

| BUDGET ENTITY | D3A ISSUE CODE | COLUMN NUMBERS | CODE | ERROR MESSAGE | PAGE |
|---------------|----------------|----------------|------|---------------|------|
|---------------|----------------|----------------|------|---------------|------|

THERE WERE 0 ERRORS DETECTED

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|-----------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | | | 43900530 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 1800640 |
| SALARIES AND BENEFITS | | | | | | 010000 |
| FINANCIAL INST REG TF -STATE | | 61,758- | | | 61,758- | 2275 1 |
| EXPENSES | | | | | | 040000 |
| FINANCIAL INST REG TF -STATE | | 1,800- | | | 1,800- | 2275 1 |
| SPECIAL CATEGORIES | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | 107040 |
| FINANCIAL INST REG TF -STATE | | 305- | | | 305- | 2275 1 |
| TOTAL: REALIGN ADMINISTRATIVE POSITIONS | | | | | | 1800640 |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | |
| TOTAL ISSUE..... | | 63,863- | | | 63,863- | |

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2022-23 Narrative after December 15, 2021

OFFICE OF FINANCIAL REGULATION REALIGN ADMINISTRATIVE POSITIONS WITHIN AGENCY - DEDUCT

This request will improve the Office's ability to reach the following goals:

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | | | 43900530 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | 1204.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 1800640 |

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

ISSUE SUMMARY: This is a new issue.

This issue requests the approval to transfer five (5) administrative positions from the Division of Financial Institutions, Division of Consumer Finance and the Division of Securities to Executive Direction.

This realignment of positions will centralize the supervision of administrative support staff in the agency's regional offices and allow the workload to be completed in a more timely and efficient manner.

This issue is linked to issue 1800650.

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|-------------------------------|------------|---------------|
| (1) | Reduce Admin. Asst. II, PG 18 | | |
| | Salaries and Benefits | (\$61,758) | \$0 |
| (1) | Reduce Expenses \$1,800 each | (\$1,800) | \$0 |
| (1) | HR Assessment \$305 each | (\$305) | \$0 |

| COL A12 | | COL A14 | | COL A15 | | COL A16 | | COL A14-A12 | | CODES |
|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|------------------------------------------|---------------------------|-------|
| AGY FIN REQ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 | POS AMOUNT | AGY AMD N/R FY 2022-23 | POS AMOUNT | AGY AMD ANZ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 OVER(UNDER) | AGY FIN REQ FY 2022-23 | |

| | | | | | | | | | | |
|---------------------------------------------------------------------------------------|--|--|--|--|--|--|--|--|--|---------------|
| FINANCIAL SERVICES | | | | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | | | | | | | 43900530 |
| <u>PUBLIC PROTECTION</u> | | | | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | | | | 1204.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS WITHIN OFFICE OF FINANCIAL REGULATION - DEDUCT | | | | | | | | | | 1800640 |

Issue Total (\$63,863) \$0

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|-------------------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A14 - AGY AMD REQ FY 2022-23 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| 0712 ADMINISTRATIVE ASSISTANT II | | | | | | | |
| C4210 001 | 1.00- | 38,595- | 1,270- | 21,893- | 61,758- | 0.00 | 61,758- |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2275 FINANCIAL INST REG TF | | | | | | | 61,758- |
| | 1.00- | 38,595- | 1,270- | 21,893- | 61,758- | | 61,758- |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | | | 43900530 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | 1204.00.00.00 |
| SCHEDULE VIIIIB REDUCTIONS - | | | | | | |
| OPERATING | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | | | | | | |
| FINANCIAL REGULATION | | | | | | 33B2210 |
| EXPENSES | | | | | | 040000 |
| FINANCIAL INST REG TF -STATE | | 94,087- | | | 94,087- | 2275 1 |

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Amended 2022-23 Narrative after December 15, 2021

OFFICE OF FINANCIAL REGULATION - REDUCTION OF RENT

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;

Issue Description/Need: Beginning in mid-2020, the Office of Financial Regulation (OFR) began the process of incorporating telework into the day-to-day operational norms of the Office. This process led to the eventual and permanent downsizing of our office space needs. The first space we have been able to vacate, and back-fill, is a portion of the Fletcher Building in Tallahassee. The Office has agreed to a backfill arrangement with the Department of Financial Services for the space OFR occupied in the Tampa, Orlando, and Miami regional offices. This will allow the OFR to consolidate staff and operations in these offices. This reduction, from 34,297 sq. ft. to approximately 16,042 sq. ft. will result in a calculated savings of \$313,621 annually.

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
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| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | | | 43900530 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| SCHEDULE VIIIIB REDUCTIONS - | | | | | | |
| OPERATING | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | | | | | | |
| FINANCIAL REGULATION | | | | | | 33B2210 |

Four (4) OFR Budget Entities have office space allocated in the regional offices. The reduction in budget authority is based on the calculated differences in old and new square foot usage.

Ultimate Outcome: A reduction of operating expenditures that will save the tax payers, and licensees more than \$313,621 in recurring rent costs, with no impact to operations.

THE OFFICE IS REQUESTING THIS ISSUE BE TAKEN BY THE LEGISLATURE.

Expenses:

| Quantity | Description | Amount | Non-Recurring |
|----------|----------------|------------|---------------|
| ----- | ----- | ----- | ----- |
| | Expenses | (\$94,087) | \$0 |
| | Total Expenses | (\$94,087) | \$0 |
| | Issue Total | (\$94,087) | \$0 |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------------|-------------|-------------|-------------|-------------|-------------|-----------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | POS |
| | | | | | | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - ADD | | | | | | 3005330 |
| SALARIES AND BENEFITS | | | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 942,611 | | | | | 942,611- 2021 1 |
| EXPENSES | | | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | 304,412 | | | | | 304,412- 2021 1 |
| SPECIAL CATEGORIES | | | | | | 100000 |
| ACQUISITION/MOTOR VEHICLES | | | | | | 100021 |
| ADMINISTRATIVE TRUST FUND -STATE | 186,400 | | | | | 186,400- 2021 1 |
| TOTAL: ESTABLISH LAW ENFORCEMENT SWORN | | | | | | 3005330 |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - ADD | | | | | | |
| TOTAL ISSUE..... | 1,433,423 | | | | | 1,433,423- |

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

ESTABLISH LAW ENFORCEMENT SWORN UNIT - OFFICE OF FINANCIAL REGULATION - FINANCIAL INVESTIGATIONS - ADD

This request will improve the Office's ability to reach the following goals:

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - ADD | | | | | | 3005330 |

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Office is pursuing substantive legislation to grant law enforcement status to the Bureau of Financial Investigations (Bureau). This need is based on the diminishing availability of local, state, and federal law enforcement resources capable of assisting the Office non-sworn staff in pursuing criminal investigations related to financial crimes. The substantive bill would be accompanied by a request for approximately \$817,791 and would not require any additional FTE.

Currently, the Bureau is designated by statute to be a Criminal Justice Agency (CJA) and its investigators qualify as "investigative officers" under Florida law. The Bureau is not currently designated as a Law Enforcement Agency, and none of its investigative personnel are certified as sworn Law Enforcement Officers (LEO). As a CJA, the Bureau's investigators have the ability to utilize certain confidential databases in connection with its criminal investigations, including obtaining confidential information from the Criminal Justice Information Services (CJIS), the Highway Safety and Motor Vehicles (DAVID), and the Financial Criminal Enforcement Network (FINCEN). The Bureau's investigators can also engage in other overt investigative activities (including issuing subpoenas and demands for sworn written statements), as well as utilizing other covert investigative techniques (including engaging in undercover operations and limited consensual monitoring).

At present the Bureau relies on local, state and federal law enforcement partners in order to effect arrests, execute search and seizure warrants and to administer photo line-ups. Because most Bureau enforcement cases are criminal in nature, investigators must turn to partner agencies to bring these cases to resolution; this despite the fact that in most instances these cases are started by Bureau investigators who often remain the leading case agents throughout the investigation. Where the partnering agency is a federal one, however, Bureau personnel most often must yield control of the investigation to their law enforcement counterparts in order to ensure that the cases can move forward towards prosecution. With law enforcement authority vested in the Bureau, this dependence on outside resources would no longer be a necessity and cases could be expected to move more efficiently through the state's criminal justice system.

At the local level, agency law enforcement partners include police departments in many large metropolitan areas of the state including Miami-Dade, Broward and Palm Beach Counties. At the state level, agency partners include the Florida Department of Law Enforcement and the Department of Financial Services Bureau of Investigative and Forensic Services. At

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - ADD | | | | | | 3005330 |

the federal level, agency partners include the Federal Bureau of Investigation, the United States Secret Service, the United States Postal Inspection Service, the Internal Revenue Service, the Department of Homeland Security, the Federal Housing Finance Agency and others.

While the Bureau could conceivably continue to rely on its law enforcement partners to provide assistance in bringing financial predators to justice, doing so places an inordinate amount of responsibility upon those outside agencies and makes the Bureau beholden to the availability of those outside resources to meet its mission to protect consumers and investors. This problem is anticipated to become even more critical as the Bureau pursues proactive investigative activities and strategies that may involve a somewhat higher degree of risk to investigator safety including, for example: undercover, surveillance and consensual monitoring activities, serving subpoenas and orders on subjects/targets of the investigations, and performing surprise ("knock and talk") interviews and non-custodial interrogations with targets, subjects and their affiliates. The long-term reliance on our external partner entities to assist with these types of necessary and repetitive investigative activities for investigator safety purposes may prevent the Division from carrying out our mission.

However, because the Bureau has not been designated as a Law Enforcement Agency, significant constraints exist that limit (and will continue to limit) the Bureau's overall effectiveness. The following is a summary of the expected benefits resulting from changing the Bureau's designation from a CJA to a Law Enforcement Agency:

1. Enhanced Credibility

Being designated as a law enforcement agency, with sworn and certified law enforcement officers would immediately enhance the overall credibility of the Bureau when dealing with prosecutors and our law enforcement partners, as well as when dealing with the public at large (including witnesses, subjects and targets involved in the Bureau's investigations). The Bureau's ability to successfully refer its cases for criminal prosecution would be greatly enhanced if it had the ability to not only investigate cases and make the referral, but also had the legal authority as a Law Enforcement Agency to make the arrests when the cases were prosecuted. Presently, as a CJA, the Bureau has no such arrest powers.

2. Increased Investigator Safety

Adding a law enforcement component to the Bureau would immediately enhance the overall safety of its investigative officers and other personnel who assist in conducting the Bureau's criminal investigations. Generally speaking, witnesses (including subjects and targets) who are being interviewed (either in an office setting or in the field) are much more likely to cooperate, and less likely to become confrontational, abusive or threatening with a law enforcement officer rather than with an unsworn "investigator officer."

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
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| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
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| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCIAL INVESTIGATIONS</u> | | | | | | 43900540 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - ADD | | | | | | 3005330 |

Having at least some of the Bureau's personnel in each of the regional offices certified as LEOs would enhance the overall level of safety for the Bureau's employees within each office. The sworn LEOs could assist the other (non-sworn) investigators in connection with the performance of other potentially hazardous, but necessary investigative activities that the Bureau's investigators currently perform, including: interviewing subjects and/or targets on a live, unannounced ("knock and talk") basis; personally serving subpoenas or other Court Orders; and conducting unannounced site visits or other covert surveillance activities. Currently, the Bureau's non-sworn, investigative officers are essentially defenseless while performing these types of investigative activities, and must rely upon the suspect's good nature and willingness to peacefully cooperate.

3.Enhanced Proactive/Undercover Capabilities

Having sworn LEOs within the Bureau will also enhance the Bureau's ability to conduct a wider range of proactive, undercover operations and consensual monitoring activities in the future. Currently, for safety considerations, the Bureau conducts undercover operations either over the telephone or via electronic (email) communications; likewise, the Bureau's current plans for conducting consensually monitored recordings involve only telephonic communications, rather than face-to-face communications, where the suspect may be more likely to open up and speak freely to the undercover investigator.

In addition, by being designated as a Law Enforcement Agency, the Bureau's investigators would also be able to seek to obtain wire taps, pen registers and trap and trace orders from the court in appropriate cases. These powerful evidence gathering techniques are not available to CJAs.

4.Arrest Powers

Designating the Bureau as a law enforcement agency would also enable its sworn and certified investigators to make arrests, either on their own cases, or in conjunction with coordinated activities with its other state or federal partners. Presently, the Bureau's non-sworn, investigative officers are only able to prepare, sign and present affidavits to the Court supporting a finding of probable cause for the issuance of an arrest warrant. By statute, they are not authorized to execute an arrest warrant. Thus, after obtaining said arrest warrants, the Bureau's investigators have to then convince other local LEO to actually execute the warrant and effect the arrest. In some instances, this has caused unnecessary delays as these other local police departments are also dealing with their own limited staff resource issues and competing case priorities.

5.Search and Seizure Warrant Capabilities

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
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| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
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| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCIAL INVESTIGATIONS</u> | | | | | | 43900540 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - ADD | | | | | | 3005330 |

Likewise, being designated as a Law Enforcement Agency would also enable the Bureau to execute search and/or seizure warrants when issued by a judge based upon probable cause. Here again, the Bureau's investigators presently are able to develop evidence supporting a finding of probable cause, and they may also draft and present the probable cause affidavit to a judge for issuance; the Bureau's investigators are not authorized to actually execute the search and seizure warrant itself, and must depend on their ability to hind other LEOs willing to complete the job. Thus, if the Bureau had certified LEOs in its ranks, those officers could be used to obtain, serve and execute search and seizure warrants, with the assistance of the Bureau's non-sworn, investigators and other partners working the case.

6. Use of Photo Lineups in Criminal Investigations

Presently, the Bureau's investigators are not permitted under the Florida Statutes to prepare a photo lineup (aka photo array) in connection with their criminal investigations. A photo lineup is essentially composed of a set of pictures that an officer would show to a victim/witness to see if he or she recognizes the perpetrator of a crime. A positive identification could lead to the arrest of an otherwise undisclosed control person of a criminal enterprise, and the identification can be used as prosecutorial evidence in court. However, pursuant to Section 92.70(3), Florida Statutes, photo arrays can only be prepared by LEOs, not by investigative officers of a criminal justice agency. Thus, in cases where the Bureau investigates a potential criminal offense with its own resources, this investigative tool cannot be utilized to obtain potentially powerful evidence necessary to convict the perpetrator.

7. Participation in Federal Task Force Asset Forfeiture & Revenue Sharing Programs

Presently, the Bureau is a participant in an FBI Economic Crimes Task Force operating in Tampa, Florida, which is intended to investigate criminal cases that could generate significant asset forfeitures. The Memorandum of Understanding between OFR and the FBI relating to this Task Force indicates that the FBI intends to share such asset forfeitures with the OFR, to the extent permitted by law. Unfortunately, the relevant rules and regulations dealing with federal forfeiture prohibit the sharing of forfeiture funds with any non-law enforcement agency. Thus, designating the Bureau as a Law Enforcement Agency would enable the Bureau to receive its share of the forfeiture obtained from its efforts working on this (and potential other) Task Force(s).

ISSUE SUMMARY:

This issue seeks approximately \$817,791 in new recurring budget authority (Salaries, Expense, and Acquisition of Motor Vehicles) to aid the office in meeting the cost for equipping and certifying the Office of financial Regulation - Bureau of Investigations as a law enforcement entity. The additional Salaries and Benefits appropriation is to meet the special risk requirements for the sworn personnel. This issue is linked to issue 3005370.

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCIAL INVESTIGATIONS</u> | | | | | | 43900540 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - ADD | | | | | | 3005330 |

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|-------------------------------|-------------|---------------|
| 9 | Add Salaries and Benefits | \$942,611 | \$0 |
| (9) | Deduct Salaries and Benefits | (\$615,632) | \$0 |
| | Total Salaries and Benefits | \$326,979 | \$0 |
| | Expenses | \$304,412 | \$164,432 |
| | Acquisition of Motor Vehicles | \$186,400 | \$186,400 |
| | Issue Total | \$817,791 | \$350,832 |

Amended 2022-23 Narrative after December 15, 2021

This issue has been withdrawn by the Office.

| COL A12 | | COL A14 | | COL A15 | | COL A16 | | COL A14-A12 | | CODES |
|---------------------------------|---------------|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|------------------------------------------|---------------------------|---------------|
| AGY FIN REQ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 | POS AMOUNT | AGY AMD N/R FY 2022-23 | POS AMOUNT | AGY AMD ANZ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 OVER(UNDER) | AGY FIN REQ FY 2022-23 | |
| FINANCIAL SERVICES | | | | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | | | | | |
| REGULATION - FINANCIAL | | | | | | | | | | |
| INVESTIGATIONS - ADD | | | | | | | | | | 3005330 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|-------------------------------------------|-----------|-----------|----------|----------|--------------|------------------------------|
| A12 - AGY FIN REQ FY 2022-23 | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | |
| 8581 SPECIAL AGENT | | | | | | |
| 01860 001 | 1.00 | 70,000 | | 32,785 | 102,785 0.00 | 102,785 |
| 04171 001 | 1.00 | 70,000 | 5,001 | 23,058 | 98,059 0.00 | 98,059 |
| 04240 001 | 1.00 | 70,000 | | 22,134 | 92,134 0.00 | 92,134 |
| 04434 001 | 1.00 | 70,000 | | 22,134 | 92,134 0.00 | 92,134 |
| 04644 001 | 1.00 | 70,000 | | 32,785 | 102,785 0.00 | 102,785 |
| 04709 001 | 1.00 | 70,000 | 5,001 | 33,709 | 108,710 0.00 | 108,710 |
| 04722 001 | 1.00 | 70,000 | 5,001 | 13,896 | 88,897 0.00 | 88,897 |
| 04780 001 | 1.00 | 70,000 | 5,001 | 33,709 | 108,710 0.00 | 108,710 |
| 8584 SPECIAL AGENT SUPERVISOR | | | | | | |
| 01919 001 | 1.00 | 103,500 | 5,001 | 39,896 | 148,397 0.00 | 148,397 |
| TOTALS FOR ISSUE BY FUND | | | | | | |
| 2021 ADMINISTRATIVE TRUST FUND | | | | | | |
| 9.00 | 663,500 | 25,005 | 254,106 | 942,611 | | 942,611 |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCIAL INVESTIGATIONS</u> | | | | | | 43900540 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - DEDUCT | | | | | | 3005370 |
| SALARIES AND BENEFITS | | | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 615,632- | | | | 615,632 | 2021 1 |

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

ESTABLISH LAW ENFORCEMENT SWORN UNIT - OFFICE OF FINANCIAL REGULATION - FINANCIAL INVESTIGATIONS - DEDUCT

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Office is pursuing substantive legislation to grant law enforcement status to the Bureau of Financial Investigations (Bureau). This need is based on the diminishing availability of local, state, and federal law enforcement resources capable of assisting the Office non-sworn staff in pursuing criminal investigations related to financial crimes. The substantive bill would be accompanied by a request for approximately \$817,791 and would not require any additional FTE.

Currently, the Bureau is designated by statute to be a Criminal Justice Agency (CJA) and its investigators qualify as

| COL A12 | | COL A14 | | COL A15 | | COL A16 | | COL A14-A12 | | CODES |
|---------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------|
| AGY FIN REQ FY 2022-23 | AGY AMD REQ FY 2022-23 | AGY AMD N/R FY 2022-23 | AGY AMD ANZ FY 2022-23 | AGY FIN REQ FY 2022-23 | AGY AMD REQ FY 2022-23 | AGY AMD N/R FY 2022-23 | AGY AMD ANZ FY 2022-23 | AGY FIN REQ FY 2022-23 | AGY AMD REQ FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | | | | | |
| REGULATION - FINANCIAL | | | | | | | | | | |
| INVESTIGATIONS - DEDUCT | | | | | | | | | | 3005370 |

"investigative officers" under Florida law. The Bureau is not currently designated as a Law Enforcement Agency, and none of its investigative personnel are certified as sworn Law Enforcement Officers (LEO). As a CJA, the Bureau's investigators have the ability to utilize certain confidential databases in connection with its criminal investigations, including obtaining confidential information from the Criminal Justice Information Services (CJIS), the Highway Safety and Motor Vehicles (DAVID), and the Financial Criminal Enforcement Network (FINCEN). The Bureau's investigators can also engage in other overt investigative activities (including issuing subpoenas and demands for sworn written statements), as well as utilizing other covert investigative techniques (including engaging in undercover operations and limited consensual monitoring).

However, because the Bureau has not been designated as a Law Enforcement Agency, significant constraints exist that limit (and will continue to limit) the Bureau's overall effectiveness. The following is a summary of the expected benefits resulting from changing the Bureau's designation from a CJA to a Law Enforcement Agency:

1. Enhanced Credibility

Being designated as a law enforcement agency, with sworn and certified law enforcement officers would immediately enhance the overall credibility of the Bureau when dealing with prosecutors and our law enforcement partners, as well as when dealing with the public at large (including witnesses, subjects and targets involved in the Bureau's investigations). The Bureau's ability to successfully refer its cases for criminal prosecution would be greatly enhanced if it had the ability to not only investigate cases and make the referral, but also had the legal authority as a Law Enforcement Agency to make the arrests when the cases were prosecuted. Presently, as a CJA, the Bureau has no such arrest powers.

2. Increased Investigator Safety

Adding a law enforcement component to the Bureau would immediately enhance the overall safety of its investigative officers and other personnel who assist in conducting the Bureau's criminal investigations. Generally speaking, witnesses (including subjects and targets) who are being interviewed (either in an office setting or in the field) are much more likely to cooperate, and less likely to become confrontational, abusive or threatening with a law enforcement officer rather than with an unsworn "investigator officer."

Having at least some of the Bureau's personnel in each of the regional offices certified as LEOs would enhance the overall level of safety for the Bureau's employees within each office. The sworn LEOs could assist the other (non-sworn) investigators in connection with the performance of other potentially hazardous, but necessary investigative activities that the Bureau's investigators currently perform, including: interviewing subjects and/or targets on a live, unannounced ("knock and talk") basis; personally serving subpoenas or other Court Orders; and conducting unannounced site

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | POS |
| | | | | | | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCIAL INVESTIGATIONS</u> | | | | | | 43900540 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - DEDUCT | | | | | | 3005370 |

visits or other covert surveillance activities. Currently, the Bureau's non-sworn, investigative officers are essentially defenseless while performing these types of investigative activities, and must rely upon the suspect's good nature and willingness to peacefully cooperate.

3. Enhanced Proactive/Undercover Capabilities

Having sworn LEOs within the Bureau will also enhance the Bureau's ability to conduct a wider range of proactive, undercover operations and consensual monitoring activities in the future. Currently, for safety considerations, the Bureau conducts undercover operations either over the telephone or via electronic (email) communications; likewise, the Bureau's current plans for conducting consensually monitored recordings involve only telephonic communications, rather than face-to-face communications, where the suspect may be more likely to open up and speak freely to the undercover investigator.

In addition, by being designated as a Law Enforcement Agency, the Bureau's investigators would also be able to seek to obtain wire taps, pen registers and trap and trace orders from the court in appropriate cases. These powerful evidence gathering techniques are not available to CJAs.

4. Arrest Powers

Designating the Bureau as a law enforcement agency would also enable its sworn and certified investigators to make arrests, either on their own cases, or in conjunction with coordinated activities with its other state or federal partners. Presently, the Bureau's non-sworn, investigative officers are only able to prepare, sign and present affidavits to the Court supporting a finding of probable cause for the issuance of an arrest warrant. By statute, they are not authorized to execute an arrest warrant. Thus, after obtaining said arrest warrants, the Bureau's investigators have to then convince other local LEO to actually execute the warrant and effect the arrest. In some instances, this has caused unnecessary delays as these other local police departments are also dealing with their own limited staff resource issues and competing case priorities.

5. Search and Seizure Warrant Capabilities

Likewise, being designated as a Law Enforcement Agency would also enable the Bureau to execute search and/or seizure warrants when issued by a judge based upon probable cause. Here again, the Bureau's investigators presently are able to develop evidence supporting a finding of probable cause, and they may also draft and present the probable cause affidavit to a judge for issuance; the Bureau's investigators are not authorized to actually execute the search and seizure warrant itself, and must depend on their ability to find other LEOs willing to complete the job. Thus, if the Bureau had certified LEOs in its ranks, those officers could be used to obtain, serve and execute search and seizure warrants, with

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - DEDUCT | | | | | | 3005370 |

the assistance of the Bureau's non-sworn, investigators and other partners working the case.

6. Use of Photo Lineups in Criminal Investigations

Presently, the Bureau's investigators are not permitted under the Florida Statutes to prepare a photo lineup (aka photo array) in connection with their criminal investigations. A photo lineup is essentially composed of a set of pictures that an officer would show to a victim/witness to see if he or she recognizes the perpetrator of a crime. A positive identification could lead to the arrest of an otherwise undisclosed control person of a criminal enterprise, and the identification can be used as prosecutorial evidence in court. However, pursuant to Section 92.70(3), Florida Statutes, photo arrays can only be prepared by LEOs, not by investigative officers of a criminal justice agency. Thus, in cases where the Bureau investigates a potential criminal offense with its own resources, this investigative tool cannot be utilized to obtain potentially powerful evidence necessary to convict the perpetrator.

7. Participation in Federal Task Force Asset Forfeiture & Revenue Sharing Programs

Presently, the Bureau is a participant in an FBI Economic Crimes Task Force operating in Tampa, Florida, which is intended to investigate criminal cases that could generate significant asset forfeitures. The Memorandum of Understanding between OFR and the FBI relating to this Task Force indicates that the FBI intends to share such asset forfeitures with the OFR, to the extent permitted by law. Unfortunately, the relevant rules and regulations dealing with federal forfeiture prohibit the sharing of forfeiture funds with any non-law enforcement agency. Thus, designating the Bureau as a Law Enforcement Agency would enable the Bureau to receive its share of the forfeiture obtained from its efforts working on this (and potential other) Task Force(s).

ISSUE SUMMARY:

This issue seeks approximately \$817,791 in new recurring budget authority (Salaries, Expense, and Acquisition of Motor Vehicles) to aid the office in meeting the cost for equipping and certifying the Office of financial Regulation - Bureau of Investigations as a law enforcement entity. The additional Salaries and Benefits appropriation is to meet the special risk requirements for the sworn personnel. This issue is linked to issue 3005330.

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|---------------------------|-----------|---------------|
| 9 | Add Salaries and Benefits | \$942,611 | \$0 |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCIAL INVESTIGATIONS</u> | | | | | | 43900540 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | |
| REGULATION - FINANCIAL | | | | | | |
| INVESTIGATIONS - DEDUCT | | | | | | 3005370 |
| (9) Deduct Salaries and Benefits | | (\$615,632) | \$0 | | | |
| Total Salaries and Benefits | | \$326,979 | \$0 | | | |
| Expenses | | \$304,412 | \$164,432 | | | |
| Acquisition of Motor Vehicles | | \$186,400 | \$186,400 | | | |
| Issue Total | | \$817,791 | \$164,432 | | | |

Amended 2022-23 Narrative after December 15, 2021

This issue has been withdrawn by the Office.

| COL A12 | | COL A14 | | COL A15 | | COL A16 | | COL A14-A12 | | CODES |
|---------------------------------|---------------|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|------------------------------------------|---------------------------|---------------|
| AGY FIN REQ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 | POS AMOUNT | AGY AMD N/R FY 2022-23 | POS AMOUNT | AGY AMD ANZ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 OVER(UNDER) | AGY FIN REQ FY 2022-23 | |
| FINANCIAL SERVICES | | | | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | | | | | 3000000 |
| ESTABLISH LAW ENFORCEMENT SWORN | | | | | | | | | | |
| UNIT - OFFICE OF FINANCIAL | | | | | | | | | | |
| REGULATION - FINANCIAL | | | | | | | | | | |
| INVESTIGATIONS - DEDUCT | | | | | | | | | | 3005370 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|----------------------------------------------|-----------|-----------|----------|----------|---------|------------------------------|
| A12 - AGY FIN REQ FY 2022-23 | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | |
| 8325 FINANCIAL INVESTIGATOR-CRIMINAL ENFORCE | | | | | | |
| 01860 001 | 1.00- | 45,842- | 22,997- | 68,839- | 0.00 | 68,839- |
| 04171 001 | 1.00- | 44,812- | 22,807- | 67,619- | 0.00 | 67,619- |
| 04240 001 | 1.00- | 46,100- | 23,045- | 69,145- | 0.00 | 69,145- |
| 04434 001 | 1.00- | 44,337- | 22,719- | 67,056- | 0.00 | 67,056- |
| 04644 001 | 1.00- | 45,835- | 22,995- | 68,830- | 0.00 | 68,830- |
| 04709 001 | 1.00- | 45,835- | 22,995- | 68,830- | 0.00 | 68,830- |
| 04722 001 | 1.00- | 46,350- | 23,091- | 69,441- | 0.00 | 69,441- |
| 04780 001 | 1.00- | 51,500- | 24,042- | 75,542- | 0.00 | 75,542- |
| 8351 SENIOR FINANCIAL INVESTIGATOR | | | | | | |
| 01919 001 | 1.00- | 38,660- | 21,670- | 60,330- | 0.00 | 60,330- |
| TOTALS FOR ISSUE BY FUND | | | | | | |
| 2021 ADMINISTRATIVE TRUST FUND | | | | | | |
| 9.00- | 409,271- | | 206,361- | 615,632- | | 615,632- |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | | | 43900540 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| SCHEDULE VIIIIB REDUCTIONS - | | | | | | |
| OPERATING | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | | | | | | |
| FINANCIAL REGULATION | | | | | | 33B2210 |
| EXPENSES | | | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 31,360- | | | 31,360- | 2021 1 |

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2022-23 Narrative after December 15, 2021

OFFICE OF FINANCIAL REGULATION - REDUCTION OF RENT

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;

Issue Description/Need: Beginning in mid-2020, the Office of Financial Regulation (OFR) began the process of incorporating telework into the day-to-day operational norms of the Office. This process led to the eventual and permanent downsizing of our office space needs. The first space we have been able to vacate, and back-fill, is a portion of the Fletcher Building in Tallahassee. The Office has agreed to a backfill arrangement with the Department of Financial Services for the space OFR occupied in the Tampa, Orlando, and Miami regional offices. This will allow the OFR to consolidate staff and operations in these offices. This reduction, from 34,297 sq. ft. to approximately 16,042 sq. ft. will result in a calculated savings of \$313,621 annually.

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCIAL INVESTIGATIONS</u> | | | | | | 43900540 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| SCHEDULE VIIIIB REDUCTIONS - | | | | | | |
| OPERATING | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | | | | | | |
| FINANCIAL REGULATION | | | | | | 33B2210 |

Four (4) OFR Budget Entities have office space allocated in the regional offices. The reduction in budget authority is based on the calculated differences in old and new square foot usage.

Ultimate Outcome: A reduction of operating expenditures that will save the tax payers, and licensees more than \$313,621 in recurring rent costs, with no impact to operations.

THE OFFICE IS REQUESTING THIS ISSUE BE TAKEN BY THE LEGISLATURE.

Expenses:

| Quantity | Description | Amount | Non-Recurring |
|----------|----------------|------------|---------------|
| ----- | ----- | ----- | ----- |
| | Expenses | (\$31,360) | \$0 |
| | Total Expenses | (\$31,360) | \$0 |
| | Issue Total | (\$31,360) | \$0 |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|-----------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| EXEC DIR & SUPPORT SERVICE | | | | | | 43900550 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - ADD | | | | | | 1800650 |
| SALARIES AND BENEFITS | | | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 281,705 | | | 281,705 | 2021 1 |
| EXPENSES | | | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 9,000 | | | 9,000 | 2021 1 |
| SPECIAL CATEGORIES | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 1,525 | | | 1,525 | 2021 1 |
| TOTAL: REALIGN ADMINISTRATIVE POSITIONS | | | | | | 1800650 |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - ADD | | | | | | |
| TOTAL ISSUE..... | | 292,230 | | | 292,230 | |

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2022-23 Narrative after December 15, 2021

OFFICE OF FINANCIAL REGULATION REALIGN ADMINISTRATIVE POSITIONS WITHIN AGENCY ADD BACK

This request will improve the Office's ability to reach the following goals:

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>EXEC DIR & SUPPORT SERVICE</u> | | | | | | 43900550 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - ADD | | | | | | 1800650 |

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

ISSUE SUMMARY: This is a new issue.
 This issue requests the approval to transfer five (5) administrative positions from the Division of Financial Institutions, Division of Consumer Finance and the Division of Securities to Executive Direction.

This realignment of positions will centralize the supervision of administrative support staff in the agency's regional offices and allow the workload to be completed in a more timely and efficient manner.

This issue is linked to issue 1800640.

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|---------------------------------|-----------|---------------|
| 3 | Add Back Admin. Asst. II, PG 18 | | |
| | Salaries and Benefits | \$178,571 | \$0 |
| 2 | Add Back Admin. Asst. I, PG 15 | | |
| | Salaries and Benefits | \$103,134 | \$0 |
| 5 | Add Back Expenses \$1,800 each | \$9,000 | \$0 |

| COL A12 | | COL A14 | | COL A15 | | COL A16 | | COL A14-A12 | | CODES |
|---------------------------|-----|---------------------------|-----|---------------------------|-----|---------------------------|-----|------------------------------------------|---------------------------|-------|
| AGY FIN REQ FY 2022-23 | POS | AGY AMD REQ FY 2022-23 | POS | AGY AMD N/R FY 2022-23 | POS | AGY AMD ANZ FY 2022-23 | POS | AGY AMD REQ FY 2022-23 OVER(UNDER) | AGY FIN REQ FY 2022-23 | |
| AMOUNT | | AMOUNT | | AMOUNT | | AMOUNT | | AMOUNT | AMOUNT | |

FINANCIAL SERVICES 43000000
 PGM: FINANCIAL SVCS COMM 43900000
 OFFICE OF FINANCIAL REG 43900500
 EXEC DIR & SUPPORT SERVICE 43900550
PUBLIC PROTECTION 12
REGULATION AND LICENSING 1204.00.00.00
 INTRA-AGENCY REORGANIZATIONS 1800000
 REALIGN ADMINISTRATIVE POSITIONS
 WITHIN OFFICE OF FINANCIAL
 REGULATION - ADD 1800650

5 Add Back HR Assessment \$305 each \$1,525 \$0

Issue Total \$292,230 \$0

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|-------------------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A14 - AGY AMD REQ FY 2022-23 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| 0709 ADMINISTRATIVE ASSISTANT I | | | | | | | |
| C4382 001 | 1.00 | 30,000 | | 20,071 | 50,071 | 0.00 | 50,071 |
| C4676 001 | 1.00 | 32,526 | | 20,537 | 53,063 | 0.00 | 53,063 |
| 0712 ADMINISTRATIVE ASSISTANT II | | | | | | | |
| C4210 001 | 1.00 | 38,595 | 1,270 | 21,893 | 61,758 | 0.00 | 61,758 |
| C4581 001 | 1.00 | 43,298 | | 22,527 | 65,825 | 0.00 | 65,825 |
| C4625 001 | 1.00 | 29,504 | 1,270 | 20,214 | 50,988 | 0.00 | 50,988 |
| ----- | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2021 ADMINISTRATIVE TRUST FUND | | | | | | | 281,705 |
| | 5.00 | 173,923 | 2,540 | 105,242 | 281,705 | | 281,705 |
| | ===== | ===== | ===== | ===== | ===== | | ===== |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|-----------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCE REGULATION | | | | | | 43900560 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 1800640 |
| SALARIES AND BENEFITS | | | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 65,825- | | | 65,825- | 2573 1 |
| EXPENSES | | | | | | 040000 |
| REGULATORY TRUST FUND -STATE | | 1,800- | | | 1,800- | 2573 1 |
| SPECIAL CATEGORIES | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 305- | | | 305- | 2573 1 |
| TOTAL: REALIGN ADMINISTRATIVE POSITIONS | | | | | | 1800640 |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | |
| TOTAL ISSUE..... | | 67,930- | | | 67,930- | |

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2022-23 Narrative after December 15, 2021

OFFICE OF FINANCIAL REGULATION REALIGN ADMINISTRATIVE POSITIONS WITHIN AGENCY - DEDUCT

This request will improve the Office's ability to reach the following goals:

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>FINANCE REGULATION</u> | | | | | | 43900560 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 1800640 |

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

ISSUE SUMMARY: This is a new issue.

This issue requests the approval to transfer five (5) administrative positions from the Division of Financial Institutions, Division of Consumer Finance and the Division of Securities to Executive Direction.

This realignment of positions will centralize the supervision of administrative support staff in the agency's regional offices and allow the workload to be completed in a more timely and efficient manner.

This issue is linked to issue 1800650.

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|-------------------------------|------------|---------------|
| ----- | ----- | ----- | ----- |
| (1) | Reduce Admin. Asst. II, PG 18 | | |
| | Salaries and Benefits | (\$65,825) | \$0 |
| (1) | Reduce Expenses \$1,800 each | (\$1,800) | \$0 |
| (1) | HR Assessment \$305 each | (\$305) | \$0 |

| COL A12 | | COL A14 | | COL A15 | | COL A16 | | COL A14-A12 | | CODES |
|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|------------------------------------------|---------------------------|-------|
| AGY FIN REQ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 | POS AMOUNT | AGY AMD N/R FY 2022-23 | POS AMOUNT | AGY AMD ANZ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 OVER(UNDER) | AGY FIN REQ FY 2022-23 | |

FINANCIAL SERVICES 43000000
 PGM: FINANCIAL SVCS COMM 43900000
 OFFICE OF FINANCIAL REG 43900500
 FINANCE REGULATION 43900560
 PUBLIC PROTECTION 12
 REGULATION AND LICENSING 1204.00.00.00
 INTRA-AGENCY REORGANIZATIONS 1800000
 REALIGN ADMINISTRATIVE POSITIONS
 WITHIN OFFICE OF FINANCIAL
 REGULATION - DEDUCT 1800640

Issue Total (\$67,930) \$0

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|-------------------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A14 - AGY AMD REQ FY 2022-23 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| 0712 ADMINISTRATIVE ASSISTANT II | | | | | | | |
| C4581 001 | 1.00- | 43,298- | | 22,527- | 65,825- | 0.00 | 65,825- |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2573 REGULATORY TRUST FUND | | | | | | | 65,825- |
| | 1.00- | 43,298- | | 22,527- | 65,825- | | 65,825- |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCE REGULATION | | | | | | 43900560 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| SCHEDULE VIIIIB REDUCTIONS - | | | | | | |
| OPERATING | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | | | | | | |
| FINANCIAL REGULATION | | | | | | 33B2210 |
| EXPENSES | | | | | | 040000 |
| REGULATORY TRUST FUND -STATE | | 94,087- | | | 94,087- | 2573 1 |

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2022-23 Narrative after December 15, 2021

OFFICE OF FINANCIAL REGULATION - REDUCTION OF RENT

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;

Issue Description/Need: Beginning in mid-2020, the Office of Financial Regulation (OFR) began the process of incorporating telework into the day-to-day operational norms of the Office. This process led to the eventual and permanent downsizing of our office space needs. The first space we have been able to vacate, and back-fill, is a portion of the Fletcher Building in Tallahassee. The Office has agreed to a backfill arrangement with the Department of Financial Services for the space OFR occupied in the Tampa, Orlando, and Miami regional offices. This will allow the OFR to consolidate staff and operations in these offices. This reduction, from 34,297 sq. ft. to approximately 16,042 sq. ft. will result in a calculated savings of \$313,621 annually.

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| FINANCE REGULATION | | | | | | 43900560 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | <u>1204.00.00.00</u> |
| SCHEDULE VIIIIB REDUCTIONS - | | | | | | |
| OPERATING | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | | | | | | |
| FINANCIAL REGULATION | | | | | | 33B2210 |

Four (4) OFR Budget Entities have office space allocated in the regional offices. The reduction in budget authority is based on the calculated differences in old and new square foot usage.

Ultimate Outcome: A reduction of operating expenditures that will save the tax payers, and licensees more than \$313,621 in recurring rent costs, with no impact to operations.

THE OFFICE IS REQUESTING THIS ISSUE BE TAKEN BY THE LEGISLATURE.

Expenses:

| Quantity | Description | Amount | Non-Recurring |
|----------|----------------|------------|---------------|
| ----- | ----- | ----- | ----- |
| | Expenses | (\$94,087) | \$0 |
| | Total Expenses | (\$94,087) | \$0 |
| | Issue Total | (\$94,087) | \$0 |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|-----------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SECURITIES REGULATION | | | | | | 43900570 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 1800640 |
| SALARIES AND BENEFITS | | | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 154,122- | | | 154,122- | 2573 1 |
| EXPENSES | | | | | | 040000 |
| REGULATORY TRUST FUND -STATE | | 5,400- | | | 5,400- | 2573 1 |
| SPECIAL CATEGORIES | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 915- | | | 915- | 2573 1 |
| TOTAL: REALIGN ADMINISTRATIVE POSITIONS | | | | | | 1800640 |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | |
| TOTAL ISSUE..... | | 160,437- | | | 160,437- | |

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2022-23 Narrative after December 15, 2021

OFFICE OF FINANCIAL REGULATION REALIGN ADMINISTRATIVE POSITIONS WITHIN AGENCY - DEDUCT

This request will improve the Office's ability to reach the following goals:

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>SECURITIES REGULATION</u> | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 1800640 |

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

ISSUE SUMMARY: This is a new issue.

This issue requests the approval to transfer five (5) administrative positions from the Division of Financial Institutions, Division of Consumer Finance and the Division of Securities to Executive Direction.

This realignment of positions will centralize the supervision of administrative support staff in the agency's regional offices and allow the workload to be completed in a more timely and efficient manner.

This issue is linked to issue 1800650.

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|-------------------------------|-------------|---------------|
| ----- | ----- | ----- | ----- |
| (1) | Reduce Admin. Asst. II, PG 18 | | |
| | Salaries and Benefits | (\$50,988) | \$0 |
| (2) | Reduce Admin. Asst. I, PG 15 | | |
| | Salaries and Benefits | (\$103,134) | \$0 |
| (3) | Reduce Expenses \$1,800 each | (\$5,400) | \$0 |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SECURITIES REGULATION | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | 1204.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| REALIGN ADMINISTRATIVE POSITIONS | | | | | | |
| WITHIN OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 1800640 |
| (3) HR Assessment \$305 each | | (\$915) | \$0 | | | |
| Issue Total | | (\$160,437) | \$0 | | | |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|-------------------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A14 - AGY AMD REQ FY 2022-23 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| 0709 ADMINISTRATIVE ASSISTANT I | | | | | | | |
| C4382 001 | 1.00- | 30,000- | | 20,071- | 50,071- | 0.00 | 50,071- |
| C4676 001 | 1.00- | 32,526- | | 20,537- | 53,063- | 0.00 | 53,063- |
| 0712 ADMINISTRATIVE ASSISTANT II | | | | | | | |
| C4625 001 | 1.00- | 29,504- | 1,270- | 20,214- | 50,988- | 0.00 | 50,988- |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2573 REGULATORY TRUST FUND | | | | | | | 154,122- |
| | 3.00- | 92,030- | 1,270- | 60,822- | 154,122- | | 154,122- |

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|-----------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SECURITIES REGULATION | | | | | | 43900570 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | | | |
| CATEGORIES FOR THE ANTI FRAUD | | | | | | |
| PROGRAM IN THE OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 2000550 |
| OTHER PERSONAL SERVICES | | | | | | 030000 |
| ANTI-FRAUD TRUST FUND -STATE | | 32,538- | | | 32,538- | 2038 1 |
| EXPENSES | | | | | | 040000 |
| ANTI-FRAUD TRUST FUND -STATE | | 62,885- | | | 62,885- | 2038 1 |
| OPERATING CAPITAL OUTLAY | | | | | | 060000 |
| ANTI-FRAUD TRUST FUND -STATE | | 24,528- | | | 24,528- | 2038 1 |
| SPECIAL CATEGORIES | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | 100777 |
| ANTI-FRAUD TRUST FUND -STATE | | 80,049- | | | 80,049- | 2038 1 |
| TOTAL: REALIGN BUDGET AUTHORITY BETWEEN | | | | | | 2000550 |
| CATEGORIES FOR THE ANTI FRAUD | | | | | | |
| PROGRAM IN THE OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | |
| TOTAL ISSUE..... | | 200,000- | | | 200,000- | |

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|------------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>SECURITIES REGULATION</u> | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | | | |
| CATEGORIES FOR THE ANTI FRAUD | | | | | | |
| PROGRAM IN THE OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 2000550 |

Amended 2022-23 Narrative after December 15, 2021

REALIGN BUDGET AUTHORITY BETWEEN CATEGORIES FOR THE ANTI FRAUD PROGRAM IN THE OFFICE OF FINANCIAL REGULATION - DEDUCT

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

ISSUE SUMMARY: This is a new issue.

Per section 517.302(4), F.S. There is created within the office a trust fund to be known as the Anti-Fraud Trust Fund. Any amounts assessed as costs of investigation and prosecution under this subsection shall be deposited in the trust fund. Funds deposited in the trust fund must be used, when authorized by appropriation, for investigation and prosecution of administrative, civil, and criminal actions arising under this chapter. Funds may also be used to improve the public's awareness and understanding of prudent investing.

Based on the discussions with House and Senate Appropriation staff as well as the Office and Policy Budget, OFR requests the realignment of budget authority in the Anti-Fraud Trust Fund. This realignment will transfer budget authority from categories in Other Personal Services, Expenses, Operating Capital Outlay and Contracted Services to a new special category (100531) - ANTI-FRAUD INV and EDU (Anti-Fraud Investigations and Outreach Education). Creating a new appropriation category allows the agency more spending flexibility on costs based on section 517.302(4), F.S. This issue would realign all \$200,000 in budget authority, with release amount based on agency's spending plan approved by House and Senate appropriation staff.

Note: This issue code is tied to 2000560.

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|------------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SECURITIES REGULATION | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | | | |
| CATEGORIES FOR THE ANTI FRAUD | | | | | | |
| PROGRAM IN THE OFFICE OF FINANCIAL | | | | | | |
| REGULATION - DEDUCT | | | | | | 2000550 |

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|----------------------------|------------|---------------|
| | Reduce OPS | (\$32,538) | \$0 |
| | Reduce Expenses | (\$62,885) | \$0 |
| | Reduce OCO | (\$24,528) | \$0 |
| | Reduce Contracted Services | (\$80,049) | \$0 |

Issue Total (\$200,000) \$0

REALIGN BUDGET AUTHORITY BETWEEN
 CATEGORIES FOR THE ANTI- FRAUD
 PROGRAM IN THE OFFICE OF FINANCIAL
 REGULATION - ADD
 SPECIAL CATEGORIES
 ANTI-FRAUD INV & EDU

2000560
 100000
 100531

ANTI-FRAUD TRUST FUND -STATE 200,000 200,000 2038 1

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|------------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | POS |
| | | | | | | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>SECURITIES REGULATION</u> | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | | | |
| CATEGORIES FOR THE ANTI- FRAUD | | | | | | |
| PROGRAM IN THE OFFICE OF FINANCIAL | | | | | | |
| REGULATION - ADD | | | | | | 2000560 |

Amended 2022-23 Narrative after December 15, 2021

REALIGN BUDGET AUTHORITY BETWEEN CATEGORIES FOR THE ANTI FRAUD PROGRAM IN THE OFFICE OF FINANCIAL REGULATION - ADD

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

ISSUE SUMMARY: This is a new issue.

Per section 517.302(4), F.S. There is created within the office a trust fund to be known as the Anti-Fraud Trust Fund. Any amounts assessed as costs of investigation and prosecution under this subsection shall be deposited in the trust fund. Funds deposited in the trust fund must be used, when authorized by appropriation, for investigation and prosecution of administrative, civil, and criminal actions arising under this chapter. Funds may also be used to improve the public's awareness and understanding of prudent investing.

Based on the discussions with House and Senate Appropriation staff as well as the Office and Policy Budget, OFR requests the realignment of budget authority in the Anti-Fraud Trust Fund. This realignment will transfer budget authority from categories in Other Personal Services, Expenses, Operating Capital Outlay and Contracted Services to a new special category (100531) - ANTI-FRAUD INV and EDU (Anti-Fraud Investigations and Outreach Education). Creating a new appropriation category allows the agency more spending flexibility on costs based on section 517.302(4), F.S. This issue would realign all \$200,000 in budget authority, with release amount based on agency's spending plan approved

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY AMD REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT |
| | | | | | | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| SECURITIES REGULATION | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | | | |
| CATEGORIES FOR THE ANTI- FRAUD | | | | | | |
| PROGRAM IN THE OFFICE OF FINANCIAL | | | | | | |
| REGULATION - ADD | | | | | | 2000560 |

by House and Senate appropriation staff.

Note: This issue code is tied to 2000550.

Detail of Costs:

| Quantity | Description | Amount | Non-Recurring |
|----------|---------------------------------|-----------|---------------|
| | Add Back Anti-Fraud INV and EDU | \$200,000 | \$0 |
| | Total Issue | \$200,000 | \$0 |

| | |
|-------------------------------|---------|
| SCHEDULE VIIIIB REDUCTIONS - | |
| OPERATING | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | |
| FINANCIAL REGULATION | 33B2210 |
| EXPENSES | 040000 |

REGULATORY TRUST FUND -STATE 94,087- 94,087- 2573 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

| | COL A12 | COL A14 | COL A15 | COL A16 | COL A14-A12 | |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|----------------------|
| | | | | | AGY AMD REQ | |
| | | | | | FY 2022-23 | |
| | | | | | OVER(UNDER) | |
| | AGY FIN REQ | AGY AMD REQ | AGY AMD N/R | AGY AMD ANZ | AGY FIN REQ | |
| | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | FY 2022-23 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | CODES |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | 43900500 |
| <u>SECURITIES REGULATION</u> | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| SCHEDULE VIIIIB REDUCTIONS - | | | | | | |
| OPERATING | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF | | | | | | |
| FINANCIAL REGULATION | | | | | | 33B2210 |

OFFICE OF FINANCIAL REGULATION - REDUCTION OF RENT

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;

Issue Description/Need: Beginning in mid-2020, the Office of Financial Regulation (OFR) began the process of incorporating telework into the day-to-day operational norms of the Office. This process led to the eventual and permanent downsizing of our office space needs. The first space we have been able to vacate, and back-fill, is a portion of the Fletcher Building in Tallahassee. The Office has agreed to a backfill arrangement with the Department of Financial Services for the space OFR occupied in the Tampa, Orlando, and Miami regional offices. This will allow the OFR to consolidate staff and operations in these offices. This reduction, from 34,297 sq. ft. to approximately 16,042 sq. ft. will result in a calculated savings of \$313,621 annually.

Four (4) OFR Budget Entities have office space allocated in the regional offices. The reduction in budget authority is based on the calculated differences in old and new square foot usage.

Ultimate Outcome: A reduction of operating expenditures that will save the tax payers, and licensees more than \$313,621 in recurring rent costs, with no impact to operations.

THE OFFICE IS REQUESTING THIS ISSUE BE TAKEN BY THE LEGISLATURE.

| COL A12 | | COL A14 | | COL A15 | | COL A16 | | COL A14-A12 | | CODES |
|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|------------------------------------------|---------------------------|-------|
| AGY FIN REQ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 | POS AMOUNT | AGY AMD N/R FY 2022-23 | POS AMOUNT | AGY AMD ANZ FY 2022-23 | POS AMOUNT | AGY AMD REQ FY 2022-23 OVER(UNDER) | AGY FIN REQ FY 2022-23 | |

| | | | | | | | | | | |
|-------------------------------------------------------|--|--|--|--|--|--|--|--|--|----------------------|
| FINANCIAL SERVICES | | | | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | | | | 43900500 |
| <u>SECURITIES REGULATION</u> | | | | | | | | | | 43900570 |
| <u>PUBLIC PROTECTION</u> | | | | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | | | | <u>1204.00.00.00</u> |
| SCHEDULE VIIIIB REDUCTIONS - OPERATING | | | | | | | | | | 33B0000 |
| REDUCTION OF RENT - OFFICE OF FINANCIAL REGULATION | | | | | | | | | | 33B2210 |

Expenses:

| Quantity | Description | Amount | Non-Recurring |
|----------|----------------|------------|---------------|
| ----- | ----- | ----- | ----- |
| | Expenses | (\$94,087) | \$0 |
| | Total Expenses | (\$94,087) | \$0 |
| | Issue Total | (\$94,087) | \$0 |

| | | | | | | | | | | |
|---------------------------------|--|--|----------|--|--|--|--|----------|------|----------------------|
| TOTAL: REGULATION AND LICENSING | | | | | | | | | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | | | | | | | | | |
| TRUST FUNDS..... | | | 254,524- | | | | | 254,524- | 2000 | |

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* NEADLP01                                STATISTICAL INFORMATION                                01/18/2022 13:25 *
* BUDGET PERIOD: 2009-2023                EXHIBIT A, D AND D-3A REPORT                                BBV 43 SP *
*                                                                                                     PAGE: 1 *
*****
*          SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE TITLE: EXHIBIT D-3A AMENDED REQUEST
* -----
* ** DATA SELECTIONS **
*
* REPORT OPTION: 1 - EXHIBIT A, D AND D-3A          SCHEDULE VIIIA ISSUE SPREADSHEET (Y/N): N
*
* COLUMN: A12      A14      A15      A16      A14-A12      CODES
*
* CALCULATE DIFFERENCE ONLY (Y/N): Y THAT EXCEEDS: 1
*
* INCLUDE (Y/N) FTE: N          SALARY RATE: N POSITION DATA: Y
*
* REPORT TOTALS: NO TOTAL
* -----
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (0=MERGE, 1=LEVEL 1, 2=LEVEL 2, 3=LEVEL 3, 4=LOWEST LEVEL)
*   1-7: 439005 4
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* BUDGET ENTITY TOTALS:
*
*   LEVEL 1: NO TOTAL
*   LEVEL 2: NO TOTAL
*   LEVEL 3: NO TOTAL
*   LOWEST LEVEL: BY FUND TYPE
* -----
* PROGRAM COMPONENT/ACCUMULATION LEVEL (0=MERGED, 1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS):
*   5
*
* PROGRAM COMPONENT TOTALS:
*   POLICY AREA: NO TOTAL
*   PROGRAM COMPONENT: BY FUND TYPE
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (0=MERGED, 1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS):
*   3
*
* ISSUE TOTALS:
*   SUMMARY: NO TOTAL
*   DETAIL: LINE TOTAL
* -----
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (0=MERGED, 1=MAJOR, 2=MINOR):
*   2
*
* INCLUDE FCO (Y/N): Y APPROPRIATION CATEGORY TITLE: SHORT
*
* APPROPRIATION CATEGORY TOTALS:
*   MAJOR: NO TOTAL
*   MINOR: BY DETAIL FUND
* -----

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* NEADLP01                                STATISTICAL INFORMATION                                01/18/2022 13:25 *
* BUDGET PERIOD: 2009-2023                EXHIBIT A, D AND D-3A REPORT                                BBV 43 SP *
*                                                                                                     PAGE: 2 *
*****
* ITEMIZATION OF EXPENDITURE:
*
* ITEMIZATION OF EXPENDITURE ACCUMULATION LEVEL: MERGED
*
* ITEMIZATION OF EXPENDITURE TOTAL: NO TOTAL
* -----
* FUND GROUP SET: OR FUND:
* -----
* FUNDING SOURCE IDENTIFIER:
*
* REPORT BY FSI (Y/N): Y
* -----
* DEPARTMENT NARRATIVE SET: ISSUE/ACTIVITY NARRATIVE SET: A1 PRIORITY NARRATIVE SET:
*
* BUDGET ENTITY NARRATIVE SET:
*
* INCLUDE PROGRAM COMPONENT NARRATIVE (Y/N): N
* -----
* ** FORMATTING **
*
* REPORT HEADING: EXHIBIT D-3A
* EXPENDITURES BY
* ISSUE AND APPROPRIATION CATEGORY
*
* PAGE BREAKS: LOWEST LEVEL PROGRAM COMPONENT
*
* FORMAT: LANDSCAPE
*
* COLUMN CODES (Y/N): Y
*
* SORT OPTIONS:
* PROGRAM COMPONENT: CODE
* DEPARTMENT/BUDGET ENTITY: CODE
* -----
* TOTAL SORT RECORDS READ: 137
* TOTAL CARD RECORDS READ: 44
* TOTAL PAF RECORDS READ: 28
* TOTAL OAF RECORDS READ: 0
* TOTAL IEF RECORDS READ: 0
* TOTAL BGF RECORDS READ: 0
* TOTAL BEF RECORDS READ: 8
* TOTAL PCF RECORDS READ: 10
* TOTAL ICF RECORDS READ: 68
* TOTAL INF RECORDS READ: 844
* TOTAL ACF RECORDS READ: 16
* TOTAL FCF RECORDS READ: 5
* TOTAL FSF RECORDS READ: 10
* TOTAL PCN RECORDS READ: 0
* TOTAL BEN RECORDS READ: 0
* TOTAL DPC RECORDS READ: 20
* TOTAL RECORDS IN ERROR: 0
*****

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