

BPEADL01 LAS/PBS SYSTEM  
BUDGET PERIOD: 2007-2018  
STATE OF FLORIDA

SCHEDULE VIIIIC  
PRIORITY LISTING FOR POSSIBLE  
REPRIORITIZATION FOR REQUEST YEAR

SP 10/14/2016 10:01 PAGE: 1  
ERROR REPORT

BUDGET ENTITY	D3A ISSUE CODE	COLUMN NUMBERS	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFO TECHNOLOGY - FLAIR</u>							43010500
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - DEDUCT							3D00020
SALARY RATE							000000
SALARY RATE.....	4,673,669-						
=====							
SALARIES AND BENEFITS							010000
	88.00-						
GENERAL REVENUE FUND -STATE	5,983,167-						1000 1
=====							
OTHER PERSONAL SERVICES							030000
GENERAL REVENUE FUND -STATE	5,000-						1000 1
=====							
EXPENSES							040000
GENERAL REVENUE FUND -STATE	1,219,429-						1000 1
=====							
OPERATING CAPITAL OUTLAY							060000
GENERAL REVENUE FUND -STATE	104,880-						1000 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
GENERAL REVENUE FUND -STATE	5,754,876-						1000 1
=====							
DEFERRED-PAY COM CONTRACTS							105280
GENERAL REVENUE FUND -STATE	221,669-						1000 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
GENERAL REVENUE FUND -STATE	1,424-						1000 1
=====							

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFO TECHNOLOGY - FLAIR</u>							43010500
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - DEDUCT							3D00020
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
GENERAL REVENUE FUND -STATE				28,994-			1000 1
=====							
TOTAL: REDIRECT GENERAL REVENUE WITHIN							3D00020
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - DEDUCT							
TOTAL POSITIONS.....				88.00-			
TOTAL ISSUE.....				13,319,439-			
TOTAL SALARY RATE.....				4,673,669-			
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? YES

The Department of Financial Services (DFS) is appropriated approximately \$25 million in General Revenue on a recurring basis. DFS realizes the General Revenue forecasts for FY 18/19 and FY 19/20 have a potential shortfall. DFS has used the Schedule VIIIIC to show how it may be able to alleviate some of the General Revenue shortfall on a recurring basis. In this issue, all the General Revenue funding in the department is fund shifted to the Administrative Trust Fund. This issue, along with 3D00030, completes the shift.

This issue will force other trust funds in the department to share in the costs of running the FLAIR system and paying for the statewide use of the Division of Accounting and Auditing. The issue creates approximately \$25 million in recurring General Revenue for the State. The department would need to increase the Transfer to Administrative Trust Fund in two trust funds which also have an impact General Revenue, Treasury Admin/Investment and Unclaimed Property. This additional non-operating increase would decrease the recurring impact by approximately \$4 million, resulting in a recurring \$21 million increase to unallocated General Revenue.

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COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFO TECHNOLOGY - FLAIR</u>						43010500
GOV OPERATIONS/SUPPORT						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT GENERAL REVENUE WITHIN						
FINANCIAL SERVICES TO						
ADMINISTRATIVE TRUST FUND - DEDUCT						3D00020

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0001 001	88.00-					0.00	
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N0002 001	0.00	5,983,167-			5,983,167-	0.00	5,983,167-
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							5,983,167-
	88.00-	5,983,167-			5,983,167-		5,983,167-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
N0003 001		1,309,498					
TOTAL SALARY RATE		1,309,498					

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFO TECHNOLOGY - FLAIR</u>							43010500
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - ADD							3D00030
SALARY RATE							000000
SALARY RATE.....	4,673,669						
=====							
SALARIES AND BENEFITS							010000
	88.00						
ADMINISTRATIVE TRUST FUND -STATE	5,983,167						2021 1
=====							
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE	5,000						2021 1
=====							
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	1,219,429						2021 1
=====							
OPERATING CAPITAL OUTLAY							060000
ADMINISTRATIVE TRUST FUND -STATE	104,880						2021 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
ADMINISTRATIVE TRUST FUND -STATE	5,754,876						2021 1
=====							
DEFERRED-PAY COM CONTRACTS							105280
ADMINISTRATIVE TRUST FUND -STATE	221,669						2021 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
ADMINISTRATIVE TRUST FUND -STATE	1,424						2021 1
=====							

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFO TECHNOLOGY - FLAIR</u>							43010500
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - ADD							3D00030
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE				28,994			2021 1
TOTAL: REDIRECT GENERAL REVENUE WITHIN							3D00030
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - ADD							
TOTAL POSITIONS.....				88.00			
TOTAL ISSUE.....				13,319,439			
TOTAL SALARY RATE.....				4,673,669			

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? YES

The Department of Financial Services (DFS) is appropriated approximately \$25 million in General Revenue on a recurring basis. DFS realizes the General Revenue forecasts for FY 18/19 and FY 19/20 have a potential shortfall. DFS has used the Schedule VIIIIC to show how it may be able to alleviate some of the General Revenue shortfall on a recurring basis. In this issue, all the General Revenue funding in the department is fund shifted to the Administrative Trust Fund. This issue, along with 3D00020, completes the shift.

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - DEDUCT							3D00020
SALARY RATE							000000
SALARY RATE.....	7,391,308-						
=====							
SALARIES AND BENEFITS							010000
	158.00-						
GENERAL REVENUE FUND -STATE	10,193,681-						1000 1
=====							
OTHER PERSONAL SERVICES							030000
GENERAL REVENUE FUND -STATE	22,994-						1000 1
=====							
EXPENSES							040000
GENERAL REVENUE FUND -STATE	962,972-						1000 1
=====							
OPERATING CAPITAL OUTLAY							060000
GENERAL REVENUE FUND -STATE	27,000-						1000 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
GENERAL REVENUE FUND -STATE	848,649-						1000 1
=====							
RISK MANAGEMENT INSURANCE							103241
GENERAL REVENUE FUND -STATE	13,468-						1000 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
GENERAL REVENUE FUND -STATE	5,122-						1000 1
=====							



	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - DEDUCT							3D00020
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
GENERAL REVENUE FUND -STATE				51,113-			1000 1
=====							
TOTAL: REDIRECT GENERAL REVENUE WITHIN							3D00020
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - DEDUCT							
TOTAL POSITIONS.....				158.00-			
TOTAL ISSUE.....				12,124,999-			
TOTAL SALARY RATE.....				7,391,308-			
=====							

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AGENCY ISSUE NARRATIVE:

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COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT GENERAL REVENUE WITHIN						
FINANCIAL SERVICES TO						
ADMINISTRATIVE TRUST FUND - DEDUCT						3D00020

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0001 001	158.00-					0.00	
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N0002 001	0.00	10,193,681-			10,193,681-	0.00	10,193,681-
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							
	158.00-	10,193,681-			10,193,681-		10,193,681-
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
N0003 001		2,802,373					
TOTAL SALARY RATE							
		2,802,373					

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - ADD							3D00030
SALARY RATE							000000
SALARY RATE.....	7,391,308						
=====							
SALARIES AND BENEFITS							010000
	158.00						
ADMINISTRATIVE TRUST FUND -STATE	10,193,681						2021 1
=====							
OTHER PERSONAL SERVICES							030000
ADMINISTRATIVE TRUST FUND -STATE	22,994						2021 1
=====							
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	962,972						2021 1
=====							
OPERATING CAPITAL OUTLAY							060000
ADMINISTRATIVE TRUST FUND -STATE	27,000						2021 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
ADMINISTRATIVE TRUST FUND -STATE	848,649						2021 1
=====							
RISK MANAGEMENT INSURANCE							103241
ADMINISTRATIVE TRUST FUND -STATE	13,468						2021 1
=====							
LEASE/PURCHASE/EQUIPMENT							105281
ADMINISTRATIVE TRUST FUND -STATE	5,122						2021 1
=====							

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT GENERAL REVENUE WITHIN							
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - ADD							3D00030
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE				51,113			2021 1
=====							
TOTAL: REDIRECT GENERAL REVENUE WITHIN							3D00030
FINANCIAL SERVICES TO							
ADMINISTRATIVE TRUST FUND - ADD							
TOTAL POSITIONS.....	158.00						
TOTAL ISSUE.....	12,124,999						
TOTAL SALARY RATE.....	7,391,308						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO

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COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT GENERAL REVENUE WITHIN						
FINANCIAL SERVICES TO						
ADMINISTRATIVE TRUST FUND - ADD						3D00030

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0001 001	158.00					0.00	
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N0002 001	0.00	10,193,681			10,193,681	0.00	10,193,681
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							
	158.00	10,193,681			10,193,681		10,193,681
RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS							
N0003 001		2,802,373-					
TOTAL SALARY RATE							
		2,802,373-					

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF TRUST FUND							
SALARY BUDGET - DEDUCT							3D00040
SALARY RATE							000000
SALARY RATE.....	100,000-						
	=====	=====	=====				
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	100,000-						2021 1
	=====	=====	=====				
TOTAL: REPRIORITIZATION OF TRUST FUND							3D00040
SALARY BUDGET - DEDUCT							
TOTAL ISSUE.....	100,000-						
TOTAL SALARY RATE.....	100,000-						
	=====	=====	=====				

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO

The Division of Accounting and Auditing has excess Administrative Trust Fund salary budget, this budget is not associated with the Article V nor P Card employees. These are employees that were associated with the original federal 3% issue.

The Division of Unclaimed Property (UP) is continuing to have major workload increases due to the success of the Unclaimed Property program. During this fiscal year alone, total pending claims have increased from 63,000 July 1st to 90,000 October 7. The UP program has statutory mandates on the number of days the program can take to pay a claim - 90 days. There is a budget amendment in the current year for additional salary budget for overtime and an issue in the agencies LBR to increase the staffing in the division. The need for salaries in UP is critical.

This issue will take the excess trust fund salary budget from Accounting and Auditing and appropriate to Unclaimed Property in order for the division to ensure all claims to citizens throughout the United States are paid within the statutory 90 days. The corresponding Schedule VIIIC issue code is 3D00050.

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF TRUST FUND							
SALARY BUDGET - DEDUCT							3D00040

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
N0001 001	0.00	100,000-			100,000-	0.00	100,000-
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							100,000-
	0.00	100,000-			100,000-		100,000-

REDIRECT EXPENSE BUDGET TO LEASE							
LEASE PURCHASE - DEDUCT							3D00060
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE	8,000-						2021 1

AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

This issue reprioritizes some expense budget authority to the Lease Lease Purchase category in Rehabilitation and Liquidation (RL). As the number of insurance companies in RL continue to diminish, the funds available to pay for normal operating costs also diminish. RL cannot "share" the assets of these company's. Only company A's assets can pay for the expenditures of company A.

There is an issue in our LBR to transfer 15,000 from expenses in RL to the Lease Lease Purchase category already. This issue would half that need and allow RL to keep the expense in order to ensure the operating costs of the division are fully covered.

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
ST FINAN INFO/ST AGY ACCTG							43200100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT EXPENSE BUDGET TO LEASE							
LEASE PURCHASE - DEDUCT							3D00060

Issue code 3D00070 is the corresponding issue which adds the budget authority to the Lease Lease Purchase category in RL.  
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TOTAL: GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
BY FUND TYPE							
GENERAL REVENUE FUND				12,124,999-			1000
TRUST FUNDS				12,016,999			2000

TOTAL PROG COMP..... 108,000-  
 TOTAL SALARY RATE..... 100,000-  
 =====

RECOVERY & RETURN OF UP							43200200
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REPRIORITIZATION OF TRUST FUND							
SALARY BUDGET - ADD							3D00050
SALARY RATE							000000
SALARY RATE..... 100,000							
=====							

SALARIES AND BENEFITS							010000
UNCLAIMED PROPERTY TF -STATE				100,000			2007 1
=====							
TOTAL: REPRIORITIZATION OF TRUST FUND							3D00050

SALARY BUDGET - ADD  
 TOTAL ISSUE..... 100,000  
 TOTAL SALARY RATE..... 100,000  
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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

The Division of Accounting and Auditing has excess Administrative Trust Fund salary budget, this budget is not associated with the Article V nor P Card employees. These are employees that were associated with the original federal 3% issue.





	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>INSURANCE CO REHAB/LIQDATN</u>							43500100
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT EXPENSE BUDGET TO LEASE							
LEASE PURCHASE - ADD							3D00070
SPECIAL CATEGORIES							100000
LEASE/PURCHASE/EQUIPMENT							105281
INSURANCE REG TF							
	-STATE			8,000			2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

This issue reprioritizes some expense budget authority to the Lease Lease Purchase category in Rehabilitation and Liquidation (RL). As the number of insurance companies in RL continue to diminish, the funds available to pay for normal operating costs also diminish. RL cannot "share" the assets of these company's. Only company A's assets can pay for the expenditures of company A.

There is an issue in our LBR to transfer 15,000 from expenses in RL to the Lease Lease Purchase category already. This issue would half that need and allow RL to keep the expense in order to ensure the operating costs of the division are fully covered.

Issue code 3D00060 is the corresponding issue which deducts the budget authority in Accounting and Auditing expense category.

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
DEDUCT							2000130
EXPENSES							040000
INSURANCE REG TF							2393 1
	-STATE			5,200-			

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO  
 PRIORITY #102

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Realign Budget Authority (DEDUCT)

Reference to Long-Range Program Plan: Goal 4: Operate in an efficient, effective and transparent manner.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition The primary mission of the Office is to ensure that insurance companies licensed to do business in Florida are financially viable and are operating within the laws and regulations governing the insurance industry. The Office regulates the insurance industry and maintains expertise related to life and health, property and casualty, specialty lines, and other regulated insurance entities. It protects the public through oversight of insurance company solvency and market conduct performance.

During Fiscal Year 2015-16, the Office deactivated 12 MiFi's that were not being utilized. MiFi's are the wireless routers that act as a mobile Wi-Fi hotspot for computers. The reason these devices were deactivated was due to the relocation of the majority of the Office Field Examiners that were located throughout Florida. Therefore, based on the savings from this deactivation, the funds can be utilized better in the Contracted Services budget category

Projected Outcome: With these specific savings, the Office will benefit and reprioritize these savings from the Expenses category to the Contracted Services category to assist with the I.T. workload that the Office currently has.

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES TO MATCH ANTICIPATED							
RECURRING OPERATING EXPENDITURES -							
ADD							2000140
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF							
-STATE				5,200			2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #102

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Realign Budget Authority (ADD)

Reference to Long-Range Program Plan: Goal 4: Operate in an efficient, effective and transparent manner.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition The primary mission of the Office is to ensure that insurance companies licensed to do business in Florida are financially viable and are operating within the laws and regulations governing the insurance industry. The Office regulates the insurance industry and maintains expertise related to life and health, property and casualty, specialty lines, and other regulated insurance entities. It protects the public through oversight of insurance company solvency and market conduct performance.

During Fiscal Year 2015-16, the Office deactivated 12 MiFi's that were not being utilized. MiFi's are the wireless routers that act as a mobile Wi-Fi hotspot for computers. The reason these devices were deactivated was due to the relocation of the majority of the Office Field Examiners that were located throughout Florida. Therefore, based on the savings from this deactivation, the funds can be utilized better in the Contracted Services budget category

Projected Outcome: With these specific savings, the Office will benefit and reprioritize these savings from the Expenses category to the Contracted Services category to assist with the I.T. workload that the Office currently has.

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES - OFFICE OF INSURANCE							
REGULATION - ADD							2000520
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF							2393 1
	-STATE			32,000			

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #101

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Realign Budget Authority Between Categories - Contracted Services (ADD)

Reference to Long-Range Program Plan: Goal 4: Operate in an efficient, effective and transparent manner.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition The primary mission of the Office is to ensure that insurance companies licensed to do business in Florida are financially viable and are operating within the laws and regulations governing the insurance industry. The Office regulates the insurance industry and maintains expertise related to life and health, property and casualty, specialty lines, and other regulated insurance entities. It protects the public through oversight of insurance company solvency and market conduct performance.

The Offices uses contracted services dollars to enable legislative mandated data collection such as for title insurers and agencies, and to make additional needed changes to keep up with volume and newer technology used by regulated entities and other regulators. The Contracted Services appropriation also provides funding for I.T. staff augmentation to maintain and support existing technology applications which is increasingly difficult because of aging infrastructure. The Office is still continuing the process of upgrading some aging infrastructure including its electronic data management system to process rate filings. Other services also include other professional and technical functions needed to provide a quality level of service to policymakers, the insurance industry and to the insurance-buying public.

The Office has Contracted Services appropriation in both budget entities, with the majority in the Compliance and Enforcement budget entity. Therefore, due to a 5% budget transfer authority that was utilized during Fiscal Year 2015-16, the Executive Direction budget entity is able to reprioritize and redirect \$32,000 to the Compliance & Enforcement budget entity.

COL A23		COL A24		COL A25		CODES
SCH VIIIIC		SCH VIIIIC		SCH VIIIIC		
REPRIORTIZN	AMOUNT	N/R 2017-18	AMOUNT	ANZ 2017-18	AMOUNT	
POS		POS		POS		

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
COMP & ENFORCE- INSURANCE						43900110
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT						2000000
REALIGN BUDGET AUTHORITY BETWEEN						
CATEGORIES - OFFICE OF INSURANCE						
REGULATION - ADD						2000520

Projected Outcome: The Office will benefit by redirecting this portion of Contracted Services from the Executive Direction budget entity to the Compliance and Enforcement budget entity.

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REALIGN BUDGET AUTHORITY FROM						
LEASE/LEASE PURCHASE OF EQUIPMENT						
TO OTHER PERSONAL SERVICES - DEDUCT						2005500
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUIPMENT						105281
INSURANCE REG TF	-STATE	3,400-				2393 1

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO  
 PRIORITY #103

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Lease/Lease Purchase Efficiencies (DEDUCT)

Reference to Long-Range Program Plan: Goal 4: Operate in an efficient, effective and transparent manner.

Issue Description: The Office of Insurance Regulation (Office) proposes a reprioritization of the Lease/Purchase/Equipment budget category to the Other Personal Services (OPS) budget category. The Lease/Purchase/Equipment budget category has had reversions the past several years and therefore can make a permanent transfer of appropriation to the OPS category. This transfer is due to that this was a newly created budget category starting with the 2012-2013 Fiscal Year and the original transfer from Expenses to Lease/Purchase/Equipment was over-estimated.

Projected Outcome: Due to the original over-estimation of the Lease/Purchase/Equipment budget category, the Office will benefit and add to the Other Personal Services (OPS) category to assist with the increased workload that the Office has incurred over the past several years.

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY FROM							
LEASE/LEASE PURCHASE OF EQUIPMENT							
TO OTHER PERSONAL SERVICES - ADD							2005510
OTHER PERSONAL SERVICES							030000
INSURANCE REG TF							2393 1
		-STATE		3,400			

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AGENCY ISSUE NARRATIVE:

SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO  
 PRIORITY #103

Budget Entity: Office of Insurance Regulations - Compliance and Enforcement

Issue Title: Reprioritization of Lease/Lease Purchase Efficiencies (ADD)

Reference to Long-Range Program Plan: Goal 4: Operate in an efficient, effective and transparent manner.

Issue Description: The Office of Insurance Regulation (Office) proposes a reprioritization of the Lease/Purchase/Equipment budget category to the Other Personal Services (OPS) budget category. The Lease/Purchase/Equipment budget category has had several reversions the past couple of years and therefore can make a permanent transfer of appropriation to the OPS category. This transfer is due to that this was a newly created budget category starting with the 2012-2013 Fiscal Year and the original transfer from Expenses to Lease/Purchase/Equipment was over-estimated.

Projected Outcome: Due to the original over-estimation of the Lease/Purchase/Equipment budget category, the Office will benefit and add to the Other Personal Services (OPS) category to assist with the increased workload that the Office has incurred over the past several years.

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TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....				32,000			2000

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
EXEC DIR & SUPORT SERVICES							43900120
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN							
CATEGORIES - OFFICE OF INSURANCE							
REGULATION - DEDUCT							2000510
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF							2393 1
	-STATE			32,000-			

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIC REPRIORTIZN NARRATIVE:  
 PRIORITY #101

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulations - Executive Direction

Issue Title: Realign Budget Authority Between Categories - Contracted Services (DEDUCT)

Reference to Long-Range Program Plan: Goal 4: Operate in an efficient, effective and transparent manner.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition The primary mission of the Office is to ensure that insurance companies licensed to do business in Florida are financially viable and are operating within the laws and regulations governing the insurance industry. The Office regulates the insurance industry and maintains expertise related to life and health, property and casualty, specialty lines, and other regulated insurance entities. It protects the public through oversight of insurance company solvency and market conduct performance. To facilitate this mission, part of the Office responsibility is to conduct financial examinations and ongoing analysis of insurance entities. The financial oversight is primarily done in two business units. The units are the Property and Casualty Financial Oversight Unit and the Life and Health Financial Oversight Unit.

The Offices uses contracted services dollars to enable legislative mandated data collection such as for title insurers and agencies, and to make additional needed changes to keep up with volume and newer technology used by regulated entities and other regulators. The Contracted Services appropriation also provides funding for I.T. staff augmentation to maintain and support existing technology applications which is increasingly difficult because of aging infrastructure. The Office is still continuing the process of upgrading some aging infrastructure including its electronic data management system to process rate filings. Other services also include other professional and technical functions needed to provide a quality level of service to policymakers, the insurance industry and to the insurance-buying public.

The Office has Contracted Services appropriation in both budget entities, with the majority in the Compliance and Enforcement budget entity. Therefore, due to a 5% budget transfer authority that was utilized during Fiscal Year



	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
EXEC DIR & SUPORT SERVICES							43900120
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES REALIGNMENT							2000000
REALIGN BUDGET AUTHORITY BETWEEN CATEGORIES - OFFICE OF INSURANCE REGULATION - DEDUCT							2000510
<p>2015-16, the Executive Direction budget entity is able to reprioritize and redirect \$32,000 to the Compliance &amp; Enforcement budget entity.</p> <p>Projected Outcome: The Office will benefit by redirecting this portion of Contracted Services from the Executive Direction budget entity to the Compliance and Enforcement budget entity.</p> <p>*****</p>							
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM BUDGET ENTITY - DEDUCT							3D00100
SALARY RATE							000000
SALARY RATE.....	42,589-						
=====							
SALARIES AND BENEFITS							010000
FINANCIAL INST REG TF	-STATE	1.00-	61,240-				2275 1
=====							
EXPENSES							040000
FINANCIAL INST REG TF	-STATE	1,800-					2275 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
FINANCIAL INST REG TF	-STATE	339-					2275 1
=====							

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
<u>PUBLIC PROTECTION</u>							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - DEDUCT							3D00100
TOTAL: REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM BUDGET ENTITY - DEDUCT							3D00100
TOTAL POSITIONS.....	1.00-						
TOTAL ISSUE.....		63,379-					
TOTAL SALARY RATE.....	42,589-						

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIIC REPRIORTIZN NARRATIVE: IT COMPONENT? NO

Priority #203

- Reference to Long-Range Program Plan:  
 Goal #1: Improve Taxpayer Value  
 Goal #2: Deliver Value to Businesses  
 Goal #3: Promote a Safe and Sound Financial Marketplace

Issue Description/Need: Senior Division management will reprioritize the consumer complaint program/activity by transferring responsibility for this program to the Consumer Financial Protection Bureau (CFPB). The CFPB was created in 2011 and is an independent federal agency that is responsible for regulating consumer protection with regard to financial products and services in the United States. The CFPB developed a website in February 2011 to accept complaints and promote fairness and transparency for mortgages, credit cards, and other consumer financial products and services. The Division currently has one FTE (a Financial Specialist) who responds to consumer complaints and related inquiries (for state-chartered financial institutions) via written contact with the institutions to determine if any Florida Financial Institutions Laws were violated in association with the complaint.

The CFPB has a \$583 million dollar annual budget with 1,796 employees dedicated to protecting citizens by monitoring financial complaints. A significant benefit of using the CFPB is that complaint data will be collected and shared with state and federal law enforcement agencies to protect citizens from fraud or unfair practices in the financial industry. The Division's Financial Specialist position will be better utilized as field examiner, conducting examinations of state-chartered financial institutions as required by Florida Statutes.

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - DEDUCT							3D00100

Ultimate Outcome: The Division will reprioritize the one FTE Financial Specialist, PG025, (Salary and Benefits of \$61,240), currently responding to consumer complaints to a field examiner position. By transferring the position to the field, the Division will dedicate its resources to examining financial institutions to ensure they are operating in a safe and sound manner.

SALARIES AND BENEFITS:

Quantity	Description	Amount	Non-Recurring
(1)	Deletion of Position (Includes Benefits)	(\$61,240)	\$-0-

EXPENSES:

Quantity	Description	Amount	Non-Recurring
(1)	Deletion of Position	(\$ 1,800)	\$-0-

TR/HR/DMS SERV:

Quantity	Description	Amount	Non-Recurring
(1)	Deletion of Position	(\$ 339)	\$-0-
	Deletion of Position Total	(\$62,701)	\$-0-

This issue is the deduct for reprioritization, issue 3D00110 is the add.

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COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
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FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM						
BUDGET ENTITY - DEDUCT						3D00100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0001 001	1.00-	42,589-		18,651-	61,240-	0.00	61,240-
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							61,240-
	1.00-	42,589-		18,651-	61,240-		61,240-

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REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM BUDGET ENTITY - ADD							3D00110
SALARY RATE							000000
SALARY RATE.....	42,589						
SALARIES AND BENEFITS							010000
FINANCIAL INST REG TF -STATE	1.00	61,240					2275 1

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - ADD EXPENSES							3D00110 040000
FINANCIAL INST REG TF -STATE				1,800			2275 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
FINANCIAL INST REG TF -STATE				339			2275 1
=====							
TOTAL: REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							3D00110
BUDGET ENTITY - ADD							
TOTAL POSITIONS.....	1.00						
TOTAL ISSUE.....		63,379					
TOTAL SALARY RATE.....	42,589						
=====							

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AGENCY ISSUE NARRATIVE:

SCHED VIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Priority #203

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace

Issue Description/Need: Senior Division management will reprioritize the consumer complaint program/activity by transferring responsibility for this program to the Consumer Financial Protection Bureau (CFPB). The CFPB was created in 2011 and is an independent federal agency that is responsible for regulating consumer protection with regard to financial products and services in the United States. The CFPB developed a website in February 2011 to accept complaints and promote fairness and transparency for mortgages, credit cards, and other consumer financial products and services. The Division currently has one FTE (a Financial Specialist) who responds to consumer complaints and related inquiries (for state-chartered financial institutions) via written contact with the institutions to determine if any Florida Financial

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES IN SAFETY AND SOUNDNESS STATE BANKING SYSTEM							
BUDGET ENTITY - ADD							3D00110

Institutions Laws were violated in association with the complaint.

The CFPB has a \$583 million dollar annual budget with 1,796 employees dedicated to protecting citizens by monitoring financial complaints. A significant benefit of using the CFPB is that complaint data will be collected and shared with state and federal law enforcement agencies to protect citizens from fraud or unfair practices in the financial industry. The Division's Financial Specialist position will be better utilized as field examiner, conducting examinations of state-chartered financial institutions as required by Florida Statutes.

Ultimate Outcome: The Division will reprioritize the one FTE Financial Specialist, PG025, (Salary and Benefits of \$61,240), currently responding to consumer complaints to a field examiner position. By transferring the position to the field, the Division will dedicate its resources to examining financial institutions to ensure they are operating in a safe and sound manner.

SALARIES AND BENEFITS:			
Quantity	Description	Amount	Non-Recurring
(1)	Addition of Position (Includes Benefits)	\$61,240	\$-0-

EXPENSES:			
Quantity	Description	Amount	Non-Recurring
(1)	Addition of Position	\$ 1,800	\$-0-

TR/HR/DMS SERV:			
Quantity	Description	Amount	Non-Recurring
(1)	Addition of Position	\$ 339	\$-0-
	Addition of Position Total	\$62,701	\$-0-

This issue is the add for reprioritization, issue 3D00100 is the deduct.

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COL A23		COL A24		COL A25		CODES
SCH VIIIC		SCH VIIIC		SCH VIIIC		
REPRIORTIZN	POS	N/R 2017-18	POS	ANZ 2017-18	POS	
AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES IN SAFETY AND						
SOUNDNESS STATE BANKING SYSTEM						
BUDGET ENTITY - ADD						3D00110

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0002 001	1.00	42,589		18,651	61,240	0.00	61,240
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF	1.00	42,589		18,651	61,240		61,240

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	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCIAL INVESTIGATIONS							43900540
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FROM SECURITIES							
REGULATION TO FINANCIAL							
INVESTIGATIONS - ADD							3D00150
SALARY RATE							000000
SALARY RATE.....	112,993						
=====							
SALARIES AND BENEFITS							010000
ADMINISTRATIVE TRUST FUND -STATE	3.00			166,704			2021 1
=====							
EXPENSES							040000
ADMINISTRATIVE TRUST FUND -STATE				5,400			2021 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
ADMINISTRATIVE TRUST FUND -STATE				1,017			2021 1
=====							
TOTAL: REDIRECT RESOURCES FROM SECURITIES							3D00150
REGULATION TO FINANCIAL							
INVESTIGATIONS - ADD							
TOTAL POSITIONS.....	3.00						
TOTAL ISSUE.....				173,121			
TOTAL SALARY RATE.....	112,993						
=====							

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Priority #201

Reference to Long Range Program Plan:  
 GOAL #1: Improving taxpayer value  
 GOAL #2: Delivering value to businesses  
 GOAL #3: Promoting a safe and sound financial marketplace



COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 AMOUNT	COL A25 SCH VIIIC ANZ 2017-18 AMOUNT	CODES
FINANCIAL SERVICES			43000000
PGM: FINANCIAL SVCS COMM			43900000
OFFICE OF FINANCIAL REG			43900500
FINANCIAL INVESTIGATIONS			43900540
PUBLIC PROTECTION			12
REGULATION AND LICENSING			1204.00.00.00
FUNDING REPRIORITIZATIONS			3D00000
REDIRECT RESOURCES FROM SECURITIES			
REGULATION TO FINANCIAL			
INVESTIGATIONS - ADD			3D00150

GOAL #4: Improving customer service

Issue Description/Need: OFR is focusing on measurement and outcomes as means to become a more effective financial services regulatory agency. As such, the Division of Securities has completed an overall evaluation of its program and has determined that through more efficient use of its resources, including process improvements, more focus on measures and reporting and enhanced use of technology, it can reduce its staffing by three (3) full-time employees without adversely impacting the program. The Office has determined that a proactive approach to regulation will better serve the agency and recommends the creation of an intelligence unit within the Bureau of Financial Investigations. This newly formed unit would be used to conduct research and collect and analyze data and information in an attempt to proactively identify risks and pinpoint misconduct. The job of separating vital data from useless information has grown harder as the volume of information available to OFR has grown throughout the years and as the industries regulated by OFR rapidly evolve and bring new products to the marketplace. In addition, information that could inform OFR's regulatory efforts is dispersed through many different datasets including publicly available sources.

Ultimate Outcome: Transfers 3 FTEs from the 43900570 Division of Securities Regulation to the 43900540 - Bureau of Financial Investigations for creation of an intelligence unit to conduct research and collect and analyze data and information in an attempt to proactively identify risks and pinpoint misconduct.

43900540 Bureau of Financial Investigations

SALARIES AND BENEFITS:

Position	Title	Amount	Non-Recurring
3	1564 Financial Examiner/ Analyst II, PG023	\$166,704	\$-0-

EXPENSES:

Position	Title	Amount	Non-Recurring
3	Expenses @ \$1,800	\$ 5,400	\$-0-

TR/HR/DMS:

Position	Title	Amount	Non-Recurring
3	TR/HR/DMS@ \$339	\$ 1,017	\$-0-



	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
DEDUCT							3D00120
SALARY RATE							000000
SALARY RATE.....	112,993-						
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE	3.00-	166,704-					2573 1
EXPENSES							040000
REGULATORY TRUST FUND -STATE		5,400-					2573 1
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE		1,017-					2573 1
TOTAL: REDIRECT RESOURCES WITHIN THE							3D00120
FINANCE REGULATION BUDGET ENTITY -							
DEDUCT							
TOTAL POSITIONS.....	3.00-						
TOTAL ISSUE.....		173,121-					
TOTAL SALARY RATE.....	112,993-						

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Priority #202

Reference to Long Range Program Plan:

- GOAL #1: Improving taxpayer value
- GOAL #2: Delivering value to businesses

COL A23		COL A24		COL A25		CODES
SCH VIIIIC	REPRIORTIZN	SCH VIIIIC	N/R 2017-18	SCH VIIIIC	ANZ 2017-18	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS						3D00000
REDIRECT RESOURCES WITHIN THE						
FINANCE REGULATION BUDGET ENTITY -						
DEDUCT						3D00120

- GOAL #3: Promoting a safe and sound financial marketplace
- GOAL #4: Improving customer service

Issue Description/Need: The Division of Consumer Finance processes approximately 2,500 priority complaints. The processing of these complaints involve forwarding the consumer's complaint to the business entity and requesting a response. Upon receipt the division will forward the business entities response to the consumer. Unless a statutory violation is detected during the processing of the complaint the matter is then closed upon receipt of a response.

By reprioritizing program activities the division would discontinue the servicing of the priority complaints and redirecting staffing resources for this activity to field examinations. The redirection of staff would yield an estimated 150 additional field examinations. This will allow the office to direct more focus towards activities that yield greater consumer protection and detection of fraudulent activities.

The office would need to seek changes to Part VI of Chapter 559, Florida Statues, to repeal a mandate to process consumer complaints.

Ultimate Outcome: Discontinue servicing priority complaints and redirect three (3) FTE's to field examinations. This would be accomplished by moving three (3) Financial Examiner/Analyst II positions within the Bureau of Enforcement.

Detail of Costs:

SALARIES AND BENEFITS:

Position	Title	Amount	Non-Recurring
(3)	1564 Financial Examiner/ Analyst II, PG023	(\$166,704)	\$-0-

EXPENSES:

Position	Title	Amount	Non-Recurring
(3)	Expenses @ \$1,800	(\$ 5,400)	\$-0-

TR/HR/DMS:

COL A23		COL A24		COL A25		CODES
SCH VIIIC		SCH VIIIC		SCH VIIIC		
REPRIORTIZN	N/R 2017-18	ANZ 2017-18	ANZ 2017-18	ANZ 2017-18	ANZ 2017-18	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000  
 PGM: FINANCIAL SVCS COMM 43900000  
 OFFICE OF FINANCIAL REG 43900500  
 FINANCE REGULATION 43900560  
PUBLIC PROTECTION 12  
REGULATION AND LICENSING 1204.00.00.00  
 FUNDING REPRIORITIZATIONS 3D00000  
 REDIRECT RESOURCES WITHIN THE  
 FINANCE REGULATION BUDGET ENTITY -  
 DEDUCT 3D00120

Position	Title	Amount	Non-Recurring
(3)	TR/HR/DMS@ \$339	(\$ 1,017)	\$-0-

Total for Deleted Positions (\$173,121)

This issue is the deduct for the reprioritization, issue 3D00130 is the add.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0001 001	3.00-	112,993-		53,711-	166,704-	0.00	166,704-
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							166,704-
	3.00-	112,993-		53,711-	166,704-		166,704-

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
ADD							3D00130
SALARY RATE							000000
SALARY RATE.....	112,993						
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND -STATE	3.00			166,704			2573 1
EXPENSES							040000
REGULATORY TRUST FUND -STATE				5,400			2573 1
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND -STATE				1,017			2573 1
TOTAL: REDIRECT RESOURCES WITHIN THE							3D00130
FINANCE REGULATION BUDGET ENTITY -							
ADD							
TOTAL POSITIONS.....	3.00						
TOTAL ISSUE.....				173,121			
TOTAL SALARY RATE.....	112,993						

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Priority #202

Reference to Long Range Program Plan:

- GOAL #1: Improving taxpayer value
- GOAL #2: Delivering value to businesses

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
ADD							3D00130

GOAL #3: Promoting a safe and sound financial marketplace  
 GOAL #4: Improving customer service

Issue Description/Need: The Division of Consumer Finance processes approximately 2,500 priority complaints. The processing of these complaints involve forwarding the consumer's complaint to the business entity and requesting a response. Upon receipt the division will forward the business entities response to the consumer. Unless a statutory violation is detected during the processing of the complaint the matter is then closed upon receipt of a response.

By reprioritizing program activities the division would discontinue the servicing of the priority complaints and redirecting staffing resources for this activity to field examinations. The redirection of staff would yield an estimated 150 additional field examinations. This will allow the office to direct more focus towards activities that yield greater consumer protection and detection of fraudulent activities.

The office would need to seek changes to Part VI of Chapter 559, Florida Statues, to repeal a mandate to process consumer complaints.

Ultimate Outcome: Discontinue servicing priority complaints and redirect three (3) FTE's to field examinations. This would be accomplished by moving three (3) Financial Examiner/Analyst II positions within the Bureau of Enforcement.

SALARIES AND BENEFITS:

Position	Title	Amount	Non-Recurring
3	1564 Financial Examiner/ Analyst II, PG023	\$166,704	\$-0-

EXPENSES:

Position	Title	Amount	Non-Recurring
3	Expenses @ \$1,800	\$ 5,400	\$-0-

TR/HR/DMS:

Position	Title	Amount	Non-Recurring
3	TR/HR/DMS@ \$339	\$ 1,017	\$-0-

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES WITHIN THE							
FINANCE REGULATION BUDGET ENTITY -							
ADD							3D00130

Total for Additional Positions \$173,121

This issue is the add for the reprioritization, issue 3D00120 is the deduct.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0002 001	3.00	112,993		53,711	166,704	0.00	166,704
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							166,704
	3.00	112,993		53,711	166,704		166,704

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	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SECURITIES REGULATION							43900570
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FROM SECURITIES							
REGULATION TO FINANCIAL							
INVESTIGATIONS - DEDUCT							3D00140
SALARY RATE							000000
SALARY RATE.....	112,993-						
=====							
SALARIES AND BENEFITS							010000
REGULATORY TRUST FUND	-STATE			3.00-	166,704-		2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND	-STATE			5,400-			2573 1
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
REGULATORY TRUST FUND	-STATE			1,017-			2573 1
=====							
TOTAL: REDIRECT RESOURCES FROM SECURITIES							3D00140
REGULATION TO FINANCIAL							
INVESTIGATIONS - DEDUCT							
TOTAL POSITIONS.....	3.00-						
TOTAL ISSUE.....		173,121-					
TOTAL SALARY RATE.....	112,993-						
=====							

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AGENCY ISSUE NARRATIVE:  
 SCHED VIIIIC REPRIORTIZN NARRATIVE:

IT COMPONENT? NO

Priority #201

Reference to Long Range Program Plan:  
 GOAL #1: Improving taxpayer value  
 GOAL #2: Delivering value to businesses  
 GOAL #3: Promoting a safe and sound financial marketplace

COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 AMOUNT POS	COL A25 SCH VIIIC ANZ 2017-18 AMOUNT POS	CODES
			43000000
			43900000
			43900500
			43900570
			12
			<u>1204.00.00.00</u>
			3D00000
			3D00140

FINANCIAL SERVICES  
 PGM: FINANCIAL SVCS COMM  
 OFFICE OF FINANCIAL REG  
SECURITIES REGULATION  
 PUBLIC PROTECTION  
REGULATION AND LICENSING  
 FUNDING REPRIORITIZATIONS  
 REDIRECT RESOURCES FROM SECURITIES  
 REGULATION TO FINANCIAL  
 INVESTIGATIONS - DEDUCT

GOAL #4: Improving customer service

Issue Description/Need: OFR is focusing on measurement and outcomes as means to become a more effective financial services regulatory agency. As such, the Division of Securities has completed an overall evaluation of its program and has determined that through more efficient use of its resources, including process improvements, more focus on measures and reporting and enhanced use of technology, it can reduce its staffing by three (3) full-time employees without adversely impacting the program. The Office has determined that a proactive approach to regulation will better serve the agency and recommends the creation of an intelligence unit within the Bureau of Financial Investigations. This newly formed unit would be used to conduct research and collect and analyze data and information in an attempt to proactively identify risks and pinpoint misconduct. The job of separating vital data from useless information has grown harder as the volume of information available to OFR has grown throughout the years and as the industries regulated by OFR rapidly evolve and bring new products to the marketplace. In addition, information that could inform OFR's regulatory efforts is dispersed through many different datasets including publicly available sources.

Ultimate Outcome: Transfers 3 FTEs from the 43900570 Division of Securities Regulation to the 43900540 - Bureau of Financial Investigations for creation of an intelligence unit to conduct research and collect and analyze data and information in an attempt to proactively identify risks and pinpoint misconduct.

43900570 Division of Securities

SALARIES And BENEFITS:

Position	Title	Amount	Non-Recurring
(3)	1564 Financial Examiner/ Analyst II, PG023	(\$166,704)	\$-0-

EXPENSES:

Position	Title	Amount	Non-Recurring
(3)	Expenses @ \$1,800	(\$ 5,400)	\$-0-

TR/HR/DMS:

Position	Title	Amount	Non-Recurring
(3)	TR/HR/DMS@ \$339	(\$ 1,017)	\$-0-

	COL A23 SCH VIIIC REPRIORTIZN POS	COL A24 SCH VIIIC N/R 2017-18 POS	COL A25 SCH VIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SECURITIES REGULATION							43900570
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
FUNDING REPRIORITIZATIONS							3D00000
REDIRECT RESOURCES FROM SECURITIES REGULATION TO FINANCIAL INVESTIGATIONS - DEDUCT							3D00140

Total for 43900570 Division (\$173,121)  
 Of Securities

This issue is the deduct for the reprioritization, issue 3D00150 is the add.

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POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A23 - SCH VIIIC REPRIORTIZN							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
C0001 001	3.00-	112,993-		53,711-	166,704-	0.00	166,704-
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							166,704-
	3.00-	112,993-		53,711-	166,704-		166,704-

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TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	3.00-	173,121-					2000
SALARY RATE.....		112,993-					

	COL A23 SCH VIIIIC REPRIORTIZN POS	COL A24 SCH VIIIIC N/R 2017-18 POS	COL A25 SCH VIIIIC ANZ 2017-18 POS	AMOUNT	AMOUNT	AMOUNT	CODES
FINANCIAL SERVICES							43000000
TOTAL: FINANCIAL SERVICES							43000000
BY FUND TYPE							
GENERAL REVENUE FUND				25,444,438-			1000
TRUST FUNDS				25,444,438			2000
TOTAL DEPARTMENT.....							

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* BPEADL01                               STATISTICAL INFORMATION                               10/14/2016 10:01:36 *
* BUDGET PERIOD: 2007-2018                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 09/16/2015                COMPILE TIME: 09:40:41                                PAGE:      1      *
*****
*                                     SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: S8C
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
* ITEMIZATION OF EXPENDITURE:                IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7: 43      LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED):
*   5
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
*
* FUND GROUPS SET:          OR FUND:                FUNDING SOURCE IDENTIFIER:                MERGE FSI (Y/N): N
* FCO (Y/N): Y      FTE (Y/N): Y                SALARY RATE (Y/N): Y
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED):
*   3
*
* REPORT OPTION: 1          COLUMN SELECTION: A23          A24          A25          CODES
* 1=EAD REPORT
* 2=SCHEDULE IV/IT ISSUES          REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N  THAT EXCEED:
* 3=STATEWIDE ISSUES
* 4=SCHEDULE VIIIA ISSUES
* SCHEDULE VIIIA ISSUES SPREADSHEET (Y/N): N
*
* LEVELS OF TOTALS:  (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: N          ITEM OF EXP: N          GROUP: N          DEPARTMENT: T          DIVISION: N          BUREAU: N
* SUB-BUREAU: N          LBE: T          POLICY AREA: N          PROG COMP: T          D3A SUM ISSUE: N          D3A DETAIL ISSUE: L
* MAJOR APP CAT: N          MINOR APP CAT: D
*
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)          REPORT SEQUENCE: DEPT/BUDGET ENTITY: N  A=ALPHABETICAL
*                                     PROGRAM COMPONENT: N  N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:                PROGRAM COMPONENT NARRATIVE (Y/N): N
*
* ISSUE/ACTIVITY NARRATIVE SET: A6          PRIORITY ISSUE NARRATIVE SET (1-9):
*
* INCLUDE POSITION DATA (Y/N): Y
*
* INCLUDE COLUMN CODES (Y/N): Y
*
* OUTPUT FORMAT: L          PAGE BREAKS:
* L=LANDSCAPE          (IOE, GRP, DEP, DIV,          REPORT HEADING:                SCHEDULE VIIIC
* P=PORTRAIT          BUR, SUB, LBE, PRC,          PRIORITY LISTING FOR POSSIBLE
*                                     SIS, ISC)          REPRIORITIZATION FOR REQUEST YEAR
* -----

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