structure to ensure that it can recruit and retain top talent to fulfill its mission. However, historically, OIR has experienced difficulties recruiting and retaining qualified and talented individuals, and after OIR employees receive their training and certifications, they become of high interest to the private sector. While over 40% of OIR's vacancies are due to internal promotions, more than half of OIR's current vacancies are based on moves to the private sector, and approximately 15% are based on employees who took positions at other state agencies.

In addition to recruiting efforts from PeopleFirst, from June 2021 to June 2022, OIR participated in seven career fairs

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ANALYSTS - DEDUCT				3003A40

at multiple universities to attract talent for its positions. Positions are posted to the OIR website, and executive leadership continues to promote career opportunities with OIR at events. OIR also highlights positions on social media sites, including ones which specialize in recruitment, posts to industry-specific job boards, and proactively looks for other non-traditional ways to recruit talent. Additionally, as part of its retention efforts, OIR has worked to create clear lines of career growth in several key areas to provide ongoing professional development opportunities to retain talented employees.

OIR is requesting additional budget to enhance its ability to recruit and retain highly skilled and talented individuals and remain competitive by setting a higher internal base salary for critical analysis positions.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1554 FINANCIAL EXAMINER/ANALYST I							
00620 001	1.00-	34,456-		26,596-	61,052-	0.00	61,052-
1564 FINANCIAL EXAMINER/ANALYST II							
00113 001	1.00-	43,151-		8,440-	51,591-	0.00	51,591-
00123 001	1.00-	43,151-		17,645-	60,796-	0.00	60,796-
00251 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
00340 001	1.00-	43,151-		28,296-	71,447-	0.00	71,447-
00347 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
00383 001	1.00-	45,711-		28,797-	74,508-	0.00	74,508-
00450 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
00502 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
00530 001	1.00-	45,711-		18,146-	63,857-	0.00	63,857-
00601 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
00613 001	1.00-	43,151-		17,645-	60,796-	0.00	60,796-
00728 001	1.00-	43,151-		8,483-	51,634-	0.00	51,634-
00822 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
00826 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ANALYSTS - DEDUCT						3003A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
00828 001	1.00-	45,711-		18,146-	63,857-	0.00	63,857-
00889 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01163 001	1.00-	45,711-		18,146-	63,857-	0.00	63,857-
01165 001	1.00-	43,151-		28,296-	71,447-	0.00	71,447-
01266 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01267 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01378 001	1.00-	47,230-		18,443-	65,673-	0.00	65,673-
01379 001	1.00-	45,313-		8,863-	54,176-	0.00	54,176-
01384 001	1.00-	43,151-		17,645-	60,796-	0.00	60,796-
01387 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01397 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01398 001	1.00-	45,313-		28,719-	74,032-	0.00	74,032-
01401 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01406 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01624 001	1.00-	43,151-		8,483-	51,634-	0.00	51,634-
1566 FINANCIAL SPECIALIST							
00100 001	1.00-	48,488-		18,689-	67,177-	0.00	67,177-
00186 001	1.00-	49,574-		29,552-	79,126-	0.00	79,126-
00273 001	1.00-	50,008-		29,638-	79,646-	0.00	79,646-
00448 001	1.00-	45,848-		8,967-	54,815-	0.00	54,815-
00541 001	1.00-	48,488-		18,689-	67,177-	0.00	67,177-
00548 001	1.00-	48,488-		29,340-	77,828-	0.00	77,828-
00643 001	1.00-	45,848-		8,967-	54,815-	0.00	54,815-
00753 001	1.00-	48,488-		29,340-	77,828-	0.00	77,828-
00772 001	1.00-	48,468-		18,686-	67,154-	0.00	67,154-
00824 001	1.00-	48,488-		18,689-	67,177-	0.00	67,177-
00829 001	1.00-	47,112-		9,258-	56,370-	0.00	56,370-
00833 001	1.00-	45,848-		18,172-	64,020-	0.00	64,020-
00834 001	1.00-	50,044-		29,644-	79,688-	0.00	79,688-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ANALYSTS - DEDUCT						3003A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
00951 001	1.00-	49,574-		29,552-	79,126-	0.00	79,126-
01158 001	1.00-	47,112-		18,420-	65,532-	0.00	65,532-
01162 001	1.00-	48,488-		9,527-	58,015-	0.00	58,015-
01375 001	1.00-	45,848-		18,172-	64,020-	0.00	64,020-
1567 FINANCIAL CONTROL ANALYST							
00014 001	1.00-	51,608-		19,300-	70,908-	0.00	70,908-
00124 001	1.00-	50,141-		29,664-	79,805-	0.00	79,805-
00349 001	1.00-	52,100-		19,396-	71,496-	0.00	71,496-
00522 001	1.00-	48,876-		29,416-	78,292-	0.00	78,292-
00752 001	1.00-	48,876-		9,560-	58,436-	0.00	58,436-
00764 001	1.00-	48,876-		18,765-	67,641-	0.00	67,641-
00788 001	1.00-	48,876-		9,560-	58,436-	0.00	58,436-
00940 001	1.00-	52,100-		19,396-	71,496-	0.00	71,496-
01169 001	1.00-	50,141-		19,013-	69,154-	0.00	69,154-
01270 001	1.00-	48,876-		9,560-	58,436-	0.00	58,436-
01404 001	1.00-	52,100-		19,396-	71,496-	0.00	71,496-
01431 001	1.00-	48,876-		9,560-	58,436-	0.00	58,436-
01445 001	1.00-	48,876-		18,765-	67,641-	0.00	67,641-
01669 001	1.00-	52,100-		19,396-	71,496-	0.00	71,496-
01671 001	1.00-	52,100-		30,047-	82,147-	0.00	82,147-
01764 001	1.00-	55,535-		30,718-	86,253-	0.00	86,253-
3142 RESEARCH & STATISTICS CONSULTANT							
00243 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
00900 001	1.00-	42,152-		8,288-	50,440-	0.00	50,440-
01180 001	1.00-	40,740-		7,969-	48,709-	0.00	48,709-
01437 001	1.00-	43,633-		28,391-	72,024-	0.00	72,024-
02049 001	1.00-	42,152-		17,450-	59,602-	0.00	59,602-
02097 001	1.00-	42,152-		17,450-	59,602-	0.00	59,602-
3518 INSURANCE ANALYST II							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ANALYSTS - DEDUCT				3003A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
00519 001	1.00-	37,009-		16,444-	53,453-	0.00	53,453-
01374 001	1.00-	40,071-		27,693-	67,764-	0.00	67,764-
3553 ACTUARIAL ANALYST							
00657 001	1.00-	42,152-		17,450-	59,602-	0.00	59,602-
00738 001	1.00-	34,456-		6,740-	41,196-	0.00	41,196-
00942 001	1.00-	42,152-		17,450-	59,602-	0.00	59,602-
00987 001	1.00-	42,152-		8,288-	50,440-	0.00	50,440-
01137 001	1.00-	42,152-		17,450-	59,602-	0.00	59,602-
01226 001	1.00-	43,206-		28,307-	71,513-	0.00	71,513-
8701 SENIOR ACTUARIAL ANALYST							
00001 001	1.00-	54,975-		19,959-	74,934-	0.00	74,934-
00704 001	1.00-	52,860-		19,545-	72,405-	0.00	72,405-
01122 001	1.00-	70,552-		33,656-	104,208-	0.00	104,208-
01381 001	1.00-	52,860-		19,545-	72,405-	0.00	72,405-
01562 001	1.00-	62,498-		32,081-	94,579-	0.00	94,579-
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
00031 001	1.00-	58,249-		33,073-	91,322-	0.00	91,322-
00217 001	1.00-	58,249-		33,073-	91,322-	0.00	91,322-
00261 001	1.00-	55,535-		32,541-	88,076-	0.00	88,076-
00274 001	1.00-	61,908-		33,790-	95,698-	0.00	95,698-
00399 001	1.00-	48,876-		9,580-	58,456-	0.00	58,456-
00442 001	1.00-	55,535-		20,589-	76,124-	0.00	76,124-
00661 001	1.00-	59,563-		33,331-	92,894-	0.00	92,894-
00783 001	1.00-	60,735-		33,561-	94,296-	0.00	94,296-
00830 001	1.00-	58,701-		21,210-	79,911-	0.00	79,911-
00885 001	1.00-	58,701-		33,162-	91,863-	0.00	91,863-
00886 001	1.00-	55,535-		20,589-	76,124-	0.00	76,124-
00888 001	1.00-	60,735-		33,561-	94,296-	0.00	94,296-
00936 001	1.00-	58,701-		33,162-	91,863-	0.00	91,863-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ANALYSTS - DEDUCT						3003A40

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

01160 001	1.00-	54,271-	20,342-	74,613-	0.00	74,613-
01276 001	1.00-	59,698-	33,357-	93,055-	0.00	93,055-
01372 001	1.00-	61,528-	33,716-	95,244-	0.00	95,244-
01388 001	1.00-	54,798-	21,589-	76,387-	0.00	76,387-
01563 001	1.00-	58,249-	33,073-	91,322-	0.00	91,322-
1587 FINANCIAL ADMINISTRATOR - SES						
00209 001	1.00-	65,125-	22,469-	87,594-	0.00	87,594-
00505 001	1.00-	75,979-	36,548-	112,527-	0.00	112,527-
00693 001	1.00-	59,169-	11,597-	70,766-	0.00	70,766-
00766 001	1.00-	75,979-	24,596-	100,575-	0.00	100,575-
00827 001	1.00-	71,274-	35,626-	106,900-	0.00	106,900-
00899 001	1.00-	63,228-	34,049-	97,277-	0.00	97,277-
00960 001	1.00-	73,766-	36,115-	109,881-	0.00	109,881-
01564 001	1.00-	78,693-	37,080-	115,773-	0.00	115,773-
01634 001	1.00-	63,228-	34,049-	97,277-	0.00	97,277-
01761 001	1.00-	75,979-	36,548-	112,527-	0.00	112,527-
01823 001	1.00-	73,766-	24,163-	97,929-	0.00	97,929-
02098 001	1.00-	75,874-	36,528-	112,402-	0.00	112,402-

TOTALS FOR ISSUE BY FUND
 2393 INSURANCE REG TF

112.00-	5,657,284-	2,301,961-	7,959,245-	7,959,245-
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	249.00			
TRUST FUNDS.....		34,307,207		2000
SALARY RATE.....	15,671,995			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,096,329			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	33.00			
-STATE	2,965,633			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	118,543			2393 1
-STATE				
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	92,710			2393 1
-STATE				
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	6,614			2393 1
-STATE				
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	9,864			2393 1
-STATE				
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	33.00			
TOTAL ISSUE.....	3,193,364			
TOTAL SALARY RATE.....	2,096,329			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
EXEC DIR & SUPORT SERVICES				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	117,335			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	143,914			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	143,914			
TOTAL SALARY RATE.....	117,335			
	=====	=====	=====	
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	3,259			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	3,896			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	3,896			
TOTAL SALARY RATE.....	3,259			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	28,893		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,064		2393 1
=====				
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ATTORNEYS - ADD				3002A10
SALARY RATE				000000
SALARY RATE.....		885,000		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	12.00	1,248,879	2393 1
=====				
TOTAL: OFFICE OF INSURANCE REGULATION -				3002A10
RECRUITMENT AND RETENTION FOR				
ATTORNEYS - ADD				
TOTAL POSITIONS.....		12.00		
TOTAL ISSUE.....			1,248,879	
TOTAL SALARY RATE.....		885,000		
=====				

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulation - Executive Direction

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ATTORNEYS - ADD				3002A10

Issue Title: Additional Funding for Recruitment and Retention for Attorney's - ADD

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of all regulated entities through the use of internal financial analysis and on-site examinations. The Office is also responsible for the admissions process for new entities as well as those proposing to expand into additional lines of business. The Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates.

OIR's Legal Services Office provides legal counsel to the Insurance Commissioner and Executive Staff and provides regulatory and legal support to the various units of OIR. Lawyers for OIR handle complex regulatory transactions and administrative matters, handle litigation in state and federal court, informal administrative hearings, and hearings at the Division of Administrative Hearings. The Legal Services Office also reviews personnel matters, public records requests, drafts and reviews contracts and procurements, reviews applications for new companies, works with the analysts and examiners on regulatory issues, and handles the issuance of rules, orders, and other legal documents.

OIR needs sufficient resources to recruit and retain experienced attorneys capable of handling complex issues, both financial and legal, and skilled in the unique aspects of insurance regulation. Recently, experienced attorneys have left for higher salaries at other agencies or have taken opportunities to work in the private sector. Over the last 18 months, OIR's Senior Attorney turnover rate reached 58%. To fill a vacancy, it takes several months to recruit an attorney and nearly a year to train them in all aspects of the position. During the recent recruiting process, advertisements would only generate about 3-5 qualified applicants with highly qualified applicants accepting positions at other agencies for higher salaries.

To keep the existing qualified staff and to recruit experienced staff when necessary, OIR is requesting additional rate to raise our current Senior Attorneys to at least \$75,000 and our current Attorney to \$60,000.

Retaining our current legal staff and attracting new attorneys is critical to the proper application of the insurance

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
EXEC DIR & SUPORT SERVICES						43900120
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF INSURANCE REGULATION -						
RECRUITMENT AND RETENTION FOR						
ATTORNEYS - ADD						3002A10

laws of the state of Florida. In the last 24 months, OIR has lost a significant amount of legal insurance regulatory knowledge and have had to spend a substantial amount of time recruiting and training new attorneys with limited relevant experience. Therefore, additional rate would provide the flexibility to retain experienced attorneys and attract qualified attorneys to handle important insurance regulatory matters.

 POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
7736 ATTORNEY							
01407 001	1.00	60,000		33,416	93,416	0.00	93,416
7738 SENIOR ATTORNEY							
00486 001	1.00	75,000		24,405	99,405	0.00	99,405
00494 001	1.00	75,000		24,405	99,405	0.00	99,405
00543 001	1.00	75,000		36,357	111,357	0.00	111,357
00564 001	1.00	75,000		14,701	89,701	0.00	89,701
00634 001	1.00	75,000		24,405	99,405	0.00	99,405
00955 001	1.00	75,000		36,357	111,357	0.00	111,357
01092 001	1.00	75,000		36,357	111,357	0.00	111,357
01363 001	1.00	75,000		36,357	111,357	0.00	111,357
01672 001	1.00	75,000		24,405	99,405	0.00	99,405
01673 001	1.00	75,000		36,357	111,357	0.00	111,357
01760 001	1.00	75,000		36,357	111,357	0.00	111,357
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							1,248,879
	12.00	885,000		363,879	1,248,879		1,248,879

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
EXEC DIR & SUPORT SERVICES				43900120
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ATTORNEYS - DEDUCT				3003A50
SALARY RATE				000000
SALARY RATE.....	776,144-			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	12.00-			
-STATE	1,097,026-			2393 1
=====				
TOTAL: OFFICE OF INSURANCE REGULATION -				3003A50
RECRUITMENT AND RETENTION FOR				
ATTORNEYS - DEDUCT				
TOTAL POSITIONS.....	12.00-			
TOTAL ISSUE.....	1,097,026-			
TOTAL SALARY RATE.....	776,144-			
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Executive Direction

Issue Title: Additional Funding for Recruitment and Retention for Attorney's - DEDUCT

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of all regulated entities through the use of internal financial analysis and on-site examinations. The Office is also responsible for the admissions process for new entities as well as those proposing to expand into additional lines of business. The Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
RECRUITMENT AND RETENTION FOR				
ATTORNEYS - DEDUCT				3003A50

OIR's Legal Services Office provides legal counsel to the Insurance Commissioner and Executive Staff and provides regulatory and legal support to the various units of OIR. Lawyers for OIR handle complex regulatory transactions and administrative matters, handle litigation in state and federal court, informal administrative hearings, and hearings at the Division of Administrative Hearings. The Legal Services Office also reviews personnel matters, public records requests, drafts and reviews contracts and procurements, reviews applications for new companies, works with the analysts and examiners on regulatory issues, and handles the issuance of rules, orders, and other legal documents.

OIR needs sufficient resources to recruit and retain experienced attorneys capable of handling complex issues, both financial and legal, and skilled in the unique aspects of insurance regulation. Recently, experienced attorneys have left for higher salaries at other agencies or have taken opportunities to work in the private sector. Over the last 18 months, OIR's Senior Attorney turnover rate reached 58%. To fill a vacancy, it takes several months to recruit an attorney and nearly a year to train them in all aspects of the position. During the recent recruiting process, advertisements would only generate about 3-5 qualified applicants with highly qualified applicants accepting positions at other agencies for higher salaries.

To keep the existing qualified staff and to recruit experienced staff when necessary, OIR is requesting additional rate to raise our current Senior Attorneys to at least \$75,000 and our current Attorney to \$60,000.

Retaining our current legal staff and attracting new attorneys is critical to the proper application of the insurance laws of the state of Florida. In the last 24 months, OIR has lost a significant amount of legal insurance regulatory knowledge and have had to spend a substantial amount of time recruiting and training new attorneys with limited relevant experience. Therefore, additional rate would provide the flexibility to retain experienced attorneys and attract qualified attorneys to handle important insurance regulatory matters.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PGM: FINANCIAL SVCS COMM						43000000
OFFICE OF INSURANCE REG						43900000
EXEC DIR & SUPORT SERVICES						43900100
PUBLIC PROTECTION						43900120
REGULATION AND LICENSING						12
WORKLOAD						1204.00.00.00
OFFICE OF INSURANCE REGULATION -						3000000
RECRUITMENT AND RETENTION FOR						
ATTORNEYS - DEDUCT						3003A50

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7736 ATTORNEY							
01407 001	1.00-	41,187-		8,073-	49,260-	0.00	49,260-
7738 SENIOR ATTORNEY							
00486 001	1.00-	69,583-		23,342-	92,925-	0.00	92,925-
00494 001	1.00-	68,497-		23,130-	91,627-	0.00	91,627-
00543 001	1.00-	68,497-		35,082-	103,579-	0.00	103,579-
00564 001	1.00-	70,605-		13,839-	84,444-	0.00	84,444-
00634 001	1.00-	65,336-		22,511-	87,847-	0.00	87,847-
00955 001	1.00-	54,405-		32,320-	86,725-	0.00	86,725-
01092 001	1.00-	63,228-		34,049-	97,277-	0.00	97,277-
01363 001	1.00-	69,315-		35,242-	104,557-	0.00	104,557-
01672 001	1.00-	68,497-		23,130-	91,627-	0.00	91,627-
01673 001	1.00-	68,497-		35,082-	103,579-	0.00	103,579-
01760 001	1.00-	68,497-		35,082-	103,579-	0.00	103,579-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							1,097,026-
12.00-		776,144-		320,882-	1,097,026-		1,097,026-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	33.00			
TRUST FUNDS.....		3,522,984		2000
SALARY RATE.....		2,325,779		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	7,264,961			
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	95.00	9,639,259		2275 1
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF -STATE	862,920			2275 1
EXPENSES				040000
FINANCIAL INST REG TF -STATE	1,547,653			2275 1
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF -STATE	34,130			2275 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF -STATE	367,012			2275 1
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF -STATE	36,039			2275 1
LEASE/PURCHASE/EQUIPMENT				105281
FINANCIAL INST REG TF -STATE	28,872			2275 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF -STATE	31,802			2275 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	95.00			
TOTAL ISSUE.....	12,547,687			
TOTAL SALARY RATE.....	7,264,961			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF -STATE	3,966-			2275 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	388,670			
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	460,803			2275 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	460,803			
TOTAL SALARY RATE.....	388,670			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF	-STATE	14,044		2275 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF	-STATE	71,422		2275 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF	-STATE	3,429		2275 1
=====				
PROGRAM REDUCTIONS				33V0000
REDUCE ADMINISTRATIVE SUPPORT				
POSITION				33V2500
SALARY RATE				000000
SALARY RATE.....		33,686-		
=====				
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF	-STATE	1.00-	49,993-	2275 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
PROGRAM REDUCTIONS				33V0000
REDUCE ADMINISTRATIVE SUPPORT				
POSITION				33V2500
EXPENSES				040000
FINANCIAL INST REG TF	-STATE	1,800-		2275 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF	-STATE	306-		2275 1
=====				
TOTAL: REDUCE ADMINISTRATIVE SUPPORT				33V2500
POSITION				
TOTAL POSITIONS.....	1.00-			
TOTAL ISSUE.....		52,099-		
TOTAL SALARY RATE.....	33,686-			
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

REDUCE ADMINISTRATIVE SUPPORT POSITION

Priority #307

Reference to Long-Range Program Plan:
 Goal #1: Improve Taxpayer Value

The Office of Financial Regulation (OFR or Office) - Division of Financial Institutions (Division) seeks to reduce one (1) administrative FTE from the Division of Financial Institutions. The increase in efficiency gained through telework, combined with other initiatives undertaken by the OFR have resulted in this position no longer being needed. The OFR would like to offer this position as an offset against the new positions being requested by the Office.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
PROGRAM REDUCTIONS				33V0000
REDUCE ADMINISTRATIVE SUPPORT				
POSITION				33V2500

Given the ongoing re-organization of the OFR under Commissioner Weigel, with: a streamlined approach for administrative tasks through the Office of General Counsel (support services for mail processing and routing); the Chief Operating Office (HR Functions and Regional Administrative Assistants); the utilization of new technology to be able to answer phone calls by utilizing the VoIP phone system; the number of financial institutions declining over the last 10 years; and, the improvement of the condition of financial institutions the volume of work is not sufficient to support multiple administrative assistant positions in the Division.

Detail of Costs:

SALARIES and BENEFITS (010000):

FTE	Description	Salary Amount	Salary Rate
-----	-----	-----	-----
(1)	Deduct Salaries and Benefits	(\$40,318)	(34,115)

expenses (040000):

Description	Amount
-----	-----
Deduct Salaries and Benefits	(\$1,800)

TR/DMS/HR SVCS/STW CONTRCT (107040):

Description	Amount
-----	-----
Deduct Salaries and Benefits	(\$306)

=====
 Issue Total: (\$52,099) (34,115)

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
PROGRAM REDUCTIONS						33V0000
REDUCE ADMINISTRATIVE SUPPORT						
POSITION						33V2500

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0714 ADMINISTRATIVE ASSISTANT III - SES							
04200 001	1.00-	33,686-		16,307-	49,993-	0.00	49,993-
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							49,993-
	1.00-	33,686-		16,307-	49,993-		49,993-

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	94.00						
SALARY RATE.....		13,041,320					2000
		7,619,945					

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,695,832			
SALARIES AND BENEFITS				010000
	44.00			
ADMINISTRATIVE TRUST FUND -STATE	3,651,320			2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	5,375			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	466,074			2021 1
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	20,600			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	36,354			2021 1
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	16,518			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	15,809			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	17,057			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	44.00			
TOTAL ISSUE.....	4,229,107			
TOTAL SALARY RATE.....	2,695,832			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	1,662-			2021 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	143,531			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	170,290			2021 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	170,290			
TOTAL SALARY RATE.....	143,531			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	87			2021 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	27,401			2021 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,839			2021 1
=====				
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - EMERGENT				
FINANCIAL CRIMES UNIT				3003A10
SALARY RATE				000000
SALARY RATE.....	408,000			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	6.00			2021 1
ADMINISTRATIVE TRUST FUND -STATE	606,942			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - EMERGENT				
FINANCIAL CRIMES UNIT				3003A10
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	37,752	26,952		2021 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,831			2021 1
=====				
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A10
FINANCIAL INVESTIGATIONS - EMERGENT				
FINANCIAL CRIMES UNIT				
TOTAL POSITIONS.....	6.00			
TOTAL ISSUE.....	646,525	26,952		
TOTAL SALARY RATE.....	408,000			
=====				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - FINANCIAL INVESTIGATIONS - EMERGENT FINANCIAL CRIMES UNIT

Priority #304

Reference to Long-Range Program Plan:

Goal #1: Improve Taxpayer Value

Goal #2: Deliver Value to Businesses

Goal #3: Promote a Safe and Sound Financial Marketplace

Financial Investigations- Emergent Financial Crimes Unit

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - EMERGENT				
FINANCIAL CRIMES UNIT				3003A10

The Office of Financial Regulation (OFR or Office), Bureau of Financial Investigations (BFI or Bureau) is working to further pursue emerging, ongoing and undiscovered financial crimes against the citizens of Florida by establishing a dedicated Emergent Financial Crimes Unit. This Special Investigation Unit (EFCU) will specialize in proactive investigation of financial crimes and undercover investigations, including, but not limited to financial crimes perpetrated online (via Internet). The staff will be specially trained civil and criminal investigators with significant technical, technological, analytical, investigative, and financial skills capable of working proactively to identify fraudulent and predatory financial schemes and prevent victimization of Floridians. The EFCU will, among other things, proactively search for: unlawful investment solicitations; fraudulent and/or unregistered securities and investment schemes (including fraudulent cryptocurrency initial coin offerings and bogus trading schemes); predatory lending and advance fee schemes; and websites offering unlicensed and/or fake banking and other financial services.

In making these proactive inquiries, the EFCU will seek to uncover evidence of unlawful advertisements and public solicitations involving potentially ongoing schemes that are being promoted by various means, including: online platforms, social media, radio, television, video or print media; and other internet websites and chat rooms. Once such advertisements or general solicitations are identified, the EFCU team will seek to expedite their investigation and may proactively engage the criminals to determine the nature and extent of the scheme. The goal of the EFCU team is to identify ongoing fraudulent schemes early and stop them before they can inflict significant financial harm to investors or other innocent victims.

This proactive model for investigations is a fundamental shift in how regulators have traditionally pursued criminals who commit financial crimes. No longer will the Office rely solely on tips and complaints to begin the investigatory process; only taking action after significant harm has been done to Florida's citizens. The EFCU will seek to open files for each suspect advertisement and then utilize, where appropriate, various undercover investigative techniques and other appropriate activities and analysis to quickly screen the offering materials and advertisements to determine whether: (1) they require further investigation; (2) they need to be prioritized for rapid enforcement actions (including potential injunctive actions seeking asset freezes and other relief); or (3) they can be closed for lack of need for further action or referred to other law enforcement agencies due to lack of jurisdiction.

The Office is a partner agency in the FDLE's Florida Fusion Center, which is charged with the gathering, processing, analyzing, and dissemination of terrorism, law enforcement, and homeland security information. Though some of the crimes that are processed by this unit may be economic, digital, or financial, those are not the primary mission of the unit. The OFR does not, and will not, duplicate the efforts of the Fusion Center, but instead will focus on financial crimes committed within the bounds of the 17 statutes where it has direct and primary regulatory enforcement responsibilities. The OFR will continue to provide information to the FDLE on all criminal activities and will continue to work in a collaborative manner to bring criminals who perpetrate crimes against Floridians to justice.

The Office also is a party to the data-sharing agreement for the Florida Financial Transaction Database. This system

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - EMERGENT				
FINANCIAL CRIMES UNIT				3003A10

tracks transactions that have already occurred and gathers information from many of the same sources used by the Bureau of Financial Investigations (U.S. Treasury's Financial Crimes Enforcement Network, for instance). The purpose of the Emergent Financial Crimes Unit will be to work proactively to uncover developing fraud schemes in the area of investments and financial services before they have a chance to fully develop, thereby minimizing the threat of systemic harm to consumers and investors in Florida's financial services marketplace. This will be achieved by expanding our technical resources, skills, and proactive investigative activities such that we can identify threats earlier and bring these criminals to justice.

Every year Floridians are the victims of millions of dollars of online and digital financial crime and countless crimes go uninvestigated due to lack of resources on the part of regulators. The everchanging digital marketplace means that criminals are developing new and more effective ways to take advantage of Floridians. By hiring investigators with the skills necessary to both understand and anticipate the trends in ongoing financial criminal activity, the OFR seeks to identify and prosecute these criminals as they launch their schemes, before serious harm can be done. This proactive approach will not only protect individuals but will make Florida a safer place to do business and promote growth in our digital economy.

Nearly all of the OFR's investment fraud cases over the past five years have had an unlawful advertising or solicitation component, including via the Internet. Of 397 cases worked by the Bureau of Financial Investigations during the most recent fiscal year (2021-22), 380 of these, or roughly 95%, have involved the use of the internet as a means of victimizing investors and consumers. Many of the purveyors of fraudulent investment opportunities hide behind telephone and internet solicitations and rarely meet their victims face to face. Even in cases where investors are not lured to an investment through the internet, they are often pointed to websites which proclaim wildly unrealistic returns in order to induce unsuspecting investors to invest. These facts are borne out even on a nationwide level. The FBI's reporting shows Florida ranks second in the nation in the total number of victims of internet crime and third in the nation in the total number of suspected perpetrators of internet crime by state. The time to build up Florida's defenses against this alarming and increasing threat to Florida's consumers, investors and economy is now.

Internet crimes represent a growing nationwide problem that does not seem to be abating. According to the FBI's Annual Internet Crime Report, for the year 2021, the FBI's Internet Crime Complaint Center received more than 847,000 complaints, representing more than \$6.9 billion in losses from internet-based schemes. The number of these complaints increased by 7% over the prior year and follows an established trend over the past five years of ever-increasing numbers.

States with similar demographics (Texas) and other foreign jurisdictions (British Columbia, Canada) have implemented similar investigative units resulting in substantial increases in their overall caseload (up to 50%). Last fiscal year, largely through the efforts of a single investigator who was designated to conduct proactive searches as contemplated for the EFCU, the Bureau was able to identify, open and review approximately 226 separate advertising/solicitation files. These resulted in the opening of 39 investigations as well as the issuance of emergency cease and desist orders

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - EMERGENT				
FINANCIAL CRIMES UNIT				3003A10

(Immediate Final Orders) being entered by the Office against 15 fake online banks purportedly operating from within Florida. As a result of the expedited investigation of these matters, the fraudulent websites were able to be quickly removed from the internet, thereby preventing harm to Florida's citizens.

The Office is requesting 6 additional FTE at a sufficient salary to hire well qualified, highly technical investigators. The total cost would be approximately \$646,525, with \$26,952 of that being non-recurring.

ISSUE SUMMARY:

This issue requests six (6) additional FTE and approximately \$646,525 in associated budget authority (Salaries, Expense, and HR) for the purpose of hiring experienced investigators with specialized skills and knowledge in online and digital crimes. The class code for the positions is anticipated to be 8326 - FINANCIAL INVESTIGATOR-ECONOMIC CRIMES.

Detail of Costs:

SALARIES and BENEFITS (010000):

FTE	Description	Salary Amount	Salary Rate
6	Deduct Salaries and Benefits	\$606,942	408,000

expenses (040000):

Description	Amount	Non-Recurring
Deduct Salaries and Benefits	\$37,752	\$26,952

TR/DMS/HR SVCS/STW CONTRCT (107040):

Description	Amount	Non-Recurring
Deduct Salaries and Benefits	\$1,831	\$0

=====
 Issue Total: \$646,525 \$26,952

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
FINANCIAL INVESTIGATIONS - EMERGENT						
FINANCIAL CRIMES UNIT						3003A10

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

NEW POSITIONS

8326 FINANCIAL INVESTIGATOR-ECONOMIC CRIMES

N0401 001	1.00	68,000	33,157	101,157	0.00	101,157
N0402 001	1.00	68,000	33,157	101,157	0.00	101,157
N0403 001	1.00	68,000	33,157	101,157	0.00	101,157
N0404 001	1.00	68,000	33,157	101,157	0.00	101,157
N0405 001	1.00	68,000	33,157	101,157	0.00	101,157
N0406 001	1.00	68,000	33,157	101,157	0.00	101,157

TOTALS FOR ISSUE BY FUND

2021 ADMINISTRATIVE TRUST FUND						606,942
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6.00	408,000		198,942	606,942		606,942
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OFFICE OF FINANCIAL REGULATION -
 RECRUITMENT AND RETENTION FOR
 EXAMINERS, ANALYSTS AND
 INVESTIGATORS - ADD

3003A70
 000000

SALARY RATE

SALARY RATE..... 320,500

=====

	COL A03	COL A04	COL A05		
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ		
	FY 2023-24	FY 2023-24	FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	
				AMOUNT	
				CODES	
FINANCIAL SERVICES					43000000
PGM: FINANCIAL SVCS COMM					43900000
OFFICE OF FINANCIAL REG					43900500
FINANCIAL INVESTIGATIONS					43900540
PUBLIC PROTECTION					12
REGULATION AND LICENSING					1204.00.00.00
WORKLOAD					3000000
OFFICE OF FINANCIAL REGULATION -					
RECRUITMENT AND RETENTION FOR					
EXAMINERS, ANALYSTS AND					
INVESTIGATORS - ADD					3003A70
SALARIES AND BENEFITS					010000
	4.00				
ADMINISTRATIVE TRUST FUND -STATE	469,943				2021 1
TOTAL: OFFICE OF FINANCIAL REGULATION -					3003A70
RECRUITMENT AND RETENTION FOR					
EXAMINERS, ANALYSTS AND					
INVESTIGATORS - ADD					
TOTAL POSITIONS.....	4.00				
TOTAL ISSUE.....	469,943				
TOTAL SALARY RATE.....	320,500				

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD

Priority #301 and 302

Reference to Long-Range Program Plan:

Goal #1: Improve Taxpayer Value

Goal #2: Deliver Value to Businesses

Goal #3: Promote a Safe and Sound Financial Marketplace

Goal #4: Register companies and individuals

This issue is linked to issue 3003A90.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

The Office of Financial Regulation (OFR or Office) is request additional recurring funds vital to the ability to attract, hire, and retain qualified regulatory staff. New salary survey data collected for this request, combined with the demonstrated successes in hiring and retaining regulatory staff in our Division of Financial Institutions (Banking), clearly demonstrates the overwhelming need for these additional resources. The detail of the classes for this issue mirrors the recruitment and retention issue approved last year and targets a portion of those same regulatory staff. The request impacts 144 FTE and six separate class codes. The amount associated with the request will increase the available funding in Securities, Consumer Finance, and Financial Investigations up to the same amount per FTE, by class, as was requested for Banking last year. The data available last year was heavily weighted by salary survey information in the financial institutions/banking areas. With the new salary survey data, for the other two regulatory programs, OFR is able to clearly show that last year's issue underestimated the market value of the regulatory positions in the areas outside of Banking. This is evidenced in the new salary surveys and by the continued high vacancy and attrition rates in Securities, Consumer Finance, and Financial Investigations. It has also been demonstrated by the overwhelming success of Banking in hiring and retaining staff that even modest increases in starting salaries and flexibility in providing increases for current staff will allow divisions to fill vacancies and retain quality staff. This issue does not seek to directly compete with the salaries offered by the Feds or in the private sector, but simply to bring Securities and Consumer Finance up to levels that have been demonstrated to allow for the hiring of quality staff.

An additional salary survey is in progress for the Bureau of Financial Investigations that will provide needed comparison data for those investigative positions. At the time this issue was input that study was still to be completed. The Bureau anticipates the study to show Florida lagging behind other state and federal regulatory and criminal justice agencies for comparable investigative positions. Furthermore, hiring and attrition data show that our ability to hire and retain staff is being adversely impacted by lower than average salaries. With nine (9) of thirty-nine investigative positions currently vacant, the Bureau continues to struggle in hiring new, qualified, investigators losing eight (8) investigators in the last year, while only being able to hire four (4). Once the salary survey is completed, OFR anticipates putting forth an amended issue requesting sufficient additional budget authority to start competing for investigators and to stem the tide of attrition.

Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through salary surveys performed by the Office clearly show that Florida continues to be ranked near the bottom in average annual salary for examiners, with the average of those states surveyed being almost \$40,000 higher than the Florida average in the area of Consumer Finance. At the Federal level when comparing the Division of Securities to the SEC and FINRA the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500. In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

similar demographics.

The Office has identified eleven (11) class codes responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These are all "working managers" who have active roles in the day-to-day regulatory activities of the Office. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Examiner/Analyst Supv - SES
- Area Financial Administrator (AFM)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The individual positions associated with the class codes used in calculating this issue are only to determine the aggregate need. The Office is not seeking across-the-board pay increases, nor is OFR seeking to increase the DMS minimum/base pay of any class. The requested authority is intended to give sufficient overall authority to the Office in order to provide compensation at, or near industry averages, based on the quality of the work performed and/or the experience of the candidates. Individual position numbers and associated individual salaries are only used for the calculation of the aggregate amount and total potential need. The target salaries are an internal estimate of the salary range needed to retain employees for a sufficient duration as to allow the Office to recoup the cost of training these employees.

On October 3, 2022 there were forty-three (43) vacant positions in the Consumer Finance and Securities budget entities, representing more than \$3.2M in total costs (more than \$2.13M in base salary). These same divisions have hired ten (10) new employees since July 1 of 2022 but have had twenty-two (22) separations and one (1) retirement in that same period.

As an offset against the increase associated with higher recurring Salary and Benefits, the OFR is requesting a (\$500,000) recurring reduction in the Defer Presentment Contract category in the Division of Consumer Finance. As a result of COVID-19 and federal stimulus funding, decreased financial market conditions have persisted in the payday loan industry resulting in lower transaction volumes and lower overall contract costs. The Division does not anticipate any significant changes in trends in these loan products and feels that the reduction in appropriation will not in any way

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

hinder the ability to meet the obligations of the contract with the remaining appropriation. In the last two contract years, the total contract cost has been \$2.27M and \$2.04M respectively. Even at the higher cost of the two years, the category would still see reversions of almost \$200,000 after a (\$500,000) reduction.

Similarly, the Division of Securities is offering to reduce the current recurring appropriation in the CONTRACTED SERVICES category (100777) by (\$200,000) in order to offset the additional recurring Salary and Benefits costs. Spending in this category has been lower over the past five years, averaging around \$50,000 annually, and the balance of the appropriated funds have been reverting. This reduction would not hinder the Division's operations.

ISSUE SUMMARY:

\$1.86M in additional Salaries and Benefits to be used by Securities, Consumer Finance, and Financial Investigations, with a proposed offset of recurring budget of (\$500,000) in the Defer Presentment Contract category (100513) for Consumer Finance and a (\$200,000) reduction to the Contracted Services category (100777) in the Division of Securities. The NET increase in recurring appropriation is \$1.16M. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

ISSUE BACKGROUND:

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's three (3) regulatory divisions have direct and primary regulatory oversight of seventeen (17) separate statutes, issues charters, licenses, and registrations of forty-two (42) different financial services licenses, for approximately 519,000 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Chapter 537, Florida Statutes - Title Loan Companies
 Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
 Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
 Chapter 559.952, Florida Statutes - Financial Technology Sandbox
 Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

Chapter 655, Florida Statutes - Financial Institutions Generally
 Chapter 657, Florida Statutes - Credit Unions
 Chapter 658, Florida Statutes - Banks and Trust Companies
 Chapter 660, Florida Statutes - Trust Business
 Chapter 662, Florida Statutes - Family Trust Companies
 Chapter 663, Florida Statutes - International Banking
 Chapter 665, Florida Statutes - Capital Stock Associations
 Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units perform the registration, examination, and investigation of entities in the financial arena delivering services to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 519,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). Approximately 90% of staff participate directly in performing the day-to-day regulatory functions of the Office.

Training for each division is provided through a combination of peer-led training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Irrespective of which segment of the financial services industry is being examined, the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to People First records, from 2018 to 2022, 199 employees have voluntarily separated from the OFR for the reason of outside employment; of those 159, have been direct regulatory staff and 19 have been attorneys. This represents an average of nearly 40 employees per year, or almost 20% of the regulatory staff in any one year. The data also shows that the average tenure of our examinations staff is now between 66 and 80 months, over the four business areas. This tenure, of five to six years, directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations. The Office's goal is to have retention periods that roughly double the advanced training periods required in each business unit, extending our retention timelines to an average of six to nine years.

Detail of Costs:

SALARIES and BENEFITS (010000):

Budget Entity	FTE	Description	Salary Amount	Salary Rate
43900540	4	Add Salaries and Benefits	\$469,943	320,500
43900560	78	Add Salaries and Benefits	\$6,998,080	4,883,966
43900570	62	Add Salaries and Benefits	\$5,828,426	4,087,532
43900540	(4)	Deduct Salaries and Benefits	(\$439,073)	(294,688)
43900560	(78)	Deduct Salaries and Benefits	(\$6,019,924)	(4,073,131)
43900570	(62)	Deduct Salaries and Benefits	(\$4,975,380)	(3,374,685)
S&B Sub-Total:			\$1,862,072	1,549,494

DEFER PRESENTMENT CONTRACT (100513):

Budget Entity	Description	Amount
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COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2021 ADMINISTRATIVE TRUST FUND

4.00	320,500		149,443	469,943		469,943
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OFFICE OF FINANCIAL REGULATION -
 RECRUITMENT AND RETENTION FOR
 EXAMINERS, ANALYSTS AND
 INVESTIGATORS - DEDUCT
 SALARY RATE

3003A90
 000000

SALARY RATE..... 294,688-
 =====

SALARIES AND BENEFITS

010000

ADMINISTRATIVE TRUST FUND -STATE 4.00- 439,073-
 =====

TOTAL: OFFICE OF FINANCIAL REGULATION -
 RECRUITMENT AND RETENTION FOR
 EXAMINERS, ANALYSTS AND
 INVESTIGATORS - DEDUCT

3003A90

TOTAL POSITIONS..... 4.00-
 TOTAL ISSUE..... 439,073-
 TOTAL SALARY RATE..... 294,688-
 =====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT

Priority #301 and 302

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace
- Goal #4: Register companies and individuals

This issue is linked to issue 3003A70.

The Office of Financial Regulation (OFR or Office) is request additional recurring funds vital to the ability to attract, hire, and retain qualified regulatory staff. New salary survey data collected for this request, combined with the demonstrated successes in hiring and retaining regulatory staff in our Division of Financial Institutions (Banking), clearly demonstrates the overwhelming need for these additional resources. The detail of the classes for this issue mirrors the recruitment and retention issue approved last year and targets a portion of those same regulatory staff. The request impacts 144 FTE and six separate class codes. The amount associated with the request will increase the available funding in Securities, Consumer Finance, and Financial Investigations up to the same amount per FTE, by class, as was requested for Banking last year. The data available last year was heavily weighted by salary survey information in the financial institutions/banking areas. With the new salary survey data, for the other two regulatory programs, OFR is able to clearly show that last year's issue underestimated the market value of the regulatory positions in the areas outside of Banking. This is evidenced in the new salary surveys and by the continued high vacancy and attrition rates in

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Securities, Consumer Finance, and Financial Investigations. It has also been demonstrated by the overwhelming success of Banking in hiring and retaining staff that even modest increases in starting salaries and flexibility in providing increases for current staff will allow divisions to fill vacancies and retain quality staff. This issue does not seek to directly compete with the salaries offered by the Feds or in the private sector, but simply to bring Securities and Consumer Finance up to levels that have been demonstrated to allow for the hiring of quality staff.

An additional salary survey is in progress for the Bureau of Financial Investigations that will provide needed comparison data for those investigative positions. At the time this issue was input that study was still to be completed. The Bureau anticipates the study to show Florida lagging behind other state and federal regulatory and criminal justice agencies for comparable investigative positions. Furthermore, hiring and attrition data show that our ability to hire and retain staff is being adversely impacted by lower than average salaries. With nine (9) of thirty-nine investigative positions currently vacant, the Bureau continues to struggle in hiring new, qualified, investigators losing eight (8) investigators in the last year, while only being able to hire four (4). Once the salary survey is completed, OFR anticipates putting forth an amended issue requesting sufficient additional budget authority to start competing for investigators and to stem the tide of attrition.

Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through salary surveys performed by the Office clearly show that Florida continues to be ranked near the bottom in average annual salary for examiners, with the average of those states surveyed being almost \$40,000 higher than the Florida average in the area of Consumer Finance. At the Federal level when comparing the Division of Securities to the SEC and FINRA the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500. In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics.

The Office has identified eleven (11) class codes responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These are all "working managers" who have active roles in the day-to-day regulatory activities of the Office. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Examiner/Analyst Supv - SES
- Area Financial Administrator (AFM)
- Financial Investigator

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Senior Management Analyst II
 Senior Financial Investigator
 Financial Investigator - Criminal Enforcement
 Financial Investigator - Economic Crimes

The individual positions associated with the class codes used in calculating this issue are only to determine the aggregate need. The Office is not seeking across-the-board pay increases, nor is OFR seeking to increase the DMS minimum/base pay of any class. The requested authority is intended to give sufficient overall authority to the Office in order to provide compensation at, or near industry averages, based on the quality of the work performed and/or the experience of the candidates. Individual position numbers and associated individual salaries are only used for the calculation of the aggregate amount and total potential need. The target salaries are an internal estimate of the salary range needed to retain employees for a sufficient duration as to allow the Office to recoup the cost of training these employees.

On October 3, 2022 there were forty-three (43) vacant positions in the Consumer Finance and Securities budget entities, representing more than \$3.2M in total costs (more than \$2.13M in base salary). These same divisions have hired ten (10) new employees since July 1 of 2022 but have had twenty-two (22) separations and one (1) retirement in that same period.

As an offset against the increase associated with higher recurring Salary and Benefits, the OFR is requesting a (\$500,000) recurring reduction in the Defer Presentment Contract category in the Division of Consumer Finance. As a result of COVID-19 and federal stimulus funding, decreased financial market conditions have persisted in the payday loan industry resulting in lower transaction volumes and lower overall contract costs. The Division does not anticipate any significant changes in trends in these loan products and feels that the reduction in appropriation will not in any way hinder the ability to meet the obligations of the contract with the remaining appropriation. In the last two contract years, the total contract cost has been \$2.27M and \$2.04M respectively. Even at the higher cost of the two years, the category would still see reversions of almost \$200,000 after a (\$500,000) reduction.

Similarly, the Division of Securities is offering to reduce the current recurring appropriation in the CONTRACTED SERVICES category (100777) by (\$200,000) in order to offset the additional recurring Salary and Benefits costs. Spending in this category has been lower over the past five years, averaging around \$50,000 annually, and the balance of the appropriated funds have been reverting. This reduction would not hinder the Division's operations.

ISSUE SUMMARY:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

\$1.86M in additional Salaries and Benefits to be used by Securities, Consumer Finance, and Financial Investigations, with a proposed offset of recurring budget of (\$500,000) in the Defer Presentment Contract category (100513) for Consumer Finance and a (\$200,000) reduction to the Contracted Services category (100777) in the Division of Securities. The NET increase in recurring appropriation is \$1.16M. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

ISSUE BACKGROUND:

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's three (3) regulatory divisions have direct and primary regulatory oversight of seventeen (17) separate statutes, issues charters, licenses, and registrations of forty-two (42) different financial services licenses, for approximately 519,000 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Chapter 665, Florida Statutes - Capital Stock Associations
 Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units perform the registration, examination, and investigation of entities in the financial arena delivering services to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 519,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). Approximately 90% of staff participate directly in performing the day-to-day regulatory functions of the Office.

Training for each division is provided through a combination of peer-led training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

Irrespective of which segment of the financial services industry is being examined, the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to People First records, from 2018 to 2022, 199 employees have voluntarily separated from the OFR for the reason of outside employment; of those, 159 have been direct regulatory staff and 19 have been attorneys. This represents an average of nearly 40 employees per year, or almost 20% of the regulatory staff in any one year. The data also shows that the average tenure of our examinations staff is now between 66 and 80 months, over the four business areas. This tenure, of five to six years, directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations. The Office's goal is to have retention periods that roughly double the advanced training periods required in each business unit, extending our retention timelines to an average of six to nine years.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

Detail of Costs:

SALARIES and BENEFITS (010000):

Budget Entity	FTE	Description	Salary Amount	Salary Rate
43900540	4	Add Salaries and Benefits	\$469,943	320,500
43900560	78	Add Salaries and Benefits	\$6,998,080	4,883,966
43900570	62	Add Salaries and Benefits	\$5,828,426	4,087,532
43900540	(4)	Deduct Salaries and Benefits	(\$439,073)	(294,688)
43900560	(78)	Deduct Salaries and Benefits	(\$6,019,924)	(4,073,131)
43900570	(62)	Deduct Salaries and Benefits	(\$4,975,380)	(3,374,685)
S&B Sub-Total:			\$1,862,072	1,549,494

DEFER PRESENTMENT CONTRACT (100513):

Budget Entity	Description	Amount
43900560	Recurring reduction in appropriation for the contract for the Deferred Presentment Transaction Database.	(\$500,000)

CONTRACTED SERVICES (10077):

Budget Entity	Description	Amount
43900570	Recurring reduction in appropriation from the Contracted Services category.	(\$200,000)
Issue Total		\$1,162,072

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,176,845			
SALARIES AND BENEFITS				010000
58.00				
ADMINISTRATIVE TRUST FUND -STATE	6,074,097			2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	254,518			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	473,616			2021 1
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	7,000			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	61,048			2021 1
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	18,770			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	10,004			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		14,262		2021 1
=====		=====		=====
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE		3,435,807		2021 1
=====		=====		=====
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	58.00			
TOTAL ISSUE.....	10,349,122			
TOTAL SALARY RATE.....	4,176,845			
=====		=====		=====
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE		812		2021 1
=====		=====		=====
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	224,373			
=====		=====		=====
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		274,125		2021 1
=====		=====		=====
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	274,125			
TOTAL SALARY RATE.....	224,373			
=====		=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....		10,929		
	=====	=====	=====	
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		13,066		2021 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE		4,142		2021 1
	=====	=====	=====	
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		17,208		
TOTAL SALARY RATE.....		10,929		
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		51,537		2021 1
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,538			2021 1
=====				
NONRECURRING EXPENDITURES				2100000
ESTABLISH BLOCKCHAIN VIRTUAL				
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION				2103176
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	13,476-			2021 1
=====				
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - ADD				3004A70
SALARY RATE				000000
SALARY RATE.....	983,025			
=====				
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	11.00			
	1,527,282			2021 1
=====				
TOTAL: OFFICE OF FINANCIAL REGULATION -				3004A70
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - ADD				
TOTAL POSITIONS.....	11.00			
TOTAL ISSUE.....	1,527,282			
TOTAL SALARY RATE.....	983,025			
=====				

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
EXEC DIR & SUPPORT SERVICE						43900550
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION OF						
MANAGEMENT AND PROFESSIONAL						
SERVICES STAFF - ADD						3004A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0709 ADMINISTRATIVE ASSISTANT I							
04514 001	1.00	35,288		26,759	62,047	0.00	62,047
04682 001	1.00	35,288	1,270	26,964	63,522	0.00	63,522
04684 001	1.00	35,288		26,759	62,047	0.00	62,047
2980 RESOURCE MANAGEMENT ADMINISTRATOR							
04318 001	1.00	113,968		51,619	165,587	0.00	165,587
7596 COMMUNICATIONS COORDINATOR							
01641 001	1.00	67,850		34,913	102,763	0.00	102,763
9119 OPERATIONS MANAGER C-SES							
04903 001	1.00	79,156		37,170	116,326	0.00	116,326
8382 PLANNING & BUDGETING ADMINISTRATOR							
04634 001	1.00	123,294		70,062	193,356	0.00	193,356
8579 GENERAL COUNSEL							
04301 001	1.00	132,557		73,699	206,256	0.00	206,256
9238 INSPECTOR GENERAL							
01637 001	1.00	124,038		60,728	184,766	0.00	184,766
9556 COMMUNICATIONS DIRECTOR							
04466 001	1.00	105,614		63,120	168,734	0.00	168,734
9973 PROGRAM DIRECTOR - A							
05703 001	1.00	129,414		72,464	201,878	0.00	201,878

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR & SUPPORT SERVICE</u>						43900550
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION OF						
MANAGEMENT AND PROFESSIONAL						
SERVICES STAFF - ADD						3004A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2021 ADMINISTRATIVE TRUST FUND

11.00	981,755	1,270	544,257	1,527,282		1,527,282
=====	=====	=====	=====	=====		=====

OFFICE OF FINANCIAL REGULATION -
 RECRUITMENT AND RETENTION OF
 MANAGEMENT AND PROFESSIONAL
 SERVICES STAFF - DEDUCT

SALARY RATE 3004A80
 000000

SALARY RATE..... 866,310-
 =====

SALARIES AND BENEFITS 010000

11.00-

ADMINISTRATIVE TRUST FUND -STATE 1,310,771- 2021 1

=====

TOTAL: OFFICE OF FINANCIAL REGULATION - 3004A80

RECRUITMENT AND RETENTION OF
 MANAGEMENT AND PROFESSIONAL
 SERVICES STAFF - DEDUCT

TOTAL POSITIONS..... 11.00-

TOTAL ISSUE..... 1,310,771-

TOTAL SALARY RATE..... 866,310-
 =====

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PGM: FINANCIAL SVCS COMM						43000000
OFFICE OF FINANCIAL REG						43900000
EXEC DIR & SUPPORT SERVICE						43900500
PUBLIC PROTECTION						43900550
REGULATION AND LICENSING						12
WORKLOAD						1204.00.00.00
OFFICE OF FINANCIAL REGULATION -						3000000
RECRUITMENT AND RETENTION OF						
MANAGEMENT AND PROFESSIONAL						
SERVICES STAFF - DEDUCT						3004A80

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0709 ADMINISTRATIVE ASSISTANT I							
04514 001	1.00-	33,722-		26,452-	60,174-	0.00	60,174-
04682 001	1.00-	31,200-	1,270-	6,351-	38,821-	0.00	38,821-
04684 001	1.00-	33,722-		26,452-	60,174-	0.00	60,174-
2980 RESOURCE MANAGEMENT ADMINISTRATOR							
04318 001	1.00-	77,105-		41,928-	119,033-	0.00	119,033-
7596 COMMUNICATIONS COORDINATOR							
01641 001	1.00-	60,000-		11,760-	71,760-	0.00	71,760-
9119 OPERATIONS MANAGER C-SES							
04903 001	1.00-	75,658-		36,486-	112,144-	0.00	112,144-
8382 PLANNING & BUDGETING ADMINISTRATOR							
04634 001	1.00-	102,687-		61,972-	164,659-	0.00	164,659-
8579 GENERAL COUNSEL							
04301 001	1.00-	121,187-		69,235-	190,422-	0.00	190,422-
9238 INSPECTOR GENERAL							
01637 001	1.00-	115,918-		58,171-	174,089-	0.00	174,089-
9556 COMMUNICATIONS DIRECTOR							
04466 001	1.00-	98,841-		60,461-	159,302-	0.00	159,302-
9973 PROGRAM DIRECTOR - A							
05703 001	1.00-	115,000-		45,193-	160,193-	0.00	160,193-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR & SUPPORT SERVICE</u>						43900550
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION OF						
MANAGEMENT AND PROFESSIONAL						
SERVICES STAFF - DEDUCT						3004A80

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2021 ADMINISTRATIVE TRUST FUND

11.00-	865,040-	1,270-	444,461-	1,310,771-		1,310,771-
=====	=====	=====	=====	=====		=====

 TOTAL: REGULATION AND LICENSING 1204.00.00.00

BY FUND TYPE

TRUST FUNDS.....	58.00	10,897,377				2000
SALARY RATE.....		4,528,862				
	=====	=====	=====	=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,104,675			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	91.00	7,083,561		2573 1
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE	259,840			2573 1
EXPENSES				040000
REGULATORY TRUST FUND -STATE	732,602			2573 1
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	35,631			2573 1
SPECIAL CATEGORIES				100000
DEFER PRESENTMENT CONTRACT				100513
REGULATORY TRUST FUND -STATE	2,930,000			2573 1
CCT DATABASE CONTRACT				100527
REGULATORY TRUST FUND -STATE	251,000			2573 1
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND -STATE	111,565			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	31,909			2573 1
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE	34,995			2573 1
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	33,637			2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	91.00			
TOTAL ISSUE.....	11,504,740			
TOTAL SALARY RATE.....	5,104,675			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	1,186-			2573 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	273,270			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE		326,134	2573 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....			326,134	
TOTAL SALARY RATE.....			273,270	
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND	-STATE		4,229	2573 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	-STATE		52,103	2573 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND	-STATE		3,627	2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
NONRECURRING EXPENDITURES				2100000
STAFFING/WORKLOAD INCREASES -				
OFFICE OF FINANCIAL REGULATION -				
CONSUMER FINANCE - BUREAU OF				
REGISTRATION				2103177
EXPENSES				040000
REGULATORY TRUST FUND -STATE		31,444-		2573 1
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70
SALARY RATE				000000
SALARY RATE.....		4,883,966		
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		78.00		2573 1
		6,998,080		
SPECIAL CATEGORIES				100000
DEFER PRESENTMENT CONTRACT				100513
REGULATORY TRUST FUND -STATE		500,000-		2573 1
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A70
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				
TOTAL POSITIONS.....		78.00		
TOTAL ISSUE.....		6,498,080		
TOTAL SALARY RATE.....		4,883,966		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Priority #301 and 302

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace

Goal #4: Register companies and individuals

This issue is linked to issue 3003A90.

The Office of Financial Regulation (OFR or Office) is request additional recurring funds vital to the ability to attract, hire, and retain qualified regulatory staff. New salary survey data collected for this request, combined with the demonstrated successes in hiring and retaining regulatory staff in our Division of Financial Institutions (Banking), clearly demonstrates the overwhelming need for these additional resources. The detail of the classes for this issue mirrors the recruitment and retention issue approved last year and targets a portion of those same regulatory staff. The request impacts 144 FTE and six separate class codes. The amount associated with the request will increase the available funding in Securities, Consumer Finance, and Financial Investigations up to the same amount per FTE, by class, as was requested for Banking last year. The data available last year was heavily weighted by salary survey information in the financial institutions/banking areas. With the new salary survey data, for the other two regulatory programs, OFR is able to clearly show that last year's issue underestimated the market value of the regulatory positions in the areas outside of Banking. This is evidenced in the new salary surveys and by the continued high vacancy and attrition rates in Securities, Consumer Finance, and Financial Investigations. It has also been demonstrated by the overwhelming success of Banking in hiring and retaining staff that even modest increases in starting salaries and flexibility in providing increases for current staff will allow divisions to fill vacancies and retain quality staff. This issue does not seek to directly compete with the salaries offered by the Feds or in the private sector, but simply to bring Securities and Consumer Finance up to levels that have been demonstrated to allow for the hiring of quality staff.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

An additional salary survey is in progress for the Bureau of Financial Investigations that will provide needed comparison data for those investigative positions. At the time this issue was input that study was still to be completed. The Bureau anticipates the study to show Florida lagging behind other state and federal regulatory and criminal justice agencies for comparable investigative positions. Furthermore, hiring and attrition data show that our ability to hire and retain staff is being adversely impacted by lower than average salaries. With nine (9) of thirty-nine investigative positions currently vacant, the Bureau continues to struggle in hiring new, qualified, investigators losing eight (8) investigators in the last year, while only being able to hire four (4). Once the salary survey is completed, OFR anticipates putting forth an amended issue requesting sufficient additional budget authority to start competing for investigators and to stem the tide of attrition.

Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through salary surveys performed by the Office clearly show that Florida continues to be ranked near the bottom in average annual salary for examiners, with the average of those states surveyed being almost \$40,000 higher than the Florida average in the area of Consumer Finance. At the Federal level when comparing the Division of Securities to the SEC and FINRA the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500. In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics.

The Office has identified eleven (11) class codes responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These are all "working managers" who have active roles in the day-to-day regulatory activities of the Office. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Examiner/Analyst Supv - SES
- Area Financial Administrator (AFM)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The individual positions associated with the class codes used in calculating this issue are only to determine the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

aggregate need. The Office is not seeking across-the-board pay increases, nor is OFR seeking to increase the DMS minimum/base pay of any class. The requested authority is intended to give sufficient overall authority to the Office in order to provide compensation at, or near industry averages, based on the quality of the work performed and/or the experience of the candidates. Individual position numbers and associated individual salaries are only used for the calculation of the aggregate amount and total potential need. The target salaries are an internal estimate of the salary range needed to retain employees for a sufficient duration as to allow the Office to recoup the cost of training these employees.

On October 3, 2022 there were forty-three (43) vacant positions in the Consumer Finance and Securities budget entities, representing more than \$3.2M in total costs (more than \$2.13M in base salary). These same divisions have hired ten (10) new employees since July 1 of 2022 but have had twenty-two (22) separations and one (1) retirement in that same period.

As an offset against the increase associated with higher recurring Salary and Benefits, the OFR is requesting a (\$500,000) recurring reduction in the Defer Presentment Contract category in the Division of Consumer Finance. As a result of COVID-19 and federal stimulus funding, decreased financial market conditions have persisted in the payday loan industry resulting in lower transaction volumes and lower overall contract costs. The Division does not anticipate any significant changes in trends in these loan products and feels that the reduction in appropriation will not in any way hinder the ability to meet the obligations of the contract with the remaining appropriation. In the last two contract years, the total contract cost has been \$2.27M and \$2.04M respectively. Even at the higher cost of the two years, the category would still see reversions of almost \$200,000 after a (\$500,000) reduction.

Similarly, the Division of Securities is offering to reduce the current recurring appropriation in the CONTRACTED SERVICES category (100777) by (\$200,000) in order to offset the additional recurring Salary and Benefits costs. Spending in this category has been lower over the past five years, averaging around \$50,000 annually, and the balance of the appropriated funds have been reverting. This reduction would not hinder the Division's operations.

ISSUE SUMMARY:

\$1.86M in additional Salaries and Benefits to be used by Securities, Consumer Finance, and Financial Investigations, with a proposed offset of recurring budget of (\$500,000) in the Defer Presentment Contract category (100513) for Consumer Finance and a (\$200,000) reduction to the Contracted Services category (100777) in the Division of Securities. The NET increase in recurring appropriation is \$1.16M. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

ISSUE BACKGROUND:

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's three (3) regulatory divisions have direct and primary regulatory oversight of seventeen (17) separate statutes, issues charters, licenses, and registrations of forty-two (42) different financial services licenses, for approximately 519,000 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations
- Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

- Chapter 517, Florida Statutes - Securities and Investor Protection Act

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ ANZ				
FY 2023-24	FY 2023-24	FY 2023-24				
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

These four business units perform the registration, examination, and investigation of entities in the financial arena delivering services to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 519,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). Approximately 90% of staff participate directly in performing the day-to-day regulatory functions of the Office.

Training for each division is provided through a combination of peer-led training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

Irrespective of which segment of the financial services industry is being examined, the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to People First records, from 2018 to 2022, 199 employees have voluntarily separated from the OFR for the reason of outside employment; of those 159, have been direct regulatory staff and 19 have been attorneys. This represents an average of nearly 40 employees per year, or almost 20% of the regulatory staff in any one year. The data also shows that the average tenure of our examinations staff is now between 66 and 80 months, over the four business areas. This tenure, of five to six years, directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations. The Office's goal is to have retention periods that roughly double the advanced training periods required in each business unit, extending our retention timelines to an average of six to nine years.

Detail of Costs:

SALARIES and BENEFITS (010000):

Budget Entity	FTE	Description	Salary Amount	Salary Rate
---------------	-----	-------------	---------------	-------------

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

43900540	4	Add Salaries and Benefits	\$469,943	320,500
43900560	78	Add Salaries and Benefits	\$6,998,080	4,883,966
43900570	62	Add Salaries and Benefits	\$5,828,426	4,087,532
43900540	(4)	Deduct Salaries and Benefits	(\$439,073)	(294,688)
43900560	(78)	Deduct Salaries and Benefits	(\$6,019,924)	(4,073,131)
43900570	(62)	Deduct Salaries and Benefits	(\$4,975,380)	(3,374,685)
S&B Sub-Total:			\$1,862,072	1,549,494

DEFER PRESENTMENT CONTRACT (100513):

Budget Entity	Description	Amount
43900560	Recurring reduction in appropriation for the contract for the Deferred Presentment Transaction Database.	(\$500,000)

CONTRACTED SERVICES (10077):

Budget Entity	Description	Amount
43900570	Recurring reduction in appropriation from the Contracted Services category.	(\$200,000)
Issue Total		\$1,162,072

This issue is linked to issue 3003A90.

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2023-24	POS	AGY REQ N/R FY 2023-24	POS	AG REQ ANZ FY 2023-24	POS	
	AMOUNT		AMOUNT		AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1554 FINANCIAL EXAMINER/ANALYST I							
01742 001	1.00	48,000		18,594	66,594	0.00	66,594
01744 001	1.00	48,000		29,245	77,245	0.00	77,245
01869 001	1.00	48,000		18,594	66,594	0.00	66,594
04071 001	1.00	48,000		29,245	77,245	0.00	77,245
1564 FINANCIAL EXAMINER/ANALYST II							
01731 001	1.00	56,000	1,270	25,689	82,959	0.00	82,959
01733 001	1.00	56,000	1,270	20,407	77,677	0.00	77,677
01734 001	1.00	56,000		20,159	76,159	0.00	76,159
01735 001	1.00	56,000	646	30,936	87,582	0.00	87,582
01738 001	1.00	56,000	1,270	20,407	77,677	0.00	77,677
01741 001	1.00	56,000		20,159	76,159	0.00	76,159
01868 001	1.00	56,000		25,441	81,441	0.00	81,441
01874 001	1.00	56,000	646	20,285	76,931	0.00	76,931
01901 001	1.00	56,000		30,810	86,810	0.00	86,810
01902 001	1.00	56,000		10,997	66,997	0.00	66,997
04145 001	1.00	56,000		23,905	79,905	0.00	79,905
04183 001	1.00	56,000		10,997	66,997	0.00	66,997
04321 001	1.00	56,000	1,270	25,689	82,959	0.00	82,959
04341 001	1.00	56,000	1,270	25,689	82,959	0.00	82,959
04342 001	1.00	56,000		25,441	81,441	0.00	81,441
04428 001	1.00	56,000		30,810	86,810	0.00	86,810
04445 001	1.00	56,000		25,441	81,441	0.00	81,441
04460 001	1.00	56,000		30,810	86,810	0.00	86,810
04472 001	1.00	56,000		25,441	81,441	0.00	81,441
04478 001	1.00	56,000		30,810	86,810	0.00	86,810
04484 001	1.00	56,000		30,810	86,810	0.00	86,810
04497 001	1.00	56,000		30,810	86,810	0.00	86,810

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

04520 001	1.00	56,000		25,441	81,441	0.00	81,441
04532 001	1.00	56,000	1,270	20,407	77,677	0.00	77,677
04589 001	1.00	56,000	646	25,567	82,213	0.00	82,213
04704 001	1.00	56,000	1,270	25,689	82,959	0.00	82,959
04869 001	1.00	56,000	646	30,936	87,582	0.00	87,582
04886 001	1.00	56,000		25,441	81,441	0.00	81,441
04896 001	1.00	56,000		30,810	86,810	0.00	86,810
04924 001	1.00	56,000		30,810	86,810	0.00	86,810
05066 001	1.00	56,000		30,767	86,767	0.00	86,767
05067 001	1.00	56,000		30,767	86,767	0.00	86,767
05068 001	1.00	56,000		30,767	86,767	0.00	86,767
05069 001	1.00	56,000		30,767	86,767	0.00	86,767
05071 001	1.00	56,000		30,767	86,767	0.00	86,767
05072 001	1.00	56,000		30,767	86,767	0.00	86,767
1566 FINANCIAL SPECIALIST							
01677 001	1.00	64,500		32,472	96,972	0.00	96,972
01680 001	1.00	64,500		32,472	96,972	0.00	96,972
01739 001	1.00	64,500		23,465	87,965	0.00	87,965
01740 001	1.00	64,500	646	32,599	97,745	0.00	97,745
01865 001	1.00	64,500		27,103	91,603	0.00	91,603
01870 001	1.00	64,500	1,270	32,720	98,490	0.00	98,490
01873 001	1.00	64,500	1,270	32,720	98,490	0.00	98,490
01875 001	1.00	64,500	1,270	12,864	78,634	0.00	78,634
01888 001	1.00	64,500		21,821	86,321	0.00	86,321
01899 001	1.00	64,500		21,821	86,321	0.00	86,321
01921 001	1.00	64,500	1,270	32,720	98,490	0.00	98,490
02075 001	1.00	64,500		12,659	77,159	0.00	77,159
02076 001	1.00	64,500	1,270	12,907	78,677	0.00	78,677

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04335 001	1.00	64,500		12,616	77,116	0.00	77,116
04337 001	1.00	64,500	1,270	27,351	93,121	0.00	93,121
04474 001	1.00	64,500		32,472	96,972	0.00	96,972
04530 001	1.00	64,500		27,780	92,280	0.00	92,280
04692 001	1.00	64,500		12,659	77,159	0.00	77,159
05070 001	1.00	64,500	1,270	32,677	98,447	0.00	98,447
1567 FINANCIAL CONTROL ANALYST							
01732 001	1.00	73,000	1,270	39,352	113,622	0.00	113,622
01862 001	1.00	73,000		23,484	96,484	0.00	96,484
01900 001	1.00	73,000	646	34,261	107,907	0.00	107,907
02074 001	1.00	73,000		34,135	107,135	0.00	107,135
02077 001	1.00	73,000	1,270	34,384	108,654	0.00	108,654
04519 001	1.00	73,000		9,928	82,928	0.00	82,928
04686 001	1.00	73,000	1,270	34,384	108,654	0.00	108,654
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
01675 001	1.00	73,000		35,922	108,922	0.00	108,922
04455 001	1.00	73,000		24,013	97,013	0.00	97,013
04462 001	1.00	73,000		29,946	102,946	0.00	102,946
04494 001	1.00	73,000		35,965	108,965	0.00	108,965
04605 001	1.00	73,000		35,965	108,965	0.00	108,965
04629 001	1.00	73,000		35,965	108,965	0.00	108,965
04693 001	1.00	73,000		35,965	108,965	0.00	108,965
04925 001	1.00	73,000		35,965	108,965	0.00	108,965
1584 AREA FINANCIAL MANAGER - SES							
04325 001	1.00	82,500		31,393	113,893	0.00	113,893
04480 001	1.00	82,500		37,826	120,326	0.00	120,326
04512 001	1.00	82,500		37,826	120,326	0.00	120,326
04513 001	1.00	82,500		16,213	98,713	0.00	98,713

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						6,998,080
78.00	4,858,500	25,466	2,114,114	6,998,080		6,998,080

OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90
SALARY RATE						000000
SALARY RATE..... 4,073,131-						
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE 78.00- 6,019,924-						2573 1
TOTAL: OFFICE OF FINANCIAL REGULATION -						3003A90
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						
TOTAL POSITIONS..... 78.00-						
TOTAL ISSUE..... 6,019,924-						
TOTAL SALARY RATE..... 4,073,131-						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT

Priority #301 and 302

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace
- Goal #4: Register companies and individuals

This issue is linked to issue 3003A70.

The Office of Financial Regulation (OFR or Office) is request additional recurring funds vital to the ability to attract, hire, and retain qualified regulatory staff. New salary survey data collected for this request, combined with the demonstrated successes in hiring and retaining regulatory staff in our Division of Financial Institutions (Banking), clearly demonstrates the overwhelming need for these additional resources. The detail of the classes for this issue mirrors the recruitment and retention issue approved last year and targets a portion of those same regulatory staff. The request impacts 144 FTE and six separate class codes. The amount associated with the request will increase the available funding in Securities, Consumer Finance, and Financial Investigations up to the same amount per FTE, by class, as was requested for Banking last year. The data available last year was heavily weighted by salary survey information in the financial institutions/banking areas. With the new salary survey data, for the other two regulatory programs, OFR is able to clearly show that last year's issue underestimated the market value of the regulatory positions in the areas outside of Banking. This is evidenced in the new salary surveys and by the continued high vacancy and attrition rates in Securities, Consumer Finance, and Financial Investigations. It has also been demonstrated by the overwhelming success of

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Banking in hiring and retaining staff that even modest increases in starting salaries and flexibility in providing increases for current staff will allow divisions to fill vacancies and retain quality staff. This issue does not seek to directly compete with the salaries offered by the Feds or in the private sector, but simply to bring Securities and Consumer Finance up to levels that have been demonstrated to allow for the hiring of quality staff.

An additional salary survey is in progress for the Bureau of Financial Investigations that will provide needed comparison data for those investigative positions. At the time this issue was input that study was still to be completed. The Bureau anticipates the study to show Florida lagging behind other state and federal regulatory and criminal justice agencies for comparable investigative positions. Furthermore, hiring and attrition data show that our ability to hire and retain staff is being adversely impacted by lower than average salaries. With nine (9) of thirty-nine investigative positions currently vacant, the Bureau continues to struggle in hiring new, qualified, investigators losing eight (8) investigators in the last year, while only being able to hire four (4). Once the salary survey is completed, OFR anticipates putting forth an amended issue requesting sufficient additional budget authority to start competing for investigators and to stem the tide of attrition.

Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through salary surveys performed by the Office clearly show that Florida continues to be ranked near the bottom in average annual salary for examiners, with the average of those states surveyed being almost \$40,000 higher than the Florida average in the area of Consumer Finance. At the Federal level when comparing the Division of Securities to the SEC and FINRA the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500. In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics.

The Office has identified eleven (11) class codes responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These are all "working managers" who have active roles in the day-to-day regulatory activities of the Office. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Examiner/Analyst Supv - SES
- Area Financial Administrator (AFM)
- Financial Investigator
- Senior Management Analyst II

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90
Senior Financial Investigator				
Financial Investigator - Criminal Enforcement				
Financial Investigator - Economic Crimes				

The individual positions associated with the class codes used in calculating this issue are only to determine the aggregate need. The Office is not seeking across-the-board pay increases, nor is OFR seeking to increase the DMS minimum/base pay of any class. The requested authority is intended to give sufficient overall authority to the Office in order to provide compensation at, or near industry averages, based on the quality of the work performed and/or the experience of the candidates. Individual position numbers and associated individual salaries are only used for the calculation of the aggregate amount and total potential need. The target salaries are an internal estimate of the salary range needed to retain employees for a sufficient duration as to allow the Office to recoup the cost of training these employees.

On October 3, 2022 there were forty-three (43) vacant positions in the Consumer Finance and Securities budget entities, representing more than \$3.2M in total costs (more than \$2.13M in base salary). These same divisions have hired ten (10) new employees since July 1 of 2022 but have had twenty-two (22) separations and one (1) retirement in that same period.

As an offset against the increase associated with higher recurring Salary and Benefits, the OFR is requesting a (\$500,000) recurring reduction in the Defer Presentment Contract category in the Division of Consumer Finance. As a result of COVID-19 and federal stimulus funding, decreased financial market conditions have persisted in the payday loan industry resulting in lower transaction volumes and lower overall contract costs. The Division does not anticipate any significant changes in trends in these loan products and feels that the reduction in appropriation will not in any way hinder the ability to meet the obligations of the contract with the remaining appropriation. In the last two contract years, the total contract cost has been \$2.27M and \$2.04M respectively. Even at the higher cost of the two years, the category would still see reversions of almost \$200,000 after a (\$500,000) reduction.

Similarly, the Division of Securities is offering to reduce the current recurring appropriation in the CONTRACTED SERVICES category (100777) by (\$200,000) in order to offset the additional recurring Salary and Benefits costs. Spending in this category has been lower over the past five years, averaging around \$50,000 annually, and the balance of the appropriated funds have been reverting. This reduction would not hinder the Division's operations.

ISSUE SUMMARY:

\$1.86M in additional Salaries and Benefits to be used by Securities, Consumer Finance, and Financial Investigations, with

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

a proposed offset of recurring budget of (\$500,000) in the Defer Presentment Contract category (100513) for Consumer Finance and a (\$200,000) reduction to the Contracted Services category (100777) in the Division of Securities. The NET increase in recurring appropriation is \$1.16M. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

ISSUE BACKGROUND:

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's three (3) regulatory divisions have direct and primary regulatory oversight of seventeen (17) separate statutes, issues charters, licenses, and registrations of forty-two (42) different financial services licenses, for approximately 519,000 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units perform the registration, examination, and investigation of entities in the financial arena delivering services to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 519,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). Approximately 90% of staff participate directly in performing the day-to-day regulatory functions of the Office.

Training for each division is provided through a combination of peer-led training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

Irrespective of which segment of the financial services industry is being examined, the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to People First records, from 2018 to 2022, 199 employees have voluntarily separated from the OFR for the reason of outside employment; of those, 159 have been direct regulatory staff and 19 have been attorneys. This represents an average of nearly 40 employees per year, or almost 20% of the regulatory staff in any one year. The data also shows that the average tenure of our examinations staff is now between 66 and 80 months, over the four business areas. This tenure, of five to six years, directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations. The Office's goal is to have retention periods that roughly double the advanced training periods required in each business unit, extending our retention timelines to an average of six to nine years.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Detail of Costs:

SALARIES and BENEFITS (010000):

Budget Entity	FTE	Description	Salary Amount	Salary Rate
43900540	4	Add Salaries and Benefits	\$469,943	320,500
43900560	78	Add Salaries and Benefits	\$6,998,080	4,883,966
43900570	62	Add Salaries and Benefits	\$5,828,426	4,087,532
43900540	(4)	Deduct Salaries and Benefits	(\$439,073)	(294,688)
43900560	(78)	Deduct Salaries and Benefits	(\$6,019,924)	(4,073,131)
43900570	(62)	Deduct Salaries and Benefits	(\$4,975,380)	(3,374,685)
S&B Sub-Total:			\$1,862,072	1,549,494

DEFER PRESENTMENT CONTRACT (100513):

Budget Entity	Description	Amount
43900560	Recurring reduction in appropriation for the contract for the Deferred Presentment Transaction Database.	(\$500,000)

CONTRACTED SERVICES (10077):

Budget Entity	Description	Amount
43900570	Recurring reduction in appropriation from the Contracted Services category.	(\$200,000)
Issue Total		\$1,162,072

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2023-24	POS	AGY REQ N/R FY 2023-24	POS	AG REQ ANZ FY 2023-24	POS	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT						3003A90

This issue is linked to issue 3003A70.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1554 FINANCIAL EXAMINER/ANALYST I							
01742 001	1.00-	36,000-		16,247-	52,247-	0.00	52,247-
01744 001	1.00-	36,000-		26,898-	62,898-	0.00	62,898-
01869 001	1.00-	36,000-		16,247-	52,247-	0.00	52,247-
04071 001	1.00-	36,000-		26,898-	62,898-	0.00	62,898-
1564 FINANCIAL EXAMINER/ANALYST II							
01675 001	1.00-	46,000-	1,270-	23,733-	71,003-	0.00	71,003-
01731 001	1.00-	46,000-	1,270-	23,733-	71,003-	0.00	71,003-
01733 001	1.00-	46,000-	1,270-	18,451-	65,721-	0.00	65,721-
01734 001	1.00-	46,000-		18,203-	64,203-	0.00	64,203-
01735 001	1.00-	46,000-	646-	28,980-	75,626-	0.00	75,626-
01738 001	1.00-	46,000-	1,270-	18,451-	65,721-	0.00	65,721-
01741 001	1.00-	46,000-		18,203-	64,203-	0.00	64,203-
01868 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
01874 001	1.00-	46,000-	646-	18,329-	64,975-	0.00	64,975-
01901 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
01902 001	1.00-	46,000-		9,041-	55,041-	0.00	55,041-
04145 001	1.00-	46,000-		21,280-	67,280-	0.00	67,280-
04183 001	1.00-	46,000-		9,041-	55,041-	0.00	55,041-
04321 001	1.00-	46,000-	1,270-	23,733-	71,003-	0.00	71,003-
04341 001	1.00-	46,000-	1,270-	23,733-	71,003-	0.00	71,003-
04342 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
04428 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04445 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2023-24	POS	AGY REQ N/R FY 2023-24	POS	AG REQ ANZ FY 2023-24	POS	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04460 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04472 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
04478 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04484 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04497 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04520 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
04532 001	1.00-	46,000-	1,270-	18,451-	65,721-	0.00	65,721-
04589 001	1.00-	46,000-	646-	23,611-	70,257-	0.00	70,257-
04704 001	1.00-	46,000-	1,270-	23,733-	71,003-	0.00	71,003-
04869 001	1.00-	46,000-	646-	28,980-	75,626-	0.00	75,626-
04886 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
04896 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04924 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
05066 001	1.00-	46,000-		28,811-	74,811-	0.00	74,811-
05067 001	1.00-	46,000-		28,811-	74,811-	0.00	74,811-
05068 001	1.00-	46,000-		28,811-	74,811-	0.00	74,811-
05069 001	1.00-	46,000-		28,811-	74,811-	0.00	74,811-
05071 001	1.00-	46,000-		28,811-	74,811-	0.00	74,811-
05072 001	1.00-	46,000-		28,811-	74,811-	0.00	74,811-
1566 FINANCIAL SPECIALIST							
01677 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-
01680 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-
01739 001	1.00-	54,000-		21,411-	75,411-	0.00	75,411-
01740 001	1.00-	54,000-	646-	30,544-	85,190-	0.00	85,190-
01865 001	1.00-	54,000-		25,049-	79,049-	0.00	79,049-
01870 001	1.00-	54,000-	1,270-	30,667-	85,937-	0.00	85,937-
01873 001	1.00-	54,000-	1,270-	30,667-	85,937-	0.00	85,937-
01875 001	1.00-	54,000-	1,270-	10,811-	66,081-	0.00	66,081-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
01888 001	1.00-	54,000-		19,767-	73,767-	0.00	73,767-
01899 001	1.00-	54,000-		19,767-	73,767-	0.00	73,767-
01921 001	1.00-	54,000-	1,270-	30,667-	85,937-	0.00	85,937-
02075 001	1.00-	54,000-		10,605-	64,605-	0.00	64,605-
02076 001	1.00-	54,000-	1,270-	10,854-	66,124-	0.00	66,124-
04335 001	1.00-	54,000-		10,562-	64,562-	0.00	64,562-
04337 001	1.00-	54,000-	1,270-	25,298-	80,568-	0.00	80,568-
04474 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-
04530 001	1.00-	54,000-		25,024-	79,024-	0.00	79,024-
04692 001	1.00-	54,000-		10,605-	64,605-	0.00	64,605-
05070 001	1.00-	54,000-	1,270-	30,624-	85,894-	0.00	85,894-
1567 FINANCIAL CONTROL ANALYST							
01732 001	1.00-	62,000-	1,270-	36,464-	99,734-	0.00	99,734-
01862 001	1.00-	62,000-		21,332-	83,332-	0.00	83,332-
01900 001	1.00-	62,000-	646-	32,109-	94,755-	0.00	94,755-
02074 001	1.00-	62,000-		31,983-	93,983-	0.00	93,983-
02077 001	1.00-	62,000-	1,270-	32,231-	95,501-	0.00	95,501-
04519 001	1.00-	62,000-		8,438-	70,438-	0.00	70,438-
04686 001	1.00-	62,000-	1,270-	32,231-	95,501-	0.00	95,501-
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
04455 001	1.00-	63,228-		22,097-	85,325-	0.00	85,325-
04462 001	1.00-	70,000-		29,357-	99,357-	0.00	99,357-
04494 001	1.00-	68,145-		35,013-	103,158-	0.00	103,158-
04605 001	1.00-	64,140-		34,228-	98,368-	0.00	98,368-
04629 001	1.00-	63,228-		34,049-	97,277-	0.00	97,277-
04693 001	1.00-	64,281-		34,255-	98,536-	0.00	98,536-
04925 001	1.00-	56,378-		32,707-	89,085-	0.00	89,085-
1584 AREA FINANCIAL MANAGER - SES							

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
04325 001	1.00-	81,342-		31,090-	112,432-	0.00 112,432-
04480 001	1.00-	68,497-		35,082-	103,579-	0.00 103,579-
04512 001	1.00-	68,497-		35,082-	103,579-	0.00 103,579-
04513 001	1.00-	72,659-		14,285-	86,944-	0.00 86,944-
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						
78.00-	4,046,395-	26,736-	1,946,793-	6,019,924-		6,019,924-

TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	91.00	12,336,359				2000
SALARY RATE.....		6,188,780				

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,307,959			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	76.00			
REGULATORY TRUST FUND -STATE	6,182,300			2573 1
=====				
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE	4,512			2573 1
=====				
EXPENSES				040000
REGULATORY TRUST FUND -STATE	469,447			2573 1
=====				
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND -STATE	4,566			2573 1
=====				
SPECIAL CATEGORIES				100000
ANTI-FRAUD INV & EDU				100531
ANTI-FRAUD TRUST FUND -STATE	200,336			2038 1
=====				
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND -STATE	349,500			2573 1
=====				
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	29,657			2573 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE		27,253		2573 1
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		24,612		2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	76.00			
TOTAL ISSUE.....		7,292,183		
TOTAL SALARY RATE.....		4,307,959		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE		3,998-		2573 1
SALARY INCREASE FY 2022-23 -				
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				1001315
SALARY RATE				000000
SALARY RATE.....	230,638			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		276,655		2573 1
TOTAL: SALARY INCREASE FY 2022-23 -				1001315
STATEWIDE 5.38% PAY INCREASE -				
EFFECTIVE 7/1/2022				
TOTAL ISSUE.....		276,655		
TOTAL SALARY RATE.....	230,638			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASE FY 2022-23 -				
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				1001325
SALARY RATE				000000
SALARY RATE.....	109-			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	130-			2573 1
=====				
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND -STATE	73			2573 1
=====				
TOTAL: SALARY INCREASE FY 2022-23 -				1001325
STATEWIDE \$15 MINIMUM WAGE INCREASE				
- EFFECTIVE 7/1/2022				
TOTAL ISSUE.....	57-			
TOTAL SALARY RATE.....	109-			
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2022-23 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1002010
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	47,038			2573 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2023-24 POS	AMOUNT	AGY REQ N/R FY 2023-24 POS	AMOUNT	AG REQ ANZ FY 2023-24 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SECURITIES REGULATION							43900570
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE		2,654					2573 1
=====							
WORKLOAD							3000000
STAFFING/WORKLOAD INCREASE -							
OFFICE OF FINANCIAL REGULATION -							
SECURITIES - BUREAU OF REGISTRATION							3000060
SALARY RATE							000000
SALARY RATE.....		473,890					
=====							
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE		8.00					
REGULATORY TRUST FUND -STATE		727,261					2573 1
=====							
OTHER PERSONAL SERVICES							030000
REGULATORY TRUST FUND -STATE		100,000					2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND -STATE		50,336		35,936			2573 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE		2,441					2573 1
=====							
TOTAL: STAFFING/WORKLOAD INCREASE -							3000060
OFFICE OF FINANCIAL REGULATION -							
SECURITIES - BUREAU OF REGISTRATION							
TOTAL POSITIONS.....		8.00					
TOTAL ISSUE.....		880,038		35,936			
TOTAL SALARY RATE.....		473,890					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
STAFFING/WORKLOAD INCREASE -				
OFFICE OF FINANCIAL REGULATION -				
SECURITIES - BUREAU OF REGISTRATION				3000060

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 OFFICE OF FINANCIAL REGULATION - SECURITIES REGULATION - BUREAU OF REGISTRATION WORKLOAD ISSUE

Priority #303

- Reference to Long-Range Program Plan:
- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace
- Goal #4: Register companies and individuals

The Office of Financial Regulation (OFR), Division of Securities, has seen a dramatic increase in the number of securities industry applications received over the last two years. This increase has resulted in increased time to review applications and request additional information as well as days to approve completed applications. In the last three years, the number of applications for new registrations has increased from 53,273 in FY19-20 to 72,604 in FY21-22, with no increase in staffing. Additionally, the Division receives approximately 2,950 amendment transactions per month through the Central Registration Depository (CRD) that require review and action by an analyst. In addition to new applications, the same analysts process amendment transactions. Currently, the Bureau of Registration has 13 full-time analysts devoted to processing securities industry applications and amendments; that is 27,040 staff hours available each year. At the current volume, more than 43,000 staff hours would be required to process all incoming applications and amendments for the year. The deficit is approximately 16,272 hours of work. These hours only consider the work associated with new securities industry applications and new amendments filed through the Central Registration Depository (CRD).

Currently, there are an additional 9,094 unreviewed amendment transactions that require some level of review on the part of an analyst. These amendments will require another 9,000 to 11,000 hours of staff time, depending on complexity. Between new applications and amendments (16,272 hours) and unreviewed amendments (using an average of 10,000 hours), the total deficit in available hours is 26,272 hours of staff time, or 12.6 FTE. Given the high volume of applications and

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
STAFFING/WORKLOAD INCREASE -				
OFFICE OF FINANCIAL REGULATION -				
SECURITIES - BUREAU OF REGISTRATION				3000060

demands to meet statutory deadlines, the Division is barely able to devote any resources to processing amendments. Without additional resources, the Division will not be able to timely process amendments. The OFR is requesting budget authority for 8 FTEs and \$100,000 in OPS to have the available personnel to work new applications and new and unreviewed amendments. The additional 16,640 man hours will allow the Division to process the current volume of applications and amendments and also allow for peaks and valleys in application and amendment volume to be handled without the need to reduce staffing levels in the future. The total recurring cost is approximately \$803,284.

NOTE: The applications being "worked" by staff are those with a Criminal Background Check or Regulatory Disclosures. These more complex applications are typically worked by Financial Examiner/Analyst (FEA) IIs and above. These positions would be supervised by a single Financial Examiner/Analyst Supervisor (SES). These are positions that require either a college degree or substantial experience. As the total hours required exceeds the requested FTE, OPS funds are being requested to allow the Division to more easily adjust staffing levels to handle seasonal peaks and potential changes in market conditions that could impact overall workloads.

ISSUE SUMMARY:

This request is for eight (8) FTE and approximately \$880,038 in associated budget authority (Salaries, Expense, and HR) and \$100,000 in OPS for the Division of Securities - Bureau of Registration to address workload issues associated with increased application volumes and the processing of amendments.

Detail of Costs:

FTE	Description	Amount	Non-Recurring
-----	-----	-----	-----
8	Add Salaries and Benefits	\$727,261	\$0
	Expenses	\$50,336	\$35,936
	OPS	\$100,000	\$0
	HR	\$2,441	\$0
	Issue Total	\$880,038	\$35,936

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
STAFFING/WORKLOAD INCREASE -				
OFFICE OF FINANCIAL REGULATION -				
SECURITIES - BUREAU OF REGISTRATION				3000060

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
NEW POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
N0100 001	1.00	56,000	1,270	31,058	88,328	0.00	88,328
N0101 001	1.00	56,000	1,270	31,058	88,328	0.00	88,328
N0102 001	1.00	56,000	1,270	31,058	88,328	0.00	88,328
N0103 001	1.00	56,000	1,270	31,058	88,328	0.00	88,328
N0104 001	1.00	56,000	1,270	31,058	88,328	0.00	88,328
N0105 001	1.00	56,000	1,270	31,058	88,328	0.00	88,328
N0106 001	1.00	56,000	1,270	31,058	88,328	0.00	88,328
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
N0107 001	1.00	73,000		35,965	108,965	0.00	108,965

TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							727,261
	8.00	465,000	8,890	253,371	727,261		727,261
	=====	=====	=====	=====	=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70
SALARY RATE				000000
SALARY RATE.....	4,087,532			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	62.00			
-STATE	5,828,426			2573 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND				
-STATE	200,000-			2573 1
	=====	=====	=====	
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A70
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				
TOTAL POSITIONS.....	62.00			
TOTAL ISSUE.....	5,628,426			
TOTAL SALARY RATE.....	4,087,532			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD

Priority #301 and 302

Reference to Long-Range Program Plan:
 Goal #1: Improve Taxpayer Value
 Goal #2: Deliver Value to Businesses

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Goal #3: Promote a Safe and Sound Financial Marketplace

Goal #4: Register companies and individuals

This issue is linked to issue 3003A90.

The Office of Financial Regulation (OFR or Office) is request additional recurring funds vital to the ability to attract, hire, and retain qualified regulatory staff. New salary survey data collected for this request, combined with the demonstrated successes in hiring and retaining regulatory staff in our Division of Financial Institutions (Banking), clearly demonstrates the overwhelming need for these additional resources. The detail of the classes for this issue mirrors the recruitment and retention issue approved last year and targets a portion of those same regulatory staff. The request impacts 144 FTE and six separate class codes. The amount associated with the request will increase the available funding in Securities, Consumer Finance, and Financial Investigations up to the same amount per FTE, by class, as was requested for Banking last year. The data available last year was heavily weighted by salary survey information in the financial institutions/banking areas. With the new salary survey data, for the other two regulatory programs, OFR is able to clearly show that last year's issue underestimated the market value of the regulatory positions in the areas outside of Banking. This is evidenced in the new salary surveys and by the continued high vacancy and attrition rates in Securities, Consumer Finance, and Financial Investigations. It has also been demonstrated by the overwhelming success of Banking in hiring and retaining staff that even modest increases in starting salaries and flexibility in providing increases for current staff will allow divisions to fill vacancies and retain quality staff. This issue does not seek to directly compete with the salaries offered by the Feds or in the private sector, but simply to bring Securities and Consumer Finance up to levels that have been demonstrated to allow for the hiring of quality staff.

An additional salary survey is in progress for the Bureau of Financial Investigations that will provide needed comparison data for those investigative positions. At the time this issue was input that study was still to be completed. The Bureau anticipates the study to show Florida lagging behind other state and federal regulatory and criminal justice agencies for comparable investigative positions. Furthermore, hiring and attrition data show that our ability to hire and retain staff is being adversely impacted by lower than average salaries. With nine (9) of thirty-nine investigative positions currently vacant, the Bureau continues to struggle in hiring new, qualified, investigators losing eight (8) investigators in the last year, while only being able to hire four (4). Once the salary survey is completed, OFR anticipates putting forth an amended issue requesting sufficient additional budget authority to start competing for investigators and to stem the tide of attrition.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through salary surveys performed by the Office clearly show that Florida continues to be ranked near the bottom in average annual salary for examiners, with the average of those states surveyed being almost \$40,000 higher than the Florida average in the area of Consumer Finance. At the Federal level when comparing the Division of Securities to the SEC and FINRA the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500. In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics.

The Office has identified eleven (11) class codes responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These are all "working managers" who have active roles in the day-to-day regulatory activities of the Office. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Examiner/Analyst Supv - SES
- Area Financial Administrator (AFM)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The individual positions associated with the class codes used in calculating this issue are only to determine the aggregate need. The Office is not seeking across-the-board pay increases, nor is OFR seeking to increase the DMS minimum/base pay of any class. The requested authority is intended to give sufficient overall authority to the Office in order to provide compensation at, or near industry averages, based on the quality of the work performed and/or the experience of the candidates. Individual position numbers and associated individual salaries are only used for the calculation of the aggregate amount and total potential need. The target salaries are an internal estimate of the salary range needed to retain employees for a sufficient duration as to allow the Office to recoup the cost of training these employees.

On October 3, 2022 there were forty-three (43) vacant positions in the Consumer Finance and Securities budget entities,

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

representing more than \$3.2M in total costs (more than \$2.13M in base salary). These same divisions have hired ten (10) new employees since July 1 of 2022 but have had twenty-two (22) separations and one (1) retirement in that same period.

As an offset against the increase associated with higher recurring Salary and Benefits, the OFR is requesting a (\$500,000) recurring reduction in the Defer Presentment Contract category in the Division of Consumer Finance. As a result of COVID-19 and federal stimulus funding, decreased financial market conditions have persisted in the payday loan industry resulting in lower transaction volumes and lower overall contract costs. The Division does not anticipate any significant changes in trends in these loan products and feels that the reduction in appropriation will not in any way hinder the ability to meet the obligations of the contract with the remaining appropriation. In the last two contract years, the total contract cost has been \$2.27M and \$2.04M respectively. Even at the higher cost of the two years, the category would still see reversions of almost \$200,000 after a (\$500,000) reduction.

Similarly, the Division of Securities is offering to reduce the current recurring appropriation in the CONTRACTED SERVICES category (100777) by (\$200,000) in order to offset the additional recurring Salary and Benefits costs. Spending in this category has been lower over the past five years, averaging around \$50,000 annually, and the balance of the appropriated funds have been reverting. This reduction would not hinder the Division's operations.

ISSUE SUMMARY:

\$1.86M in additional Salaries and Benefits to be used by Securities, Consumer Finance, and Financial Investigations, with a proposed offset of recurring budget of (\$500,000) in the Defer Presentment Contract category (100513) for Consumer Finance and a (\$200,000) reduction to the Contracted Services category (100777) in the Division of Securities. The NET increase in recurring appropriation is \$1.16M. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

ISSUE BACKGROUND:

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's three (3) regulatory divisions have direct and primary regulatory oversight of seventeen (17) separate statutes, issues charters, licenses, and registrations of forty-two (42) different financial services licenses, for approximately 519,000 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations
- Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

- Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units perform the registration, examination, and investigation of entities in the financial arena delivering services to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 519,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). Approximately 90% of staff participate directly in performing the day-to-day regulatory functions of the Office.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>SECURITIES REGULATION</u>						43900570
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

Training for each division is provided through a combination of peer-led training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

Irrespective of which segment of the financial services industry is being examined, the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to People First records, from 2018 to 2022, 199 employees have voluntarily separated from the OFR for the reason of outside employment; of those, 159 have been direct regulatory staff and 19 have been attorneys. This represents an average of nearly 40 employees per year, or almost 20% of the regulatory staff in any one year. The data also shows that the average tenure of our examinations staff is now between 66 and 80 months, over the four business areas. This tenure, of five to six years, directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations. The Office's goal is to have retention periods that roughly double the advanced training periods required in each business unit, extending our retention timelines to an average of six to nine years.

Detail of Costs:

SALARIES and BENEFITS (010000):

Budget Entity	FTE	Description	Salary Amount	Salary Rate
43900540	4	Add Salaries and Benefits	\$469,943	320,500
43900560	78	Add Salaries and Benefits	\$6,998,080	4,883,966
43900570	62	Add Salaries and Benefits	\$5,828,426	4,087,532
43900540	(4)	Deduct Salaries and Benefits	(\$439,073)	(294,688)
43900560	(78)	Deduct Salaries and Benefits	(\$6,019,924)	(4,073,131)
43900570	(62)	Deduct Salaries and Benefits	(\$4,975,380)	(3,374,685)

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COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>SECURITIES REGULATION</u>						43900570
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

S&B Sub-Total: \$1,862,072 1,549,494

DEFER PRESENTMENT CONTRACT (100513):

Budget Entity	Description	Amount
43900560	Recurring reduction in appropriation for the contract for the Deferred Presentment Transaction Database.	(\$500,000)

CONTRACTED SERVICES (10077):

Budget Entity	Description	Amount
43900570	Recurring reduction in appropriation from the Contracted Services category.	(\$200,000)
	Issue Total	===== \$1,162,072

This issue is linked to issue 3003A90.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

1554 FINANCIAL EXAMINER/ANALYST I

01644 001	1.00	48,000		18,594	66,594	0.00	66,594
04168 001	1.00	48,000		18,594	66,594	0.00	66,594
04449 001	1.00	48,000		23,876	71,876	0.00	71,876
04661 001	1.00	48,000		29,245	77,245	0.00	77,245

1564 FINANCIAL EXAMINER/ANALYST II

01745 001	1.00	56,000		25,441	81,441	0.00	81,441
01939 001	1.00	56,000		30,810	86,810	0.00	86,810
04280 001	1.00	56,000		30,810	86,810	0.00	86,810
04476 001	1.00	56,000		30,810	86,810	0.00	86,810
04546 001	1.00	56,000		30,810	86,810	0.00	86,810
04642 001	1.00	56,000		20,159	76,159	0.00	76,159
04655 001	1.00	56,000		25,441	81,441	0.00	81,441
04673 001	1.00	56,000		25,441	81,441	0.00	81,441
04741 001	1.00	56,000		20,159	76,159	0.00	76,159
04772 001	1.00	56,000	646	25,567	82,213	0.00	82,213
04851 001	1.00	56,000		30,810	86,810	0.00	86,810
04857 001	1.00	56,000		20,159	76,159	0.00	76,159
04873 001	1.00	56,000		30,810	86,810	0.00	86,810

1566 FINANCIAL SPECIALIST

01876 001	1.00	64,500		32,472	96,972	0.00	96,972
01941 001	1.00	64,500	1,270	32,720	98,490	0.00	98,490
04028 001	1.00	64,500	1,270	27,351	93,121	0.00	93,121
04051 001	1.00	64,500		32,472	96,972	0.00	96,972
04054 001	1.00	64,500		27,103	91,603	0.00	91,603
04253 001	1.00	64,500	1,270	27,351	93,121	0.00	93,121
04324 001	1.00	64,500	646	27,230	92,376	0.00	92,376
04409 001	1.00	64,500		21,821	86,321	0.00	86,321

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

04438 001	1.00	64,500	646	21,948	87,094	0.00	87,094
04441 001	1.00	64,500		23,465	87,965	0.00	87,965
04495 001	1.00	64,500		26,136	90,636	0.00	90,636
04529 001	1.00	64,500		27,103	91,603	0.00	91,603
04643 001	1.00	64,500		32,472	96,972	0.00	96,972
04656 001	1.00	64,500	1,270	27,351	93,121	0.00	93,121
04658 001	1.00	64,500		21,821	86,321	0.00	86,321
04664 001	1.00	64,500	1,270	27,351	93,121	0.00	93,121
04669 001	1.00	64,500		32,472	96,972	0.00	96,972
04675 001	1.00	64,500		27,103	91,603	0.00	91,603
04771 001	1.00	64,500	1,270	22,069	87,839	0.00	87,839
04862 001	1.00	64,500	1,270	27,351	93,121	0.00	93,121
04871 001	1.00	64,500		21,821	86,321	0.00	86,321
04905 001	1.00	64,500		32,472	96,972	0.00	96,972
04916 001	1.00	64,500	1,270	32,720	98,490	0.00	98,490
1567 FINANCIAL CONTROL ANALYST							
01746 001	1.00	73,000	1,270	23,733	98,003	0.00	98,003
01747 001	1.00	73,000		34,135	107,135	0.00	107,135
01878 001	1.00	73,000		34,135	107,135	0.00	107,135
01879 001	1.00	73,000	1,270	23,733	98,003	0.00	98,003
01938 001	1.00	73,000	646	23,610	97,256	0.00	97,256
01940 001	1.00	73,000	1,270	34,384	108,654	0.00	108,654
04286 001	1.00	73,000		28,766	101,766	0.00	101,766
04310 001	1.00	73,000	646	34,261	107,907	0.00	107,907
04385 001	1.00	73,000	1,270	34,384	108,654	0.00	108,654
04652 001	1.00	73,000	646	28,892	102,538	0.00	102,538
04663 001	1.00	73,000		34,135	107,135	0.00	107,135
04672 001	1.00	73,000		28,766	101,766	0.00	101,766

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>SECURITIES REGULATION</u>						43900570
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04803 001	1.00	73,000	646	28,892	102,538	0.00	102,538
04852 001	1.00	73,000	1,270	34,384	108,654	0.00	108,654
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
04059 001	1.00	73,000		24,013	97,013	0.00	97,013
04870 001	1.00	73,000		35,965	108,965	0.00	108,965
1584 AREA FINANCIAL MANAGER - SES							
04411 001	1.00	82,500		25,874	108,374	0.00	108,374
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							5,256,805
	57.00	3,654,000	21,032	1,581,773	5,256,805		5,256,805

NEW POSITIONS

1584 AREA FINANCIAL MANAGER - SES							
04651 001	1.00	82,500		31,807	114,307	0.00	114,307
04657 001	1.00	82,500		37,826	120,326	0.00	120,326
04666 001	1.00	82,500		31,807	114,307	0.00	114,307
04671 001	1.00	82,500		25,874	108,374	0.00	108,374
04697 001	1.00	82,500		31,807	114,307	0.00	114,307

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						571,621
5.00	412,500		159,121	571,621		571,621

OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90
SALARY RATE						000000
SALARY RATE..... 3,374,685-						
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE 62.00-						
4,975,380-						2573 1
TOTAL: OFFICE OF FINANCIAL REGULATION -						3003A90
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						
TOTAL POSITIONS..... 62.00-						
TOTAL ISSUE..... 4,975,380-						
TOTAL SALARY RATE..... 3,374,685-						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT

Priority #301 and 302

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace
- Goal #4: Register companies and individuals

This issue is linked to issue 3003A70.

The Office of Financial Regulation (OFR or Office) is request additional recurring funds vital to the ability to attract, hire, and retain qualified regulatory staff. New salary survey data collected for this request, combined with the demonstrated successes in hiring and retaining regulatory staff in our Division of Financial Institutions (Banking), clearly demonstrates the overwhelming need for these additional resources. The detail of the classes for this issue mirrors the recruitment and retention issue approved last year and targets a portion of those same regulatory staff. The request impacts 144 FTE and six separate class codes. The amount associated with the request will increase the available funding in Securities, Consumer Finance, and Financial Investigations up to the same amount per FTE, by class, as was requested for Banking last year. The data available last year was heavily weighted by salary survey information in the financial institutions/banking areas. With the new salary survey data, for the other two regulatory programs, OFR is able to clearly show that last year's issue underestimated the market value of the regulatory positions in the areas outside of Banking. This is evidenced in the new salary surveys and by the continued high vacancy and attrition rates in Securities, Consumer Finance, and Financial Investigations. It has also been demonstrated by the overwhelming success of

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Banking in hiring and retaining staff that even modest increases in starting salaries and flexibility in providing increases for current staff will allow divisions to fill vacancies and retain quality staff. This issue does not seek to directly compete with the salaries offered by the Feds or in the private sector, but simply to bring Securities and Consumer Finance up to levels that have been demonstrated to allow for the hiring of quality staff.

An additional salary survey is in progress for the Bureau of Financial Investigations that will provide needed comparison data for those investigative positions. At the time this issue was input that study was still to be completed. The Bureau anticipates the study to show Florida lagging behind other state and federal regulatory and criminal justice agencies for comparable investigative positions. Furthermore, hiring and attrition data show that our ability to hire and retain staff is being adversely impacted by lower than average salaries. With nine (9) of thirty-nine investigative positions currently vacant, the Bureau continues to struggle in hiring new, qualified, investigators losing eight (8) investigators in the last year, while only being able to hire four (4). Once the salary survey is completed, OFR anticipates putting forth an amended issue requesting sufficient additional budget authority to start competing for investigators and to stem the tide of attrition.

Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through salary surveys performed by the Office clearly show that Florida continues to be ranked near the bottom in average annual salary for examiners, with the average of those states surveyed being almost \$40,000 higher than the Florida average in the area of Consumer Finance. At the Federal level when comparing the Division of Securities to the SEC and FINRA the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500. In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics.

The Office has identified eleven (11) class codes responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These are all "working managers" who have active roles in the day-to-day regulatory activities of the Office. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Examiner/Analyst Supv - SES
- Area Financial Administrator (AFM)
- Financial Investigator
- Senior Management Analyst II

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90
Senior Financial Investigator				
Financial Investigator - Criminal Enforcement				
Financial Investigator - Economic Crimes				

The individual positions associated with the class codes used in calculating this issue are only to determine the aggregate need. The Office is not seeking across-the-board pay increases, nor is OFR seeking to increase the DMS minimum/base pay of any class. The requested authority is intended to give sufficient overall authority to the Office in order to provide compensation at, or near industry averages, based on the quality of the work performed and/or the experience of the candidates. Individual position numbers and associated individual salaries are only used for the calculation of the aggregate amount and total potential need. The target salaries are an internal estimate of the salary range needed to retain employees for a sufficient duration as to allow the Office to recoup the cost of training these employees.

On October 3, 2022 there were forty-three (43) vacant positions in the Consumer Finance and Securities budget entities, representing more than \$3.2M in total costs (more than \$2.13M in base salary). These same divisions have hired ten (10) new employees since July 1 of 2022 but have had twenty-two (22) separations and one (1) retirement in that same period.

As an offset against the increase associated with higher recurring Salary and Benefits, the OFR is requesting a (\$500,000) recurring reduction in the Defer Presentment Contract category in the Division of Consumer Finance. As a result of COVID-19 and federal stimulus funding, decreased financial market conditions have persisted in the payday loan industry resulting in lower transaction volumes and lower overall contract costs. The Division does not anticipate any significant changes in trends in these loan products and feels that the reduction in appropriation will not in any way hinder the ability to meet the obligations of the contract with the remaining appropriation. In the last two contract years, the total contract cost has been \$2.27M and \$2.04M respectively. Even at the higher cost of the two years, the category would still see reversions of almost \$200,000 after a (\$500,000) reduction.

Similarly, the Division of Securities is offering to reduce the current recurring appropriation in the CONTRACTED SERVICES category (100777) by (\$200,000) in order to offset the additional recurring Salary and Benefits costs. Spending in this category has been lower over the past five years, averaging around \$50,000 annually, and the balance of the appropriated funds have been reverting. This reduction would not hinder the Division's operations.

ISSUE SUMMARY:

\$1.86M in additional Salaries and Benefits to be used by Securities, Consumer Finance, and Financial Investigations, with

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

a proposed offset of recurring budget of (\$500,000) in the Defer Presentment Contract category (100513) for Consumer Finance and a (\$200,000) reduction to the Contracted Services category (100777) in the Division of Securities. The NET increase in recurring appropriation is \$1.16M. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

ISSUE BACKGROUND:

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's three (3) regulatory divisions have direct and primary regulatory oversight of seventeen (17) separate statutes, issues charters, licenses, and registrations of forty-two (42) different financial services licenses, for approximately 519,000 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-PartVI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units perform the registration, examination, and investigation of entities in the financial arena delivering services to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 519,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). Approximately 90% of staff participate directly in performing the day-to-day regulatory functions of the Office.

Training for each division is provided through a combination of peer-led training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

Irrespective of which segment of the financial services industry is being examined, the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to People First records, from 2018 to 2022, 199 employees have voluntarily separated from the OFR for the reason of outside employment; of those, 159 have been direct regulatory staff and 19 have been attorneys. This represents an average of nearly 40 employees per year, or almost 20% of the regulatory staff in any one year. The data also shows that the average tenure of our examinations staff is now between 66 and 80 months, over the four business areas. This tenure, of five to six years, directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations. The Office's goal is to have retention periods that roughly double the advanced training periods required in each business unit, extending our retention timelines to an average of six to nine years.

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2023-24	POS	AGY REQ N/R FY 2023-24	POS	AG REQ ANZ FY 2023-24	POS	
AMOUNT		AMOUNT		AMOUNT		
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

Detail of Costs:

SALARIES and BENEFITS (010000):

Budget Entity	FTE	Description	Salary Amount	Salary Rate
43900540	4	Add Salaries and Benefits	\$469,943	320,500
43900560	78	Add Salaries and Benefits	\$6,998,080	4,883,966
43900570	62	Add Salaries and Benefits	\$5,828,426	4,087,532
43900540	(4)	Deduct Salaries and Benefits	(\$439,073)	(294,688)
43900560	(78)	Deduct Salaries and Benefits	(\$6,019,924)	(4,073,131)
43900570	(62)	Deduct Salaries and Benefits	(\$4,975,380)	(3,374,685)
S&B Sub-Total:			\$1,862,072	1,549,494

DEFER PRESENTMENT CONTRACT (100513):

Budget Entity	Description	Amount
43900560	Recurring reduction in appropriation for the contract for the Deferred Presentment Transaction Database.	(\$500,000)

CONTRACTED SERVICES (10077):

Budget Entity	Description	Amount
43900570	Recurring reduction in appropriation from the Contracted Services category.	(\$200,000)
Issue Total		\$1,162,072

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2023-24	POS	AGY REQ N/R FY 2023-24	POS	AG REQ ANZ FY 2023-24	POS	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT						3003A90

This issue is linked to issue 3003A70.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1554 FINANCIAL EXAMINER/ANALYST I							
01644 001	1.00-	36,000-		16,247-	52,247-	0.00	52,247-
04168 001	1.00-	36,000-		16,247-	52,247-	0.00	52,247-
04449 001	1.00-	36,000-		21,529-	57,529-	0.00	57,529-
04661 001	1.00-	36,000-		26,898-	62,898-	0.00	62,898-
1564 FINANCIAL EXAMINER/ANALYST II							
01745 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
01939 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04280 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04476 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04546 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04642 001	1.00-	46,000-		18,203-	64,203-	0.00	64,203-
04655 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
04673 001	1.00-	46,000-		23,485-	69,485-	0.00	69,485-
04741 001	1.00-	46,000-		18,203-	64,203-	0.00	64,203-
04772 001	1.00-	46,000-	646-	23,611-	70,257-	0.00	70,257-
04851 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
04857 001	1.00-	46,000-		18,203-	64,203-	0.00	64,203-
04873 001	1.00-	46,000-		28,854-	74,854-	0.00	74,854-
1566 FINANCIAL SPECIALIST							
01876 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-
01941 001	1.00-	54,000-	1,270-	30,667-	85,937-	0.00	85,937-
04028 001	1.00-	54,000-	1,270-	25,298-	80,568-	0.00	80,568-
04051 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-

COL A03		COL A04		COL A05		CODES	
AGY REQUEST	AGY REQ N/R	AGY REQ ANZ					
FY 2023-24	FY 2023-24	FY 2023-24					
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT		
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SECURITIES REGULATION							43900570
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
WORKLOAD							3000000
OFFICE OF FINANCIAL REGULATION -							
RECRUITMENT AND RETENTION FOR							
EXAMINERS, ANALYSTS AND							
INVESTIGATORS - DEDUCT							3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

04054 001	1.00-	54,000-		25,049-	79,049-	0.00	79,049-
04253 001	1.00-	54,000-	1,270-	25,298-	80,568-	0.00	80,568-
04324 001	1.00-	54,000-	646-	25,175-	79,821-	0.00	79,821-
04409 001	1.00-	54,000-		19,767-	73,767-	0.00	73,767-
04438 001	1.00-	54,000-	646-	19,893-	74,539-	0.00	74,539-
04441 001	1.00-	54,000-		21,411-	75,411-	0.00	75,411-
04495 001	1.00-	54,000-		23,380-	77,380-	0.00	77,380-
04529 001	1.00-	54,000-		25,049-	79,049-	0.00	79,049-
04643 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-
04656 001	1.00-	54,000-	1,270-	25,298-	80,568-	0.00	80,568-
04658 001	1.00-	54,000-		19,767-	73,767-	0.00	73,767-
04664 001	1.00-	54,000-	1,270-	25,298-	80,568-	0.00	80,568-
04669 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-
04675 001	1.00-	54,000-		25,049-	79,049-	0.00	79,049-
04771 001	1.00-	54,000-	1,270-	20,016-	75,286-	0.00	75,286-
04862 001	1.00-	54,000-	1,270-	25,298-	80,568-	0.00	80,568-
04871 001	1.00-	54,000-		19,767-	73,767-	0.00	73,767-
04905 001	1.00-	54,000-		30,418-	84,418-	0.00	84,418-
04916 001	1.00-	54,000-	1,270-	30,667-	85,937-	0.00	85,937-
1567 FINANCIAL CONTROL ANALYST							
01746 001	1.00-	62,000-	1,270-	21,580-	84,850-	0.00	84,850-
01747 001	1.00-	70,000-		33,548-	103,548-	0.00	103,548-
01878 001	1.00-	62,000-		31,983-	93,983-	0.00	93,983-
01879 001	1.00-	62,000-	1,270-	21,580-	84,850-	0.00	84,850-
01938 001	1.00-	62,000-	646-	21,458-	84,104-	0.00	84,104-
01940 001	1.00-	62,000-	1,270-	32,231-	95,501-	0.00	95,501-
04286 001	1.00-	62,000-		26,614-	88,614-	0.00	88,614-
04310 001	1.00-	62,000-	646-	32,109-	94,755-	0.00	94,755-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2023-24		FY 2023-24		FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
-----	-----------	-----------	----------	----------	---------	------------------------------

A03 - AGY REQUEST FY 2023-24

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

04385 001	1.00-	68,000-	1,270-	33,405-	102,675-	0.00	102,675-
04652 001	1.00-	62,000-	646-	26,740-	89,386-	0.00	89,386-
04663 001	1.00-	62,000-		31,983-	93,983-	0.00	93,983-
04672 001	1.00-	62,000-		26,614-	88,614-	0.00	88,614-
04803 001	1.00-	62,000-	646-	26,740-	89,386-	0.00	89,386-
04852 001	1.00-	62,000-	1,270-	32,231-	95,501-	0.00	95,501-
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
04059 001	1.00-	54,797-		20,444-	75,241-	0.00	75,241-
04870 001	1.00-	56,038-		32,640-	88,678-	0.00	88,678-
1584 AREA FINANCIAL MANAGER - SES							
04411 001	1.00-	68,497-		23,130-	91,627-	0.00	91,627-
04651 001	1.00-	55,590-		26,534-	82,124-	0.00	82,124-
04657 001	1.00-	67,838-		34,954-	102,792-	0.00	102,792-
04666 001	1.00-	55,590-		26,534-	82,124-	0.00	82,124-
04671 001	1.00-	73,713-		24,152-	97,865-	0.00	97,865-
04697 001	1.00-	55,590-		26,534-	82,124-	0.00	82,124-

TOTALS FOR ISSUE BY FUND
 2573 REGULATORY TRUST FUND

62.00-	3,353,653-	21,032-	1,600,695-	4,975,380-		4,975,380-
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - ADD				3004A70
SALARY RATE				000000
SALARY RATE.....	35,288			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	1.00			
-STATE	51,353			2573 1
	=====	=====	=====	
TOTAL: OFFICE OF FINANCIAL REGULATION -				3004A70
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - ADD				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	51,353			
TOTAL SALARY RATE.....	35,288			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION OF MANAGEMENT AND PROFESSIONAL SERVICES STAFF - ADD

Priority #305 and 306

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace
- Goal #4: Register companies and individuals

This issue is linked to issue 3004A80.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - ADD				3004A70

The Office of Financial Regulation (OFR or Office) is requesting approximately \$227,546 in additional recurring Salaries and Benefits (010000) budget authority to recruit and retain critical administrative, support, and management staff. These staff are those operations, IT, management, and professional services staff who support the statutory and regulatory functions of the Office. As the majority of the included positions in this issue are filled, the primary focus of the issue is the retention of staff. However, in the event any of these positions is vacated, the amount needed to recruit a quality candidate is projected to exceed the current salary of the position, based on the statewide average for the included class codes. There are 9 separate class codes, covering a broad spectrum of duties within the Executive Direction & Support Services budget entity. Every aspect of operations: budget, fiscal, IT, records management, emergency management, operations, and communications are performed by these managers and their staff. Every regulatory function flows through staff in these areas and without the ability to attract, hire, and retain quality, experienced personnel the administrative, managerial and operational capabilities of the Office will be compromised. Additionally, ever increasing information technology needs, the general competition for quality staff, and the inflation of salaries in all sectors (public and private) has made the hiring and retention of quality IT professionals nearly impossible. Without additional salary resources, the Office will face significant risks in the planned transitions to a new regulatory platform. This request compares the salaries of OFR's staff with the statewide average salaries of all of other agencies employing the same class code and seeks to provide sufficient authority to be able to meet that average as a tool for both retention and recruitment in the future.

The Class Titles included in this issue are exclusive from those in the Office's request related to Recruitment and Retention of Regulatory, Examination, and Investigation positions. Each of the nine classes included are budgeted and paid below the statewide average. Currently, the Office does not have sufficient overall salary budget to allow for any substantive raises in these classes; with a projected recurring annual payroll of \$6,358,577 and an appropriation of \$6,412,825. This difference of approximately \$50,000 would be insufficient to allow for any substantive retention efforts and would almost certainly be nearly wiped-out should any incumbent vacate their position and the Office be forced compete on the open market for a new candidate.

The included classes are:

Class title (code)	ofr avg salary	statewide avg salary*
ADMINISTRATIVE ASSISTANT I (0709)	\$34,601	\$35,288
OPERATIONS MANAGER C-SES (9119)	\$75,658	\$79,156
COMMUNICATIONS COORDINATOR (7596)	\$60,000	\$67,850
RESOURCE MANAGEMENT ADMINISTRATOR - SES (2980)	\$77,105	\$113,968
PLANNING & BUDGETING ADMINISTRATOR (8382)	\$102,687	\$123,294

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - ADD				3004A70
COMMUNICATIONS DIRECTOR (9556)			\$98,841	\$105,614
INSPECTOR GENERAL (9238)			\$115,918	\$124,038
GENERAL COUNSEL (8579)			\$121,187	\$132,557
PROGRAM DIRECTOR - A (9973)			\$115,000**	\$129,414

* - Statewide averages are computed from actual payroll data provided by DMS as of 8/29/2022.
 ** - Estimate is based on \$5,000 above the base salary amount appropriated for the position.

For each of the classes in the proposed issue, the Office has only one FTE, except for the ADMINISTRATIVE ASSISTANT I position, where there are five FTE. Though it is not the intent of the Office that this issue be a direct correlation to pay raises for individual positions, it is understood the importance of responsibly allocating all appropriated funds to ensure they are used for the purpose intended by the Legislature. Any additional appropriation resulting from this request will be used by the Office in accordance with the requested purpose.

In addition to the specific classes shown above, the Office continues to plan for future hiring needs with regard to the planned replacement of the REAL system. Internal staffing related to Information Technology and Business Analytics needed to support the Office's transition from REAL and to support our ongoing efforts at process automation and data analysis requires more technical and specialized staff. In order to compete for those individuals qualified to meet the needs of the Office we must have additional salary budget. These functions are currently being performed by GOVERNMENT ANALYST II (2225). As the functions and duties of this class vary greatly across state agencies, it is difficult to directly compare the salary ranges, but OFR is requesting the class be added within those allowed to draw from the recruitment and retention funds in order to better compete for the technical staff needed.

Detail of Costs:

SALARIES and BENEFITS (010000):				
Budget Entity	FTE	Description	Salary Amount	Salary Rate
-----	-----	-----	-----	-----
43900550	11	Add Salaries and Benefits	\$1,527,282	983,025
43900570	1	Add Salaries and Benefits	\$51,353	35,288
43900550	(11)	Deduct Salaries and Benefits	(\$1,310,771)	(866,310)
43900570	(1)	Deduct Salaries and Benefits	(\$40,318)	(33,722)
=====				

	COL A03	COL A04	COL A05		
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ		
	FY 2023-24	FY 2023-24	FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	
				AMOUNT	
				CODES	
FINANCIAL SERVICES					43000000
PGM: FINANCIAL SVCS COMM					43900000
OFFICE OF FINANCIAL REG					43900500
SECURITIES REGULATION					43900570
PUBLIC PROTECTION					12
REGULATION AND LICENSING					1204.00.00.00
WORKLOAD					3000000
OFFICE OF FINANCIAL REGULATION -					
RECRUITMENT AND RETENTION OF					
MANAGEMENT AND PROFESSIONAL					
SERVICES STAFF - ADD					3004A70
				Issue Total:	\$227,546
					118,281

This issue is linked to issue 3004A80.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0709 ADMINISTRATIVE ASSISTANT I							
04382 001	1.00	35,288		16,065	51,353	0.00	51,353
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							51,353
	1.00	35,288		16,065	51,353		51,353

	COL A03	COL A04	COL A05		
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ		
	FY 2023-24	FY 2023-24	FY 2023-24		
POS	AMOUNT	POS	AMOUNT	POS	
				AMOUNT	
				CODES	
FINANCIAL SERVICES					43000000
PGM: FINANCIAL SVCS COMM					43900000
OFFICE OF FINANCIAL REG					43900500
<u>SECURITIES REGULATION</u>					43900570
PUBLIC PROTECTION					12
<u>REGULATION AND LICENSING</u>					<u>1204.00.00.00</u>
WORKLOAD					3000000
OFFICE OF FINANCIAL REGULATION -					
RECRUITMENT AND RETENTION OF					
MANAGEMENT AND PROFESSIONAL					
SERVICES STAFF - DEDUCT					3004A80
SALARY RATE					000000
SALARY RATE.....	33,722-				
	=====	=====	=====		
SALARIES AND BENEFITS					010000
REGULATORY TRUST FUND	1.00-				
-STATE		40,318-			2573 1
	=====	=====	=====		
TOTAL: OFFICE OF FINANCIAL REGULATION -					3004A80
RECRUITMENT AND RETENTION OF					
MANAGEMENT AND PROFESSIONAL					
SERVICES STAFF - DEDUCT					
TOTAL POSITIONS.....	1.00-				
TOTAL ISSUE.....		40,318-			
TOTAL SALARY RATE.....	33,722-				
	=====	=====	=====		

AGENCY ISSUE NARRATIVE:

2023-2024 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION OF MANAGEMENT AND PROFESSIONAL SERVICES STAFF - ADD

Priority #305 and 306

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace
- Goal #4: Register companies and individuals

This issue is linked to issue 3004A70.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - DEDUCT				3004A80

The Office of Financial Regulation (OFR or Office) is requesting approximately \$227,546 in additional recurring Salaries and Benefits (010000) budget authority to recruit and retain critical administrative, support, and management staff. These staff are those operations, IT, management, and professional services staff who support the statutory and regulatory functions of the Office. As the majority of the included positions in this issue are filled, the primary focus of the issue is the retention of staff. However, in the event any of these positions is vacated, the amount needed to recruit a quality candidate is projected to exceed the current salary of the position, based on the statewide average for the included class codes. There are 9 separate class codes, covering a broad spectrum of duties within the Executive Direction & Support Services budget entity. Every aspect of operations: budget, fiscal, IT, records management, emergency management, operations, and communications are performed by these managers and their staff. Every regulatory function flows through staff in these areas and without the ability to attract, hire, and retain quality, experienced personnel the administrative, managerial and operational capabilities of the Office will be compromised. Additionally, ever increasing information technology needs, the general competition for quality staff, and the inflation of salaries in all sectors (public and private) has made the hiring and retention of quality IT professionals nearly impossible. Without additional salary resources, the Office will face significant risks in the planned transitions to a new regulatory platform. This request compares the salaries of OFR's staff with the statewide average salaries of all of other agencies employing the same class code and seeks to provide sufficient authority to be able to meet that average as a tool for both retention and recruitment in the future.

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PLANNING & BUDGETING ADMINISTRATOR (8382)	\$102,687	\$123,294

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2023-24	FY 2023-24	FY 2023-24	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION OF				
MANAGEMENT AND PROFESSIONAL				
SERVICES STAFF - DEDUCT				3004A80
COMMUNICATIONS DIRECTOR (9556)			\$98,841	\$105,614
INSPECTOR GENERAL (9238)			\$115,918	\$124,038
GENERAL COUNSEL (8579)			\$121,187	\$132,557
PROGRAM DIRECTOR - A (9973)			\$115,000**	\$129,414

- * - Statewide averages are computed from actual payroll data provided by DMS as of 8/29/2022.
- ** - Estimate is based on \$5,000 above the base salary amount appropriated for the position.

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Detail of Costs:

SALARIES and BENEFITS (010000):				
Budget Entity	FTE	Description	Salary Amount	Salary Rate
-----	-----	-----	-----	-----
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43900570	1	Add Salaries and Benefits	\$51,353	35,288
43900550	(11)	Deduct Salaries and Benefits	(\$1,310,771)	(866,310)
43900570	(1)	Deduct Salaries and Benefits	(\$40,318)	(33,722)
=====				

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2023-24	POS	AGY REQ N/R FY 2023-24	POS	AG REQ ANZ FY 2023-24	POS	
AMOUNT		AMOUNT		AMOUNT		
FINANCIAL SERVICES						
PGM: FINANCIAL SVCS COMM						
OFFICE OF FINANCIAL REG						
SECURITIES REGULATION						
PUBLIC PROTECTION						
REGULATION AND LICENSING						
WORKLOAD						
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION OF						
MANAGEMENT AND PROFESSIONAL						
SERVICES STAFF - DEDUCT						
						43000000
						43900000
						43900500
						43900570
						12
						<u>1204.00.00.00</u>
						3000000
						3004A80
Issue Total:				\$227,546	118,281	

This issue is linked to issue 3004A70.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2023-24							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0709 ADMINISTRATIVE ASSISTANT I							
04382 001	1.00-	33,722-		6,596-	40,318-	0.00	40,318-
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							40,318-
	1.00-	33,722-		6,596-	40,318-		40,318-

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE	84.00						
TRUST FUNDS.....	9,158,594	35,936				2000	
SALARY RATE.....	5,726,791						


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*****
* BPEADL01                                STATISTICAL INFORMATION                                10/14/2022 08:02:19 *
* BUDGET PERIOD: 2013-2024                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 09/16/2015                COMPILE TIME: 09:40:41                                PAGE:      1      *
*****
*                                     SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: ED3A
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
* ITEMIZATION OF EXPENDITURE:                IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7:                LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED):
*   5
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
*
* FUND GROUPS SET:          OR FUND:                FUNDING SOURCE IDENTIFIER:                MERGE FSI (Y/N): N
* FCO (Y/N): Y          FTE (Y/N): Y                SALARY RATE (Y/N): Y
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED):
*   3
*
* REPORT OPTION: 1          COLUMN SELECTION: A03          A04          A05                CODES
* 1=EAD REPORT
* 2=SCHEDULE IV/IT ISSUES          REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N  THAT EXCEED:
* 3=STATEWIDE ISSUES
* 4=SCHEDULE VIIIA ISSUES
* SCHEDULE VIIIA ISSUES SPREADSHEET (Y/N): N
*
* LEVELS OF TOTALS:  (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: N          ITEM OF EXP: N          GROUP: N          DEPARTMENT: N          DIVISION: N          BUREAU: N
* SUB-BUREAU: N          LBE: T          POLICY AREA: N          PROG COMP: T          D3A SUM ISSUE: N          D3A DETAIL ISSUE: L
* MAJOR APP CAT: N          MINOR APP CAT: D
*
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)          REPORT SEQUENCE: DEPT/BUDGET ENTITY: N  A=ALPHABETICAL
*                                     PROGRAM COMPONENT: N  N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:                PROGRAM COMPONENT NARRATIVE (Y/N): N
*
* ISSUE/ACTIVITY NARRATIVE SET: A1          PRIORITY ISSUE NARRATIVE SET (1-9):
*
* INCLUDE POSITION DATA (Y/N): Y
*
* INCLUDE COLUMN CODES (Y/N): Y
*
* OUTPUT FORMAT: L          PAGE BREAKS: LBE  PRC
* L=LANDSCAPE                (IOE, GRP, DEP, DIV,          REPORT HEADING:                EXHIBIT D-3A
* P=PORTRAIT                BUR, SUB, LBE, PRC,          EXPENDITURES BY
*                                     SIS, ISC)                ISSUE AND APPROPRIATION CATEGORY
* -----

```

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*****
* BPEADL01                               STATISTICAL INFORMATION                               10/14/2022 08:02:19 *
* BUDGET PERIOD: 2013-2024                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 09/16/2015                COMPILE TIME: 09:40:41                               PAGE:      2      *
*****
*
* TOTAL RECORDS READ FROM SORT:           836
* TOTAL RECORDS READ FROM CARD:           43
* TOTAL PAF RECORDS READ:                 585
* TOTAL OAF RECORDS READ:                 2
* TOTAL IEF RECORDS READ:                 0
* TOTAL BGF RECORDS READ:                 0
* TOTAL BEF RECORDS READ:                 69
* TOTAL PCF RECORDS READ:                 66
* TOTAL ICF RECORDS READ:                 371
* TOTAL INF RECORDS READ:                 3,353
* TOTAL ACF RECORDS READ:                 104
* TOTAL FCF RECORDS READ:                 15
* TOTAL FSF RECORDS READ:                 10
* TOTAL PCN RECORDS READ:                 0
* TOTAL BEN RECORDS READ:                 0
* TOTAL DPC RECORDS READ:                 174
* TOTAL RECORDS IN ERROR:                 0
*
*****
*
* BUDGET ENTITIES SELECTED:
*   1-9: 43
*  10-18:
*  19-27:
*
*****

```