

BUDGET ENTITY	D3A ISSUE CODE	COLUMN NUMBERS	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2019-20	AGY AMD REQ FY 2019-20	AGY AMD N/R FY 2019-20	AGY AMD ANZ FY 2019-20	AGY AMD REQ FY 2019-20	AGY FIN REQ FY 2019-20	OVER(UNDER)	AGY FIN REQ FY 2019-20	AGY AMD REQ FY 2019-20		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
SFTY & SOUND ST BKG SYST										43900530
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR										
TRANSFER OF BUDGET AND POSITIONS										
WITHIN THE OFFICE OF FINANCIAL										
REGULATION - DEDUCT										1600910
SALARIES AND BENEFITS										010000
		1.00-			67,918-			1.00-		
FINANCIAL INST REG TF	-STATE								67,918-	2275 1

AGENCY ISSUE NARRATIVE:

2019-2020 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2019-20 Narrative after February 5, 2019

Budget Amendment EOG Log Number: B0297

The Office of Financial Regulation, Division of Financial Institutions, is requesting the transfer of position 43004254, Financial Examiner/Analyst Supervisor - SES, to the Office of Executive Direction. This position will be reclassified to a Senior Management Analyst II- SES, and will serve as the agency's recruiting/hiring liaison. OFR employees work in highly-specialized areas, which require certain technical skillsets, industry knowledge and significant training. This position will identify those individuals, and will work closely with all business units and the Resource Management Administrator in the overall employment selection process. The goal in creating this position is to attract and retain talent and mitigate turnover.

This amendment requests the transfer of position number 43004254 between budget entities. Specifically, the Office of Financial Regulation requests the transfer of a Financial Examiner Analyst Supervisor (FEAS), and associated salaries and benefits from the Division of Financial Institutions to Executive Direction. This request will transfer \$46,381 in salary rate and \$66,160 in salaries and benefits budget authority from the Division of Financial Institutions budget entity (43900530), Financial Institutions Trust Fund to the Executive Direction budget entity (43900550), Administrative Trust Fund-OFR.

This issue is for the addition (Issue Code 1600920) to Executive Direction. Issue Code 1600910 deducts the position and budget authority from the Division of Financial Institutions.

Note: The Budget Amendment total is different due to the increase in retirement and health insurance adjustments updated in LAS/PBS for FY19-20.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2019-20	POS AMOUNT	AGY AMD REQ FY 2019-20	POS AMOUNT	AGY AMD N/R FY 2019-20	POS AMOUNT	AGY AMD ANZ FY 2019-20	POS AMOUNT	AGY AMD REQ FY 2019-20 OVER(UNDER)	AGY FIN REQ FY 2019-20	

FINANCIAL SERVICES 43000000
 PGM: FINANCIAL SVCS COMM 43900000
 OFFICE OF FINANCIAL REG 43900500
 SFTY & SOUND ST BKG SYST 43900530
PUBLIC PROTECTION 12
REGULATION AND LICENSING 1204.00.00.00
 ADJUSTMENTS TO CURRENT YEAR
 ESTIMATED EXPENDITURES 1600000
 RE-APPROVAL OF BUDGET AMENDMENT FOR
 TRANSFER OF BUDGET AND POSITIONS
 WITHIN THE OFFICE OF FINANCIAL
 REGULATION - DEDUCT 1600910

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2019-20						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
1575	FINANCIAL EXAMINER/ANALYST SUPV - SES					
04254 001	1.00-	46,381-		21,537-	67,918- 0.00	67,918-
TOTALS FOR ISSUE BY FUND						
2275	FINANCIAL INST REG TF					67,918-
1.00-	46,381-		21,537-	67,918-		67,918-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2019-20	AGY AMD REQ FY 2019-20	AGY AMD N/R FY 2019-20	AGY AMD ANZ FY 2019-20	AGY AMD REQ FY 2019-20	AGY FIN REQ FY 2019-20	OVER(UNDER)	AGY FIN REQ FY 2019-20	AGY AMD REQ FY 2019-20		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
EXEC DIR & SUPPORT SERVICE										43900550
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
ADJUSTMENTS TO CURRENT YEAR										
ESTIMATED EXPENDITURES										1600000
RE-APPROVAL OF BUDGET AMENDMENT FOR										
TRANSFER OF BUDGET AND POSITIONS										
WITHIN THE OFFICE OF FINANCIAL										
REGULATION - ADD										1600920
SALARIES AND BENEFITS										010000
		1.00				1.00				
ADMINISTRATIVE TRUST FUND -STATE										67,918
			67,918					67,918		2021 1

AGENCY ISSUE NARRATIVE:

2019-2020 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Amended 2019-20 Narrative after February 5, 2019.

Budget Amendment EOG Log Number: B0297

The Office of Financial Regulation, Division of Financial Institutions, is requesting the transfer of position 43004254, Financial Examiner/Analyst Supervisor - SES, to the Office of Executive Direction. This position will be reclassified to a Senior Management Analyst II- SES, and will serve as the agency's recruiting/hiring liaison. OFR employees work in highly-specialized areas, which require certain technical skillsets, industry knowledge and significant training. This position will identify those individuals, and will work closely with all business units and the Resource Management Administrator in the overall employment selection process. The goal in creating this position is to attract and retain talent and mitigate turnover.

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Note: The Budget Amendment total is different due to the increase in retirement and health insurance adjustments updated in LAS/PBS for FY19-20.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2019-20	POS AMOUNT	AGY AMD REQ FY 2019-20	POS AMOUNT	AGY AMD N/R FY 2019-20	POS AMOUNT	AGY AMD ANZ FY 2019-20	POS AMOUNT	AGY AMD REQ FY 2019-20 OVER(UNDER)	AGY FIN REQ FY 2019-20	

FINANCIAL SERVICES 43000000
 PGM: FINANCIAL SVCS COMM 43900000
 OFFICE OF FINANCIAL REG 43900500
 EXEC DIR & SUPPORT SERVICE 43900550
PUBLIC PROTECTION 12
REGULATION AND LICENSING 1204.00.00.00
 ADJUSTMENTS TO CURRENT YEAR
 ESTIMATED EXPENDITURES 1600000
 RE-APPROVAL OF BUDGET AMENDMENT FOR
 TRANSFER OF BUDGET AND POSITIONS
 WITHIN THE OFFICE OF FINANCIAL
 REGULATION - ADD 1600920

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2019-20						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
1575	FINANCIAL EXAMINER/ANALYST SUPV - SES					
04254 001	1.00	46,381	21,537	67,918	0.00	67,918
TOTALS FOR ISSUE BY FUND						
2021	ADMINISTRATIVE TRUST FUND					67,918
1.00	46,381		21,537	67,918		67,918

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* BPEADL01                                STATISTICAL INFORMATION                                03/11/2019 10:04:56 *
* BUDGET PERIOD: 2008-2020                EXHIBIT A, D AND D-3A LIST REQUEST                SDF 43      SP      *
* COMPILE DATE: 09/16/2015                COMPILE TIME: 09:40:41                                PAGE:      1      *
*****
*                                     SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: ED3R
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
* ITEMIZATION OF EXPENDITURE:                IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7: OFRXX      LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED):
*   5
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
*
* FUND GROUPS SET:          OR FUND:                FUNDING SOURCE IDENTIFIER:          MERGE FSI (Y/N): N
* FCO (Y/N): Y      FTE (Y/N): Y                SALARY RATE (Y/N): Y
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED):
*   3
*
* REPORT OPTION: 1          COLUMN SELECTION: A12          A14          A15          A16          A14-A12          CODES
* 1=EAD REPORT
* 2=SCHEDULE IV/IT ISSUES          REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): Y  THAT EXCEED:
* 3=STATEWIDE ISSUES
* 4=SCHEDULE VIIIA ISSUES
* SCHEDULE VIIIA ISSUES SPREADSHEET (Y/N): N
*
* LEVELS OF TOTALS: (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: N          ITEM OF EXP: N          GROUP: N          DEPARTMENT: N          DIVISION: N          BUREAU: N
* SUB-BUREAU: N          LBE: T          POLICY AREA: N          PROG COMP: T          D3A SUM ISSUE: N          D3A DETAIL ISSUE: L
* MAJOR APP CAT: N          MINOR APP CAT: D
*
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)          REPORT SEQUENCE: DEPT/BUDGET ENTITY: N  A=ALPHABETICAL
*                                     PROGRAM COMPONENT: N  N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:          PROGRAM COMPONENT NARRATIVE (Y/N): N
*
* ISSUE/ACTIVITY NARRATIVE SET: A1          PRIORITY ISSUE NARRATIVE SET (1-9):
*
* INCLUDE POSITION DATA (Y/N): Y
*
* INCLUDE COLUMN CODES (Y/N): Y
*
* OUTPUT FORMAT: L          PAGE BREAKS: LBE  PRC
* L=LANDSCAPE          (IOE, GRP, DEP, DIV,          REPORT HEADING:          EXHIBIT D-3A
* P=PORTRAIT          BUR, SUB, LBE, PRC,          EXPENDITURES BY
*                                     SIS, ISC)          ISSUE AND APPROPRIATION CATEGORY
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* BPEADL01                               STATISTICAL INFORMATION                03/11/2019 10:04:56 *
* BUDGET PERIOD: 2008-2020              EXHIBIT A, D AND D-3A LIST REQUEST        SDF  43      SP   *
* COMPILE DATE: 09/16/2015              COMPILE TIME: 09:40:41                    PAGE:      2   *
*****
*
* TOTAL RECORDS READ FROM SORT:          96
* TOTAL RECORDS READ FROM CARD:          43
* TOTAL PAF RECORDS READ:                 2
* TOTAL OAF RECORDS READ:                 0
* TOTAL IEF RECORDS READ:                 0
* TOTAL BGF RECORDS READ:                 0
* TOTAL BEF RECORDS READ:                 8
* TOTAL PCF RECORDS READ:                 10
* TOTAL ICF RECORDS READ:                 49
* TOTAL INF RECORDS READ:                 46
* TOTAL ACF RECORDS READ:                 14
* TOTAL FCF RECORDS READ:                 2
* TOTAL FSF RECORDS READ:                 10
* TOTAL PCN RECORDS READ:                 0
* TOTAL BEN RECORDS READ:                 0
* TOTAL DPC RECORDS READ:                 4
* TOTAL RECORDS IN ERROR:                 0
*
*****
*
* BUDGET ENTITIES SELECTED:
*   1-9: 439005
*   10-18:
*   19-27:
*
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