

BPEADL01 LAS/PBS SYSTEM
BUDGET PERIOD: 2009-2023
STATE OF FLORIDA

EXHIBIT D-3A
EXPENDITURES BY
ISSUE AND APPROPRIATION CATEGORY

SP 09/15/2021 10:55 PAGE: 1
EXHIBIT D-3A ERROR REPORT

BUDGET ENTITY	D3A ISSUE CODE	COLUMN NUMBERS	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,965,562			
=====				
SALARIES AND BENEFITS				010000
	129.00			
ADMINISTRATIVE TRUST FUND -STATE	10,597,266			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	110,379			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	1,343,766			2021 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
ADMINISTRATIVE TRUST FUND -STATE	1,240,217			2021 1
=====				
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	427,325			2021 1
=====				
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND -STATE	3,500			2021 1
=====				
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	41,817			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TENANT BROKER COMMISSIONS				105084
ADMINISTRATIVE TRUST FUND -STATE	125,000			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	134,268			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	46,090			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	129.00			
TOTAL ISSUE.....	14,069,628			
TOTAL SALARY RATE.....	6,965,562			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	17,020			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	20,167			2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	1,139			2021 1
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....	21,306			
TOTAL SALARY RATE.....	17,020			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	76,587			2021 1
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	1,728			2021 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	3,852-			2021 1
=====				
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	129.00			
SALARY RATE.....	14,165,397			2000
	6,982,582			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	5,322,957			
=====				
SALARIES AND BENEFITS				010000
	92.00			
ADMINISTRATIVE TRUST FUND -STATE	7,657,472			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	281,631			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	717,375			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	1,000			2021 1
=====				
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
ADMINISTRATIVE TRUST FUND -STATE	75,000			2021 1
=====				
TRANS TO DIV ADM HEARINGS				100565
ADMINISTRATIVE TRUST FUND -STATE	156,167			2021 1
=====				
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	253,306			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	24,373			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	17,361			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	26,636			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	92.00			
TOTAL ISSUE.....	9,210,321			
TOTAL SALARY RATE.....	5,322,957			
SALARY INCREASES FOR FY 2021-22 - STATE EMPLOYEE MINIMUM WAGE INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	15,377			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	18,222			2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	2,908			2021 1
TOTAL: SALARY INCREASES FOR FY 2021-22 - STATE EMPLOYEE MINIMUM WAGE INCREASE - EFFECTIVE 7/1/2021				1001030
TOTAL ISSUE.....	21,130			
TOTAL SALARY RATE.....	15,377			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	45,356			2021 1
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	3,366-			2021 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	2,226-			2021 1
=====				
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	92.00			
SALARY RATE.....	9,271,215			2000
SALARY RATE.....	5,338,334			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	7,181,703			
=====				
SALARIES AND BENEFITS				010000
	126.00			
ADMINISTRATIVE TRUST FUND -STATE	10,855,631			2021 1
=====				
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	98,834			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	4,283,588			2021 1
=====				
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	369,620			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	175,000			1000 1
ADMINISTRATIVE TRUST FUND -STATE	7,250,949			2021 1

TOTAL APPRO.....	7,425,949			
=====				
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND -STATE	2,900			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	56,236			2021 1
DEFERRED-PAY COM CONTRACTS				105280
ADMINISTRATIVE TRUST FUND -STATE	184,076			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	9,275			2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	41,870			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	126.00			
TOTAL ISSUE.....	23,327,979			
TOTAL SALARY RATE.....	7,181,703			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	1,020			2021 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2021-22 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY							1001070
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE		66,970					2021 1
=====							
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
ADMINISTRATIVE TRUST FUND -STATE		7,793					2021 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE		3,499-					2021 1
=====							
NONRECURRING EXPENDITURES							2100000
INFORMATION TECHNOLOGY							
INFRASTRUCTURE REPLACEMENT							2103122
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE		359,054-					2021 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
RISK MITIGATION: INFORMATION				
TECHNOLOGY SECURITY RISK ASSESSMENT				36204C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	300,000	300,000		2021 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

This issue requests nonrecurring budget authority to procure a subject matter expertise to conduct an objective and comprehensive risk assessment of threats to information technology resources of the Department pursuant to s. 282.318, F.S., and to conduct an objective analysis of the Department's information security plan and data center architecture to determine if the future proposed "Defense-In-Depth" design meets applicable industry standards of NIST-800-53 and OWASP Top Ten controls.

At the direction of the Legislature and the Agency for State Technology (now Department Management Services, Florida Digital Service), the Department engaged with a private sector vendor in 2017 to perform a comprehensive risk assessment. Additionally, the Department submits a yearly Strategic Operational Plan, as required by p. 282.318(4)(c), F.S. The overall goal is to utilize these periodic measures to assess overall Department risk from an IT security perspective.

Paragraph 282.318 (4)(d), F.S. requires Agencies to conduct, and update every three years, a comprehensive risk assessment, which may be completed by a private sector vendor, to determine the security threats to the data, information, and information technology resources, including mobile devices and printing, of the agency. The risk assessment must comply with the risk assessment methodology developed by the department and is confidential and exempt from ss. 119.07(1), F.S., except that such information shall be available to the Auditor General, the Division of State Technology (now Florida Digital Service) within the department, the Cybercrime Office of the Department of Law Enforcement, and, for state agencies under the jurisdiction of the Governor, the Chief Inspector General.

Cost to comply with statutes include 300,000 for a private sector vendor to conduct an objective and comprehensive risk assessment comparable to the risk assessment conducted in 2017.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
END OF LIFE: SHAREPOINT ONLINE				
MIGRATION				36206C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	412,500	412,500		2021 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency.

This issue requests 412,500 in nonrecurring budget authority to migrate from on premise SharePoint infrastructure to Microsoft's cloud services known as SharePoint Online. SharePoint is a collaboration tool that functions as the department's intranet site where documents and information are shared, and basic records management is performed. The department primarily uses SharePoint version 2010 on-premise software that has reached its end-of-life. On October 13, 2020, Microsoft discontinued all support for SharePoint Server 2010. When an application reaches end-of-life, it no longer receives manufacturer maintenance and support, increasing the risk to the service's security, availability, and reliability. In the event of version 2010's failure, the department risks permanent loss of content. The department also has instances of versions 2013 and 2016 of SharePoint which poses maintenance challenges.

The department is seeking to consolidate all on-premise SharePoint content and transition to SharePoint Online to:

- Avoid running old versions of SharePoint that are no longer supported
- Enhanced security
- Better collaboration capabilities
- Consolidating content
- Superior access capability
- Improved user experience
- Compliance with Florida's cloud-first statute.

The department engaged a 3rd party vendor to provide an assessment of the current environments and strategy to fully migrate to SharePoint Online. Professional services are sought to implement the recommended migration strategy. The SharePoint Migration cost is estimated at 2,500 hours at an hourly rate of \$165 per hour.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
END OF LIFE: TELEPHONE AND CONTACT				
CENTER REFRESH				36207C0
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	3,200,000	1,500,000		2021 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #3)Promote a Customer-Focused Culture and Strengthen Efficiency.

This issue requests 1,500,000 in nonrecurring budget authority for the transition to a cloud-centric telephone and contact center services, phone headsets and professional services needed to replace impending obsolete on-premise infrastructure. An additional 1,700,000 in recurring budget authority is requested to cover the annual increase of support and maintenance for the updated phone system.

The department's phone system supports 3,364 phones and includes call center software supporting 14 call center groups. These were implemented in 2003, then upgraded in 2011 and 2018.

The last version, Cisco Unified Contact Center Enterprise 11.5, is approaching End of Service on October 31st, 2021, and support of various hardware components will subsequently elapse including office and contact center phones.

In the event of a failure without this support, office phones and the contact center may become unusable with service interruptions and diminished capabilities impacting the experience of any customer calling the department's help lines. Two examples are the Division of Consumer Services and Workers Compensation which receive the largest call volume.

The Department intends to issue a competitive procurement for the telephone and contact center solution to modernize and migrate to a cloud solution, increasing reliability and workforce flexibility.

- Divisions: Desktop Telephone Devices
 Accounting and Auditing: 302
 Administration: 114
 CFO- Capitol: 33
 Chief of Staff: 2
 Consumer Services: 114
 Florida Palm: 103
 Funeral Cemetery and Customer Service: 27
 Inspector General: 14
 Insurance Consumer Advocate: 8

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
END OF LIFE: TELEPHONE AND CONTACT				
CENTER REFRESH				36207C0

Investigative and Forensic Services: 425
 Legislative Affairs: 98
 Office of Financial Regulation: 321
 Office of Information Technology: 329
 Office of Insurance Regulation: 320
 Public Assistance Fraud: 98
 Rehabilitation and Liquidation: 72
 Risk Management: 126
 State Fire College: 52
 State Fire Marshall: 103
 Treasury: 63
 Unclaimed Property: 88
 Workers Compensation: 337
 Broken - Storage: 215

Total: 3,364

Contact Center Groups: Agents and Supervisors
 Accounting and Auditing: 57
 Administration: 7
 Agent and Agency Services: 62
 Consumer Services: 100
 Funeral and Cemetery: 12
 Fraud: 9
 OIT Help Desk: 10
 Office of Financial Regulations: 55
 Rehab and Liquidation: 6
 Risk Management: 38
 Treasury: 14
 Unclaimed Properties: 85
 Workers Comp: 107

Total: 562

The costs for this initiative were derived from estimates provided from six vendors on the Department of Management Services SUNCOM enterprise voice contract.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
COST INCREASE: INFORMATION				
TECHNOLOGY CONTRACTUAL PRICE				
INCREASES				36211C0
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	198,186			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	619,194			2021 1
=====				
TOTAL: COST INCREASE: INFORMATION				36211C0
TECHNOLOGY CONTRACTUAL PRICE				
INCREASES				
TOTAL ISSUE.....	817,380			
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #3)Promote a Customer-Focused Culture and Strengthen Efficiency.

This issue requests additional budget authority in expenses and contracted services for contractual price increases. The Office of Information Technology (OIT) relies on a portfolio of approximately 133 contracts that supply a wide range of resources necessary to maintain, develop, and administer Information Technology (IT) services. Some are day-to-day tools used by employees (e.g. Microsoft Office) while others support the backend functions of department applications and technical operations (e.g. Oracle, ADATABASE, SQL Server, etc.). The IT services they provide and/or support are critical for the department, the Offices of Financial Regulation (OFR) and Insurance Regulation (OIR), and the entire Florida government enterprise (e.g., through FLAIR).

The renewal of these contracts will provide continued service delivery that includes, but is not limited to: hardware, software, services, and maintenance used to automate functions, and process and communicate information (examples include: computer, phone, remote user tools, databases, applications, etc.).

Listed below are historical increases for Fiscal Year 2019-20 and Fiscal Year 2020-21 along with known increases for Fiscal Year 2021-22.

Fiscal Year 2019-20 increases

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
COST INCREASE: INFORMATION				
TECHNOLOGY CONTRACTUAL PRICE				
INCREASES				36211C0
EMC Maintenance \$203,075				
Cisco Mainenance \$121,971				
IBM Software Maintenance \$51,716				
Globalscape FTP Support \$18,576				
Quest Software Support \$10,266				
Remaining 29 contracts \$71,257				
Fiscal Year 2019-20 Total: \$476,861				
Fiscal Year 2020-21 increases				
IBM Hardware Maintenance \$187,720				
Palo Alto Support \$88,472				
Veritas Maintenance \$79,952				
Splunk Maintenance \$60,600				
RSD-EOS 360 and Ztrim \$40,824				
Computer Associates \$37,157				
NetDMS Annual Maintenance \$25,315				
MyFloridaNet 2 \$24,120				
Globalscape FTP Support \$19,012				
Oracle Support Renewal \$12,533				
Business Objects and Crystal Reports Maintenance \$11,365				
Remedy Software Support and Maintenance \$10,266				
Remaining 27 contracts \$80,896				
Fiscal Year 2020-21 Total: \$678,232				
Fiscal Year 2021-22 increases				
Microsoft Enterprise Agreement \$147,840				
BMC Maintenance \$99,681				
Software AG \$93,535				
Cisco Maintenance 38,587				
Computer Associates \$35,137				
Oracle Support Renewal \$13,035				
Remaining 28 contracts \$60,801				
Fiscal Year 2021-22 Total: \$488,616				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
COST INCREASE: INFORMATION TECHNOLOGY CONTRACTUAL PRICE INCREASES				36211C0

Total increase in costs due to contractual increases: \$1,643,709

This issue corresponds with issue code 36211C0 in the Information Technology-FLAIR Infrastructure budget entity. (Expenses: 89,416; Contracted Services: 736,913)

RISK MITIGATION: CUSTOMER RELATIONSHIP MANAGEMENT REPLACEMENT FEASIBILITY STUDY SPECIAL CATEGORIES CONTRACTED SERVICES				36220C0
				100000
				100777
ADMINISTRATIVE TRUST FUND -STATE	450,000	450,000		2021 1

=====

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency.

This issue requests 450,000 in nonrecurring budget authority to obtain a third-party feasibility study to assess the current state of the department's customer relationship management (CRM) software and provide a recommended strategy for consolidation and modernization.

The Division of Consumer Services (DCS) answers citizen questions, accepts and resolves complaints, or makes referrals for deeper investigation about insurance and financial services for approximately 300,000 constituents annually. DCS also triages calls on behalf of the Division of Unclaimed Property. Each call is directed through an Interactive Voice Response system and electronically logged as a case in a Siebel case management system known as ServicePoint.

The collective case management processes that makeup the current CRM used by DCS consists of ServicePoint and 13 separate associated custom web applications. These applications do not effectively keep up with current requirements, are difficult to maintain, troubleshoot, and are ultimately unsustainable. The current Siebel implementation was built 16 years ago, is highly customized and based on antiquated methodologies that are inherently rigid and difficult to maintain. The complexity of the application and outdated software version (Siebel 7.8) make it challenging for the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
RISK MITIGATION: CUSTOMER				
RELATIONSHIP MANAGEMENT REPLACEMENT				
FEASIBILITY STUDY				36220C0

department to find skilled people to support the system. Siebel 7.8 is only eligible for best effort sustaining support and restricts the department's ability to upgrade the dependent Oracle database environment from 10g to a supported Oracle version due to incompatibility issues.

A feasibility study will provide the department with the information necessary to plan and successfully move and consolidate the current systems with updated modern technology. Costs to perform this study are estimated at 2,000 hours at an hourly rate of \$225 an hour.

PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
NEW INITIATIVES: STATE FIRE MARSHAL				
INCIDENT DATA ANALYTICS FOR				
EXTERNAL STAKEHOLDERS				36304C0
EXPENSES				040000

ADMINISTRATIVE TRUST FUND -STATE	180,000			2021	1
=====	=====	=====	=====		

SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777

ADMINISTRATIVE TRUST FUND -STATE	200,000			2021	1
=====	=====	=====	=====		

TOTAL: NEW INITIATIVES: STATE FIRE MARSHAL					36304C0
INCIDENT DATA ANALYTICS FOR					
EXTERNAL STAKEHOLDERS					
TOTAL ISSUE.....	380,000				
=====	=====	=====	=====		

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #4)Equip First Responders with the Training and Tools Necessary to Protect Floridians.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
NEW INITIATIVES: STATE FIRE MARSHAL						
INCIDENT DATA ANALYTICS FOR						
EXTERNAL STAKEHOLDERS						36304C0

To enhance the current Florida Fire Incident Reporting System (FFIRS), this issue requests 380,000 in recurring budget authority. The enhancement will provide local fire departments access to standardized data and analytics in a cloud environment.

The National Fire Incident Reporting System (NFIRS) is a voluntary reporting standard run by the United States Fire Administration (USFA). The Division of State Fire Marshal uses the software to uniformly report on the full range of local fire department activities, from fire to emergency medical services to severe weather and natural disasters. FFIRS, a Florida-specific reporting tool, is used to collect Florida data from local fire departments and standardize the data per NFIRS requirements. The number of incidents in Florida grew from less than 1 million in 1994 to nearly 3 million in 2019 and reporting departments grew from less than 100 to over 500 in the same time frame.

Data collection and analysis for both state and local agencies in FFIRS is used for budgetary decisions, injury, and death analysis, determining average response times, tracking firefighter injuries and deaths, and identifying community risk reduction opportunities. Additionally, FFIRS is used to respond to public records and media requests.

This request will be used to expand the current internal analytics software component that the legislature funded in Fiscal Year 2019-20 to provide up to 700 secure external accounts as well as a staff augmentation resource to build and manage the isolated data environments. Providing this functionality to local entities will increase their ability to target fire prevention strategies, apply for additional funding via grants, and increase participation in the FFIRS program.

The costs for this system enhancement includes 200,000 in contracted services for on going staff augmentation support and 180,000 in expenses for 800 licenses/users of Tableau cloud-based software.

TOTAL: INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
BY FUND TYPE						
GENERAL REVENUE FUND						1000
TRUST FUNDS						2000

TOTAL POSITIONS.....	126.00					
TOTAL PROG COMP.....	28,601,089	2,662,500				
TOTAL SALARY RATE.....	7,181,703					
=====						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	504,053			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	5.00			
	615,262			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE		62,487		
				2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE		72,357		
				2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE		20,471		
				2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE		3,683		
				2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE		1,888		
				2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		1,646		
				2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	5.00			
TOTAL ISSUE.....		777,794		
TOTAL SALARY RATE.....	504,053			
=====				
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				1001030
INCREASE - EFFECTIVE 7/1/2021				030000
OTHER PERSONAL SERVICES				
INSURANCE REG TF	-STATE	645		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,954		2393 1
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	116		2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
CONSUMER ADVOCATE							43010400
GOV OPERATIONS/SUPPORT							16
EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF							2393 1
-STATE		138-					
TOTAL: EXEC LEADERSHIP/SUPPRT SVC							<u>1602.00.00.00</u>
BY FUND TYPE							
5.00							
TRUST FUNDS.....				782,371			2000
SALARY RATE.....		504,053					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,547,493			
=====				
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	5,650,468			1000 1
ADMINISTRATIVE TRUST FUND -STATE	331,060			2021 1
INSURANCE REG TF -STATE	649,324			2393 1
TOTAL POSITIONS.....	82.00			
TOTAL APPRO.....	6,630,852			
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	5,475			1000 1
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	1,198,941			1000 1
ADMINISTRATIVE TRUST FUND -STATE	168,513			2021 1
TOTAL APPRO.....	1,367,454			
=====				
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	104,880			1000 1
=====				
SPECIAL CATEGORIES				100000
FLAIR OPERS & MAINTENANCE				100702
GENERAL REVENUE FUND -STATE	699,369			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,533,604			2021 1
TOTAL APPRO.....	3,232,973			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				1000000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	2,968,816			1000 1
ADMINISTRATIVE TRUST FUND -STATE	592,191			2021 1
TOTAL APPRO.....	<u>3,561,007</u>			
FLAIR SYSTEM REPLACEMENT				100781
ADMINISTRATIVE TRUST FUND -STATE	2,853,062			2021 1
DEFERRED-PAY COM CONTRACTS				105280
GENERAL REVENUE FUND -STATE	85,914			1000 1
ADMINISTRATIVE TRUST FUND -STATE	390,209			2021 1
INSURANCE REG TF -STATE	135,755			2393 1
TOTAL APPRO.....	<u>611,878</u>			
LEASE/PURCHASE/EQUIPMENT				105281
GENERAL REVENUE FUND -STATE	1,424			1000 1
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	27,219			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,337			2021 1
INSURANCE REG TF -STATE	2,312			2393 1
TOTAL APPRO.....	<u>31,868</u>			
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	82.00			
TOTAL ISSUE.....	18,400,873			
TOTAL SALARY RATE.....	4,547,493			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE		56		1000 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE		33,440		1000 1
ADMINISTRATIVE TRUST FUND -STATE		1,958		2021 1
INSURANCE REG TF -STATE		3,842		2393 1
TOTAL APPRO.....		39,240		
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE		2,275-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		195-		2021 1
INSURANCE REG TF -STATE		193-		2393 1
TOTAL APPRO.....		2,663-		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
FLORIDA PLANNING, ACCOUNTING, AND				
LEDGER MANAGEMENT (PALM) READINESS				2103008
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
ADMINISTRATIVE TRUST FUND -STATE	2,853,062-			2021 1
=====				
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
CONTINUATION: FLORIDA PLANNING,				
ACCOUNTING, AND LEDGER MANAGEMENT				
(PALM) READINESS				36108C0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
ADMINISTRATIVE TRUST FUND -STATE	1,636,888	1,218,888		2021 1
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #2) Foster Open Government Through Financial Accountability and Transparency; Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency.

In order to support the Florida Planning, Accounting, and Ledger Management (FL PALM) transition, this issue requests 1,218,888 in nonrecurring budget authority and 418,000 in recurring budget authority.

The department supports the Florida Accounting Information Resource (FLAIR) system that is scheduled to be replaced by FL PALM in two phases ending in July 2024 and January 2025 respectively. Many critical agency business applications connect and use FLAIR system data to perform business processes. The agency business systems and corresponding interfaces need to be remediated to work with the new FL PALM processes and exchange data using the FL PALM format.

This request includes both recurring and non-recurring budget authority. The recurring funding will be used to cover the cost of annual software licenses and a resource to provide ongoing configuration and support for the AIP. The nonrecurring funding will continue to fund the contracted services resources assisting the Department with remediation of the agency business systems.

Without the additional funding, the Department will either not be ready to transition to Florida PALM, which could result in overall delays to the Project or will have to use existing resources to complete these activities which could

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY				3610000
CONTINUATION: FLORIDA PLANNING, ACCOUNTING, AND LEDGER MANAGEMENT (PALM) READINESS				36108C0

potentially result in errors, omissions, decrease in the quality and accuracy of Florida PALM transition tasks and/or current operations.

A breakdown of the requested funding is listed below.

- 1 Project Manager @ \$130 per hour x 1944 hours = \$252,720 nonrecurring
- 1 Application Integration Engineer @ \$142 per hour x 1944 hours = \$276,048 nonrecurring
- 1 Application Developer @ \$125 per hour x 1944 hours = \$243,000 nonrecurring
- 2 Technical Business Analyst @ \$115 per hour x 1944 hours = \$447,120 nonrecurring
- Recurring Application Integration Platform (AIP) Subscription = \$175,000 recurring
- 1 Application Developer @ \$125 per hour x 1944 hours = \$243,000 recurring

AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
CONTINUATION: FLAIR SYSTEM REPLACEMENT				36205C0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781

GENERAL REVENUE FUND	-STATE	879,660	879,660	1000 1
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency.

This issue requests 879,660 in nonrecurring budget authority to support the transition of the Florida Accounting Information Resource (FLAIR) system to the Florida Planning, Accounting, and Ledger Management (FL PALM) solution.

The FL PALM transition schedule has the Departmental and Central components of FLAIR migrating to the solution in July 2024 and the Payroll component to follow in January 2025. The accounting functions of the Departmental and Central components are many and complex. For Fiscal Year 2022-23, the FLAIR team will be researching and providing documented analysis on over 125 interfaces whose functionality will transition to FL PALM. FLAIR will need to be modified to run the Payroll component without the Departmental/Central components and to continue printing payroll and expense warrants.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
CONTINUATION: FLAIR SYSTEM				
REPLACEMENT				36205C0

This work will require extensive testing. Data cleansing activities by the FLAIR team will be vital to the successful data conversion efforts, especially for the Departmental data.

Additional tasks will include positioning the Information Warehouse to provide agency support for historical data after the retirement of FLAIR, enhancing the roles and responsibilities of the Production Control unit to support the daily operations of FL PALM, and begin building a strategy to sunset the Departmental/Central FLAIR components. Close project planning and coordination with the FL PALM activities and shared milestones will be essential as well as the development of required operational work plans and status reports.

A breakdown of the requested funding is listed below.

- 1 Applications Development Analysts @ 110 per hour x 480 hours = \$52,800
- 2 Data Architects @ \$110 per hour x 972 hours = \$213,840
- 1 Systems Software Programmer @ \$115 per hour x 288 hours = \$33,120
- Managed Services Provider @ \$81 per hour x 2100 hours = \$170,100
- 1 Program Management Director @ \$175 per hour x 1944 hours = \$340,200
- 1 Program Management Director @ \$145 per hour x 480 hours = \$69,600

COST INCREASE: INFORMATION				
TECHNOLOGY CONTRACTUAL PRICE				
INCREASES				36211C0
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	89,416			2021 1
=====	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	736,913			2021 1
=====	=====	=====	=====	
TOTAL: COST INCREASE: INFORMATION				36211C0
TECHNOLOGY CONTRACTUAL PRICE				
INCREASES				
TOTAL ISSUE.....	826,329			
=====	=====	=====	=====	

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFO TECHNOLOGY - FLAIR</u>						43010500
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY						3620000
COST INCREASE: INFORMATION TECHNOLOGY CONTRACTUAL PRICE INCREASES						36211C0

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #2) Foster Open Government Through Financial Accountability and Transparency; and Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency.

This issue requests additional budget authority in expenses and contracted services for contractual price increases. The Office of Information Technology (OIT) relies on a portfolio of approximately 133 contracts that supply a wide range of resources necessary to maintain, develop, and administer Information Technology (IT) services. Some are day-to-day tools used by employees (e.g. Microsoft Office) while others support the backend functions of department applications and technical operations (e.g. Oracle, ADATABASE, SQL Server, etc.). The IT services they provide and/or support are critical for the department, the Offices of Financial Regulation (OFR) and Insurance Regulation (OIR), and the entire Florida government enterprise (e.g., through FLAIR).

The renewal of these contracts will provide continued service delivery that includes, but is not limited to: hardware, software, services, and maintenance used to automate functions, and process and communicate information (examples include: computer, phone, remote user tools, databases, applications, etc.).

Listed below are historical increases for Fiscal Year 2019-20 and Fiscal Year 2020-21 along with known increases for Fiscal Year 2021-22.

Fiscal Year 2019-20 increases

EMC Maintenance \$203,075
 Cisco Maintenance \$121,971
 IBM Software Maintenance \$51,716
 Globalscape FTP Support \$18,576
 Quest Software Support \$10,266
 Remaining (29) contracts \$71,257

Fiscal Year 2019-20 Total: \$476,861

Fiscal Year 2020-21 increases

IBM Hardware Maintenance \$187,720
 Palo Alto Support \$88,472
 Veritas Maintenance \$79,952

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
INFO TECHNOLOGY - FLAIR 43010500
 GOV OPERATIONS/SUPPORT 16
INFORMATION TECHNOLOGY 1603.00.00.00
 AGENCY-WIDE INFORMATION TECHNOLOGY 3620000
 COST INCREASE: INFORMATION
 TECHNOLOGY CONTRACTUAL PRICE
 INCREASES 36211C0

Splunk Maintenance \$60,600
 RSD EOS 360 and Ztrim \$40,824
 Computer Associates \$37,157
 NetDMS Annual Maintenance \$25,315
 MyFloridaNet 2 \$24,120
 Globalscape FTP Support \$19,012
 Oracle Support Renewal \$12,533
 Business Objects and Crystal Reports Maintenance \$11,365
 Remedy Software Support and Maintenance \$10,266
 Remaining 27 contracts \$80,896

Fiscal Year 2020-21 Total: \$678,232

Fiscal Year 2021-22 increases
 Microsoft Enterprise Agreement \$147,840
 BMC Maintenance \$99,681
 Software AG \$93,535
 Cisco Maintenance 38,587
 Computer Associates \$35,137
 Oracle Support Renewal \$13,035
 Remaining 28 contracts \$60,801

Fiscal Year 2021-22 Total: \$488,616

Total increase in costs due to contractual increases: \$1,643,709

This issue corresponds with issue code 36211C0 in the Information Technology budget entity. (Expenses: 198,186;
 Contracted Services: 619,194)

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
TOTAL: INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	11,653,387	879,660		1000
TRUST FUNDS	7,273,934	1,218,888		2000
TOTAL POSITIONS.....	82.00			
TOTAL PROG COMP.....	18,927,321	2,098,548		
TOTAL SALARY RATE.....	4,547,493			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,050,597			
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	21.00			
TREASURY ADM/INVEST TF -STATE	1,729,404			2725 1
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	1,500			2725 1
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	231,896			2725 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	95,205			2725 1
LEASE/PURCHASE/EQUIPMENT				105281
TREASURY ADM/INVEST TF -STATE	6,616			2725 1
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	6,599			2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	21.00			
TOTAL ISSUE.....	2,071,220			
TOTAL SALARY RATE.....	1,050,597			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE		15		2725 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		11,295		2725 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE		552-		2725 1
=====				
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	21.00			
TRUST FUNDS.....		2,081,978		2000
SALARY RATE.....		1,050,597		
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,259,693			
=====				
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	24.50			
TREASURY ADM/INVEST TF -STATE	1,943,660			2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	267,846			2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	1,952,785			2725 1
=====				
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF -STATE	8,308			2725 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
TREASURY ADM/INVEST TF -STATE	4,000			2725 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	8,022			2725 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	24.50			
TOTAL ISSUE.....	4,184,621			
TOTAL SALARY RATE.....	1,259,693			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	11,560			2725 1
=====		=====		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF -STATE	1,671-			2725 1
=====		=====		
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	670-			2725 1
=====		=====		
WORKLOAD				3000000
ADDITIONAL POSITION FOR INVESTMENTS				
SECTION				3007120
SALARY RATE				000000
SALARY RATE.....	65,000			
=====		=====		
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	1.00			2725 1
=====		=====		
TREASURY ADM/INVEST TF -STATE	92,712			
=====		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
WORKLOAD				3000000
ADDITIONAL POSITION FOR INVESTMENTS				
SECTION				3007120
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	10,990	4,519		2725 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	305			2725 1
=====				
TOTAL: ADDITIONAL POSITION FOR INVESTMENTS				3007120
SECTION				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	104,007	4,519		
TOTAL SALARY RATE.....	65,000			
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #2) Foster Open Government Through Financial Accountability and Transparency.

The Treasury requests an additional full-time equivalent(FTE) Financial Specialist - SES position for the Investments Section within the Funds Management Bureau. The Investments Section is responsible for managing the Investment Pool which has experienced a significant growth over the past few years. The Pool is now over \$35 billion from an average of around \$25 billion just two years ago. Along with pool growth, the Investments Section has gained the ability to invest in more complicated securities that require additional expertise and research. With this increased sophistication, the state will gain income earned on investments for the state of Florida constituents.

Treasury is investing in new technologies (Order management and Investment accounting systems) that will allow for an expansion in the number of types of securities that can be purchased. These two developments will require an expansion of the research efforts of the area. The internal team, which is responsible for investing at least half of the pool, has a very lean structure with only three portfolio managers, an assistant portfolio manager, and no full-time analyst position.

This position will allow the internal portfolio managers to have a resource to perform credit research on asset backed securities that will provide significant income within these portfolios. Currently, these types of investments are not part of the internal portfolios due to previous system limitations that have been mitigated. A full-time credit analyst will ensure that all functionality and investment strategies are being fully utilized by the investment team.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
WORKLOAD				3000000
ADDITIONAL POSITION FOR INVESTMENTS				
SECTION				3007120

This position is a highly technical position that performs credit research on corporate securities and provides valuable insight to our portfolio managers that is used to make sound investment decisions. This position requires investment analytics skills that are very marketable within the private sector and requires a competitive salary in order to bring this talent into the Treasury investment team. Industry private sector positions of this nature range from \$80,000 \$120,000 annual salary or more depending on location (information gathered from an online search for similar positions is available upon request). Even with the requested salary, we will likely have to hire someone who is entry level, but this gives us the opportunity to recruit talent that, at a minimum, will have the educational background necessary to perform the required tasks.

Without this additional position, the investment portfolios will not receive the research needed/required to do the necessary analysis and to help identify opportunities in new types of investment as well as to help with the existing credit research as the pool continues to grow. The pool will be unable to reach its maximum potential and will be unable to generate the amount of investment income our systems are capable of.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
1566 FINANCIAL SPECIALIST - SES							
N1001 001	1.00	65,000		27,712	92,712	0.00	92,712
TOTALS FOR ISSUE BY FUND							
2725 TREASURY ADM/INVEST TF							92,712
	1.00	65,000		27,712	92,712		92,712

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	25.50			
TRUST FUNDS.....	4,297,847	4,519		2000
SALARY RATE.....	1,324,693			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: TREASURY							43100000
SUP RETIREMENT PLAN							43100400
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		514,307					
=====							
SALARIES AND BENEFITS							010000
TREASURY ADM/INVEST TF -STATE		13.00					2725 1
		824,608					
=====							
OTHER PERSONAL SERVICES							030000
TREASURY ADM/INVEST TF -STATE		20,100					2725 1
=====							
EXPENSES							040000
TREASURY ADM/INVEST TF -STATE		107,328					2725 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
TREASURY ADM/INVEST TF -STATE		1,252					2725 1
=====							
DEFERRED COMP ADM SVCS							100868
TREASURY ADM/INVEST TF -STATE		823,190					2725 1
=====							
RISK MANAGEMENT INSURANCE							103241
TREASURY ADM/INVEST TF -STATE		1,829					2725 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
TREASURY ADM/INVEST TF -STATE		4,405					2725 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE	3,269			2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	13.00			
TOTAL ISSUE.....	1,785,981			
TOTAL SALARY RATE.....	514,307			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF -STATE	207			2725 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE	4,257			2725 1
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF -STATE	27-			2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF -STATE		273-		2725 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF FIVE PERCENT TRANSFER				
WITHIN SUPPLEMENTAL RETIREMENT PLAN				
- DEDUCT				160F730
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF -STATE		50,000-		2725 1
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #2) Foster Open Government Through Financial Accountability and Transparency.

The Division of Treasury requests the reapproval of a five percent budget amendment (EOG B7003) that was approved on July 15, 2021. The division transferred 50,000 in budget authority from the deferred compensation administrative services category to the salary and benefits category. The deferred compensation administrative services category is a special category, which is used to operate the Bureau of Deferred Compensation.

The staff of 13 are a highly specialized group responsible for the 457(b) tax-deferred retirement plan which has over 87,000 participants and over \$5.6 billion in assets. The transfer allows the bureau to meet its needs by keeping fully staffed with highly qualified employees. The bureau provides outstanding services and continues to grow and market the program to State Employees as well as employees of the State University System, several Special Districts and several of the Water Management Districts.

This issue corresponds with issue code 160F740.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PROGRAM: TREASURY 43100000
SUP RETIREMENT PLAN 43100400
 GOV OPERATIONS/SUPPORT 16
GOVERNMENTAL OPERATIONS 1601.00.00.00
 ADJUSTMENTS TO CURRENT YEAR
 ESTIMATED EXPENDITURES 1600000
 REAPPROVAL OF FIVE PERCENT TRANSFER
 WITHIN SUPPLEMENTAL RETIREMENT PLAN
 - DEDUCT 160F730

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

OTHER SALARY AMOUNT
 2725 TREASURY ADM/INVEST TF 50,000-

 50,000-
 =====

REAPPROVAL OF FIVE PERCENT TRANSFER
 WITHIN SUPPLEMENTAL RETIREMENT PLAN
 - ADDBACK 160F740
 SALARIES AND BENEFITS 010000

TREASURY ADM/INVEST TF -STATE 50,000 2725 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #2)Foster Open Government Through Financial Accountability and Transparency.

The Division of Treasury requests the reapproval of a five percent budget amendment (EOG B7003) that was approved on July 15, 2021. The division transferred 50,000 in budget authority from the deferred compensation administrative services category to the salary and benefits category. The deferred compensation administrative services category is a special category, which is used to operate the Bureau of Deferred Compensation.

The staff of 13 are a highly specialized group responsible for the 457(b) tax-deferred retirement plan which has over 87,000 participants and over \$5.6 billion in assets. The transfer allows the bureau to meet it needs by keeping fully staffed with highly qualified employees. The bureau provides outstanding services and continues to grow and market the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF FIVE PERCENT TRANSFER				
WITHIN SUPPLEMENTAL RETIREMENT PLAN				
- ADDBACK				160F740

program to State Employees as well as employees of the State University System, several Special Districts and several of the Water Management Districts.

This issue corresponds with issue code 160F730.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2725 TREASURY ADM/INVEST TF							50,000

							50,000
							=====

TOTAL: GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	13.00						
SALARY RATE.....		1,790,145					2000
		514,307					
		=====					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	8,274,869			
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND -STATE	9,354,782			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,474,411			2021 1
TOTAL POSITIONS.....	158.00			
TOTAL APPRO.....	11,829,193			
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	24,335			1000 1
ADMINISTRATIVE TRUST FUND -STATE	23,545			2021 1
TOTAL APPRO.....	47,880			
EXPENSES				040000
GENERAL REVENUE FUND -STATE	988,972			1000 1
ADMINISTRATIVE TRUST FUND -STATE	116,201			2021 1
TOTAL APPRO.....	1,105,173			
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND -STATE	1,000			1000 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	683,882			1000 1
ADMINISTRATIVE TRUST FUND -STATE	80,000			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	763,882			
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND -STATE	7,412			1000 1
ADMINISTRATIVE TRUST FUND -STATE	84,212			2021 1
TOTAL APPRO.....	91,624			
LEASE/PURCHASE/EQUIPMENT				105281
GENERAL REVENUE FUND -STATE	5,122			1000 1
ADMINISTRATIVE TRUST FUND -STATE	17,055			2021 1
TOTAL APPRO.....	22,177			
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	49,134			1000 1
ADMINISTRATIVE TRUST FUND -STATE	2,802			2021 1
TOTAL APPRO.....	51,936			
TR/PRISON INDUSTRY ENH PRG				108005
PRISON INDUSTRIES TF -STATE	1,250,000			2385 1
FL CLERKS/COURT/OPER CORP				109987
ADMINISTRATIVE TRUST FUND -STATE	2,300,000			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				
				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	158.00			
TOTAL ISSUE.....	17,462,865			
TOTAL SALARY RATE.....	8,274,869			
=====				
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	251			1000 1
ADMINISTRATIVE TRUST FUND -STATE	243			2021 1
TOTAL APPRO.....	494			
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				
SALARIES AND BENEFITS				1001070
				010000
GENERAL REVENUE FUND -STATE	51,866			1000 1
ADMINISTRATIVE TRUST FUND -STATE	13,721			2021 1
TOTAL APPRO.....	65,587			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	23,472-			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND -STATE	4,106-			1000 1
ADMINISTRATIVE TRUST FUND -STATE	234-			2021 1
TOTAL APPRO.....	4,340-			
=====	=====	=====	=====	
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	11,162,650			1000
TRUST FUNDS	6,338,484			2000
TOTAL POSITIONS.....	158.00			
TOTAL PROG COMP.....	17,501,134			
TOTAL SALARY RATE.....	8,274,869			
=====	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,798,636			
=====				
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE	65.00			
	3,957,092			2007 1
=====				
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF -STATE	561,313			2007 1
=====				
EXPENSES				040000
UNCLAIMED PROPERTY TF -STATE	829,664			2007 1
=====				
OPERATING CAPITAL OUTLAY				060000
UNCLAIMED PROPERTY TF -STATE	7,500			2007 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF -STATE	226,794			2007 1
=====				
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF -STATE	18,066			2007 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
UNCLAIMED PROPERTY TF -STATE	11,524			2007 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF -STATE		18,959		2007 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	65.00			
TOTAL ISSUE.....		5,630,912		
TOTAL SALARY RATE.....		2,798,636		
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....		14,605		
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF -STATE		17,309		2007 1
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF -STATE		5,797		2007 1
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....		23,106		
TOTAL SALARY RATE.....		14,605		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
<u>RECOVERY & RETURN OF UP</u>							43200200
GOV OPERATIONS/SUPPORT							16
<u>GOVERNMENTAL OPERATIONS</u>							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2021-22 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY							1001070
SALARIES AND BENEFITS							010000
UNCLAIMED PROPERTY TF -STATE		22,754					2007 1
=====							
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
UNCLAIMED PROPERTY TF -STATE		1,965					2007 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
UNCLAIMED PROPERTY TF -STATE		1,585-					2007 1
=====							
TOTAL: GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
BY FUND TYPE							
		65.00					
TRUST FUNDS.....		5,677,152					2000
SALARY RATE.....		2,813,241					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
FL PALM				43200300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....		4,590,568		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	50.00		
		6,197,071		2393 1
=====				
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
INSURANCE REG TF	-STATE	27,979,267		2393 1
=====				
FLORIDA PALM CONTINGENCY				100819
INSURANCE REG TF	-STATE	1,500,000		2393 1
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	9,033		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	16,187		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		50.00		
TOTAL ISSUE.....		35,701,558		
TOTAL SALARY RATE.....		4,590,568		
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
FL PALM							43200300
GOV OPERATIONS/SUPPORT							16
INFORMATION TECHNOLOGY							1603.00.00.00
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2021-22 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY							1001070
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	32,043					2393 1
=====							
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	1,410-					2393 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	1,353-					2393 1
=====							
NONRECURRING EXPENDITURES							2100000
PLANNING, ACCOUNTING, AND LEDGER							
MANAGEMENT CONTRACT CONTINGENCY							2103037
SPECIAL CATEGORIES							100000
FLORIDA PALM CONTINGENCY							100819
INSURANCE REG TF	-STATE	1,500,000-					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
FL PALM				43200300
GOV OPERATIONS/SUPPORT				16
INFORMATION TECHNOLOGY				1603.00.00.00
NONRECURRING EXPENDITURES				2100000
FLAIR REPLACEMENT				2103123
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
INSURANCE REG TF	-STATE	27,979,267-		2393 1
=====				
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
FLAIR REPLACEMENT				36105C0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
GENERAL REVENUE FUND	-STATE	35,703,403	35,703,403	1000 1
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

The State of Florida Accounting Information Resource (FLAIR) system, is based on software developed in the 1970s and implemented as the core of the state's financial system 1981. FLAIR is an inefficient and wholly inadequate system, not suitable for delivering the functionality of a modern, twenty-first century financial platform or safeguarding the financial credibility of an enterprise the magnitude of Florida's. Recognizing the risks and shortcomings of FLAIR, the Legislature authorized and appropriated funds to the Department of Financial Services in Fiscal Year 2013-2014 to conduct a study of replacement options. The ultimate result of this study was a recommendation to replace the core functionality of FLAIR and the Treasury cash management system (CMS). The replacement of FLAIR and CMS has been renamed the Florida Planning Accounting and Ledger Management (PALM) Project.

The Department released an ITN on November 1, 2016 for a software and system integrator. On June 15, 2018, the negotiation team held a public meeting to make a recommendation for vendor selection. A contract was executed on July 20, 2018 and years 1-4 funding of the software and system integrator contract have been provided through Fiscal Year 2021-2022.

This issue requests funding for year nine of the Florida PALM Project and year five of the software system integrator contract.

The Department requests \$35,703,402.26 in non-recurring appropriations from the General Revenue Fund in the Florida Accounting Information Resource System Replacement category within the Florida Planning Accounting and Ledger Management budget entity. This request includes \$30,097,473.00 for the fifth year of the Software and System Integrator contract, of which, \$8,467,785 is for Production Support deliverables, \$1,905,929.26 for Oracle Software and Maintenance, \$1,500,000 for Independent Verification and Validation services, \$46,387.52 for Production Support costs, and \$2,153,612.48 for other project costs including Project Administration (including software purchase and maintenance, computer hardware,

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
<u>FL PALM</u>						43200300
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY						3610000
FLAIR REPLACEMENT						36105C0

supplies and other operating costs)and ERP Support Services.

PLANNING, ACCOUNTING, AND LEDGER MANAGEMENT CONTRACT CONTINGENCY						36105C1
SPECIAL CATEGORIES						100000
FLORIDA PALM CONTINGENCY						100819

GENERAL REVENUE FUND -STATE	1,500,000	1,500,000				1000 1
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AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

For Fiscal Year 2021-2022, the Legislature created a separate category for the contingency amount for the Florida Planning Accounting and Ledger Management (PALM) project. For Fiscal Year 2022-2023, the Department of Financial Services is requesting 1,500,000 in the PALM Contingency category within the Florida Planning Accounting and Ledger Management budget entity. This request is for unforeseen expenditures that are essential to the implementation of the Florida PALM Solution.

TOTAL: INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
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BY FUND TYPE						
GENERAL REVENUE FUND	37,203,403	37,203,403				1000
TRUST FUNDS	6,251,571					2000

TOTAL POSITIONS.....	50.00					
TOTAL PROG COMP.....	43,454,974	37,203,403				
TOTAL SALARY RATE.....	4,590,568					

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,926,747			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	66.00			
	4,120,709			2393 1
=====				
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	60,000			1000 1
INSURANCE REG TF -STATE	15,339			2393 1
TOTAL APPRO.....	75,339			
=====				
EXPENSES				040000
INSURANCE REG TF -STATE	669,579			2393 1
=====				
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF -STATE	13,200			2393 1
=====				
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	540,000			1000 1
INSURANCE REG TF -STATE	113,305			2393 1
TOTAL APPRO.....	653,305			
=====				
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF -STATE	33,700			2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF -STATE	12,000			2393 1
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE	14,442			2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE	19,248			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	66.00			
TOTAL ISSUE.....	5,611,522			
TOTAL SALARY RATE.....	2,926,747			
SALARY INCREASES FOR FY 2021-22 - STATE EMPLOYEE MINIMUM WAGE INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	42,990			
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE	50,934			2393 1
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND -STATE	619			1000 1
INSURANCE REG TF -STATE	158			2393 1
TOTAL APPRO.....	777			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....		51,711		
TOTAL SALARY RATE.....		42,990		
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	22,309		2393 1
		=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	1,609-		2393 1
		=====	=====	
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - DEDUCT				160F990
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	22,082-		2393 1
		=====	=====	

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - DEDUCT				160F990

Floridians.

This issue requests the reapproval of a five percent transfer (EOG B7005) that was approved on July 16, 2021. This transfer provided a realignment of salaries and benefits between the three bureaus within the Division of State Fire Marshal. This budget amendment transferred 22,082 from the Bureau of Fire Prevention and 25,000 from the Fire College, for a total of 47,082 into the Directors Office. This realignment provides the amount of budget authority needed for payroll in the respective bureaus.

This issue corresponds with issue code 160F990 in 43300400; and issue code 160F880 in 43300500, and nets to zero.

 POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							22,082-

							22,082-
							=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES FOR CONSTRUCTION MINING				
- DEDUCT				
OTHER PERSONAL SERVICES				2000330
				030000
GENERAL REVENUE FUND	-STATE	60,000-		1000 1
		=====		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND	-STATE	540,000-		1000 1
		=====		
TOTAL: REALIGN BUDGET AUTHORITY BETWEEN				2000330
CATEGORIES FOR CONSTRUCTION MINING				
- DEDUCT				
TOTAL ISSUE.....		600,000-		
		=====		

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue realigns budget authority for the construction mining program. This funding was provided to the department in chapter 2020-180, L.O.F. (HB 1047), relating to construction materials mining activities. Funds were appropriated to the Division of State Fire Marshal for the purpose of implementing the monitoring and reporting pilot program for the use of explosives in Miami-Dade County pursuant to s. 552.30(4), Florida Statutes.

This issue corresponds with issue code 2000340.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES FOR CONSTRUCTION MINING				
- ADD				2000340
SPECIAL CATEGORIES				100000
CONST MATERIALS MINING				100121
GENERAL REVENUE FUND				
-STATE		600,000		1000 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue realigns budget authority for the construction mining program. This funding was provided to the department in chapter 2020-180, L.O.F. (HB 1047), relating to construction materials mining activities. Funds were appropriated to the Division of State Fire Marshal for the purpose of implementing the monitoring and reporting pilot program for the use of explosives in Miami-Dade County pursuant to s. 552.30(4), Florida Statutes.

This issue corresponds with issue code 2000330.

PROGRAM ISSUES				4000000
INCREASE OPERATION OF MOTOR				
VEHICLES BUDGET AUTHORITY				4000650
SPECIAL CATEGORIES				100000
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF				
-STATE		12,500		2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests additional recurring budget authority in the operations of motor vehicles category. The Bureau of Fire Prevention maintains 56 vehicles used by field inspectors statewide while performing mandatory fire safety, regulatory and boiler safety inspections. The safety and dependability of these State vehicles is vital to the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
INCREASE OPERATION OF MOTOR				
VEHICLES BUDGET AUTHORITY				4000650

performance of these employees' duties. Assurance of proper vehicle maintenance and compliance with Administrative Policies and Procedures (AP&P) 2-07 requires the bureau to perform routine maintenance, such as oil changes and checking brakes; and emergency repairs, such as flat tires and dead batteries; as well as the manufacturer's recommended maintenance schedules, such as belt and fluid replacement, etc.

Of the 56 vehicles maintained by this bureau, approximately one-third will require a recommended scheduled manufacture's maintenance to be performed annually. Depending on what part of the state the vehicle is receiving service, the estimated cost will be between \$500 and \$750 per vehicle. Approximately 12,000 a year in budget authority is needed for routine maintenance alone. (19 x \$500 to \$750 = \$9,500 to \$14,250)

In the past, the bureau has been more reactive than proactive with major vehicle repairs. Additional budget authority in this category will allow the division to maintain the manufacturers recommended schedule for routine maintenance of vehicles and will reduce the need for emergency repairs.

If this increase is not funded, the bureau's ability to effectively plan and manage the approved budget will be reduced and will require the submission and approval of a yearly budget amendment.

Additional funding of vehicle operations allows the bureau to maintain compliance with AP&P 2-07, perform recommended scheduled manufacture's maintenance of bureau vehicles providing for safe and dependable vehicle operations and potentially increased surplus values.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	600,619			1000
TRUST FUNDS	5,073,732			2000
TOTAL POSITIONS.....	66.00			
TOTAL PROG COMP.....	5,674,351			
TOTAL SALARY RATE.....	2,969,737			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>PROF TRAINING & STANDARDS</u>							43300400
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,160,678					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	27.00					
		1,756,905					2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	249,039					
							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	513,895					
							2393 1
=====							
AID TO LOCAL GOVERNMENTS							050000
DECONTAM MATCH GRANT PROG							051070
INSURANCE REG TF	-STATE	500,000					
							2393 1
=====							
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	23,294					
							2393 1
=====							
SPECIAL CATEGORIES							100000
G/A-FIREFIGHTER ASSIST							100063
INSURANCE REG TF	-STATE	1,000,000					
							2393 1
=====							
ELECTRONIC COMMERCE FEES							100064
INSURANCE REG TF	-STATE	13,200					
							2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>PROF TRAINING & STANDARDS</u>							43300400
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	839,145					2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	22,900					2393 1
=====							
SUPP FIREFIGHTERS COMP							103725
INSURANCE REG TF	-STATE	14,500					2393 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF	-STATE	25,519					2393 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	11,279					2393 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		27.00					
TOTAL ISSUE.....		4,969,676					
TOTAL SALARY RATE.....		1,160,678					
=====							
SALARY INCREASES FOR FY 2021-22 - STATE EMPLOYEE MINIMUM WAGE INCREASE - EFFECTIVE 7/1/2021							1001030
SALARY RATE							000000
SALARY RATE.....		20,535					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	24,332		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	2,572		2393 1
=====				
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....		26,904		
TOTAL SALARY RATE.....		20,535		
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	10,995		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	943-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF SALARIES AND				
BENEFITS BUDGET - SALARY				
RESTRUCTURING - DEDUCT				160F990
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	25,000-			

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests the reapproval of a five percent transfer (EOG B7005) that was approved on July 16, 2021. This transfer provided a realignment of salaries and benefits between the three bureaus within the Division of State Fire Marshal. This budget amendment transferred 22,082 from the Bureau of Fire Prevention and 25,000 from the Fire College, for a total of 47,082 into the Directors Office. The realignment provides the amount of budget authority needed for payroll in the respective bureaus.

This issue corresponds with issue code 160F990 in 43300200; and issue code 160F880 in 43300500, and nets to zero.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

OTHER SALARY AMOUNT

2393 INSURANCE REG TF

25,000-

25,000-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
STATE URBAN SEARCH AND RESCUE				
TRAINING PROGRAM				2103038
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	500,000-		2393 1
=====				
EQUIPMENT NEEDS				2400000
REPLACEMENT OF MOTOR VEHICLES				2401500
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-MATCH	125,000	125,000	2393 2
	-FEDERL	500,000	500,000	2393 3

TOTAL INSURANCE REG TF		625,000	625,000	2393
=====				
TOTAL APPRO.....		625,000	625,000	
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Bureau of Fire Standards and Training requests budget authority for the replacement of a 15-year-old Freightliner apparatus at the Florida State Fire College, located in Ocala Florida. The Fire College currently has three apparatuses. The two 2016 E-One fire apparatus are used primarily with recruit firefighter programs when conducting and teaching a variety of exercises required to teach students the necessary skills to become State certified. Most outside practical training requires at least two apparatus to accommodate the number of students in each class. These two apparatuses were obtained through the Assistance to Firefighters (AFG) grant in 2016.

The third fire engine is the freightliner and is operable, but it cannot be used for live fire training because it failed the pump/vacuum test in 2020. The Freightliner apparatus, if not being utilized by instructors with the recruit classes, is used for other courses for obtaining the Fire Pump Operator certification and Maritime courses which are offered monthly. The above apparatus are also deployable assets in response to during disasters in the state of Florida. The estimated cost to repair the pump/vacuum is more than the engine is worth. It has exceeded its life cycle. Replacement of the 2006 Freightliner apparatus is key for the continued operations at the Fire College.

The National Fire Protection Association (NFPA) publishes industry consensus standards for fire departments across the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF MOTOR VEHICLES				2401500

United States and Canada specific to firefighter training, operations, and equipment. Specific to this request, NFPA 1901 Standard for Automotive Fire Apparatus (NFPA 1901) is the document fire departments and manufacturers utilize when designing the operation of fire engines. The NFPA updates standards on a five-year cycle to ensure standards are current and valid. This specific unit was constructed under the 1996 edition of NFPA 1901 and since being placed in service (in 2006) there have been four updates to NFPA 1901 (1999, 2003, 2009, and 2016) with a new standard expected to be published in calendar year 2020. As the leading agency providing firefighter training for Florida, it is important for the Fire College to train firefighters with equipment using current safety features to prepare them for the real-world environment in which they will be expected to work.

Without this issue, firefighter students will continue to be trained using a fire engine that is not at the current operational and safety standards recognized as the consensus standards within the United States. A new fire engine will ensure firefighter students are being trained using equipment current to existing safety standards as well as having been trained using equipment they will be expected to use upon graduation from the fire academy. Fire service employers will be receiving candidates in their respective hiring processes that are trained to a maximum level of proficiency.

The Fire College will apply for a federal grant in the spring of 2022 with a maximum federal amount of 500,000 and 125,000 in state match.

WORKLOAD					3000000
ADDITIONAL POSITION FOR GRANT					
MANAGEMENT AT THE FIRE COLLEGE					3006150
SALARY RATE					000000
SALARY RATE.....	40,115				
	=====	=====	=====	=====	
SALARIES AND BENEFITS					010000
	1.00				
INSURANCE REG TF	-STATE	62,054			2393 1
	=====	=====	=====	=====	
EXPENSES					040000
INSURANCE REG TF	-STATE	10,990	4,519		2393 1
	=====	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
WORKLOAD				3000000
ADDITIONAL POSITION FOR GRANT				
MANAGEMENT AT THE FIRE COLLEGE				3006150
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	305		2393 1
=====				
TOTAL: ADDITIONAL POSITION FOR GRANT				3006150
MANAGEMENT AT THE FIRE COLLEGE				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....		73,349	4,519	
TOTAL SALARY RATE.....	40,115			
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency; 4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests an additional full-time equivalent position (FTE) at ten percent above the minimum pay grade for a Government Analyst I. This position will fill the duties of a full-time grant coordinator. Bureau staff is responsible for all aspects of the administration of the Volunteer Firefighter Assistance Grant Program and the Firefighter Cancer Decontamination Equipment Grant Program.

During the 2016 Legislative Session, House Bill 651 created s. 633.135, F.S., which created the Firefighter Assistance Grant Program intended to assist volunteer fire departments. The bill provided a recurring appropriation of 150,000 for the State Fire Marshal to implement the grant program and one full time position with a salary and benefits appropriation of 79,165 to manage the grant program. This program provides a grant program for volunteer fire departments statewide to enhance emergency response capabilities of rural volunteer and combination volunteer/career fire departments. This program meets basic firefighter safety needs of volunteer fire service providers, including firefighter training, personal protective equipment (PPE), self-contained breathing apparatuses (SCBA), and fire engine pumper apparatuses. The bureau awards grants to qualifying fire departments each year based on the annual Florida Fire Service Needs Assessment Survey. During the first year, nine contracts were awarded. Each year since Fiscal Year 2017-18, the bureau has been appropriated 1,000,000 for the program and a total of 114 grants have been awarded over the last four years. These grants are managed by the full-time position that was created for this purpose in Fiscal Year 2016-17.

During the 2020 Legislative Session, Senate Bill 1092 provided a recurring appropriation of 250,000 for the State Fire Marshal to create the Firefighter Cancer Decontamination Equipment Grant Program. The creation of this program required the department to administer the program, annually award grants, distribute equipment and training to qualified fire departments. During Fiscal Year 2020-21, the division adopted rules and procedures to establish application criteria and requirements for grant recipients. Once these steps were completed, the division received 26 grant recipients for the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
WORKLOAD				3000000
ADDITIONAL POSITION FOR GRANT				
MANAGEMENT AT THE FIRE COLLEGE				3006150

first year of the program. In Fiscal Year 2021-22 the division was appropriated 500,000 in recurring budget authority for the program and will likely receive at least double the number of recipients as the first year. No additional resources were included to manage the volume of recipients of this grant program and it has created an additional heavy workload on existing staff.

Hiring an additional grant coordinator position will provide a higher degree of accountability ensuring a minimal number of management findings during normal Inspector General audits and will provide for greater efficiency and transparency with a single point of responsibility.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
2224 GOVERNMENT ANALYST I							
N1001 001	1.00	40,115		21,939	62,054	0.00	62,054
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							62,054
	1.00	40,115		21,939	62,054		62,054

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REPLACE CONTINUING EDUCATION SYSTEM				36315C0
EXPENSES				040000
INSURANCE REG TF -STATE	475,000			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE	350,000	150,000		2393 1
=====				
TOTAL: REPLACE CONTINUING EDUCATION SYSTEM				36315C0
TOTAL ISSUE.....	825,000	150,000		
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency; 4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests replacement of an antiquated system called FCDICE (Fire College Department of Insurance Continuing Education). FCDICE was implemented in 2004 as a spin-off of the DICE system utilized by the Division of Agent and Agency Services. Operationally, the State Fire Marshal (SFM) has determined that the FCDICE system is no longer sustainable and needs to be transitioned to a new platform that uses a relational database to allow them to better meet the changing needs of their various constituent groups.

The Bureau of Fire Standards and Training (BFST) and Bureau of Fire Prevention (BFP) currently use this on-premise application to support the training, certification, and re-certification of nearly 100,000 Florida firefighters, instructors, inspectors, arson investigators, and fire equipment permittees. The FCDICE system contains an e-commerce component for processing application fees, class registration fees, and textbook charges. The FCDICE registration module supports the dormitory and classroom registration process for the Fire College. The supplemental compensation module currently supports more than 11,000 firefighters participating in the Supplemental Compensation Program by tracking more than \$10 million in annual disbursements.

Continued operation of the FCDICE system is not sustainable. Consistency in the administration of the database for certification holders is highly unpredictable at this point. Currently, the data contained in the FCDICE database is not directly accessible for analytic purposes. Although FCDICE allows bureau management to access discrete data (such as email addresses and the number of various certifications issued), the system does not provide the capability to describe, show, or summarize data in a meaningful way (i.e. patterns emerging from data that would support decision making processes). During the COVID-19 pandemic, the Governor's Office requested a significant amount of data from FCDICE.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REPLACE CONTINUING EDUCATION SYSTEM				36315C0

Because the system does not allow for any form of analytics, much of the resulting analysis was conducted externally from the system. As a result, answers were slow in being provided and in some cases, small inaccuracies were found. The ability to produce accurate data to support decision making is continually in question.

Because the technology is outdated, maintenance of the system is difficult, time-consuming, and no longer sufficient or efficient. The development support team is limited to addressing critical issues with no capacity to focus on necessary enhancements. Replacing this system will allow for increased customer service, improved decision-making, and increased operational efficiencies.

The FCDICE system consistently has 60 to 70 outstanding issues in the queue. Very little progress is possible due to the need for enhancements, and OIT staff is limited to only addressing critical problems as they occur. Due to the age of the current system and the related code base, continued maintenance is no longer sufficient or efficient, sometimes proving to be ineffective. There is no dedicated resource to support the application for the State Fire Marshal. The current support resources are shared among three divisions that use variations of the same systems. The replacement system will introduce new technology, require different skills, and provide a useful service life of 10 to 15 years.

Funding for the replacement of FCDICE program is estimated at 825,000 with an annual recurring cost of 675,000 for ongoing licensing and staff augmentation. The costs for this project were provided by gathering data from state agencies operating similar training and certification systems (i.e. California, Ohio, and Indiana) and reviewing current costs for staff augmentation resources.

PROGRAM ISSUES				4000000
REGIONAL VOLUNTEER TRAINING				4000640
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777

INSURANCE REG TF	-STATE	21,380	21,380	2393	1
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AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency; 4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Bureau of Fire Standards and Training provides standardized curriculum and training resources to fire service training providers in Florida. Specifically, these courses include the training of volunteer firefighters (Firefighter

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
REGIONAL VOLUNTEER TRAINING				4000640

I) and career firefighters (Firefighter II). This bureau is responsible for the certification of Florida's volunteer and career firefighters. It is important to note that the certification process is required only of individuals who will be entering Immediately Dangerous to Life and Health (IDLH) environments. Individuals desiring to serve as a volunteer with any of Florida's fire service providers can do so in a variety of support roles (i.e. rehab, fire prevention, pump operator, etc.). In these support positions individuals are not required to be certified but can function at a "trained commensurate to duty" level.

This issue requests funding to provide training to volunteer firefighters in a training scenario that provides for four regions using four instructors. This training allows for high-risk training including live fire (instructor quals considered), heavy extrication, and round-robin format training (multiple topics in short periods). Lodging and per diem are included in this calculation.

4 regions, with 4 weekends of training, using 4 instructors per site.
 4 instructors working 24 hours at 4 sites in 4 weekends quarterly @\$24.00/hr. = 9,216
 Lodging based on one room per instructor 4 (3 nights each) in 4 weekends @ 175.00 per night = 8,400
 Meal Per diem per instructor (4 for 4 sites 4 days each) @\$36 per day = 2,304
 Fuel based on 4 locations over 4 weekends @fuel cost average of \$3.00 for 1,600 miles traveled = 960
 Misc. supplies and books/copies = 500
 Total Issue = 21,380

Beginning in 2005, volunteer firefighters were required to complete the Firefighter I 160-hour class, which was the standard until 2009 when the Firefighter 206-hour class was implemented. From 2005 to 2009, the Bureau certified an average of approximately 535 individuals per year to serve as volunteer firefighters annually. Beginning in 2010 the increase in required hours to become a volunteer have had a negative impact on the numbers of certifications issued by the Bureau as the average number of volunteer certifications dropped to 389 per year.

The Bureau currently has a full-time employee who functions as a liaison between the State Fire Marshal's office and volunteer fire departments. This individual works closely with volunteer and combination fire departments when each requests grant funding for needed equipment and to ensure the departments are compliant with State requirements. This position manages and coordinates the training efforts around the state and will be responsible for contracting with outside vendors for volunteer training.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
ROUTINE MAINTENANCE AND REPAIR				4000830
SPECIAL CATEGORIES				100000
FIRE COLLEGE- MAINT/REPAIR				109010
INSURANCE REG TF	-STATE	120,000		2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Division of State Fire Marshal maintains the buildings and property on the Florida State Fire College campus. This issue requests recurring budget authority to address maintenance issues, general repairs, and on-going maintenance projects as they arise throughout the fiscal year. The Fire College campus is located in Ocala on 37 acres and includes 11 main buildings that were constructed in 1988. These buildings total over 65,000 square feet and include office space, classrooms, dormitories, cafeteria, maintenance facilities, and an auditorium. Over the past five years the Fire College has spent an average of \$117,297 for emergency repairs, general repairs and equipment failures.

CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000
FIXED CAPITAL OUTLAY				080000
FIRE COLLEGE-BLDG MAINT				080990

INSURANCE REG TF	-STATE	2,194,805	2,194,805	2393 1
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AGENCY NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

FIRE COLLEGE-BLDG MAINT

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Division of State Fire Marshal maintains the buildings and property on the Florida State Fire College campus. Much of the infrastructure on the campus is equipment and material that was installed when the campus was constructed almost 35 years ago. As the campus has aged, this infrastructure is beginning to fail and has required expensive repairs to keep operational. The roof is the original from its construction in 1989-90.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000

GENERATOR REPLACEMENT: 275,000

This project replaces the existing diesel generator on the campus of the Florida State Fire College with a natural gas supplied generator. The existing generator has experienced failures during critical times of need. During hurricane Michael the Bureau was required to rent a unit due to a critical failure of the existing unit.

The current generator located on the Fire College campus was installed in 2003 and is nearing the end of its anticipated life cycle. The unit is a diesel generator and must be manually filled in the event of prolonged use. The Fire College is often used as a staging point in times of hurricanes or other disasters where state assets need to be deployed to different regions of the state. Power must be available to house those type of assets as well as enable the Fire College staff to maintain functionality of the facility. Additionally, the unit has experienced failures when power to the campus has been interrupted which has negatively impacted the ability of the campus to maintain HVAC systems as well potable water and fire protection systems. In Fiscal Year 2017-18 the Fire College paid \$28,910 for repairs, and \$26,898 in Fiscal Year 2018-19. To date, \$26,737 has been expended for repairs in current year.

Transitioning to a natural gas supplied generator removes the potential for contaminated diesel (i.e. algae) impacting the operation of the unit. Natural gas is currently utilized across the campus and this will remove the requirement for diesel to be delivered in anticipation of a hurricane / natural disaster impact or to maintain a diesel supply to the unit during a natural disaster. A new unit will allow for a natural gas feed which eliminates the need for manual fueling. With the new unit the College will function as normal no matter what weather events take place.

PAVING - PHASE II: 275,000

This issue requests funding to continue repaving parking lots at the Fire College. Phase I of paving was complete in June 2020. This request is for Phase II of the paving project. Paved areas have degraded and weathered over time causing large potholes to develop in the asphalt and have posed a risk to students traversing the campus and during training activities. There are paved areas on campus located in the training area that have completely deteriorated making it unsafe for driving the apparatus on these areas. Students are at risk of injury during daily physical training each morning due to the multiple potholes. Staff continues to "patch" these areas, but the fixes are temporary and last only a short period of time. Bids were obtained for resurfacing the drive and parking areas of campus and it is estimated that 275,000 will be needed to complete Phase II of the project.

ROOF REPAIRS: 101,793

A recent roof inspection identified several roofs on campus that are in fair to poor condition, creating an immediate need for repair. Roof repairs will include the repair and replacement of flashing, reapplication of urethan mastic to all ridge caps, replacement of coping caps and neoprene gaskets, reapplication of metal rog primers, as well as

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING & STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN						9900000
MAINTENANCE AND REPAIR						990M000

conducting an infrared moisture analysis and core testing.

This issue will cover the following roof repairs:

- Building "B" Auditorium - 21,505
- Building "C" Administration - 11,905
- Building "A" Cafeteria - 34,454
- Building "D" Maintenance - 6,602
- Building "E" Firehouse - 5,882
- Infrared Moisture Analysis on buildings listed above - 21,445

FACADE REPAIR AND RESTORATION: 1,543,012

The Division of State Fire Marshal maintains the buildings and property on the Florida State Fire College campus. Much of the infrastructure on the campus is comprised of equipment and materials installed when the campus was constructed almost 35 years ago. The Fire College is tasked with the delivery of programs that increase the knowledge of Florida's volunteer and career firefighters through high-quality training offered on campus.

As the campus has aged, its infrastructure is beginning to fail and has required expensive repairs to keep the campus operational. In two previous fiscal years, the Administrative/Classroom building at the Fire College has had to conduct underpinning to different sections in the classroom areas. This settling has caused additional areas of the building to need repairs. In addition, the other buildings on campus have experienced a degree of natural settling consistent with construction in Florida. The areas of concern have the potential to allow moisture to seep into the interior of the building and create mold concerns as well as degradation of structural components. Repairs needed include sealant of joints between windows and brick facade, putting mortar between bricks on the facade, and applying "wet seals" intended to keep moisture out of the buildings.

The costs for this project was determined by obtaining an assessment from a professional commercial contractor.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
DEFERRED BUILDING MAINTENANCE				990Z000
FIXED CAPITAL OUTLAY				080000
FIRE COLLEGE-BLDG MAINT				080990
INSURANCE REG TF	-STATE	250,250	250,250	2393 1

AGENCY NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE: FIRE COLLEGE-BLDG MAINT IT COMPONENT? NO
 Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Division of State Fire Marshal maintains the buildings and property on the Florida State Fire College campus. Much of the infrastructure on the campus is equipment and material that was installed when the campus was constructed almost 35 years ago. As the campus has aged, this infrastructure is beginning to fail and has required expensive repairs to keep operational. The roof is the original from its construction in 1989-90.

ROOF REPLACEMENT: 250,250

This building is used as office space for the Division of Investigative and Forensic Services and the Bureau of Fire Prevention. Recent inspections of each building identified the roof conditions for each as being in fair/poor condition. The Arson/Fire Prevention building inspection revealed the roof was in poor condition with the recommendation for replacement. Currently there are multiple locations inside the building that are showing evidence of water leakage.

The replacement of the roof would include removal of all debris on roof system. Three course all open flashing. Replace roof system down to deck. Install new tapered insulation per code requirements. Install new secure rock cover board set-in low-rise foam adhesive. Install new multi ply cold process roof system with granule MB cap sheet. Provide owner with new 25-year watertight warranty to cover all components of the roof system. Complete a full facade restoration with new sealants & clear silage sealer. The building is 7,150 square feet. The project is calculated at \$35 per square foot.

This roof replacement is included on the Governor's Office list for the Deferred Building Maintenance Statewide Plan. As of the time of this narrative, final approval of the list has not been made.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	28.00			
TRUST FUNDS.....	8,591,416	3,245,954		2000
SALARY RATE.....	1,221,328			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>							43300500
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	699,566						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	12.00 1,088,380					2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	5,702					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	266,452					2393 1
=====							
AID TO LOCAL GOVERNMENTS							050000
G/A-LOCAL GOV FIRE SRV							051065
INSURANCE REG TF	-STATE	3,655,340					2393 1
=====							
SPECIAL CATEGORIES							100000
TR/UM-SYL CAN CEN/CAN RES							100518
GENERAL REVENUE FUND	-STATE	2,000,000					1000 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	38,189					2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	1,300					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE		189,479		2393 1
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF -STATE		4,500		2393 1
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF -STATE		8,485		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF -STATE		5,405		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		12.00		
TOTAL ISSUE.....		7,263,232		
TOTAL SALARY RATE.....		699,566		
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....		1,561		
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		1,850		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE		58	2393 1
			=====	
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....			1,908	
TOTAL SALARY RATE.....			1,561	
			=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE		6,831	2393 1
			=====	
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE		30,002-	2393 1
			=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE		452-	2393 1
			=====	

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES						
PROGRAM: FIRE MARSHAL						
FIRE MRSHL ADMN & SUP SRVS						
PUBLIC PROTECTION						
LAW ENFORCEMENT						
ADJUSTMENTS TO CURRENT YEAR ESTIMATED EXPENDITURES						43000000
REALIGNMENT OF SALARIES AND BENEFITS BUDGET - SALARY						43300000
RESTRUCTURING - ADDBACK						43300500
SALARIES AND BENEFITS						12
						<u>1202.00.00.00</u>
INSURANCE REG TF -STATE						47,082
						1600000
						160F880
						010000
						2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests the reapproval of a five percent transfer (EOG B7005) that was approved on July 16, 2021. This transfer provided a realignment of salaries and benefits between the three bureaus within the Division of State Fire Marshal. This budget amendment transferred 22,082 from the Bureau of Fire Prevention and 25,000 from the Fire College, for a total of 47,082 into the Directors Office. The realignment provides the amount of budget authority needed for payroll in the respective bureaus.

This issue corresponds with issue code 160F990 in 43300200 and 43300400; and nets to zero.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2393 INSURANCE REG TF						47,082

						47,082
						=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
GRANTS AND AIDS LOCAL GOVERNMENT				
FIRE SERVICES				2103006
AID TO LOCAL GOVERNMENTS				050000
G/A-LOCAL GOV FIRE SRV				051065
INSURANCE REG TF -STATE	3,655,340-			2393 1
=====				
TRANSFER TO UNIVERSITY OF MIAMI - SYLVESTER COMPREHENSIVE CANCER CENTER - FLORIDA FIREFIGHTER CANCER RESEARCH SPECIAL CATEGORIES TR/UM-SYL CAN CEN/CAN RES				2103139 100000 100518
GENERAL REVENUE FUND -STATE	2,000,000-			1000 1
=====				
PROGRAM OR SERVICE-LEVEL INFORMATION TECHNOLOGY TECHNOLOGY SUSTAINMENT FOR EMERGENCY SUPPORT FUNCTIONS (ESF) 4 (FIREFIGHTING) AND 9 (SEARCH AND RESCUE) EXPENSES				3630000 36305C0 040000
INSURANCE REG TF -STATE	52,036			2393 1
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal 3) Promote a Customer-Focused Culture and Strengthen Efficiency; #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests recurring funding for an annual subscription to maintain 98 GPS units that were purchased during Hurricane Michael deployment in October 2018. The State Fire Marshal (SFM) is identified as the lead agency under the Division of Emergency Management (DEM) for Emergency Support Function (ESF) 4 (Firefighting) and ESF 9 (Search and Rescue). ESF 4 and 9 has the responsibility to plan, prepare, respond, and coordinate all structural fire discipline resources during times of threat or impacts to the state. The State does not operate structural fire resources and relies on support from local departments through a partnership with the Florida Fire Chiefs Association.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
TECHNOLOGY SUSTAINMENT FOR				
EMERGENCY SUPPORT FUNCTIONS (ESF) 4				
(FIREFIGHTING) AND 9 (SEARCH AND				
RESCUE)				36305C0

During major emergencies and disasters that exceed local capabilities the SFM, through ESF 4 and 9, coordinates and deploy the local resources. Over the past six years the State Emergency Operations Center (EOC) and ESF 4 and 9 have been activated 11 times for in-state disasters, and three times for out-of-state Emergency Management Assistance Compact (EMAC) deployments.

The SFM purchased 98 ruggedized Garmin GPS portable units during Hurricane Michael to track the movement of fire resources such as search and rescue, and engine strike teams during deployment across the vast path of destruction while carrying out their missions. To maintain operational readiness, the units must be periodically turned on to establish communications to satellite and fixed networks to receive hardware updates. It was discovered, when the units were on the shelf for long periods, the programing became obsolete and it took hours to re-establish communications with the Garmin system.

The system allows for search and rescue, fire engines, and other resources to locate, identify and document damage sites within an impact area. The tools allow responders with smart phone technology to capture and transmit data from the site to a network whereby the state EOC and leadership can capture the data to make informed decisions and track activity.

To maintain the GPS units, live service must be established which entails activating the individual units on the Garmin system at a per unit cost of the activation fee and one month of service. Once service is deactivated the Garmin system no longer recognizes the GPS unit until reactivated.

Garmin has a stand-by rate to keep the units active on the system. For \$5 per month per unit, they can be turned on and off without paying the full usage fee. This selection does require the user pay for a minimum of one month of service for each unit activated.

EACH UNIT REQUIRES:

- Onetime activation fee of \$34.95 for 98 units = \$3,425.10 (nonrecurring)
- Annual Subscription for 12 months = \$26,000
- Annual Maintenance and Supplies (Garmin replacement batteries, office supplies, service fees, repairs, etc.) = \$5,000
- Monthly usage fee of \$19.95 for 6-month average (98*19.95*6) = \$11,730.60
- Monthly stand-by fee of \$5.00 (98*5*12) = \$5,880

Total Request: 52,036

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
INCREASE OTHER PERSONAL SERVICES				
(OPS) BUDGET AUTHORITY				4000020
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				2393 1
-STATE	67,600			

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests additional budget authority in the other personal services (OPS) category to enable the division to hire a full time Government Analyst II employee to support and assist the Director's Office with the coordination and management of grant contracts and workflow as well as special projects.

Over the last seven years, the State Fire Marshal has been appropriated over \$60 million in grant pass-through funding. This includes grants and aids to local governments and non-state entities, Volunteer Fire Department grants, and the University of Miami Cancer Research Grants.

Since Fiscal Year 2015-16, the division has received 10,465,000 in pass through budget authority for the University of Miami Cancer Research Grant.

Since Fiscal Year 2017-18, the division has received 26 million for the local government fire service grants, and since Fiscal Year 2018-19, 23.8 million has been appropriated for grants and aids fixed capital outlay (FCO) projects for local governments.

In addition to other duties, one employee in the Director's Office manages the local government fire service grants and FCO multiyear projects. There are currently four local government fire services contracts under negotiation that will be awarded in current year. Additionally, this employee currently manages 15 FCO projects and another ten FCO projects will be awarded this fiscal year.

The following amounts have been appropriated since these grant programs began:

- FY 15/16 - \$965 K
- FY 16/17 - \$1.5 M
- FY 17/18 - \$8.1 M
- FY 18/19 - \$20.9 M
- FY 19/20 - \$7.4 M
- FY 20/21 - \$8.0 M
- FY 21/22 - \$13.3 M

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
INCREASE OTHER PERSONAL SERVICES				
(OPS) BUDGET AUTHORITY				4000020

The OPS costs associated with this request include \$25 per hour x 2080 hours plus 15,600 for benefits.

URBAN SEARCH AND RESCUE TRAINING				4000660
AND SUSTAINMENT				100000
SPECIAL CATEGORIES				100777
CONTRACTED SERVICES				

GENERAL REVENUE FUND -STATE 3,915,400 1000 1

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AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal 4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Division of State Fire Marshal (SFM) is the lead agency for two Emergency Support Functions (ESF) at the State Emergency Operations Center (SEOC) during a disaster or state emergency. The Division is responsible for the management and staffing of ESF 4 (Firefighting) and ESF 9 (Search and Rescue) at the SEOC as outlined in the State of Florida Comprehensive Emergency Management Plan. ESF 9 is supported by eight Urban Search and Rescue (US&R) task forces staffed from local agencies across the state.

The US&R teams are hosted by local fire departments including Miami-Date Fire Rescue, City of Miami Fire Department, Hillsborough County Fire Rescue, Central Florida US&R (Orange County Fire Rescue, Seminole County Fire Rescue and Orlando Fire Department), Jacksonville Fire Rescue, South West Florida US&R (Estero Fire District with multiple departments), Tallahassee Fire Department and Marion County Fire Rescue.

This issue seeks recurring budget authority to continue a sustainment program for the eight Florida US&R task forces and offer training for forty Light Technical Rescue Teams (LTRT) that were previously funded with nonrecurring budget authority in Fiscal Year 2021-22. A detailed spreadsheet of the following funding is available upon request:

1) \$3,140,000 for direct support of each task force for care and maintenance of the specialized equipment, plus replacement, storage, and personal protective items.

2) \$75,000 for the buildout, training, and equipment for the US&R Incident Support Team (IST).

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
URBAN SEARCH AND RESCUE TRAINING AND SUSTAINMENT				4000660

3) \$450,400 for position-specific training for all task forces.

4) \$250,000 to conduct an annual large-scale exercise over consecutive days to include a variety of all size task forces to include multiple LTRT resources.

Since the inception of the US&R system in 2001, there have been over 15 deployments of US&R resources statewide, most recently at the Champlain Towers South Condominium Collapse in Surfside, FL. Over 400 rescuers operated around the clock performing search and rescue at the site. These rescuers represented one-third of the search and rescue members that are part of the eight US&R task forces and 40 LTRTs. These highly specialized, trained and experienced resources respond to and perform search and rescue in the most hazardous situations.

These requests are not duplicative of existing funded projects allocated through the State Homeland Security Grant Program (SHSGP). As with many funding sources, SHSGP funds available for US&R teams has gradually diminished from a peak of \$2,500,000 in 2008, to a low of \$408,722 in 2019. These diminished funds have not only impacted US&R resources but all areas of public safety including law enforcement. As compared to other disciplines of public safety, the US&R system is unique because they are a limited resource used as a statewide asset for deployments in a time of disaster. As federal financial support declines, the cost of sustaining these valuable statewide assets is being absorbed through local fire rescue budgets. As local task force host jurisdictions bear more of the burden to sustain the teams from their own budgets, Florida risks losing some of these vital resources who may be forced to withdraw from the state response strategy. This funding is necessary to ensure the safety and security of our citizens and visitors during man-made and/or natural disasters.

URBAN SEARCH AND RESCUE PRIME MOVER VEHICLES				4000680
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
GENERAL REVENUE FUND	-STATE	5,809,852	5,809,852	1000 1

=====

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal 4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
URBAN SEARCH AND RESCUE PRIME MOVER				
VEHICLES				4000680

The Division of State Fire Marshal (SFM) is the lead agency for two Emergency Support Functions (ESF) at the State Emergency Operations Center (SEOC) during a disaster or state emergency. The Division is responsible for the management and staffing of ESF 4 (Firefighting) and ESF 9 (Search and Rescue) at the SEOC as outlined in the State of Florida Comprehensive Emergency Management Plan. ESF 9 is supported by eight Urban Search and Rescue (US&R) task forces staffed from local agencies statewide.

In the aftermath of the Champlain Towers South Condominium Collapse in Surfside, FL, it was determined that the State Urban Search and Rescue fleet is in need of replacement. The vehicles originally purchased between 2007-2010 from State Homeland Security Grant Program (SHSGP) funding have become unreliable due to age. Several task forces had to rent "U-Haul" type vehicles to transport their lifesaving equipment and search and rescue personnel during recent emergencies. This has proven to not be an efficient, effective, or timely source for vehicles during disaster deployments.

This issue seeks nonrecurring budget authority for the acquisition of 111 motor vehicles to be distributed among these eight US&R task forces. This issue identifies a wide variety of vehicles to be purchased to include crew cab pick-up trucks, cargo trailers, Kenworth tractors with sleeper cabs, and forklifts.

The vehicles will be distributed to the eight US&R teams throughout the state to be available for deployment when necessary. The local agencies who sponsor each of these task forces will retain responsibility for the care and maintenance of the vehicles. The allocation of these vehicles will substantially reduce the impact on local government revenue and expenditures by abating the need to replace aging vehicles.

SHSGP funding has not been awarded for vehicle needs in recent years. As federal financial grant support declines and the necessary vehicles become unreliable with age, the response capability of the statewide US&R resources diminish. Having to rely on rentals or to develop third-party contracts negatively impacts the reflex time of these lifesaving resources. Expedited response times are crucial during life-threatening emergencies. This issue is necessary to ensure the safety and security of our citizens and visitors during man-made and/or natural disasters.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	9,725,252	5,809,852		1000
TRUST FUNDS	1,752,895			2000
TOTAL POSITIONS.....	12.00			
TOTAL PROG COMP.....	11,478,147	5,809,852		
TOTAL SALARY RATE.....	701,127			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		5,446,922					
=====							
SALARIES AND BENEFITS							010000
STATE RISK MGMT TF	-STATE	116.00					
		8,167,434					2078 1
=====							
OTHER PERSONAL SERVICES							030000
STATE RISK MGMT TF	-STATE	42,098					
							2078 1
=====							
EXPENSES							040000
STATE RISK MGMT TF	-STATE	5,110,786					
							2078 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
STATE RISK MGMT TF	-STATE	4,723,414					
							2078 1
=====							
FLAIR SYSTEM REPLACEMENT							100781
STATE RISK MGMT TF	-STATE	77,350					
							2078 1
=====							
CONTRACT LEGAL - ATTY GEN							100904
STATE RISK MGMT TF	-STATE	6,645,924					
							2078 1
=====							
CONTRACTED LEGAL SERVICES							100905
STATE RISK MGMT TF	-STATE	21,976,020					
							2078 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
CONTRACTED MED SVCS							100907
STATE RISK MGMT TF	-STATE	18,199,117					2078 1
=====							
EXCESS INSUR. & CLAIM SER							101221
STATE RISK MGMT TF	-STATE	10,865,000					2078 1
=====							
RISK MGMT INFO CLAIMS SYS							101222
STATE RISK MGMT TF	-STATE	647,325					2078 1
=====							
OPERATION/MOTOR VEHICLES							102289
STATE RISK MGMT TF	-STATE	2,000					2078 1
=====							
RISK MANAGEMENT INSURANCE							103241
STATE RISK MGMT TF	-STATE	89,707					2078 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
STATE RISK MGMT TF	-STATE	27,831					2078 1
=====							
TR/DMS/HR SVCS/STW CONTRCT							107040
STATE RISK MGMT TF	-STATE	33,248					2078 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		116.00					
TOTAL ISSUE.....		76,607,254					
TOTAL SALARY RATE.....		5,446,922					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	8,739			
=====				
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF -STATE	10,360			2078 1
=====				
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF -STATE	434			2078 1
=====				
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....	10,794			
TOTAL SALARY RATE.....	8,739			
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF -STATE	42,227			2078 1
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
STATE RISK MGMT TF -STATE	40,909-			2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF	-STATE	2,779-		2078 1
=====				
NONRECURRING EXPENDITURES				2100000
FLORIDA PLANNING, ACCOUNTING, AND				
LEDGER MANAGEMENT (PALM) READINESS				2103008
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
STATE RISK MGMT TF	-STATE	77,350-		2078 1
=====				
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
CONTINUATION: FLORIDA PLANNING,				
ACCOUNTING, AND LEDGER MANAGEMENT				
(PALM) READINESS				36108C0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLACEMENT				100781
STATE RISK MGMT TF	-STATE	77,350	77,350	2078 1
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

The Division of Risk Management maintains a contractual agreement with Origami Risk, LLC to provide for the annual operation and maintenance of an Insurance Management System (IMS) used to manage program claims. Currently, the IMS utilizes a FLAIR interface that allows the Division to process claim payments directly through Central FLAIR. The Central and Departmental FLAIR Replacement Wave of the PALM Project is scheduled to occur on July 1, 2024, with design and development occurring in FY 2021-22 and FY 2022-23. Prior to implementation, the Division will need additional spending authority to purchase any additional integration and support hours required to modify the current IMS interface from FLAIR to Florida PALM.

This issue requests an additional 77,350 in the Florida Accounting Information Resource (FLAIR) System Replacement category. This increase will allow the Division to purchase up to an additional 350 integration and support hours, as needed, to transition the IMS interface from FLAIR to Florida PALM.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
INCREASE CONTRACTED SERVICES FOR				
INVESTIGATIONS				4000430
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	-STATE	53,550		2078 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Bureau of State Employee Workers' Compensation Claims within the Division of Risk Management, is responsible for adjusting claims for state employees who are injured on the job. This includes payment of medical, indemnity, and death benefits; determination of compensability; and litigation management compensation claims. The Division currently contracts with a vendor to provide investigative services.

The initial investigative services contract was executed in May 2013 with a four (4) year contract term and a four (4) year renewal option. A four (4) year contract renewal executed in 2017 extended the contract to 2021. A six-month extension, executed March 2021, extended the final contract expiration date to November 12, 2021. The Division is in the process of re-procuring services. This Legislative Budget Request establishes a placeholder for any second-year (FY 2022-2023) cost escalator negotiated into the contract. The estimated increase of 2.7% is based upon the last annual increase established under the current contract. The "placeholder" amount will be updated upon the completion of the procurement process and execution of the resulting contract.

Description	FY 22-23	FY 22-23 With Cost Escalator	Difference
Investigative and Non Hurricane Claims Adjusting Services	3,398,193	3,451,714	53,521

This issue requests 53,550 in additional Contracted Services authority is from the State Risk Management Trust Fund within the Self-Insured Claims Adjustment budget entity.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
INCREASE CONTRACTED MEDICAL				
SERVICES - MEDICAL BILL REVIEW				4000670
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907
STATE RISK MGMT TF	-STATE	89,086		2078 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Division of Risk Management utilizes the services of a contracted vendor to provide medical bill review and pricing, utilization reviews, and Preferred Provider Organization (PPO) pricing utilization services.

The current vendor contract for medical bill review services will expire in September 2023. As a 90-day transition period will be needed if a new vendor is selected, the expected contract execution will occur in June 2023 in the 2022/2023 fiscal year.

It is anticipated there will be an increase in service fees resulting from the re-procurement of the medical bill review contract. The estimated budget request for this issue represents a 10% increase of the current projected FY 2022-23 service and utilization review fees. PPO pricing utilization services are a unique service feature offered by the current medical bill review vendor. PPO fees are a function of cost savings and PPO services can be stopped or restarted at the discretion of the Division. It is unclear if similar services will be available should a new vendor be selected during re-procurement. Until availability of similar PPO services can be determined, the current estimates of PPO services fees are maintained at \$1,000,000.

Description	FY 22-23	FY 22-23 With Re-Procurement 10% Increase	Difference
Medical Bill Review	856,740	942,414	85,674
Utilization Reviews	34,122	37,534	3,412
PPO Services	1,000,000	1,000,000	0
Total	1,890,862	1,979,948	89,086

This issue requests 89,086 in additional budget authority in the Contracted Medical Services category from the State Risk Management Trust Fund within the State Self-Insured Claims Adjustment budget entity.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
DIVISION OF RISK MANAGEMENT				
INCREASE FOR MEDICAL CASE				
MANAGEMENT				4000760
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907
STATE RISK MGMT TF	-STATE	1,802,344	700,000	2078 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Division of Risk Management is responsible for the management of claims reported by or against state agencies and universities for coverage under the self-insurance fund known as the "State Risk Management Trust Fund". The Division provides workers' compensation coverage to more than 202,000 employees.

The Division utilizes the services of a contracted vendor to provide medical case management services. These services include the operation of a call center 24 hours/day, 365 day per year. The call center documents the First Report of Injury of state employees and submits an electronic data file to the division. The telephonic case manager provides the initial triage and direct the employee where to go for initial treatment. The medical case manager authorizes initial medical treatment, provides utilization reviews such as hospital pre-certifications and concurrent reviews. This is a "placeholder" for the re-procurement of medical case management services. The current vendor contract for medical case management will expire in March 2023. As a 90-day transition period will be needed if a new vendor is selected, the expected contract execution will occur in December 2022. Estimated service fees from re-procurement will not be known until the best and final offers are presented.

It is anticipated there will be an increase in service fees resulting from the re-procurement of the medical case management contract. The estimated increase of 1,802,344 represents 1,102,344 for 10% increase over projected FY 2022-2023 medical case management fees, and 700,000 in non-recurring budget for anticipated implementation fees if a new vendor is selected.

Description	FY 22-23	FY 22-23 with Re-Procurement 10% Increase	Difference
Medical Bill Review Implementation	11,023,440 0	12,125,784 700,000	1,102,344 700,000
Total	11,023,440	12,825,784	1,802,344

This issue requests 1,802,344 in additional budget authority in the Contracted Medical Services category from the State Risk Management Trust Fund within the State Self-Insured Claims Adjustment budget entity.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
CONTRACTED MEDICAL SERVICES				
CONTRACT INCREASE				4000790
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907
STATE RISK MGMT TF	-STATE	276,405		2078 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Division of Risk Management maintains contractual relationships with various vendors to provide medical services related to the workers' compensation program for state and university employees. These medical services contracts are for medical case management (MCM), pharmacy benefits management (PBM), and medical bill review (MBR) services, which includes access to the MBR provider's preferred provider organization (PPO) network. For the Division to meet its contractual obligations and continue to provide workers' compensation benefits to injured workers, additional budget is requested in the Contracted Medical Services category to account for projected increases in contracted medical service costs.

In March 2019, the MCM contract was renewed for a three (3) year term. Contract terms and conditions allow for cost escalators each year of the renewal. The cost escalator is applied in April of each year and is based upon the annual Consumer Price Index (CPI), with a cap of 2% and a floor of 1%. The projected FY 2021-2022 service fees assume an additional 1.4% based upon the annual Consumer Price Index published January 2021. The 2% cap was used to estimate FY 2022-2023. Additional increases in service fees are addressed in a separate LBR placeholder (4000760) for the MCM re-procurement.

The FY 2022-2023 projections for the PBM service fees maintain the FY 2021-2022 fee projections due to the upcoming re-procurement of PBM services. Potential increases in service fees were addressed in a separate LBR PBM re-procurement placeholder submitted for the 2020-2021 GAA. Both the 2020-2021 and the 2021-2022 GAA contains language authorizing the competitive procurement of PBM services.

The MBR contract provides medical bill review, utilization review, and PPO Network services. The Division recently negotiated an extension of the current contract. It will expire in September 2023. There is a 1.93% rate increase in the second year of the two (2) renewal being pursued by the Division. There is no rate increase for the first year. Utilization review and PPO fees projections maintain the same level of service provided and expenditures paid in FY 2020-2021. A separate LBR placeholder has been submitted (4000670) for a MBR re-procurement that will be initiated during FY 2022-2023.

Description	FY 22-23	FY 22-23 With Cost Escalator	Difference
Medical Case Management	10,760,563	11,023,440	262,877

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>				43400100
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM ISSUES				4000000
CONTRACTED MEDICAL SERVICES				
CONTRACT INCREASE				4000790
Pharmacy Benefits Management	3,808,807		3,808,807	0
Medical Bill and Utilization Reviews	877,334		890,862	13,528
PPO Network Utilization	1,000,000		1,000,000	0
Total	6,446,704		16,723,109	276,405

The result is a projected increase in expenditures of \$276,405 for FY 2022-2023 from the Contracted Medical Services Category.

This request of 276,405 is an increase in the Contracted Medical Services Category authority from the State Risk Management Trust Fund within the State Self-Insured Claims Adjustment budget entity.

TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	116.00			
SALARY RATE.....	78,837,972	777,350		2000
	5,455,661			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	361,829			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	1.00			
-STATE		221,744		2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF		14,771		2393 1
-STATE				
=====				
EXPENSES				040000
INSURANCE REG TF		380,484		2393 1
-STATE				
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF		232,517		2393 1
-STATE				
=====				
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF		40,044		2393 1
-STATE				
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF		39,000		2393 1
-STATE				
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF		1,530		2393 1
-STATE				
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....		930,090		
TOTAL SALARY RATE.....	361,829			
=====				
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF -STATE		152		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF -STATE		1,860		2393 1
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF -STATE		1,812-		2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23	POS	AGY REQ N/R FY 2022-23	POS	AG REQ ANZ FY 2022-23	POS	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>INSURANCE CO REHAB/LIQDATN</u>							43500100
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF							2393 1
-STATE		128-					
TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	1.00		930,162				2000
SALARY RATE.....		361,829					

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	5,196,171						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	104.00						
-STATE	7,478,891						2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	12,138						
-STATE							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	1,049,529						
-STATE							2393 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE FEES							100064
INSURANCE REG TF	1,075,000						
-STATE							2393 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	716,292						
-STATE							2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	7,400						
-STATE							2393 1
=====							
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	44,083						
-STATE							2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF -STATE		21,734					2393 1
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF -STATE		40,443					2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	104.00						
TOTAL ISSUE.....	10,445,510						
TOTAL SALARY RATE.....	5,196,171						
SALARY INCREASES FOR FY 2021-22 - STATE EMPLOYEE MINIMUM WAGE INCREASE - EFFECTIVE 7/1/2021 OTHER PERSONAL SERVICES							1001030 030000
INSURANCE REG TF -STATE		125					2393 1
FLORIDA RETIREMENT SYSTEM ADJUSTMENT - FY 2021-22 - NORMAL COST AND UNFUNDED ACTUARIAL LIABILITY SALARIES AND BENEFITS							1001070 010000
INSURANCE REG TF -STATE		40,465					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	10,020-		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	3,380-		2393 1
=====				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
		104.00		
TRUST FUNDS.....		10,472,700		2000
SALARY RATE.....		5,196,171		
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>CONSUMER ASSISTANCE</u>							43500400
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		5,144,467					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	107.00					
		7,217,576					2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	178,082					
							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	943,305					
							2393 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	595,374					
							2393 1
=====							
HOLOCAUST VICTIMS ASST ADM							101085
INSURANCE REG TF	-STATE	309,130					
							2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	1,500					
							2393 1
=====							
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	39,129					
							2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
PUBLIC PROTECTION				12
CONSUMER SAFETY/PROTECTION				1205.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	12,224		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	35,043		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		107.00		
TOTAL ISSUE.....		9,331,363		
TOTAL SALARY RATE.....		5,144,467		
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				1001030
INCREASE - EFFECTIVE 7/1/2021				030000
OTHER PERSONAL SERVICES				
INSURANCE REG TF	-STATE	1,839		2393 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				1001070
COST AND UNFUNDED ACTUARIAL				010000
LIABILITY				
SALARIES AND BENEFITS				
INSURANCE REG TF	-STATE	39,040		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>CONSUMER ASSISTANCE</u>				43500400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	8,147-		2393 1
		=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	2,929-		2393 1
		=====	=====	
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....		107.00		
SALARY RATE.....		9,361,166		2000
		5,144,467		
		=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>FUNERAL/CEMETERY SERVICES</u>							43500500
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,278,694					
=====							
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND	-STATE	25.00					
REGULATORY TRUST FUND	-STATE	1,888,795					2573 1
=====							
OTHER PERSONAL SERVICES							030000
REGULATORY TRUST FUND	-STATE	67,239					2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND	-STATE	326,327					2573 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE FEES							100064
REGULATORY TRUST FUND	-STATE	39,100					2573 1
=====							
CONTRACTED SERVICES							100777
REGULATORY TRUST FUND	-STATE	146,549					2573 1
=====							
OPERATION/MOTOR VEHICLES							102289
REGULATORY TRUST FUND	-STATE	8,700					2573 1
=====							
RISK MANAGEMENT INSURANCE							103241
REGULATORY TRUST FUND	-STATE	20,131					2573 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE		4,162		2573 1
=====		=====		=====
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		11,673		2573 1
=====		=====		=====
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	25.00			
TOTAL ISSUE.....		2,512,676		
TOTAL SALARY RATE.....		1,278,694		
=====		=====		=====
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				1001030
INCREASE - EFFECTIVE 7/1/2021				030000
OTHER PERSONAL SERVICES				
REGULATORY TRUST FUND -STATE		694		2573 1
=====		=====		=====
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE		11,458		2573 1
=====		=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE		5,449-		2573 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE		976-		2573 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF FIVE PERCENT TRANSFER				
- TRANSFER CONTRACTED SERVICES TO				
EXPENSES - (DEDUCT)				160F710
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND -STATE		25,000-		2573 1
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Reapproval of Five Percent Transfer - Transfer Contracted Services to Expenses - Deduct

Issue Description: This is a technical issue.

This issue is a technical issue which requests the re-approval of Budget Amendment - Executive Office of the Governor(EOG) number B7001. The budget amendment was approved on July 6, 2021. The Division of Funeral and Cemetery Services is requesting a permanent transfer of \$25,000 from Contracted Services budget category (100777)to the Expenses category (040000)in the Regulatory Trust Fund. This issue nets to zero with corresponding issue code 160F720.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF FIVE PERCENT TRANSFER				
- TRANSFER CONTRACTED SERVICES TO				
EXPENSES - (ADD)				160F720
EXPENSES				040000
REGULATORY TRUST FUND -STATE	25,000			2573 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Reapproval of Five Percent Transfer - Transfer Contracted Services to Expenses - Add

Issue Description: This is a technical issue.

This issue is a technical issue which requests the re-approval of Budget Amendment - Executive Office of the Governor(EOG) number B7001. The budget amendment was approved on July 6, 2021. The Division of Funeral and Cemetery Services is requesting a permanent transfer of \$25,000 from Contracted Services budget category (100777)to the Expenses category (040000)in the Regulatory Trust Fund. This issue nets to zero with corresponding issue code 160F710.

PROGRAM ISSUES				4000000
INCREASE SALARIES AND BENEFITS FOR				
FUNERAL AND CEMETERY SERVICES				4000340
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	15,000			2573 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation; Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency.

The Division of Funeral and Cemetery Services requests an additional 15,000 in salaries and benefit authority. While the Division is currently fully staffed with 25 positions there is little budget remaining through the fiscal year. Increased funding in this category will provide a bit more flexibility to allow the Division to remain fully staffed and to better pay new hires commensurate with experience, allow for annual/sick leave payouts due to unexpected employee separations, provide a small amount of funding to reward exemplary employees through merit pay increase opportunities, and any other

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM ISSUES				4000000
INCREASE SALARIES AND BENEFITS FOR				
FUNERAL AND CEMETERY SERVICES				4000340

unknown needs such as anticipated minimum wage increases.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2573 REGULATORY TRUST FUND							15,000

							15,000
							=====
TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	25.00						
SALARY RATE.....		2,533,403					2000
		1,278,694					
		=====	=====	=====			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,615,637			
=====				
SALARIES AND BENEFITS				010000
FEDERAL GRANTS TRUST FUND -STATE	114,872			2261 1
-RECPNT	1,685,836			2261 9

TOTAL FEDERAL GRANTS TRUST FUND	1,800,708			2261
=====				
INSURANCE REG TF -STATE	3,238,922			2393 1
=====				
TOTAL POSITIONS.....	74.00			
TOTAL APPRO.....	5,039,630			
=====				
OTHER PERSONAL SERVICES				030000
FEDERAL GRANTS TRUST FUND -RECPNT	671,964			2261 9
=====				
EXPENSES				040000
FEDERAL GRANTS TRUST FUND -RECPNT	606,879			2261 9
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FEDERAL GRANTS TRUST FUND -RECPNT	189,418			2261 9
=====				
OPERATION/MOTOR VEHICLES				102289
FEDERAL GRANTS TRUST FUND -RECPNT	25,675			2261 9
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
FEDERAL GRANTS TRUST FUND -RECPNT	21,540			2261 9
LEASE/PURCHASE/EQUIPMENT				105281
FEDERAL GRANTS TRUST FUND -RECPNT	19,900			2261 9
TR/DMS/HR SVCS/STW CONTRCT				107040
FEDERAL GRANTS TRUST FUND -RECPNT	38,457			2261 9
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
FEDERAL GRANTS TRUST FUND -RECPNT	1,000			2261 9
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	74.00			
TOTAL ISSUE.....	6,614,463			
TOTAL SALARY RATE.....	4,615,637			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				1001030
INCREASE - EFFECTIVE 7/1/2021				030000
OTHER PERSONAL SERVICES				
FEDERAL GRANTS TRUST FUND -RECPNT	6,939			2261 9

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
FEDERAL GRANTS TRUST FUND -RECPNT		10,421		2261 9
INSURANCE REG TF -STATE		18,744		2393 1
TOTAL APPRO.....		29,165		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
FEDERAL GRANTS TRUST FUND -RECPNT		20,103		2261 9
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FEDERAL GRANTS TRUST FUND -RECPNT		3,214-		2261 9
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
CORRECT FUNDING SOURCE IDENTIFER				
PUBLIC ASSISTANCE PROGRAM - DEDUCT				160S010
SALARIES AND BENEFITS				010000
FEDERAL GRANTS TRUST FUND -STATE		114,872-		2261 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

In the Fiscal Year 2021-2022 Legislative Budget Request, the department requested the transfer of 2 FTE and 114,872 in Salaries and Benefits authority from the Division of Workers' Compensation to the Division of Public Assistance Fraud. As

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PGM: LICNSNG/CNSMER PROTEC						43000000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500000
PUBLIC PROTECTION						43500700
<u>CONSUMER SAFETY/PROTECTION</u>						12
ADJUSTMENTS TO CURRENT YEAR						1205.00.00.00
ESTIMATED EXPENDITURES						1600000
CORRECT FUNDING SOURCE IDENTIFER						
PUBLIC ASSISTANCE PROGRAM - DEDUCT						160S010

part of this request, the Department requested to fund shift the 114,872 in budget authority from the Workers' Compensation Administration Trust Fund to the Federal Grants Trust Fund. The request for the transfer and fund shift were approved in the Fiscal Year 2021-2022 General Appropriation Act.

In the LBR issue for the fund shift to the Federal Grants Trust fund (3400230), the Department made an error in the FSI selected. The fund shift used FSI of 1 State Funds and should have been FSI of 9 Transfer-Recipient of Federal Funds.

The purpose of this issue is to change the FSI of these funds to FSI 9. This issue represents the deduct from FSI 1, issue code 160S020 is the addback to FSI 9.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2261 FEDERAL GRANTS TRUST FUND						114,872-

						114,872-
						=====

CORRECT FUNDING SOURCE IDENTIFIER						
PUBLIC ASSISTANCE PROGRAM - ADD						160S020
SALARIES AND BENEFITS						010000
FEDERAL GRANTS TRUST FUND -RECPNT						2261 9
=====						

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
CORRECT FUNDING SOURCE IDENTIFIER						
PUBLIC ASSISTANCE PROGRAM - ADD						160S020

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

In the Fiscal Year 2021-2022 Legislative Budget Request, the department requested the transfer of 2 FTE and 114,872 in Salaries and Benefits authority from the Division of Workers' Compensation to the Division of Public Assistance Fraud. As part of this request, the Department requested to fund shift the 114,872 in budget authority from the Workers' Compensation Administration Trust Fund to the Federal Grants Trust Fund. The request for the transfer and fund shift were approved in the Fiscal Year 2021-2022 General Appropriation Act.

In the LBR issue for the fund shift to the Federal Grants Trust fund (3400230), the Department made an error in the FSI selected. The fund shift used FSI of 1 State Funds and should have been FSI of 9 Transfer-Recipient of Federal Funds.

The purpose of this issue is to change the FSI of these funds to FSI 9. This issue represents the add to FSI 9, issue code 160S010 is the deduct from FSI 1.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT

2261 FEDERAL GRANTS TRUST FUND						114,872

						114,872
						=====

TOTAL: CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	74.00					
SALARY RATE.....		6,667,456				2000
		4,615,637				
	=====	=====	=====	=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	12,753,414			
=====				
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	18,400,419			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	1,067,951			2798 1
TOTAL POSITIONS.....	290.00			
TOTAL APPRO.....	19,468,370			
=====				
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF -STATE	384,569			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	17,550			2798 1
TOTAL APPRO.....	402,119			
=====				
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	3,416,093			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	143,721			2798 1
TOTAL APPRO.....	3,559,814			
=====				
OPERATING CAPITAL OUTLAY				060000
WORKERS' COMP ADMIN TF -STATE	50,021			2795 1
=====				
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
WORKERS' COMP ADMIN TF -STATE	188,000			2795 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	2,028,552			2795 1
TR/USF-OSHA MATCH				100521
WORKERS' COMP ADMIN TF -STATE	250,000			2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	705,776			2795 1
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF -STATE	2,936,789			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	86,360			2798 1
TOTAL APPRO.....	3,023,149			
OPERATION/MOTOR VEHICLES				102289
WORKERS' COMP ADMIN TF -STATE	84,800			2795 1
PURCHASED CLIENT SERVICES				102933
WORKERS' COMP ADMIN TF -STATE	740,000			2795 1
RISK MANAGEMENT INSURANCE				103241
WORKERS' COMP ADMIN TF -STATE	195,439			2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
WORKERS' COMP ADMIN TF -STATE	62,320			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	2,280			2798 1
TOTAL APPRO.....	64,600			
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF -STATE	92,465			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	5,824			2798 1
TOTAL APPRO.....	98,289			
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	290.00			
TOTAL ISSUE.....	30,858,929			
TOTAL SALARY RATE.....	12,753,414			
SALARY INCREASES FOR FY 2021-22 - STATE EMPLOYEE MINIMUM WAGE INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	5,489			
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	6,154			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	358			2798 1
TOTAL APPRO.....	6,512			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF -STATE	3,971			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	181			2798 1
TOTAL APPRO.....	4,152			
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....	10,664			
TOTAL SALARY RATE.....	5,489			
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF -STATE	106,497			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	6,186			2798 1
TOTAL APPRO.....	112,683			
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF -STATE	11,538			2795 1
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF -STATE	8,656			2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
TOTAL: FLORIDA RETIREMENT SYSTEM				1001070
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				
TOTAL ISSUE.....	132,877			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
WORKERS' COMP ADMIN TF -STATE	12,748			2795 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF -STATE	7,728-			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	487-			2798 1
TOTAL APPRO.....	8,215-			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MAINFRAME MIGRATION				36307C0
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF				2795
-STATE	450,000	450,000		1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #3) Promote a Customer-Focused Culture and Strengthen Efficiency.

This issue requests nonrecurring budget authority to obtain a third-party feasibility study of the Division of Workers' Compensation (DWC) mainframe-based systems for migration to alternative platforms and modernization of the applications.

DWC's mainframe systems reside in an environment which supports FLAIR, DWC and LASPBS and costs \$7 million a year. Ninety percent of the cost of the mainframe is allocated to FLAIR. The remaining ten percent is allocated to the divisions. The implementation of the Florida PALM project over the next two to three years will significantly decrease the usage of the mainframe. At that time, the full cost to support the mainframe will be allocated to the remaining users. As such, DWC must migrate from the mainframe to a more cost-effective solution. DWC has 12 solutions that work directly in conjunction with the mainframe and 19 solutions that rely on data that initiates from the mainframe. The Department of Financial Services (DFS) is currently working with a vendor to perform an analysis of the DWC mainframe environment and produce a strategy that will detail available options to address this issue.

Risk mitigation: FLAIR will be migrating away from the mainframe leaving approximately \$1.8 million a year to be distributed to any remaining customers.

System modernization: At one point in time, the mainframe was the most advanced and efficient way to store and retrieve data. While the mainframe is still very fast, more modern cost-efficient solutions are now used when implementing new systems. DWC, as a division, has the largest number of total applications within DFS. Workers' compensation claims processing and auditing accepts approximately 480,000 claim forms, 4 Million medical bills, 1 Million proof of coverage forms and brings in approximately \$143 Million of revenue a year in a 98 percent paperless environment. This accounts for a large volume of data that must be stored and used by multiple processes to carry out statutory mandates. Data is shared across systems and by various technologies. A well thought out strategy for migration is essential to ensure that all applications continue to have access to the data they need. DFS estimates that the total time it will take to complete an assessment, build a strategy, write new compatible code to retrieve and manipulate data, and migrate the data to a new platform will take approximately five years given the complexity of the systems and the amount of data that must move.

A feasibility study will provide the Division with the information necessary to plan and successfully migrate and modernize the existing solutions. Costs have been estimated at 2,000 hours at a rate of \$225 per hour to evaluate the

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
<u>WORKERS' COMPENSATION</u>						43600100
ECONOMIC OPPORTUNITIES						11
<u>WORKERS' COMPENSATION</u>						<u>1102.02.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
MAINFRAME MIGRATION						36307C0

technology and prepare the deliverables.

TOTAL: WORKERS' COMPENSATION						<u>1102.02.00.00</u>
BY FUND TYPE						
	290.00					
TRUST FUNDS.....	31,457,003	450,000				2000
SALARY RATE.....	12,758,903					
	=====	=====	=====			

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>FIRE/ARSON INVESTIGATIONS</u>							43700100
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		7,433,631					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	124.00					
		10,962,930					2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	70,942					
							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	1,886,222					
							2393 1
=====							
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	157,409					
							2393 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	425,374					
							2393 1
=====							
ON-CALL FEES							102261
INSURANCE REG TF	-STATE	407,500					
							2393 1
=====							
OPERATION/MOTOR VEHICLES							102289
INSURANCE REG TF	-STATE	189,900					
							2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	106,004		2393 1
=====				
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF	-STATE	8,000		2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	33,817		2393 1
=====				
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	36,428		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		124.00		
TOTAL ISSUE.....		14,284,526		
TOTAL SALARY RATE.....		7,433,631		
=====				
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....		13,758		
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	16,299		2393 1
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>FIRE/ARSON INVESTIGATIONS</u>							43700100
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
SALARY INCREASES FOR FY 2021-22 -							
STATE EMPLOYEE MINIMUM WAGE							
INCREASE - EFFECTIVE 7/1/2021							1001030
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	732					2393 1
TOTAL: SALARY INCREASES FOR FY 2021-22 -							1001030
STATE EMPLOYEE MINIMUM WAGE							
INCREASE - EFFECTIVE 7/1/2021							
TOTAL ISSUE.....		17,031					
TOTAL SALARY RATE.....		13,758					
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2021-22 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY							1001070
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	89,691					2393 1
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	3,045-					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES - SALARY INCENTIVE				
PAYMENTS - DEDUCT				2000530
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	12,000-		2393 1

=====

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

This issue will permanently transfer 12,000 from the Salaries and Benefits category in the Bureau of Fire Arson and Explosives Investigations to the Salary Incentive Payments category to cover a deficit. The department has completed budget amendments for multiple years to cover these costs.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							12,000-

							12,000-
							=====

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES - SALARY INCENTIVE				
PAYMENTS - ADD				2000540
SPECIAL CATEGORIES				100000
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	12,000		2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

This issue will permanently transfer 12,000 from the Salaries and Benefits category in the Bureau of Fire Arson and Explosives Investigations to the Salary Incentive Payments category to cover a deficit. The department has completed budget amendments for multiple years to cover these costs.

EQUIPMENT NEEDS				2400000
REPLACEMENT OF SAFETY EQUIPMENT -				
BOMB SQUADS				2401030
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	184,000		2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

Personnel Protective Equipment: 184,000

The Division of Investigative and Forensic Services (DIFS) Bomb Squad is requesting replacement of aging and expired personal protection equipment, bomb suits. The agency is requesting recurring funds to purchase four personal protection bomb suits for its Bomb Technicians at \$46,000 per suit. By replacing four suits a year instead of all bomb suits at once it will offset initial costs and help keep personal protection equipment current and up to date. It will take the agency approximately four years to provide each member with a bomb suit that meets current standards as outlined by the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF SAFETY EQUIPMENT -				
BOMB SQUADS				2401030

manufacturer and required by the FBI. By replacing four suits a year, that would provide each region with at least one new bomb suit.

In 2014, the Bureau purchased ten new MED-ENG EOD 9 Bomb Suits for each Bomb Technician. The life expectancy of the MED-ENG 9 Suits was seven years at the time of purchase. Due to recommendations from the manufacture MED-ENG in 2019, the life expectancy has changed from seven years to five years. This manufacture recommendation now places the current ten suits out of life expectancy. The current suits are no longer guaranteed by the manufacturer to provide the level of protection required by industry standard and National guidelines. To maintain accreditation standards through the FBI, the agency must have current safety equipment, which includes full coverage bomb suits that are within the manufacturer's recommendations for equipment life cycle expectancy.

In Fiscal Year 2020-21, \$160,000 in nonrecurring authority was provided for the purchase of four suits to begin the first year of replacement. The price of suits has increased and 184,000 is now requested on a recurring basis to continue to replace four suits each year to ensure the highest level of technical proficiency and operational readiness of the bomb squad.

REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT - PORTABLE X-RAY SYSTEM				2401050
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	248,000	248,000	2393 1

=====

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The agency is requesting funding for four digital X-Ray systems at \$62,000 each for a total of \$248,000 to be issued to Bureau of Fire, Arson, and Explosives Investigations (BFAEI) Bomb Squad members in Pensacola (Escambia), Tallahassee (Leon), Tampa (Hillsborough), and Fort Myers (Lee). The new systems will be utilized to replace outdated and no longer supported systems. New systems possess the capability to transmit X-Rays to multiple remote locations for component analysis. These systems will enable the Division to access and mitigate threats encountered within seconds.

Acquisition of these X-Ray systems will provide BFAEI Bomb Technicians with a system that provides digital X-Rays that can be remotely transmitted for analysis. The new systems are smaller and more easily deployed thus reducing the amount of time spent within the proximity to a suspected explosive device. The newer systems require less maintenance than

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF FIRE AND ARSON				
EQUIPMENT - PORTABLE X-RAY SYSTEM				2401050

current systems, significantly decreasing delays due to equipment being unavailable due to repairs. There have been instances where current equipment was not available because it had to be returned to the manufacturer for repair. This caused a delay in service when another X-Ray system had to be brought in from an adjoining squad to mitigate the emergency safely. Additionally, as of 2019 the current X-Ray systems are not being serviced for repair. Therefore, should any of the current systems experience a parts failure, they cannot be repaired. This equipment is critical to bomb technician safety when mitigating emergencies involving explosives and suspicious items. The funds will be utilized to replace outdated and unsupported equipment.

In Fiscal Year 2020-21 funding for X-Ray replacement was provided out of the Law Enforcement Trust Fund.

ADDITIONAL EQUIPMENT - MOTOR VEHICLES				2402400
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	154,967	154,967	2393 1
		=====	=====	
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	623,000	623,000	2393 1
		=====	=====	
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	7,854	7,854	2393 1
		=====	=====	
TOTAL: ADDITIONAL EQUIPMENT - MOTOR VEHICLES				2402400
TOTAL ISSUE.....		785,821	785,821	
		=====	=====	

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
ADDITIONAL EQUIPMENT - MOTOR				
VEHICLES				2402400

Research into Fire and Arson investigations has developed extensive data showing contribution of job-related exposures having chronic illnesses such as cancer. The Division of Investigative and Forensic Services, Bureau of Fire, Arson, and Explosives Investigations is a sworn law enforcement organization with full arrest authority. The Division currently has 35 SUVs issued to its fire and arson investigators. Related to the recent research conducted by the National Fire Protection Association (NFPA) it is recommended that investigative equipment and tools be kept separate from the passenger compartment of the vehicle to prevent continued exposure. The Division is seeking to replace SUV's with trucks to separate the investigative tools and equipment from the passenger compartment. The replacement of these vehicles is mission critical and will prevent further exposure by Division investigators to carcinogenic substances.

In addition, per Department of Management Services (DMS) guidelines, state vehicles are eligible for surplus when they reach 120,000 miles, are 12 years old, or the cost of repairs outweigh the value of the vehicle. Based on the DMS criteria the Division currently has 6 SUVs, which meet the DMS surplus guidelines and are eligible for replacement.

The Division is requesting funding for the replacement of 17 SUVs in the amount of \$623,000 (Acquisition of Motor Vehicles), \$154,967(Operating Capital Outlay) and \$7,854 (Contracted Services) for installation of lights and sirens and associated equipment for a total of \$785,821. The Division recognizes the State of Florida's need to be conservative and responsive to the citizens of Florida and believes these vehicles will accommodate future needs while reducing the risk of continued exposure to cancer causing substances for our employees.

WORKLOAD				3000000
LAW ENFORCEMENT INVESTIGATOR II -				
BUREAU OF FIRE AND ARSON				
INVESTIGATIONS				3000550
SALARY RATE				000000
SALARY RATE.....	567,226			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	12.00			
-STATE		931,833		2393 1
	=====	=====	=====	

		COL A03	COL A04	COL A05	
		AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
		FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT	POS
					AMOUNT
					CODES
FINANCIAL SERVICES					43000000
PGM: INVEST/FORENSIC SVCS					43700000
<u>FIRE/ARSON INVESTIGATIONS</u>					43700100
PUBLIC PROTECTION					12
<u>CONSUMER SAFETY/PROTECTION</u>					<u>1205.00.00.00</u>
WORKLOAD					3000000
LAW ENFORCEMENT INVESTIGATOR II -					
BUREAU OF FIRE AND ARSON					
INVESTIGATIONS					3000550
EXPENSES					040000
INSURANCE REG TF	-STATE	280,542	178,032		2393 1
		=====	=====	=====	
OPERATING CAPITAL OUTLAY					060000
INSURANCE REG TF	-STATE	213,556	213,556		2393 1
		=====	=====	=====	
SPECIAL CATEGORIES					100000
ACQUISITION/MOTOR VEHICLES					100021
INSURANCE REG TF	-STATE	420,000	420,000		2393 1
		=====	=====	=====	
CONTRACTED SERVICES					100777
INSURANCE REG TF	-STATE	5,544	5,544		2393 1
		=====	=====	=====	
ON-CALL FEES					102261
INSURANCE REG TF	-STATE	38,500			2393 1
		=====	=====	=====	
OPERATION/MOTOR VEHICLES					102289
INSURANCE REG TF	-STATE	36,000			2393 1
		=====	=====	=====	
SALARY INCENTIVE PAYMENTS					103290
INSURANCE REG TF	-STATE	17,280			2393 1
		=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
LAW ENFORCEMENT INVESTIGATOR II -				
BUREAU OF FIRE AND ARSON				
INVESTIGATIONS				3000550
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF				
-STATE		3,972		
		=====	=====	=====
TOTAL: LAW ENFORCEMENT INVESTIGATOR II -				3000550
BUREAU OF FIRE AND ARSON				
INVESTIGATIONS				
TOTAL POSITIONS.....	12.00			
TOTAL ISSUE.....		1,947,227	817,132	
TOTAL SALARY RATE.....	567,226			
		=====	=====	=====

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Bureau of Fire, Arson and Explosives Investigations is a law enforcement branch of the Division of Investigative and Forensic Services (DIFS). The sworn law enforcement and nonsworn personnel within the Bureau perform functions within the criminal justice system. The core missions of the Bureau include detection, investigation, apprehension, and prosecution of offenders of arson and explosive-related crimes. The Bureau also works to enhance investigations and prosecutions and to deter arson and explosive-related crimes through education and training.

The Bureau is divided into two operational districts: District I and District II. District I comprise the Northwest and Northeast regions where District II consists of the North Central, South Central, and South regions. These five regions respond to requests for service and provide investigative support to fire, police, citizens, and visitors of Florida. Investigators are sworn state law enforcement officers and are available to respond 24 hours a day, 7 days a week to calls initiated by fire departments, law enforcement agencies, and citizens who need assistance conducting investigations related to the origin and cause of fires and explosions, ignitable liquid and explosive detection through the use of specially trained canine partners, and explosives investigations. In addition, the Bureau's specialty teams participate in public safety education, community outreach programs, VIP details, and large crowd events throughout the state.

DIFS is requesting eleven additional Law Enforcement Investigator II positions and one Lieutenant position to offset additional responsibilities and tertiary duties facing the Bureau of Fire, Arson, and Explosives Investigations. The additional twelve positions will be utilized to augment existing workloads, specialty teams' duties, and help lessen response times to scenes, reducing wait times for fire department and law enforcement personnel. Fire department or law enforcement personnel are required to stay on scene until Bureau investigators arrive to maintain the chain of custody

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
LAW ENFORCEMENT INVESTIGATOR II -				
BUREAU OF FIRE AND ARSON				
INVESTIGATIONS				3000550

and security of the scene. Bureau investigators extended response times and correlating extended wait times prevent those first responders and apparatus (i.e., fire trucks, ambulances, and law enforcement vehicles) from returning to service and responding to new calls of service.

Tertiary duties include but are not limited to specialty teams (bomb squad/K9), lead worker responsibilities, evidence custodian, video/audio, community outreach, first responder education, disaster response, and related equipment upkeep.

Additional positions are slated for Miami-Dade, Broward, Palm Beach, Duval, Hillsborough and Escambia counties.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
8522 LAW ENFORCEMENT LIEUTENANT							
N0001 001	1.00	61,226		35,065	96,291	0.00	96,291
8541 LAW ENFORCEMENT INVESTIGATOR II							
N0002 001	11.00	506,000		329,542	835,542	0.00	835,542
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							931,833
	12.00	567,226		364,607	931,833		931,833

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
LAW ENFORCEMENT RETENTION AND RECRUITMENT				3001A60
SALARY RATE				000000
SALARY RATE.....	200,400			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	200,400			
	=====	=====	=====	
TOTAL: LAW ENFORCEMENT RETENTION AND RECRUITMENT				3001A60
TOTAL ISSUE.....	200,400			
TOTAL SALARY RATE.....	200,400			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

The Division of Investigative and Forensic Services (DIFS) has been experiencing a significant number of vacancies due to resignations and retirement of personnel during the past 4 years. The departure of tenured employees has created a loss of institutional knowledge and resulted in an average of 4.9 years of experience within the rank of investigators throughout all bureaus. In addition, the Division has been facing an average of 19% vacancy for investigators through the past two years. This vacancy rate has remained constant even after changing entry standards and targeting law enforcement academies for recruitment of newly certified officers. Given the highly specialized nature of the investigations conducted by each of the different bureaus, the loss of experience in conjunction with the inability to attract and retain personnel is a cause for concern.

DIFS has created a Career Development Plan to provide a means to address the loss of experienced personnel while ensuring that the required specialized skills are built upon and incentivized. The program creates 2 new tiers from the existing Law Enforcement Investigator II position. The additional two tiers, Senior Investigator and Master Investigator, will be working titles used to recognize Law Enforcement Investigator II's that have professional development and increased specialized knowledge.

The initial implementation would place current experienced personnel into the tier they qualify for given their tenure with the Division. The appropriate financial incentive would not be released until the member completed all applicable requirements. Following the initial implementation, Division investigators would have to meet established requirements to qualify and be appointed to upper tiers.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
LAW ENFORCEMENT RETENTION AND				
RECRUITMENT				3001A60

Once fully established, the Division would have four tiers of investigators at the following starting salary ranges; Law Enforcement Investigator I - \$44,000.00, Law Enforcement Investigator II - \$46,000.00, Law Enforcement Investigator II - Senior - \$50,600.00, and Law Enforcement Investigator II - Master - \$55,660.00. To avoid pay compression and encourage personnel to seek supervisory positions, the Division will have to also adjust the starting salary ranges for the following designations; Lieutenant - \$61,226.00, Captain - \$73,471.12, Major - \$88,165.44, and Bureau Chief - \$96,981.98

The cost to the Division to fully implement the Career Development Plan given the current employee pool would be over \$1,200,000. The Division will be able to absorb most of this cost with existing budget but a realignment between budget entities will be needed. An additional \$374,400 in Insurance Regulatory Trust Fund authority is requested to immediately allow for the establishment of the new minimums within DIFS. The Senior Investigator pay raise will be handled with existing budget authority and the Master Investigator tier will be established once officers have met the advanced requirements in later years.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N1001 001	0.00	200,400			200,400	0.00	200,400
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							200,400
	0.00	200,400			200,400		200,400

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FIRE/ARSON INVESTIGATIONS</u>				43700100
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
	136.00			
TRUST FUNDS.....	17,753,651	1,850,953		2000
SALARY RATE.....	8,215,015			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FORENSIC SERVICES</u>				43700200
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	497,397			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	9.00			
-STATE	790,059			2393 1
=====				
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE	14,400			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF				
-STATE	125,754			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF				
-STATE	151,000			2393 1
=====				
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF				
-STATE	7,200			2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....	1,088,413			
TOTAL SALARY RATE.....	497,397			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FORENSIC SERVICES</u>				43700200
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	18,686			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	18,686			
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				2393 1
-STATE	148			
	=====	=====	=====	
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....	18,834			
TOTAL SALARY RATE.....	18,686			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF				2393 1
-STATE	6,804			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FORENSIC SERVICES</u>				43700200
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
ROUTINE MAINTENANCE AND REPAIR				4000830
SPECIAL CATEGORIES				100000
ARSON LAB- MAINT/REPAIR				109011
INSURANCE REG TF	-STATE	35,000		2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

This issue requests 35,000 in recurring funding for the Bureau of Forensic Services (Arson Lab) for general repairs and maintenance. The Bureau of Forensic Services is housed in a facility specially built for it in 1988 - 90 and wholly owned by the Department of Financial Services (DFS). It receives no maintenance or infrastructure support from Department of Management Services (DMS) and must request any fixed capital improvements and funds for maintenance of the facility in a separate Legislative Budget Request (LBR). For the past, several fiscal years the lab have been granted 35,000 each year to accommodate maintenance issues that occur as facilities age. This issue requests funding in a new special category to ensure that normal maintenance and repair is continued for state property not maintained by DMS.

TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
	9.00			
TRUST FUNDS.....	1,149,051			2000
SALARY RATE.....	516,083			

=====

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>INSURANCE FRAUD</u>							43700300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		12,062,529					
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF	-STATE	207.00					
		17,543,366					2393 1
=====							
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF	-STATE	45,597					
							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	2,678,802					
							2393 1
=====							
OPERATING CAPITAL OUTLAY							060000
INSURANCE REG TF	-STATE	193,648					
							2393 1
=====							
SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR VEHICLES							100021
INSURANCE REG TF	-STATE	337,805					
							2393 1
=====							
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF	-STATE	1,953,374					
							2393 1
=====							
TR TO JAC FOR PROP FRAUD							100529
INSURANCE REG TF	-STATE	222,720					
							2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	270,315		2393 1
FED LAW ENFORCEMENT TF	-STATE	1,274		2719 1
TOTAL APPRO.....		271,589		
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	186,253		2393 1
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	745,459		2393 1
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	219,776		2393 1
DEFERRED-PAY COM CONTRACTS				105280
INSURANCE REG TF	-STATE	186,000		2393 1
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	47,247		2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	60,798		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	207.00			
TOTAL ISSUE.....	24,692,434			
TOTAL SALARY RATE.....	12,062,529			
	=====	=====	=====	
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	35,009			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	41,479			2393 1
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF				
-STATE	470			2393 1
	=====	=====	=====	
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....	41,949			
TOTAL SALARY RATE.....	35,009			
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	142,211			2393 1
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>INSURANCE FRAUD</u>							43700300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT - FY 2021-22 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY							1001070
SPECIAL CATEGORIES							100000
TRANS TO JAC FOR PIP FRAUD							100522
INSURANCE REG TF	-STATE	20,304					2393 1
TR TO JAC FOR PROP FRAUD							100529
INSURANCE REG TF	-STATE	1,981					2393 1
TOTAL: FLORIDA RETIREMENT SYSTEM							1001070
ADJUSTMENT - FY 2021-22 - NORMAL							
COST AND UNFUNDED ACTUARIAL							
LIABILITY							
TOTAL ISSUE.....		164,496					
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INSURANCE							103241
INSURANCE REG TF	-STATE	182,000					2393 1
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
INSURANCE REG TF	-STATE	5,081-					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
DIVISION OF INSURANCE FRAUD -				
ADDITIONAL RESOURCES FOR TARGETED				
INVESTIGATION OF CRIMINAL ACTIVITY				2103039
EXPENSES				040000
INSURANCE REG TF	-STATE	313,152-		2393 1
		=====		
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF	-STATE	193,648-		2393 1
		=====		
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF	-STATE	337,805-		2393 1
		=====		
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF	-STATE	36,000-		2393 1
		=====		
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	17,280-		2393 1
		=====		
TOTAL: DIVISION OF INSURANCE FRAUD -				2103039
ADDITIONAL RESOURCES FOR TARGETED				
INVESTIGATION OF CRIMINAL ACTIVITY				
TOTAL ISSUE.....		897,885-		
		=====		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>INSURANCE FRAUD</u>							43700300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
NONRECURRING EXPENDITURES							2100000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL EXPENSES							2103117 040000
INSURANCE REG TF	-STATE	285,050-					2393 1
		=====	=====	=====	=====		
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	5,000-					2393 1
		=====	=====	=====	=====		
TOTAL: INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL TOTAL ISSUE.....		290,050-					2103117
		=====	=====	=====	=====		
EQUIPMENT NEEDS							2400000
ADDITIONAL EQUIPMENT - MOTOR VEHICLES EXPENSES							2402400 040000
INSURANCE REG TF	-STATE	60,760	60,760				2393 1
		=====	=====	=====	=====		
SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR VEHICLES							100021
INSURANCE REG TF	-STATE	586,000	586,000				2393 1
		=====	=====	=====	=====		
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	9,240	9,240				2393 1
		=====	=====	=====	=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
EQUIPMENT NEEDS				2400000
ADDITIONAL EQUIPMENT - MOTOR				
VEHICLES				2402400
TOTAL: ADDITIONAL EQUIPMENT - MOTOR				2402400
VEHICLES				
TOTAL ISSUE.....	656,000	656,000		

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

The Bureaus of Insurance Fraud (BIF) and Workers' Compensation Fraud (BWCF) require reliable and durable motor vehicles with the ability to successfully respond to law enforcement calls for service and emergency situations. Officer safety and timely response to these requests for service is jeopardized without the availability of properly functioning, maintained, and equipped vehicles. BIF and BWCF maintains a statewide fleet of 159 vehicles. Vehicles are used by sworn personnel, legal, crime intelligence, and training personnel when travel is necessary to carry out their assigned duties. Per Department of Management Services (DMS) guidelines, state vehicles are eligible for surplus when they reach 120,000 miles, are 12 years old, or the cost of repairs outweigh the value of the vehicle. Currently 23 vehicles in BIF's and BWCF's fleet meet the DMS guidelines for surplus. These vehicles are still in use. Vehicles were used by personnel to investigate over 2,600 cases in FY 2020-2021. The Bureaus' inability to maintain its fleet consistent with DMS guidelines is due to insufficient appropriation in DIFS' Acquisition of Motor Vehicle budget category. Limited funding impacted DIFS' ability to replace vehicles when they met the threshold for surplus, resulting in an aging fleet.

Replacement of these high mileage vehicles is mission critical because field law enforcement officers must have reliable and mechanically dependable vehicles to be effective in their responsibilities of protecting the public. Based on the current mileage and figures stated above, the Division is requesting funding for an additional 20 vehicles in the amount of \$586,000, plus \$70,000 for lights and sirens, for a total of \$656,000. The Division recognizes the State of Florida's need to be conservative and responsive to the citizens of Florida and believes these vehicles will accommodate future needs. High Mileage vehicles and aging equipment require additional mechanical repairs, tire replacement, and paint repairs to continue operating safely and reliably.

	COL A03 AGY REQUEST FY 2022-23 POS	COL A04 AGY REQ N/R FY 2022-23 POS	COL A05 AG REQ ANZ FY 2022-23 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>INSURANCE FRAUD</u>							43700300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
WORKLOAD							3000000
LAW ENFORCEMENT RETENTION AND RECRUITMENT							3001A60
SALARY RATE							000000
SALARY RATE.....	164,000						
	=====	=====	=====				
SALARIES AND BENEFITS							010000
INSURANCE REG TF							2393 1
-STATE	164,000						
	=====	=====	=====				
TOTAL: LAW ENFORCEMENT RETENTION AND RECRUITMENT							3001A60
TOTAL ISSUE.....	164,000						
TOTAL SALARY RATE.....	164,000						
	=====	=====	=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

The Division of Investigative and Forensic Services (DIFS) has been experiencing a significant number of vacancies due to resignations and retirement of personnel during the past 4 years. The departure of tenured employees has created a loss of institutional knowledge and resulted in an average of 4.9 years of experience within the rank of investigators throughout all bureaus. In addition, the Division has been facing an average of 19% vacancy for investigators through the past two years. This vacancy rate has remained constant even after changing entry standards and targeting law enforcement academies for recruitment of newly certified officers. Given the highly specialized nature of the investigations conducted by each of the different bureaus, the loss of experience in conjunction with the inability to attract and retain personnel is a cause for concern.

DIFS has created a Career Development Plan to provide a means to address the loss of experienced personnel while ensuring that the required specialized skills are built upon and incentivized. The program creates 2 new tiers from the existing Law Enforcement Investigator II position. The additional two tiers, Senior Investigator and Master Investigator, will be working titles used to recognize Law Enforcement Investigator II's that have professional development and increased specialized knowledge.

The initial implementation would place current experienced personnel into the tier they qualify for given their tenure with the Division. The appropriate financial incentive would not be released until the member completed all applicable requirements. Following the initial implementation, Division investigators would have to meet established requirements to qualify and be appointed to upper tiers.

Once fully established, the Division would have four tiers of investigators at the following starting salary ranges; Law

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
LAW ENFORCEMENT RETENTION AND				
RECRUITMENT				3001A60

Enforcement Investigator I - \$44,000.00, Law Enforcement Investigator II - \$46,000.00, Law Enforcement Investigator II - Senior - \$50,600.00, and Law Enforcement Investigator II - Master - \$55,660.00. To avoid pay compression and encourage personnel to seek supervisory positions, the Division will have to also adjust the starting salary ranges for the following designations; Lieutenant - \$61,226.00, Captain - \$73,471.12, Major - \$88,165.44, and Bureau Chief - \$96,981.98

The cost to the Division to fully implement the Career Development Plan given the current employee pool would be over \$1,200,000. The Division will be able to absorb most of this cost with existing budget but a realignment between budget entities will be needed. An additional \$374,400 in Insurance Regulatory Trust Fund authority is requested to immediately allow for the establishment of the new minimums within DIFS. The Senior Investigator pay raise will be handled with existing budget authority and the Master Investigator tier will be established once officers have met the advanced requirements in later years.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N1002 001	0.00	164,000			164,000	0.00	164,000
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							164,000
	0.00	164,000			164,000		164,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
INSURANCE FRAUD - FINANCIAL CRIMES, LEADERSHIP, AND BEST PRACTICES TRAINING FOR LAW ENFORCEMENT PERSONNEL EXPENSES				3001190 040000
INSURANCE REG TF	-STATE	285,000		2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation; Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests budget authority to provide required training for the Division of Investigative and Forensic Services (DIFS). The Division's detectives investigate complex, highly-specialized criminal cases, such as money laundering, mortgage fraud, workers' compensation premium fraud, organized crime, PIP fraud, arson and explosives investigations, forensic investigations, and other state financial crimes.

DIFS must provide the required training for all law enforcement officers within the division to maintain its accreditation as a law enforcement agency and to develop the expertise required by its personnel to conduct the specialized investigations under its authority. Training on these topics is not readily available by outside sources and requires the Division to implement its own training programs. Current personnel turnover rates have left the division with a lack of institutional and specialized knowledge required to investigate and conduct operations necessary to meet its responsibilities. Without providing adequate training, the division will fail to maintain expected levels of performance and needs of the state.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
COMPUTER ENHANCEMENTS FOR LAW				
ENFORCEMENT PERSONNEL				36336C0
EXPENSES				040000
INSURANCE REG TF	-STATE	252,500	252,500	2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation; Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests the funding for 120 laptops (100 Fraud/Administration, and 20 for the Bureau of Fire, Arson and Explosives Investigations) for a total of \$190,000. The Replacement of 4 Plotters for a cost of \$24,000. The replacement of 50 Monitors for a cost of \$15,000, 5 Toughbook tablets for training for a cost of \$15,000 and 4 Smartboards for a cost of \$8,000. Each position requires a laptop computer to perform their required statutory functions for criminal investigations of financial crimes, insurance fraud, workers' compensation fraud, and causes/investigations of fires and explosions. Some of these computers require vehicle mounts to facilitate use as a mobile data terminal to link into the Joint Dispatch Centers and criminal data bases as well as in the traditional office environment. This issue will allow the Division to continue its current 3-year replacement plan for equipment.

This issue provides the continuation of funding for laptop replacements in the total amount of \$252,000 in Expense in the Insurance Regulatory Trust Fund. In Fiscal Year 2016-17, the Division of Investigative and Forensic Services was appropriated \$192,000 in nonrecurring OCO and Expense in the Federal Law Enforcement Trust Fund and in FY 2017-18 the Division received \$163,800 in OCO and Expense funding for the continuation of this issue (second cycle). In Fiscal Year 18-19 the Division received \$137,650 in OCO and Expense (3rd cycle) In Fiscal Year 19-20 the Division received \$107,750 in OCO and Expense Funding. In FY 20-21 the Division received \$332,120 (2nd cycle) in Expense funding and OCO funding which allows the Division to continue with its 3-year plan for computer replacement. This request is for the third-year cycle replacement of computers. The Division of Investigative and Forensic Services has made the decision due to the COVID-19 requirements to replace all desk top computers with laptop computers. The division did not receive funding for this issue in FY 21-22.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
ACCESS TO ANTI-FRAUD DATABASE				4000820
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	984,000		2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation; Goal #4) Equip First Responders with the Training and Tools Necessary to Protect Floridians.

This issue requests 984,000 in Insurance Regulatory Trust Fund budget authority to provide The Division of Investigative and Forensic Services (DIFS, Bureau of Insurance Fraud (BIF) with access to the Verisk anti-Fraud Database. BIF detectives investigate complex, highly specialized criminal cases, such as money laundering, mortgage fraud, workers' compensation premium fraud, organized crime, PIP fraud, arson and explosives investigations, forensic investigations, and other state financial crimes. Use of the proposed technology will allow detectives to expedite investigations and find links of organized criminal activity with greater efficiency and accuracy.

Verisk Anti-Fraud Solutions is leading edge technology and acts as the primary repository for insurance claims information on a national level. Within Verisk there are multiple platforms and options:

NetMap gives analysts and investigators the power to identify critical connections in ISO ClaimSearch data using link analysis technology. NetMap is the only link analysis application with direct access to the 1.5 Billion claims data available in ClaimSearch allowing the user to leverage vetted third-party data to provide a holistic view of network activity in the state of Florida. NetMap gives the user the power to proactively explore data by loss type, location, involved party personal identifiers, vehicles, service providers, and other insightful data.

NetMap as a Service helps accelerate investigations and reduce expenses by having Verisk's NetMap experts quickly analyze suspicious trends and uncover hidden connections.

1. NetMap experts will provide multiple Intelligence Reports per month. Each Intelligence Report will include the NetMap network study, a summary of the findings and an outline potential next steps for investigation.

2. NetMap experts will meet with the agency to discuss the Intelligence Reports, and to share and consult on the Report's findings.

MedSentry is an Artificial Intelligence driven, machine learning model, which leverages predictive analytics and expert clinical analysis to deliver actionable intelligence to stop provider fraud, waste and abuse. MedSentry harnesses industry-wide data for benchmarking and analytics. The Analytic models identify and explain outlier patterns and schemes behind higher scores and supply solid analytics for intervention.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
ACCESS TO ANTI-FRAUD DATABASE				4000820

DIFS is requesting funding to provide for:
 \$390,000 NetMap 12 Licensed Seats
 \$144,000 3 NMaas Studies Provided / Month
 \$450,000 MedSentry Insights
 \$984,000

The Division currently utilizes multiple databases, and most are without a fee. Although the proposed database has a fee, the "one-stop" shop offered, is critical in developing a more efficient and effective investigative division. The use of this database would greatly reduce research time and help identify more complex state and nationwide criminal trends in a timelier manner. This would increase the number of referrals reviewed and the number of investigations completed.

This is a new issue.

DIVISION OF INSURANCE FRAUD - ADDITIONAL RESOURCES FOR TARGETED INVESTIGATION OF CRIMINAL ACTIVITY EXPENSES				4001510 040000
INSURANCE REG TF	-STATE	102,056		2393 1
		=====	=====	
SPECIAL CATEGORIES OPERATION/MOTOR VEHICLES				100000 102289
INSURANCE REG TF	-STATE	36,000		2393 1
		=====	=====	
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF	-STATE	17,280		2393 1
		=====	=====	
TOTAL: DIVISION OF INSURANCE FRAUD - ADDITIONAL RESOURCES FOR TARGETED INVESTIGATION OF CRIMINAL ACTIVITY TOTAL ISSUE.....		155,336		4001510
		=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>INSURANCE FRAUD</u>				43700300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
PROGRAM ISSUES				4000000
DIVISION OF INSURANCE FRAUD -				
ADDITIONAL RESOURCES FOR TARGETED				
INVESTIGATION OF CRIMINAL ACTIVITY				4001510

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation

In FY 2021-22 the request for 13 new full-time equivalents (FTE) and the associated funding required for the creation of two specialized squads dedicated to Property and Casualty, Homeowners' Fraud investigations was appropriated with nonrecurring amounts. This issue requests the funding necessary in Expense (040000), Operation of Motor Vehicle (102289) and Salary Incentive Payments (103290) categories to continue operation of the new squads.

TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	207.00			
SALARY RATE.....	26,384,699	908,500		2000
	12,261,538			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FISCAL INTEGRITY</u>				43700400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	397,158			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF	7.00			
-STATE	633,272			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF				
-STATE	35,700			2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF				
-STATE	7,300			2393 1
=====				
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF				
-STATE	3,100			2393 1
=====				
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF				
-STATE	3,120			2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....	682,492			
TOTAL SALARY RATE.....	397,158			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FISCAL INTEGRITY</u>				43700400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	3,702		2393 1
=====				
ESTIMATED EXPENDITURES REALIGNMENT				2000000
REALIGN BUDGET AUTHORITY BETWEEN				
CATEGORIES - SALARY INCENTIVE				
PAYMENTS - DEDUCT				2000530
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	2,500-		2393 1
=====				

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

This issue will permanently transfer 2,500 from the Salaries and Benefits category in the Office of Fiscal Integrity to the Salary Incentive Payments category to cover a deficit. The department has completed budget amendments for multiple years to cover these costs.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							2,500-

							2,500-
							=====

	COL A03 AGY REQUEST FY 2022-23 POS	COL A04 AGY REQ N/R FY 2022-23 POS	COL A05 AG REQ ANZ FY 2022-23 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: INVEST/FORENSIC SVCS							43700000
<u>FISCAL INTEGRITY</u>							43700400
<u>PUBLIC PROTECTION</u>							12
<u>CONSUMER SAFETY/PROTECTION</u>							1205.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES - SALARY INCENTIVE							
PAYMENTS - ADD							2000540
SPECIAL CATEGORIES							100000
SALARY INCENTIVE PAYMENTS							103290
INSURANCE REG TF							
-STATE				2,500			2393 1

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

This issue will permanently transfer 2,500 from the Salaries and Benefits category in the Office of Fiscal Integrity to the Salary Incentive Payments category to cover a deficit. The department has completed budget amendments for multiple years to cover these costs.

WORKLOAD							3000000
LAW ENFORCEMENT RETENTION AND							
RECRUITMENT							3001A60
SALARY RATE							000000
SALARY RATE.....				10,000			
SALARIES AND BENEFITS							010000
INSURANCE REG TF							
-STATE				10,000			2393 1
TOTAL: LAW ENFORCEMENT RETENTION AND							3001A60
RECRUITMENT							
TOTAL ISSUE.....				10,000			
TOTAL SALARY RATE.....				10,000			

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long Range Program Plan: Goal #1) Combat Fraud, Abusive Business Practices, and Excessive Regulation.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: INVEST/FORENSIC SVCS				43700000
<u>FISCAL INTEGRITY</u>				43700400
<u>PUBLIC PROTECTION</u>				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
LAW ENFORCEMENT RETENTION AND				
RECRUITMENT				3001A60

The Division of Investigative and Forensic Services (DIFS) has been experiencing a significant number of vacancies due to resignations and retirement of personnel during the past 4 years. The departure of tenured employees has created a loss of institutional knowledge and resulted in an average of 4.9 years of experience within the rank of investigators throughout all bureaus. In addition, the Division has been facing an average of 19% vacancy for investigators through the past two years. This vacancy rate has remained constant even after changing entry standards and targeting law enforcement academies for recruitment of newly certified officers. Given the highly specialized nature of the investigations conducted by each of the different bureaus, the loss of experience in conjunction with the inability to attract and retain personnel is a cause for concern.

DIFS has created a Career Development Plan to provide a means to address the loss of experienced personnel while ensuring that the required specialized skills are built upon and incentivized. The program creates 2 new tiers from the existing Law Enforcement Investigator II position. The additional two tiers, Senior Investigator and Master Investigator, will be working titles used to recognize Law Enforcement Investigator II's that have professional development and increased specialized knowledge.

The initial implementation would place current experienced personnel into the tier they qualify for given their tenure with the Division. The appropriate financial incentive would not be released until the member completed all applicable requirements. Following the initial implementation, Division investigators would have to meet established requirements to qualify and be appointed to upper tiers.

Once fully established, the Division would have four tiers of investigators at the following starting salary ranges; Law Enforcement Investigator I - \$44,000.00, Law Enforcement Investigator II - \$46,000.00, Law Enforcement Investigator II - Senior - \$50,600.00, and Law Enforcement Investigator II - Master - \$55,660.00. To avoid pay compression and encourage personnel to seek supervisory positions, the Division will have to also adjust the starting salary ranges for the following designations; Lieutenant - \$61,226.00, Captain - \$73,471.12, Major - \$88,165.44, and Bureau Chief - \$96,981.98

The cost to the Division to fully implement the Career Development Plan given the current employee pool would be over \$1,200,000. The Division will be able to absorb most of this cost with existing budget but a realignment between budget entities will be needed. An additional \$374,400 in Insurance Regulatory Trust Fund authority is requested to immediately allow for the establishment of the new minimums within DIFS. The Senior Investigator pay raise will be handled with existing budget authority and the Master Investigator tier will be established once officers have met the advanced requirements in later years.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	13,726,031			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	244.00			
-STATE	19,053,367			2393 1
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF		429,106		
-STATE				2393 1
EXPENSES				040000
INSURANCE REG TF		2,300,430		
-STATE				2393 1
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF		1,000		
-STATE				2393 1
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF		969,689		
-STATE				2393 1
PROPERTY/CASUALTY EXAMS				100523
INSURANCE REG TF		3,201,763		
-STATE				2393 1
LIFE AND HEALTH EXAMS				100524
INSURANCE REG TF		1,950,000		
-STATE				2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	1,688,016		2393 1
		=====		
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	89,428		2393 1
		=====		
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	-STATE	39,189		2393 1
		=====		
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	79,852		2393 1
		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		244.00		
TOTAL ISSUE.....		29,801,840		
TOTAL SALARY RATE.....		13,726,031		
		=====		
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....		6,723		
		=====		
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	7,967		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF	-STATE	4,431		2393 1
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....		12,398		
TOTAL SALARY RATE.....		6,723		
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	117,884		2393 1
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF	-STATE	8,615-		2393 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	6,674-		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ADDITIONAL FUNDING FOR FLORIDA				
PUBLIC HURRICANE MODEL				3000640
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF				2393 1
	-STATE	62,000		

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Additional Funding for Florida Public Hurricane Model

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Public Model, housed at Florida International University (FIU), was created in 2001 to be the first public, transparent model of its kind in the United States. It is the work product of the state university system including large contributions of resources from FIU, the University of Florida, and the Florida State University.

The Office runs most residential rate filings through the Public Model. Every assumption and method of the Public Model is open to public inspection. This gives greater transparency to the rate review process. Private models are proprietary and therefore the calculations and methodologies they use are trade secret or what the industry calls, inside a "black box."

The Legislature pursued the creation of a Public Model in order to bring the rate making process into the sunshine and create consumer confidence by making the process transparent. Without the Public Model, the sole objective basis for rate determinations would be the insurer's own selected private model. The Public Model gives the Office the ability to determine the propriety of the reinsurance factors independent of the model used in rate filings in many instances. Without it, rate filings may need to be litigated, insurance companies may not be able to get needed rate increases and policyholders may not receive deserved rate reductions. Additionally, Section 627.351(6)(n), Florida Statutes as enacted in 2009 requires that the Public Model serve as the minimum benchmark for determining the windstorm portion of the rates for Citizens Property Insurance Corporation.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
ADDITIONAL FUNDING FOR FLORIDA						
PUBLIC HURRICANE MODEL						3000640

FIU is under contract with the Office to continue to develop, maintain and support the Public Model and to provide model related services to the Office, including, but not limited to: running insurance policy file data through the Model, generating annual average loss cost estimates, and providing output reports to the Office.

In addition, OIR conducts an annual Catastrophe Stress Test of Florida homeowners' insurers to evaluate their ability to withstand the financial impact of a series of catastrophic events. The Florida Public Hurricane Model maintained by FIU runs these tests for OIR under its existing contract, which provides for 100 stress tests annually. However, the Catastrophe Stress Test developed by OIR simulates a series of historical storms and models various scenarios which require multiple runs for each company, and which exceed the 100 runs allocated. As a result, the amount appropriated to OIR to fund the Florida Public Hurricane Model Maintenance agreement has been insufficient to cover this cost.

During the past eight years, OIR has been required to submit an annual Budget Amendment between \$50,000 - \$58,000 to transfer appropriation from another budget category to the Public Hurricane Model budget category, to pay for these additional runs. Give the ongoing occurrence of the transfer, OIR requests this additional funding, so that it will be a permanent portion of the current appropriation. This request will eliminate the annual transfer of funds by not having to submit an annual Budget Amendment. With this additional increase to the current terms of the agreement with FIU, the stress test runs would increase to 400 runs annually and adequately fund the additional 300 runs for the annual Catastrophe Stress Tests.

OFFICE OF INSURANCE REGULATION -						
STAFFING RESOURCES - PROPERTY AND						
CASUALTY PRODUCT REVIEW						3002050
SALARY RATE						000000
SALARY RATE.....	250,000					
=====						
SALARIES AND BENEFITS						010000
INSURANCE REG TF	2.00					
-STATE		327,635				2393 1
=====						
TOTAL: OFFICE OF INSURANCE REGULATION -						3002050
STAFFING RESOURCES - PROPERTY AND						
CASUALTY PRODUCT REVIEW						
TOTAL POSITIONS.....	2.00					
TOTAL ISSUE.....		327,635				
TOTAL SALARY RATE.....	250,000					
=====						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF INSURANCE REGULATION -				
STAFFING RESOURCES - PROPERTY AND				
CASUALTY PRODUCT REVIEW				3002050

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Staffing Resources - Property and Casualty Product Review

Reference to Long-Range Program Plan:

- Goal 2: Protect the public from illegal, unethical insurance products and services.
- Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description:

The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of all regulated entities through the use of internal financial analysis and on-site examinations. The Office is also responsible for the admissions process for new entities as well as those proposing to expand into additional lines of business. The Office has responsibility for enforcing the provisions of Chapters 20, 112, 120, 440, 624, 625, 626, 627, 628, 629, 630, 630, 631, 632, 634, 635, 636, and 641, 642, 648, 651 and 817, F.S., and applicable rules, as they relate to the review of policy contracts and associated rates.

Filings are reviewed to determine compliance with applicable actuarial standards, statutory provisions, and administrative rules. Additionally, the Office conducts market investigations and analyzes market trends for the fair treatment of policyholders. Examinations and investigations are conducted as required to address consumer issues and marketplace trends.

The Property and Casualty Product Review unit is responsible for protecting consumers by enforcing the provisions of Chapters 627 and 626, Florida Statutes, and applicable rules, as they relate to the review of contracts and associated rates. Their principle function is to review and act upon contracts and rate filings received from insurance companies and related entities. As each filing is received, it is reviewed in order to determine compliance with applicable actuarial standards, statutory provisions, and administrative rules. The Unit is responsible for the actuarial review of insurance company rates and underwriting rules for compliance with the Florida Insurance Code.

From 2017 to 2021, the Property and Casualty Product Review Unit has seen an almost 130% increase in complex personal residential filings requiring an actuarial review. OIR estimates it will receive more than 320 complex filings in 2021 as opposed to the 141 received in 2017. In addition, personal automobile rate filings requiring complex actuarial review

	COL A03 AGY REQUEST FY 2022-23 POS	COL A04 AGY REQ N/R FY 2022-23 POS	COL A05 AG REQ ANZ FY 2022-23 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
WORKLOAD							3000000
OFFICE OF INSURANCE REGULATION -							
STAFFING RESOURCES - PROPERTY AND							
CASUALTY PRODUCT REVIEW							3002050

have risen almost 25%. These numbers do not consider informational filings received by OIR.

Given the current dynamics in the property insurance environment, the ability for OIR to thoroughly review filings ensures companies can quickly adapt to the changing market conditions and maintain critical consumer protections. To ensure filings are properly and thoroughly reviewed in a timely manner and maintain OIR's high quality insurance regulatory service, OIR is requesting two actuary positions in Property and Casualty Product Review.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
3554 ACTUARY							
A0001 001	2.00	250,000		77,635	327,635	0.00	327,635

TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							327,635
	2.00	250,000		77,635	327,635		327,635
	=====	=====	=====	=====	=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ADDITIONAL RESOURCES FOR ANALYST				
AND LEGAL POSITIONS				3004A40
SALARY RATE				000000
SALARY RATE.....	425,680			
=====				
SALARIES AND BENEFITS				010000
INSURANCE REG TF				
-STATE	504,304			2393 1
=====				
TOTAL: ADDITIONAL RESOURCES FOR ANALYST				3004A40
AND LEGAL POSITIONS				
TOTAL ISSUE.....	504,304			
TOTAL SALARY RATE.....	425,680			
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Additional Resources for Analyst and Attorney Positions

Reference to Long-Range Program Plan:

- Goal 2: Protect the public from illegal, unethical insurance products and services.
- Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description: The Office of Insurance Regulation (OIR) has 279 authorized positions. The majority of OIR employees hold advanced degrees in accounting, finance, economics, or risk management who work collaboratively with the agency's team of actuaries and lawyers. OIR requires a highly technical staff in order to process rate and form filings, financial statement reviews, and data collection and analysis.

The Office's Legal Office provides legal counsel to the Insurance Commissioner and provides regulatory and legal support to the various divisions of the Office. Lawyers for the Office also handle complex regulatory transactions and administrative matters. The attorneys and legal staff handle litigation in state and federal courts, informal administrative hearings, and hearings at the Division of Administrative Hearings (DOAH). The Legal Office also reviews applications for new companies, works with the examiners on regulatory issues, and handles the issuance of rules, orders, and other legal documents.

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
ADDITIONAL RESOURCES FOR ANALYST AND LEGAL POSITIONS						3004A40

OIR currently has a sufficient number of positions to perform regulatory oversight of the insurance market absent any major event or legislative change. The primary challenge facing the agency is a high turnover rate in several core areas. In order to continue to perform OIR's required regulatory roles, this turnover issue must be addressed, particularly in positions near the agency's median salary level. OIR has determined that if it can increase retention of key, front-line personnel by just one year, this can significantly enhance the agency's cost-effectiveness in the long term. Staff members with whom OIR has worked on career pathing initiatives have demonstrated an increased ability to leverage their experience to further improve operational processes. This compounds efficiency gains and creates a continuously stronger foundation for future savings and growth.

The business of insurance continues to grow in complexity, requiring a far more intrinsic and risk focused review of the underwriting, rate making, examination, and financial analysis of insurers and other regulated entities, with Florida being one of the most complex markets in the world.

OIR continues to strategically review its positions and structure to ensure that it can recruit and retain top talent to fulfill its mission. For example, OIR is moving six additional positions into the Property and Casualty Financial Oversight Business Unit to address the growing complexities in the property market and address employee workload. In addition, OIR has begun a re-organization project in the Legal Services Business Unit to streamline the organizational structure, address employee workload concerns and optimize rate.

Many OIR positions require specific qualifications based on position requirements, NAIC accreditation standards, or the complexity of the work itself. For many of these positions, there is no substitution for experience, making the retention of employees a critical issue. Historically, OIR has experienced difficulties recruiting and retaining qualified and talented individuals, and after OIR employees receive their training and certifications, they become of high interest to the private sector.

OIR has already experienced numerous employee separations since June 1, 2021. To fill positions, OIR is proactively recruiting and continues to participate in relevant college career fairs and posts open positions on social media to secure qualified candidates. Additionally, as part of its retention efforts, OIR has worked to create clear lines of career growth in several key areas to provide ongoing professional development opportunities.

OIR is requesting additional rate and budget for 147 Analyst positions and 13 Senior Attorney positions to enhance its ability to recruit and retain highly skilled and talented individuals and remain competitive. OIR is confident it will have a dramatic impact on the agency's ability to retain valuable employees. If this request is not funded, OIR will continue to experience a high turnover rate with these key positions.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
ADDITIONAL RESOURCES FOR ANALYST AND LEGAL POSITIONS				3004A40

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
C0001 001	0.00	425,680		78,624	504,304	0.00	504,304
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							504,304
	0.00	425,680		78,624	504,304		504,304

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
246.00							
TRUST FUNDS.....		30,810,772					2000
SALARY RATE.....		14,408,434					

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
EXEC DIR & SUPORT SERVICES				43900120
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,160,768			
SALARIES AND BENEFITS				010000
INSURANCE REG TF	35.00			
INSURANCE REG TF -STATE	3,049,502			2393 1
EXPENSES				040000
INSURANCE REG TF	118,543			
INSURANCE REG TF -STATE				2393 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	92,710			
INSURANCE REG TF -STATE				2393 1
LEASE/PURCHASE/EQUIPMENT				105281
INSURANCE REG TF	8,414			
INSURANCE REG TF -STATE				2393 1
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	10,764			
INSURANCE REG TF -STATE				2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	35.00			
TOTAL ISSUE.....	3,279,933			
TOTAL SALARY RATE.....	2,160,768			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
EXEC DIR & SUPORT SERVICES				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....		10,671		
	=====	=====	=====	
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	12,645		2393 1
		=====	=====	
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....		12,645		
TOTAL SALARY RATE.....		10,671		
	=====	=====	=====	
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
INSURANCE REG TF	-STATE	21,997		2393 1
		=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF	-STATE	900-		2393 1
		=====	=====	

	COL A03 AGY REQUEST FY 2022-23 POS	COL A04 AGY REQ N/R FY 2022-23 POS	COL A05 AG REQ ANZ FY 2022-23 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
EXEC DIR & SUPORT SERVICES							43900120
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
WORKLOAD							3000000
ADDITIONAL RESOURCES FOR ANALYST AND LEGAL POSITIONS							3004A40
SALARY RATE							000000
SALARY RATE.....	109,229						
=====							
SALARIES AND BENEFITS							010000
INSURANCE REG TF -STATE		129,404					2393 1
=====							
TOTAL: ADDITIONAL RESOURCES FOR ANALYST AND LEGAL POSITIONS							3004A40
TOTAL ISSUE.....		129,404					
TOTAL SALARY RATE.....	109,229						
=====							

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
L0001 001	0.00	109,229		20,175	129,404	0.00	129,404

TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							129,404

	0.00	109,229		20,175	129,404		129,404
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	35.00			
TRUST FUNDS.....		3,443,079		2000
SALARY RATE.....		2,280,668		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,414,504			
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF -STATE	96.00	8,577,388		2275 1
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF -STATE	854,100			2275 1
EXPENSES				040000
FINANCIAL INST REG TF -STATE	1,715,352			2275 1
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF -STATE	34,130			2275 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF -STATE	367,012			2275 1
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF -STATE	27,975			2275 1
LEASE/PURCHASE/EQUIPMENT				105281
FINANCIAL INST REG TF -STATE	28,872			2275 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF	-STATE	35,035		2275 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		96.00		
TOTAL ISSUE.....		11,639,864		
TOTAL SALARY RATE.....		6,414,504		
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF	-STATE	8,820		2275 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF	-STATE	48,397		2275 1
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF	-STATE	8,064		2275 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF -STATE	2,928-			2275 1
	=====	=====	=====	
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70
SALARY RATE				000000
SALARY RATE.....	4,237,378			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
61.00				
FINANCIAL INST REG TF -STATE	5,801,928			2275 1
	=====	=====	=====	
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A70
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				
TOTAL POSITIONS.....	61.00			
TOTAL ISSUE.....	5,801,928			
TOTAL SALARY RATE.....	4,237,378			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD

This request will improve the Office's ability to reach the following goals:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's 3 regulatory divisions have direct and primary regulatory oversight of 17 separate statutes, issues charters, licenses, and registrations of 42 different financial services licenses, for approximately 447,669 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations
- Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units have 304 total FTE, of which approximately 208 (68.4%) are non-managerial, non-administrative, employees with a direct role in the registration, examination, and investigation of entities in the financial services delivered to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics. Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through the Conference of State Bank Supervisors shows that in 2020 Florida ranked near the bottom (26 of 29 states surveyed) in Average Annual Salary for examiners, with the average of those states being \$13,000 higher than the Florida average. At the Federal level, the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500.

Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement, five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed. This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A90.

Detail of Costs:

SALARIES and BENEFITS:

Quantity	Description	Amount	Non-Recurring
-----	-----	-----	-----
61	Add Salaries and Benefits	\$5,801,928	\$0
(61)	Deduct Salaries and Benefits	(\$4,726,696)	\$0
	Issue Total	\$1,075,232	\$0

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2022-23	POS	AGY REQ N/R FY 2022-23	POS	AG REQ ANZ FY 2022-23	POS	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
01920 001	1.00	56,000	646	19,667	76,313	0.00	76,313
04153 001	1.00	56,000		10,386	66,386	0.00	66,386
04178 001	1.00	56,000	1,270	30,391	87,661	0.00	87,661
04189 001	1.00	56,000		10,386	66,386	0.00	66,386
04211 001	1.00	56,000	1,270	30,391	87,661	0.00	87,661
04745 001	1.00	56,000	1,270	30,434	87,704	0.00	87,704
1566 FINANCIAL SPECIALIST							
01725 001	1.00	64,500	1,270	32,003	97,773	0.00	97,773
01727 001	1.00	64,500	646	21,238	86,384	0.00	86,384
01893 001	1.00	64,500	1,270	32,003	97,773	0.00	97,773
01929 001	1.00	64,500		31,769	96,269	0.00	96,269
04041 001	1.00	64,500	1,270	32,003	97,773	0.00	97,773
04190 001	1.00	64,500		31,769	96,269	0.00	96,269
04192 001	1.00	64,500	1,270	21,352	87,122	0.00	87,122
04199 001	1.00	64,500	646	31,889	97,035	0.00	97,035
04326 001	1.00	64,500	646	21,238	86,384	0.00	86,384
04384 001	1.00	64,500	1,270	12,190	77,960	0.00	77,960
04393 001	1.00	64,500		21,118	85,618	0.00	85,618
04518 001	1.00	64,500	1,270	32,003	97,773	0.00	97,773
04524 001	1.00	64,500		21,118	85,618	0.00	85,618
04562 001	1.00	64,500		21,118	85,618	0.00	85,618
04824 001	1.00	64,500		21,118	85,618	0.00	85,618
04830 001	1.00	64,500		21,118	85,618	0.00	85,618
04842 001	1.00	64,500	1,270	32,003	97,773	0.00	97,773
04856 001	1.00	64,500	1,270	21,352	87,122	0.00	87,122
04899 001	1.00	64,500		31,769	96,269	0.00	96,269
04900 001	1.00	64,500	1,270	32,003	97,773	0.00	97,773

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04902 001	1.00	64,500	646	31,889	97,035	0.00	97,035
1567 FINANCIAL CONTROL ANALYST							
01826 001	1.00	73,000		33,340	106,340	0.00	106,340
01887 001	1.00	73,000		22,689	95,689	0.00	95,689
01890 001	1.00	73,000	1,270	13,761	88,031	0.00	88,031
01894 001	1.00	73,000	1,270	22,923	97,193	0.00	97,193
01925 001	1.00	73,000		24,333	97,333	0.00	97,333
01927 001	1.00	73,000		22,689	95,689	0.00	95,689
01930 001	1.00	73,000	646	22,807	96,453	0.00	96,453
04148 001	1.00	73,000	1,270	33,574	107,844	0.00	107,844
04154 001	1.00	73,000		33,340	106,340	0.00	106,340
04180 001	1.00	73,000		33,340	106,340	0.00	106,340
04197 001	1.00	73,000	1,270	13,761	88,031	0.00	88,031
04207 001	1.00	73,000	1,270	33,574	107,844	0.00	107,844
04212 001	1.00	73,000		29,712	102,712	0.00	102,712
04311 001	1.00	73,000	1,270	22,923	97,193	0.00	97,193
04330 001	1.00	73,000		33,340	106,340	0.00	106,340
04346 001	1.00	73,000	646	13,645	87,291	0.00	87,291
04347 001	1.00	73,000	1,270	22,923	97,193	0.00	97,193
04362 001	1.00	73,000		33,340	106,340	0.00	106,340
04366 001	1.00	73,000	646	22,807	96,453	0.00	96,453
04367 001	1.00	73,000	646	24,451	98,097	0.00	98,097
04372 001	1.00	73,000	1,270	33,574	107,844	0.00	107,844
04375 001	1.00	73,000	646	22,807	96,453	0.00	96,453
04381 001	1.00	73,000	1,270	22,923	97,193	0.00	97,193
04387 001	1.00	73,000	646	33,458	107,104	0.00	107,104
04469 001	1.00	73,000		13,527	86,527	0.00	86,527
04764 001	1.00	73,000	1,270	19,232	93,502	0.00	93,502

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04818 001	1.00	73,000	1,270	33,574	107,844	0.00	107,844
04829 001	1.00	73,000	1,270	33,574	107,844	0.00	107,844
04844 001	1.00	73,000		22,689	95,689	0.00	95,689
04901 001	1.00	73,000	646	33,458	107,104	0.00	107,104
04909 001	1.00	73,000	646	22,807	96,453	0.00	96,453
04923 001	1.00	73,000		22,689	95,689	0.00	95,689
1567 FINANCIAL CONTROL ANALYST - SES							
04336 001	1.00	73,000		23,218	96,218	0.00	96,218
2225 SENIOR MANAGEMENT ANALYST II - SES							
01730 001	1.00	99,000		28,030	127,030	0.00	127,030

TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							5,801,928

	61.00	4,198,500	38,878	1,564,550	5,801,928		5,801,928
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90
SALARY RATE				000000
SALARY RATE.....	3,347,056-			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF	61.00-			
-STATE		4,726,696-		2275 1
	=====	=====	=====	
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A90
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				
TOTAL POSITIONS.....	61.00-			
TOTAL ISSUE.....		4,726,696-		
TOTAL SALARY RATE.....	3,347,056-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's 3 regulatory divisions have direct and primary regulatory oversight of 17 separate statutes, issues charters, licenses, and registrations of 42 different financial services licenses, for approximately 447,669 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations
- Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

- Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units have 304 total FTE, of which approximately 208 (68.4%) are non-managerial, non-administrative, employees with a direct role in the registration, examination, and investigation of entities in the financial services delivered to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics. Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through the Conference of State Bank Supervisors shows that in 2020 Florida ranked near the bottom (26 of 29 states surveyed) in Average Annual Salary for examiners, with the average of those states being \$13,000 higher than the Florida average. At the Federal level, the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500.

Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These class codes are:

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement, five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed. This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A70.

Detail of Costs:

SALARIES and BENEFITS:

Quantity	Description	Amount	Non-Recurring
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61	Add Salaries and Benefits	\$5,801,928	\$0
(61)	Deduct Salaries and Benefits	(\$4,726,696)	\$0
	Issue Total	\$1,075,232	\$0

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

1564 FINANCIAL EXAMINER/ANALYST II

01727 001	1.00-	46,350-	646-	17,885-	64,881-	0.00	64,881-
01893 001	1.00-	46,350-	1,270-	28,651-	76,271-	0.00	76,271-
01920 001	1.00-	45,000-	646-	17,636-	63,282-	0.00	63,282-
01929 001	1.00-	46,350-		28,417-	74,767-	0.00	74,767-
04041 001	1.00-	46,350-	1,270-	28,651-	76,271-	0.00	76,271-
04153 001	1.00-	45,000-		8,355-	53,355-	0.00	53,355-
04178 001	1.00-	38,660-	1,270-	16,537-	56,467-	0.00	56,467-
04189 001	1.00-	45,000-		8,355-	53,355-	0.00	53,355-
04190 001	1.00-	46,350-		28,417-	74,767-	0.00	74,767-
04192 001	1.00-	45,000-	1,270-	17,751-	64,021-	0.00	64,021-
04199 001	1.00-	46,350-	646-	28,536-	75,532-	0.00	75,532-
04211 001	1.00-	38,659-	1,270-	16,537-	56,466-	0.00	56,466-
04326 001	1.00-	46,350-	646-	17,885-	64,881-	0.00	64,881-
04346 001	1.00-	39,304-	646-	7,422-	47,372-	0.00	47,372-
04384 001	1.00-	45,000-	1,270-	8,589-	54,859-	0.00	54,859-
04524 001	1.00-	46,350-		17,766-	64,116-	0.00	64,116-
04562 001	1.00-	46,350-		17,766-	64,116-	0.00	64,116-
04745 001	1.00-	46,350-	1,270-	28,651-	76,271-	0.00	76,271-
04824 001	1.00-	46,350-		17,766-	64,116-	0.00	64,116-
04830 001	1.00-	45,000-		17,517-	62,517-	0.00	62,517-
04842 001	1.00-	46,350-	1,270-	28,651-	76,271-	0.00	76,271-
04899 001	1.00-	45,000-		28,168-	73,168-	0.00	73,168-
04900 001	1.00-	46,350-	1,270-	28,651-	76,271-	0.00	76,271-

1566 FINANCIAL SPECIALIST

01725 001	1.00-	53,000-	1,270-	29,880-	84,150-	0.00	84,150-
01894 001	1.00-	58,545-	1,270-	20,253-	80,068-	0.00	80,068-
04311 001	1.00-	54,590-	1,270-	19,522-	75,382-	0.00	75,382-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04330 001	1.00-	56,655-		30,320-	86,975-	0.00	86,975-
04372 001	1.00-	54,590-	1,270-	30,173-	86,033-	0.00	86,033-
04375 001	1.00-	54,590-	646-	19,408-	74,644-	0.00	74,644-
04381 001	1.00-	54,590-	1,270-	19,522-	75,382-	0.00	75,382-
04387 001	1.00-	53,000-	646-	29,764-	83,410-	0.00	83,410-
04393 001	1.00-	54,590-		19,288-	73,878-	0.00	73,878-
04518 001	1.00-	53,000-	1,270-	29,880-	84,150-	0.00	84,150-
04818 001	1.00-	54,590-	1,270-	30,173-	86,033-	0.00	86,033-
04844 001	1.00-	54,590-		19,288-	73,878-	0.00	73,878-
04856 001	1.00-	53,000-	1,270-	19,229-	73,499-	0.00	73,499-
04902 001	1.00-	53,000-	646-	29,764-	83,410-	0.00	83,410-
1567 FINANCIAL CONTROL ANALYST							
01826 001	1.00-	61,800-		31,271-	93,071-	0.00	93,071-
01887 001	1.00-	70,908-		22,301-	93,209-	0.00	93,209-
01890 001	1.00-	61,800-	1,270-	11,692-	74,762-	0.00	74,762-
01925 001	1.00-	63,078-		22,499-	85,577-	0.00	85,577-
01927 001	1.00-	61,800-		20,620-	82,420-	0.00	82,420-
01930 001	1.00-	61,800-	646-	20,739-	83,185-	0.00	83,185-
04148 001	1.00-	60,000-	1,270-	31,172-	92,442-	0.00	92,442-
04154 001	1.00-	61,800-		31,271-	93,071-	0.00	93,071-
04180 001	1.00-	61,800-		31,271-	93,071-	0.00	93,071-
04197 001	1.00-	62,250-	1,270-	11,775-	75,295-	0.00	75,295-
04207 001	1.00-	65,639-	1,270-	32,215-	99,124-	0.00	99,124-
04212 001	1.00-	66,950-		28,895-	95,845-	0.00	95,845-
04347 001	1.00-	61,800-	1,270-	20,854-	83,924-	0.00	83,924-
04362 001	1.00-	61,800-		31,271-	93,071-	0.00	93,071-
04366 001	1.00-	60,000-	646-	20,406-	81,052-	0.00	81,052-
04367 001	1.00-	70,150-	646-	23,925-	94,721-	0.00	94,721-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

04469 001	1.00-	61,800-		11,458-	73,258-	0.00	73,258-
04764 001	1.00-	61,800-	1,270-	17,720-	80,790-	0.00	80,790-
04829 001	1.00-	61,800-	1,270-	31,505-	94,575-	0.00	94,575-
04901 001	1.00-	61,800-	646-	31,390-	93,836-	0.00	93,836-
04909 001	1.00-	63,052-	646-	20,970-	84,668-	0.00	84,668-
04923 001	1.00-	61,800-		20,620-	82,420-	0.00	82,420-
1584 AREA FINANCIAL MANAGER - SES							
04336 001	1.00-	71,379-		22,916-	94,295-	0.00	94,295-
2225 SENIOR MANAGEMENT ANALYST II - SES							
01730 001	1.00-	54,869-		19,860-	74,729-	0.00	74,729-

TOTALS FOR ISSUE BY FUND
 2275 FINANCIAL INST REG TF

							4,726,696-
61.00-	3,308,178-	38,878-	1,379,640-	4,726,696-			4,726,696-

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	96.00						2000
SALARY RATE.....		12,777,449					
		7,304,826					

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,475,976			
SALARIES AND BENEFITS				010000
	44.00			
ADMINISTRATIVE TRUST FUND -STATE	3,318,425			2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	5,321			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	497,957			2021 1
FED LAW ENFORCEMENT TF -FEDERL	51,758			2719 3
TOTAL APPRO.....	549,715			
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	20,600			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	36,354			2021 1
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	12,715			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE		15,809		2021 1
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE		18,613		2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	44.00			
TOTAL ISSUE.....		3,977,552		
TOTAL SALARY RATE.....	2,475,976			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	3,122			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE		3,700		2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE		54		2021 1
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....	3,754			
TOTAL SALARY RATE.....	3,122			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	18,967			2021 1
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	3,803			2021 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,556-			2021 1
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70
SALARY RATE				000000
SALARY RATE.....	1,777,115			
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	33.00			2021 1
ADMINISTRATIVE TRUST FUND -STATE	2,549,267			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A70
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				
TOTAL POSITIONS.....	33.00			
TOTAL ISSUE.....		2,549,267		
TOTAL SALARY RATE.....	1,777,115			

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's 3 regulatory divisions have direct and primary regulatory oversight of 17 separate statutes, issues charters, licenses, and registrations of 42 different financial services licenses, for approximately 447,669 different entities.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations
- Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

- Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units have 304 total FTE, of which approximately 208 (68.4%) are non-managerial, non-administrative, employees with a direct role in the registration, examination, and investigation of entities in the financial services delivered to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics. Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through the Conference of State Bank Supervisors shows that in 2020 Florida ranked near the bottom (26 of 29 states surveyed) in Average Annual Salary for examiners, with the average of those states being \$13,000 higher than the Florida average. At the Federal level, the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500.

Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement, five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed. This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A90.

Detail of Costs:

SALARIES and BENEFITS:

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PGM: FINANCIAL SVCS COMM 43900000
 OFFICE OF FINANCIAL REG 43900500
 FINANCIAL INVESTIGATIONS 43900540
 PUBLIC PROTECTION 12
 REGULATION AND LICENSING 1204.00.00.00
 WORKLOAD 3000000
 OFFICE OF FINANCIAL REGULATION -
 RECRUITMENT AND RETENTION FOR
 EXAMINERS, ANALYSTS AND
 INVESTIGATORS - ADD 3003A70

Quantity	Description	Amount	Non-Recurring
33	Add Salaries and Benefits	\$2,549,267	\$0
(33)	Deduct Salaries and Benefits	(\$2,239,039)	\$0

Issue Total \$310,228 \$0

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

8324 FINANCIAL INVESTIGATOR

04069 001	1.00	41,500	646	16,989	59,135	0.00	59,135
04713 001	1.00	41,500	646	16,989	59,135	0.00	59,135

8325 FINANCIAL INVESTIGATOR-CRIMINAL ENFORCE

01860 001	1.00	55,000		30,015	85,015	0.00	85,015
04055 001	1.00	55,000		30,015	85,015	0.00	85,015
04169 001	1.00	55,000		30,015	85,015	0.00	85,015
04171 001	1.00	55,000	1,249	19,594	75,843	0.00	75,843
04240 001	1.00	55,000		19,364	74,364	0.00	74,364
04423 001	1.00	55,000	1,249	10,432	66,681	0.00	66,681
04427 001	1.00	55,000	1,249	10,432	66,681	0.00	66,681
04434 001	1.00	55,000		19,364	74,364	0.00	74,364
04644 001	1.00	55,000	646	30,134	85,780	0.00	85,780
04660 001	1.00	55,000	1,249	30,245	86,494	0.00	86,494

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04709 001	1.00	55,000	1,249	30,245	86,494	0.00	86,494
04722 001	1.00	55,000	1,249	10,432	66,681	0.00	66,681
04780 001	1.00	55,000	1,249	30,245	86,494	0.00	86,494
04815 001	1.00	55,000	646	30,134	85,780	0.00	85,780
04823 001	1.00	55,000	1,249	30,202	86,451	0.00	86,451
8326 FINANCIAL INVESTIGATOR-ECONOMIC CRIMES							
04208 001	1.00	68,000		32,416	100,416	0.00	100,416
04592 001	1.00	68,000		21,765	89,765	0.00	89,765
04593 001	1.00	68,000		21,765	89,765	0.00	89,765
04712 001	1.00	68,000		37,529	105,529	0.00	105,529
04915 001	1.00	68,000		21,765	89,765	0.00	89,765
8351 SENIOR FINANCIAL INVESTIGATOR							
01919 001	1.00	46,500		28,401	74,901	0.00	74,901
01928 001	1.00	46,500		8,631	55,131	0.00	55,131
04166 001	1.00	46,500		28,401	74,901	0.00	74,901
04179 001	1.00	46,500		28,401	74,901	0.00	74,901
04182 001	1.00	46,500	646	17,913	65,059	0.00	65,059
04419 001	1.00	46,500		28,401	74,901	0.00	74,901
04421 001	1.00	46,500		28,444	74,944	0.00	74,944
04531 001	1.00	46,500	646	8,751	55,897	0.00	55,897
04568 001	1.00	46,500	1,249	28,675	76,424	0.00	76,424
04876 001	1.00	46,500	1,249	18,024	65,773	0.00	65,773
04904 001	1.00	46,500	1,249	18,024	65,773	0.00	65,773

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2021 ADMINISTRATIVE TRUST FUND

33.00	1,759,500	17,615	772,152	2,549,267		2,549,267
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OFFICE OF FINANCIAL REGULATION -
 FINANCIAL INVESTIGATIONS - SPECIAL
 INVESTIGATION UNIT (INTERNET AND
 DIGITAL CRIMES)

SALARY RATE 000000

SALARY RATE..... 476,000

SALARIES AND BENEFITS 010000

7.00

ADMINISTRATIVE TRUST FUND -STATE 702,912 2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - SPECIAL				
INVESTIGATION UNIT (INTERNET AND				
DIGITAL CRIMES)				3003A80
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	76,034	31,444		2021 1
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A80
FINANCIAL INVESTIGATIONS - SPECIAL				
INVESTIGATION UNIT (INTERNET AND				
DIGITAL CRIMES)				
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....	778,946	31,444		
TOTAL SALARY RATE.....	476,000			

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - FINANCIAL INVESTIGATIONS - SPECIAL INVESTIGATION UNIT (INTERNET AND DIGITAL CRIMES)

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Office of Financial Regulation (OFR or Office), Bureau of Financial Investigations (BFI or Bureau) is working to

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - SPECIAL				
INVESTIGATION UNIT (INTERNET AND				
DIGITAL CRIMES)				3003A80

further pursue online financial crimes against the citizens of Florida by establishing a dedicated online financial crime unit. This Special Investigation Unit (SIU) will specialize in proactive investigation of online (Internet) financial crimes and undercover investigations. The staff will be specially trained civil and criminal investigators with significant technical, technological, analytical, investigative, and financial skills capable of working proactively to identify fraudulent and predatory financial schemes and prevent victimization of Floridians. The SIU will monitor various online platforms and communities (such as Craigslist or Dealstream); social media (such as Facebook and Twitter); other internet websites and chat rooms; and various other online advertisements and proactively engage criminals. The goal of the SIU surveillance team is to identify ongoing fraudulent schemes early and stop them before they can inflict significant financial harm to investors.

This proactive model for investigations is a fundamental shift in how regulators have traditionally pursued criminals. No longer will the Office rely solely on tips and complaints to begin the investigatory process; only taking action after significant harm has been done to Florida's citizens. The SIU will seek to open files for each suspect advertisement and then utilize undercover investigative techniques and other appropriate activities and analysis to quickly screen the online offering materials and advertisements to determine whether: (1) they require further investigation; (2) they need to be prioritized for rapid enforcement actions (including potential injunctive actions seeking asset freezes and other relief); or (3) they can be closed due to lack of jurisdiction or lack of need for further action.

The Office is a partner agency in the FDLE's Florida Fusion Center, which is charged with the gathering, processing, analyzing, and dissemination of terrorism, law enforcement, and homeland security information. Though some of the crimes that are processed by this unit may be economic, digital, or financial, those are not the primary mission of the unit. The OFR does not, and will not, duplicate the efforts of the Fusion Center, but instead will focus on financial crimes committed within the bounds of the 17 statutes where it has direct and primary regulatory enforcement responsibilities. The OFR will continue to provide information to the FDLE on all criminal activities and will continue to work in a collaborative manner to bring criminals who perpetrate crimes against Floridians to justice.

The Office also is a party to the data-sharing agreement for the Florida Financial Transaction Database. This system tracks transactions that have already occurred and gathers information from many of the same sources used by the Bureau of Financial Investigations (U.S. Treasury's Financial Crimes Enforcement Network, for instance). The purpose of the Digital Crimes (or Internet Surveillance) Unit will be to work proactively to uncover developing fraud schemes in the area of investments and financial services before they have a chance to fully develop, thereby minimizing the threat of systemic harm to consumers and investors in Florida's financial services marketplace. This will be achieved by expanding our technical resources, skills, and proactive investigative activities such that we can identify threats earlier and bring these criminals to justice.

Every year Floridians are the victims of millions of dollars of online and digital financial crime and countless crimes

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - SPECIAL				
INVESTIGATION UNIT (INTERNET AND				
DIGITAL CRIMES)				3003A80

go uninvestigated due to lack of resources on the part of regulators. The everchanging digital marketplace means that criminals are developing new and more effective ways to take advantage of Floridians. By hiring investigators with the skills necessary to both understand and anticipate the trends in online criminal activity, the OFR seeks to identify and prosecute these criminals as they launch their schemes, before serious harm can be done. This proactive approach will not only protect individuals but will make Florida a safer place to do business and promote growth in our digital economy.

Nearly all of the OFR's investment fraud cases over the past five years have had an internet component. Of 334 cases worked by the Bureau of Financial Investigations during the current calendar year, 310 of these, or roughly 93%, have involved the use of the internet as a means of victimizing investors and consumers. Many of the purveyors of fraudulent investment opportunities hide behind telephone and internet solicitations and rarely meet their victims face to face. Even in cases where investors are not lured to an investment through the internet, they are often pointed to websites which proclaim wildly unrealistic returns in order to induce unsuspecting investors to invest. These facts are borne out even on a nationwide level. The FBI's reporting shows Florida ranks second in the nation in the total number of victims of internet crime and second in the nation in the total number of suspected perpetrators of internet crime by state. The time to build up Florida's defenses against this alarming and increasing threat to Florida's consumers, investors and economy is now.

Internet crimes represent a growing nationwide problem that does not seem to be abating. According to the FBI's Annual Internet Crime Report, for the year 2020, the FBI's Internet Crime Complaint Center received more than 791,000 complaints, representing approximately \$4.1 billion in losses from internet-based schemes. The number of these complaints increased by 69% over the prior year and follows an established trend over the past five years of ever-increasing numbers. The FBI's reporting shows that Florida ranks second in the nation in the total number of victims of internet crime and second in the nation in the total number of suspected perpetrators of internet crime by state. States with similar demographics (Texas) and other foreign jurisdictions (British Columbia, Canada) have implemented similar investigative units and estimate that as much as 50% of their overall caseload is now being generated from cases in those units.

The Office is requesting 7 additional FTE at a sufficient salary to hire well qualified, highly technical investigators. The total cost would be \$778,946. The OFR is requesting a salary range in line with the Recruitment and Retention issue being filed separately.

ISSUE SUMMARY:

This issue requests seven (7) additional FTE and approximately \$778,946 in associated budget authority (Salaries and Expense) for the purpose of hiring experienced investigators with specialized skills and knowledge in online and digital crimes. The class code for the positions is anticipated to be 8326 - FINANCIAL INVESTIGATOR-ECONOMIC CRIMES.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
FINANCIAL INVESTIGATIONS - SPECIAL				
INVESTIGATION UNIT (INTERNET AND				
DIGITAL CRIMES)				3003A80

Detail of Costs:

Quantity	Description	Amount	Non-Recurring
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7	Add Salaries and Benefits	\$702,912	\$0
	Expenses	\$76,034	\$31,444
	Issue Total	\$778,946	\$31,444

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
	-----	-----	-----	-----	-----	-----	-----
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
8326 FINANCIAL INVESTIGATOR-ECONOMIC CRIMES							
N0200 001	1.00	68,000		32,416	100,416	0.00	100,416
N0201 001	1.00	68,000		32,416	100,416	0.00	100,416
N0202 001	1.00	68,000		32,416	100,416	0.00	100,416
N0203 001	1.00	68,000		32,416	100,416	0.00	100,416
N0204 001	1.00	68,000		32,416	100,416	0.00	100,416
N0205 001	1.00	68,000		32,416	100,416	0.00	100,416
N0206 001	1.00	68,000		32,416	100,416	0.00	100,416

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
FINANCIAL INVESTIGATIONS - SPECIAL						
INVESTIGATION UNIT (INTERNET AND						
DIGITAL CRIMES)						3003A80

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
7.00	476,000		226,912	702,912		702,912
=====	=====	=====	=====	=====		=====

OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90
SALARY RATE						000000
SALARY RATE.....	1,560,381-					
	=====	=====	=====	=====		
SALARIES AND BENEFITS						010000
33.00-						
ADMINISTRATIVE TRUST FUND -STATE	2,239,039-					2021 1
	=====	=====	=====	=====		
TOTAL: OFFICE OF FINANCIAL REGULATION -						3003A90
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						
TOTAL POSITIONS.....	33.00-					
TOTAL ISSUE.....	2,239,039-					
TOTAL SALARY RATE.....	1,560,381-					
	=====	=====	=====	=====		

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PGM: FINANCIAL SVCS COMM						43000000
OFFICE OF FINANCIAL REG						43900000
FINANCIAL INVESTIGATIONS						43900500
PUBLIC PROTECTION						43900540
REGULATION AND LICENSING						12
WORKLOAD						1204.00.00.00
OFFICE OF FINANCIAL REGULATION -						3000000
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's 3 regulatory divisions have direct and primary regulatory oversight of 17 separate statutes, issues charters, licenses, and registrations of 42 different financial services licenses, for approximately 447,669 different entities.

- Division of Consumer Finance:
- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
 - Chapter 516, Florida Statutes - Consumer Finance Act
 - Chapter 520, Florida Statutes - Retail Installment Sales
 - Chapter 537, Florida Statutes - Title Loan Companies
 - Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
 - Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
 - Chapter 559.952, Florida Statutes - Financial Technology Sandbox

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations
- Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units have 304 total FTE, of which approximately 208 (68.4%) are non-managerial, non-administrative, employees with a direct role in the registration, examination, and investigation of entities in the financial services delivered to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

almost every other state having similar demographics. Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through the Conference of State Bank Supervisors shows that in 2020 Florida ranked near the bottom (26 of 29 states surveyed) in Average Annual Salary for examiners, with the average of those states being \$13,000 higher than the Florida average. At the Federal level, the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500.

Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement, five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed. This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A70.

Detail of Costs:

SALARIES and BENEFITS:

Quantity	Description	Amount	Non-Recurring
-----	-----	-----	-----
33	Add Salaries and Benefits	\$2,549,267	\$0
(33)	Deduct Salaries and Benefits	(\$2,239,039)	\$0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Issue Total \$310,228 \$0

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

8324 FINANCIAL INVESTIGATOR

04069 001	1.00-	36,603-	646-	16,085-	53,334-	0.00	53,334-
04713 001	1.00-	36,603-	646-	16,085-	53,334-	0.00	53,334-

8325 FINANCIAL INVESTIGATOR-CRIMINAL ENFORCE

01860 001	1.00-	45,842-		28,323-	74,165-	0.00	74,165-
04055 001	1.00-	63,860-		31,651-	95,511-	0.00	95,511-
04169 001	1.00-	51,500-		29,368-	80,868-	0.00	80,868-
04171 001	1.00-	44,812-	1,249-	17,713-	63,774-	0.00	63,774-
04240 001	1.00-	46,100-		17,720-	63,820-	0.00	63,820-
04423 001	1.00-	44,813-	1,249-	8,551-	54,613-	0.00	54,613-
04427 001	1.00-	46,400-	1,249-	8,844-	56,493-	0.00	56,493-
04434 001	1.00-	44,337-		17,394-	61,731-	0.00	61,731-
04644 001	1.00-	45,835-	646-	28,441-	74,922-	0.00	74,922-
04660 001	1.00-	49,478-	1,249-	29,226-	79,953-	0.00	79,953-
04709 001	1.00-	45,835-	1,249-	28,552-	75,636-	0.00	75,636-
04722 001	1.00-	46,350-	1,249-	8,834-	56,433-	0.00	56,433-
04780 001	1.00-	51,500-	1,249-	29,598-	82,347-	0.00	82,347-
04815 001	1.00-	44,813-	646-	28,253-	73,712-	0.00	73,712-
04823 001	1.00-	43,507-	1,249-	17,429-	62,185-	0.00	62,185-

8326 FINANCIAL INVESTIGATOR-ECONOMIC CRIMES

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

04208 001	1.00-	56,650-		30,320-	86,970-	0.00	86,970-
04592 001	1.00-	63,860-		21,000-	84,860-	0.00	84,860-
04593 001	1.00-	65,920-		21,381-	87,301-	0.00	87,301-
04712 001	1.00-	65,145-		36,788-	101,933-	0.00	101,933-
04915 001	1.00-	63,860-		21,000-	84,860-	0.00	84,860-
8351 SENIOR FINANCIAL INVESTIGATOR							
01919 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
01928 001	1.00-	39,000-		7,247-	46,247-	0.00	46,247-
04166 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
04179 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
04182 001	1.00-	41,014-	646-	16,900-	58,560-	0.00	58,560-
04419 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
04421 001	1.00-	40,376-		27,314-	67,690-	0.00	67,690-
04531 001	1.00-	39,660-	646-	7,487-	47,793-	0.00	47,793-
04568 001	1.00-	39,820-	1,249-	27,442-	68,511-	0.00	68,511-
04876 001	1.00-	44,813-	1,249-	17,713-	63,775-	0.00	63,775-
04904 001	1.00-	39,820-	1,249-	16,791-	57,860-	0.00	57,860-

TOTALS FOR ISSUE BY FUND

2021 ADMINISTRATIVE TRUST FUND							2,239,039-
	33.00-	1,542,766-	17,615-	678,658-	2,239,039-		2,239,039-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - ADD				3005330
SALARY RATE				000000
SALARY RATE.....	688,505			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
9.00				
ADMINISTRATIVE TRUST FUND -STATE	942,611			2021 1
	=====	=====	=====	
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	304,412	164,432		2021 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
ADMINISTRATIVE TRUST FUND -STATE	186,400	186,400		2021 1
	=====	=====	=====	
TOTAL: ESTABLISH LAW ENFORCEMENT SWORN				3005330
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - ADD				
TOTAL POSITIONS.....	9.00			
TOTAL ISSUE.....	1,433,423	350,832		
TOTAL SALARY RATE.....	688,505			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - ADD				3005330

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Office is pursuing substantive legislation to grant law enforcement status to the Bureau of Financial Investigations (Bureau). This need is based on the diminishing availability of local, state, and federal law enforcement resources capable of assisting the Office non-sworn staff in pursuing criminal investigations related to financial crimes. The substantive bill would be accompanied by a request for approximately \$817,791 and would not require any additional FTE.

Currently, the Bureau is designated by statute to be a Criminal Justice Agency (CJA) and its investigators qualify as "investigative officers" under Florida law. The Bureau is not currently designated as a Law Enforcement Agency, and none of its investigative personnel are certified as sworn Law Enforcement Officers (LEO). As a CJA, the Bureau's investigators have the ability to utilize certain confidential databases in connection with its criminal investigations, including obtaining confidential information from the Criminal Justice Information Services (CJIS), the Highway Safety and Motor Vehicles (DAVID), and the Financial Criminal Enforcement Network (FINCEN). The Bureau's investigators can also engage in other overt investigative activities (including issuing subpoenas and demands for sworn written statements), as well as utilizing other covert investigative techniques (including engaging in undercover operations and limited consensual monitoring).

At present the Bureau relies on local, state and federal law enforcement partners in order to effect arrests, execute search and seizure warrants and to administer photo line-ups. Because most Bureau enforcement cases are criminal in nature, investigators must turn to partner agencies to bring these cases to resolution; this despite the fact that in most instances these cases are started by Bureau investigators who often remain the leading case agents throughout the investigation. Where the partnering agency is a federal one, however, Bureau personnel most often must yield control of the investigation to their law enforcement counterparts in order to ensure that the cases can move forward towards prosecution. With law enforcement authority vested in the Bureau, this dependence on outside resources would no longer be a necessity and cases could be expected to move more efficiently through the state's criminal justice system.

At the local level, agency law enforcement partners include police departments in many large metropolitan areas of the state including Miami-Dade, Broward and Palm Beach Counties. At the state level, agency partners include the Florida Department of Law Enforcement and the Department of Financial Services Bureau of Investigative and Forensic Services. At

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - ADD				3005330

the federal level, agency partners include the Federal Bureau of Investigation, the United States Secret Service, the United States Postal Inspection Service, the Internal Revenue Service, the Department of Homeland Security, the Federal Housing Finance Agency and others.

While the Bureau could conceivably continue to rely on its law enforcement partners to provide assistance in bringing financial predators to justice, doing so places an inordinate amount of responsibility upon those outside agencies and makes the Bureau beholden to the availability of those outside resources to meet its mission to protect consumers and investors. This problem is anticipated to become even more critical as the Bureau pursues proactive investigative activities and strategies that may involve a somewhat higher degree of risk to investigator safety including, for example: undercover, surveillance and consensual monitoring activities, serving subpoenas and orders on subjects/targets of the investigations, and performing surprise ("knock and talk") interviews and non-custodial interrogations with targets, subjects and their affiliates. The long-term reliance on our external partner entities to assist with these types of necessary and repetitive investigative activities for investigator safety purposes may prevent the Division from carrying out our mission.

However, because the Bureau has not been designated as a Law Enforcement Agency, significant constraints exist that limit (and will continue to limit) the Bureau's overall effectiveness. The following is a summary of the expected benefits resulting from changing the Bureau's designation from a CJA to a Law Enforcement Agency:

1. Enhanced Credibility

Being designated as a law enforcement agency, with sworn and certified law enforcement officers would immediately enhance the overall credibility of the Bureau when dealing with prosecutors and our law enforcement partners, as well as when dealing with the public at large (including witnesses, subjects and targets involved in the Bureau's investigations). The Bureau's ability to successfully refer its cases for criminal prosecution would be greatly enhanced if it had the ability to not only investigate cases and make the referral, but also had the legal authority as a Law Enforcement Agency to make the arrests when the cases were prosecuted. Presently, as a CJA, the Bureau has no such arrest powers.

2. Increased Investigator Safety

Adding a law enforcement component to the Bureau would immediately enhance the overall safety of its investigative officers and other personnel who assist in conducting the Bureau's criminal investigations. Generally speaking, witnesses (including subjects and targets) who are being interviewed (either in an office setting or in the field) are much more likely to cooperate, and less likely to become confrontational, abusive or threatening with a law enforcement officer rather than with an unsworn "investigator officer."

Having at least some of the Bureau's personnel in each of the regional offices certified as LEOs would enhance the overall level of safety for the Bureau's employees within each office. The sworn LEOs could assist the other (non-sworn)

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - ADD				3005330

investigators in connection with the performance of other potentially hazardous, but necessary investigative activities that the Bureau's investigators currently perform, including: interviewing subjects and/or targets on a live, unannounced ("knock and talk") basis; personally serving subpoenas or other Court Orders; and conducting unannounced site visits or other covert surveillance activities. Currently, the Bureau's non-sworn, investigative officers are essentially defenseless while performing these types of investigative activities, and must rely upon the suspect's good nature and willingness to peacefully cooperate.

3. Enhanced Proactive/Undercover Capabilities

Having sworn LEOs within the Bureau will also enhance the Bureau's ability to conduct a wider range of proactive, undercover operations and consensual monitoring activities in the future. Currently, for safety considerations, the Bureau conducts undercover operations either over the telephone or via electronic (email) communications; likewise, the Bureau's current plans for conducting consensually monitored recordings involve only telephonic communications, rather than face-to-face communications, where the suspect may be more likely to open up and speak freely to the undercover investigator.

In addition, by being designated as a Law Enforcement Agency, the Bureau's investigators would also be able to seek to obtain wire taps, pen registers and trap and trace orders from the court in appropriate cases. These powerful evidence gathering techniques are not available to CJAs.

4. Arrest Powers

Designating the Bureau as a law enforcement agency would also enable its sworn and certified investigators to make arrests, either on their own cases, or in conjunction with coordinated activities with its other state or federal partners. Presently, the Bureau's non-sworn, investigative officers are only able to prepare, sign and present affidavits to the Court supporting a finding of probable cause for the issuance of an arrest warrant. By statute, they are not authorized to execute an arrest warrant. Thus, after obtaining said arrest warrants, the Bureau's investigators have to then convince other local LEO to actually execute the warrant and effect the arrest. In some instances, this has caused unnecessary delays as these other local police departments are also dealing with their own limited staff resource issues and competing case priorities.

5. Search and Seizure Warrant Capabilities

Likewise, being designated as a Law Enforcement Agency would also enable the Bureau to execute search and/or seizure warrants when issued by a judge based upon probable cause. Here again, the Bureau's investigators presently are able to develop evidence supporting a finding of probable cause, and they may also draft and present the probable cause affidavit to a judge for issuance; the Bureau's investigators are not authorized to actually execute the search and seizure warrant itself, and must depend on their ability to find other LEOs willing to complete the job. Thus, if the Bureau had certified LEOs in its ranks, those officers could be used to obtain, serve and execute search and seizure warrants, with

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - ADD				3005330

the assistance of the Bureau's non-sworn, investigators and other partners working the case.

6. Use of Photo Lineups in Criminal Investigations

Presently, the Bureau's investigators are not permitted under the Florida Statutes to prepare a photo lineup (aka photo array) in connection with their criminal investigations. A photo lineup is essentially composed of a set of pictures that an officer would show to a victim/witness to see if he or she recognizes the perpetrator of a crime. A positive identification could lead to the arrest of an otherwise undisclosed control person of a criminal enterprise, and the identification can be used as prosecutorial evidence in court. However, pursuant to Section 92.70(3), Florida Statutes, photo arrays can only be prepared by LEOs, not by investigative officers of a criminal justice agency. Thus, in cases where the Bureau investigates a potential criminal offense with its own resources, this investigative tool cannot be utilized to obtain potentially powerful evidence necessary to convict the perpetrator.

7. Participation in Federal Task Force Asset Forfeiture & Revenue Sharing Programs

Presently, the Bureau is a participant in an FBI Economic Crimes Task Force operating in Tampa, Florida, which is intended to investigate criminal cases that could generate significant asset forfeitures. The Memorandum of Understanding between OFR and the FBI relating to this Task Force indicates that the FBI intends to share such asset forfeitures with the OFR, to the extent permitted by law. Unfortunately, the relevant rules and regulations dealing with federal forfeiture prohibit the sharing of forfeiture funds with any non-law enforcement agency. Thus, designating the Bureau as a Law Enforcement Agency would enable the Bureau to receive its share of the forfeiture obtained from its efforts working on this (and potential other) Task Force(s).

ISSUE SUMMARY:

This issue seeks approximately \$817,791 in new recurring budget authority (Salaries, Expense, and Acquisition of Motor Vehicles) to aid the office in meeting the cost for equipping and certifying the Office of financial Regulation - Bureau of Investigations as a law enforcement entity. The additional Salaries and Benefits appropriation is to meet the special risk requirements for the sworn personnel. This issue is linked to issue 3005370.

Detail of Costs:

Quantity	Description	Amount	Non-Recurring
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9	Add Salaries and Benefits	\$942,611	\$0
(9)	Deduct Salaries and Benefits	(\$615,632)	\$0

	COL A03 AGY REQUEST FY 2022-23	COL A04 AGY REQ N/R FY 2022-23	COL A05 AG REQ ANZ FY 2022-23	CODES
POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - ADD				3005330
Total Salaries and Benefits		\$326,979	\$0	
Expenses		\$304,412	\$164,432	
Acquisition of Motor Vehicles		\$186,400	\$0	
Issue Total		\$817,791	\$164,432	

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
8581 SPECIAL AGENT							
01860 001	1.00	70,000		32,785	102,785	0.00	102,785
04171 001	1.00	70,000	5,001	23,058	98,059	0.00	98,059
04240 001	1.00	70,000		22,134	92,134	0.00	92,134
04434 001	1.00	70,000		22,134	92,134	0.00	92,134
04644 001	1.00	70,000		32,785	102,785	0.00	102,785
04709 001	1.00	70,000	5,001	33,709	108,710	0.00	108,710
04722 001	1.00	70,000	5,001	13,896	88,897	0.00	88,897
04780 001	1.00	70,000	5,001	33,709	108,710	0.00	108,710
8584 SPECIAL AGENT SUPERVISOR							
01919 001	1.00	103,500	5,001	39,896	148,397	0.00	148,397

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
ESTABLISH LAW ENFORCEMENT SWORN						
UNIT - OFFICE OF FINANCIAL						
REGULATION - FINANCIAL						
INVESTIGATIONS - ADD						3005330

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2021 ADMINISTRATIVE TRUST FUND

9.00	663,500	25,005	254,106	942,611		942,611
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ESTABLISH LAW ENFORCEMENT SWORN
 UNIT - OFFICE OF FINANCIAL
 REGULATION - FINANCIAL
 INVESTIGATIONS - DEDUCT
 SALARY RATE

3005370
 000000

SALARY RATE..... 409,271-
 =====

SALARIES AND BENEFITS

010000

9.00-
 ADMINISTRATIVE TRUST FUND -STATE 615,632-
 =====

TOTAL: ESTABLISH LAW ENFORCEMENT SWORN

3005370

UNIT - OFFICE OF FINANCIAL
 REGULATION - FINANCIAL
 INVESTIGATIONS - DEDUCT

TOTAL POSITIONS..... 9.00-
 TOTAL ISSUE..... 615,632-
 TOTAL SALARY RATE..... 409,271-
 =====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - DEDUCT				3005370

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ESTABLISH LAW ENFORCEMENT SWORN UNIT - OFFICE OF FINANCIAL REGULATION - FINANCIAL INVESTIGATIONS - DEDUCT

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Office is pursuing substantive legislation to grant law enforcement status to the Bureau of Financial Investigations (Bureau). This need is based on the diminishing availability of local, state, and federal law enforcement resources capable of assisting the Office non-sworn staff in pursuing criminal investigations related to financial crimes. The substantive bill would be accompanied by a request for approximately \$817,791 and would not require any additional FTE.

Currently, the Bureau is designated by statute to be a Criminal Justice Agency (CJA) and its investigators qualify as "investigative officers" under Florida law. The Bureau is not currently designated as a Law Enforcement Agency, and none of its investigative personnel are certified as sworn Law Enforcement Officers (LEO). As a CJA, the Bureau's investigators have the ability to utilize certain confidential databases in connection with its criminal investigations, including obtaining confidential information from the Criminal Justice Information Services (CJIS), the Highway Safety and Motor Vehicles (DAVID), and the Financial Criminal Enforcement Network (FINCEN). The Bureau's investigators can also engage in other overt investigative activities (including issuing subpoenas and demands for sworn written statements), as well as utilizing other covert investigative techniques (including engaging in undercover operations and limited

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - DEDUCT				3005370

consensual monitoring).

However, because the Bureau has not been designated as a Law Enforcement Agency, significant constraints exist that limit (and will continue to limit) the Bureau's overall effectiveness. The following is a summary of the expected benefits resulting from changing the Bureau's designation from a CJA to a Law Enforcement Agency:

1. Enhanced Credibility

Being designated as a law enforcement agency, with sworn and certified law enforcement officers would immediately enhance the overall credibility of the Bureau when dealing with prosecutors and our law enforcement partners, as well as when dealing with the public at large (including witnesses, subjects and targets involved in the Bureau's investigations). The Bureau's ability to successfully refer its cases for criminal prosecution would be greatly enhanced if it had the ability to not only investigate cases and make the referral, but also had the legal authority as a Law Enforcement Agency to make the arrests when the cases were prosecuted. Presently, as a CJA, the Bureau has no such arrest powers.

2. Increased Investigator Safety

Adding a law enforcement component to the Bureau would immediately enhance the overall safety of its investigative officers and other personnel who assist in conducting the Bureau's criminal investigations. Generally speaking, witnesses (including subjects and targets) who are being interviewed (either in an office setting or in the field) are much more likely to cooperate, and less likely to become confrontational, abusive or threatening with a law enforcement officer rather than with an unsworn "investigator officer."

Having at least some of the Bureau's personnel in each of the regional offices certified as LEOs would enhance the overall level of safety for the Bureau's employees within each office. The sworn LEOs could assist the other (non-sworn) investigators in connection with the performance of other potentially hazardous, but necessary investigative activities that the Bureau's investigators currently perform, including: interviewing subjects and/or targets on a live, unannounced ("knock and talk") basis; personally serving subpoenas or other Court Orders; and conducting unannounced site visits or other covert surveillance activities. Currently, the Bureau's non-sworn, investigative officers are essentially defenseless while performing these types of investigative activities, and must rely upon the suspect's good nature and willingness to peacefully cooperate.

3. Enhanced Proactive/Undercover Capabilities

Having sworn LEOs within the Bureau will also enhance the Bureau's ability to conduct a wider range of proactive, undercover operations and consensual monitoring activities in the future. Currently, for safety considerations, the Bureau conducts undercover operations either over the telephone or via electronic (email) communications; likewise, the Bureau's current plans for conducting consensually monitored recordings involve only telephonic communications, rather than face-to-face communications, where the suspect may be more likely to open up and speak freely to the undercover

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - DEDUCT				3005370

investigator.

In addition, by being designated as a Law Enforcement Agency, the Bureau's investigators would also be able to seek to obtain wire taps, pen registers and trap and trace orders from the court in appropriate cases. These powerful evidence gathering techniques are not available to CJAs.

4. Arrest Powers

Designating the Bureau as a law enforcement agency would also enable its sworn and certified investigators to make arrests, either on their own cases, or in conjunction with coordinated activities with its other state or federal partners. Presently, the Bureau's non-sworn, investigative officers are only able to prepare, sign and present affidavits to the Court supporting a finding of probable cause for the issuance of an arrest warrant. By statute, they are not authorized to execute an arrest warrant. Thus, after obtaining said arrest warrants, the Bureau's investigators have to then convince other local LEO to actually execute the warrant and effect the arrest. In some instances, this has caused unnecessary delays as these other local police departments are also dealing with their own limited staff resource issues and competing case priorities.

5. Search and Seizure Warrant Capabilities

Likewise, being designated as a Law Enforcement Agency would also enable the Bureau to execute search and/or seizure warrants when issued by a judge based upon probable cause. Here again, the Bureau's investigators presently are able to develop evidence supporting a finding of probable cause, and they may also draft and present the probable cause affidavit to a judge for issuance; the Bureau's investigators are not authorized to actually execute the search and seizure warrant itself, and must depend on their ability to hind other LEOs willing to complete the job. Thus, if the Bureau had certified LEOs in its ranks, those officers could be used to obtain, serve and execute search and seizure warrants, with the assistance of the Bureau's non-sworn, investigators and other partners working the case.

6. Use of Photo Lineups in Criminal Investigations

Presently, the Bureau's investigators are not permitted under the Florida Statutes to prepare a photo lineup (aka photo array) in connection with their criminal investigations. A photo lineup is essentially composed of a set of pictures that an officer would show to a victim/witness to see if he or she recognizes the perpetrator of a crime. A positive identification could lead to the arrest of an otherwise undisclosed control person of a criminal enterprise, and the identification can be used as prosecutorial evidence in court. However, pursuant to Section 92.70(3), Florida Statutes, photo arrays can only be prepared by LEOs, not by investigative officers of a criminal justice agency. Thus, in cases where the Bureau investigates a potential criminal offense with its own resources, this investigative tool cannot be utilized to obtain potentially powerful evidence necessary to convict the perpetrator.

7. Participation in Federal Task Force Asset Forfeiture & Revenue Sharing Programs

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
ESTABLISH LAW ENFORCEMENT SWORN				
UNIT - OFFICE OF FINANCIAL				
REGULATION - FINANCIAL				
INVESTIGATIONS - DEDUCT				3005370

Presently, the Bureau is a participant in an FBI Economic Crimes Task Force operating in Tampa, Florida, which is intended to investigate criminal cases that could generate significant asset forfeitures. The Memorandum of Understanding between OFR and the FBI relating to this Task Force indicates that the FBI intends to share such asset forfeitures with the OFR, to the extent permitted by law. Unfortunately, the relevant rules and regulations dealing with federal forfeiture prohibit the sharing of forfeiture funds with any non-law enforcement agency. Thus, designating the Bureau as a Law Enforcement Agency would enable the Bureau to receive its share of the forfeiture obtained from its efforts working on this (and potential other) Task Force(s).

ISSUE SUMMARY:

This issue seeks approximately \$817,791 in new recurring budget authority (Salaries, Expense, and Acquisition of Motor Vehicles) to aid the office in meeting the cost for equipping and certifying the Office of financial Regulation - Bureau of Investigations as a law enforcement entity. The additional Salaries and Benefits appropriation is to meet the special risk requirements for the sworn personnel. This issue is linked to issue 3005330.

Detail of Costs:

Quantity	Description	Amount	Non-Recurring
-----	-----	-----	-----
9	Add Salaries and Benefits	\$942,611	\$0
(9)	Deduct Salaries and Benefits	(\$615,632)	\$0
	Total Salaries and Benefits	\$326,979	\$0
	Expenses	\$304,412	\$164,432
	Acquisition of Motor Vehicles	\$186,400	\$0
	Issue Total	\$817,791	\$164,432

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCIAL INVESTIGATIONS						43900540
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
ESTABLISH LAW ENFORCEMENT SWORN						
UNIT - OFFICE OF FINANCIAL						
REGULATION - FINANCIAL						
INVESTIGATIONS - DEDUCT						3005370

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

8325 FINANCIAL INVESTIGATOR-CRIMINAL ENFORCE

01860 001	1.00-	45,842-	22,997-	68,839-	0.00	68,839-
04171 001	1.00-	44,812-	22,807-	67,619-	0.00	67,619-
04240 001	1.00-	46,100-	23,045-	69,145-	0.00	69,145-
04434 001	1.00-	44,337-	22,719-	67,056-	0.00	67,056-
04644 001	1.00-	45,835-	22,995-	68,830-	0.00	68,830-
04709 001	1.00-	45,835-	22,995-	68,830-	0.00	68,830-
04722 001	1.00-	46,350-	23,091-	69,441-	0.00	69,441-
04780 001	1.00-	51,500-	24,042-	75,542-	0.00	75,542-

8351 SENIOR FINANCIAL INVESTIGATOR

01919 001	1.00-	38,660-	21,670-	60,330-	0.00	60,330-
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TOTALS FOR ISSUE BY FUND

2021 ADMINISTRATIVE TRUST FUND						615,632-
	9.00-	409,271-	206,361-	615,632-		615,632-

 TOTAL: REGULATION AND LICENSING 1204.00.00.00
 BY FUND TYPE

TRUST FUNDS.....	51.00	5,909,485	382,276			2000
SALARY RATE.....		3,451,066				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	3,695,382			
SALARIES AND BENEFITS				010000
50.00				
ADMINISTRATIVE TRUST FUND -STATE	5,308,858			2021 1
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND -STATE	251,917			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	473,148			2021 1
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND -STATE	7,000			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	61,048			2021 1
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	5,086			2021 1
LEASE/PURCHASE/EQUIPMENT				105281
ADMINISTRATIVE TRUST FUND -STATE	10,004			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	12,900			2021 1
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE	3,435,807			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	50.00			
TOTAL ISSUE.....	9,565,768			
TOTAL SALARY RATE.....	3,695,382			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				1001030
INCREASE - EFFECTIVE 7/1/2021				030000
OTHER PERSONAL SERVICES				
ADMINISTRATIVE TRUST FUND -STATE	2,601			2021 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	37,101			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND -STATE	13,684			2021 1
=====		=====		
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND -STATE	1,078-			2021 1
=====		=====		
WORKLOAD				3000000
ESTABLISH BLOCKCHAIN VIRTUAL				
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION - ADD				3005350
SALARY RATE				000000
SALARY RATE.....	305,000			
=====		=====		
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	3.00			2021 1
ADMINISTRATIVE TRUST FUND -STATE	446,434			
=====		=====		
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	21,724	8,984		2021 1
=====		=====		
TOTAL: ESTABLISH BLOCKCHAIN VIRTUAL				3005350
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION - ADD				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	468,158	8,984		
TOTAL SALARY RATE.....	305,000			
=====		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
ESTABLISH BLOCKCHAIN VIRTUAL				
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION - ADD				3005350

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

ESTABLISH BLOCKCHAIN, VIRTUAL CURRENCY, FINTECH POLICY UNIT - OFFICE OF FINANCIAL REGULATION - ADD

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The OFR is focused on protecting Floridians, their businesses, and their financial futures. Criminals have evolved and adapted to the new online world much faster than regulators. Every year, millions of dollars are lost to criminals who prey on our seniors, our businesses, and every person living and doing business in Florida is at risk. We must proactively pursue these criminals, modernize our regulatory framework, and ensure that our workforce is the best educated and equipped in the country to keep up with these threats to our State's secure financial future. This LBR issue focuses on areas of critical need in regulatory policy, criminal investigations, and overwhelming workload.

The challenges facing Florida related to the regulation of the crypto currency marketplace are being felt across the full spectrum of the regulatory authority vested in the Office of Financial Regulation. Financial Institutions, Securities, and Consumer Finance each potentially regulate some portion of the financial marketplace involving virtual currencies. The rapidly evolving financial marketplace has very little (if any) centralized regulation at either the Federal or State level.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
ESTABLISH BLOCKCHAIN VIRTUAL				
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION - ADD				3005350

The OFR is seeking to establish a policy unit dedicated to establishing the regulatory framework, and to provide formal guidance to the State, across all financial sectors relative to blockchain technology, virtual currencies, and FinTech businesses. The businesses involved in these markets span all three of the regulatory areas of the OFR. This policy unit would work under the direct supervision of the Commissioner and help coordinate the policies required to regulate these markets and businesses across all three regulatory Divisions of the Office. The goal of the policy unit is to provide the Commissioner with the staffing resources and experience to develop and coordinate the regulatory policies required to provide Florida's financial marketplace with stability, predictability, and security in the digital marketplace.

This unit would be comprised of one (1) Senior Management (SMS) position and two (2) Select Exempt Services (SES) senior policy positions with legal, accounting, and technology experience capable of both understanding the technology behind the products and the systemic risks posed by the evolving marketplace intermediaries and participants, but also the implications of the policies targeting them. The SMS position will be created by upgrading and reclassifying the COMMUNICATIONS COORDINATOR position that already exists within the Office of the Commissioner. The two SES positions would be newly created for the unit. The request is for two (2) new FTE and approximately \$406,160 in additional budget authority.

ISSUE SUMMARY:

This issue requests two (2) additional FTE and approximately \$406,160 in associated budget authority (Salaries and Expense) for creating a new policy unit to address the cross-regulatory challenges posed by the regulation of blockchain technology, virtual currency, and FinTech businesses. The issue will reclassify the COMMUNICATIONS COORDINATOR position and establish two new high-level policy positions in the Office of the Commissioner. This issue is linked to issue 3005360.

Detail of Costs:

Quantity	Description	Amount	Non-Recurring
-----	-----	-----	-----
3	Add Salaries and Benefits	\$446,434	\$0
(1)	Deduct Salaries and Benefits	(\$61,998)	\$0
	Total Salaries and Benefits	\$384,436	\$0
	Expenses	\$21,724	\$8,984

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>EXEC DIR & SUPPORT SERVICE</u>						43900550
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
ESTABLISH BLOCKCHAIN VIRTUAL						
CURRENCY POLICY UNIT - OFFICE OF						
FINANCIAL REGULATION - ADD						3005350

Issue Total \$406,160 \$8,984

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
8221 PROGRAMS & POLICY COORDINATOR							
N0300 001	1.00	97,500		39,704	137,204	0.00	137,204
N0301 001	1.00	97,500		39,704	137,204	0.00	137,204
9973 PROGRAM DIRECTOR - A							
01641 001	1.00	110,000		62,026	172,026	0.00	172,026
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							446,434
	3.00	305,000		141,434	446,434		446,434

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
ESTABLISH BLOCKCHAIN VIRTUAL				
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION - DEDUCT				3005360
SALARY RATE				000000
SALARY RATE.....	39,083-			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND -STATE	1.00-	61,998-		2021 1
	=====	=====	=====	
TOTAL: ESTABLISH BLOCKCHAIN VIRTUAL				3005360
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION - DEDUCT				
TOTAL POSITIONS.....	1.00-			
TOTAL ISSUE.....		61,998-		
TOTAL SALARY RATE.....	39,083-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

ESTABLISH BLOCKCHAIN, VIRTUAL CURRENCY, FINTECH POLICY UNIT - OFFICE OF FINANCIAL REGULATION - DEDUCT

This request will improve the Office's ability to reach the following goals:

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- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
ESTABLISH BLOCKCHAIN VIRTUAL				
CURRENCY POLICY UNIT - OFFICE OF				
FINANCIAL REGULATION - DEDUCT				3005360

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This unit would be comprised of one (1) Senior Management (SMS) position and two (2) Select Exempt Services (SES) senior policy positions with legal, accounting, and technology experience capable of both understanding the technology behind the products and the systemic risks posed by the evolving marketplace intermediaries and participants, but also the implications of the policies targeting them. The SMS position will be created by upgrading and reclassifying the COMMUNICATIONS COORDINATOR position that already exists within the Office of the Commissioner. The two SES positions would be newly created for the unit. The request is for two (2) new FTE and approximately \$406,160 in additional budget authority.

ISSUE SUMMARY:

This issue requests two (2) additional FTE and approximately \$406,160 in associated budget authority (Salaries and Expense) for creating a new policy unit to address the cross-regulatory challenges posed by the regulation of blockchain technology, virtual currency, and FinTech businesses. The issue will reclassify the COMMUNICATIONS COORDINATOR position and establish two new high-level policy positions in the Office of the Commissioner.

	COL A03 AGY REQUEST FY 2022-23 POS	COL A04 AGY REQ N/R FY 2022-23 POS	COL A05 AG REQ ANZ FY 2022-23 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
<u>EXEC DIR & SUPPORT SERVICE</u>							43900550
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
WORKLOAD							3000000
ESTABLISH BLOCKCHAIN VIRTUAL CURRENCY POLICY UNIT - OFFICE OF FINANCIAL REGULATION - DEDUCT							3005360

This issue is linked to issue 3005350.

Detail of Costs:

Quantity	Description	Amount	Non-Recurring
3	Add Salaries and Benefits	\$446,434	\$0
(1)	Deduct Salaries and Benefits	(\$61,998)	\$0
	Total Salaries and Benefits	\$384,436	\$0
	Expenses	\$21,724	\$8,984
	Issue Total	\$406,160	\$8,984

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7596 COMMUNICATIONS COORDINATOR							
01641 001	1.00-	39,083-		22,915-	61,998-	0.00	61,998-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							61,998-
	1.00-	39,083-		22,915-	61,998-		61,998-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	52.00			
TRUST FUNDS.....	10,024,236	8,984		2000
SALARY RATE.....	3,961,299			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2022-23 POS	AMOUNT	AGY REQ N/R FY 2022-23 POS	AMOUNT	AG REQ ANZ FY 2022-23 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		4,511,573					
=====							
SALARIES AND BENEFITS							010000
85.00							
REGULATORY TRUST FUND -STATE		6,113,742					2573 1
=====							
OTHER PERSONAL SERVICES							030000
REGULATORY TRUST FUND -STATE		207,695					2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND -STATE		828,789					2573 1
=====							
OPERATING CAPITAL OUTLAY							060000
REGULATORY TRUST FUND -STATE		35,631					2573 1
=====							
SPECIAL CATEGORIES							100000
DEFER PRESENTMENT CONTRACT							100513
REGULATORY TRUST FUND -STATE		2,930,000					2573 1
=====							
CCT DATABASE CONTRACT							100527
REGULATORY TRUST FUND -STATE		251,000					2573 1
=====							
CONTRACTED SERVICES							100777
REGULATORY TRUST FUND -STATE		111,565					2573 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	28,256			2573 1
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE	34,995			2573 1
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	34,708			2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	85.00			
TOTAL ISSUE.....	10,576,381			
TOTAL SALARY RATE.....	4,511,573			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				1001030
INCREASE - EFFECTIVE 7/1/2021				030000
OTHER PERSONAL SERVICES				
REGULATORY TRUST FUND -STATE	2,145			2573 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	36,042			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	3,653			2573 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	2,901-			2573 1
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70
SALARY RATE				000000
SALARY RATE.....	2,913,466			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	59.00			2573 1
REGULATORY TRUST FUND -STATE	4,264,945			
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A70
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				
TOTAL POSITIONS.....	59.00			
TOTAL ISSUE.....	4,264,945			
TOTAL SALARY RATE.....	2,913,466			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's 3 regulatory divisions have direct and primary regulatory oversight of 17 separate statutes, issues charters, licenses, and registrations of 42 different financial services licenses, for approximately 447,669 different entities.

- Division of Consumer Finance:
- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
 - Chapter 516, Florida Statutes - Consumer Finance Act
 - Chapter 520, Florida Statutes - Retail Installment Sales
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 - Chapter 559-Part V, Florida Statutes - Commercial Collection Practices

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
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 Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

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 Chapter 663, Florida Statutes - International Banking
 Chapter 665, Florida Statutes - Capital Stock Associations
 Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units have 304 total FTE, of which approximately 208 (68.4%) are non-managerial, non-administrative, employees with a direct role in the registration, examination, and investigation of entities in the financial services delivered to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

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	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
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	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

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Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement, five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed. This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A90.

Detail of Costs:

SALARIES and BENEFITS:

Quantity	Description	Amount	Non-Recurring
-----	-----	-----	-----
59	Add Salaries and Benefits	\$4,264,945	\$0
(59)	Deduct Salaries and Benefits	(\$3,795,285)	\$0

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Issue Total \$469,660 \$0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
1554 FINANCIAL EXAMINER/ANALYST I							
01742 001	1.00	36,000		15,854	51,854	0.00	51,854
01744 001	1.00	36,000		26,462	62,462	0.00	62,462
01869 001	1.00	36,000		26,462	62,462	0.00	62,462
04071 001	1.00	36,000		26,462	62,462	0.00	62,462
04428 001	1.00	36,000		26,505	62,505	0.00	62,505
1564 FINANCIAL EXAMINER/ANALYST II							
01675 001	1.00	46,000	1,270	28,587	75,857	0.00	75,857
01680 001	1.00	46,000		28,309	74,309	0.00	74,309
01731 001	1.00	46,000	1,270	17,936	65,206	0.00	65,206
01733 001	1.00	46,000	1,270	17,936	65,206	0.00	65,206
01734 001	1.00	46,000		28,352	74,352	0.00	74,352
01735 001	1.00	46,000	646	28,428	75,074	0.00	75,074
01738 001	1.00	46,000	1,270	28,544	75,814	0.00	75,814
01741 001	1.00	46,000		17,701	63,701	0.00	63,701
01868 001	1.00	46,000		28,352	74,352	0.00	74,352
01874 001	1.00	46,000	646	8,658	55,304	0.00	55,304
01901 001	1.00	46,000		28,352	74,352	0.00	74,352
01902 001	1.00	46,000		8,539	54,539	0.00	54,539
04145 001	1.00	46,000		21,160	67,160	0.00	67,160
04183 001	1.00	46,000		28,352	74,352	0.00	74,352

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2022-23	POS	AGY REQ N/R FY 2022-23	POS	AG REQ ANZ FY 2022-23	POS	
	AMOUNT		AMOUNT		AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04321 001	1.00	46,000	1,270	17,936	65,206	0.00	65,206
04341 001	1.00	46,000	1,270	17,936	65,206	0.00	65,206
04342 001	1.00	46,000		8,539	54,539	0.00	54,539
04445 001	1.00	46,000		17,701	63,701	0.00	63,701
04460 001	1.00	46,000		28,352	74,352	0.00	74,352
04474 001	1.00	46,000		28,309	74,309	0.00	74,309
04478 001	1.00	46,000		28,352	74,352	0.00	74,352
04484 001	1.00	46,000		28,352	74,352	0.00	74,352
04497 001	1.00	46,000		28,352	74,352	0.00	74,352
04520 001	1.00	46,000		28,309	74,309	0.00	74,309
04532 001	1.00	46,000	1,270	17,936	65,206	0.00	65,206
04589 001	1.00	46,000	646	8,658	55,304	0.00	55,304
04686 001	1.00	46,000	1,270	28,544	75,814	0.00	75,814
04704 001	1.00	46,000	1,270	17,936	65,206	0.00	65,206
04869 001	1.00	46,000	646	8,658	55,304	0.00	55,304
04886 001	1.00	46,000		17,701	63,701	0.00	63,701
04896 001	1.00	46,000		28,352	74,352	0.00	74,352
04924 001	1.00	46,000		28,352	74,352	0.00	74,352
1566 FINANCIAL SPECIALIST							
01677 001	1.00	54,000		29,830	83,830	0.00	83,830
01739 001	1.00	54,000		19,179	73,179	0.00	73,179
01740 001	1.00	54,000	646	29,949	84,595	0.00	84,595
01870 001	1.00	54,000	1,270	30,064	85,334	0.00	85,334
01873 001	1.00	54,000	1,270	10,251	65,521	0.00	65,521
01888 001	1.00	54,000		19,179	73,179	0.00	73,179
01899 001	1.00	54,000		19,179	73,179	0.00	73,179
01900 001	1.00	54,000	646	29,949	84,595	0.00	84,595
01921 001	1.00	54,000	1,270	10,251	65,521	0.00	65,521

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
02075 001	1.00	54,000		29,787	83,787	0.00	83,787
02076 001	1.00	54,000	1,270	10,251	65,521	0.00	65,521
02077 001	1.00	54,000	1,270	30,064	85,334	0.00	85,334
04335 001	1.00	54,000		29,830	83,830	0.00	83,830
04337 001	1.00	54,000	1,270	30,064	85,334	0.00	85,334
04530 001	1.00	54,000		24,884	78,884	0.00	78,884
04692 001	1.00	54,000		10,017	64,017	0.00	64,017
1567 FINANCIAL CONTROL ANALYST							
01732 001	1.00	62,000	1,270	36,300	99,570	0.00	99,570
01862 001	1.00	62,000		20,656	82,656	0.00	82,656
01865 001	1.00	62,000		31,307	93,307	0.00	93,307
01875 001	1.00	62,000	1,270	31,542	94,812	0.00	94,812
02074 001	1.00	62,000		31,307	93,307	0.00	93,307
04519 001	1.00	62,000		8,413	70,413	0.00	70,413
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							4,264,945
	59.00	2,888,000	25,466	1,351,479	4,264,945		4,264,945

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90
SALARY RATE				000000
SALARY RATE.....	2,607,066-			
	=====	=====	=====	
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	59.00-			
-STATE	3,795,285-			2573 1
	=====	=====	=====	
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A90
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				
TOTAL POSITIONS.....	59.00-			
TOTAL ISSUE.....	3,795,285-			
TOTAL SALARY RATE.....	2,607,066-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT

This request will improve the Office's ability to reach the following goals:

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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
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	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

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	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics. Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through the Conference of State Bank Supervisors shows that in 2020 Florida ranked near the bottom (26 of 29 states surveyed) in Average Annual Salary for examiners, with the average of those states being \$13,000 higher than the Florida average. At the Federal level, the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500.

Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

with examinations, registrations, and investigations. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement, five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed. This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A70.

Detail of Costs:

SALARIES and BENEFITS:

Quantity	Description	Amount	Non-Recurring
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59	Add Salaries and Benefits	\$4,264,945	\$0
(59)	Deduct Salaries and Benefits	(\$3,795,285)	\$0
	Issue Total	\$469,660	\$0

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2022-23	POS	AGY REQ N/R FY 2022-23	POS	AG REQ ANZ FY 2022-23	POS	
	AMOUNT		AMOUNT		AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

1554 FINANCIAL EXAMINER/ANALYST I

01742 001	1.00-	35,000-		15,670-	50,670-	0.00	50,670-
01744 001	1.00-	32,697-		15,201-	47,898-	0.00	47,898-
01869 001	1.00-	32,697-		15,201-	47,898-	0.00	47,898-
04071 001	1.00-	32,697-		15,201-	47,898-	0.00	47,898-
04428 001	1.00-	35,000-		26,321-	61,321-	0.00	61,321-

1564 FINANCIAL EXAMINER/ANALYST II

01675 001	1.00-	39,820-	1,270-	27,445-	68,535-	0.00	68,535-
01680 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
01731 001	1.00-	41,000-	1,270-	17,013-	59,283-	0.00	59,283-
01733 001	1.00-	41,000-	1,270-	17,013-	59,283-	0.00	59,283-
01734 001	1.00-	41,880-		27,591-	69,471-	0.00	69,471-
01735 001	1.00-	38,660-	646-	16,422-	55,728-	0.00	55,728-
01738 001	1.00-	38,660-	1,270-	16,537-	56,467-	0.00	56,467-
01741 001	1.00-	44,702-		17,462-	62,164-	0.00	62,164-
01868 001	1.00-	43,322-		27,857-	71,179-	0.00	71,179-
01874 001	1.00-	41,000-	646-	7,735-	49,381-	0.00	49,381-
01901 001	1.00-	41,262-		27,478-	68,740-	0.00	68,740-
01902 001	1.00-	41,880-		7,778-	49,658-	0.00	49,658-
04145 001	1.00-	42,642-		20,288-	62,930-	0.00	62,930-
04183 001	1.00-	41,880-		27,591-	69,471-	0.00	69,471-
04321 001	1.00-	38,660-	1,270-	16,580-	56,510-	0.00	56,510-
04341 001	1.00-	39,820-	1,270-	16,794-	57,884-	0.00	57,884-
04342 001	1.00-	41,000-		7,616-	48,616-	0.00	48,616-
04445 001	1.00-	41,880-		16,940-	58,820-	0.00	58,820-
04460 001	1.00-	41,880-		27,591-	69,471-	0.00	69,471-
04474 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
04478 001	1.00-	42,642-		27,732-	70,374-	0.00	70,374-

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2022-23	POS	AGY REQ N/R FY 2022-23	POS	AG REQ ANZ FY 2022-23	POS	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04484 001	1.00-	42,000-		27,613-	69,613-	0.00	69,613-
04497 001	1.00-	42,000-		27,613-	69,613-	0.00	69,613-
04520 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
04532 001	1.00-	41,262-	1,270-	17,061-	59,593-	0.00	59,593-
04589 001	1.00-	41,000-	646-	7,735-	49,381-	0.00	49,381-
04686 001	1.00-	38,660-	1,270-	16,537-	56,467-	0.00	56,467-
04704 001	1.00-	41,000-	1,270-	17,013-	59,283-	0.00	59,283-
04869 001	1.00-	41,000-	646-	7,735-	49,381-	0.00	49,381-
04886 001	1.00-	39,820-		16,560-	56,380-	0.00	56,380-
04896 001	1.00-	41,880-		27,591-	69,471-	0.00	69,471-
04924 001	1.00-	41,880-		27,591-	69,471-	0.00	69,471-
1566 FINANCIAL SPECIALIST							
01677 001	1.00-	63,860-		31,651-	95,511-	0.00	95,511-
01739 001	1.00-	44,813-		17,482-	62,295-	0.00	62,295-
01740 001	1.00-	46,350-	646-	28,536-	75,532-	0.00	75,532-
01870 001	1.00-	48,000-	1,270-	28,956-	78,226-	0.00	78,226-
01873 001	1.00-	45,000-	1,270-	8,589-	54,859-	0.00	54,859-
01888 001	1.00-	48,000-		18,071-	66,071-	0.00	66,071-
01899 001	1.00-	48,089-		18,087-	66,176-	0.00	66,176-
01900 001	1.00-	46,350-	646-	28,536-	75,532-	0.00	75,532-
01921 001	1.00-	45,000-	1,270-	8,589-	54,859-	0.00	54,859-
02075 001	1.00-	43,507-		17,197-	60,704-	0.00	60,704-
02076 001	1.00-	48,000-	1,270-	9,143-	58,413-	0.00	58,413-
02077 001	1.00-	46,350-	1,270-	28,651-	76,271-	0.00	76,271-
04335 001	1.00-	44,812-		28,133-	72,945-	0.00	72,945-
04337 001	1.00-	43,507-	1,270-	28,126-	72,903-	0.00	72,903-
04530 001	1.00-	47,902-		23,299-	71,201-	0.00	71,201-
04692 001	1.00-	44,813-		8,320-	53,133-	0.00	53,133-

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	AG REQ ANZ	
FY 2022-23	FY 2022-23	FY 2022-23	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 1567 FINANCIAL CONTROL ANALYST

01732 001	1.00-	67,971-	1,270-	37,852-	107,093-	0.00	107,093-
01862 001	1.00-	53,560-		19,097-	72,657-	0.00	72,657-
01865 001	1.00-	56,341-		30,262-	86,603-	0.00	86,603-
01875 001	1.00-	53,953-	1,270-	30,056-	85,279-	0.00	85,279-
02074 001	1.00-	57,259-		30,431-	87,690-	0.00	87,690-
04519 001	1.00-	60,000-		8,143-	68,143-	0.00	68,143-

TOTALS FOR ISSUE BY FUND
 2573 REGULATORY TRUST FUND

59.00-	2,581,600-	25,466-	1,188,219-	3,795,285-			3,795,285-
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STAFFING/WORKLOAD INCREASES -
 OFFICE OF FINANCIAL REGULATION -
 CONSUMER FINANCE - BUREAU OF

REGISTRATION							3005340
SALARY RATE							000000

SALARY RATE.....	330,000						
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
STAFFING/WORKLOAD INCREASES -				
OFFICE OF FINANCIAL REGULATION -				
CONSUMER FINANCE - BUREAU OF				
REGISTRATION				3005340
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND	7.00			
-STATE	529,942			2573 1
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND				
-STATE	50,000			2573 1
EXPENSES				040000
REGULATORY TRUST FUND				
-STATE	76,034	31,444		2573 1
TOTAL: STAFFING/WORKLOAD INCREASES -				3005340
OFFICE OF FINANCIAL REGULATION -				
CONSUMER FINANCE - BUREAU OF				
REGISTRATION				
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....	655,976	31,444		
TOTAL SALARY RATE.....	330,000			

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
STAFFING/WORKLOAD INCREASES -				
OFFICE OF FINANCIAL REGULATION -				
CONSUMER FINANCE - BUREAU OF				
REGISTRATION				3005340

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value;
- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Office of Financial Regulation (OFR), Division of Consumer Finance has seen a dramatic increase in the number of mortgage industry applications received over the last two years. This increase has resulted in a backlog of applications, approvals and denials. In the last four years, the annual number of applications for new licenses has steadily increased from 12,785 in FY2018 to 24,780 in FY2021, with no increase in staffing. The Bureau of Registration has 10 full-time analysts devoted to processing the mortgage industry filings; that is 20,800 man hours available each year. Each application takes an average of 1.25 hours to process. At the current volume more than 30,000 staff hours would be required to process all incoming applications for the year. The deficit is more than 10,800 hours of work. These hours are only considering the work associated with Initial mortgage industry applications.

In addition to new applications, the same analysts must process renewal and amendment transactions. Currently there are an additional 12,000 unreviewed renewal transactions that require some level of review on the part of the analyst. The renewal rate is between 80% and 90% across all mortgage industry licensees. The division received 23,709 renewal filings in FY2018 and 43,228 in FY2021. Of the total renewals, just over 12,000 require manual intervention by staff. These renewals will require another 9,000 to 15,000 hours of staff time, depending on complexity. Between new applications (10,800) and renewals (9,000-15,000), the deficit in available hours is as high as 24,200 hours of staff time, or 11.6 FTE.

The statutory deadline for processing a new application for licensure is 90 days from the date the application is deemed complete. Historically, OFR has reviewed applications within 2 days (on average) of the initial application being received, with many being reviewed on the day they were received. In the last two years, that average has risen to almost 12 days. This is for the initial review when the applicant is contacted and requested to provide additional information or approved if complete. The average processing time for the approval of a completed application is still less than 9 days. However, denial of an application for licensure is significantly more time consuming and requires multiple administrative and legal hurdles. Each day the application spends in a completed status is a day taken from the legal process for a denial (90-days). It is these applications that present the biggest risk. Without the additional resources, initial application processing times will continue to grow and could potentially prevent the legal process from being fully completed within the statutory timeframe thus resulting in an unqualified applicant being approved for a

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
STAFFING/WORKLOAD INCREASES -				
OFFICE OF FINANCIAL REGULATION -				
CONSUMER FINANCE - BUREAU OF				
REGISTRATION				3005340

license. On top of that, as most individuals cannot work in the mortgage while waiting for approval of their license, every day waiting for Division to process their license is a day without a paycheck for that person.

In addition to new applications for licensure, the Division receives approximately 2,050 amendment transactions per month through the NMLS that require review and action by an analyst. There were a total of 16,313 and 25,553 amendment transactions filed in FY2020 and FY2021 respectively. Given the high volume of applications and demands to meet statutory deadlines, the Division is barely able to devote any resources to processing renewals and amendments. Without additional resources devoted to processing mortgage industry transactions, the Division will not be able to timely process these renewals and amendments. The impact of having insufficient resources is the Division is not able to timely identify potential red flags that could result taking action against a licensee, including the potential revocation of a person's license.

The OFR is requesting budget authority for 7 FTE and \$50,000 in recurring OPS to have the available personnel to work these applications. The additional 14,560 man hours, along with seasonal OPS hours, will allow the Division to process the current volume of applications and also allow for peaks and valleys in application volume to be handled without the need to reduce staffing levels in the future. The total recurring cost is approximately \$655,976.

NOTE: Due to the nature of the positions, long-term use of OPS is unlikely to be an effective solution. The applications being "worked" by staff are those with a Criminal Background Check (CBC) or Credit problem, these transactions are worked by Financial Examiner/Analyst (FEA II's) and above, OPS positions are hired at the FEA I level and would likely be incapable of working the more complicated cases. Additionally, these are positions that require either a college degree, or substantial experience, and the pool of candidates willing to take an OPS position with those qualifications is very small (and usually very short tenured as they take the first CS positions that comes along for the benefits). Short-term OPS is a possibility, and has worked in the past, to offload a portion of the work for the less complicated transactions but these hires have traditionally been seeking full-time positions and usually have a short tenure.

ISSUE SUMMARY:

This request is for seven (7) FTE and approximately \$655,976 in associated budget authority (Salaries, Expense, and OPS) for the Division of Consumer Finance - Bureau of Registration to address workload issues associated with increased application volumes.

Detail of Costs:

Quantity	Description	Amount	Non-Recurring
7	Add Salaries and Benefits	\$529,942	\$0

	COL A03 AGY REQUEST FY 2022-23 POS	COL A04 AGY REQ N/R FY 2022-23 POS	COL A05 AG REQ ANZ FY 2022-23 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
WORKLOAD							3000000
STAFFING/WORKLOAD INCREASES -							
OFFICE OF FINANCIAL REGULATION -							
CONSUMER FINANCE - BUREAU OF							
REGISTRATION							3005340
Expenses		\$76,034			\$31,444		
OPS		\$50,000			\$0		
Issue Total		\$655,976			\$31,444		

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
NEW POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
N0100 001	1.00	46,000		28,352	74,352	0.00	74,352
N0101 001	1.00	46,000		28,352	74,352	0.00	74,352
N0102 001	1.00	46,000		28,352	74,352	0.00	74,352
N0103 001	1.00	46,000		28,352	74,352	0.00	74,352
N0104 001	1.00	46,000		28,352	74,352	0.00	74,352
N0105 001	1.00	46,000		28,352	74,352	0.00	74,352
1566 FINANCIAL SPECIALIST							
N0106 001	1.00	54,000		29,830	83,830	0.00	83,830
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							529,942
	7.00	330,000		199,942	529,942		529,942

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	92.00			
TRUST FUNDS.....	11,740,956	31,444		2000
SALARY RATE.....	5,147,973			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,087,748			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	79.00	5,846,354		2573 1
OTHER PERSONAL SERVICES				030000
ANTI-FRAUD TRUST FUND -STATE	32,538			2038 1
REGULATORY TRUST FUND -STATE	4,466			2573 1
TOTAL APPRO.....	37,004			
EXPENSES				040000
ANTI-FRAUD TRUST FUND -STATE	62,885			2038 1
REGULATORY TRUST FUND -STATE	652,223			2573 1
TOTAL APPRO.....	715,108			
OPERATING CAPITAL OUTLAY				060000
ANTI-FRAUD TRUST FUND -STATE	24,528			2038 1
REGULATORY TRUST FUND -STATE	4,566			2573 1
TOTAL APPRO.....	29,094			
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ANTI-FRAUD TRUST FUND -STATE	80,049			2038 1
REGULATORY TRUST FUND -STATE	349,500			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	429,549			
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	25,996			2573 1
LEASE/PURCHASE/EQUIPMENT				105281
REGULATORY TRUST FUND -STATE	27,253			2573 1
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	27,855			2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	79.00			
TOTAL ISSUE.....	7,138,213			
TOTAL SALARY RATE.....	4,087,748			
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
SALARY RATE				000000
SALARY RATE.....	4,021			
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	4,765			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
SALARY INCREASES FOR FY 2021-22 -				
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				1001030
OTHER PERSONAL SERVICES				030000
ANTI-FRAUD TRUST FUND -STATE	336			2038 1
REGULATORY TRUST FUND -STATE	46			2573 1
TOTAL APPRO.....	382			
TOTAL: SALARY INCREASES FOR FY 2021-22 -				1001030
STATE EMPLOYEE MINIMUM WAGE				
INCREASE - EFFECTIVE 7/1/2021				
TOTAL ISSUE.....	5,147			
TOTAL SALARY RATE.....	4,021			
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT - FY 2021-22 - NORMAL				
COST AND UNFUNDED ACTUARIAL				
LIABILITY				1001070
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	33,357			2573 1
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND -STATE	3,661			2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND -STATE	2,328-			2573 1
=====				
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70
SALARY RATE				000000
SALARY RATE.....	2,821,678			
=====				
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND -STATE	53.00			
REGULATORY TRUST FUND -STATE	4,158,567			2573 1
=====				
TOTAL: OFFICE OF FINANCIAL REGULATION -				3003A70
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				
TOTAL POSITIONS.....	53.00			
TOTAL ISSUE.....	4,158,567			
TOTAL SALARY RATE.....	2,821,678			
=====				

AGENCY ISSUE NARRATIVE:
 2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's 3 regulatory divisions have direct and primary regulatory oversight of 17 separate statutes, issues charters, licenses, and registrations of 42 different financial services licenses, for approximately 447,669 different entities.

Division of Consumer Finance:

- Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
- Chapter 516, Florida Statutes - Consumer Finance Act
- Chapter 520, Florida Statutes - Retail Installment Sales
- Chapter 537, Florida Statutes - Title Loan Companies
- Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
- Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
- Chapter 559.952, Florida Statutes - Financial Technology Sandbox
- Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

- Chapter 655, Florida Statutes - Financial Institutions Generally
- Chapter 657, Florida Statutes - Credit Unions
- Chapter 658, Florida Statutes - Banks and Trust Companies
- Chapter 660, Florida Statutes - Trust Business
- Chapter 662, Florida Statutes - Family Trust Companies
- Chapter 663, Florida Statutes - International Banking
- Chapter 665, Florida Statutes - Capital Stock Associations

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units have 304 total FTE, of which approximately 208 (68.4%) are non-managerial, non-administrative, employees with a direct role in the registration, examination, and investigation of entities in the financial services delivered to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics. Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through the Conference of State Bank Supervisors shows that in 2020 Florida ranked near the bottom (26 of 29 states surveyed) in Average Annual Salary for examiners, with the average of those states being \$13,000 higher than the Florida average. At the Federal level, the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500.

Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement, five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
<u>PUBLIC PROTECTION</u>				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - ADD				3003A70

This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A90.

Detail of Costs:

SALARIES and BENEFITS:

Quantity	Description	Amount	Non-Recurring
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53	Add Salaries and Benefits	\$4,158,567	\$0
(53)	Deduct Salaries and Benefits	(\$3,706,621)	\$0

Issue Total \$451,946 \$0

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

1554 FINANCIAL EXAMINER/ANALYST I

01644 001	1.00	36,000		15,854	51,854	0.00	51,854
04168 001	1.00	36,000		15,854	51,854	0.00	51,854
04661 001	1.00	36,000		26,505	62,505	0.00	62,505

1564 FINANCIAL EXAMINER/ANALYST II

01745 001	1.00	46,000		17,701	63,701	0.00	63,701
01939 001	1.00	46,000		28,352	74,352	0.00	74,352
04280 001	1.00	46,000		28,352	74,352	0.00	74,352
04476 001	1.00	46,000		28,352	74,352	0.00	74,352
04546 001	1.00	46,000		28,352	74,352	0.00	74,352
04642 001	1.00	46,000		17,701	63,701	0.00	63,701
04655 001	1.00	46,000		28,309	74,309	0.00	74,309
04656 001	1.00	46,000	1,270	28,544	75,814	0.00	75,814
04673 001	1.00	46,000		28,352	74,352	0.00	74,352
04741 001	1.00	46,000		17,701	63,701	0.00	63,701
04772 001	1.00	46,000	646	28,428	75,074	0.00	75,074
04851 001	1.00	46,000		28,352	74,352	0.00	74,352
04852 001	1.00	46,000	1,270	28,587	75,857	0.00	75,857
04857 001	1.00	46,000		28,309	74,309	0.00	74,309
04873 001	1.00	46,000		28,352	74,352	0.00	74,352

1566 FINANCIAL SPECIALIST

01876 001	1.00	54,000		29,830	83,830	0.00	83,830
01941 001	1.00	54,000	1,270	30,064	85,334	0.00	85,334
04028 001	1.00	54,000	1,270	30,064	85,334	0.00	85,334
04051 001	1.00	54,000		29,830	83,830	0.00	83,830
04054 001	1.00	54,000		29,830	83,830	0.00	83,830
04253 001	1.00	54,000	1,270	19,413	74,683	0.00	74,683
04324 001	1.00	54,000	646	19,298	73,944	0.00	73,944

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04438 001	1.00	54,000	646	19,298	73,944	0.00	73,944
04441 001	1.00	54,000		20,823	74,823	0.00	74,823
04495 001	1.00	54,000		23,240	77,240	0.00	77,240
04529 001	1.00	54,000	646	29,906	84,552	0.00	84,552
04643 001	1.00	54,000		29,830	83,830	0.00	83,830
04658 001	1.00	54,000		19,179	73,179	0.00	73,179
04664 001	1.00	54,000	1,270	19,413	74,683	0.00	74,683
04669 001	1.00	54,000		29,787	83,787	0.00	83,787
04675 001	1.00	54,000		19,179	73,179	0.00	73,179
04771 001	1.00	54,000	1,270	30,021	85,291	0.00	85,291
04862 001	1.00	54,000	1,270	30,064	85,334	0.00	85,334
04871 001	1.00	54,000		19,179	73,179	0.00	73,179
04905 001	1.00	54,000		29,830	83,830	0.00	83,830
04916 001	1.00	54,000	1,270	30,064	85,334	0.00	85,334
1567 FINANCIAL CONTROL ANALYST							
01746 001	1.00	62,000	1,270	20,891	84,161	0.00	84,161
01747 001	1.00	62,000		31,307	93,307	0.00	93,307
01878 001	1.00	62,000		31,307	93,307	0.00	93,307
01879 001	1.00	62,000	1,270	20,891	84,161	0.00	84,161
01938 001	1.00	62,000	646	20,775	83,421	0.00	83,421
01940 001	1.00	62,000	1,270	31,542	94,812	0.00	94,812
04286 001	1.00	62,000		11,494	73,494	0.00	73,494
04310 001	1.00	62,000	646	31,426	94,072	0.00	94,072
04385 001	1.00	62,000	1,270	31,542	94,812	0.00	94,812
04409 001	1.00	62,000		31,264	93,264	0.00	93,264
04652 001	1.00	62,000	646	11,613	74,259	0.00	74,259
04663 001	1.00	62,000		31,307	93,307	0.00	93,307
04672 001	1.00	62,000		20,656	82,656	0.00	82,656

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - ADD						3003A70

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
04803 001	1.00	62,000	646	20,775	83,421	0.00	83,421
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							4,158,567
	53.00	2,800,000	21,678	1,336,889	4,158,567		4,158,567

OFFICE OF FINANCIAL REGULATION -							
RECRUITMENT AND RETENTION FOR							
EXAMINERS, ANALYSTS AND							
INVESTIGATORS - DEDUCT							3003A90
SALARY RATE							000000
SALARY RATE.....	2,512,188-						
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE	53.00-						
	3,706,621-						2573 1
TOTAL: OFFICE OF FINANCIAL REGULATION -							3003A90
RECRUITMENT AND RETENTION FOR							
EXAMINERS, ANALYSTS AND							
INVESTIGATORS - DEDUCT							
TOTAL POSITIONS.....	53.00-						
TOTAL ISSUE.....	3,706,621-						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90
TOTAL SALARY RATE.....	2,512,188-			
=====				

AGENCY ISSUE NARRATIVE:

2022-2023 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

OFFICE OF FINANCIAL REGULATION - RECRUITMENT AND RETENTION FOR EXAMINERS, ANALYSTS AND INVESTIGATORS - DEDUCT

This request will improve the Office's ability to reach the following goals:

- GOAL #2: Delivering value to businesses;
- GOAL #3: Promoting a safe and sound financial marketplace;
- GOAL #4: Improving customer service.

The Florida Office of Financial Regulation (OFR or Office) is statutorily responsible for the regulation of the financial services industry in Florida. Florida's diverse economy, proximity to Latin America, and booming population have resulted in an equally diverse and complex financial services sector. The Office regulates a complex variety of depository and non-depository financial institutions as outlined below. Additionally, the Office has a bureau dedicated to the investigation and prosecution of financial crimes and unlicensed activity. The OFR's 3 regulatory divisions have direct and primary regulatory oversight of 17 separate statutes, issues charters, licenses, and registrations of 42 different financial services licenses, for approximately 447,669 different entities.

Division of Consumer Finance:
 Chapter 494, Florida Statutes - Loan Originators and Mortgage Brokers
 Chapter 516, Florida Statutes - Consumer Finance Act
 Chapter 520, Florida Statutes - Retail Installment Sales

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

Chapter 537, Florida Statutes - Title Loan Companies
 Chapter 559-Part V, Florida Statutes - Commercial Collection Practices
 Chapter 559-Part VI, Florida Statutes - Consumer Collection Practices
 Chapter 559.952, Florida Statutes - Financial Technology Sandbox
 Chapter 560, Florida Statutes - Money Services Businesses

Division of Financial Institutions:

Chapter 655, Florida Statutes - Financial Institutions Generally
 Chapter 657, Florida Statutes - Credit Unions
 Chapter 658, Florida Statutes - Banks and Trust Companies
 Chapter 660, Florida Statutes - Trust Business
 Chapter 662, Florida Statutes - Family Trust Companies
 Chapter 663, Florida Statutes - International Banking
 Chapter 665, Florida Statutes - Capital Stock Associations
 Chapter 667, Florida Statutes - Savings Banks

Division of Securities:

Chapter 517, Florida Statutes - Securities and Investor Protection Act

These four business units have 304 total FTE, of which approximately 208 (68.4%) are non-managerial, non-administrative, employees with a direct role in the registration, examination, and investigation of entities in the financial services delivered to Floridians. These highly trained analysts, examiners, and investigators review applications and perform examinations on more than 425,000 regulated entities and handle complaints and investigations on other unlicensed entity activity impacting Florida's citizens. All of these work units have a middle-management layer who are "working managers." These approximately twenty-six (26) supervisors and managers all process and review applications, participate in exams, and/or perform investigations as a normal part of their daily activities in addition to their supervisory duties related to human resources (hiring, performance evaluation, and monitoring of workload and work-quality). In considering the overall number of employees performing the day-to-day regulatory functions of the Office, 239 of 304 (78.6%) are regulatory.

Training for each division is provided through a combination of peer-lead training, online classes, national training partners, Federal regulator partners, and in-service learning. Training opportunities ranging from a few hours to master an individual topic to week-long intensive courses covering a range of examination and investigative functions are provided to each staff member from the time they start with the agency. The initial training schedule for each area varies but attaining a minimum level of effectiveness can take as few as six months and as long as three years. During this time the employee devotes as much as 2,064 hours (over three years) to training activities. When combined with the

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

hard costs of training (travel and course fees), the Office can invest more than \$68,600 per employee in the first three years of employment.

In most instances, the financial services and businesses regulated by the OFR are also regulated at the Federal level and almost every other state having similar demographics. Florida's regulators regularly work side-by-side with their Federal and State counterparts, doing the same work, and very often for considerably lower compensation. Data available through the Conference of State Bank Supervisors shows that in 2020 Florida ranked near the bottom (26 of 29 states surveyed) in Average Annual Salary for examiners, with the average of those states being \$13,000 higher than the Florida average. At the Federal level, the disparity is even higher, with the average actual salary for Florida lagging behind its Federal counterparts by more than \$57,500.

Regardless of which segment of the financial services industry being examined the training received by Florida's financial regulatory staff is considered to be the highest quality and most comprehensive anywhere in the country. As a result, Florida's financial regulatory staff has consistently been hired away from the OFR by the private sector and our Federal regulatory counterparts. According to PeopleFirst records, from 2016 to 2020, 263 employees have voluntarily separated from the OFR for the reason of outside employment, of those 165 have been direct regulatory staff. This represents an average of just over 52.5 employees per year overall and 33 per year of regulatory staff. The data also shows that the average tenure of our examinations staff is between 34 and 42 months, over the four business areas. This tenure timeframe directly corresponds to the completion of the advanced training required to fully participate in all aspects of the more complex exams and investigations.

The Office has identified the class codes that are the responsible for the vast majority of the work product associated with examinations, registrations, and investigations. These class codes are:

- Financial Examiner/Analyst I (FEA I)
- Financial Examiner/Analyst II (FEA II)
- Financial Specialist (FS)
- Financial Control Analyst (FCA)
- Financial Investigator
- Senior Management Analyst II
- Senior Financial Investigator
- Financial Investigator - Criminal Enforcement
- Financial Investigator - Economic Crimes

The Office has eight (8) FEA I, forty-six (46) FEA II, thirty-seven (37) FS, and seventy-four (74) FCA positions, two (2) Financial Investigator, Senior Management Analyst II (1), fourteen (14) Financial Investigator - Criminal Enforcement,

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
OFFICE OF FINANCIAL REGULATION -				
RECRUITMENT AND RETENTION FOR				
EXAMINERS, ANALYSTS AND				
INVESTIGATORS - DEDUCT				3003A90

five (5) Financial Investigator - Economic Crimes, and ten (10) Senior Investigator positions. These one-hundred and ninety-seven (197) positions are divided between four (4) business units: sixty-one (61) in the Division of Financial Institutions; fifty-seven (57) in the Division of Consumer Finance; forty-eight (48) in the Division of Securities; and, thirty-one (31) in the Bureau of Financial Investigations.

The OFR management recognizes that each business area has different competitive pressures for its employees. As a result, the salary needs are also different. The Division of Consumer Finance and the Division of Securities have very similar salary pressures, while the Division of Financial Institutions has substantially more pressure from both their State and Federal regulatory counterparts and the private sector, while the Bureau of Investigations competes not only with regulatory entities, but with civil and criminal investigative entities as well. As a result of these different factors, the average compensation proposed in each area is also different.

The Office is seeking approximately \$2.3M in order to stem the tide of attrition, recruit high-quality employees, and to have sufficient overall budget authority to ensure our ability to meet future payroll obligations when fully staffed. This additional budget authority, combined with the reclassifications of select positions, will allow the office to better compete for employees and retain them longer. This is identical in concept to the issues approved for Risk Management and State Fire Marshal in the last legislative session.

ISSUE SUMMARY:

\$2.307M in additional Salaries and Benefits to be used by Financial Institutions, Securities, Consumer Finance, and Financial Investigations. This issue does not include additional FTE and instead proposes that each position would be dropped at current salary and added back at desired average salary of the class. The result of the DROP and ADD issues is a net increase in Salaries and Benefits budget authority with no additional FTE.

This issue is linked to issue 3003A70.

Detail of Costs:

SALARIES and BENEFITS:

Quantity	Description	Amount	Non-Recurring
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COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AG REQ ANZ				
FY 2022-23	FY 2022-23	FY 2022-23				
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PGM: FINANCIAL SVCS COMM 43900000
 OFFICE OF FINANCIAL REG 43900500
 SECURITIES REGULATION 43900570
PUBLIC PROTECTION 12
REGULATION AND LICENSING 1204.00.00.00
 WORKLOAD 3000000
 OFFICE OF FINANCIAL REGULATION -
 RECRUITMENT AND RETENTION FOR
 EXAMINERS, ANALYSTS AND
 INVESTIGATORS - DEDUCT 3003A90

53	Add Salaries and Benefits	\$4,158,567	\$0
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(53)	Deduct Salaries and Benefits	(\$3,706,621)	\$0
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Issue Total \$451,946 \$0

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

1554 FINANCIAL EXAMINER/ANALYST I

01644 001	1.00-	33,500-	15,393-	48,893-	0.00	48,893-
04168 001	1.00-	35,000-	15,670-	50,670-	0.00	50,670-
04661 001	1.00-	33,697-	26,080-	59,777-	0.00	59,777-

1564 FINANCIAL EXAMINER/ANALYST II

01745 001	1.00-	41,200-	16,815-	58,015-	0.00	58,015-
01939 001	1.00-	39,820-	27,211-	67,031-	0.00	67,031-
04280 001	1.00-	41,200-	27,466-	68,666-	0.00	68,666-
04476 001	1.00-	46,001-	28,352-	74,353-	0.00	74,353-
04546 001	1.00-	41,200-	27,466-	68,666-	0.00	68,666-
04642 001	1.00-	41,200-	16,815-	58,015-	0.00	58,015-
04655 001	1.00-	38,660-	16,302-	54,962-	0.00	54,962-
04656 001	1.00-	38,660-	1,270-	56,467-	0.00	56,467-
04673 001	1.00-	40,000-	27,244-	67,244-	0.00	67,244-
04741 001	1.00-	41,000-	16,778-	57,778-	0.00	57,778-

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>SECURITIES REGULATION</u>						43900570
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2022-23

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

04772 001	1.00-	38,660-	646-	16,422-	55,728-	0.00	55,728-
04851 001	1.00-	42,000-		27,613-	69,613-	0.00	69,613-
04852 001	1.00-	42,230-	1,270-	27,891-	71,391-	0.00	71,391-
04857 001	1.00-	38,660-		16,302-	54,962-	0.00	54,962-
04873 001	1.00-	42,807-		27,763-	70,570-	0.00	70,570-
1566 FINANCIAL SPECIALIST							
01876 001	1.00-	47,903-		28,704-	76,607-	0.00	76,607-
01941 001	1.00-	47,387-	1,270-	28,843-	77,500-	0.00	77,500-
04028 001	1.00-	44,813-	1,270-	28,367-	74,450-	0.00	74,450-
04051 001	1.00-	46,350-		28,417-	74,767-	0.00	74,767-
04054 001	1.00-	46,350-		28,417-	74,767-	0.00	74,767-
04253 001	1.00-	44,812-	1,270-	17,716-	63,798-	0.00	63,798-
04324 001	1.00-	46,357-	646-	17,887-	64,890-	0.00	64,890-
04438 001	1.00-	48,700-	646-	18,319-	67,665-	0.00	67,665-
04441 001	1.00-	47,387-		19,601-	66,988-	0.00	66,988-
04495 001	1.00-	52,765-		22,919-	75,684-	0.00	75,684-
04529 001	1.00-	43,507-	646-	17,317-	61,470-	0.00	61,470-
04643 001	1.00-	47,895-		28,702-	76,597-	0.00	76,597-
04658 001	1.00-	48,933-		18,243-	67,176-	0.00	67,176-
04664 001	1.00-	46,350-	1,270-	18,000-	65,620-	0.00	65,620-
04669 001	1.00-	43,507-		17,197-	60,704-	0.00	60,704-
04675 001	1.00-	44,813-		17,482-	62,295-	0.00	62,295-
04771 001	1.00-	43,507-	1,270-	17,432-	62,209-	0.00	62,209-
04862 001	1.00-	50,000-	1,270-	29,325-	80,595-	0.00	80,595-
04871 001	1.00-	44,813-		17,482-	62,295-	0.00	62,295-
04905 001	1.00-	56,650-		30,320-	86,970-	0.00	86,970-
04916 001	1.00-	44,813-	1,270-	28,367-	74,450-	0.00	74,450-

1567 FINANCIAL CONTROL ANALYST

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2022-23		FY 2022-23		FY 2022-23		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SECURITIES REGULATION						43900570
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
OFFICE OF FINANCIAL REGULATION -						
RECRUITMENT AND RETENTION FOR						
EXAMINERS, ANALYSTS AND						
INVESTIGATORS - DEDUCT						3003A90

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2022-23							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
01746 001	1.00-	53,559-	1,270-	19,331-	74,160-	0.00	74,160-
01747 001	1.00-	70,041-		32,792-	102,833-	0.00	102,833-
01878 001	1.00-	51,500-		29,368-	80,868-	0.00	80,868-
01879 001	1.00-	48,803-	1,270-	18,454-	68,527-	0.00	68,527-
01938 001	1.00-	52,788-	646-	19,075-	72,509-	0.00	72,509-
01940 001	1.00-	51,500-	1,270-	29,603-	82,373-	0.00	82,373-
04286 001	1.00-	55,620-		10,316-	65,936-	0.00	65,936-
04310 001	1.00-	61,357-	646-	31,308-	93,311-	0.00	93,311-
04385 001	1.00-	66,940-	1,270-	32,454-	100,664-	0.00	100,664-
04409 001	1.00-	46,381-		17,728-	64,109-	0.00	64,109-
04652 001	1.00-	56,000-	646-	10,505-	67,151-	0.00	67,151-
04663 001	1.00-	59,983-		30,935-	90,918-	0.00	90,918-
04672 001	1.00-	56,931-		19,720-	76,651-	0.00	76,651-
04803 001	1.00-	56,000-	646-	19,667-	76,313-	0.00	76,313-
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							
	53.00-	2,490,510-	21,678-	1,194,433-	3,706,621-		3,706,621-

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2022-23	FY 2022-23	FY 2022-23	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	79.00			
TRUST FUNDS.....		7,629,996		2000
SALARY RATE.....	4,401,259			
	=====	=====	=====	

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* BPEADL01                                STATISTICAL INFORMATION                                09/15/2021 10:55:54 *
* BUDGET PERIOD: 2009-2023                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 09/16/2015                COMPILE TIME: 09:40:41                                PAGE:      1      *
*****
*                                     SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: ED3A
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
* ITEMIZATION OF EXPENDITURE:                IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7:          LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED):
*   5
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
*
* FUND GROUPS SET:          OR FUND:                FUNDING SOURCE IDENTIFIER:                MERGE FSI (Y/N): N
* FCO (Y/N): Y          FTE (Y/N): Y                SALARY RATE (Y/N): Y
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED):
*   3
*
* REPORT OPTION: 1          COLUMN SELECTION: A03          A04          A05          CODES
* 1=EAD REPORT
* 2=SCHEDULE IV/IT ISSUES          REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N  THAT EXCEED:
* 3=STATEWIDE ISSUES
* 4=SCHEDULE VIIIA ISSUES
* SCHEDULE VIIIA ISSUES SPREADSHEET (Y/N): N
*
* LEVELS OF TOTALS:  (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: N          ITEM OF EXP: N          GROUP: N          DEPARTMENT: N          DIVISION: N          BUREAU: N
* SUB-BUREAU: N          LBE: T          POLICY AREA: N          PROG COMP: T          D3A SUM ISSUE: N          D3A DETAIL ISSUE: L
* MAJOR APP CAT: N          MINOR APP CAT: D
*
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)          REPORT SEQUENCE: DEPT/BUDGET ENTITY: N  A=ALPHABETICAL
*                                     PROGRAM COMPONENT: N  N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:                PROGRAM COMPONENT NARRATIVE (Y/N): N
*
* ISSUE/ACTIVITY NARRATIVE SET: A1          PRIORITY ISSUE NARRATIVE SET (1-9):
*
* INCLUDE POSITION DATA (Y/N): Y
*
* INCLUDE COLUMN CODES (Y/N): Y
*
* OUTPUT FORMAT: L          PAGE BREAKS: LBE  PRC
* L=LANDSCAPE                (IOE, GRP, DEP, DIV,          REPORT HEADING:                EXHIBIT D-3A
* P=PORTRAIT                BUR, SUB, LBE, PRC,          EXPENDITURES BY
*                                     SIS, ISC)                ISSUE AND APPROPRIATION CATEGORY
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* BPEADL01                               STATISTICAL INFORMATION                09/15/2021 10:55:54 *
* BUDGET PERIOD: 2009-2023              EXHIBIT A, D AND D-3A LIST REQUEST        TJM 43      SP      *
* COMPILE DATE: 09/16/2015              COMPILE TIME: 09:40:41                    PAGE:      2      *
*****
*
* TOTAL RECORDS READ FROM SORT:          709
* TOTAL RECORDS READ FROM CARD:          43
* TOTAL PAF RECORDS READ:                458
* TOTAL OAF RECORDS READ:                10
* TOTAL IEF RECORDS READ:                0
* TOTAL BGF RECORDS READ:                0
* TOTAL BEF RECORDS READ:                69
* TOTAL PCF RECORDS READ:                64
* TOTAL ICF RECORDS READ:                315
* TOTAL INF RECORDS READ:                3,423
* TOTAL ACF RECORDS READ:                75
* TOTAL FCF RECORDS READ:                15
* TOTAL FSF RECORDS READ:                10
* TOTAL PCN RECORDS READ:                0
* TOTAL BEN RECORDS READ:                0
* TOTAL DPC RECORDS READ:                108
* TOTAL RECORDS IN ERROR:                0
*
*****
*
* BUDGET ENTITIES SELECTED:
*   1-9: 43
*  10-18:
*  19-27:
*
*****

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* PLBRLP01
* BUDGET PERIOD: 2009-2023

STATISTICAL INFORMATION
LEGISLATIVE BUDGET REQUEST (L.B.R.)

09/15/2021 10:55 *
TJM 43 SP 14 *
PAGE: 1 *

SAVE INITIALS: TJM SAVE DEPARTMENT: 43 SAVE ID: LAST

* BUDGET ENTITY OR GROUP: _____ PROGRAM COMPONENT: _____

* SELECT THOSE EXHIBITS	<u>N</u>	EXHIBIT A	COLUMNS:	<u>A03</u>	<u>A04</u>	<u>A05</u>	_____	_____	<u>CODES</u>
* AND SCHEDULES YOU	<u>N</u>	EXHIBIT B		<u>A01</u>	<u>A02</u>	<u>A03</u>	_____	_____	<u>CODES</u>
* WISH TO ORDER (Y/N):	<u>N</u>	EXHIBIT D		<u>A03</u>	<u>A04</u>	<u>A05</u>	_____	_____	<u>CODES</u>
	<u>N</u>	EXHIBIT D-1		_____	<u>A01</u>	_____	_____	_____	<u>CODES</u>
	<u>Y</u>	EXHIBIT D-3A		<u>A03</u>	<u>A04</u>	<u>A05</u>	_____	_____	<u>CODES</u>
	<u>N</u>	SCHEDULE I		<u>A01</u>	<u>A02</u>	<u>A03</u>	<u>A04</u>	_____	_____
	<u>N</u>	SCHEDULE I		<u>A01</u>	<u>A02</u>	<u>A03</u>	<u>A04</u>	_____	_____
	<u>N</u>	SCHEDULE II	BDF:	<u>A03</u>	_____	_____	_____	CURRENT POSITION: <u>P01</u>	_____
	<u>N</u>	SCHEDULE III	BDF:	<u>A03</u>	_____	_____	_____	CURRENT POSITION: <u>P01</u>	_____
	<u>N</u>	SCHEDULE IV		<u>A03</u>	<u>A04</u>	<u>A05</u>	_____	_____	<u>CODES</u>
	<u>N</u>	SCHEDULE VIIIA		_____	_____	<u>A03</u>	_____	_____	<u>CODES</u>
	<u>N</u>	SCHEDULE VIIIB-1		_____	_____	_____	_____	_____	_____
	<u>N</u>	SCHEDULE VIIIB-2		<u>A10</u>	_____	_____	_____	_____	<u>CODES</u>
	<u>N</u>	SCHEDULE VIIIC		<u>A94</u>	<u>A95</u>	<u>A96</u>	_____	_____	<u>CODES</u>
	<u>N</u>	SCHEDULE XI	TOTAL ALL FUNDS:	_____	_____	_____	JUNE 30 LEDGER: _____	ACTIVITY: _____	REVERSION: _____

ACTIVITY ISSUE CODE OR GROUP:
TRANSFER-STATE AGENCIES:
AID TO LOCAL GOVERNMENT:

* SELECT THOSE AUDIT	<u>N</u>	EXHIBIT D-1 AUDIT	COLUMNS:	_____	<u>A01</u>	_____	_____	_____	_____
* REPORTS YOU WISH	<u>N</u>	SCHEDULE I AUDIT		<u>A01</u>	<u>A02</u>	<u>A03</u>	_____	_____	_____
* TO ORDER (Y/N):	<u>N</u>	SCHEDULE I DEPT AUDIT		<u>A01</u>	<u>A02</u>	<u>A03</u>	_____	_____	_____
	<u>N</u>	COLUMN SECURITY LISTING		<u>A01</u>	<u>A02</u>	<u>A03</u>	_____	_____	_____
	<u>N</u>	NEGATIVE APPROPRIATION CATEGORY		<u>A03</u>	<u>A04</u>	<u>A03-A04</u>	_____	_____	<u>CODES</u>
	<u>N</u>	BASE RATE AUDIT		<u>A03</u>	_____	_____	_____	_____	_____
	<u>N</u>	EXH B - FLAIR EXP/APP LEDGER COMPARISON		<u>A01</u>	<u>B04</u>	<u>B04-A01</u>	_____	_____	<u>CODES</u>
	<u>N</u>	EXH B - CURR YR EST VERIFICATION		_____	<u>B07-A02</u>	_____	_____	_____	<u>CODES</u>
	<u>N</u>	EXH B - COLUMN FLOAT VERIFICATION		<u>A03</u>	<u>A12</u>	<u>A03-A12</u>	_____	_____	<u>CODES</u>
	<u>N</u>	EXH B - ACT PR YR/ST ACCT + APPRVD CF		_____	<u>A01</u>	<u>B08</u>	<u>B08-A01</u>	<u>CODES</u>	_____
	<u>N</u>	FUNDING SOURCE IDENTIFIER AUDIT		<u>A03</u>	<u>A04</u>	<u>A05</u>	_____	_____	<u>CODES</u>
	<u>N</u>	COMPARE ACTIVITY TO BUDGET		_____	_____	_____	_____	_____	_____

* BUDGET ENTITIES SELECTED:

* 1-9:	<u>43</u>	_____	_____	_____	_____	_____	_____	_____	_____
* 10-18:	_____	_____	_____	_____	_____	_____	_____	_____	_____
* 19-27:	_____	_____	_____	_____	_____	_____	_____	_____	_____

*** END OF REPORT ***
