

| BUDGET ENTITY | D3A ISSUE CODE | COLUMN NUMBERS | CODE | ERROR MESSAGE | PAGE |
|---------------|----------------|----------------|------|---------------|------|
|---------------|----------------|----------------|------|---------------|------|

THERE WERE 0 ERRORS DETECTED

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>EXECUTIVE DIR/SUPPORT SVCS</u> | | | | 43010100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | <u>1602.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 6,391,113 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 123.00 | | | |
| ADMINISTRATIVE TRUST FUND -STATE | 9,015,545 | | | 2021 1 |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| ADMINISTRATIVE TRUST FUND -STATE | 107,899 | | | 2021 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | 1,333,766 | | | 2021 1 |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| ADMINISTRATIVE TRUST FUND -STATE | 10,000 | | | 2021 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| ACQUISITION/MOTOR VEHICLES | | | | 100021 |
| ADMINISTRATIVE TRUST FUND -STATE | 1,240,217 | | | 2021 1 |
| ===== | | | | |
| CONTRACTED SERVICES | | | | 100777 |
| ADMINISTRATIVE TRUST FUND -STATE | 427,325 | | | 2021 1 |
| ===== | | | | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| ADMINISTRATIVE TRUST FUND -STATE | 3,500 | | | 2021 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>EXECUTIVE DIR/SUPPORT SVCS</u> | | | | 43010100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | <u>1602.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | 57,554 | | | 2021 1 |
| TENANT BROKER COMMISSIONS | | | | 105084 |
| ADMINISTRATIVE TRUST FUND -STATE | 60,000 | | | 2021 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| ADMINISTRATIVE TRUST FUND -STATE | 144,268 | | | 2021 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | 49,773 | | | 2021 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 123.00 | | | |
| TOTAL ISSUE..... | 12,449,847 | | | |
| TOTAL SALARY RATE..... | 6,391,113 | | | |
| CASUALTY INSURANCE PREMIUM | | | | 1001090 |
| ADJUSTMENT | | | | 100000 |
| SPECIAL CATEGORIES | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | |
| ADMINISTRATIVE TRUST FUND -STATE | 19,666 | | | 2021 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|------------------------------------|---------|--------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| EXECUTIVE DIR/SUPPORT SVCS | | | | | | | 43010100 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| EXEC LEADERSHIP/SUPPRT SVC | | | | | | | <u>1602.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | |
| AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 10,559 | | | | | 2021 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 742 | | | | | 2021 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 61,461 | | | | | 2021 1 |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 1,792- | | | | | 2021 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>EXECUTIVE DIR/SUPPORT SVCS</u> | | | | 43010100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | <u>1602.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 34- | | 2021 1 |
| ===== | | | | |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION SALARIES AND BENEFITS | | | | 26A6520 |
| | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 43,901 | | 2021 1 |
| ===== | | | | |
| PROGRAM ISSUES | | | | 4000000 |
| TENANT BROKER COMMISSION FEES | | | | 4000610 |
| SPECIAL CATEGORIES | | | | 100000 |
| TENANT BROKER COMMISSIONS | | | | 105084 |
| ADMINISTRATIVE TRUST FUND -STATE | | 65,000 | | 2021 1 |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #2: Promote Financial Accountability and Transparency. Goal #3: Improve efficiency and customer driven value.

This issue requests additional budget authority in the Tenant Broker Commissions Fees category. The Fiscal Year 2017-18 tenant broker commissions are projected to be \$121,658. In Fiscal Year 2015-16, \$110,000 was expended in this category and \$79,000 is projected for Fiscal Year 2016-17. The recurring appropriation in this category is 60,000. Budget amendments were requested and approved during Fiscal Year 2015-16 and 2016-17 to cover the lease commission amounts.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>EXECUTIVE DIR/SUPPORT SVCS</u> | | | | 43010100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | <u>1602.00.00.00</u> |
| PROGRAM ISSUES | | | | 4000000 |
| 1-IN-100 YEAR HURRICANE FISCAL | | | | |
| IMPACT REPORT | | | | 4000620 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| ADMINISTRATIVE TRUST FUND -STATE | 200,000 | | | 2021 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote Financial Accountability and Transparency. Goal #3: Improve efficiency and customer driven value.

Pursuant to s. 215.55952, Florida Statutes, the Chief Financial Officer shall provide a report on the economic impact on the state of a 1-in-100-year hurricane to the Governor, the President of the Senate, and the Speaker of the House of Representatives by March 1 of each year. The report shall include an estimate of the short-term and long-term fiscal impacts of such a storm on Citizens Property Insurance Corporation, the Florida Hurricane Catastrophe Fund, the private insurance and reinsurance markets, the state economy, and the state debt. The report shall also include an analysis of the average premium increase to fund a 1-in-100-year hurricane event and list the average cost, in both a percentage and dollar amount, impact to consumers on a county-level basis. The report may also include recommendations by the Chief Financial Officer for preparing for such a hurricane and reducing the economic impact of such a hurricane on the state. In preparing the analysis, the Chief Financial Officer shall coordinate with and obtain data from the Office of Insurance Regulation, Citizens Property Insurance Corporation, the Florida Hurricane Catastrophe Fund, the Florida Commission on Hurricane Loss Projection Methodology, the State Board of Administration, the Office of Economic and Demographic Research, and other state agencies.

This issue requests funding to complete the report.

| | | | | |
|-----------------------------------|------------|-------|-------|----------------------|
| TOTAL: EXEC LEADERSHIP/SUPPRT SVC | | | | <u>1602.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 123.00 | | | |
| TRUST FUNDS..... | 12,849,350 | | | 2000 |
| SALARY RATE..... | 6,391,113 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| <u>LEGAL SERVICES</u> | | | | | | | 43010200 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | | | | <u>1602.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 5,052,908 | | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| 94.00 | | | | | | | |
| ADMINISTRATIVE TRUST FUND -STATE | 6,920,329 | | | | | | 2021 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| ADMINISTRATIVE TRUST FUND -STATE | 279,388 | | | | | | 2021 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | 714,736 | | | | | | 2021 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| ADMINISTRATIVE TRUST FUND -STATE | 3,639 | | | | | | 2021 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TRANS TO DIV ADM HEARINGS | | | | | | | 100565 |
| ADMINISTRATIVE TRUST FUND -STATE | 274,758 | | | | | | 2021 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| ADMINISTRATIVE TRUST FUND -STATE | 253,306 | | | | | | 2021 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | 32,918 | | | | | | 2021 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>LEGAL SERVICES</u> | | | | 43010200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | <u>1602.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| ADMINISTRATIVE TRUST FUND -STATE | | 17,361 | | 2021 1 |
| ===== | | ===== | | ===== |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 28,408 | | 2021 1 |
| ===== | | ===== | | ===== |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 94.00 | | | |
| TOTAL ISSUE..... | | 8,524,843 | | |
| TOTAL SALARY RATE..... | | 5,052,908 | | |
| ===== | | ===== | | ===== |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | | 1,291- | | 2021 1 |
| ===== | | ===== | | ===== |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 11,537 | | 2021 1 |
| ===== | | ===== | | ===== |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>LEGAL SERVICES</u> | | | | 43010200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | <u>1602.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 349 | | | 2021 1 |
| ===== | | ===== | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 49,362 | | | 2021 1 |
| ===== | | ===== | | |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | 1,023- | | | 2021 1 |
| ===== | | ===== | | |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | 20- | | | 2021 1 |
| ===== | | ===== | | |

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>LEGAL SERVICES</u> | | | | 43010200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>EXEC LEADERSHIP/SUPPRT SVC</u> | | | | <u>1602.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 35,259 | | | 2021 1 |
| TOTAL: EXEC LEADERSHIP/SUPPRT SVC | | | | <u>1602.00.00.00</u> |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | 94.00 | | | |
| SALARY RATE..... | 8,619,016 | | | 2000 |
| | 5,052,908 | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | | | | <u>1603.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 7,014,597 | | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| | 131.00 | | | | | | |
| ADMINISTRATIVE TRUST FUND -STATE | 10,080,920 | | | | | | 2021 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| ADMINISTRATIVE TRUST FUND -STATE | 98,834 | | | | | | 2021 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | 3,186,489 | | | | | | 2021 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| ADMINISTRATIVE TRUST FUND -STATE | 844,120 | | | | | | 2021 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| ADMINISTRATIVE TRUST FUND -STATE | 7,398,746 | | | | | | 2021 1 |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| ADMINISTRATIVE TRUST FUND -STATE | 2,900 | | | | | | 2021 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | 66,557 | | | | | | 2021 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|---------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | | | | <u>1603.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| DEFERRED-PAY COM CONTRACTS | | | | | | | 105280 |
| ADMINISTRATIVE TRUST FUND -STATE | | 184,076 | | | | | 2021 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| ADMINISTRATIVE TRUST FUND -STATE | | 8,275 | | | | | 2021 1 |
| ===== | | | | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 45,929 | | | | | 2021 1 |
| ===== | | | | | | | |
| DATA PROCESSING SERVICES | | | | | | | 210000 |
| STATE DATA CENTER - AST | | | | | | | 210001 |
| ADMINISTRATIVE TRUST FUND -STATE | | 1,777 | | | | | 2021 1 |
| ===== | | | | | | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | 131.00 | | | | | | |
| TOTAL ISSUE..... | 21,918,623 | | | | | | |
| TOTAL SALARY RATE..... | 7,014,597 | | | | | | |
| ===== | | | | | | | |
| CASUALTY INSURANCE PREMIUM | | | | | | | 1001090 |
| ADJUSTMENT | | | | | | | 100000 |
| SPECIAL CATEGORIES | | | | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | | | | |
| ADMINISTRATIVE TRUST FUND -STATE | | 17,176- | | | | | 2021 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 18,287 | | 2021 1 |
| ===== | | ===== | | ===== |
| DATA PROCESSING SERVICES | | | | 210000 |
| STATE DATA CENTER - AST | | | | 210001 |
| ADMINISTRATIVE TRUST FUND -STATE | | 1 | | 2021 1 |
| ===== | | ===== | | ===== |
| TOTAL: FLORIDA RETIREMENT SYSTEM | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | |
| TOTAL ISSUE..... | | 18,288 | | |
| ===== | | ===== | | ===== |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 280 | | 2021 1 |
| ===== | | ===== | | ===== |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 72,764 | | 2021 1 |
| ===== | | ===== | | ===== |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| DATA PROCESSING SERVICES | | | | 210000 |
| STATE DATA CENTER - AST | | | | 210001 |
| ADMINISTRATIVE TRUST FUND -STATE | | 3 | | 2021 1 |
| ===== | | | | |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | |
| TOTAL ISSUE..... | | 72,767 | | |
| ===== | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 1,653- | | 2021 1 |
| ===== | | | | |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 32- | | 2021 1 |
| ===== | | | | |
| INFORMATION TECHNOLOGY STAFF | | | | |
| AUGMENTATION | | | | 2103150 |
| EXPENSES | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 11,024- | | 2021 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| INFORMATION TECHNOLOGY STAFF | | | | |
| AUGMENTATION | | | | 2103150 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| ADMINISTRATIVE TRUST FUND -STATE | | 532,292- | | 2021 1 |
| ===== | | ===== | | ===== |
| TOTAL: INFORMATION TECHNOLOGY STAFF | | | | 2103150 |
| AUGMENTATION | | | | |
| TOTAL ISSUE..... | | 543,316- | | ===== |
| ===== | | ===== | | ===== |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 51,974 | | 2021 1 |
| ===== | | ===== | | ===== |
| DATA PROCESSING SERVICES | | | | 210000 |
| STATE DATA CENTER - AST | | | | 210001 |
| ADMINISTRATIVE TRUST FUND -STATE | | 2 | | 2021 1 |
| ===== | | ===== | | ===== |
| TOTAL: ANNUALIZATION OF STATE HEALTH | | | | 26A6520 |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | | 51,976 | | ===== |
| ===== | | ===== | | ===== |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 211,672 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 211,672 | | | 2021 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 211,672 | | | |
| TOTAL SALARY RATE..... | 211,672 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 211,672 | | | 211,672 | 0.00 | 211,672 |
| ----- | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND | | | | |
| RECRUITMENT | | | | 3002A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2021 ADMINISTRATIVE TRUST FUND | | | | | | | 211,672 |
| | 0.00 | 211,672 | | | 211,672 | | 211,672 |

| | |
|---|---------|
| AGENCY-WIDE INFORMATION TECHNOLOGY | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - CONTRACTUAL | 36256C0 |
| LUMP SUM | 090000 |
| INFO TECH - CONTRACTUAL | 093600 |
| ADMINISTRATIVE TRUST FUND -STATE | 150,000 |
| | 2021 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

The Office of Information Technology (OIT), plans, manages, and operates the information technology resources for the Department of Financial Services (DFS), the Office of Financial Regulation, and the Office of Insurance Regulation. OIT focuses on understanding the business of its customers, improving those business processes, and delivering innovation. A major business element of the Office of Information Technology includes contracts and staff augmentation. Needs in this category have been identified to include contract escalation, project staff augmentation, and enterprise cloud services. A description of these needs follows.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|--------|-------------|--------|------------|--------|----------------------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | 43000000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | | | 43010000 |
| GOV OPERATIONS/SUPPORT | | | | | | 43010300 |
| <u>INFORMATION TECHNOLOGY</u> | | | | | | 16 |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | | | <u>1603.00.00.00</u> |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | | | 3620000 |
| CONTRACTUAL | | | | | | 36256C0 |

INFORMATION TECHNOLOGY CONTRACT ESCALATION

Over the years, the cost of software support and maintenance has increased; however, the Office of Information Technology's continuation budget has not increased to support these specific escalated costs. Among several contract increases, the largest increase is the maintenance agreement for Software AG support. Software contracts are required in order to run the Florida Accounting Information Resource (FLAIR) application.

Additional funding will allow the OIT to continue software support applications for FLAIR and for enterprise applications. Without additional funding, the office will continue to request budget transfers from other categories to maintain these contracts, which takes away from necessary expenditures from other budget categories. For example, transferring from salaries will force OIT to keep positions vacant in order to maintain sufficient budget authority to transfer to the contracted services category. Transferring budget authority to contracted services from expenses will hinder the office from providing support to FLAIR at the required level since the FLAIR application is currently subsidized by the Information Technology budget entity. Without increased funding for increased maintenance and support costs, all divisions will experience an impact on the department's enterprise operation and services.

INFORMATION TECHNOLOGY STAFF AUGMENTATION

The Office of Information Technology requests contracted services funding for additional staff augmentation to increase its operational efficiencies by adding additional resources to perform project management duties to better meet the needs of the agency.

Currently, there are 155 identified projects in the active queue requiring action by the OIT. Gartner research projects that each project manager can manage approximately 2.8 projects. This would allow the division to begin work on approximately 6 additional projects for the fiscal year that otherwise may have been postponed to the following fiscal year.

These funds will help with the growing demand for technical solutions and off-load project management responsibilities to professional project managers, so technical staff may concentrate on the technical solution to be implemented over the administration and planning responsibilities performed by a project manager.

ENTERPRISE CLOUD SERVICES (MICROSOFT OFFICE 365 ENHANCEMENTS)

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| CONTRACTUAL | | | | 36256C0 |

This issue focuses on the continued development and enhancement of the agency's information technology and telecommunication "cloud" services. As the agency is currently transitioning to Office 365, there are a number of additional features outside the current migration scope that offer the ability to further augment and progress the current technological infrastructure.

Technology has become the underlining foundation to all aspects of business and personal interaction of information, making it a critical investment for maintaining and evolving. There is an advantage of migrating portions of infrastructure, responsibilities, and resources to outside sources. Certain outsourcing will energize the agency's internal IT teams to focus on strategic alignment for quicker delivery of value added business outcomes. It will increase reliability and business continuity; reducing risk, loss of work and costs associated with dedicated response teams and troubleshooting. It will also protect against loss of critical knowledge and expertise linked with key employee turnover.

The OIT is planning to expand and divest portions of IT services where the industry has the most experience. That includes evaluating various telephony, email and messaging services and merging them towards a hosted unified communications system that fully integrates with Office 365 (Exchange in the cloud). Also, to embrace and invest more into subscription based software services, specifically Microsoft's Enterprise Cloud Suite (ECS), to complement the Office 365 model, giving the agency better license control, enterprise mobility, software assurance, and the ability to stay current on critical systems for productivity. These enhancements will improve and provide additional reliability with core day-to-day services.

Funding will include the recurring subscription costs of a pure per-user licensing model (moving away from licensing devices). The agency and its customers (the Office of Insurance Regulation and the Office of Financial Regulation) currently require a total of 3,000 accounts.

Currently, DFS is running end-user workstations by Microsoft's Windows operating system version 7 (released October 2009). It is imperative that organizations plan strategically in advance for imminent updates and upgrades that affect current core services of the end-users' day-to-day activities. For manufacturers to stay competitive, previous developed software and hardware have end-of-life dates, dismissing their responsibilities of providing continued support. However, non-supported products cause possible future compatibility and security concerns. Also, without the subscription model, current license management continues to be difficult and requires a lot of resources to reconcile. A per-user model simplifies the license management and adapts to the new world where employees are commonly introducing multiple devices to the environment.

Without striving towards a unified communication platform, the complexity of maintaining different systems and their intra-connections remain obscure and difficult for future upgrades. As the department migrates towards the subscription-based Microsoft Office 365, it has become clear the advantages of rendering similar models with other IT cloud services. Especially since there is huge momentum in the IT industry to move in that direction. The standard perpetual license model is gradually becoming yesterday's process. Problems with license management has grown more

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| CONTRACTUAL | | | | 36256C0 |

complex, with contracts over complicating the many different scenarios in which users can and cannot use their software, it has become increasingly challenging to reconcile in order to stay compliant.

This issue will provide the agency with better license control, enterprise mobility, software assurance, and the ability to stay current on critical systems for productivity. These enhancements will improve and provide additional reliability with core day-to-day services.

| | | | | |
|-------------------------------------|-----------|--|--|---------|
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| SECURITY | | | | 36257C0 |
| LUMP SUM | | | | 090000 |
| INFO TECH - SECURITY | | | | 093610 |
| ADMINISTRATIVE TRUST FUND -STATE | 2,100,000 | | | 2021 1 |

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AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

The Office of Information Technology (OIT), plans, manages, and operates the information technology resources for the Department of Financial Services (DFS), the Office of Financial Regulation, and the Office of Insurance Regulation. OIT focuses on understanding the business of its customers, improving those business processes, and delivering innovation.

Information Technology security is the process responsible for ensuring confidentiality, integrity of assets, information, data, and services that match the needs of the department. This includes handling of tools and databases that are used to manage knowledge, information and data. Security involves building access, phone systems, collecting, storing, managing, and updating rapidly changing business environments in the department. These needs include a data loss protection program, a modernized data center, application load balancer, and increased perimeter security, a modernized security perimeter using next-generation fire walls, enterprise data management software for backup and disaster recovery, and a departmental local area network wireless fidelity coverage and security enhancements. A description of each of these needs follows.

DATA LOSS PROTECTION

The purchase of software and equipment will provide the resources to implement a first rate data loss protection program

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| SECURITY | | | | 36257C0 |

within the department. Currently, DFS has limited options for discovering, monitoring, or protecting sensitive data at rest (sensitive data stored on file servers, databases, workstations, and web servers) or in motion (sensitive data being transmitted by web service, file transfer protocol, email, and USB transfer). This makes it difficult to protect the sensitive data that the division is required to protect by law. The department is currently protecting sensitive data at rest and in motion using a combination of written policy and technical controls that may perform data protection as a secondary feature.

There is a onetime cost for the perpetual license for the software that performs the data loss protection functions; and a onetime cost for a network appliance that runs the software. The software includes discovering sensitive data where it is stored; on databases, file servers, workstations, and web sites. This product also monitors network traffic for sensitive data as is it transmitted, giving the department the ability to detect potential data breaches in near real time. This suite includes an appliance that can stop sensitive data from being transmitted if predetermined thresholds for sensitivity are matched. There is also a recurring cost for annual maintenance and support for the software and physical appliance suite described.

Without this program, the department will continue to utilize written policy and a patchwork collection of technical solutions to meet data loss prevention needs. Sensitive data is one of the primary products that the department uses to fulfill statutory requirements, and should be protected by a first rate data loss protection program. The return on investment is reducing the chance of suffering a potential major financial loss as the result of litigation due to a data breach. Furthermore, the implementation of this request will continue to uphold the department's standard as a Trustworthy Financial Services Provider that the people of the State of Florida expect.

MODERNIZE DATA CENTER, APPLICATION LOAD BALANCER, AND INCREASED PERIMETER SECURITY

The OIT has identified the need for subscriptions, hardware, and continued maintenance and support to modernize the load balancer appliances within the data centers located in the Fletcher primary data center and the Winter Haven Disaster Recovery (DR) site. A load balancer divides the amount of work that a computer distributes across multiple resources, such as external web sites. The department's current load balancer support contract will no longer be available after April, 2018.

The current load balancer does not provide any intelligent security services which causes risks in security breaches that happen daily. The new system will provide long term upgrade capacity, consolidate and compartmentalize the network in order to harden and reduce the attack surface of the overall infrastructure. To address a component of the layered security strategy, the next generation load balancer includes the following security functions: web application firewall services establishing another layer of protection specializing on HTML attacks; intelligent load balancing based on application resources; blocks malicious activity at the earliest point; prioritizes and provides secure access control to applications; provides employee protection while utilizing the Internet; and optimizes the use of network bandwidth.

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| SECURITY | | | | 36257C0 |

To continue smooth operations of the current data center application load balancing service and in parallel computing, there is a need to begin designing, purchasing, implementing and eventually migrating to, six new load balancers design. Without the new load balancers, the department will be unable to purchase hardware and software support for the current data center load balancers after April, 2018. The load balancers provide redundancy for all departmental web services, both internal and external. Eliminating the load balancer and support will disrupt hosting web services in a highly available and secure configuration. The proposed load balancer with web application firewalling will be an essential security function that currently is not being performed.

The proposed load balancer will displace the legacy load balancer with its yearly maintenance support with the benefits of a well-designed layered security approach that will enable infrastructure administrators and security personnel to identify and block entities who attempt to compromise a public facing and internal resource. All departmental public and internal web service applications will be hardened by fronting it with the proposed next-generation load balancers.

MODERNIZING AND FORTIFYING THE DEPARTMENT'S EXTERNAL AND INTERNAL SECURITY PERIMETER USING NEXT-GENERATION FIREWALL

The OIT has identified the need to purchase software subscriptions, training, hardware, and continued support and maintenance to modernize the firewalls within the data centers in the Fletcher primary data center and the Winter Haven Disaster Recovery (DR) site. The department utilizes multiple firewalls to create a security perimeter between the Internet and intranet and the department's public application services. The data center also has internal firewalls to partially reduce the attack surface within internal applications. The internal firewalls are also used to separate development, testing and production environment.

The current Cisco firewalls only perform standard packet inspection which is a technology that has been in existence for 16 years and does not protect the department sufficiently against the new attack vectors. The current firewalls will no longer be supported by the vendor as of October, 2018.

Organizations are under unrelenting attack, and security breaches are happening every day. The OIT is has a long term plan to upgrade capacity, consolidate, and compartmentalize the network in order to harden and reduce the attack surface of the overall infrastructure. To address a significant perimeter component of the layered security strategy, the next-generation firewalls will provide standard packet inspection and in addition, will provide the department with a more modernized set of security functions to protect its infrastructure and data resources.

This includes:

1. Intrusion Prevention System functionality which will detect and block exploits and evasive techniques on both the network and application layers, including port scans, buffer overflows, packet fragmentation, and obfuscation.
2. Malware protection functionality prevents malware delivery and installation.
3. Botnet protection which will help prevent attackers from leaving with important data through command and control bots.
4. Increased telemetry allowing the department in seeing malicious events and the context around the attack such as

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| SECURITY | | | | 36257C0 |

severity, reputation and impact.

5. Mobile protection will allow to extend the perimeter firewall protection to the department's mobile workforce.
6. Secure web access and protection from malware and phishing sites.

To continue smooth operations of the current data center firewalls service and in parallel, begin designing, implementing and eventually migrating, the purchase of six new next-generation firewalls is needed. Next-generation firewalls have developed out of necessity in today's computing environments, where malware attacks have grown in sophistication, intensity and attacks have found ways of exploiting weaknesses in traditional firewalls. Without funding, the department will continue using legacy firewalls which is insufficient for adequately protecting the departments information technology and data resources. OIT will be unable to protect the agency's external facing web sites from attacks; reduce the attack surface of internal applications; and introduce data loss prevention mechanisms. Workloads to be protected include Oracle databases, SQL Databases, department web services, applications, file shares, employee Internet usage and secure mobile access to data center resources.

The benefits of a well-designed layered security approach will enable infrastructure administrators and security personnel to identify and block entities who attempt to compromise a public facing and internal resource. If an unauthorized entity gains access to a system, defense in depth minimizes the adverse impact to other areas and gives administrators access to modern countermeasure mechanisms.

ENTERPRISE DATA MANAGEMENT SOFTWARE FOR BACKUP AND DISASTER RECOVERY

The department uses more than twenty different software tools and methods to manage backups and disaster recovery replication of user documents, email, MS SQL databases, Oracle databases, VMWare, and other data. This results in unreliable backup schedules, increased recovery times; unpredictable disaster recovery replication; difficulties in synchronizing backups of different products to the same point in time; inefficient use of staff time managing the upgrades and maintenance of multiple software packages; lack of work flow automation; and manual orchestration per application when failing over to the Disaster Recovery site introduces mistakes and extra time.

The department plans to simplify and automate the backup and disaster recovery of applications and data using a centralized enterprise data management software solution that provides a single tool to manage the data. This software will increase the reliability and integrity of backups and reduce the amount of time required to recover from a disaster reducing losses of data, length of outages, and loss of staff time.

The flexible search and reporting features of the software will increase the security of the agency by assisting in the detection and mitigation of ransomware type attacks. Current software tools only work with NetApp hardware. In comparison, the new tool works with a wide variety of storage, backup, and cloud based products and will prevent future hardware vendor lock-in by allowing selection and change of disk storage hardware without replacing the backup, recovery, or disaster recovery software.

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| SECURITY | | | | 36257C0 |

Backups and restores are currently being performed by a large set of thirty-one existing tools. The department does not have software to automate disaster recovery and is currently using a manual process. Purchase of a centralized data management software solution will simplify and automate the backup and disaster recovery of applications and data. This software will increase the reliability and integrity of backups and reduce the amount of time required to recover from a disaster. Replacing the existing tools that are currently in use will not return any funds as the tools are either created by the department, provided as part of other software licenses, or provided by a vendor as part of their hardware support.

The benefits of this software will be to increase the reliability and integrity of backups and reduce the amount of time required to recover from a disaster. The flexible search and reporting features of the software will increase the security of the agency by assisting in the detection and mitigation of ransomware type attacks. In addition, the capabilities of the data management software to interface with a wide variety of storage, backup, and cloud based products will reduce future hardware vendor lock-in.

DEPARTMENTAL LOCAL AREA NETWORK WIRELESS FIDELITY (LAN-WIFI) COVERAGE AND SECURITY ENHANCEMENTS

The agency's current Cisco-based Local Area Network (LAN) Wireless Fidelity (Wi-Fi) solution was installed in 2011. It serves a multitude of LAN wireless connectivity needs for the entire department which includes connectivity for the department owned laptops, tablets and mobile devices. To enhance security within the department, the OIT needs to purchase hardware, software, and continued maintenance and support.

While current Wi-Fi coverage areas are minimally met, the OIT is seeking to improve the Wi-Fi system in order to increase coverage and harden the security posture of the department's LAN wireless network as well as to keep up with the increasing mobile device usage in the information technology landscape as a whole.

As mobile devices (tablets, smartphones, and other Wi-Fi enabled products) become more prevalent, Wireless Infrastructure is becoming a more popular vector of attack to IT infrastructure. While DFS currently utilizes Cisco Prime Infrastructure (and other tools) to monitor the department's wireless infrastructure, additional licensing of this product is needed to take advantage of more advanced security threat detection and mitigation features.

Due to an increased amount of mobile devices on the wireless network, changes in office layout over time, office relocations, as well as a limited number of wireless access points originally purchased, there is a need to purchase more wireless access points to address signal coverage holes across all DFS offices. Improving wireless coverage for department-issued devices (laptops, mobile devices) will increase workplace productivity for employees. Employees will be able to better utilize Wi-Fi and their mobile device without interruptions of network service. Additionally, an increased security posture will assist in preventing a potential security breach.

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - SECURITY | | | | 36257C0 |

Defense in Depth is a practical security strategy for achieving Information Assurance in today's highly networked environments. As the department moves forward to modernize and fortify all of its security layers, network core and edge plus end-point security plays a significant role in ensuring the safeguard of information entrusted to the agency. Infrastructure hardening refers to changing the default posture of a system to a more secure state by compartmentalizing and reducing the attack surface.

| | | | | |
|--|---------|--|--|---------|
| LUMP SUM - INFORMATION TECHNOLOGY - INFRASTRUCTURE | | | | 36258C0 |
| LUMP SUM | | | | 090000 |
| INFO TECH - INFRASTRUCTURE | | | | 093620 |
| ADMINISTRATIVE TRUST FUND -STATE | 750,000 | | | 2021 1 |

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AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

The Office of Information Technology (OIT), plans, manages, and operates the information technology resources for the Department of Financial Services (DFS), the Office of Financial Regulation, and the Office of Insurance Regulation. OIT focuses on understanding the business of its customers, improving those business processes, and delivering innovation.

Information Technology infrastructure is necessary for sustaining a foundation to support various business applications. The OIT has identified infrastructure needs for connectivity and compatibility necessary for the rapidly changing business environments in the department. These needs include a telephone system upgrade; migrating and subscribing to Enterprise Vault.Cloud; processor and named user licenses (Oracle RAC); network and data center modernization; and hyper-converged systems to modernize the data center's computer, storage, and network. A description of these needs follows.

TELEPHONE SYSTEM UPGRADE

The department's current phone system and call center software was purchased in implemented in 2003 followed by an upgrade in 2011 to Cisco Call Manager phone system version 8.5 and Unified Contact Center Enterprise version 8.5, respectively. Telephone handsets have been in use since the department's original transition to Voice over Internet Protocol (VoIP) technology in 2003.

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| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| INFRASTRUCTURE | | | | 36258C0 |

Both systems will reach end-of-support (no longer supported by the vendor) during the summer of 2017. Funding is needed to upgrade the existing on-premise Call Manager 8.5 and Call Center Software 8.5 to the latest versions of 11.5; replace 900 Call Center Agent telephone handsets with the equivalent number of new handsets that are compatible with version 11.5; and utilize professional services to provide project management, staff augmentation, engineering and design services, and implementation for the upgrade of the departments phone system.

The Office of Information Technology projects that it will require 1,000 hours to upgrade the existing call manager and call center software. DFS currently has 3,169 telephone handsets functioning across the agency, Office of Financial Regulation and Office of Insurance Regulation. DFS currently has 14 call centers. Of the 3,169 total telephone handsets, DFS has 866 call center 'agents' readily-available. Of the 866 agents, 159 are vacant. This brings the total number of active Call Center telephones to 707.

The most critical return on investment is guaranteed continuation of VoIP telephone and call center service, technical support and maintenance for the department. Additionally, new enhanced features including a web-based call center agent client will replace an aged desktop 'thick' client that frequently poses operational issues for call center staff. There is no expectation that maintenance will exceed the current rate so no additional maintenance cost is requested.

MIGRATING AND SUBSCRIBING TO ENTERPRISE VAULT.CLOUD

Funding is needed for the migration of archived email to Enterprise Vault cloud (EV.cloud) for public records requests. Public records requests are currently being performed on two different platforms. The older platform, Mimosa, was used until December 2012. Mimosa is used only for searches, not archiving. Since January 2012, the department has been using an archiving platform called Enterprise Vault (EV). The Mimosa data was never migrated to EV; therefore, the public records personnel who facilitate a request must perform the same search in two different systems.

The journaled email older than January 2012 for DFS is currently stored in a Mimosa information archive platform that is no longer supported by the vendor. The Office of Information Technology is in an active project to migrate the departments email service from on premise Exchange 2010 servers to the hosted Office 365 Exchange Online service. To be able to better support and manage more efficiently any public records request, OIT will transition the unsupported Mimosa archived e-mail as well as the current on-premise Enterprise Vault archived data to Enterprise Vault hosted cloud service.

Without this funding, any problems with the legacy system would require significant staff time to restore service potentially affecting the capabilities of performing the public records request. Staff would also be required to continue performing public records requests in two archive systems which requires increased staff resources due to limited search capabilities and introduces delays in replying to the requester.

| | COL A03 | COL A04 | COL A05 | |
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| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| INFRASTRUCTURE | | | | 36258C0 |

INFORMATION TECHNOLOGY PROCESSOR AND NAMED USER LICENSES (ORACLE RAC)

The Office of Information Technology also seeks to purchase 16 ORACLE RAC (Real Application Cluster) Processor Licenses along with maintenance and support; and 75 Named User Licenses. The purchase of these items will provide very high availability for ORACLE Databases and Applications, including those of the Office of Financial Regulation, the Office of Insurance Regulation, Public Assistance Fraud, Agent and Agency Services, State Fire Marshal, Treasury, Funeral and Cemeteries, and Workers Compensation.

Currently, when an ORACLE database fails over to another server, application users' transactions are lost and the user has to start the transaction over again. With ORACLE RAC, the Application State is maintained in the event of a database fail-over and the end users' transaction is uninterrupted. Including maintenance windows, it is estimated that at least 30 interruptions of service have occurred over the past 3 years. Maintenance window events can be in excess of 8 hours long.

NETWORK AND DATA CENTER MODERNIZATION

One of the primary objectives of the Office of Information Technology, is to facilitate bandwidth growth, increase performance and security telemetry, support a complex virtual environment, support a hybrid cloud environment, to implement automation via software defined networking standards, and to address current and future protocols such as Internet Protocol version 6 (IPv6).

The current data center core switches (heart of the data center) are over ten years of age. The department will modernize the two core switches plus all distribution switches within the data center. The new data center architecture has to continually evolve and grow to meet the needs of 380+ departmental applications, mobile, big data and cloud applications.

Organizations are under unrelenting attack, and security breaches happen every day. The Office of Information Technology is planning to upgrade capacity, consolidate and compartmentalize the network in order to harden and reduce the attack surface of the overall infrastructure. To address this problem, the modernized data center network will provide embedded security and policy-based automation using micro-segmentation and application contract constructs. The network will extend micro-segmentation isolation security for physical and virtual workloads in a data center using simple policies. Without funding, the current network will not handle the increased demand from current applications and data. The return on investment is realized with being able to design an optimal and scalable network with better performance metrics, measurements, reporting capabilities to aid in better decision making, troubleshooting, attack mitigation and reducing

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| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFORMATION TECHNOLOGY</u> | | | | 43010300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| LUMP SUM - INFORMATION TECHNOLOGY - | | | | |
| INFRASTRUCTURE | | | | 36258C0 |

the attack surface.

HYPER-CONVERGED SYSTEMS TO MODERNIZE DATA CENTER'S COMPUTER, STORAGE, AND NETWORK

Lastly, the Office of Information Technology plans to implement a Hyper-Converged Infrastructure to host the organization's Development and Test virtual servers located in the Tallahassee Data Center, and a replica system located at the Disaster Recover site. A Hyper-Converged Infrastructure would combine the computer, network, and storage infrastructures for the servers allowing for increased scalability, efficiency, and data protection while reducing the time to deploy new servers.

Without this implementation, the department will fail to keep pace with the advances of Information Technology as well as unable to take advantage of the improved efficiency in deploying future virtual servers. Currently, the majority of staff time is consumed by operational tasks. Moving toward a Hyper-Converged Infrastructure will reduce time spent on operational tasks and will allow staff to devote more time to projects.

Being able to simplify the management time required for administering multiple devices distributed among multiple teams to a single device provides a positive return on investment. Hyper-Convergence provides a cost savings by utilizing less expensive storage disks than the traditional storage infrastructure. This will free up the more expensive traditional storage infrastructure to be used for other projects and reducing costs to the department. The Hyper-Converged systems will provide an estimated 10:1 (or better) efficiency in data storage and deduplication, allowing the system increased storage efficiency over traditional storage infrastructure.

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|-------------------------------|------------|-------|-------|----------------------|
| TOTAL: INFORMATION TECHNOLOGY | | | | <u>1603.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 131.00 | | | |
| TRUST FUNDS..... | 24,711,429 | | | 2000 |
| SALARY RATE..... | 7,226,269 | | | |
| | ===== | ===== | ===== | |

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|-------------------------------------|----------------------------------|---------|----------------------------------|--------|---------------------------------|--------|---------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| CONSUMER ADVOCATE | | | | | | | 43010400 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| EXEC LEADERSHIP/SUPPRT SVC | | | | | | | 1602.00.00.00 |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 484,372 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 5.00 | | | | | 2393 1 |
| | | 562,668 | | | | | |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 61,100 | | | | | 2393 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF | -STATE | 68,357 | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | -STATE | 4,000 | | | | | 2393 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 20,471 | | | | | 2393 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF | -STATE | 697 | | | | | 2393 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF | -STATE | 1,888 | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|---------|---------|---------|--------|---------|--------|---------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| CONSUMER ADVOCATE | | | | | | | 43010400 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| EXEC LEADERSHIP/SUPPRT SVC | | | | | | | 1602.00.00.00 |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 1,777 | | | | | 2393 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | | 5.00 | | | | | |
| TOTAL ISSUE..... | | 720,958 | | | | | |
| TOTAL SALARY RATE..... | | 484,372 | | | | | |
| CASUALTY INSURANCE PREMIUM | | | | | | | 1001090 |
| ADJUSTMENT | | | | | | | 100000 |
| SPECIAL CATEGORIES | | | | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | | | | |
| INSURANCE REG TF | -STATE | 143 | | | | | 2393 1 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | 010000 |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | |
| AND EDUCATIONAL EXPENSES | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | |
| INSURANCE REG TF | -STATE | 890 | | | | | 2393 1 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | 1001500 |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | 010000 |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | |
| INSURANCE REG TF | -STATE | 35 | | | | | 2393 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|---------|--------|---------|--------|---------|--------|---------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| CONSUMER ADVOCATE | | | | | | | 43010400 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| EXEC LEADERSHIP/SUPPRT SVC | | | | | | | 1602.00.00.00 |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 2,568 | | | | | 2393 1 |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 809 | | | | | 2393 1 |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | |
| TOTAL ISSUE..... | | 3,377 | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 64- | | | | | 2393 1 |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 1- | | | | | 2393 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|---------|---------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| CONSUMER ADVOCATE | | | | | | | 43010400 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| EXEC LEADERSHIP/SUPPRT SVC | | | | | | | <u>1602.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | | | | 26A6520 |
| ANNUALIZATION SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 1,834 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 578 | | | | | 2393 1 |
| ===== | | | | | | | |
| TOTAL: ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | | | | 26A6520 |
| ANNUALIZATION | | | | | | | |
| TOTAL ISSUE..... | | 2,412 | | | | | |
| ===== | | | | | | | |
| TOTAL: EXEC LEADERSHIP/SUPPRT SVC BY FUND TYPE | | | | | | | <u>1602.00.00.00</u> |
| TRUST FUNDS..... | 5.00 | 727,750 | | | | | 2000 |
| SALARY RATE..... | | 484,372 | | | | | |
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| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 4,390,414 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | 5,568,120 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 495,551 | | | 2021 1 |
| TOTAL POSITIONS..... | 86.00 | | | |
| TOTAL APPRO..... | 6,063,671 | | | |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| GENERAL REVENUE FUND -STATE | 5,000 | | | 1000 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| GENERAL REVENUE FUND -STATE | 1,198,941 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 168,513 | | | 2021 1 |
| TOTAL APPRO..... | 1,367,454 | | | |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| GENERAL REVENUE FUND -STATE | 104,880 | | | 1000 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND -STATE | 4,896,336 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 511,206 | | | 2021 1 |
| TOTAL APPRO..... | 5,407,542 | | | |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| DEFERRED-PAY COM CONTRACTS | | | | 105280 |
| GENERAL REVENUE FUND -STATE | | 85,914 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 25,000 | | 2021 1 |
| TOTAL APPRO..... | | 110,914 | | |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| GENERAL REVENUE FUND -STATE | | 1,424 | | 1000 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| GENERAL REVENUE FUND -STATE | | 30,074 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 2,880 | | 2021 1 |
| TOTAL APPRO..... | | 32,954 | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 86.00 | | | |
| TOTAL ISSUE..... | 13,093,839 | | | |
| TOTAL SALARY RATE..... | 4,390,414 | | | |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | | 9,499 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 845 | | 2021 1 |
| TOTAL APPRO..... | | 10,344 | | |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | | 211 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 19 | | 2021 1 |
| TOTAL APPRO..... | | 230 | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | | 44,475 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 3,957 | | 2021 1 |
| TOTAL APPRO..... | | 48,432 | | |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| GENERAL REVENUE FUND -STATE | | 1,737- | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 104- | | 2021 1 |
| TOTAL APPRO..... | | 1,841- | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| GENERAL REVENUE FUND -STATE | | 21- | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 2- | | 2021 1 |
| TOTAL APPRO..... | | 23- | | |
| ===== | | | | |
| FLORIDA ACCOUNTING INFORMATION | | | | |
| RESOURCE (FLAIR) SUPPORT | | | | 2103134 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND -STATE | | 1,228,151- | | 1000 1 |
| ===== | | | | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | | 31,768 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 2,826 | | 2021 1 |
| TOTAL APPRO..... | | 34,594 | | |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 149,556 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | 149,556 | | | 1000 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 149,556 | | | |
| TOTAL SALARY RATE..... | 149,556 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? YES

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 149,556 | | | 149,556 | 0.00 | 149,556 |
| ----- | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | | | | <u>1603.00.00.00</u> |
| WORKLOAD | | | | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | | | | 3002A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 1000 GENERAL REVENUE FUND | | | | | | | 149,556 |
| | 0.00 | 149,556 | | | 149,556 | | 149,556 |

FLORIDA ACCOUNTING INFORMATION
 RESOURCE (FLAIR) HARDWARE SUPPORT
 SALARY RATE

30053C0
 000000

SALARY RATE..... 133,699

SALARIES AND BENEFITS

010000

GENERAL REVENUE FUND -STATE 2.00 179,538

1000 1

EXPENSES

040000

GENERAL REVENUE FUND -STATE 20,488 8,532

1000 1

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FLORIDA ACCOUNTING INFORMATION | | | | |
| RESOURCE (FLAIR) HARDWARE SUPPORT | | | | 30053C0 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| GENERAL REVENUE FUND -STATE | | 678 | | 1000 1 |
| TOTAL: FLORIDA ACCOUNTING INFORMATION | | | | 30053C0 |
| RESOURCE (FLAIR) HARDWARE SUPPORT | | | | |
| TOTAL POSITIONS..... | 2.00 | | | |
| TOTAL ISSUE..... | | 200,704 | 8,532 | |
| TOTAL SALARY RATE..... | 133,699 | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests two full time equivalent positions (FTE) for the support of the Data Center IBM and AS00 Mainframe. The applications residing on these systems are critical statewide applications such as the Florida Accounting Information Resource (FLAIR) system which processes over 52 million transactions, and over 16 million warrants and electronic transfers annually; the legislative appropriation system (LAS/PBS); and the Cash Management System (CMS) utilized by the Division of Treasury. These positions are vital to maintaining infrastructure support for these mission critical applications until the new accounting system (PALM) is up and running. The additional FTE will help mitigate risks associated with the outdated mainframe systems and provide continued customer service without system interruptions. These positions will not support programming or testing for the systems, but will be strictly hardware based support. There are currently eight FTE performing these duties.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FLORIDA ACCOUNTING INFORMATION | | | | |
| RESOURCE (FLAIR) HARDWARE SUPPORT | | | | 30053C0 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|------------------------------|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N1010 001 | 1.00 | 66,853 | | 22,331 | 89,184 | 0.00 | 89,184 |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N1000 001 | 1.00 | 66,846 | | 23,508 | 90,354 | 0.00 | 90,354 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 1000 GENERAL REVENUE FUND | | | | | | | 179,538 |
| | 2.00 | 133,699 | | 45,839 | 179,538 | | 179,538 |

| | | | | | | | |
|------------------------------------|--------|---------|--------|--|--|--|---------|
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | | | | 3620000 |
| FLORIDA ACCOUNTING INFORMATION | | | | | | | |
| RESOURCE (FLAIR) MAINFRAME UPGRADE | | | | | | | 36254C0 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| GENERAL REVENUE FUND | -STATE | 15,000 | 15,000 | | | | 1000 1 |
| DEFERRED-PAY COM CONTRACTS | | | | | | | 105280 |
| GENERAL REVENUE FUND | -STATE | 135,755 | | | | | 1000 1 |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| FLORIDA ACCOUNTING INFORMATION | | | | |
| RESOURCE (FLAIR) MAINFRAME UPGRADE | | | | 36254C0 |
| TOTAL: FLORIDA ACCOUNTING INFORMATION | | | | 36254C0 |
| RESOURCE (FLAIR) MAINFRAME UPGRADE | | | | |
| TOTAL ISSUE..... | 150,755 | 15,000 | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests contracted services for the installation and configuration of new hardware that will be purchased through the Consolidate Equipment Finance Program (CEFP) in the Deferred - Payment Commodity category. The division will establish a 36 month payment plan through the CEFP for a total of 404,265 for three years. There is a one time 15,000 appropriation in the first year in contracted services for installation. The first year requested in this issue will include the nonrecurring 15,000 for installation and consultation services and 135,755 for the first year, plus interest.

This hardware is for a new mainframe system. The current IBM z/114 mainframe system provides direct mainframe computing capacity for the Department of Financial Services (DFS) and the Executive Office of the Governor. The mainframe also provides data for Florida state agencies. This system is currently rated at a capacity of 1,244 MIPS (millions of instructions per second).

Over the past year, processing demand has risen and the system resource utilization rate now consistently rises to 85 percent and above during the business day, peaking at 100 percent. The net effect of that utilization rate to the user community is slower performance. As the individual logical partitions compete for physical resources, the system has to slow down to be able to accommodate the increased processing requests. As an example, when state employees log into the Employee Information Center (EIC) on the internet to view their W2s, the normal response time is less than a second. As the system slows down, the wait time between pressing "Enter" and getting a response increases, in some cases, to more than five or six seconds, and sometimes, more than a minute.

The increased processing requirements are undergoing significant changes. Several division customers are exploring application migration from the current mainframe platform to other platforms such as Windows or Linux. This reevaluation of their workload is being driven in part by the need to modernize their applications, some of which were originally written more than 20 years ago. Many applications have been modified and improved to accommodate additional business unit functional requirements over time; as a result, it is common to see the number of transactions across the entire mainframe steadily increasing. This growth has normalized over the past year. Recently, new modifications to the Unclaimed Property Management Information System (UPMIS) increased the utilization approximately 20 percent. This jump in computing demand is pushing the mainframe to regularly operate at 90-95 percent of its total available capacity. This increase could potentially impact the ability to provide adequate data processing capacity for all customers. As the

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| FLORIDA ACCOUNTING INFORMATION | | | | |
| RESOURCE (FLAIR) MAINFRAME UPGRADE | | | | 36254C0 |

mainframe approaches 100 percent, more transactions wait for system resources, causing a delay in processing. These processing delays will continue to increase as demand increases until the system is unable to service additional workload.

Newer mainframe systems now have the capability to integrate non-traditional mainframe operating systems within the infrastructure to accommodate a growing demand for processing in Linux and Windows systems. As division customers explore migration from their current processing and application models to newer applications, the transition from their current production infrastructure can be high risk. A more flexible mainframe platform can reduce the risk of application migrations.

Without this funding, the Office of Information Technology will be limited in the ability to provide additional capacity, relief from the current processing demands, and adding infrastructure efficiency and flexibility, which allows for migration from legacy applications to new cloud or web-based applications. Additionally, refreshing the mainframe aligns with DFS' Long Range Program Plan (LRPP) goal to improve efficiency and customer driven value by improving the infrastructure's ability to provide support for multiple operating systems within a single solution, which is an essential factor in provisioning of a cost-effective, lower risk solution.

| | | | | |
|---------------------------------|--------|---------|--|---------|
| INFORMATION TECHNOLOGY CONTRACT | | | | |
| ESCALATION | | | | 36255C0 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND | -STATE | 160,691 | | 1000 1 |

=====

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests additional funding to support increases in contracts for software support and maintenance. The Office of Information Technology (OIT) continuation budget has not been increased to support the increased costs for many information technology contracts. In the past two years, the largest increase has been for the maintenance contract for Software AG support. This software is required to run the Florida Accounting Information Resource (FLAIR) application.

Without additional funding, the OIT will have to eliminate support for software that supports the FLAIR application or for software that supports enterprise applications. Without additional contract funding, OIT will continue to request

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| AGENCY-WIDE INFORMATION TECHNOLOGY | | | | 3620000 |
| INFORMATION TECHNOLOGY CONTRACT | | | | |
| ESCALATION | | | | 36255C0 |

budget transfers from other categories. Transferring from salaries will force OIT to maintain vacant positions; transferring from expenses will not allow OIT to provide continued support to FLAIR at the required level. The FLAIR application is currently subsidized by the Information Technology budget entity, which is funded by the Administrative Trust Fund. Without increased funding for increased maintenance and support costs, all divisions will be impacted by a decrease of enterprise operation and service.

| | | | | |
|--------------------------------|--------|-----------|-------|---------|
| PROGRAM ISSUES | | | | 4000000 |
| FLORIDA ACCOUNTING INFORMATION | | | | |
| RESOURCE (FLAIR) SUPPORT | | | | 40076C0 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND | -STATE | 1,911,000 | | 1000 1 |
| | | ===== | ===== | ===== |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests additional budget authority to provide continuing support to the Florida Accounting Information Resource (FLAIR) system until the replacement system is in place. The function of FLAIR application development and support is currently maintained by full time employees. FLAIR was developed in the 1970s and implemented in the 1980s. The coding language for FLAIR is outdated and the resources needed to support the application are becoming more limited. Although the department has advertised vacant positions and attended job recruitment fairs, it is still difficult to attract full time employees with accounting knowledge and experience with Natural/ADABAS since that technology is not widely used.

This is the second year that the department has requested additional contracted resources to maintain the level of service necessary to support FLAIR until the new enterprise resource planning (ERP) system, Florida PALM (Planning, Accounting, and Ledger Management), has been fully implemented and FLAIR has been retired. In Fiscal Year 2016-17, the department was appropriated 2 million in budget authority for FLAIR support. An independent verification and validation (IV&V) was completed by the Office of Information Technology in Fiscal Year 2016-17 to provide a better "road map" for the department in keeping FLAIR functional. These contract positions will support the state's risk of not having adequate support for this critical application, while gradually phasing out the legacy system with the Florida PALM System.

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PRG: CHIEF FIN OFFICER/ADM | | | | 43010000 |
| <u>INFO TECHNOLOGY - FLAIR</u> | | | | 43010500 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>INFORMATION TECHNOLOGY</u> | | | | <u>1603.00.00.00</u> |
| PROGRAM ISSUES | | | | 4000000 |
| FLORIDA ACCOUNTING INFORMATION | | | | |
| RESOURCE (FLAIR) SUPPORT | | | | 40076C0 |

The contractual services will continue to provide resources with expertise to maintain the current level of performance and staff efficiency due to a retiring workforce; resources to address audit concerns; and leveraging an innovative application development and support model for FLAIR sub-systems (Central Accounting, Departmental Accounting, Payroll, and the Information Warehouse).

It is estimated that the total recurring contractual costs for the next 8 - 12 years will be \$1.9 million per year until the FLAIR system has been retired. This cost is estimated for 13 resources at \$75.00/hour per year and is based on an assumed 1,960 hours worked per year.

| | | | | |
|-------------------------------|------------|--------|-------|----------------------|
| TOTAL: INFORMATION TECHNOLOGY | | | | <u>1603.00.00.00</u> |
| BY FUND TYPE | | | | |
| GENERAL REVENUE FUND | 13,319,439 | 23,532 | | 1000 |
| TRUST FUNDS | 1,210,691 | | | 2000 |
| TOTAL POSITIONS..... | 88.00 | | | |
| TOTAL PROG COMP..... | 14,530,130 | 23,532 | | |
| TOTAL SALARY RATE..... | 4,673,669 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|---------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: TREASURY | | | | | | | 43100000 |
| DEPOSIT SECURITY | | | | | | | 43100200 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 990,924 | | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | 22.00 | 1,551,360 | | | | | 2725 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| TREASURY ADM/INVEST TF -STATE | | 1,500 | | | | | 2725 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| TREASURY ADM/INVEST TF -STATE | | 230,113 | | | | | 2725 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| TREASURY ADM/INVEST TF -STATE | | 1,783 | | | | | 2725 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| TREASURY ADM/INVEST TF -STATE | | 95,205 | | | | | 2725 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| TREASURY ADM/INVEST TF -STATE | | 18,090 | | | | | 2725 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| TREASURY ADM/INVEST TF -STATE | | 4,616 | | | | | 2725 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: TREASURY | | | | 43100000 |
| <u>DEPOSIT SECURITY</u> | | | | 43100200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | 7,126 | | | 2725 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 22.00 | | | |
| TOTAL ISSUE..... | 1,909,793 | | | |
| TOTAL SALARY RATE..... | 990,924 | | | |
| CASUALTY INSURANCE PREMIUM | | | | 1001090 |
| ADJUSTMENT | | | | 100000 |
| SPECIAL CATEGORIES | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | |
| TREASURY ADM/INVEST TF -STATE | 13,050 | | | 2725 1 |
| FLORIDA RETIREMENT SYSTEM | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | 010000 |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | |
| SALARIES AND BENEFITS | | | | |
| TREASURY ADM/INVEST TF -STATE | 2,746 | | | 2725 1 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 010000 |
| SALARIES AND BENEFITS | | | | |
| TREASURY ADM/INVEST TF -STATE | 12,381 | | | 2725 1 |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: TREASURY | | | | 43100000 |
| <u>DEPOSIT SECURITY</u> | | | | 43100200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | | 257- | | 2725 1 |
| ===== | | | | |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | | 5- | | 2725 1 |
| ===== | | | | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 8,844 | | 2725 1 |
| ===== | | | | |
| TOTAL: GOVERNMENTAL OPERATIONS | | | | <u>1601.00.00.00</u> |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | 22.00 | | | |
| SALARY RATE..... | | 1,946,552 | | 2000 |
| | | 990,924 | | |
| ===== | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: TREASURY | | | | | | | 43100000 |
| <u>ST FUNDS MGT & INVESTMENT</u> | | | | | | | 43100300 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 1,190,188 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 25.50 | | | | | |
| | | 1,745,090 | | | | | 2725 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| TREASURY ADM/INVEST TF -STATE | | 248,346 | | | | | |
| | | | | | | | 2725 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| TREASURY ADM/INVEST TF -STATE | | 1,222,785 | | | | | |
| | | | | | | | 2725 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| TREASURY ADM/INVEST TF -STATE | | 1,500 | | | | | |
| | | | | | | | 2725 1 |
| ===== | | | | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | | 8,663 | | | | | |
| | | | | | | | 2725 1 |
| ===== | | | | | | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | | 25.50 | | | | | |
| TOTAL ISSUE..... | | 3,226,384 | | | | | |
| TOTAL SALARY RATE..... | | 1,190,188 | | | | | |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: TREASURY | | | | | | | 43100000 |
| <u>ST FUNDS MGT & INVESTMENT</u> | | | | | | | 43100300 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL COST, UNFUNDED ACTUARIAL LIABILITY AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 3,068 | | | | | 2725 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH BENEFITS FOR SPECIAL RISK CLASS (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 16 | | | | | 2725 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 14,133 | | | | | 2725 1 |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | | 312- | | | | | 2725 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: TREASURY | | | | 43100000 |
| <u>ST FUNDS MGT & INVESTMENT</u> | | | | 43100300 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | | 6- | | 2725 1 |
| ===== | | | | |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION SALARIES AND BENEFITS | | | | 26A6520 |
| | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 10,095 | | 2725 1 |
| ===== | | | | |
| TOTAL: GOVERNMENTAL OPERATIONS BY FUND TYPE | | | | <u>1601.00.00.00</u> |
| | 25.50 | | | |
| TRUST FUNDS..... | | 3,253,378 | | 2000 |
| SALARY RATE..... | | 1,190,188 | | |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: TREASURY | | | | 43100000 |
| SUP RETIREMENT PLAN | | | | 43100400 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 480,900 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | 13.00 | | | |
| TREASURY ADM/INVEST TF -STATE | 729,915 | | | 2725 1 |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| TREASURY ADM/INVEST TF -STATE | 20,100 | | | 2725 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| TREASURY ADM/INVEST TF -STATE | 107,328 | | | 2725 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| TREASURY ADM/INVEST TF -STATE | 1,252 | | | 2725 1 |
| ===== | | | | |
| DEFERRED COMP ADM SVCS | | | | 100868 |
| TREASURY ADM/INVEST TF -STATE | 823,190 | | | 2725 1 |
| ===== | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| TREASURY ADM/INVEST TF -STATE | 2,405 | | | 2725 1 |
| ===== | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | 3,530 | | | 2725 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: TREASURY | | | | 43100000 |
| <u>SUP RETIREMENT PLAN</u> | | | | 43100400 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 13.00 | | | |
| TOTAL ISSUE..... | | 1,687,720 | | |
| TOTAL SALARY RATE..... | 480,900 | | | |
| ===== | | | | |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| TREASURY ADM/INVEST TF -STATE | | 1,821 | | 2725 1 |
| ===== | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 1,312 | | 2725 1 |
| ===== | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 7,000 | | 2725 1 |
| ===== | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | | 127- | | 2725 1 |
| ===== | | | | |

| | COL A03 AGY REQUEST FY 2017-18 POS | COL A04 AGY REQ N/R FY 2017-18 POS | COL A05 AG REQ ANZ FY 2017-18 POS | AMOUNT | AMOUNT | AMOUNT | CODES |
|---|---|---|--|--------|--------|--------|---------------|
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: TREASURY | | | | | | | 43100000 |
| SUP RETIREMENT PLAN | | | | | | | 43100400 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | | | | 1601.00.00.00 |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| TREASURY ADM/INVEST TF -STATE | | 2- | | | | | 2725 1 |
| ===== | | | | | | | |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | | | | |
| ANNUALIZATION SALARIES AND BENEFITS | | | | | | | 26A6520 |
| TREASURY ADM/INVEST TF -STATE | | 5,000 | | | | | 010000 |
| ===== | | | | | | | |
| WORKLOAD FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | | | | 3000000 |
| SALARY RATE | | | | | | | 3002A90 |
| SALARY RATE..... | 18,523 | | | | | | 000000 |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| TREASURY ADM/INVEST TF -STATE | | 18,523 | | | | | 2725 1 |
| ===== | | | | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | | | | 3002A90 |
| TOTAL ISSUE..... | 18,523 | | | | | | |
| TOTAL SALARY RATE..... | 18,523 | | | | | | |
| ===== | | | | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant

| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: TREASURY | | | | 43100000 |
| <u>SUP RETIREMENT PLAN</u> | | | | 43100400 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND | | | | |
| RECRUITMENT | | | | 3002A90 |

competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 18,523 | | | 18,523 | 0.00 | 18,523 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2725 TREASURY ADM/INVEST TF | | | | | | | 18,523 |
| | 0.00 | 18,523 | | | 18,523 | | 18,523 |
| | ===== | ===== | ===== | ===== | ===== | | ===== |

| | | | | | | | |
|--------------------------------|-------|-----------|-------|-------|-------|--|----------------------|
| TOTAL: GOVERNMENTAL OPERATIONS | | | | | | | <u>1601.00.00.00</u> |
| BY FUND TYPE | | | | | | | |
| TRUST FUNDS..... | 13.00 | | | | | | 2000 |
| SALARY RATE..... | | 1,721,247 | | | | | |
| | | 499,423 | | | | | |
| | ===== | ===== | ===== | ===== | ===== | | ===== |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 10,894,618 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | 10,596,988 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 1,343,836 | | | 2021 1 |
| INSURANCE REG TF -STATE | 2,861,441 | | | 2393 1 |
| TOTAL POSITIONS..... | 202.00 | | | |
| TOTAL APPRO..... | 14,802,265 | | | |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| GENERAL REVENUE FUND -STATE | 22,994 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 23,545 | | | 2021 1 |
| TOTAL APPRO..... | 46,539 | | | |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| GENERAL REVENUE FUND -STATE | 998,672 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 116,201 | | | 2021 1 |
| TOTAL APPRO..... | 1,114,873 | | | |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| GENERAL REVENUE FUND -STATE | 27,000 | | | 1000 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND -STATE | 855,949 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 80,000 | | | 2021 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| TOTAL APPRO..... | 935,949 | | | |
| ===== | | | | |
| FLAIR SYSTEM REPLACEMENT | | | | 100781 |
| INSURANCE REG TF -STATE | 5,906,982 | | | 2393 1 |
| ===== | | | | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| GENERAL REVENUE FUND -STATE | 3,100 | | | 1000 1 |
| ===== | | | | |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| GENERAL REVENUE FUND -STATE | 13,468 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 54,840 | | | 2021 1 |
| TOTAL APPRO..... | 68,308 | | | |
| ===== | | | | |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| GENERAL REVENUE FUND -STATE | 3,120 | | | 1000 1 |
| ===== | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| GENERAL REVENUE FUND -STATE | 5,122 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 17,055 | | | 2021 1 |
| TOTAL APPRO..... | 22,177 | | | |
| ===== | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| GENERAL REVENUE FUND -STATE | 54,284 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 3,026 | | | 2021 1 |
| INSURANCE REG TF -STATE | 8,700 | | | 2393 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TOTAL APPRO..... | | 66,010 | | |
| TR/PRISON INDUSTRY ENH PRG | | | | 108005 |
| PRISON INDUSTRIES TF -STATE | | 1,250,000 | | 2385 1 |
| FL CLERKS/COURT/OPER CORP | | | | 109987 |
| ADMINISTRATIVE TRUST FUND -STATE | | 2,800,000 | | 2021 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | | 202.00 | | |
| TOTAL ISSUE..... | | 27,046,323 | | |
| TOTAL SALARY RATE..... | | 10,894,618 | | |
| CASUALTY INSURANCE PREMIUM | | | | 1001090 |
| ADJUSTMENT | | | | 100000 |
| SPECIAL CATEGORIES | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | |
| ADMINISTRATIVE TRUST FUND -STATE | | 6,938- | | 2021 1 |
| INSURANCE REG TF -STATE | | 3,504 | | 2393 1 |
| TOTAL APPRO..... | | 3,434- | | |
| FLORIDA RETIREMENT SYSTEM | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | 010000 |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | |
| SALARIES AND BENEFITS | | | | |
| GENERAL REVENUE FUND -STATE | | 18,091 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 2,294 | | 2021 1 |
| INSURANCE REG TF -STATE | | 4,884 | | 2393 1 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| TOTAL APPRO..... | | 25,269 | | |
| ===== | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | |
| SALARIES AND BENEFITS | | | | 1001500 |
| | | | | 010000 |
| GENERAL REVENUE FUND -STATE | | 551 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 70 | | 2021 1 |
| INSURANCE REG TF -STATE | | 149 | | 2393 1 |
| TOTAL APPRO..... | | 770 | | |
| ===== | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | |
| SALARIES AND BENEFITS | | | | 1001840 |
| | | | | 010000 |
| GENERAL REVENUE FUND -STATE | | 81,759 | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 10,370 | | 2021 1 |
| INSURANCE REG TF -STATE | | 22,076 | | 2393 1 |
| TOTAL APPRO..... | | 114,205 | | |
| ===== | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| GENERAL REVENUE FUND -STATE | | 3,134- | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | | 109- | | 2021 1 |
| INSURANCE REG TF -STATE | | 313- | | 2393 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| TOTAL APPRO..... | | 3,556- | | |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | 1800010 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | | 480,246- | | |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | | 10.00- | | |
| | | 675,000- | | 1000 1 |
| EXPENSES | | | | 040000 |
| GENERAL REVENUE FUND -STATE | | 35,700- | | 1000 1 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND -STATE | | 7,300- | | 1000 1 |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| GENERAL REVENUE FUND -STATE | | 3,100- | | 1000 1 |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| GENERAL REVENUE FUND -STATE | | 3,120- | | 1000 1 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | 1800010 |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | 1800010 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | |
| TOTAL POSITIONS..... | 10.00- | | | |
| TOTAL ISSUE..... | | 724,220- | | |
| TOTAL SALARY RATE..... | 480,246- | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue deducts authority from the old budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800020 provides the corresponding add back amounts in the newly created budget entities.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|--------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 10.00- | 480,246- | | 194,753- | 674,999- | 0.00 | 674,999- |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

FINANCIAL SERVICES 43000000
 PGM: FIN ACCT/PUBLIC FUNDS 43200000
 ST FINAN INFO/ST AGY ACCTG 43200100
 GOV OPERATIONS/SUPPORT 16
 GOVERNMENTAL OPERATIONS 1601.00.00.00
 INTRA-AGENCY REORGANIZATIONS 1800000
 TRANSFER OF POSITIONS AND BUDGET
 DUE TO SB 908 LAW ENFORCEMENT
 CONSOLIDATION - DEDUCT 1800010

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | |
| | | | | | | 674,999- |
| 10.00- | 480,246- | | 194,753- | 674,999- | | 674,999- |
| ===== | ===== | ===== | ===== | ===== | | ===== |

OTHER SALARY AMOUNT
 1000 GENERAL REVENUE FUND 1-

 675,000-
 =====

NONRECURRING EXPENDITURES 2100000
 HUMAN RESOURCES SUPPLEMENTAL
 APPROPRIATION - CHAPTER 2016-3, LOF
 (HB 7003) 2100360
 SPECIAL CATEGORIES 100000
 TR/DMS/HR SVCS/STW CONTRCT 107040

 GENERAL REVENUE FUND -STATE 37- 1000 1
 ADMINISTRATIVE TRUST FUND -STATE 2- 2021 1
 INSURANCE REG TF -STATE 6- 2393 1

 TOTAL APPRO..... 45-
 =====

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| FLAIR REPLACEMENT | | | | 2103123 |
| SPECIAL CATEGORIES | | | | 100000 |
| FLAIR SYSTEM REPLACEMENT | | | | 100781 |
| INSURANCE REG TF -STATE | 5,906,982- | | | 2393 1 |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION SALARIES AND BENEFITS | | | | 26A6520 |
| | | | | 010000 |
| GENERAL REVENUE FUND -STATE | 58,399 | | | 1000 1 |
| ADMINISTRATIVE TRUST FUND -STATE | 7,407 | | | 2021 1 |
| INSURANCE REG TF -STATE | 15,769 | | | 2393 1 |
| TOTAL APPRO..... | 81,575 | | | |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 112,893 | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND -STATE | 112,893 | | | 1000 1 |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 112,893 | | | |
| TOTAL SALARY RATE..... | 112,893 | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 112,893 | | | 112,893 | 0.00 | 112,893 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 1000 GENERAL REVENUE FUND | | | | | | | 112,893 |
| | 0.00 | 112,893 | | | 112,893 | | 112,893 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| STATE ENTERPRISE INFORMATION | | | | |
| TECHNOLOGY | | | | 3610000 |
| FLAIR REPLACEMENT | | | | 36105C0 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 2,694,728 | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 52.00 | 3,737,400 | | 2393 1 |
| SPECIAL CATEGORIES | | | | 100000 |
| FLAIR SYSTEM REPLACEMENT | | | | 100781 |
| GENERAL REVENUE FUND -STATE | 24,100,000 | 24,100,000 | | 1000 1 |
| INSURANCE REG TF -STATE | 14,528,768 | 14,528,768 | | 2393 1 |
| TOTAL APPRO..... | 38,628,768 | 38,628,768 | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF -STATE | 17,628 | | | 2393 1 |
| TOTAL: FLAIR REPLACEMENT | | | | 36105C0 |
| TOTAL POSITIONS..... | 52.00 | | | |
| TOTAL ISSUE..... | 42,383,796 | 38,628,768 | | |
| TOTAL SALARY RATE..... | 2,694,728 | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests funding for year four of the Florida Planning Accounting and Ledger Management (PALM) Project. The Project is currently focused on pre-design, development, and implementation (Pre-DDI) activities including a procurement to obtain a software and system integrator (SSI) to implement a financial management solution that will replace FLAIR and CMS.

Year four (FY 2017-18) of the Project will complete the procurement activities and start Phase I of design, development, and implementation (DDI) activities. The Phase I activities are anticipated to include the implementation of the selected Enterprise Resource Planning (ERP) solution for FLAIR/CMS, deployment of the information warehouse for ERP, implementation of Payroll in ERP and other integrations, such as MFMP, People First, and LAS/PBS as needed. In addition,

| | COL A03 | COL A04 | COL A05 | |
|------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| STATE ENTERPRISE INFORMATION | | | | |
| TECHNOLOGY | | | | 3610000 |
| FLAIR REPLACEMENT | | | | 36105C0 |

project management, organizational change management, systems and data strategy, and independent verification and validation efforts will continue from the Pre-DDI phase. The Department is requesting an increase in the FTE for the project during the period to the 77 recommended for the first year of DDI Phase I in the FLAIR study.

For FY 2017-18, the Department is requesting \$45.3 million, consistent with the first year of DDI Phase I cost in the FLAIR Study.

The Department is requesting \$24,100,000 from the General Revenue Fund and \$18,283,796 from the Insurance Regulatory Trust Fund in the FLAIR System Replacement Category. The Insurance Regulatory Trust Fund amount plus the \$2,916,204 in current recurring authority for Salaries and Benefits and Transfer to Department of Management Services is equal to the \$21.2 million included in the Long-Range Financial Outlook. The General Revenue Fund amount is also from the Long-Range Financial Outlook submitted by the Office of Economic and Demographic Research and accepted by the Legislative Budget Commission in September 2016.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|------------------------------|-------|-----------|-----------|-----------|-----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 52.00 | 2,694,728 | | 1,042,672 | 3,737,400 | 0.00 | 3,737,400 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 3,737,400 |
| | 52.00 | 2,694,728 | | 1,042,672 | 3,737,400 | | 3,737,400 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| ST FINAN INFO/ST AGY ACCTG | | | | 43200100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | <u>1601.00.00.00</u> |
| STATE ENTERPRISE INFORMATION | | | | |
| TECHNOLOGY | | | | 3610000 |
| PALM REAPPROPRIATION OF PRIOR YEAR | | | | |
| APPROPRIATION | | | | 36106C0 |
| SPECIAL CATEGORIES | | | | 100000 |
| FLAIR SYSTEM REPLACEMENT | | | | 100781 |
| INSURANCE REG TF | -STATE | 2,334,877 | 2,334,877 | |
| | | | | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests funding for year four of the Florida Planning Accounting and Ledger Management (PALM) Project. Year four (FY 2017-2018) of the Project will include activities to ready the State for the design, development and implementation (DDI) of a financial management solution that will replace FLAIR and CMS.

While the Department has some recurring authority for Salaries and Benefits, it does not have any recurring authority for operational costs or support services to transition from the Pre-DDI to the DDI phase (otherwise known as DDI readiness). When written, the FLAIR Study assumed Pre-DDI activities would complete on June 30 and DDI activities (with an executed contract) would begin on July 1 which is unrealistic. In this issue, the Department is requesting \$2,334,877 for the operational costs associated with the DDI readiness activities that include rent, supplies, project management, outside legal counsel, organizational change management, and systems and data strategy efforts. The Project was appropriated \$8,785,347 in FY 2016-17 but barring any unforeseen circumstances does not anticipate expenditure of all funds. The Project anticipates having at least a balance of \$2,334,877 at the end of the fiscal year FY 2016-17 which could be reverted and appropriated for DDI readiness activities occurring in FY 2017-18.

As a result, the Department is requesting \$2,334,877 from the Insurance Regulatory Trust Fund in the FLAIR System Replacement Category.

| | | | | |
|--------------------------------|------------|------------|------------|----------------------|
| TOTAL: GOVERNMENTAL OPERATIONS | | | | <u>1601.00.00.00</u> |
| BY FUND TYPE | | | | |
| GENERAL REVENUE FUND | | 36,224,999 | 24,100,000 | 1000 |
| TRUST FUNDS | | 29,236,472 | 16,863,645 | 2000 |
| TOTAL POSITIONS..... | 244.00 | | | |
| TOTAL PROG COMP..... | | 65,461,471 | 40,963,645 | |
| TOTAL SALARY RATE..... | 13,221,993 | | | |
| | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 2,600,300 | | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| UNCLAIMED PROPERTY TF -STATE | 64.00 | 3,450,596 | | | | | 2007 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| UNCLAIMED PROPERTY TF -STATE | 194,197 | | | | | | 2007 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| UNCLAIMED PROPERTY TF -STATE | 823,421 | | | | | | 2007 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| UNCLAIMED PROPERTY TF -STATE | 7,500 | | | | | | 2007 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| UNCLAIMED PROPERTY TF -STATE | 226,794 | | | | | | 2007 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| UNCLAIMED PROPERTY TF -STATE | 9,751 | | | | | | 2007 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| UNCLAIMED PROPERTY TF -STATE | 11,524 | | | | | | 2007 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| UNCLAIMED PROPERTY TF -STATE | | 20,120 | | 2007 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 64.00 | | | |
| TOTAL ISSUE..... | | 4,743,903 | | |
| TOTAL SALARY RATE..... | 2,600,300 | | | |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| UNCLAIMED PROPERTY TF -STATE | | 780- | | 2007 1 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| UNCLAIMED PROPERTY TF -STATE | | 6,147 | | 2007 1 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| UNCLAIMED PROPERTY TF -STATE | | 37 | | 2007 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| UNCLAIMED PROPERTY TF -STATE | | 30,570 | | | | | 2007 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| UNCLAIMED PROPERTY TF -STATE | | 2,245 | | | | | 2007 1 |
| ===== | | | | | | | |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | |
| TOTAL ISSUE..... | | 32,815 | | | | | |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| UNCLAIMED PROPERTY TF -STATE | | 724- | | | | | 2007 1 |
| ===== | | | | | | | |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| UNCLAIMED PROPERTY TF -STATE | | 14- | | | | | 2007 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION SALARIES AND BENEFITS | | | | 26A6520 |
| | | | | 010000 |
| UNCLAIMED PROPERTY TF -STATE | 21,836 | | | 2007 1 |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| UNCLAIMED PROPERTY TF -STATE | 1,604 | | | 2007 1 |
| ===== | | | | |
| TOTAL: ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | 26A6520 |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | 23,440 | | | |
| ===== | | | | |
| WORKLOAD | | | | 3000000 |
| INCREASED STAFFING FOR BUREAU OF UNCLAIMED PROPERTY | | | | 3000170 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 304,819 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 8.00 | | | |
| UNCLAIMED PROPERTY TF -STATE | 448,579 | | | 2007 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| UNCLAIMED PROPERTY TF -STATE | 81,952 | 34,128 | | 2007 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| INCREASED STAFFING FOR BUREAU | | | | |
| OF UNCLAIMED PROPERTY | | | | 3000170 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| UNCLAIMED PROPERTY TF | -STATE | 2,712 | | 2007 1 |
| ===== | | | | |
| TOTAL: INCREASED STAFFING FOR BUREAU | | | | 3000170 |
| OF UNCLAIMED PROPERTY | | | | |
| TOTAL POSITIONS..... | 8.00 | | | |
| TOTAL ISSUE..... | | 533,243 | 34,128 | |
| TOTAL SALARY RATE..... | 304,819 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 The Division of Unclaimed Property has administered and managed record, sustained growth in the numbers and complexity of claims; new annual holder reports and accounts; holder remittances; and the number of safe deposit boxes and contents received and very substantial and proportional increases in website traffic, and citizen inquiries, which require replies and action. Eight (8) additional FTE positions are requested to promptly, accurately and professionally manage extraordinary increases realized and projected.

Pursuant to section 717.124, Florida Statutes, the Program is to process a completed claim within 90 days of receipt. The Program captures the number of pending claims per week. For the first approximate thirteen weeks of FY 2016-2017 (7/01/16-9/23/16) the Program has had a record average of 77,000 pending claims, compared with a weekly average of approximately 12,000 for the same period last fiscal year. The Program has had to historically pay overtime to meet the statutory time frame for the process of claims. In the last two weeks of September 2016, pending claims now exceed 90,000.

The Division has managed a 17.5% average annual growth in the numbers of claims during each of the last four years. Claims have steadily become increasingly complex in nature, significantly impacting the average claim processing time. Records have been set each year in the number of new accounts received and processed, increases in property types being remitted, and very escalated increases in media exposure and general public awareness of unclaimed property. All of these factors result in more claims, which necessitates the expenditure of more time and resources to conduct extensive research and analysis to ensure payments are made properly in a timely manner. This entails review and verification of original proof documents; identifications; research and review of wills, trusts, probate court documents; locator Powers of Attorney, fee limitations, Full Disclosures and exceptions thereof; and processing and responding to many (approximately 35% of claims filed) incomplete and inaccurate claims.

The Division has administered very substantial growth in the date-receiving, scanning (imaging) and indexing of documents (23.8% annual average increase) into the imaging and database systems as a result of the significant increase

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| INCREASED STAFFING FOR BUREAU | | | | |
| OF UNCLAIMED PROPERTY | | | | 3000170 |

in the volume of new accounts and unclaimed property reports, as well as doing the same for record numbers of claims documents (six million documents last year alone). Not only do these record levels of receipts directly impact the workload and performance of the Accounts Receivable (Holder Reporting) Section, but also impacts the volume of claims received and worked by the Accounts Payable (Claims) Section.

The Division has managed an average annual growth of 21% in the number of safe deposit boxes received during each of the last 6 years. This has a direct impact on the Vault Section's ability to timely and efficiently check-in new boxes, inventory, reconcile contents with reports, process and consummate claims (average annual growth of 14%), and to prepare for auctions (which includes numerous distinct functions and actions). This has necessitated borrowing staff from the other sections to assist in meeting required expectations and duties. With increasing work volume in the other sections, the availability of assistance has been dramatically reduced. With current staff size remaining constant, combined with trend-based forecasted volume growth, the Vault Section's ability to perform its required functions timely and efficiently will be severely impacted.

With the sustained, record growth in holder reports, new accounts, holder remittances and safe deposit box contents and citizen inquiries all of which must be processed and managed, a direct and proportional result is the significant increases in the numbers of claims immediate and projected. Without additional assistance, a significant risk exists of being unable to accurately and promptly administer and meet statutory time-frame requirements, as well as being unable to meet performance expectations and customer service.

This issue requests and additional 8 FTE and \$533,243 in appropriations from the Unclaimed Property Trust Fund.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 8.00 | 304,819 | | 143,760 | 448,579 | 0.00 | 448,579 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| INCREASED STAFFING FOR BUREAU | | | | |
| OF UNCLAIMED PROPERTY | | | | 3000170 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2007 UNCLAIMED PROPERTY TF | | | | | | | 448,579 |
| | 8.00 | 304,819 | | 143,760 | 448,579 | | 448,579 |

| | | | | | | | |
|--|---------|--|--|--|--|--|---------|
| ADDITIONAL OVERTIME FOR UNCLAIMED | | | | | | | |
| PROPERTY CLAIMS | | | | | | | 3001A00 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 155,000 | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| UNCLAIMED PROPERTY TF -STATE | 155,000 | | | | | | 2007 1 |
| TOTAL: ADDITIONAL OVERTIME FOR UNCLAIMED | | | | | | | 3001A00 |
| PROPERTY CLAIMS | | | | | | | |
| TOTAL ISSUE..... | 155,000 | | | | | | |
| TOTAL SALARY RATE..... | 155,000 | | | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Unclaimed Property program is responsible for receiving property from holders, safeguarding this property, locating the rightful owners, and returning the property to them. Currently the Unclaimed Property program holds approximately 14

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| ADDITIONAL OVERTIME FOR UNCLAIMED | | | | |
| PROPERTY CLAIMS | | | | 3001A00 |

million accounts valued at more than \$1.5 billion, mostly from dormant accounts in financial institutions, insurance and utility companies, securities and trust holdings. In addition, the Program also holds tangible property such as jewelry, coins, and historical items, from abandoned safe deposit boxes. In most cases there is no statute of limitations and these accounts remain claimable at any time.

Pursuant to section 717.124, Florida Statutes, the Program is to process a completed claim within 90 days of receipt. The Program captures the number of pending claims per week. For the first approximate thirteen weeks of FY 2016-2017 (7/01/16-9/23/16) the Program has had a record average of 77,000 pending claims, compared with a weekly average of approximately 12,000 for the same period last fiscal year. The Program has had to historically pay overtime to meet the statutory time frame for the process of claims. In the last two weeks of September 2016, pending claims now exceed 90,000.

FY 2013-2014 Overtime

During Fiscal Year 2013-2014 the Program paid \$126,292.95 in overtime payments. The Program was able to absorb this from its appropriated Salaries and Benefits due to an unusual number of vacancies during the fiscal year. Vacancy reports dated in August 2013 and November 2013 showed 8 FTE vacant, which reduced to 4 FTE in February 2014 and then to 1 FTE in June 2014. During FY 2013-2014 the pending claims for a number of weeks exceeded 55,000 to as high as 61,000.

FY 2014-2015 Overtime

During Fiscal Year 2014-2015 the Program paid little in overtime payments, less than \$2,000. There was a drop in the number of new accounts processed from 2,359,095 in Fiscal Year 2013-14 to 1,996,669 in Fiscal Year 2014-2015. During this Fiscal Year, the count of pending claims each week did not exceed 38,000 and were under 10,000 for most of the last quarter of the fiscal year. A sampling of monthly vacancy reports during this period showed 0 to 1 vacancy.

FY 2015-2016 Overtime

During Fiscal Year 2015-2016 the Program paid \$87,049.48. During the last six months of the Fiscal Year, the weekly average of pending claims was more than 55,000. A sampling of vacancy reports showed an average of 2 vacancies. The few vacancies allowed the Program to absorb these overtime costs without a transfer or budget amendment. However the Program expended nearly all of its Salaries and Benefits appropriations, with just over \$13,000 reverted.

FY 2016-2017 Overtime

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| ADDITIONAL OVERTIME FOR UNCLAIMED | | | | |
| PROPERTY CLAIMS | | | | 3001A00 |

The department has filed a budget amendment in the current year to request additional \$150,000 in Salaries and Benefits. this request is based upon the following

The program FY 2016-2017 Salaries and Benefits Appropriation is \$3,450,596 and the estimated annual cost of FTE is \$3,435,793.32, yielding an available balance of approximately \$14,802.

\$3,450,596 Salaries and Benefits Appropriation
 (\$3,435,794) Less Cost of FTE
 (\$155,726) Less Estimated Overtime
 (\$140,924) Estimated Shortage

FY 2017-2018 Estimated Overtime Need

An estimated Overtime Need of as much as \$155,000 has been calculated for Fiscal Year 2017-2018. The calculation was made as follows :

Total Annual Standard Work Hours per FTE is 1,854 x 29 FTE = 53,766 annual normal hours (if no vacancies)
 Average Number of Claims worked per Hour/FTE is 7. 7 x 53,766 = 376,362 claims worked during normal hours.
 Three Year Average of New Claims Received = 494,682

494,682 Average Claims Received
 (376,362) Less Estimated Claims worked by FTE during Normal Hours
 (70,362) Less Estimated Claims Processed by OPS Employees
 47,958 Estimated Claims to be processed with Overtime

47,958/ 7 claims per hour = 6,851.14 approximate Overtime Hours
 6,851.14 x \$22.73 (average OT per hour rate for FTE) = \$155,726

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| ADDITIONAL OVERTIME FOR UNCLAIMED | | | | |
| PROPERTY CLAIMS | | | | 3001A00 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 155,000 | | | 155,000 | 0.00 | 155,000 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2007 UNCLAIMED PROPERTY TF | | | | | | | 155,000 |
| | 0.00 | 155,000 | | | 155,000 | | 155,000 |

| | | | | | | | |
|---|--------|--|--|--|--|--|---------|
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | | | | 3002A90 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 57,483 | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| UNCLAIMED PROPERTY TF -STATE | 57,483 | | | | | | 2007 1 |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | | | | 3002A90 |
| TOTAL ISSUE..... | 57,483 | | | | | | |
| TOTAL SALARY RATE..... | 57,483 | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizen of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 57,483 | | | 57,483 | 0.00 | 57,483 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2007 UNCLAIMED PROPERTY TF | | | | | | | 57,483 |
| | 0.00 | 57,483 | | | 57,483 | | 57,483 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FIN ACCT/PUBLIC FUNDS | | | | 43200000 |
| <u>RECOVERY & RETURN OF UP</u> | | | | 43200200 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| PROGRAM OR SERVICE-LEVEL | | | | |
| INFORMATION TECHNOLOGY | | | | 3630000 |
| UNCLAIMED PROPERTY MANAGEMENT | | | | |
| INFORMATION SYSTEM BUSINESS NEEDS | | | | |
| ANALYSIS | | | | 36335C0 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| UNCLAIMED PROPERTY TF | -STATE | 250,000 | | 2007 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

The Department of Financial Services is requesting \$250,000 in budget authority from the Unclaimed Property Trust Fund for a business needs analysis on the current Unclaimed Property Management Information System (UPMIS) originally implemented in 2005. The system manages over one billion dollars in unclaimed property assets. UPMIS is a mainframe application, roughly half the size of FLAIR. Under Florida law and rules, holders are required to electronically submit claims, and others electronically submit claims to the Division of Unclaimed Property. In addition, the division is also required to pay claims within a 90-day timeframe. The Division of Unclaimed Property is reliant upon electronic business systems to carry out their daily statutory duties.

A business needs analysis of UPMIS will provide the Department with information regarding upgrading the functionality or replacement of the current system. The ultimate outcome would be a system that could process more claims, have a better system to handle unclaimed property inquiries and requests, and be more effective, efficient, and quicker in placing money in the hands of citizens of the State.

| | | | | |
|--------------------------------|-------|-----------|--------|----------------------|
| TOTAL: GOVERNMENTAL OPERATIONS | | | | <u>1601.00.00.00</u> |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | 72.00 | | | |
| SALARY RATE..... | | 5,800,550 | 34,128 | 2000 |
| | | 3,117,602 | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>COMPLIANCE & ENFORCEMENT</u> | | | | 43300200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 2,701,318 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 66.00 | | |
| | | 3,574,631 | | 2393 1 |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF | -STATE | 15,339 | | |
| | | | | 2393 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF | -STATE | 756,210 | | |
| | | | | 2393 1 |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF | -STATE | 29,144 | | |
| | | | | 2393 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| ELECTRONIC COMMERCE FEES | | | | 100064 |
| INSURANCE REG TF | -STATE | 13,200 | | |
| | | | | 2393 1 |
| ===== | | | | |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF | -STATE | 97,205 | | |
| | | | | 2393 1 |
| ===== | | | | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| INSURANCE REG TF | -STATE | 33,700 | | |
| | | | | 2393 1 |
| ===== | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>COMPLIANCE & ENFORCEMENT</u> | | | | | | | 43300200 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| SUPP FIREFIGHTERS COMP | | | | | | | 103725 |
| INSURANCE REG TF | -STATE | 9,000 | | | | | 2393 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF | -STATE | 13,442 | | | | | 2393 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 20,784 | | | | | 2393 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | | 66.00 | | | | | |
| TOTAL ISSUE..... | | 4,562,655 | | | | | |
| TOTAL SALARY RATE..... | | 2,701,318 | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | 1001490 |
| AND EDUCATIONAL EXPENSES | | | | | | | 010000 |
| SALARIES AND BENEFITS | | | | | | | |
| INSURANCE REG TF | -STATE | 6,079 | | | | | 2393 1 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 87 | | | | | 2393 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>COMPLIANCE & ENFORCEMENT</u> | | | | | | | 43300200 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF -STATE | | 34,990 | | | | | 2393 1 |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF -STATE | | 748- | | | | | 2393 1 |
| ===== | | | | | | | |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF -STATE | | 14- | | | | | 2393 1 |
| ===== | | | | | | | |
| ELECTRONIC PLANS REVIEW | | | | | | | 2103151 |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF -STATE | | 130,000- | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF -STATE | | 20,000- | | | | | 2393 1 |
| ===== | | | | | | | |
| TOTAL: ELECTRONIC PLANS REVIEW | | | | | | | 2103151 |
| TOTAL ISSUE..... | | 150,000- | | | | | |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>COMPLIANCE & ENFORCEMENT</u> | | | | 43300200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 24,993 | | 2393 1 |
| ===== | | | | |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 36,664 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 36,664 | | 2393 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 36,664 | | | |
| TOTAL SALARY RATE..... | 36,664 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---------------------------------------|----------------------------------|---------------------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>FIRE & ARSON INVESTIGATION</u> | | | | | | | 43300300 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 6,410,973 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 122.00 9,018,334 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 70,942 | | | | | 2393 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF | -STATE | 1,866,584 | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | -STATE | 82,409 | | | | | 2393 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 175,374 | | | | | 2393 1 |
| ===== | | | | | | | |
| ON-CALL FEES | | | | | | | 102261 |
| INSURANCE REG TF | -STATE | 350,000 | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| INSURANCE REG TF | -STATE | 183,900 | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|---------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>FIRE & ARSON INVESTIGATION</u> | | | | | | | 43300300 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| SALARY INCENTIVE PAYMENTS | | | | | | | 103290 |
| INSURANCE REG TF -STATE | | 103,124 | | | | | 2393 1 |
| ===== | | | | | | | |
| SUPP FIREFIGHTERS COMP | | | | | | | 103725 |
| INSURANCE REG TF -STATE | | 8,000 | | | | | 2393 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF -STATE | | 41,817 | | | | | 2393 1 |
| ===== | | | | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF -STATE | | 38,607 | | | | | 2393 1 |
| ===== | | | | | | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | 122.00 | | | | | | |
| TOTAL ISSUE..... | 11,939,091 | | | | | | |
| TOTAL SALARY RATE..... | 6,410,973 | | | | | | |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | 1001490 |
| AND EDUCATIONAL EXPENSES | | | | | | | 010000 |
| SALARIES AND BENEFITS | | | | | | | |
| INSURANCE REG TF -STATE | | 89 | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---------------------------------------|---------|------------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>FIRE & ARSON INVESTIGATION</u> | | | | | | | 43300300 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 26,610 | | | | | 2393 1 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 77,213 | | | | | 2393 1 |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 1,390- | | | | | 2393 1 |
| INTRA-AGENCY REORGANIZATIONS | | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | | | |
| CONSOLIDATION - DEDUCT | | | | | | | 1800010 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 6,410,973- | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 122.00- | | | | | 2393 1 |
| | | 9,177,398- | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>FIRE & ARSON INVESTIGATION</u> | | | | 43300300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | 1800010 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF -STATE | 70,942- | | | 2393 1 |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF -STATE | 1,866,584- | | | 2393 1 |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF -STATE | 82,409- | | | 2393 1 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF -STATE | 175,374- | | | 2393 1 |
| ON-CALL FEES | | | | 102261 |
| INSURANCE REG TF -STATE | 350,000- | | | 2393 1 |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| INSURANCE REG TF -STATE | 183,900- | | | 2393 1 |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| INSURANCE REG TF -STATE | 103,124- | | | 2393 1 |

| | COL A03 | COL A04 | COL A05 | | |
|---|-------------|-------------|------------|--------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | |
| | | | | AMOUNT | |
| | | | | CODES | |
| FINANCIAL SERVICES | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | 43300000 |
| <u>FIRE & ARSON INVESTIGATION</u> | | | | | 43300300 |
| PUBLIC PROTECTION | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | <u>1202.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | |
| CONSOLIDATION - DEDUCT | | | | | 1800010 |
| SPECIAL CATEGORIES | | | | | 100000 |
| SUPP FIREFIGHTERS COMP | | | | | 103725 |
| INSURANCE REG TF | -STATE | 8,000- | | | 2393 1 |
| ===== | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | 105281 |
| INSURANCE REG TF | -STATE | 41,817- | | | 2393 1 |
| ===== | | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | 107040 |
| INSURANCE REG TF | -STATE | 37,190- | | | 2393 1 |
| ===== | | | | | |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | | 1800010 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | |
| CONSOLIDATION - DEDUCT | | | | | |
| TOTAL POSITIONS..... | | 122.00- | | | |
| TOTAL ISSUE..... | | 12,096,738- | | | |
| TOTAL SALARY RATE..... | | 6,410,973- | | | |
| ===== | | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue deducts authority from the old budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800020 provides the corresponding add back amounts in the newly created budget entities.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|-------------|-------------|-------------|------------|------------|-------|
| AGY REQUEST | AGY REQ N/R | AGY REQ N/R | AGY REQ N/R | AG REQ ANZ | AG REQ ANZ | |
| FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|---------------------------------------|--|--|--|--|--|----------------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | 43300000 |
| <u>FIRE & ARSON INVESTIGATION</u> | | | | | | 43300300 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | <u>1202.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | | |
| CONSOLIDATION - DEDUCT | | | | | | 1800010 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|---------|------------|-----------|------------|------------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 122.00- | 6,677,271- | | 2,500,125- | 9,177,396- | 0.00 | 9,177,396- |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 9,177,396- |
| | 122.00- | 6,677,271- | | 2,500,125- | 9,177,396- | | 9,177,396- |
| RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS | | | | | | | |
| N0000 001 | | 266,298 | | | | | |
| TOTAL SALARY RATE | | 266,298 | | | | | |
| OTHER SALARY AMOUNT | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 2- |
| | | | | | | | 9,177,398- |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>FIRE & ARSON INVESTIGATION</u> | | | | 43300300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 27- | | 2393 1 |
| | ===== | ===== | ===== | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 55,152 | | 2393 1 |
| | ===== | ===== | ===== | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--------------------------------------|---------|-----------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | | | | 43300400 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 1,110,244 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 28.00 | | | | | |
| | | 1,586,031 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 240,000 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF | -STATE | 513,895 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | -STATE | 23,294 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| ACQUISITION/MOTOR VEHICLES | | | | | | | 100021 |
| INSURANCE REG TF | -MATCH | 65,217 | | | | | |
| | -FEDERL | 434,783 | | | | | 2393 2 |
| | | | | | | | 2393 3 |
| ----- | | | | | | | |
| TOTAL INSURANCE REG TF | | 500,000 | | | | | |
| | | | | | | | 2393 |
| ===== | | | | | | | |
| TOTAL APPRO..... | | 500,000 | | | | | |
| ===== | | | | | | | |
| G/A-FIREFIGHTER ASSIST | | | | | | | 100063 |
| INSURANCE REG TF | -STATE | 150,000 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | | | | 43300400 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| ELECTRONIC COMMERCE FEES | | | | | | | 100064 |
| INSURANCE REG TF | -STATE | 13,200 | | | | | 2393 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 280,008 | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| INSURANCE REG TF | -STATE | 22,900 | | | | | 2393 1 |
| ===== | | | | | | | |
| SUPP FIREFIGHTERS COMP | | | | | | | 103725 |
| INSURANCE REG TF | -STATE | 14,500 | | | | | 2393 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF | -STATE | 20,519 | | | | | 2393 1 |
| ===== | | | | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 12,181 | | | | | 2393 1 |
| ===== | | | | | | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | | 28.00 | | | | | |
| TOTAL ISSUE..... | | 3,376,528 | | | | | |
| TOTAL SALARY RATE..... | | 1,110,244 | | | | | |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | | | | 43300400 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL COST, UNFUNDED ACTUARIAL LIABILITY AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF -STATE | | 1,511 | | | | | 2393 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH BENEFITS FOR SPECIAL RISK CLASS (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF -STATE | | 1,775 | | | | | 2393 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF -STATE | | 15,557 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF -STATE | | 1,168 | | | | | 2393 1 |
| ===== | | | | | | | |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | |
| TOTAL ISSUE..... | | 16,725 | | | | | |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--------------------------------------|---------|----------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | | | | 43300400 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 439- | | | | | 2393 1 |
| ===== | | | | | | | |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 8- | | | | | 2393 1 |
| ===== | | | | | | | |
| ADDITIONAL EQUIPMENT - MOTOR | | | | | | | |
| VEHICLES | | | | | | | 2103152 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| ACQUISITION/MOTOR VEHICLES | | | | | | | 100021 |
| INSURANCE REG TF | -MATCH | 65,217- | | | | | 2393 2 |
| | -FEDERL | 434,783- | | | | | 2393 3 |
| ----- | | | | | | | |
| TOTAL INSURANCE REG TF | | 500,000- | | | | | 2393 |
| ===== | | | | | | | |
| TOTAL APPRO..... | | 500,000- | | | | | |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | 43300400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION SALARIES AND BENEFITS | | | | 26A6520 |
| | | | | 010000 |
| INSURANCE REG TF -STATE | 11,112 | | | 2393 1 |
| ===== | ===== | ===== | ===== | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF -STATE | 834 | | | 2393 1 |
| ===== | ===== | ===== | ===== | |
| TOTAL: ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | 26A6520 |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | 11,946 | | | |
| ===== | ===== | ===== | ===== | |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 6,229 | | | |
| ===== | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 6,229 | | | 2393 1 |
| ===== | ===== | ===== | ===== | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 6,229 | | | |
| TOTAL SALARY RATE..... | 6,229 | | | |
| ===== | ===== | ===== | ===== | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | 43300400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 6,229 | | | 6,229 | 0.00 | 6,229 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 6,229 |
| | 0.00 | 6,229 | | | 6,229 | | 6,229 |

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | 43300400 |
| <u>PUBLIC PROTECTION</u> | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | 1202.00.00.00 |
| PROGRAM OR SERVICE-LEVEL | | | | |
| INFORMATION TECHNOLOGY | | | | 3630000 |
| SYSTEM SERVICE, SUPPORT, | | | | |
| ENHANCEMENTS AND MODIFICATIONS | | | | 36306C0 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF | -STATE | 1,250,000 | 1,200,000 | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue replaces the 13-year-old Fire College Department of Insurance Continuing Education (FCDICE) database program, in consultation with the Division of Information Systems (DIS), including maintenance and licensing costs. This system supports the training, certification, and re-certification of approximately 100,000 various certificates held by Florida firefighters, instructors, investigators, and inspectors. FCDICE also contains an e-commerce component for processing application fees, class registration fees, and textbook charges. The FCDICE Registration module supports the dormitory and classroom registration process. The supplemental compensation module currently supports 11,152 firefighters participating in the Supplemental Compensation Program by tracking \$9.3 million in annual disbursements.

The initial implementation cost for this system is \$1.2 million, with an additional \$200,000 annual licensing fee for five years. The initial implementation cost of \$1.2 million may be paid out over two fiscal years; the Department is unsure of the implementation schedule at this time.

FCDICE was implemented in 2003 as a spin off of the Department of Insurance Continuing Education (DICE) system. Operationally, the Division of State Fire Marshal has determined that the FCDICE system is no longer sustainable and should be transitioned to a new relational database. The Bureau relies on the FCDICE database to manage more than 100,000 certifications (firefighters, fire inspectors, fire officers, fire instructors, etc.). However, the FCDICE database has 60 to 70 unresolved maintenance issues in need of DIS support.

The problems and support required of the existing FCDICE system result in a loss of productivity, reduction of capability, and a financial cost. The current FCDICE system can only be accessed using the Internet Explorer 7.0 browser; many of the Bureau's clients use newer browsers such as Internet Explorer 10, Chrome, Safari, Firefox, and Opera. Due to the age of FCDICE and its obsolete code language, constant DIS support is required. However, continued maintenance is no longer efficient and extremely ineffective. In addition, the data contained in the FCDICE database is not directly accessible for analytic purposes which hinders the decision-making process. Continued operation of the FCDICE system is not sustainable, and accuracy and consistency in the administration of the database for firefighter certifications is unpredictable. A replacement system should provide a useful service life of over 10 years.

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | 43300400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| PROGRAM ISSUES | | | | 4000000 |
| STATE FIRE MARSHAL GRANT PROGRAMS | | | | 4000420 |
| SPECIAL CATEGORIES | | | | 100000 |
| G/A-FIREFIGHTER ASSIST | | | | 100063 |
| INSURANCE REG TF | -STATE | 850,000 | | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue increases the Firefighter Assistance Grant Program funding to \$1,000,000 from \$150,000. This grant program provides financial assistance (via grants distributed at the Division's discretion) to improve firefighter safety and enable volunteer and combination fire departments to provide firefighting, emergency medical, and rescue services to their communities. The purpose of the grants is to assist these fire departments in providing volunteer firefighter training and to procure necessary firefighter protective equipment, breathing apparatus equipment, and fire engine equipment.

In 2016, House Bill 651 was enacted which created s. 633.135, F.S., establishing this grant program within the Division for volunteer and combination fire departments. The Division was appropriated \$229,165 of recurring authority and one full-time position to implement the program. The position utilizes \$79,165 of funding, thus leaving \$150,000 for firefighter assistance. The Division is required to annually award grants to volunteer and combination fire departments using the annual Florida Fire Service Needs Assessment Survey. The Division prioritizes the annual award of grants based on need.

Of the nearly 300 volunteer and combination fire departments in Florida, the current appropriation will assist approximately 10 fire departments. This issue will increase this number to over 65 fire departments.

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS AMOUNT |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>PROF TRAINING & STANDARDS</u> | | | | 43300400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| CAPITAL IMPROVEMENT PLAN | | | | 9900000 |
| MAINTENANCE AND REPAIR | | | | 990M000 |
| FIXED CAPITAL OUTLAY | | | | 080000 |
| FIRE COLLEGE-BLDG MAINT | | | | 080990 |
| INSURANCE REG TF | -STATE | 350,000 | 350,000 | 2393 1 |

AGENCY NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: FIRE COLLEGE-BLDG MAINT IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Division of State Fire Marshal (SFM) is responsible for maintenance and repair of the Florida State Fire College. The Fire College campus is located in Ocala on 37 acres. The campus includes 11 main buildings that were constructed in 1988. These buildings total over 65,000 square feet and include office space, classrooms, dormitories, cafeteria, maintenance facilities, and an auditorium.

This issue requests nonrecurring Fixed Capital Outlay (FCO) to address maintenance issues that occur as the facility ages. As maintenance is an on-going process, the Division plans to purchase and build training props, purchase vehicle and machinery extrication props, replace 16 bay doors, install exterior security cameras at two gates, replace dorm windows, and conduct additional facility maintenance as needed.

| | | | | |
|------------------------|-----------|-----------|--|----------------------|
| TOTAL: LAW ENFORCEMENT | | | | <u>1202.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 28.00 | | | |
| TRUST FUNDS..... | 5,364,267 | 1,550,000 | | 2000 |
| SALARY RATE..... | 1,116,473 | | | |

=====

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | | | | 43300500 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 1,123,059 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 21.00 | | | | | |
| | | 1,609,631 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 20,102 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF | -STATE | 259,754 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | -STATE | 206,000 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/UM-SYL CAN CEN/CAN RES | | | | | | | 100518 |
| GENERAL REVENUE FUND | -STATE | 1,500,000 | | | | | |
| | | | | | | | 1000 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 189,189 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| INSURANCE REG TF | -STATE | 1,300 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | 43300500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| INSURANCE REG TF -STATE | 224,731 | | | 2393 1 |
| SUPP FIREFIGHTERS COMP | | | | 103725 |
| INSURANCE REG TF -STATE | 7,500 | | | 2393 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| INSURANCE REG TF -STATE | 8,685 | | | 2393 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF -STATE | 5,837 | | | 2393 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 21.00 | | | |
| TOTAL ISSUE..... | 4,032,729 | | | |
| TOTAL SALARY RATE..... | 1,123,059 | | | |
| CASUALTY INSURANCE PREMIUM | | | | 1001090 |
| ADJUSTMENT | | | | 100000 |
| SPECIAL CATEGORIES | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | |
| INSURANCE REG TF -STATE | 10,924 | | | 2393 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---------------------------------------|---------|--------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | | | | 43300500 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | |
| AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 1,305 | | | | | 2393 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 2,491 | | | | | 2393 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 12,352 | | | | | 2393 1 |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 210- | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | 43300500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | 1800010 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 467,615- | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 9.00- | | | |
| INSURANCE REG TF -STATE | 648,261- | | | 2393 1 |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF -STATE | 14,400- | | | 2393 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF -STATE | 121,754- | | | 2393 1 |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF -STATE | 4,000- | | | 2393 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF -STATE | 151,000- | | | 2393 1 |
| ===== | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| INSURANCE REG TF -STATE | 4,200- | | | 2393 1 |
| ===== | | | | |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | 1800010 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | |
| TOTAL POSITIONS..... | 9.00- | | | |
| TOTAL ISSUE..... | | 943,615- | | |
| TOTAL SALARY RATE..... | 467,615- | | | |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | | |
|---------------------------------------|-------------|-------------|------------|--------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | |
| | | | | AMOUNT | |
| | | | | CODES | |
| FINANCIAL SERVICES | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | | 43300500 |
| PUBLIC PROTECTION | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | <u>1202.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | |
| CONSOLIDATION - DEDUCT | | | | | 1800010 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue deducts authority from the old budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800020 provides the corresponding add back amounts in the newly created budget entities.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 9.00- | 467,615- | | 180,647- | 648,262- | 0.00 | 648,262- |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 648,262- |
| | 9.00- | 467,615- | | 180,647- | 648,262- | | 648,262- |

OTHER SALARY AMOUNT

2393 INSURANCE REG TF

1

648,261-

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---------------------------------------|---------|------------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | | | | 43300500 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | | | | <u>1202.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | | 4- | | | | 2393 1 |
| ===== | | | | | | | |
| REPLACEMENT OF SCIENTIFIC | | | | | | | |
| LABORATORY EQUIPMENT - ARSON LAB | | | | | | | 2103112 |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | -STATE | 200,000- | | | | | 2393 1 |
| ===== | | | | | | | |
| TRANSFER TO UNIVERSITY OF | | | | | | | |
| MIAMI - SYLVESTER COMPREHENSIVE | | | | | | | |
| CANCER CENTER - FLORIDA | | | | | | | |
| FIREFIGHTER CANCER RESEARCH | | | | | | | 2103139 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/UM-SYL CAN CEN/CAN RES | | | | | | | 100518 |
| GENERAL REVENUE FUND | -STATE | 1,500,000- | | | | | 1000 1 |
| ===== | | | | | | | |
| ANNUALIZATION OF ADMINISTERED | | | | | | | |
| FUNDS APPROPRIATIONS | | | | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | | | | |
| ANNUALIZATION | | | | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 8,823 | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | 43300500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | |
| SALARY RATE | | | | 3002A90 |
| SALARY RATE..... | 77,667 | | | 000000 |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 77,667 | | | 2393 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 77,667 | | | |
| TOTAL SALARY RATE..... | 77,667 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 77,667 | | | 77,667 | 0.00 | 77,667 |
| ----- | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | 43300500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 77,667 |
| | 0.00 | 77,667 | | | 77,667 | | 77,667 |

| | | | | | | | |
|------------------------------------|--------|---------|---------|--|--|--|---------|
| PROGRAM ISSUES | | | | | | | 4000000 |
| IMPLEMENTATION OF HOUSE BILL 359 - | | | | | | | |
| STATE FIRE MARSHAL STUDY | | | | | | | 4000090 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 325,000 | 325,000 | | | | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse.

This issue provides nonrecurring funds for the State Fire Marshal to conduct or contract for a study to review mining activities, including conducting inspections and blasting records reviews of construction materials mines. Currently, these inspections and reviews are conducted by Fire Protection Specialists who do not have the knowledge and expertise to provide more than a cursory review of these documents. The Division has seven regulatory inspectors in the six regions who have other duties and responsibilities besides inspections and reviews of construction mines. While these inspectors have the proper background and training to conduct inspections of all other areas within the Division's purview, they do not possess the knowledge and expertise to effectively review the seismographic and explosive reports to determine if

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PROGRAM: FIRE MARSHAL | | | | 43300000 |
| <u>FIRE MRSHL ADMN & SUP SRVS</u> | | | | 43300500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>LAW ENFORCEMENT</u> | | | | <u>1202.00.00.00</u> |
| PROGRAM ISSUES | | | | 4000000 |
| IMPLEMENTATION OF HOUSE BILL 359 - | | | | |
| STATE FIRE MARSHAL STUDY | | | | 4000090 |

there are any errors contained within those records.

Section 552.30, F.S., mandates that the Division of State Fire Marshal has regulatory authority over the use of explosives in conjunction with construction materials mining activities. As part of this regulatory authority, Rule 69A-2.024, F.A.C., requires annual inspections and review of the construction material mines' seismographic reports and blast activity reports. Since some of these mines are located near residential neighborhoods, safety concerns and blasting complaints have been raised by property owners. Homeowners' are concerned with the accuracy of the data concerning the blasts being provided by the mines to the State. The Division does not have anyone on staff with the knowledge and expertise to determine if these records are accurate based on industry standards.

There are 91 construction materials mines licensed by the Division, with the largest concentration of those mines located in Central, Southwest, and Southeast Florida.

| | | | | |
|------------------------|-----------|---------|-------|----------------------|
| TOTAL: LAW ENFORCEMENT | | | | <u>1202.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 12.00 | | | |
| TRUST FUNDS..... | 1,827,462 | 325,000 | | 2000 |
| SALARY RATE..... | 733,111 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|------------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 4,583,774 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| STATE RISK MGMT TF | -STATE | 116.00 | | | | | |
| | | 6,634,806 | | | | | 2078 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| STATE RISK MGMT TF | -STATE | 42,098 | | | | | |
| | | | | | | | 2078 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| STATE RISK MGMT TF | -STATE | 5,165,706 | | | | | |
| | | | | | | | 2078 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| STATE RISK MGMT TF | -STATE | 5,405 | | | | | |
| | | | | | | | 2078 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| STATE RISK MGMT TF | -STATE | 4,171,632 | | | | | |
| | | | | | | | 2078 1 |
| ===== | | | | | | | |
| CONTRACT LEGAL - ATTY GEN | | | | | | | 100904 |
| STATE RISK MGMT TF | -STATE | 6,645,924 | | | | | |
| | | | | | | | 2078 1 |
| ===== | | | | | | | |
| CONTRACTED LEGAL SERVICES | | | | | | | 100905 |
| STATE RISK MGMT TF | -STATE | 21,976,020 | | | | | |
| | | | | | | | 2078 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED MED SVCS | | | | 100907 |
| STATE RISK MGMT TF | -STATE | 15,278,933 | | 2078 1 |
| EXCESS INSUR. & CLAIM SER | | | | 101221 |
| STATE RISK MGMT TF | -STATE | 10,865,000 | | 2078 1 |
| RISK MGMT INFO CLAIMS SYS | | | | 101222 |
| STATE RISK MGMT TF | -STATE | 569,000 | | 2078 1 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| STATE RISK MGMT TF | -STATE | 43,649 | | 2078 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| STATE RISK MGMT TF | -STATE | 21,531 | | 2078 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| STATE RISK MGMT TF | -STATE | 35,905 | | 2078 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | | 116.00 | | |
| TOTAL ISSUE..... | | 71,455,609 | | |
| TOTAL SALARY RATE..... | | 4,583,774 | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|------------------------------------|---------|--------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| CASUALTY INSURANCE PREMIUM | | | | | | | |
| ADJUSTMENT | | | | | | | 1001090 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| STATE RISK MGMT TF -STATE | | 277 | | | | | 2078 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | |
| AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| STATE RISK MGMT TF -STATE | | 11,285 | | | | | 2078 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| STATE RISK MGMT TF -STATE | | 198 | | | | | 2078 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 1001840 |
| | | | | | | | 010000 |
| STATE RISK MGMT TF -STATE | | 60,955 | | | | | 2078 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|---------|---------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | | | | <u>1601.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| STATE RISK MGMT TF | -STATE | 1,293- | | | | | 2078 1 |
| ===== | | | | | | | |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| STATE RISK MGMT TF | -STATE | 25- | | | | | 2078 1 |
| ===== | | | | | | | |
| ADDITIONAL CONTRACTED MEDICAL | | | | | | | |
| SERVICES | | | | | | | 2103153 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED MED SVCS | | | | | | | 100907 |
| STATE RISK MGMT TF | -STATE | 93,816- | | | | | 2078 1 |
| ===== | | | | | | | |
| ANNUALIZATION OF ADMINISTERED | | | | | | | |
| FUNDS APPROPRIATIONS | | | | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | | | | |
| ANNUALIZATION | | | | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| STATE RISK MGMT TF | -STATE | 43,539 | | | | | 2078 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| WORKLOAD | | | | 3000000 |
| ADDITIONAL RESOURCES FOR RISK | | | | |
| MANAGEMENT CONTRACTED LEGAL | | | | |
| SERVICES | | | | 3000140 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED LEGAL SERVICES | | | | 100905 |
| STATE RISK MGMT TF | | | | 2078 1 |
| | -STATE | 4,900,000 | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote Financial accountability and transparency.

This issue provides additional budget authority in the Contracted Legal Services - Private Attorneys category for an hourly rate increase for private defense attorneys. This rate increase for private defense attorneys is comparable to rate increases approved for the Office of the Attorney General for Fiscal Years 2014-15, 2015-16, and 2016-17. The combined rate increase will raise the Division's current average hourly private defense attorney rate from \$88 to \$103. The Fiscal Year 2016-17 Attorney General hourly attorney fees range from \$80 to \$101, including a 5 percent administrative fee.

This category is appropriated \$21,976,020 in the current year. Based on Fiscal Year 2016-17 projections and applying a 3.6 percent growth rate to Fiscal Year 2015-16 expenditures, the Fiscal Year 2017-18 projection for this category without the requested rate increase is \$22,724,025, a projected budget deficit of \$748,005. With the proposed rate increase, the projected deficit is \$4,881,548. Without an increase in the legal service rates paid by the Division, the Division may be unable to obtain the appropriate level of legal representation, including complex Workers' Compensation cases. The Division has noted a decline in the experience level of private defense attorneys litigating claims. Contributing to this decline is the fact that the current rate structure for defense attorney legal services contracted by the Division was established in 2008. An increase in the hourly rates paid by the Division would allow the firms to assign Risk Management cases to the more senior members of the firms.

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 112,005 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| STATE RISK MGMT TF -STATE | 112,005 | | | 2078 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 112,005 | | | |
| TOTAL SALARY RATE..... | 112,005 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 112,005 | | | 112,005 | 0.00 | 112,005 |
| ----- | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2078 STATE RISK MGMT TF | | | | | | | 112,005 |
| | 0.00 | 112,005 | | | 112,005 | | 112,005 |

| | | | | | | | |
|--|--------|-----------|--|--|--|--|---------|
| PROGRAM ISSUES | | | | | | | 4000000 |
| ADDITIONAL CONTRACTED MEDICAL SERVICES | | | | | | | 4000710 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED MED SVCS | | | | | | | 100907 |
| STATE RISK MGMT TF | -STATE | 1,000,000 | | | | | 2078 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote Financial accountability and transparency.

This issue amends and increases the Division's existing medical bill review contract with Genex Services, Inc. to allow for the retrospective application of discounts available based on network preferred provider organization (PPO) contracts. This will allow for a reduction in the non-operating Loss Payment category by reducing the amounts paid on eligible medical bills submitted by providers that are included in the Genex PPO network. Genex would be reimbursed for the PPO cost reductions as a percentage of savings, where payment would be based on 25 percent of the cost savings attained by the PPO reductions. These services were not included in the current medical bill services review contract; however, Genex proposed that the Department consider amending the contract to allow for these services due to the

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| PROGRAM ISSUES | | | | 4000000 |
| ADDITIONAL CONTRACTED MEDICAL SERVICES | | | | 4000710 |

potential cost savings. Genex analyzed the bills processed for the Department in 2015 and determined that, for the 114,855 bills processed and \$93,993,339 in charges presented, an estimated net cost savings of \$2,195,129 per year would have been realized by utilizing the PPO discounts. The requested \$1,000,000 in authority is greater than the estimated PPO fees of \$731,710 based on 2015 bills to allow for variations in fees due to the variations in the actual amount of eligible provider charges paid.

The Contracted Medical Services category funds the contracted medical service expenditures of the Division. These services include medical case management, medical bill review, and pharmacy benefits management.

| | | | | |
|----------------------------|--|--|--|---------|
| PHARMACY BENEFITS CONTRACT | | | | 4000720 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED MED SVCS | | | | 100907 |

STATE RISK MGMT TF -STATE 1,025,174 2078 1

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AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote financial accountability and transparency.

This is a placeholder issue for the re-procurement of pharmacy benefits management services. The current pharmacy benefits management contract was executed in 2013 for a four-year term, with a four-year renewal option, and expires on December 20, 2016. The Division plans to renew the existing contract for 6-12 months to allow sufficient time to re-procure the services. Current contracted service fees were established in 2013 at the beginning of the contract. Estimated service fees from re-procurement will not be known until the final offers are presented. The estimated budget increase of \$1,025,174 represents a 20 percent increase over projected Fiscal Year 2017-18 annual pharmacy benefits management fees. The estimated increase is due in part to the volatility of drug costs and its impact of industry models for the charging of management fees.

The Contracted Medical Services category funds the contracted medical service expenditures of the Division. These services include medical case management, medical bill review, and pharmacy benefits management.

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| PROGRAM ISSUES | | | | 4000000 |
| INCREASE CONTRACTED SERVICES BUDGET | | | | |
| AUTHORITY | | | | 4000750 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| STATE RISK MGMT TF | -STATE | 200,000 | | 2078 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue provides an increase in Contracted Services budget authority for a one-year renewal of contracted investigative services based on a five percent rate increase for contracted investigative services and a 1.2 percent growth rate in investigative services from Fiscal Years 2014-15 to 2015-16. The projected increase for Fiscal Year 2017-18 investigative services expenditures is \$180,860. Not funding the increase will impact the number of claim investigations the Division is able to conduct. The base category funding for Fiscal Year 2016-17 did not include future fiscal year cost escalators or projections for increased levels of investigative services. Claims investigation services assist in the detection of fraudulent claims, including physical surveillance, data support, and assisting attorneys in potential fraud case preparation. Detection of fraud in a claim reduces the amount of loss payments incurred by the Division.

| | | | | |
|-----------------------------|--|--|--|---------|
| CONTRACTED MEDICAL SERVICES | | | | |
| CONTRACT INCREASE | | | | 4000790 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED MED SVCS | | | | 100907 |

STATE RISK MGMT TF -STATE 1,400,000 2078 1

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote financial accountability and transparency.

This issue provides additional budget authority in the Contracted Medical Services category for contractual escalators. This is the normal cost escalator issue for Contracted Medical Services. Projections for Fiscal Year 2017-18 show a potential budget deficit of \$1,352,013 in this category. The projected deficit is the result of a 17.2 percent increase

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| PROGRAM ISSUES | | | | 4000000 |
| CONTRACTED MEDICAL SERVICES | | | | |
| CONTRACT INCREASE | | | | 4000790 |

in total pharmacy benefits management expenditures from Fiscal Years 2014-15 to 2015-16, a three percent fixed fee price increase for medical case management, and a two percent unit-rate increase for the medical bill review services. The result was a contracted medical services category projection of \$16,630,946 for Fiscal Year 2017-18. With a Fiscal Year 2016-17 category appropriation of \$15,278,933, there is a potential deficit of \$1,352,013 for Fiscal Year 2017-18. This increase is due to contractual price increases in the medical case management and medical bill review contracts and to adjust for increased administrative fees paid to the pharmacy benefits management contractor arising from an increase in injured workers served and an increase in the average wholesale price per transaction.

Appropriations in Fiscal Year 2016-17 include contracted cost escalators built into specific medical service contracts fee schedules, a projected increase in pharmacy benefits management services for that specific fiscal year only, and a projected Fiscal Year 2015-16 growth increase of 13.6 percent in the total cost of prescriptions. This appropriation did not include future fiscal year contracted cost escalators and the fact that the growth rate for Fiscal Year 2015-16 was 3.6 percent higher than projected. The pharmacy benefits management cost growth rate for Fiscal Year 2015-16 was 17.2 percent.

| | |
|-----------------------|--------------|
| Current appropriation | \$15,278,933 |
| FY 2017-18 projection | \$16,630,946 |
| Potential deficit | \$ 1,352,013 |

| | | |
|----------------------------------|--------|-----------|
| RISK MANAGEMENT CHARGES | | 4400000 |
| CONTRACTED LEGAL SERVICES IMPACT | | |
| FROM COURT RULING | | 4400010 |
| SPECIAL CATEGORIES | | 100000 |
| CONTRACTED LEGAL SERVICES | | 100905 |
| STATE RISK MGMT TF | -STATE | 1,000,000 |
| | ===== | ===== |
| | | 2078 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote financial accountability and transparency.

This is a placeholder issue for increased legal costs as a result of the Florida Supreme Court ruling in the case of Castellanos v. Next Door Company. The Division is requesting an actuarial opinion regarding the impact of the Supreme Court ruling that will provide the basis for this funding request. Funding is requested in the Division's Contracted Legal Services - Private Attorneys category which provides the Division with legal representation in cases of workers'

| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| ST SELF-INSURED CLAIMS ADJ | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| GOVERNMENTAL OPERATIONS | | | | 1601.00.00.00 |
| RISK MANAGEMENT CHARGES | | | | 4400000 |
| CONTRACTED LEGAL SERVICES IMPACT | | | | |
| FROM COURT RULING | | | | 4400010 |

compensation claims litigation via contracting with the law firms of private defense attorneys.

In April 2016, the Florida Supreme Court ruled in the Castellanos v. Next Door Company case that it was a violation of the State and federal constitutions to limit employer carrier paid claimant attorney fees to a percentage (stair step) of the benefits secured on behalf of the claimant. This court ruling will increase litigation costs. Claimant attorneys are assured of being reimbursed at an hourly rate, even when the claimant receives a small benefit and there is a disincentive for efficiency. In the Castellanos case, the benefits secured on behalf of the claimant were less than \$1,000, and the claimant's attorney sought a \$38,000 fee. Therefore, the Division's defense fees are expected to increase as a result of this decision.

| | | | | |
|---|--------|---------|---------|---------|
| ADDITIONAL AUTHORITY FOR A MEDICAL COSTS CONSULTANT | | | | 4400020 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| STATE RISK MGMT TF | -STATE | 100,000 | 100,000 | 2078 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue provides nonrecurring Contracted Services authority to hire a consultant to evaluate the existing medical case management process for workers' compensation claims and make recommendations on potential improvements and cost saving strategies that can be implemented prior to the current contract expiring in 2019. Due to the large expenditures of medical benefits, there is the potential for significant cost savings. It is difficult to estimate the amount of cost savings that could be realized as a result of this consultation, but with annual medical costs of \$70,798,251, even a 1 percent decrease would result in a cost savings of \$707,983. The Bureau of State Employee Workers' Compensation Claims provides indemnity and medical benefits to injured state employees. During the last 20 years, the Division has used different delivery methods, such as managed care, in an attempt to provide quality, cost-efficient care. In addition, the Division has a large percentage of injured workers in areas where there is little competition among physicians, which hampers efforts to control costs.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: ST PROP/CASUALTY CLMS | | | | 43400000 |
| <u>ST SELF-INSURED CLAIMS ADJ</u> | | | | 43400100 |
| GOV OPERATIONS/SUPPORT | | | | 16 |
| <u>GOVERNMENTAL OPERATIONS</u> | | | | <u>1601.00.00.00</u> |
| TOTAL: GOVERNMENTAL OPERATIONS | | | | <u>1601.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 116.00 | | | |
| TRUST FUNDS..... | 81,213,908 | 100,000 | | 2000 |
| SALARY RATE..... | 4,695,779 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE CO REHAB/LIQDATN</u> | | | | 43500100 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 348,290 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 5.00 | | | |
| | 443,854 | | | 2393 1 |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF -STATE | | 34,771 | | |
| | | | | 2393 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF -STATE | | 119,364 | | |
| | | | | 2393 1 |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF -STATE | | 26,120 | | |
| | | | | 2393 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF -STATE | | 232,517 | | |
| | | | | 2393 1 |
| ===== | | | | |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| INSURANCE REG TF -STATE | | 140 | | |
| | | | | 2393 1 |
| ===== | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF -STATE | | 1,653 | | |
| | | | | 2393 1 |
| ===== | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|---------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>INSURANCE CO REHAB/LIQDATN</u> | | | | | | | 43500100 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | 5.00 | | | | | | |
| TOTAL ISSUE..... | | 858,419 | | | | | |
| TOTAL SALARY RATE..... | 348,290 | | | | | | |
| ===== | | | | | | | |
| CASUALTY INSURANCE PREMIUM | | | | | | | |
| ADJUSTMENT | | | | | | | 1001090 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF -STATE | | 140 | | | | | 2393 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | |
| AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF -STATE | | 739 | | | | | 2393 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF -STATE | | 2,534 | | | | | 2393 1 |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF -STATE | | 60- | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE CO REHAB/LIQDATN</u> | | | | 43500100 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| REALIGNMENT OF LEASE OR | | | | |
| LEASE-PURCHASE EQUIPMENT - DEDUCT | | | | 160M010 |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF | -STATE | 15,000- | | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue, along with IC 160M020, requests realignment of existing expense budget appropriation into the Lease Lease/Purchase appropriation category. The Lease Lease/Purchase category does not exist in Rehabilitation and Liquidation.

| | | | | |
|--------------------------------|--|--|--|---------|
| REALIGNMENT OF LEASE OR | | | | |
| LEASE-PURCHASE EQUIPMENT - ADD | | | | 160M020 |
| SPECIAL CATEGORIES | | | | 100000 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |

| | | | | |
|------------------|--------|--------|--|--------|
| INSURANCE REG TF | -STATE | 15,000 | | 2393 1 |
|------------------|--------|--------|--|--------|

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 This issue, along with IC 160M010, requests realignment of existing expense budget appropriation into the Lease Lease/Purchase appropriation category. The Lease Lease/Purchase category does not exist in Rehabilitation and Liquidation.

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|----------------------------------|---------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>INSURANCE CO REHAB/LIQDATN</u> | | | | | | | 43500100 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | | | | | | | 2393 1 |
| -STATE | | | 1- | | | | |
| | | | ===== | ===== | ===== | | |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | | | | |
| ANNUALIZATION | | | | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | | | | | | | 2393 1 |
| -STATE | | | 1,810 | | | | |
| | | | ===== | ===== | ===== | | |
| TOTAL: REGULATION AND LICENSING BY FUND TYPE | | | | | | | <u>1204.00.00.00</u> |
| | | 5.00 | | | | | |
| TRUST FUNDS..... | | 863,581 | | | | | 2000 |
| SALARY RATE..... | | 348,290 | | | | | |
| | | ===== | ===== | ===== | ===== | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|---------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| LICENSURE, SALES/APPT/OVST | | | | | | | 43500200 |
| PUBLIC PROTECTION | | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 5,018,524 | | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF -STATE | 120.00 | 6,821,900 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF -STATE | 3,938 | | | | | | 2393 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF -STATE | 1,040,029 | | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF -STATE | 12,500 | | | | | | 2393 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| ELECTRONIC COMMERCE FEES | | | | | | | 100064 |
| INSURANCE REG TF -STATE | 1,100,000 | | | | | | 2393 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF -STATE | 728,892 | | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| INSURANCE REG TF -STATE | 5,200 | | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| LICENSURE, SALES/APPT/OVST | | | | 43500200 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| INSURANCE REG TF -STATE | | 47,236 | | 2393 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| INSURANCE REG TF -STATE | | 16,534 | | 2393 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF -STATE | | 43,674 | | 2393 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 120.00 | | | |
| TOTAL ISSUE..... | | 9,819,903 | | |
| TOTAL SALARY RATE..... | | 5,018,524 | | |
| CASUALTY INSURANCE PREMIUM | | | | 1001090 |
| ADJUSTMENT | | | | 100000 |
| SPECIAL CATEGORIES | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | |
| INSURANCE REG TF -STATE | | 10,916 | | 2393 1 |
| FLORIDA RETIREMENT SYSTEM | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | 010000 |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | |
| SALARIES AND BENEFITS | | | | |
| INSURANCE REG TF -STATE | | 11,661 | | 2393 1 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| LICENSURE, SALES/APPT/OVST | | | | 43500200 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 214 | | 2393 1 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 66,603 | | 2393 1 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 1,572- | | 2393 1 |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | |
| CATEGORIES - ELECTRONIC COMMERCE | | | | |
| FEES - DEDUCT | | | | 2000400 |
| SPECIAL CATEGORIES | | | | 100000 |
| ELECTRONIC COMMERCE FEES | | | | 100064 |
| INSURANCE REG TF | -STATE | 50,000- | | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote Financial Accountability and Transparency. Goal #3: Improve efficiency and customer driven value.

This issue requests to transfer 50,000 in budget authority to the Division of Funeral, Cemetery and Consumer Services from the Division of Agent and Agency Services (AAS) in the Electronic Commerce Fees for Collection of Revenue

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|------------------------------------|--|--|--|--|--|---------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | 43500000 |
| LICENSURE, SALES/APPT/OVST | | | | | | 43500200 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | | | |
| CATEGORIES - ELECTRONIC COMMERCE | | | | | | |
| FEES - DEDUCT | | | | | | 2000400 |

(Ecommerce) category. AAS uses the Ecommerce category to pay a pass-through convenience fee to the banking vendor that provides an online fee/licensing portal that is available to the public. The division projects a continued surplus in this category and the transfer will have no impact on the division.

This issue nets to zero with corresponding issue code 2000500.

| | | | | | | |
|-------------------------------------|--|--|--|--|--|---------|
| NONRECURRING EXPENDITURES | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | |
| (HB 7003) | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | 107040 |

| | | | | | | |
|------------------|--------|-----|--|--|--|--------|
| INSURANCE REG TF | -STATE | 30- | | | | 2393 1 |
| ===== | | | | | | |

| | | | | | | |
|---|--|--|--|--|--|---------|
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | | | |
| ANNUALIZATION SALARIES AND BENEFITS | | | | | | 26A6520 |
| | | | | | | 010000 |

| | | | | | | |
|------------------|--------|--------|--|--|--|--------|
| INSURANCE REG TF | -STATE | 47,574 | | | | 2393 1 |
| ===== | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| LICENSURE, SALES/APPT/OVST | | | | 43500200 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 152,060 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 152,060 | | | 2393 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 152,060 | | | |
| TOTAL SALARY RATE..... | 152,060 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 152,060 | | | 152,060 | 0.00 | 152,060 |
| ----- | | | | | | | |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|--|--------|-------------|--------|------------|--------|----------------------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | 43500000 |
| LICENSURE, SALES/APPT/OVST | | | | | | 43500200 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | | | 3002A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | |
| 2393 INSURANCE REG TF | | | | | | 152,060 |
| 0.00 | 152,060 | | | 152,060 | | 152,060 |

| | | |
|---------------------------------|------------|----------------------|
| TOTAL: REGULATION AND LICENSING | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | |
| TRUST FUNDS..... | 120.00 | |
| SALARY RATE..... | 10,057,329 | 2000 |
| | 5,170,584 | |
| | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 10,218,909 | | | |
| | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 14,034,057 | | 2393 1 |
| FED LAW ENFORCEMENT TF | -FEDERL | 180 | | 2719 3 |
| WORKERS' COMP ADMIN TF | -STATE | 208,955 | | 2795 1 |
| | | ----- | ----- | |
| TOTAL POSITIONS..... | 194.00 | | | |
| TOTAL APPRO..... | 14,243,192 | | | |
| | ===== | ===== | ===== | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF | -STATE | 45,000 | | 2393 1 |
| | | ===== | ===== | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF | -STATE | 2,078,900 | | 2393 1 |
| | | ===== | ===== | |
| FED LAW ENFORCEMENT TF | -STATE | 79,000 | | 2719 1 |
| | -FEDERL | 85,500 | | 2719 3 |
| | -RECPNT | 500 | | 2719 9 |
| | | ----- | ----- | |
| TOTAL FED LAW ENFORCEMENT TF | | 165,000 | | 2719 |
| | | ===== | ===== | |
| TOTAL APPRO..... | 2,243,900 | | | |
| | ===== | ===== | ===== | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF | -STATE | 1,700 | | 2393 1 |
| | | ===== | ===== | |
| FED LAW ENFORCEMENT TF | -FEDERL | 303,335 | | 2719 3 |
| | -RECPNT | 298,135 | | 2719 9 |
| | | ----- | ----- | |
| TOTAL FED LAW ENFORCEMENT TF | | 601,470 | | 2719 |
| | | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| TOTAL APPRO..... | 603,170 | | | |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | 100522 |
| INSURANCE REG TF | -STATE | 1,561,202 | | 2393 1 |
| ===== | | | | |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF | -STATE | 265,315 | | 2393 1 |
| ===== | | | | |
| FED LAW ENFORCEMENT TF | -STATE | 15,000 | | 2719 1 |
| | -FEDERL | 99,800 | | 2719 3 |
| | -RECPNT | 50,000 | | 2719 9 |
| ----- | | | | |
| TOTAL FED LAW ENFORCEMENT TF | | 164,800 | | 2719 |
| ===== | | | | |
| TOTAL APPRO..... | | 430,115 | | |
| ===== | | | | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| INSURANCE REG TF | -STATE | 150,253 | | 2393 1 |
| ===== | | | | |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| INSURANCE REG TF | -STATE | 222,858 | | 2393 1 |
| ===== | | | | |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| INSURANCE REG TF | -STATE | 202,496 | | 2393 1 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| INSURANCE REG TF | -STATE | 47,247 | | 2393 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 61,009 | | 2393 1 |
| WORKERS' COMP ADMIN TF | -STATE | 1,045 | | 2795 1 |
| TOTAL APPRO..... | | 62,054 | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | | 194.00 | | |
| TOTAL ISSUE..... | | 19,811,487 | | |
| TOTAL SALARY RATE..... | | 10,218,909 | | |
| CASUALTY INSURANCE PREMIUM | | | | 1001090 |
| ADJUSTMENT | | | | 100000 |
| SPECIAL CATEGORIES | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | |
| INSURANCE REG TF | -STATE | 18,577- | | 2393 1 |
| FLORIDA RETIREMENT SYSTEM | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | 010000 |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | |
| SALARIES AND BENEFITS | | | | |
| INSURANCE REG TF | -STATE | 470 | | 2393 1 |
| WORKERS' COMP ADMIN TF | -STATE | 7 | | 2795 1 |
| TOTAL APPRO..... | | 477 | | |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SPECIAL CATEGORIES | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | 100522 |
| INSURANCE REG TF | -STATE | 3,068 | | 2393 1 |
| TOTAL: FLORIDA RETIREMENT SYSTEM | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | |
| TOTAL ISSUE..... | | 3,545 | | |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 41,832 | | 2393 1 |
| WORKERS' COMP ADMIN TF | -STATE | 624 | | 2795 1 |
| TOTAL APPRO..... | | 42,456 | | |
| SPECIAL CATEGORIES | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | 100522 |
| INSURANCE REG TF | -STATE | 59 | | 2393 1 |
| TOTAL: FLORIDA RETIREMENT SYSTEM | | | | 1001500 |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | |
| TOTAL ISSUE..... | | 42,515 | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|---------|---------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | | | | 43500300 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 110,185 | | | | | 2393 1 |
| WORKERS' COMP ADMIN TF | -STATE | 1,644 | | | | | 2795 1 |
| TOTAL APPRO..... | | 111,829 | | | | | |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | | | | 100522 |
| INSURANCE REG TF | -STATE | 10,935 | | | | | 2393 1 |
| ===== | | | | | | | |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | |
| TOTAL ISSUE..... | | 122,764 | | | | | |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 2,196- | | | | | 2393 1 |
| WORKERS' COMP ADMIN TF | -STATE | 38- | | | | | 2795 1 |
| TOTAL APPRO..... | | 2,234- | | | | | |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| REAPPROVAL OF JUSTICE | | | | |
| ADMINISTRATIVE COMMISSION FORT | | | | |
| MYERS TRANSFER | | | | 1600030 |
| SPECIAL CATEGORIES | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | 100522 |
| INSURANCE REG TF | | | | |
| -STATE | 142,444 | | | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #2: Promote financial accountability and transparency.

This issue provides the Division of Insurance Fraud (DIF) with \$142,444 in Transfer to Justice Administrative Commission (JAC) for Prosecution of PIP Fraud category authority to meet legislative intent to support two additional positions in Fort Myers (Circuit 20) to prosecute insurance fraud. These additional positions are in the State Attorneys' budget, but the corresponding funding must be transferred from DIF. This increases the Department's transfer authority for the approved amount. DIF is appropriated \$1,561,202 in this category in the current year.

The House and Senate justice appropriations staffs funded an additional two positions and \$142,444 in the 20th Circuit in the Fiscal Year 2016-17 budget; this issue was included on the conference spreadsheets on line 84 titled Prosecute Insurance Fraud (Issue code 300126). However, there is no proviso in the 20th Circuit's salary category, nor was the Department's transfer line increased by 142,444. Therefore, budget amendment #B0026 was approved which increased the Transfer to JAC for Prosecution of PIP Fraud category authority by \$142,444 to address this issue.

This issue reapproves the \$142,444 increase in Transfer to JAC for Prosecution of PIP Fraud category authority.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | 1800010 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 10,051,971- | | | |
| | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 191.00- | | | |
| INSURANCE REG TF | -STATE | 14,265,248- | | 2393 1 |
| | ===== | ===== | ===== | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF | -STATE | 45,000- | | 2393 1 |
| | ===== | ===== | ===== | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF | -STATE | 2,078,900- | | 2393 1 |
| | ===== | ===== | ===== | |
| FED LAW ENFORCEMENT TF | -STATE | 79,000- | | 2719 1 |
| | -FEDERL | 85,000- | | 2719 3 |
| | ----- | ----- | ----- | |
| TOTAL FED LAW ENFORCEMENT TF | | 164,000- | | 2719 |
| | ===== | ===== | ===== | |
| TOTAL APPRO..... | | 2,242,900- | | |
| | ===== | ===== | ===== | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF | -STATE | 1,700- | | 2393 1 |
| FED LAW ENFORCEMENT TF | -FEDERL | 5,200- | | 2719 3 |
| | ----- | ----- | ----- | |
| TOTAL APPRO..... | | 6,900- | | |
| | ===== | ===== | ===== | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-----------------------------------|---------|------------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | | | | 43500300 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | | | |
| CONSOLIDATION - DEDUCT | | | | | | | 1800010 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | | | | 100522 |
| INSURANCE REG TF | -STATE | 1,725,519- | | | | | 2393 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 265,315- | | | | | 2393 1 |
| ===== | | | | | | | |
| FED LAW ENFORCEMENT TF | -STATE | 15,000- | | | | | 2719 1 |
| | -FEDERL | 99,800- | | | | | 2719 3 |
| | -RECPNT | 50,000- | | | | | 2719 9 |
| ----- | | | | | | | |
| TOTAL FED LAW ENFORCEMENT TF | | 164,800- | | | | | 2719 |
| ===== | | | | | | | |
| TOTAL APPRO..... | | 430,115- | | | | | |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| INSURANCE REG TF | -STATE | 150,253- | | | | | 2393 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF | -STATE | 204,281- | | | | | 2393 1 |
| ===== | | | | | | | |
| SALARY INCENTIVE PAYMENTS | | | | | | | 103290 |
| INSURANCE REG TF | -STATE | 202,496- | | | | | 2393 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF | -STATE | 47,247- | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | 1205.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | 1800010 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 58,771- | | 2393 1 |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | 1800010 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - DEDUCT | | | | |
| TOTAL POSITIONS..... | 191.00- | | | |
| TOTAL ISSUE..... | 19,378,730- | | | |
| TOTAL SALARY RATE..... | 10,051,971- | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue deducts authority from the old budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800020 provides the corresponding add back amounts in the newly created budget entities.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|---------|-------------|-----------|------------|-------------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 191.00- | 10,364,646- | | 3,900,604- | 14,265,250- | 0.00 | 14,265,250- |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|-------------|-------------|-------------|------------|------------|-------|
| AGY REQUEST | AGY REQ N/R | AGY REQ N/R | AGY REQ N/R | AG REQ ANZ | AG REQ ANZ | |
| FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|-----------------------------------|--|--|--|--|--|----------------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | | | 43500300 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | | |
| CONSOLIDATION - DEDUCT | | | | | | 1800010 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|-------------|-----------|------------|-------------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | |
| 2393 | INSURANCE | REG | TF | | | 14,265,250- |
| 191.00- | 10,364,646- | | 3,900,604- | 14,265,250- | | 14,265,250- |
| RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS | | | | | | |
| N0010 | 001 | | 312,675 | | | |
| TOTAL SALARY RATE | | | | | | |
| | | | 312,675 | | | |

| | | | | | | |
|---------------------|-----------|-----|----|--|--|-------------|
| OTHER SALARY AMOUNT | | | | | | 2 |
| 2393 | INSURANCE | REG | TF | | | 14,265,248- |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 42- | | 2393 1 |
| WORKERS' COMP ADMIN TF | -STATE | 25 | | 2795 1 |
| TOTAL APPRO..... | | 17- | | |
| | | ===== | | |
| STAFFING/WORKLOAD - LAW ENFORCEMENT | | | | |
| PERSONNEL - WORKERS' COMPENSATION | | | | |
| INSURANCE FRAUD | | | | 2103030 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | | 152,645- | | |
| | | ===== | | |
| SALARIES AND BENEFITS | | | | 010000 |
| WORKERS' COMP ADMIN TF | -STATE | 3.00- | 198,291- | 2795 1 |
| | | ===== | | |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| WORKERS' COMP ADMIN TF | -STATE | 1,032- | | 2795 1 |
| | | ===== | | |
| TOTAL: STAFFING/WORKLOAD - LAW ENFORCEMENT | | | | 2103030 |
| PERSONNEL - WORKERS' COMPENSATION | | | | |
| INSURANCE FRAUD | | | | |
| TOTAL POSITIONS..... | | 3.00- | | |
| TOTAL ISSUE..... | | 199,323- | | |
| TOTAL SALARY RATE..... | | 152,645- | | |
| | | ===== | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| ENHANCEMENTS FOR LAW ENFORCEMENT | | | | |
| PERSONNEL - FEDERAL GRANTS TRUST | | | | |
| FUND | | | | 2103142 |
| EXPENSES | | | | 040000 |
| | | | | |
| FED LAW ENFORCEMENT TF | -FEDERL | 500- | | 2719 3 |
| | -RECPNT | 500- | | 2719 9 |
| | | | | |
| TOTAL FED LAW ENFORCEMENT TF | | 1,000- | | 2719 |
| | | | | |
| TOTAL APPRO..... | | 1,000- | | |
| | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| | | | | |
| FED LAW ENFORCEMENT TF | -FEDERL | 298,135- | | 2719 3 |
| | -RECPNT | 298,135- | | 2719 9 |
| | | | | |
| TOTAL FED LAW ENFORCEMENT TF | | 596,270- | | 2719 |
| | | | | |
| TOTAL APPRO..... | | 596,270- | | |
| | | | | |
| TOTAL: ENHANCEMENTS FOR LAW ENFORCEMENT | | | | 2103142 |
| PERSONNEL - FEDERAL GRANTS TRUST | | | | |
| FUND | | | | |
| TOTAL ISSUE..... | | 597,270- | | |
| | | | | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| | | | | |
| INSURANCE REG TF | -STATE | 78,704 | | 2393 1 |
| WORKERS' COMP ADMIN TF | -STATE | 1,174 | | 2795 1 |
| | | | | |
| TOTAL APPRO..... | | 79,878 | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SPECIAL CATEGORIES | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | 100522 |
| INSURANCE REG TF | -STATE | 7,811 | | 2393 1 |
| TOTAL: ANNUALIZATION OF STATE HEALTH | | | | 26A6520 |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | | 87,689 | | |
| PROGRAM REDUCTIONS | | | | 33V0000 |
| CLEANUP REDUCTIONS FROM SB 908 - | | | | |
| LAW ENFORCEMENT CONSOLIDATION | | | | 33V0030 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | | 14,293- | | |
| SALARIES AND BENEFITS | | | | 010000 |
| FED LAW ENFORCEMENT TF | -FEDERL | 180- | | 2719 3 |
| WORKERS' COMP ADMIN TF | -STATE | 14,113- | | 2795 1 |
| TOTAL APPRO..... | | 14,293- | | |
| TOTAL: CLEANUP REDUCTIONS FROM SB 908 - | | | | 33V0030 |
| LAW ENFORCEMENT CONSOLIDATION | | | | |
| TOTAL ISSUE..... | | 14,293- | | |
| TOTAL SALARY RATE..... | | 14,293- | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>INSURANCE FRAUD</u> | | | | 43500300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| PROGRAM REDUCTIONS | | | | 33V0000 |
| CLEANUP REDUCTIONS FROM SB 908 - | | | | |
| LAW ENFORCEMENT CONSOLIDATION | | | | 33V0030 |

This issue cleans up budget authority in the Division of Insurance Fraud's old budget entity 43500300 that will not be moved into the new budget entity 43700300. This issue eliminates the \$180 in the Salaries and Benefits category in the Federal Law Enforcement Trust Fund for overtime payments and eliminates the \$14,113 of recurring Salaries and Benefits authority in the Workers' Compensation Administration Trust Fund since these three positions will no longer be funded.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0000 001 | 0.00 | | 180- | | 180- | 0.00 | 180- |
| N0001 001 | 0.00 | | 14,113- | | 14,113- | 0.00 | 14,113- |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2719 FED LAW ENFORCEMENT TF | | | | | | | 180- |
| 2795 WORKERS' COMP ADMIN TF | | | | | | | 14,113- |
| | 0.00 | | 14,293- | | 14,293- | | 14,293- |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>CONSUMER ASSISTANCE</u> | | | | | | | 43500400 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 4,893,535 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 113.00 | | | | | |
| | | 6,451,782 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 175,402 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF | -STATE | 941,105 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | -STATE | 2,200 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 595,374 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| HOLOCAUST VICTIMS ASST ADM | | | | | | | 101085 |
| INSURANCE REG TF | -STATE | 308,007 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| INSURANCE REG TF | -STATE | 1,500 | | | | | |
| | | | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|---------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| CONSUMER ASSISTANCE | | | | | | | 43500400 |
| PUBLIC PROTECTION | | | | | | | 12 |
| CONSUMER SAFETY/PROTECTION | | | | | | | 1205.00.00.00 |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF | -STATE | 21,616 | | | | | 2393 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF | -STATE | 9,224 | | | | | 2393 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 37,843 | | | | | 2393 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | | 113.00 | | | | | |
| TOTAL ISSUE..... | | 8,544,053 | | | | | |
| TOTAL SALARY RATE..... | | 4,893,535 | | | | | |
| CASUALTY INSURANCE PREMIUM ADJUSTMENT | | | | | | | 1001090 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF | -STATE | 4,888 | | | | | 2393 1 |
| FLORIDA RETIREMENT SYSTEM ADJUSTMENT FOR FY 2016-17 - NORMAL COST, UNFUNDED ACTUARIAL LIABILITY AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 11,293 | | | | | 2393 1 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>CONSUMER ASSISTANCE</u> | | | | 43500400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 176 | | 2393 1 |
| ===== | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 59,227 | | 2393 1 |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF | -STATE | 809 | | 2393 1 |
| ===== | | | | |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | |
| TOTAL ISSUE..... | | 60,036 | | |
| ===== | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 1,362- | | 2393 1 |
| ===== | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--------------------------------------|---------|--------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>CONSUMER ASSISTANCE</u> | | | | | | | 43500400 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | | <u>1205.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | | | | |
| (HB 7003) | | | | | | | 2100360 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | | 26- | | | | 2393 1 |
| | | ===== | ===== | ===== | | | |
| ANNUALIZATION OF ADMINISTERED | | | | | | | |
| FUNDS APPROPRIATIONS | | | | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | | | | |
| ANNUALIZATION | | | | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | | 42,305 | | | | 2393 1 |
| | | ===== | ===== | ===== | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | | 578 | | | | 2393 1 |
| | | ===== | ===== | ===== | | | |
| TOTAL: ANNUALIZATION OF STATE HEALTH | | | | | | | 26A6520 |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | | | | |
| ANNUALIZATION | | | | | | | |
| TOTAL ISSUE..... | | | 42,883 | | | | |
| | | ===== | ===== | ===== | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| CONSUMER ASSISTANCE | | | | 43500400 |
| PUBLIC PROTECTION | | | | 12 |
| CONSUMER SAFETY/PROTECTION | | | | 1205.00.00.00 |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 127,298 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 127,298 | | | 2393 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 127,298 | | | |
| TOTAL SALARY RATE..... | 127,298 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 127,298 | | | 127,298 | 0.00 | 127,298 |
| ----- | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | | | | 43500500 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 1,213,182 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| REGULATORY TRUST FUND | -STATE | 25.00 | | | | | |
| REGULATORY TRUST FUND | -STATE | 1,692,471 | | | | | 2573 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| REGULATORY TRUST FUND | -STATE | 65,000 | | | | | 2573 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| REGULATORY TRUST FUND | -STATE | 291,827 | | | | | 2573 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| REGULATORY TRUST FUND | -STATE | 9,500 | | | | | 2573 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| ELECTRONIC COMMERCE FEES | | | | | | | 100064 |
| REGULATORY TRUST FUND | -STATE | 14,100 | | | | | 2573 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| REGULATORY TRUST FUND | -STATE | 99,549 | | | | | 2573 1 |
| ===== | | | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| REGULATORY TRUST FUND | -STATE | 8,700 | | | | | 2573 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | | | | 43500500 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| REGULATORY TRUST FUND -STATE | | 8,071 | | | | | 2573 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| REGULATORY TRUST FUND -STATE | | 4,162 | | | | | 2573 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 12,607 | | | | | 2573 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | 25.00 | | | | | | |
| TOTAL ISSUE..... | | 2,205,987 | | | | | |
| TOTAL SALARY RATE..... | | 1,213,182 | | | | | |
| CASUALTY INSURANCE PREMIUM ADJUSTMENT | | | | | | | 1001090 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| REGULATORY TRUST FUND -STATE | | 4,067 | | | | | 2573 1 |
| FLORIDA RETIREMENT SYSTEM ADJUSTMENT FOR FY 2016-17 - NORMAL COST, UNFUNDED ACTUARIAL LIABILITY AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 2,951 | | | | | 2573 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---|---------|--------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | | 43500000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | | | | 43500500 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 35 | | | | | 2573 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 13,218 | | | | | 2573 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| REGULATORY TRUST FUND -STATE | | 809 | | | | | 2573 1 |
| ===== | | | | | | | |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | |
| TOTAL ISSUE..... | | 14,027 | | | | | |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 454- | | | | | 2573 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | 43500500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES REALIGNMENT | | | | 2000000 |
| REALIGN BUDGET AUTHORITY BETWEEN | | | | |
| CATEGORIES - ELECTRONIC COMMERCE | | | | |
| FEEES - ADD | | | | 2000500 |
| SPECIAL CATEGORIES | | | | 100000 |
| ELECTRONIC COMMERCE FEES | | | | 100064 |
| REGULATORY TRUST FUND | | | | |
| -STATE | 50,000 | | | 2573 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests a transfer of 50,000 in budget authority to the Division of Funeral, Cemetery and Consumer Services (FCCS) from the Division of Agent and Agency Services (AAS) in the Electronic Commerce Fees for Collection of Revenue (Ecommerce) category. FCCS is currently appropriated 14,100 in the Ecommerce category, which allows the agency to pay a pass-through convenience fee to the banking vendor that provides an online portal available to the public. This portal allows establishments in the death care industry to submit payments for fees and licenses. Historically, there has been one establishment using the portal. In Fiscal Year 2016-17, the division added five additional establishments in the industry to the portal, causing electronic fees to increase by approximately \$43,000 a year. A five percent transfer has been approved in the current fiscal year to accommodate the projected increase.

The Division of Agent and Agency Services (AAS) also uses the Ecommerce category and projects a continued surplus in this category for Fiscal Year 2017-18.

This issue nets to zero with corresponding issue code 2000400.

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | 43500500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 9- | | 2573 1 |
| ===== | | ===== | | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 9,441 | | 2573 1 |
| ===== | | ===== | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| REGULATORY TRUST FUND -STATE | | 578 | | 2573 1 |
| ===== | | ===== | | |
| TOTAL: ANNUALIZATION OF STATE HEALTH | | | | 26A6520 |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | | 10,019 | | |
| ===== | | ===== | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | 43500500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| INCREASED STAFFING FOR FUNERAL AND | | | | |
| CEMETERY INVESTIGATIONS | | | | 3001010 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 226,457 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| 6.00 | | | | |
| REGULATORY TRUST FUND -STATE | 333,951 | | | 2573 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| REGULATORY TRUST FUND -STATE | 61,464 | 25,596 | | 2573 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| REGULATORY TRUST FUND -STATE | 2,034 | | | 2573 1 |
| ===== | | | | |
| TOTAL: INCREASED STAFFING FOR FUNERAL AND | | | | 3001010 |
| CEMETERY INVESTIGATIONS | | | | |
| TOTAL POSITIONS..... | 6.00 | | | |
| TOTAL ISSUE..... | 397,449 | 25,596 | | |
| TOTAL SALARY RATE..... | 226,457 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Division of Funeral, Cemetery and Consumer Services (FCCS) regulates the death care industry to protect Florida purchasers of pre-need contracts, to ensure cemetery grounds are properly maintained, and to establish minimum qualifications for entry into the professions and occupations of embalming, funeral directing, cremation and direct disposition. Minimum qualifications for the professions and occupations of these services are maintained through continuing education courses. The division provides effective discipline for those practitioners who violate the law.

This issue requests six new full time equivalent positions (FTE) in the Division of Funeral, Cemetery and Consumer Services. When this division originally became part of the Department of Financial Services (DFS), the only field staff

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | 43500500 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| INCREASED STAFFING FOR FUNERAL AND | | | | |
| CEMETERY INVESTIGATIONS | | | | 3001010 |

positions in the division were those of auditors. Auditing only accounts for approximately 40 percent of the workload performed by field staff; therefore, it was necessary for the limited number of field staff to cross train and become efficient in a number of duties, including: inspections, investigations, and examinations. Cross training allowed the field staff to obtain a different skill set for each of these three areas of responsibility.

The addition of six FTE will streamline the process to create more efficiency by dedicating field staff to performing only investigations, examinations, or inspections. This approach will allow a higher level of expertise in each particular skill set to be honed, thus providing further protection for Florida citizens. Implementing this new business process will require additional supervisors to ensure success with the change. Supervising one particular dedication would not be the only task for these supervisors. Instead, to make the division more effective, current responsibilities would be separated among the supervisors to ensure a more effective team.

Field staff will be required to obtain a certain skill set for each of the three disciplines. For example, an FTE dedicated to examinations will possess an auditing background or one who is familiar with accounting principles. The positions requested in this issue are at 20 percent above minimum in order to secure individuals with the required background. This requests is for three supervisors representing each area of responsibility and three field staff to carry out the dedicated tasks. Telecommuting or additional office space in different geographical areas would be optimal; however, telecommuting is preferred.

With six additional FTE, the division's staff will be sufficient to address the needs of the division and address the advocacy and protection of the consumer. This will result in better quality work as each individual field staff will increase their experience and expertise in their specific area of responsibility. The increase in salaries and FTE will require statutory changes concerning the division's fee structure.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|------------------------------|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N1008 001 | 6.00 | 226,457 | | 107,494 | 333,951 | 0.00 | 333,951 |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|------------------------------------|--------|-------------|--------|------------|--------|----------------------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | |
| PGM: LICNSNG/CNSMER PROTEC | | | | | | 43000000 |
| <u>FUNERAL/CEMETERY SERVICES</u> | | | | | | 43500000 |
| PUBLIC PROTECTION | | | | | | 43500500 |
| <u>REGULATION AND LICENSING</u> | | | | | | 12 |
| WORKLOAD | | | | | | <u>1204.00.00.00</u> |
| INCREASED STAFFING FOR FUNERAL AND | | | | | | 3000000 |
| CEMETERY INVESTIGATIONS | | | | | | 3001010 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|------------------------------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | |
| NEW POSITIONS | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | |
| 2573 REGULATORY TRUST FUND | | | | | | 333,951 |
| 6.00 | 226,457 | | 107,494 | 333,951 | | 333,951 |

| | | | | | | |
|---------------------------------|-----------|--------|--|--|--|----------------------|
| TOTAL: REGULATION AND LICENSING | | | | | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | | | | | |
| | 31.00 | | | | | |
| TRUST FUNDS..... | 2,684,072 | 25,596 | | | | 2000 |
| SALARY RATE..... | 1,439,639 | | | | | |

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| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>PUBLIC ASSISTANCE FRAUD</u> | | | | 43500700 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 4,316,416 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 1,492,926 | | | 2261 9 |
| INSURANCE REG TF -STATE | 2,868,260 | | | 2393 1 |
| ----- | | | | |
| TOTAL POSITIONS..... | 72.00 | | | |
| TOTAL APPRO..... | 4,361,186 | | | |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 288,460 | | | 2261 9 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 629,219 | | | 2261 9 |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 20,000 | | | 2261 9 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 194,418 | | | 2261 9 |
| ===== | | | | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 20,000 | | | 2261 9 |
| ===== | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>PUBLIC ASSISTANCE FRAUD</u> | | | | 43500700 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 35,199 | | | 2261 9 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 14,900 | | | 2261 9 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 41,531 | | | 2261 9 |
| DATA PROCESSING SERVICES | | | | 210000 |
| OTHER DATA PROCESSING SVCS | | | | 210014 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 1,000 | | | 2261 9 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 72.00 | | | |
| TOTAL ISSUE..... | 5,605,913 | | | |
| TOTAL SALARY RATE..... | 4,316,416 | | | |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 1,646- | | | 2261 9 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>PUBLIC ASSISTANCE FRAUD</u> | | | | 43500700 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| | | | | |
| FEDERAL GRANTS TRUST FUND -RECPNT | 2,571 | | | 2261 9 |
| INSURANCE REG TF -STATE | 4,939 | | | 2393 1 |
| | ----- | ----- | ----- | |
| TOTAL APPRO..... | 7,510 | | | |
| | ===== | ===== | ===== | |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| | | | | |
| FEDERAL GRANTS TRUST FUND -RECPNT | 29 | | | 2261 9 |
| INSURANCE REG TF -STATE | 57 | | | 2393 1 |
| | ----- | ----- | ----- | |
| TOTAL APPRO..... | 86 | | | |
| | ===== | ===== | ===== | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| | | | | |
| FEDERAL GRANTS TRUST FUND -RECPNT | 13,543 | | | 2261 9 |
| INSURANCE REG TF -STATE | 26,022 | | | 2393 1 |
| | ----- | ----- | ----- | |
| TOTAL APPRO..... | 39,565 | | | |
| | ===== | ===== | ===== | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| | | | | |
| FEDERAL GRANTS TRUST FUND -RECPNT | 359 | | | 2261 9 |
| | ===== | ===== | ===== | |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | |
| TOTAL ISSUE..... | 39,924 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>PUBLIC ASSISTANCE FRAUD</u> | | | | 43500700 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 1,495- | | | 2261 9 |
| | ===== | ===== | ===== | |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 29- | | | 2261 9 |
| | ===== | ===== | ===== | |
| STAFFING - PUBLIC ASSISTANCE FRAUD | | | | 2103155 |
| EXPENSES | | | | 040000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 21,150- | | | 2261 9 |
| | ===== | ===== | ===== | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 9,674 | | | 2261 9 |
| INSURANCE REG TF -STATE | 18,587 | | | 2393 1 |
| TOTAL APPRO..... | 28,261 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>PUBLIC ASSISTANCE FRAUD</u> | | | | 43500700 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 256 | | | 2261 9 |
| TOTAL: ANNUALIZATION OF STATE HEALTH | | | | 26A6520 |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | 28,517 | | | |
| WORKLOAD | | | | 3000000 |
| STAFFING - PUBLIC ASSISTANCE FRAUD | | | | 3000520 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 317,839 | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 186,366 | | | 2261 9 |
| INSURANCE REG TF -STATE | 279,550 | | | 2393 1 |
| TOTAL POSITIONS..... | 8.00 | | | |
| TOTAL APPRO..... | 465,916 | | | |
| EXPENSES | | | | 040000 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 60,469 | 33,840 | | 2261 9 |
| SPECIAL CATEGORIES | | | | 100000 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| FEDERAL GRANTS TRUST FUND -RECPNT | 6,300 | | | 2261 9 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>PUBLIC ASSISTANCE FRAUD</u> | | | | 43500700 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| STAFFING - PUBLIC ASSISTANCE FRAUD | | | | 3000520 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| | | | | |
| FEDERAL GRANTS TRUST FUND -RECPNT | 2,752 | | | 2261 9 |
| ===== | | | | |
| TOTAL: STAFFING - PUBLIC ASSISTANCE FRAUD | | | | 3000520 |
| TOTAL POSITIONS..... | 8.00 | | | |
| TOTAL ISSUE..... | 535,437 | 33,840 | | |
| TOTAL SALARY RATE..... | 317,839 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

In Fiscal Year 2005-06, Public Assistance Fraud (PAF) had 99 investigative full-time positions. Ten years later, PAF has 62 investigative positions due to legislative reductions. During this 10-year period, the number of recipients of public assistance has grown. Previously, PAF had 13 full squads operating in 12 locations statewide; PAF now has eight squads operating out of nine locations. Populated areas of the State without a PAF presence have forced investigators to travel up to two hours to reach areas where investigations are needed. This is inefficient and causes remote areas to be inadequately covered. To minimize that impact, this issue provides the Division with six Financial Crime Investigators and two Investigation Managers. These positions will be funded at 10 percent above base salary with the associated expense for office space and the standard expense package. With these additional eight positions, 360 additional cases are projected to be worked each year.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--------------------------------------|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| 8431 FINANCIAL CRIME INVESTIGATOR II | | | | | | | |
| N0001 001 | 6.00 | 215,799 | | 105,877 | 321,676 | 0.00 | 321,676 |
| 8357 INVESTIGATION MANAGER - SES | | | | | | | |
| N0002 001 | 2.00 | 102,040 | | 42,200 | 144,240 | 0.00 | 144,240 |
| ----- | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: LICNSNG/CNSMER PROTEC | | | | 43500000 |
| <u>PUBLIC ASSISTANCE FRAUD</u> | | | | 43500700 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| STAFFING - PUBLIC ASSISTANCE FRAUD | | | | 3000520 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--------------------------------|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2261 FEDERAL GRANTS TRUST FUND | | | | | | | 186,366 |
| 2393 INSURANCE REG TF | | | | | | | 279,550 |
| | 8.00 | 317,839 | | 148,077 | 465,916 | | 465,916 |

| | | | | | | | |
|-----------------------------------|-------|-----------|--------|--|--|--|----------------------|
| TOTAL: CONSUMER SAFETY/PROTECTION | | | | | | | <u>1205.00.00.00</u> |
| BY FUND TYPE | | | | | | | |
| TRUST FUNDS..... | 80.00 | 6,193,067 | 33,840 | | | | 2000 |
| SALARY RATE..... | | 4,634,255 | | | | | |

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| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 12,105,192 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| WORKERS' COMP ADMIN TF -STATE | 16,478,761 | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | 948,480 | | | 2798 1 |
| TOTAL POSITIONS..... | 298.00 | | | |
| TOTAL APPRO..... | 17,427,241 | | | |
| ===== | | | | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| WORKERS' COMP ADMIN TF -STATE | 383,775 | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | 17,550 | | | 2798 1 |
| TOTAL APPRO..... | 401,325 | | | |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| WORKERS' COMP ADMIN TF -STATE | 3,325,117 | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | 126,870 | | | 2798 1 |
| TOTAL APPRO..... | 3,451,987 | | | |
| ===== | | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| WORKERS' COMP ADMIN TF -STATE | 100,021 | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | 16,851 | | | 2798 1 |
| TOTAL APPRO..... | 116,872 | | | |
| ===== | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | | | | <u>1102.02.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| ELECTRONIC COMMERCE FEES | | | | | | | 100064 |
| WORKERS' COMP ADMIN TF -STATE | | 188,000 | | | | | 2795 1 |
| TR DIST CT OF AP-WORK COMP | | | | | | | 100507 |
| WORKERS' COMP ADMIN TF -STATE | | 1,868,123 | | | | | 2795 1 |
| TR/USF-OSHA MATCH | | | | | | | 100521 |
| WORKERS' COMP ADMIN TF -STATE | | 250,000 | | | | | 2795 1 |
| TR JAC - PROS WRKS COMP FR | | | | | | | 100526 |
| WORKERS' COMP ADMIN TF -STATE | | 604,760 | | | | | 2795 1 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| WORKERS' COMP ADMIN TF -STATE | | 2,336,789 | | | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | | 86,360 | | | | | 2798 1 |
| TOTAL APPRO..... | | 2,423,149 | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| WORKERS' COMP ADMIN TF -STATE | | 84,800 | | | | | 2795 1 |
| PURCHASED CLIENT SERVICES | | | | | | | 102933 |
| WORKERS' COMP ADMIN TF -STATE | | 990,000 | | | | | 2795 1 |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| WORKERS' COMP ADMIN TF -STATE | | 187,197 | | 2795 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| WORKERS' COMP ADMIN TF -STATE | | 62,320 | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | | 2,280 | | 2798 1 |
| TOTAL APPRO..... | | 64,600 | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| WORKERS' COMP ADMIN TF -STATE | | 99,854 | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | | 6,289 | | 2798 1 |
| TOTAL APPRO..... | | 106,143 | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 298.00 | | | |
| TOTAL ISSUE..... | | 28,164,197 | | |
| TOTAL SALARY RATE..... | | 12,105,192 | | |
| CASUALTY INSURANCE PREMIUM | | | | 1001090 |
| ADJUSTMENT | | | | 100000 |
| SPECIAL CATEGORIES | | | | 103241 |
| RISK MANAGEMENT INSURANCE | | | | |
| WORKERS' COMP ADMIN TF -STATE | | 22,432 | | 2795 1 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| WORKERS' COMP ADMIN TF -STATE | | 27,911 | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | | 1,606 | | 2798 1 |
| TOTAL APPRO..... | | 29,517 | | |
| SPECIAL CATEGORIES | | | | 100000 |
| TR DIST CT OF AP-WORK COMP | | | | 100507 |
| WORKERS' COMP ADMIN TF -STATE | | 2,930 | | 2795 1 |
| TR JAC - PROS WRKS COMP FR | | | | 100526 |
| WORKERS' COMP ADMIN TF -STATE | | 1,268 | | 2795 1 |
| TOTAL: FLORIDA RETIREMENT SYSTEM | | | | 1001490 |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | |
| TOTAL ISSUE..... | | 33,715 | | |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| WORKERS' COMP ADMIN TF -STATE | | 636 | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | | 37 | | 2798 1 |
| TOTAL APPRO..... | | 673 | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR DIST CT OF AP-WORK COMP | | | | 100507 |
| WORKERS' COMP ADMIN TF -STATE | | 93 | | 2795 1 |
| TOTAL: FLORIDA RETIREMENT SYSTEM | | | | 1001500 |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | |
| TOTAL ISSUE..... | | 766 | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| WORKERS' COMP ADMIN TF -STATE | | 149,801 | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | | 8,618 | | 2798 1 |
| TOTAL APPRO..... | | 158,419 | | |
| SPECIAL CATEGORIES | | | | 100000 |
| TR DIST CT OF AP-WORK COMP | | | | 100507 |
| WORKERS' COMP ADMIN TF -STATE | | 12,963 | | 2795 1 |
| TR JAC - PROS WRKS COMP FR | | | | 100526 |
| WORKERS' COMP ADMIN TF -STATE | | 5,079 | | 2795 1 |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | |
| TOTAL ISSUE..... | | 176,461 | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| WORKERS' COMP ADMIN TF -STATE | 3,595- | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | 226- | | | 2798 1 |
| TOTAL APPRO..... | 3,821- | | | |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| WORKERS' COMP ADMIN TF -STATE | 69- | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | 4- | | | 2798 1 |
| TOTAL APPRO..... | 73- | | | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| WORKERS' COMP ADMIN TF -STATE | 107,001 | | | 2795 1 |
| WORKERS' COMP SPEC DISAB TF-STATE | 6,156 | | | 2798 1 |
| TOTAL APPRO..... | 113,157 | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| ANNUALIZATION OF ADMINISTERED FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR DIST CT OF AP-WORK COMP | | | | 100507 |
| WORKERS' COMP ADMIN TF -STATE | | 9,259 | | 2795 1 |
| TR JAC - PROS WRKS COMP FR | | | | 100526 |
| WORKERS' COMP ADMIN TF -STATE | | 3,628 | | 2795 1 |
| TOTAL: ANNUALIZATION OF STATE HEALTH INSURANCE ADJUSTMENTS FOR FISCAL YEAR 2016-17 - FIVE MONTHS | | | | 26A6520 |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | | 126,044 | | |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | | 419,501 | | |
| SALARIES AND BENEFITS | | | | 010000 |
| WORKERS' COMP ADMIN TF -STATE | | 419,501 | | 2795 1 |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | | 419,501 | | |
| TOTAL SALARY RATE..... | | 419,501 | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 419,501 | | | 419,501 | 0.00 | 419,501 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2795 WORKERS' COMP ADMIN TF | | | | | | | 419,501 |
| | 0.00 | 419,501 | | | 419,501 | | 419,501 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: WORKERS' COMPENSATION | | | | 43600000 |
| <u>WORKERS' COMPENSATION</u> | | | | 43600100 |
| ECONOMIC OPPORTUNITIES | | | | 11 |
| <u>WORKERS' COMPENSATION</u> | | | | <u>1102.02.00.00</u> |
| TOTAL: WORKERS' COMPENSATION | | | | <u>1102.02.00.00</u> |
| BY FUND TYPE | | | | |
| | 298.00 | | | |
| TRUST FUNDS..... | 28,939,222 | | | 2000 |
| SALARY RATE..... | 12,524,693 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FIRE/ARSON INVESTIGATIONS</u> | | | | 43700100 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | 1800020 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 6,410,973 | | | |
| | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 122.00 | | | |
| INSURANCE REG TF -STATE | 9,177,398 | | | 2393 1 |
| | ===== | ===== | ===== | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF -STATE | 70,942 | | | 2393 1 |
| | ===== | ===== | ===== | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF -STATE | 1,866,584 | | | 2393 1 |
| | ===== | ===== | ===== | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF -STATE | 82,409 | | | 2393 1 |
| | ===== | ===== | ===== | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF -STATE | 175,374 | | | 2393 1 |
| | ===== | ===== | ===== | |
| ON-CALL FEES | | | | 102261 |
| INSURANCE REG TF -STATE | 350,000 | | | 2393 1 |
| | ===== | ===== | ===== | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| INSURANCE REG TF -STATE | 183,900 | | | 2393 1 |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FIRE/ARSON INVESTIGATIONS</u> | | | | 43700100 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | 1800020 |
| SPECIAL CATEGORIES | | | | 100000 |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| INSURANCE REG TF | -STATE | 103,124 | | 2393 1 |
| ===== | | | | |
| SUPP FIREFIGHTERS COMP | | | | 103725 |
| INSURANCE REG TF | -STATE | 8,000 | | 2393 1 |
| ===== | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| INSURANCE REG TF | -STATE | 41,817 | | 2393 1 |
| ===== | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 37,190 | | 2393 1 |
| ===== | | | | |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | 1800020 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | |
| TOTAL POSITIONS..... | | 122.00 | | |
| TOTAL ISSUE..... | | 12,096,738 | | |
| TOTAL SALARY RATE..... | | 6,410,973 | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue adds authority into the newly created budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800010 provides the corresponding deduct amounts in the old budget entities.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FIRE/ARSON INVESTIGATIONS</u> | | | | 43700100 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | 1800020 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|--------|-----------|-----------|-----------|-----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 122.00 | 6,677,271 | | 2,500,125 | 9,177,396 | 0.00 | 9,177,396 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 9,177,396 |
| | 122.00 | 6,677,271 | | 2,500,125 | 9,177,396 | | 9,177,396 |
| RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS | | | | | | | |
| N0000 001 | | 266,298- | | | | | |
| TOTAL SALARY RATE | | 266,298- | | | | | |
| OTHER SALARY AMOUNT | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 2 |
| | | | | | | | 9,177,398 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FIRE/ARSON INVESTIGATIONS</u> | | | | 43700100 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 114,148 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 114,148 | | | 2393 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 114,148 | | | |
| TOTAL SALARY RATE..... | 114,148 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 114,148 | | | 114,148 | 0.00 | 114,148 |
| ----- | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | 43700200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | 1800020 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 467,615 | | | |
| | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 9.00 | | | |
| INSURANCE REG TF | -STATE | 648,261 | | 2393 1 |
| | ===== | ===== | ===== | |
| OTHER PERSONAL SERVICES | | | | 030000 |
| INSURANCE REG TF | -STATE | 14,400 | | 2393 1 |
| | ===== | ===== | ===== | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF | -STATE | 121,754 | | 2393 1 |
| | ===== | ===== | ===== | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF | -STATE | 4,000 | | 2393 1 |
| | ===== | ===== | ===== | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF | -STATE | 151,000 | | 2393 1 |
| | ===== | ===== | ===== | |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| INSURANCE REG TF | -STATE | 4,200 | | 2393 1 |
| | ===== | ===== | ===== | |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | 1800020 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | |
| TOTAL POSITIONS..... | 9.00 | | | |
| TOTAL ISSUE..... | | 943,615 | | |
| TOTAL SALARY RATE..... | 467,615 | | | |
| | ===== | ===== | ===== | |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|-------------|-------------|-------------|------------|------------|-------|
| AGY REQUEST | AGY REQ N/R | AGY REQ N/R | AGY REQ N/R | AG REQ ANZ | AG REQ ANZ | |
| FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|-----------------------------------|--|--|--|--|--|----------------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | | | 43700200 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | | |
| CONSOLIDATION - ADD | | | | | | 1800020 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue adds authority into the newly created budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800010 provides the corresponding deduct amounts in the old budget entities.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 9.00 | 467,615 | | 180,647 | 648,262 | 0.00 | 648,262 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 648,262 |
| | 9.00 | 467,615 | | 180,647 | 648,262 | | 648,262 |

OTHER SALARY AMOUNT

2393 INSURANCE REG TF

1-

648,261

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | 43700200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| EQUIPMENT NEEDS | | | | 2400000 |
| REPLACEMENT OF SCIENTIFIC | | | | |
| LABORATORY EQUIPMENT - ARSON LAB | | | | 2401400 |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| INSURANCE REG TF | -STATE | 150,000 | 150,000 | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue replaces the X-Ray Fluorescence (XRF) Spectrophotometer at the Arson Lab. The existing XRF Spectrophotometer is 11 years old and is obsolete, and parts needed for repairs are no longer being made. The XRF instrument identifies the percentages of all elements in a sample found in explosives, hazardous chemicals, and other items of evidence. It provides conclusive analytical determinations used to identify elemental compositions. The unit has a sample chamber capable of accommodating large samples such as fragments of pipe bombs. Without this instrument, the identification of elemental compositions becomes more labor intensive and time consuming without providing a reliable, conclusive analysis. Each fiscal year, the XRF Spectrophotometer is used to make 200 to 300 identifications. The existing XRF instrument was purchased in 2005 for \$135,000.

| | | | | |
|---|--------|--------|-------|---------|
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND | | | | |
| RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 75,252 | | | |
| | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 75,252 | | 2393 1 |
| | ===== | ===== | ===== | |
| TOTAL: FINANCIAL SERVICES RETENTION AND | | | | 3002A90 |
| RECRUITMENT | | | | |
| TOTAL ISSUE..... | 75,252 | | | |
| TOTAL SALARY RATE..... | 75,252 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | 43700200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 75,252 | | | 75,252 | 0.00 | 75,252 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 75,252 |
| | 0.00 | 75,252 | | | 75,252 | | 75,252 |

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | 43700200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | 1205.00.00.00 |
| PROGRAM ISSUES | | | | 4000000 |
| FORENSIC SERVICES ACCREDITATION | | | | 4000180 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF | -STATE | 200,000 | | 2393 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue provides recurring funding to ensure that accreditation is achieved and maintained for Forensic Service's Arson Laboratory. Since 2010, the Lab receives accreditation from the American Society of Crime Laboratory Directors/Laboratory Accreditation Board (ASCLD/LAB). While the benefits of accreditation are significant, maintaining accreditation requires a significant amount of work.

The Arson Lab provides specialized forensic laboratory services for fire, explosion, and related criminal investigations which are not available from other state forensic laboratories. The Lab supports these investigations by providing forensic analysis of fire debris, ignitable liquids, explosives, explosion residues, hazardous chemicals, video recordings, and digital images used by law enforcement in the investigation and prosecution of related crimes. The Lab has the unique ability to conduct highly technical forensic chemical analyses and reviews that allow for specific and accurate investigative data.

| | | | | |
|--|--------|--------|--|---------|
| CRIME LABORATORY ANALYST PAY PARITY | | | | 4009A60 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | | 54,000 | | |
| | | ===== | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 54,000 | | 2393 1 |
| | | ===== | | |
| TOTAL: CRIME LABORATORY ANALYST PAY PARITY | | | | 4009A60 |
| TOTAL ISSUE..... | | 54,000 | | |
| TOTAL SALARY RATE..... | | 54,000 | | |
| | | ===== | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | 43700200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| PROGRAM ISSUES | | | | 4000000 |
| CRIME LABORATORY ANALYST PAY PARITY | | | | 4009A60 |
| ***** | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

In 2014, the Legislature appropriated the Florida Department of Law Enforcement (FDLE) pay increases for certain positions based on a study conducted by the Bureau of Forensic Services (the Arson Lab). Positions awarded this salary increase included Crime Laboratory Analysts and Senior Crime Laboratory Analysts; the Bureau of Forensic Services (BFS) has three Crime Laboratory Analysts and two Senior Crime Laboratory Analysts. These BFS positions that the study was based on, however, were not included in the special pay increases. The proviso states that, "Effective July 1, 2016, recurring funds are appropriated in Specific Appropriation 1962A to the Florida Department of Law Enforcement from trust funds to increase the salaries of current employees in certain job classes, as follows: \$10,000 for Crime Laboratory Analyst (8463) and \$12,000 for Senior Crime Laboratory Analyst (8464)." This issue will align the salary amounts for the same analyst positions in the BFS to match FDLE.

The study was based on responses from a survey sent to various forensic laboratories across the United States. The salary data was sorted and compiled by the Division of State Fire Marshal, and the findings were included in the "Report on the Responses from the Forensic Laboratories Regarding Current Salaries of Technical Personnel."

| | | | | | |
|---------------------------------|---|---|--------|---|--------|
| Crime Laboratory Analyst | 3 | x | 10,000 | = | 30,000 |
| Senior Crime Laboratory Analyst | 2 | x | 12,000 | = | 24,000 |
| Total: | | | | | 54,000 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0100 001 | 0.00 | 54,000 | | | 54,000 | 0.00 | 54,000 |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|------------|-------------|------------|------------|------------|-------|
| AGY REQUEST | FY 2017-18 | AGY REQ N/R | FY 2017-18 | AG REQ ANZ | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|-------------------------------------|--|--|--|--|--|----------------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | | | 43700200 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | <u>1205.00.00.00</u> |
| PROGRAM ISSUES | | | | | | 4000000 |
| CRIME LABORATORY ANALYST PAY PARITY | | | | | | 4009A60 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | |
| 2393 INSURANCE REG TF | | | | | | |
| 0.00 | 54,000 | | | 54,000 | | 54,000 |

| | | | | | | |
|--------------------------|--|--|--|--|--|---------|
| CAPITAL IMPROVEMENT PLAN | | | | | | 9900000 |
| MAINTENANCE AND REPAIR | | | | | | 990M000 |
| FIXED CAPITAL OUTLAY | | | | | | 080000 |
| ARSON LAB-BLDG REP/MAINT | | | | | | 080940 |

| | | | | | | |
|------------------|--------|---------|---------|--|--|--------|
| INSURANCE REG TF | -STATE | 265,000 | 265,000 | | | 2393 1 |
|------------------|--------|---------|---------|--|--|--------|

AGENCY NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: ARSON LAB-BLDG REP/MAINT IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue provides Fixed Capital Outlay (FCO) funding for the Bureau of Forensic Services (BFS), including \$230,000 to repair the HVAC system and \$35,000 for general repairs and maintenance. The BFS is housed in a facility specially built for it in 1988-90, located in Quincy at the Florida Public Safety Institute. The facility is owned and maintained by the department and receives no maintenance or infrastructure support from the Department of Management Services (DMS).

REPAIR AND MAINTENANCE: 35,000

This issue requests nonrecurring FCO to accommodate maintenance issues that occur as the facility ages. As maintenance

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FORENSIC SERVICES</u> | | | | 43700200 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| CAPITAL IMPROVEMENT PLAN | | | | 9900000 |
| MAINTENANCE AND REPAIR | | | | 990M000 |

is an on-going process, the Bureau plans to replace storage shelving, paint and purchase painting supplies, replace/re-laminate cabinets in the preparation labs, replace electrical connections, upgrade/replace reception cubicle partitions, and replace the auxiliary AC unit for the main instrument lab. The Lab was appropriated \$35,000 in the current fiscal year for repairs and maintenance of this State-owned building; this request would continue this funding for needed maintenance and upkeep of the Lab.

HVAC SYSTEM: 230,000

This issue requests nonrecurring FCO to replace the HVAC system that is over 20 years old and is failing. A \$352,700 DMS bid estimate for the complete replacement of the existing HVAC system has been obtained. DMS recommends the complete replacement because it is cheaper to replace all of the units rather than do it piece by piece. The Bureau has approximately \$124,000 in existing FCO that can be used to address this issue. The Bureau is requesting an additional \$230,000 for this project.

| | | | | |
|-----------------------------------|-----------|---------|-------|----------------------|
| TOTAL: CONSUMER SAFETY/PROTECTION | | | | <u>1205.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 9.00 | | | |
| TRUST FUNDS..... | 1,687,867 | 415,000 | | 2000 |
| SALARY RATE..... | 596,867 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| CORRECT FUNDING SOURCE IDENTIFIER | | | | |
| (FSI) - DEDUCT | | | | 160S050 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| FED LAW ENFORCEMENT TF | -RECPNT | 50,000- | | 2719 9 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse.

This issue requests a technical correction to the Funding Source Identifier (FSI) in the Contracted Services category in the Federal Law Enforcement Trust Fund. There should be no FSI of 9 in Insurance Fraud.

| | | | | |
|-----------------------------------|---------|--------|--|---------|
| CORRECT FUNDING SOURCE IDENTIFIER | | | | |
| (FSI) - ADD | | | | 160S060 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| FED LAW ENFORCEMENT TF | -FEDERL | 50,000 | | 2719 3 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse.

This issue requests a technical correction to the Funding Source Identifier (FSI) in the Contracted Services category in the Federal Law Enforcement Trust Fund. There should be no FSI of 9 in Insurance Fraud.

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|---------|------------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | | | | 43700300 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET DUE TO SB 908 LAW ENFORCEMENT CONSOLIDATION - ADD | | | | | | | 1800020 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 10,051,971 | | | | | |
| | | ===== | | ===== | | ===== | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | | 191.00 | | | | | |
| -STATE | | 14,265,248 | | | | | 2393 1 |
| | | ===== | | ===== | | ===== | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | | 45,000 | | | | | 2393 1 |
| | | ===== | | ===== | | ===== | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF | | 2,078,900 | | | | | 2393 1 |
| | | ===== | | ===== | | ===== | |
| FED LAW ENFORCEMENT TF | | 79,000 | | | | | 2719 1 |
| -FEDERL | | 85,000 | | | | | 2719 3 |
| | | ----- | | ----- | | ----- | |
| TOTAL FED LAW ENFORCEMENT TF | | 164,000 | | | | | 2719 |
| | | ===== | | ===== | | ===== | |
| TOTAL APPRO..... | | 2,242,900 | | | | | |
| | | ===== | | ===== | | ===== | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | | 1,700 | | | | | 2393 1 |
| FED LAW ENFORCEMENT TF | | 5,200 | | | | | 2719 3 |
| | | ----- | | ----- | | ----- | |
| TOTAL APPRO..... | | 6,900 | | | | | |
| | | ===== | | ===== | | ===== | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|---------|-----------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | | | | 43700300 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET DUE TO SB 908 LAW ENFORCEMENT CONSOLIDATION - ADD | | | | | | | 1800020 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TRANS TO JAC FOR PIP FRAUD | | | | | | | 100522 |
| INSURANCE REG TF | -STATE | 1,725,519 | | | | | 2393 1 |
| | | ===== | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 265,315 | | | | | 2393 1 |
| | | ===== | | | | | |
| FED LAW ENFORCEMENT TF | -STATE | 15,000 | | | | | 2719 1 |
| | -FEDERL | 99,800 | | | | | 2719 3 |
| | -RECPNT | 50,000 | | | | | 2719 9 |
| | | ----- | | | | | |
| TOTAL FED LAW ENFORCEMENT TF | | 164,800 | | | | | 2719 |
| | | ===== | | | | | |
| TOTAL APPRO..... | | 430,115 | | | | | |
| | | ===== | | | | | |
| OPERATION/MOTOR VEHICLES | | | | | | | 102289 |
| INSURANCE REG TF | -STATE | 150,253 | | | | | 2393 1 |
| | | ===== | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF | -STATE | 204,281 | | | | | 2393 1 |
| | | ===== | | | | | |
| SALARY INCENTIVE PAYMENTS | | | | | | | 103290 |
| INSURANCE REG TF | -STATE | 202,496 | | | | | 2393 1 |
| | | ===== | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF | -STATE | 47,247 | | | | | 2393 1 |
| | | ===== | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | 1205.00.00.00 |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | 1800020 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 58,771 | | 2393 1 |
| ===== | | | | |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | 1800020 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | |
| TOTAL POSITIONS..... | 191.00 | | | |
| TOTAL ISSUE..... | 19,378,730 | | | |
| TOTAL SALARY RATE..... | 10,051,971 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue adds authority into the newly created budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800010 provides the corresponding deduct amounts in the old budget entities.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|--------|------------|-----------|-----------|------------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0100 001 | 191.00 | 10,364,646 | | 3,900,604 | 14,265,250 | 0.00 | 14,265,250 |
| ----- | | | | | | | |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|-----------------------------------|--|--|--|--|--|----------------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | | | 43700300 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | | |
| CONSOLIDATION - ADD | | | | | | 1800020 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|-----|-----------|-----------|----------|----------|---------|------------------------------|
|-----|-----------|-----------|----------|----------|---------|------------------------------|

A03 - AGY REQUEST FY 2017-18

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2393 INSURANCE REG TF

| | | | | | | |
|--------|------------|--|-----------|------------|--|------------|
| | | | | | | 14,265,250 |
| 191.00 | 10,364,646 | | 3,900,604 | 14,265,250 | | 14,265,250 |

RA06 RATE ADJ - NO FTE - NO SALARY - NO BENEFITS
 N0000 001

| | | | | | | |
|-------------------|----------|--|--|--|--|--|
| | 312,675- | | | | | |
| TOTAL SALARY RATE | 312,675- | | | | | |

OTHER SALARY AMOUNT
 2393 INSURANCE REG TF

| | |
|--|-------------------|
| | 2- |
| | <u>14,265,248</u> |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 324,886 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | | | | 2393 1 |
| -STATE | 324,886 | | | |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 324,886 | | | |
| TOTAL SALARY RATE..... | 324,886 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 0.00 | 324,886 | | | 324,886 | 0.00 | 324,886 |
| ----- | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 324,886 |
| | 0.00 | 324,886 | | | 324,886 | | 324,886 |

| | | | | | | | |
|---|--|---------|---------|--|--|--|-------------------|
| ENHANCEMENTS FOR LAW ENFORCEMENT PERSONNEL - FEDERAL GRANTS TRUST FUND EXPENSES | | | | | | | 3004500 040000 |
| FED LAW ENFORCEMENT TF -FEDERL | | 70,848 | | | | | 2719 3 |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| FED LAW ENFORCEMENT TF -FEDERL | | 981,390 | 580,840 | | | | 2719 3 |
| SPECIAL CATEGORIES ACQUISITION/MOTOR VEHICLES | | | | | | | 100000 100021 |
| FED LAW ENFORCEMENT TF -FEDERL | | 332,400 | 332,400 | | | | 2719 3 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| ENHANCEMENTS FOR LAW ENFORCEMENT | | | | |
| PERSONNEL - FEDERAL GRANTS TRUST | | | | 3004500 |
| FUND | | | | |
| TOTAL: ENHANCEMENTS FOR LAW ENFORCEMENT | | | | 3004500 |
| PERSONNEL - FEDERAL GRANTS TRUST | | | | |
| FUND | | | | |
| TOTAL ISSUE..... | 1,384,638 | 913,240 | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Department requests various enhancements to its law enforcement capabilities. These enhancements are requested to be funded through the Federal Law Enforcement Trust Fund (FLETF), including the following issues:

MIFI SYSTEM: 70,848

This issue provides the Division of Insurance Fraud (DIF) and the Office of Fiscal Integrity with recurring Expenses authority to allow for the use of the MiFi system. This system allows the computers to become mobile data terminals and to link into the Joint Dispatch Centers and criminal data bases. These cards will be used with current computers and all forms of communications. This issue provides 164 MiFi cards.

COMPUTER REPLACEMENT: 163,800

This issue provides the recurring continuation of funding for laptop replacements in the amount of \$163,800 in the Operating Capital Outlay (OCO) category. In Fiscal Year 2016-17, DIF is appropriated \$192,000 in nonrecurring OCO authority in the FLETF for laptop replacements. This issue will allow for the replacement of 68 laptops (25 percent of the existing computers) with the new Dell Latitude rugged laptops on an annual basis. This will be the second year of the replacement cycle (the first is currently funded from nonrecurring funds). The Bureau of Fire and Arson Investigations, Insurance Fraud, and Office of Fiscal Integrity have a total of 254 sworn law enforcement position and 19 crime intelligence analysts. Each of these positions require a laptop computer to perform their required statutory functions for criminal investigations of financial crime, insurance fraud, workers' compensation fraud, and causes of fire and explosions. These computers are used in vehicle mounts to facilitate use as a mobile data terminal to link into the Joint Dispatch Centers and criminal data bases as well as in the traditional office environment.

EQUIPPING LAW ENFORCEMENT VEHICLES: 86,750

This issue will equip 45 law enforcement vehicles with necessary equipment utilized by sworn law enforcement officers in

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| ENHANCEMENTS FOR LAW ENFORCEMENT | | | | |
| PERSONNEL - FEDERAL GRANTS TRUST | | | | |
| FUND | | | | 3004500 |

the Division of Insurance Fraud. These vehicles do not come equipped with lights, sirens, tint packages, gun racks, radios, and other needed law enforcement accessories. The purchase of this equipment is from the OCO category which does not have sufficient budget authority to address this need each year. The Division receives funding to purchase approximately 20 vehicles annually; this issue will equip 20 new vehicles that will be purchased in Fiscal Year 2017-18 at \$2,900 per vehicle. This request is for recurring funding since vehicles are replaced each year. This issue also upgrades the equipment for 25 vehicles in the existing fleet that already have lights, sirens, and tint packages, so the upgrades will cost less (\$1,150 per vehicle). Several of the Division's law enforcement vehicles have been broken into, damaged, and had weapons stolen from them. Equipment like alarm systems and stronger, more secure gun racks are being added to the vehicles to help prevent incidents of theft and vandalism.

INCREASE OCO AUTHORITY: 150,000

This issue requests additional funding for the Bureau of Fire and Arson Investigations' (BFAI) OCO category. The Bureau uses a majority of this OCO appropriation to outfit and equip law enforcement vehicles, including lights, sirens, tint packages, gun racks, laptop stands, consoles, radios, alarms, power invertors, bed covers, and other law enforcement accessories. Currently, the Bureau is appropriated \$82,409 of authority in the OCO category. This funding is insufficient to properly equip and maintain BFAI's fleet of law enforcement vehicles with emergency equipment. From Fiscal Years 2013-14 to 2016-17, BFAI has moved \$287,800 into the OCO category via budget amendments, an annual average of \$71,950.

FOUR MINI EOD/SWAT ROBOTS: 209,600

This issue will provide OCO authority to purchase four Mini-Caliber EOD/SWAT Robots, one for each of the four teams that make up the State Fire Marshal's Bomb Squad in Pensacola, Tallahassee, Tampa, and Fort Myers. Each robot costs \$52,400. These robots are utilized by these teams to respond to explosion and hazardous materials incidents and prevent directly exposing a law enforcement officer to hazardous situations. During the past two years, the teams responded to 185 calls for service, including rendering safe operations for suspicious items, disposal of hazardous materials, dignitary protection, and integrated SWAT responses. The current BFAI robots are large, slow, and difficult to maneuver in confined spaces. The Mini-Caliber EOD/SWAT Robots are lightweight, simple to operate, and quick to deploy. They are tested and recommended by the National Tactical Officer Association.

X-RAY SYSTEM REPLACEMENT: 236,000

This issue will provide OCO authority to purchase four digital x-ray systems at \$59,000 each for the BFAI Bomb Squad members in Pensacola, Tallahassee, Tampa, and Fort Myers. These x-ray systems are utilized by these teams to provide rapid response to explosive, hazardous device, and SWAT incidents. They are routinely called by local agencies to respond to suspicious devices and IED's; this requires non-invasive inspections that occurs via a portable x-ray system. During the past two years, the teams responded to 185 calls for service. Currently, BFAI bomb technicians are outfitted

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| ENHANCEMENTS FOR LAW ENFORCEMENT | | | | |
| PERSONNEL - FEDERAL GRANTS TRUST | | | | |
| FUND | | | | 3004500 |

with operable x-ray systems, some of which are over 10 years old. These current systems, while still functional, are much larger and less efficient than the new systems being requested. These new x-ray systems are more efficient, more portable, and produce a clearer image with less maintenance. There have been instances where current X-ray equipment was not available because it had to be returned to the manufacturer for repair, thus delaying services when another x-ray system had to be brought in from an adjoining squad.

REPLACE TWO SPECIALTY TOWING VEHICLES: 107,400

This issue purchases two specialty towing vehicles and includes \$25,000 in OCO authority for the emergency lights and equipment for the vehicles. These Chevy Duallies transport skid steer loaders to and from various incident scenes in response to law enforcement calls for service, emergency relief, large fire scenes, and EOD situations. Three of the tow vehicles are 21 years old, and three are 16 years old; all of which exceed DMS replacement standards. One of the vehicles was surplus due to mechanical failure; however, funds have not been available to replace it. In addition, the vehicle assigned to the Tampa office has broken down the last two times it was used. Mechanical repairs are required to keep these aging, high-mileage vehicles in service.

The Bureau has also requested to replace four of the EOD primary response trucks with the intent to convert them to four specialty tow vehicles. These two issues will replace all six of the tow vehicles (see below).

REPLACE FOUR EOD RESPONSE VEHICLES: 310,240

This issue replaces four Bomb Squad response vehicles, including \$110,240 in OCO authority to outfit the vehicles with emergency equipment and storage capabilities. The BFAI Bomb Squad operates four squads of Bomb Technicians in the State, one in Pensacola, Tallahassee, Tampa, and Fort Myers. Each squad is assigned a vehicle that is dedicated solely as an EOD response vehicle utilized for emergency response to explosives incidents. The current vehicles are 11-year-old Ford F-550s and are in excellent shape.

The Bureau intends to transition these four existing response vehicles into towing vehicles to replace aging and outdated vehicles that haul heavy equipment (see above).

REPLACE TWO SKID STEERS (FRONTEND LOADERS): 50,000

This issue replaces two New Holland skid steer loaders (\$25,000 each) utilized by Bureau personnel to remove debris at fire and explosion scenes in Pensacola and Tallahassee. Both of these skid steers have been used for over 25 years and experience mechanical failures, including constant repairs to hoses and hydraulic fittings. During investigations, layers of collapsed debris in a structure must be removed to allow investigators access to determine the origin and cause of the fire or explosion. The skid steers are used to remove large amounts of debris as well as emergency response efforts. The Bureau has six frontend loaders statewide.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>INSURANCE FRAUD</u> | | | | 43700300 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| TOTAL: CONSUMER SAFETY/PROTECTION | | | | <u>1205.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 191.00 | | | |
| TRUST FUNDS..... | 21,088,254 | 913,240 | | 2000 |
| SALARY RATE..... | 10,376,857 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FISCAL INTEGRITY</u> | | | | 43700400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | 1800020 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 480,246 | | | |
| | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| GENERAL REVENUE FUND | 10.00 | | | |
| -STATE | 675,000 | | | 1000 1 |
| | ===== | ===== | ===== | |
| EXPENSES | | | | 040000 |
| GENERAL REVENUE FUND | | | | |
| -STATE | 35,700 | | | 1000 1 |
| | ===== | ===== | ===== | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND | | | | |
| -STATE | 7,300 | | | 1000 1 |
| | ===== | ===== | ===== | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| GENERAL REVENUE FUND | | | | |
| -STATE | 3,100 | | | 1000 1 |
| | ===== | ===== | ===== | |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| GENERAL REVENUE FUND | | | | |
| -STATE | 3,120 | | | 1000 1 |
| | ===== | ===== | ===== | |
| TOTAL: TRANSFER OF POSITIONS AND BUDGET | | | | 1800020 |
| DUE TO SB 908 LAW ENFORCEMENT | | | | |
| CONSOLIDATION - ADD | | | | |
| TOTAL POSITIONS..... | 10.00 | | | |
| TOTAL ISSUE..... | | 724,220 | | |
| TOTAL SALARY RATE..... | 480,246 | | | |
| | ===== | ===== | ===== | |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-----------------------------------|--------|-------------|--------|------------|--------|----------------------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | | | 43700000 |
| <u>FISCAL INTEGRITY</u> | | | | | | 43700400 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | <u>1205.00.00.00</u> |
| INTRA-AGENCY REORGANIZATIONS | | | | | | 1800000 |
| TRANSFER OF POSITIONS AND BUDGET | | | | | | |
| DUE TO SB 908 LAW ENFORCEMENT | | | | | | |
| CONSOLIDATION - ADD | | | | | | 1800020 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue adds authority into the newly created budget entities due to the consolidation of law enforcement within the Department, pursuant to Senate Bill 908 (Ch. 2016-165) and LBC budget amendment #B0081.

Issue 1800010 provides the corresponding deduct amounts in the old budget entities.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0001 001 | 10.00 | 480,246 | | 194,753 | 674,999 | 0.00 | 674,999 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 1000 GENERAL REVENUE FUND | | | | | | | 674,999 |
| | 10.00 | 480,246 | | 194,753 | 674,999 | | 674,999 |

OTHER SALARY AMOUNT

1000 GENERAL REVENUE FUND

1

675,000

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FISCAL INTEGRITY</u> | | | | 43700400 |
| <u>PUBLIC PROTECTION</u> | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | 1205.00.00.00 |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 16,932 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF -STATE | 16,932 | | | 2393 1 |
| ===== | | | | |
| TOTAL: FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |
| TOTAL ISSUE..... | 16,932 | | | |
| TOTAL SALARY RATE..... | 16,932 | | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The State of Florida has not provided salary increases to the entire employee base in many years. In order to keep the highly skilled workforce, the legislature has allowed each department, at the discretion of the agency head, to grant competitive pay adjustment to address retention, pay inequities and other staffing issues. The Department of Financial Services (DFS) has been able to provide a limited number increases in the divisions which had the budget availability. Not all division have had the necessary salary budget and have not been able to address pay issues. This issue increases the salary budget of those divisions up to 2.5% of their salary budget. Divisions which already exceeded this calculation did not receive additional salary budget. This will allow the Chief Financial Officer to continue to reduce the workforce and obtain the highly qualified employees to serve the citizens of Florida.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS | | | | | | | |
| N0001 001 | 0.00 | 16,932 | | | 16,932 | 0.00 | 16,932 |
| ----- | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FISCAL INTEGRITY</u> | | | | 43700400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| FINANCIAL SERVICES RETENTION AND RECRUITMENT | | | | 3002A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 16,932 |
| | 0.00 | 16,932 | | | 16,932 | | 16,932 |

| | | | | | | | |
|--|----------|----------|--|--|--|--|---------|
| FUND SHIFT | | | | | | | 3400000 |
| OFFICE OF FISCAL INTEGRITY - FROM GENERAL REVENUE TO INSURANCE | | | | | | | |
| REGULATORY TRUST FUND - DEDUCT SALARY RATE | | | | | | | 3400510 |
| SALARY RATE..... | 480,246- | | | | | | 000000 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| GENERAL REVENUE FUND -STATE | 10.00- | 675,000- | | | | | 1000 1 |
| EXPENSES | | | | | | | 040000 |
| GENERAL REVENUE FUND -STATE | 35,700- | | | | | | 1000 1 |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FISCAL INTEGRITY</u> | | | | 43700400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| FUND SHIFT | | | | 3400000 |
| OFFICE OF FISCAL INTEGRITY - FROM | | | | |
| GENERAL REVENUE TO INSURANCE | | | | |
| REGULATORY TRUST FUND - DEDUCT | | | | 3400510 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| GENERAL REVENUE FUND -STATE | | 7,300- | | 1000 1 |
| ===== | | | | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| GENERAL REVENUE FUND -STATE | | 3,100- | | 1000 1 |
| ===== | | | | |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| GENERAL REVENUE FUND -STATE | | 3,120- | | 1000 1 |
| ===== | | | | |
| TOTAL: OFFICE OF FISCAL INTEGRITY - FROM | | | | 3400510 |
| GENERAL REVENUE TO INSURANCE | | | | |
| REGULATORY TRUST FUND - DEDUCT | | | | |
| TOTAL POSITIONS..... | | 10.00- | | |
| TOTAL ISSUE..... | | 724,220- | | |
| TOTAL SALARY RATE..... | | 480,246- | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue moves the funding source for the Office of Fiscal Integrity (OFI) from General Revenue to the Insurance Regulatory Trust Fund. OFI is moving from Accounting and Auditing to the newly created Division of Investigative and Forensic Services (DIFS) as part of Senate Bill 908's law enforcement consolidation. DIFS does not have any General Revenue.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

FINANCIAL SERVICES 43000000
 PGM: INVEST/FORENSIC SVCS 43700000
FISCAL INTEGRITY 43700400
 PUBLIC PROTECTION 12
CONSUMER SAFETY/PROTECTION 1205.00.00.00
 FUND SHIFT 3400000
 OFFICE OF FISCAL INTEGRITY - FROM
 GENERAL REVENUE TO INSURANCE
 REGULATORY TRUST FUND - DEDUCT 3400510

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|--------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0000 001 | 10.00- | 480,246- | | 194,753- | 674,999- | 0.00 | 674,999- |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 1000 GENERAL REVENUE FUND | | | | | | | 674,999- |
| | 10.00- | 480,246- | | 194,753- | 674,999- | | 674,999- |
| OTHER SALARY AMOUNT | | | | | | | |
| 1000 GENERAL REVENUE FUND | | | | | | | 1- |
| | | | | | | | 675,000- |

OFFICE OF FISCAL INTEGRITY - FROM
 GENERAL REVENUE TO INSURANCE
 REGULATORY TRUST FUND - ADD BACK 3400520
 SALARY RATE 000000
 SALARY RATE..... 480,246
 =====

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | 43700000 |
| <u>FISCAL INTEGRITY</u> | | | | 43700400 |
| PUBLIC PROTECTION | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | <u>1205.00.00.00</u> |
| FUND SHIFT | | | | 3400000 |
| OFFICE OF FISCAL INTEGRITY - FROM | | | | |
| GENERAL REVENUE TO INSURANCE | | | | |
| REGULATORY TRUST FUND - ADD BACK | | | | 3400520 |
| SALARIES AND BENEFITS | | | | 010000 |
| | 10.00 | | | |
| INSURANCE REG TF | -STATE | 675,000 | | 2393 1 |
| | | ===== | ===== | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF | -STATE | 35,700 | | 2393 1 |
| | | ===== | ===== | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF | -STATE | 7,300 | | 2393 1 |
| | | ===== | ===== | |
| OPERATION/MOTOR VEHICLES | | | | 102289 |
| INSURANCE REG TF | -STATE | 3,100 | | 2393 1 |
| | | ===== | ===== | |
| SALARY INCENTIVE PAYMENTS | | | | 103290 |
| INSURANCE REG TF | -STATE | 3,120 | | 2393 1 |
| | | ===== | ===== | |
| TOTAL: OFFICE OF FISCAL INTEGRITY - FROM | | | | 3400520 |
| GENERAL REVENUE TO INSURANCE | | | | |
| REGULATORY TRUST FUND - ADD BACK | | | | |
| TOTAL POSITIONS..... | 10.00 | | | |
| TOTAL ISSUE..... | | 724,220 | | |
| TOTAL SALARY RATE..... | 480,246 | | | |
| | | ===== | ===== | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to Long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|----------------------|
| AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: INVEST/FORENSIC SVCS | | | | | | 43700000 |
| <u>FISCAL INTEGRITY</u> | | | | | | 43700400 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>CONSUMER SAFETY/PROTECTION</u> | | | | | | <u>1205.00.00.00</u> |
| FUND SHIFT | | | | | | 3400000 |
| OFFICE OF FISCAL INTEGRITY - FROM | | | | | | |
| GENERAL REVENUE TO INSURANCE | | | | | | |
| REGULATORY TRUST FUND - ADD BACK | | | | | | 3400520 |

This issue moves the funding source for the Office of Fiscal Integrity (OFI) from General Revenue to the Insurance Regulatory Trust Fund. OFI is moving from Accounting and Auditing to the newly created Division of Investigative and Forensic Services (DIFS) as part of Senate Bill 908's law enforcement consolidation. DIFS does not have any General Revenue.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| CHANGES TO CURRENTLY AUTHORIZED POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| N0000 001 | 10.00 | 480,246 | | 194,753 | 674,999 | 0.00 | 674,999 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 674,999 |
| | 10.00 | 480,246 | | 194,753 | 674,999 | | 674,999 |

OTHER SALARY AMOUNT

| | | | | | | | |
|-----------------------|--|--|--|--|--|--|---------|
| 2393 INSURANCE REG TF | | | | | | | 1 |
| | | | | | | | 675,000 |

| | | | | | | | |
|-----------------------------------|-------|---------|--|--|--|--|----------------------|
| TOTAL: CONSUMER SAFETY/PROTECTION | | | | | | | <u>1205.00.00.00</u> |
| BY FUND TYPE | | | | | | | |
| TRUST FUNDS..... | 10.00 | 741,152 | | | | | 2000 |
| SALARY RATE..... | | 497,178 | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|------------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 12,758,234 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| 254.00 | | | | | | | |
| INSURANCE REG TF | -STATE | 17,044,327 | | | | | 2393 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| INSURANCE REG TF | -STATE | 290,169 | | | | | 2393 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| INSURANCE REG TF | -STATE | 2,362,529 | | | | | 2393 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| INSURANCE REG TF | -STATE | 98,000 | | | | | 2393 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| FL PUBLIC HURR LOSS MODEL | | | | | | | 100515 |
| INSURANCE REG TF | -STATE | 632,639 | | | | | 2393 1 |
| ===== | | | | | | | |
| TR/FIU-ENH/FL PUBLIC/MODEL | | | | | | | 100516 |
| INSURANCE REG TF | -STATE | 850,000 | | | | | 2393 1 |
| ===== | | | | | | | |
| PROPERTY/CASUALTY EXAMS | | | | | | | 100523 |
| INSURANCE REG TF | -STATE | 3,501,763 | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|------------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| LIFE AND HEALTH EXAMS | | | | | | | 100524 |
| INSURANCE REG TF | -STATE | 1,425,000 | | | | | 2393 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| INSURANCE REG TF | -STATE | 1,338,016 | | | | | 2393 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF | -STATE | 112,446 | | | | | 2393 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| INSURANCE REG TF | -STATE | 18,989 | | | | | 2393 1 |
| ===== | | | | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 86,233 | | | | | 2393 1 |
| ===== | | | | | | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | | 254.00 | | | | | |
| TOTAL ISSUE..... | | 27,760,111 | | | | | |
| TOTAL SALARY RATE..... | | 12,758,234 | | | | | |
| ===== | | | | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|------------------------------------|----------------------------------|---------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| CASUALTY INSURANCE PREMIUM | | | | | | | |
| ADJUSTMENT | | | | | | | 1001090 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| INSURANCE REG TF | -STATE | 15,851 | | | | | 2393 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | |
| AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 31,675 | | | | | 2393 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 277 | | | | | 2393 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 137,155 | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 3,104- | | 2393 1 |
| | | ===== | | |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 60- | | 2393 1 |
| | | ===== | | |
| TRANSFER TO FLORIDA INTERNATIONAL | | | | |
| UNIVERSITY - ENHANCEMENTS TO THE | | | | |
| FLORIDA PUBLIC HURRICANE LOSS MODEL | | | | 2103120 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/FIU-ENH/FL PUBLIC/MODEL | | | | 100516 |
| INSURANCE REG TF | -STATE | 850,000- | | 2393 1 |
| | | ===== | | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 97,968 | | 2393 1 |
| | | ===== | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| RECLASSIFICATION OF ACTUARIAL | | | | |
| STAFFING | | | | 3001A90 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | | 103,512 | | |
| | | ===== | ===== | ===== |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | | | | 2393 1 |
| -STATE | | 119,215 | | |
| | | ===== | ===== | ===== |
| TOTAL: OFFICE OF INSURANCE REGULATION - | | | | 3001A90 |
| RECLASSIFICATION OF ACTUARIAL | | | | |
| STAFFING | | | | |
| TOTAL ISSUE..... | | 119,215 | | |
| TOTAL SALARY RATE..... | | 103,512 | | |
| | | ===== | ===== | ===== |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Reclassification of Actuarial Staffing

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of over 4,200 licensed entities operating in Florida. Part of this monitoring is performed by a team of actuaries. Actuarial positions are critical due to the important and complex responsibilities that they perform. In the Property and Casualty business unit, actuaries perform actuarial calculations to determine whether property and casualty rate revision requests are excessive, inadequate, or unfairly discriminatory. Property and casualty actuaries review rates not only for homeowner's insurance, but also for automobile insurance, worker's compensation and other personal lines products which have a direct impact on Florida families and businesses. In the Life and Health business unit, the actuaries review health insurance rates to ensure that premiums are reasonable in relation to benefits as required by the Florida Insurance Code. Actuaries analyze statutorily required data and statistics to ensure that rate filings are made in compliance with state insurance laws and actuarial standards. And just as importantly, actuaries perform detailed

| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| RECLASSIFICATION OF ACTUARIAL | | | | |
| STAFFING | | | | 3001A90 |

reserve analyses as a tool to monitor the financial condition of property and casualty insurers doing business in Florida. In addition, they evaluate the impact of legislative changes, review proposed legislation, and make recommendations for revisions of state statutes and administrative rules. Despite an increasing workload, the Office is committed to analyzing and reviewing all property and casualty rate filings within 90 days and life and health rate filings within 45 days.

The Office is requesting to reclassify 8 positions to Senior Actuarial Analyst. Actuarial Analysts and Senior Actuarial Analysts provide critical support for the actuaries in our business units. These analysts perform actuarial calculations to assess rate reasonability and assist the actuaries in determining if filings are in compliance with relevant statutes, rules, and procedures. Actuarial analysts also provide support and review of insurer reserves to evaluate reserve adequacy. Other responsibilities include collecting, analyzing, and reviewing statutorily required reporting forms for compliance with legislative requirements.

The base salary for an Actuarial Analyst (\$32,697) and Senior Actuarial Analyst (\$46,381) is slightly more than half of the market average (\$57,500 and \$72,000 respectively). From the period of January 1, 2013 thorough August 31, 2016, the turnover rate with our Actuarial Analyst positions has been 56.3% and with our Senior Actuarial Analyst, the turnover rate has been 22.2%. The typical entry level analyst candidate at the Office is a college graduate with zero years of experience. Our typical Senior Actuarial Analyst has 1-3 years of experience along with having passed 1-3 exams required for designation as an actuary. Senior Actuarial Analyst positions are in high demand in the market due to changing market dynamics, including the implementation of new regulations and rates brought about by the Affordable Care Act. This high demand and significantly higher private market pay scale makes retention increasingly difficult once candidates for this position get 2-4 years of experience.

To reduce the impact on our rate balance and to facilitate the recruitment and retention of high quality actuarial analysts, the Office is requesting the reclassification of 8 positions (5 in Property & Casualty and 3 in Life & Health) to Senior Actuarial Analyst. Seven of the positions sought to be reclassified are Actuarial Analysts and one is a Research and Statistics Consultant. This reclassification request would not increase the budget of the Office by an appreciable amount, nor would it impact General Revenue. The impact of not funding this request would have a negative effect by hindering the Office by not being able to retain the talent the Office has hired and developed, and therefore not reduce the long term costs of these positions.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|----------------------------------|--|--|--|--|--|---------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | | | |
| RECLASSIFICATION OF ACTUARIAL | | | | | | |
| STAFFING | | | | | | 3001A90 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---------------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| 3142 RESEARCH & STATISTICS CONSULTANT | | | | | | | |
| A0020 001 | 1.00- | 38,660- | | 18,054- | 56,714- | 0.00 | 56,714- |
| 3553 ACTUARIAL ANALYST | | | | | | | |
| A0030 001 | 7.00- | 228,878- | | 120,051- | 348,929- | 0.00 | 348,929- |
| 8701 SENIOR ACTUARIAL ANALYST | | | | | | | |
| A0040 001 | 8.00 | 371,050 | | 153,808 | 524,858 | 0.00 | 524,858 |
| ----- | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 119,215 |
| | 0.00 | 103,512 | | 15,703 | 119,215 | | 119,215 |
| | ===== | ===== | ===== | ===== | ===== | | ===== |

| | | | | | | |
|----------------------------------|---------|-------|-------|-------|--|---------|
| OFFICE OF INSURANCE REGULATION - | | | | | | |
| RECLASSIFICATION AND STAFFING | | | | | | |
| RESOURCES OF LIFE AND HEALTH | | | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | | | 3002A50 |
| SALARY RATE | | | | | | 000000 |
| SALARY RATE..... | 240,522 | | | | | |
| | ===== | ===== | ===== | ===== | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| RECLASSIFICATION AND STAFFING | | | | |
| RESOURCES OF LIFE AND HEALTH | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | 3002A50 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 277,010 | | 2393 1 |
| ===== | | | | |
| TOTAL: OFFICE OF INSURANCE REGULATION - | | | | 3002A50 |
| RECLASSIFICATION AND STAFFING | | | | |
| RESOURCES OF LIFE AND HEALTH | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | |
| TOTAL ISSUE..... | | 277,010 | | |
| TOTAL SALARY RATE..... | | 240,522 | | |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Reclassification and Staffing Resources of Life and Health Financial Oversight Positions

Reference to Long-Range Program Plan:

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description: The Life and Health Financial Oversight unit within the Office of Insurance Regulation monitors the financial condition of all regulated Life and Health entities through the use of internal financial analysis and on-site examinations. The Unit is also responsible for the admissions process for new Life and Health entities as well as those proposing to expand into additional lines of business. Entities subject to the unit's regulatory oversight include Life and Health insurers, fraternal benefit societies, health maintenance organizations, pre-paid limited health service organizations, pre-paid health clinics, multiple employer welfare arrangements, fiscal intermediary service organizations, discount medical plan organizations, as well as Healthflex entities that are either licensed, authorized or otherwise approved to operate in the State of Florida.

The Office of Insurance Regulation is requesting the reclassification and staffing resources within the Life and Health Financial Oversight business unit. The financial crisis of 2007-2008 highlighted the need for more emphasis on the regulation of insurers at the insurance group level, rather than at the individual company level. Insurance has become an increasingly global commodity and the financial regulation of insurers has become more difficult and complex. The

| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| RECLASSIFICATION AND STAFFING | | | | |
| RESOURCES OF LIFE AND HEALTH | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | 3002A50 |

legislature has recognized the need for sophisticated monitoring tools by passing several important new insurance laws: The Own Risk Self-Assessment or ORSA statute, which requires insurers to assess and report on corporate risk, enhancements to the Insurance Holding Company statute, and the Corporate Governance Disclosure Act, which provides insurance regulators with an annual report on corporate governance practices of insurers.

The Office has also developed and enhanced its Reinsurance Data Call and Stress Test to assess and evaluate the capacity of domestic property and casualty insurers to respond to catastrophic hurricanes. This test, which analyzes insurer surplus, catastrophe model results, return times and reinsurance programs, requires informed analysis by knowledgeable and trained financial analysts.

Traditionally, in Florida, the Office has assigned our solvency analysts by group and those analysts review filings as received. To take this to the next level, our new staffing plan implements a team of financial analysts that would be focused on a manageable number of insurance groups with the goal of gaining a more complete understanding of the market pressures faced by each group and the risks inherent in its operations. With emphasis on reviewing the information received throughout the year in a variety of reports, the team would have an increased opportunity to specialize and more accurately assess the risk of insolvency.

Therefore, the Office is requesting the reclassification and staffing resources within the Life and Health Financial Oversight business unit. Due to some positions having high turnover rates in this critical business unit, this request is needed to cultivate a team of financial analysts capable of evaluating and responding to new financial challenges. The Office staffing plan contemplates the reclassification of 17 positions along with raising the base salary of 19 positions in our Life and Health solvency unit. These changes would provide the structural framework to facilitate heightened review and focused solvency analysis. If this request is not funded, the impact would have a negative effect by not being able to retain the talent the Office has hired and developed, and therefore not reduce the long term costs of these positions.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|----------------------------------|--|--|--|--|--|---------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | | | |
| RECLASSIFICATION AND STAFFING | | | | | | |
| RESOURCES OF LIFE AND HEALTH | | | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | | | 3002A50 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|---------------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| RA01 RATE & SALARY ADJ - BENEFITS NO | FTE | | | | | | |
| L0080 001 | 0.00 | 141,778 | | 21,508 | 163,286 | 0.00 | 163,286 |
| 1564 FINANCIAL EXAMINER/ANALYST II | | | | | | | |
| L0020 001 | 4.00- | 154,641- | | 72,219- | 226,860- | 0.00 | 226,860- |
| L0050 001 | 6.00 | 240,000 | | 109,548 | 349,548 | 0.00 | 349,548 |
| 1566 FINANCIAL SPECIALIST | | | | | | | |
| L0070 001 | 4.00 | 200,000 | | 79,100 | 279,100 | 0.00 | 279,100 |
| 2239 OPERATIONS REVIEW SPECIALIST | | | | | | | |
| L0040 001 | 1.00- | 43,620- | | 18,807- | 62,427- | 0.00 | 62,427- |
| 3524 INSURANCE EXAMINER II | | | | | | | |
| L0030 001 | 9.00- | 328,211- | | 159,499- | 487,710- | 0.00 | 487,710- |
| 3551 REINSURANCE/FINANCIAL SPECIALIST | | | | | | | |
| L0010 001 | 3.00- | 129,784- | | 56,258- | 186,042- | 0.00 | 186,042- |
| L0060 001 | 7.00 | 315,000 | | 133,116 | 448,116 | 0.00 | 448,116 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 277,011 |
| | 0.00 | 240,522 | | 36,489 | 277,011 | | 277,011 |

| | | | | | | | |
|-----------------------|--|--|--|--|--|--|---------|
| OTHER SALARY AMOUNT | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 1- |
| | | | | | | | 277,010 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| RECLASSIFICATION AND STAFFING | | | | |
| RESOURCES OF PROPERTY AND CASUALTY | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | 3002A60 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 278,667 | | | |
| | ===== | ===== | ===== | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | | | | |
| -STATE | 320,941 | | | 2393 1 |
| | ===== | ===== | ===== | |
| TOTAL: OFFICE OF INSURANCE REGULATION - | | | | 3002A60 |
| RECLASSIFICATION AND STAFFING | | | | |
| RESOURCES OF PROPERTY AND CASUALTY | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | |
| TOTAL ISSUE..... | 320,941 | | | |
| TOTAL SALARY RATE..... | 278,667 | | | |
| | ===== | ===== | ===== | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Reclassification and Staffing Resources of Property and Casualty Financial Oversight Positions

Reference to Long-Range Program Plan:

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description: The Property and Casualty Financial Oversight Unit within the Office of Insurance Regulation is responsible for monitoring the financial condition of property and casualty, title insurers and self-insurance funds by conducting financial examinations and ongoing financial analysis. This unit is primarily responsible for enforcing the provisions of Chapters 624 and 625, Florida Statutes, and applicable rules, as they relate to the review of Property and Casualty insurer solvency. The Unit is also responsible for the admissions process for new Property and Casualty entities as well as those proposing to expand into additional lines of business.

The Office of Insurance Regulation is requesting the reclassification and staffing resources within the Property and Casualty Financial Oversight business unit. The financial crisis of 2007-2008 highlighted the need for more emphasis on

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| RECLASSIFICATION AND STAFFING | | | | |
| RESOURCES OF PROPERTY AND CASUALTY | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | 3002A60 |

the regulation of insurers at the insurance group level, rather than at the individual company level. Insurance has become an increasingly global commodity and the financial regulation of insurers has become more difficult and complex. The legislature has recognized the need for sophisticated monitoring tools by passing several important new insurance laws: The Own Risk Self-Assessment or ORSA statute, which requires insurers to assess and report on corporate risk, enhancements to the Insurance Holding Company statute, and the Corporate Governance Disclosure Act, which provides insurance regulators with an annual report on corporate governance practices of insurers.

The Office has also developed and enhanced its Reinsurance Data Call and Stress Test to assess and evaluate the capacity of domestic property and casualty insurers to respond to catastrophic hurricanes. This test, which analyzes insurer surplus, catastrophe model results, return times and reinsurance programs, requires informed analysis by knowledgeable and trained financial analysts.

Traditionally, in Florida, the Office has assigned our solvency analysts by group and those analysts review filings as received. To take this to the next level, our proposed staffing plan implements a team of financial analysts that would be focused on a manageable number of insurance groups with the goal of gaining a more complete understanding of the market pressures faced by each group and the risks inherent in its operations. With emphasis on reviewing the information received throughout the year in a variety of reports, the team would have an increased opportunity to specialize and more accurately assess the risk of insolvency.

Therefore, the Office is requesting the reclassification and staffing resources within the Property and Casualty Financial Oversight business unit. Due to some positions having high turnover rates in this important business unit, this request is needed to cultivate a team of financial analysts capable of evaluating and responding to new financial challenges. The Office staffing plan contemplates the reclassification of 27 positions along with raising the base salary of 23 positions in our Property and Casualty solvency unit. These changes would provide the structural framework to facilitate heightened review and focused solvency analysis within the unit. If this request is not funded, the impact would have a negative effect by hindering the Office by not being able to retain the talent the Office has hired and developed, and therefore not reduce the long term costs of these positions.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|------------------------------------|--|--|--|--|--|---------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | | | |
| RECLASSIFICATION AND STAFFING | | | | | | |
| RESOURCES OF PROPERTY AND CASUALTY | | | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | | | 3002A60 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|--|--------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| RA01 RATE & SALARY ADJ - BENEFITS NO FTE | | | | | | | |
| P0200 001 | 0.00 | 139,896 | | 21,222 | 161,118 | 0.00 | 161,118 |
| 1564 FINANCIAL EXAMINER/ANALYST II | | | | | | | |
| P0030 001 | 5.00- | 196,041- | | 90,689- | 286,730- | 0.00 | 286,730- |
| P0100 001 | 17.00 | 680,000 | | 310,386 | 990,386 | 0.00 | 990,386 |
| 1566 FINANCIAL SPECIALIST | | | | | | | |
| P0080 001 | 3.00 | 150,000 | | 59,325 | 209,325 | 0.00 | 209,325 |
| 3524 INSURANCE EXAMINER II | | | | | | | |
| P0050 001 | 17.00- | 619,954- | | 301,278- | 921,232- | 0.00 | 921,232- |
| 3551 REINSURANCE/FINANCIAL SPECIALIST | | | | | | | |
| P0020 001 | 3.00- | 122,846- | | 55,206- | 178,052- | 0.00 | 178,052- |
| P0090 001 | 5.00 | 225,000 | | 95,083 | 320,083 | 0.00 | 320,083 |
| 1566 FINANCIAL SPECIALIST - SES | | | | | | | |
| P0040 001 | 1.00- | 43,507- | | 19,958- | 63,465- | 0.00 | 63,465- |
| 1575 FINANCIAL EXAMINER/ANALYST SUPV - SES | | | | | | | |
| P0010 001 | 1.00- | 46,381- | | 20,395- | 66,776- | 0.00 | 66,776- |
| P0070 001 | 1.00 | 55,000 | | 21,706 | 76,706 | 0.00 | 76,706 |
| 1587 FINANCIAL ADMINISTRATOR - SES | | | | | | | |
| P0060 001 | 1.00 | 57,500 | | 22,086 | 79,586 | 0.00 | 79,586 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 320,949 |
| | 0.00 | 278,667 | | 42,282 | 320,949 | | 320,949 |

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|------------------------------------|--|--|--|--|--|---------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | | | |
| RECLASSIFICATION AND STAFFING | | | | | | |
| RESOURCES OF PROPERTY AND CASUALTY | | | | | | |
| FINANCIAL OVERSIGHT POSITIONS | | | | | | 3002A60 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|-----|-----------|-----------|----------|----------|---------|------------------------------|
|-----|-----------|-----------|----------|----------|---------|------------------------------|

A03 - AGY REQUEST FY 2017-18

NEW POSITIONS

OTHER SALARY AMOUNT

2393 INSURANCE REG TF

8-

320,941

OFFICE OF INSURANCE REGULATION -
 ADDITIONAL ACTUARIAL STAFFING
 RESOURCES

3002040
 000000

SALARY RATE

SALARY RATE..... 140,000

SALARIES AND BENEFITS

010000

INSURANCE REG TF

-STATE

173,301

2393 1

EXPENSES

040000

INSURANCE REG TF

-STATE

10,221

4,046

2393 1

TOTAL: OFFICE OF INSURANCE REGULATION -
 ADDITIONAL ACTUARIAL STAFFING
 RESOURCES

3002040

TOTAL ISSUE..... 183,522

4,046

TOTAL SALARY RATE..... 140,000

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| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| ADDITIONAL ACTUARIAL STAFFING | | | | |
| RESOURCES | | | | 3002040 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Additional Actuarial Staffing Resources - Property and Casualty Product Review

Reference to Long-Range Program Plan:

- Goal 2: Protect the public from illegal, unethical insurance products and services.
- Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of over 4,200 licensed entities operating in Florida. Part of this monitoring is performed by a team of actuaries. Actuarial positions are critical due to the important and complex responsibilities that they perform. In the Property and Casualty business unit, actuaries perform actuarial calculations to determine whether property and casualty rate revision requests are excessive, inadequate, or unfairly discriminatory. Property and casualty actuaries review rates not only for homeowner's insurance, but also for automobile insurance, worker's compensation and other personal lines products which have a direct impact on Florida families and businesses. Actuaries analyze statutorily required data and statistics to ensure that rate filings are made in compliance with state insurance laws and actuarial standards. And just as importantly, actuaries perform detailed reserve analyses as a tool to monitor the financial condition of property and casualty insurers doing business in Florida. In addition, they evaluate the impact of legislative changes, review proposed legislation, and make recommendations for revisions of state statutes and administrative rules.

Property and casualty forms and rates have increased in complexity over the years with the advent of new products, the use of big data, the sharing economy, private flood insurance, third-party claims, and other emerging trends which require thoughtful review. Despite an increasing workload, the Office is committed to analyzing and reviewing all property and casualty rate filings within 90 days.

The Office is requesting a new actuary for the Property and Casualty Product Review business unit. The Office currently has 7 property and casualty actuaries, with an average salary of \$131,501.76. To continue to meet the 90-day review commitment, and to address the increasing complexity of property and casualty insurance forms and rates, our staffing plan requests the allocation of an additional property and casualty actuary for the Office at a salary of \$140,000. The Office will in return, give up a vacant FTE to keep the current Office staff at 292 FTE. The impact of not funding this request would have a negative effect by hindering the Office by not being able to retain the talent, knowledge, and skills of this critical position within the Office.

| COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------|--------|-------------|--------|------------|--------|-------|
| AGY REQUEST | | AGY REQ N/R | | AG REQ ANZ | | |
| FY 2017-18 | | FY 2017-18 | | FY 2017-18 | | |
| POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |

| | | | | | | |
|----------------------------------|--|--|--|--|--|----------------------|
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | | | |
| ADDITIONAL ACTUARIAL STAFFING | | | | | | |
| RESOURCES | | | | | | 3002040 |

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| B0001 001 | 1.00- | | | | | 0.00 | |
| 3554 ACTUARY | | | | | | | |
| A0010 001 | 1.00 | 140,000 | | 33,301 | 173,301 | 0.00 | 173,301 |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 173,301 |
| | 0.00 | 140,000 | | 33,301 | 173,301 | | 173,301 |

| | | | | | | | |
|---|---------|---------|--|--|--|--|---------|
| OFFICE OF INSURANCE REGULATION - | | | | | | | |
| RECLASSIFICATION OF ACTUARIES | | | | | | | 3003A60 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | 161,130 | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 185,575 | | | | | 2393 1 |
| TOTAL: OFFICE OF INSURANCE REGULATION - | | | | | | | 3003A60 |
| RECLASSIFICATION OF ACTUARIES | | | | | | | |
| TOTAL ISSUE..... | 185,575 | | | | | | |
| TOTAL SALARY RATE..... | 161,130 | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| RECLASSIFICATION OF ACTUARIES | | | | 3003A60 |
| ***** | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Budget Entity: Office of Insurance Regulation - Compliance and Enforcement

Issue Title: Reclassification of Actuaries

Reference to Long-Range Program Plan:

Goal 2: Protect the public from illegal, unethical insurance products and services.
 Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

Issue Description: The Office of Insurance Regulation (Office) is responsible for monitoring the financial condition of over 4,200 licensed entities operating in Florida. Part of this monitoring is performed by a team of actuaries. Actuarial positions are critical due to the important and complex responsibilities that they perform. Actuaries perform actuarial calculations to determine whether either life and health or property and casualty rate revision requests are excessive, inadequate, or unfairly discriminatory. Property and casualty actuaries review rates not only for homeowner's insurance, but also for automobile insurance, worker's compensation and other personal lines products which have a direct impact on Florida families and businesses. In the Life and Health business unit, the actuaries review health insurance rates to ensure that premiums are reasonable in relation to benefits as required by the Florida Insurance Code. Actuaries analyze statutorily required data and statistics to ensure that rate filings are made in compliance with state insurance laws and actuarial standards. And just as importantly, actuaries perform detailed reserve analyses as a tool to monitor the financial condition of property and casualty insurers doing business in Florida. In addition, they evaluate the impact of legislative changes, review proposed legislation, and make recommendations for revisions of state statutes and administrative rules. Despite an increasing workload, the Office is committed to analyzing and reviewing all property and casualty rate filings within 90 days and life and health rate filings within 45 days.

The Office is requesting eight actuaries to reclassified to Senior Actuary. This staffing plan does not call for higher salaries for these 8 actuarial positions. Rather, it requests only an increase in the base salary of these positions, which will reduce the amount of rate used and foster increased rate stability. The proposed adjustment would also provide a career path and progression for actuaries at the Office which will improve retention. Because so much rate is exhausted in the process of attracting and retaining actuaries, rate available for other Office positions is limited. If the base salary for an actuary could be adjusted to a level that is realistic as a starting salary for an actuary, it would reduce the rate needed to actually fill one of these positions. The Office is proposing a new classification of Senior Actuary with a base salary of \$95,000 and the reclassification of 8 (5 in Property & Casualty and 3 in Life & Health) of our 12 actuaries to Senior Actuary. The Office's minimum qualifications for the position of Actuary is being either an Associate of the Society of Actuaries (ASA) or an Associate of the Casualty Actuarial Society (ACAS) and having

| COL A03 | | COL A04 | | COL A05 | | CODES |
|----------------------------------|--------|----------------------------------|--------|---------------------------------|--------|---------------|
| AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | | | 43900110 |
| PUBLIC PROTECTION | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | 1204.00.00.00 |
| WORKLOAD | | | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | | | |
| RECLASSIFICATION OF ACTUARIES | | | | | | 3003A60 |

1-10 years of experience. The Senior Actuary qualifications will also be, at a minimum, with an ASA or ACAS and a minimum of 10 years of experience. With the reclassification of these 8 positions, this will add only \$20,131 to the starting base salary of the Senior Actuary position. The impact of not funding this request would have a negative effect by hindering the Office by not being able to retain the talent, knowledge, and skills of these critical positions within the Office.

POSITION DETAIL OF SALARIES AND BENEFITS:

| | FTE | BASE RATE | ADDITIVES | BENEFITS | SUBTOTAL | LAPSE % | LAPSED SALARIES AND BENEFITS |
|------------------------------|-------|-----------|-----------|----------|----------|---------|------------------------------|
| A03 - AGY REQUEST FY 2017-18 | | | | | | | |
| NEW POSITIONS | | | | | | | |
| P101 PROPOSED CLASS CODE | | | | | | | |
| A0060 001 | 8.00 | 760,000 | | 222,316 | 982,316 | 0.00 | 982,316 |
| 3554 ACTUARY | | | | | | | |
| A0050 001 | 8.00- | 598,870- | | 197,809- | 796,679- | 0.00 | 796,679- |
| ----- | | | | | | | |
| TOTALS FOR ISSUE BY FUND | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 185,637 |
| ----- | | | | | | | |
| | 0.00 | 161,130 | | 24,507 | 185,637 | | 185,637 |
| ===== | | | | | | | |
| OTHER SALARY AMOUNT | | | | | | | |
| 2393 INSURANCE REG TF | | | | | | | 62- |
| ----- | | | | | | | |
| | | | | | | | 185,575 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| COMP & ENFORCE- INSURANCE | | | | 43900110 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| TOTAL: REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | | | |
| | 254.00 | | | |
| TRUST FUNDS..... | 28,276,136 | 4,046 | | 2000 |
| SALARY RATE..... | 13,682,065 | | | |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| <u>EXEC DIR & SUPORT SERVICES</u> | | | | 43900120 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 2,171,451 | | | |
| ===== | | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | 38.00 | | | |
| -STATE | 2,915,440 | | | 2393 1 |
| ===== | | | | |
| EXPENSES | | | | 040000 |
| INSURANCE REG TF | | | | |
| -STATE | 118,543 | | | 2393 1 |
| ===== | | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| INSURANCE REG TF | | | | |
| -STATE | 92,710 | | | 2393 1 |
| ===== | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| INSURANCE REG TF | | | | |
| -STATE | 8,414 | | | 2393 1 |
| ===== | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | | | | |
| -STATE | 11,623 | | | 2393 1 |
| ===== | | | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 38.00 | | | |
| TOTAL ISSUE..... | 3,146,730 | | | |
| TOTAL SALARY RATE..... | 2,171,451 | | | |
| ===== | | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|---------------------------------------|---------|--------|---------|--------|---------|--------|----------------------|
| | POS | AMOUNT | POS | AMOUNT | POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | | | | 43900100 |
| <u>EXEC DIR & SUPORT SERVICES</u> | | | | | | | 43900120 |
| PUBLIC PROTECTION | | | | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | | | | |
| AND EDUCATIONAL EXPENSES | | | | | | | 1001490 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 5,909 | | | | | 2393 1 |
| ===== | | | | | | | |
| FLORIDA RETIREMENT SYSTEM | | | | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | | | | |
| (CH 2016-213, LOF) | | | | | | | 1001500 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 106 | | | | | 2393 1 |
| ===== | | | | | | | |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | | | | 1001840 |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| INSURANCE REG TF | -STATE | 16,770 | | | | | 2393 1 |
| ===== | | | | | | | |
| REALLOCATION OF HUMAN RESOURCES | | | | | | | |
| OUTSOURCING | | | | | | | 1005900 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| INSURANCE REG TF | -STATE | 418- | | | | | 2393 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| EXEC DIR & SUPORT SERVICES | | | | 43900120 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| INSURANCE REG TF | -STATE | 8- | | 2393 1 |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 11,979 | | 2393 1 |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| ADDITIONAL SALARY RATE | | | | 3002A80 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | | 131,006 | | |
| SALARIES AND BENEFITS | | | | 010000 |
| INSURANCE REG TF | -STATE | 150,878 | | 2393 1 |
| TOTAL: OFFICE OF INSURANCE REGULATION - | | | | 3002A80 |
| ADDITIONAL SALARY RATE | | | | |
| TOTAL ISSUE..... | | 150,878 | | |
| TOTAL SALARY RATE..... | | 131,006 | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Budget Entity: Office of Insurance Regulation - Executive Direction

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| <u>EXEC DIR & SUPORT SERVICES</u> | | | | 43900120 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| ADDITIONAL SALARY RATE | | | | 3002A80 |

Issue Title: Additional Salary Rate - Executive Direction

Reference to Long-Range Program Plan:

Goal 1: Promote insurance markets that offer products to meet the needs of Floridians with fair, understandable coverage that is priced in a manner that is adequate, but not excessive or unfairly discriminatory.

Goal 2: Protect the public from illegal, unethical insurance products and practices.

Goal 3: Monitor the financial condition of licensed insurance companies and take action to address financial issues as early as reasonably possible to prevent unnecessary harm to consumers.

The Office of Insurance Regulation is requesting:

* Additional Rate for OIR Legal Staff

* Additional Rate for the Insurance Commissioner of the State of Florida

Issue Description:

OIR Legal Staff

The Office's Legal Office provides legal counsel to the Insurance Commissioner and provides regulatory and legal support to the various divisions of the Office. Lawyers for the Office also handle complex regulatory transactions and administrative matters. The attorneys and legal staff handle litigation in state and federal courts, informal administrative hearings, and hearings at the Division of Administrative Hearings (DOAH). The Legal Office also reviews applications for new companies, works with the examiners on regulatory issues, and handles the issuance of rules, orders, and other legal documents.

The legal office is typically involved in a variety of complex matters simultaneously. For example, the Office recently held hearings on the review of two large healthcare acquisitions and has also been engaged in protracted litigation with applicants and licensees on related issues in several courts. We need sufficient resources to recruit and retain experienced attorneys capable of handling complex issues, both financial and legal, and skilled in the unique aspects of insurance regulation. Typically, our experienced attorneys have left for higher salaries at other agencies or have taken opportunities to work for insurance companies or law firms that represent insurance companies. Over the last 18 months, the Office has been attempting to recruit attorneys to fill several vacancies. The salary range for Senior Attorneys is \$51,355.46 to \$141,467.68. Most qualified attorney applicants with at least 5 years of experience are able to find employment with a minimum salary of \$70,000 and even higher at times. Although the salary issue for lawyers is not unique to OIR, a related state agency has been able to offer above-base salaries to its new hires, including

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF INSURANCE REG | | | | 43900100 |
| <u>EXEC DIR & SUPORT SERVICES</u> | | | | 43900120 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| WORKLOAD | | | | 3000000 |
| OFFICE OF INSURANCE REGULATION - | | | | |
| ADDITIONAL SALARY RATE | | | | 3002A80 |

approximately \$42,991 for attorneys, \$62,500 for senior attorneys (some with less than 4 years since being licensed), and \$80,000 for executive senior attorneys. The agency's Deputy General Counsels are currently at a salary of \$99,750.

To keep the existing qualified staff, reduce turnover, and to recruit experienced staff when necessary, the Office is requesting additional rate to raise our current Senior Attorneys to at least a minimum base salary of \$70,000 after they acquire sufficient training to operate relatively independently, and our managing attorneys, Executive Senior Attorneys, to at least a minimum base salary of \$80,000. Therefore, the Office is requesting \$115,357 in Salaries and Benefits which includes \$100,163 in rate.

Retaining our current legal staff and attracting new attorneys is critical to the proper application of the insurance laws of the state of Florida. Therefore, the staffing plan includes a provision for additional rate to provide flexibility to retain these experienced attorneys and attract qualified attorneys to handle important insurance regulatory matters. The Office rarely outsources legal work other than personnel cases. Because private insurance regulatory lawyers are expensive and likely to have conflicts, outsourcing does not provide a cost-effective alternative.

Insurance Commissioner

In Section 20.121(3)(a)1, Florida Statutes, it states "The Office of Insurance Regulation (Office), which shall be responsible for all activities concerning insurers and other risk bearing entities, including licensing, rates, policy forms, market conduct, claims, issuance of certificates of authority, solvency, viatical settlements, premium financing, and administrative supervision, as provided under the insurance code or chapter 636. The head of the Office of Insurance Regulation is the Director of the Office of Insurance Regulation, who may also be known as the Commissioner of Insurance Regulation."

The Florida Insurance Commissioner provides senior-level executive regulatory and policy leadership. He executes and enforces all regulatory responsibilities in furtherance of the public interest. In addition, he oversees the review of company rate and form filings across regulated lines of insurance and takes appropriate action. The Insurance Commissioner position also advises the Governor, Financial Services Commission, and Legislature on matters affecting the insurance marketplace, and implements applicable statutory and regulatory policies. Finally, the Commissioner represents the Office before relevant constituencies, both within and outside of the insurance industry and institutions, both nationally and internationally, in a way that benefits Florida consumers and companies.

On April 29, 2016, the Governor and Cabinet met and appointed David Altmaier as the new Insurance Commissioner for the State of Florida at a starting salary of \$165,000. Although the starting salary for the Insurance Commissioner was established at \$165,000, the Office has not been appropriated the sufficient rate to cover the difference in the starting salary of Commissioner Altmaier and former Commissioner McCarty. The difference in the two salaries is \$30,843. Therefore, the Office is requesting \$30,843 in additional rate and \$35,521 in Salaries and Benefits to cover the increase in salary for the new Insurance Commissioner.

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | | | | 43900530 |
| PUBLIC PROTECTION | | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 6,787,197 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| | | 113.00 | | | | | |
| FINANCIAL INST REG TF | -STATE | 8,712,018 | | | | | 2275 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| FINANCIAL INST REG TF | -STATE | 879,098 | | | | | 2275 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| FINANCIAL INST REG TF | -STATE | 1,738,752 | | | | | 2275 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| FINANCIAL INST REG TF | -STATE | 7,130 | | | | | 2275 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| FINANCIAL INST REG TF | -STATE | 367,012 | | | | | 2275 1 |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| FINANCIAL INST REG TF | -STATE | 35,220 | | | | | 2275 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| FINANCIAL INST REG TF | -STATE | 28,872 | | | | | 2275 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | 43900530 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| FINANCIAL INST REG TF | -STATE | 37,835 | | 2275 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | | 113.00 | | |
| TOTAL ISSUE..... | | 11,805,937 | | |
| TOTAL SALARY RATE..... | | 6,787,197 | | |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| FINANCIAL INST REG TF | -STATE | 1,648- | | 2275 1 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| FINANCIAL INST REG TF | -STATE | 13,982 | | 2275 1 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| FINANCIAL INST REG TF | -STATE | 449 | | 2275 1 |

| | COL A03 | COL A04 | COL A05 | |
|---|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | 43900530 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| FINANCIAL INST REG TF -STATE | | 62,405 | | 2275 1 |
| ===== | | ===== | | ===== |
| OTHER PERSONAL SERVICES | | | | 030000 |
| FINANCIAL INST REG TF -STATE | | 1,168 | | 2275 1 |
| ===== | | ===== | | ===== |
| TOTAL: STATE HEALTH INSURANCE ADJUSTMENTS | | | | 1001840 |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | |
| TOTAL ISSUE..... | | 63,573 | | |
| ===== | | ===== | | ===== |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| FINANCIAL INST REG TF -STATE | | 1,362- | | 2275 1 |
| ===== | | ===== | | ===== |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - DEDUCT | | | | 160F540 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| FINANCIAL INST REG TF -STATE | | 27,000- | | 2275 1 |
| ===== | | ===== | | ===== |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Financial Regulation (OFR) requests the re-approval of five-percent transfer OFR 17-02, EOG# B7011, approved August 9, 2016. This five-percent request transfers \$27,000 from Other Personal Services to Operating Capital Outlay (OCO) in the Financial Institutions Regulatory Trust Fund within the Safety and Soundness of State Banking System budget entity.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | 43900530 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - DEDUCT | | | | 160F540 |

Re-approval of this transfer will give the OFR the recurring appropriations it needs to purchase and or replace laptops, equipment and other items meeting the OCO thresholds.

This issue is the DEDUCT. Issue code 160F550 is the ADD.

| | | | | | |
|-----------------------------------|--------|--------|-------|-------|---------|
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | | |
| REGULATION - ADD | | | | | 160F550 |
| OPERATING CAPITAL OUTLAY | | | | | 060000 |
| FINANCIAL INST REG TF | -STATE | 27,000 | | | 2275 1 |
| | | ===== | ===== | ===== | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Financial Regulation (OFR) requests the re-approval of five-percent transfer OFR 17-02, EOG# B7011, approved August 9, 2016. This five-percent request transfers \$27,000 from Other Personal Services to Operating Capital Outlay (OCO) in the Financial Institutions Regulatory Trust Fund within the Safety and Soundness of State Banking System budget entity.

Re-approval of this transfer will give the OFR the recurring appropriations it needs to purchase and or replace laptops, equipment and other items meeting the OCO thresholds.

This issue is the ADD. Issue code 160F540 is the DEDUCT.

| | COL A03 | COL A04 | COL A05 | |
|--------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| SFTY & SOUND ST BKG SYST | | | | 43900530 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| FINANCIAL INST REG TF | -STATE | 26- | | 2275 1 |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| FINANCIAL INST REG TF | -STATE | 44,575 | | 2275 1 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| FINANCIAL INST REG TF | -STATE | 834 | | 2275 1 |
| TOTAL: ANNUALIZATION OF STATE HEALTH | | | | 26A6520 |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | |
| TOTAL ISSUE..... | | 45,409 | | |
| TOTAL: REGULATION AND LICENSING | | | | 1204.00.00.00 |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | | 113.00 | | |
| SALARY RATE..... | | 11,926,314 | | 2000 |
| SALARY RATE..... | | 6,787,197 | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | 43900540 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 2,160,935 | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 39.00 | | | |
| ADMINISTRATIVE TRUST FUND -STATE | 2,692,157 | | | 2021 1 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| ADMINISTRATIVE TRUST FUND -STATE | 5,321 | | | 2021 1 |
| EXPENSES | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | 498,957 | | | 2021 1 |
| FED LAW ENFORCEMENT TF -FEDERL | 51,758 | | | 2719 3 |
| TOTAL APPRO..... | 550,715 | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| ADMINISTRATIVE TRUST FUND -STATE | 10,600 | | | 2021 1 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| ADMINISTRATIVE TRUST FUND -STATE | 36,354 | | | 2021 1 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | 12,155 | | | 2021 1 |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | 43900540 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| ADMINISTRATIVE TRUST FUND -STATE | 15,809 | | | 2021 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | 20,101 | | | 2021 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 39.00 | | | |
| TOTAL ISSUE..... | 3,343,212 | | | |
| TOTAL SALARY RATE..... | 2,160,935 | | | |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | 568- | | | 2021 1 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 4,562 | | | 2021 1 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | 43900540 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 53 | | 2021 1 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 21,017 | | 2021 1 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 724- | | 2021 1 |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - DEDUCT | | | | 160F540 |
| EXPENSES | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 10,000- | | 2021 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Financial Regulation (OFR) requests the re-approval of five-percent transfer OFR 17-02, EOG# B7011, approved August 9, 2016. This five-percent request transfers \$10,000 from Expenses to Operating Capital Outlay (OCO) in the Administrative Trust Fund within the Financial Investigations budget entity.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | 43900540 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - DEDUCT | | | | 160F540 |

Re-approval of this transfer will give the OFR the recurring appropriations it needs to purchase and or replace laptops, equipment and other items meeting the OCO thresholds.

This issue is the DEDUCT. Issue code 160F550 is the ADD.

| | | | | |
|-----------------------------------|--|--|--|---------|
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - ADD | | | | 160F550 |
| OPERATING CAPITAL OUTLAY | | | | 060000 |

| | | | | | |
|----------------------------------|--------|--|--|------|---|
| ADMINISTRATIVE TRUST FUND -STATE | 10,000 | | | 2021 | 1 |
|----------------------------------|--------|--|--|------|---|

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AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Financial Regulation (OFR) requests the re-approval of five-percent transfer OFR 17-02, EOG# B7011, approved August 9, 2016. This five-percent request transfers \$10,000 from Expenses to Operating Capital Outlay (OCO) in the Administrative Trust Fund within the Financial Investigations budget entity.

Re-approval of this transfer will give the OFR the recurring appropriations it needs to purchase and or replace laptops, equipment and other items meeting the OCO thresholds.

This issue is the ADD. Issue code 160F540 is the DEDUCT.

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCIAL INVESTIGATIONS | | | | 43900540 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | | 14- | | 2021 1 |
| ===== | | ===== | | |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 15,012 | | 2021 1 |
| ===== | | ===== | | |
| TOTAL: REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | 39.00 | | | |
| SALARY RATE..... | | 3,382,550 | | 2000 |
| | | 2,160,935 | | |
| ===== | | ===== | | |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| <u>EXEC DIR & SUPPORT SERVICE</u> | | | | 43900550 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 1,261,240 | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| | 15.00 | | | |
| ADMINISTRATIVE TRUST FUND -STATE | 1,793,046 | | | 2021 1 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| ADMINISTRATIVE TRUST FUND -STATE | 250,000 | | | 2021 1 |
| EXPENSES | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | 418,948 | | | 2021 1 |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| ADMINISTRATIVE TRUST FUND -STATE | 61,048 | | | 2021 1 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | 4,675 | | | 2021 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| ADMINISTRATIVE TRUST FUND -STATE | 10,004 | | | 2021 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | 13,930 | | | 2021 1 |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| <u>EXEC DIR & SUPPORT SERVICE</u> | | | | 43900550 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| DATA PROCESSING SERVICES | | | | 210000 |
| REAL SYSTEM - OFR | | | | 210016 |
| ADMINISTRATIVE TRUST FUND -STATE | | 10,165,965 | | 2021 1 |
| ===== | | ===== | | ===== |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 15.00 | | | |
| TOTAL ISSUE..... | | 12,717,616 | | |
| TOTAL SALARY RATE..... | | 1,261,240 | | |
| ===== | | ===== | | ===== |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| ADMINISTRATIVE TRUST FUND -STATE | | 219- | | 2021 1 |
| ===== | | ===== | | ===== |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 3,568 | | 2021 1 |
| ===== | | ===== | | ===== |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | | 8,377 | | 2021 1 |
| ===== | | ===== | | ===== |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| <u>EXEC DIR & SUPPORT SERVICE</u> | | | | 43900550 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | 501- | | | 2021 1 |
| ===== | | | | |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL OF A BUDGET AMENDMENT | | | | |
| TO TRANSFER APPROPRIATIONS BETWEEN | | | | |
| CATEGORIES WITHIN THE OFFICE OF | | | | |
| FINANCIAL REGULATION - DEDUCT | | | | 1600930 |
| EXPENSES | | | | 040000 |
| ADMINISTRATIVE TRUST FUND -STATE | 7,000- | | | 2021 1 |
| ===== | | | | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Financial Regulation requests the re-approval of budget amendment OFR 17-03, EOG# B0082, approved August 30, 2016. This amendment transfers \$7,000 from Expenses category to create the Operating Capital Outlay(OCO)category in the Administrative Trust Fund within the OFR Executive Direction and Support Services budget entity. Creating this category will allow for purchase and/or replacement of laptop computers, and other equipment and purchases meeting the threshold of the OCO category.

This issue is the DEDUCT. Issue Code 16000940 is the ADD.

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| <u>EXEC DIR & SUPPORT SERVICE</u> | | | | 43900550 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL OF A BUDGET AMENDMENT | | | | |
| TO TRANSFER APPROPRIATIONS BETWEEN | | | | |
| CATEGORIES WITHIN THE OFFICE OF | | | | |
| FINANCIAL REGULATION - ADD | | | | 1600940 |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| ADMINISTRATIVE TRUST FUND -STATE | 7,000 | | | 2021 1 |
| | ===== | ===== | ===== | |
| ***** | | | | |
| AGENCY ISSUE NARRATIVE: | | | | |
| 2017-2018 BUDGET YEAR NARRATIVE: IT COMPONENT? NO | | | | |
| The Office of Financial Regulation requests the re-approval of budget amendment OFR 17-03, EOG# B0082, approved August 30, 2016. This amendment transfers \$7,000 from Expenses category to create the Operating Capital Outlay(OCO)category in the Administrative Trust Fund within the OFR Executive Direction and Support Services budget entity. Creating this category will allow for purchase and/or replacement of laptop computers, equipment and other purchases meeting the threshold of the OCO category. | | | | |
| This issue is the ADD. Issue Code 16000930 is the DEDUCT. | | | | |
| ***** | | | | |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| ADMINISTRATIVE TRUST FUND -STATE | 10- | | | 2021 1 |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| <u>EXEC DIR & SUPPORT SERVICE</u> | | | | 43900550 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| REGULATORY ENFORCEMENT AND | | | | |
| LICENSING (REAL) SYSTEM CONTINUED | | | | |
| OPERATIONS AND MAINTENANCE VENDOR | | | | |
| RE-PROCUREMENT AND TRANSITION | | | | 2103156 |
| DATA PROCESSING SERVICES | | | | 210000 |
| REAL SYSTEM - OFR | | | | 210016 |
| ADMINISTRATIVE TRUST FUND -STATE | | 655,600- | | 2021 1 |
| | ===== | ===== | ===== | |
| MIGRATION OF DIVISION OF FINANCIAL | | | | |
| INSTITUTIONS MULTIPLE SYSTEMS INTO | | | | |
| REGULATORY ENFORCEMENT AND | | | | |
| LICENSING (REAL) SYSTEM | | | | 2103157 |
| DATA PROCESSING SERVICES | | | | 210000 |
| REAL SYSTEM - OFR | | | | 210016 |
| ADMINISTRATIVE TRUST FUND -STATE | | 2,432,410- | | 2021 1 |
| | ===== | ===== | ===== | |
| REGULATORY ENFORCEMENT AND | | | | |
| LICENSING (REAL) SYSTEM CUSTOM | | | | |
| PORTAL REPLACEMENT | | | | 2103158 |
| DATA PROCESSING SERVICES | | | | 210000 |
| REAL SYSTEM - OFR | | | | 210016 |
| ADMINISTRATIVE TRUST FUND -STATE | | 3,642,148- | | 2021 1 |
| | ===== | ===== | ===== | |

| | COL A03 | COL A04 | COL A05 | |
|---------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| <u>EXEC DIR & SUPPORT SERVICE</u> | | | | 43900550 |
| PUBLIC PROTECTION | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| ADMINISTRATIVE TRUST FUND -STATE | 5,984 | | | 2021 1 |
| TOTAL: REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | 15.00 | | | |
| SALARY RATE..... | 6,004,657 | | | 2000 |
| | 1,261,240 | | | |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|-------------------------------------|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|----------------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | 43900500 |
| FINANCE REGULATION | | | | | | | 43900560 |
| PUBLIC PROTECTION | | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | | <u>1204.00.00.00</u> |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SALARY RATE | | | | | | | 000000 |
| SALARY RATE..... | | 5,238,778 | | | | | |
| ===== | | | | | | | |
| SALARIES AND BENEFITS | | | | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 98.00 | | | | | |
| | | 6,698,793 | | | | | 2573 1 |
| ===== | | | | | | | |
| OTHER PERSONAL SERVICES | | | | | | | 030000 |
| REGULATORY TRUST FUND -STATE | | 207,098 | | | | | 2573 1 |
| ===== | | | | | | | |
| EXPENSES | | | | | | | 040000 |
| REGULATORY TRUST FUND -STATE | | 982,189 | | | | | 2573 1 |
| ===== | | | | | | | |
| OPERATING CAPITAL OUTLAY | | | | | | | 060000 |
| REGULATORY TRUST FUND -STATE | | 5,631 | | | | | 2573 1 |
| ===== | | | | | | | |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| DEFER PRESENTMENT CONTRACT | | | | | | | 100513 |
| REGULATORY TRUST FUND -STATE | | 2,930,000 | | | | | 2573 1 |
| ===== | | | | | | | |
| CCT DATABASE CONTRACT | | | | | | | 100527 |
| REGULATORY TRUST FUND -STATE | | 151,000 | | | | | 2573 1 |
| ===== | | | | | | | |
| CONTRACTED SERVICES | | | | | | | 100777 |
| REGULATORY TRUST FUND -STATE | | 111,565 | | | | | 2573 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|--|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCE REGULATION | | | | 43900560 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| REGULATORY TRUST FUND -STATE | | 30,545 | | 2573 1 |
| LEASE/PURCHASE/EQUIPMENT | | | | 105281 |
| REGULATORY TRUST FUND -STATE | | 34,995 | | 2573 1 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 37,482 | | 2573 1 |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| TOTAL POSITIONS..... | 98.00 | | | |
| TOTAL ISSUE..... | 11,189,298 | | | |
| TOTAL SALARY RATE..... | 5,238,778 | | | |
| CASUALTY INSURANCE PREMIUM | | | | |
| ADJUSTMENT | | | | 1001090 |
| SPECIAL CATEGORIES | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | 103241 |
| REGULATORY TRUST FUND -STATE | | 1,430- | | 2573 1 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 11,722 | | 2573 1 |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS AMOUNT | POS AMOUNT | POS AMOUNT | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCE REGULATION | | | | 43900560 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | 141 | | | 2573 1 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | 1001840 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | 59,259 | | | 2573 1 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| REGULATORY TRUST FUND -STATE | 1,349- | | | 2573 1 |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - DEDUCT | | | | 160F540 |
| EXPENSES | | | | 040000 |
| REGULATORY TRUST FUND -STATE | 30,000- | | | 2573 1 |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Financial Regulation (OFR) requests the re-approval of five-percent transfer OFR 17-02, EOG# B7011, approved August 9, 2016. This five-percent request transfers \$30,000 from Expenses to Operating Capital Outlay (OCO) in the Regulatory Trust Fund within the Finance Regulation budget entity.

| | COL A03 | COL A04 | COL A05 | |
|-----------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| POS | AMOUNT | POS | AMOUNT | POS |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| <u>FINANCE REGULATION</u> | | | | 43900560 |
| <u>PUBLIC PROTECTION</u> | | | | 12 |
| <u>REGULATION AND LICENSING</u> | | | | <u>1204.00.00.00</u> |
| ADJUSTMENTS TO CURRENT YEAR | | | | |
| ESTIMATED EXPENDITURES | | | | 1600000 |
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - DEDUCT | | | | 160F540 |

Re-approval of this transfer will give the OFR the recurring appropriations it needs to purchase and or replace laptops, equipment and other items meeting the OCO thresholds.

This issue is the DEDUCT. Issue code 160F550 is the ADD.

| | | | | |
|-----------------------------------|--------|--------|-------|---------|
| RE-APPROVAL FIVE PERCENT TRANSFER | | | | |
| WITHIN THE OFFICE OF FINANCIAL | | | | |
| REGULATION - ADD | | | | 160F550 |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| REGULATORY TRUST FUND | -STATE | 30,000 | | 2573 1 |
| | ===== | ===== | ===== | |

AGENCY ISSUE NARRATIVE:

2017-2018 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

The Office of Financial Regulation (OFR) requests the re-approval of five-percent transfer OFR 17-02, EOG# B7011, approved August 9, 2016. This five-percent request transfers \$30,000 from Expenses to Operating Capital Outlay (OCO) in the Regulatory Trust Fund within the Finance Regulation budget entity.

Re-approval of this transfer will give the OFR the recurring appropriations it needs to purchase and or replace laptops, equipment and other items meeting the OCO thresholds.

This issue is the ADD. Issue code 160F540 is the DEDUCT.

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| FINANCE REGULATION | | | | 43900560 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 26- | | 2573 1 |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | | 42,328 | | 2573 1 |
| TOTAL: REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | 98.00 | | | |
| SALARY RATE..... | | 11,299,943 | | 2000 |
| | | 5,238,778 | | |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | AMOUNT | POS | AMOUNT |
| | | | | AMOUNT |
| | | | | CODES |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| SECURITIES REGULATION | | | | 43900570 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | 1001000 |
| SALARY RATE | | | | 000000 |
| SALARY RATE..... | 4,850,251 | | | |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | 95.00 | | | |
| REGULATORY TRUST FUND -STATE | 6,547,346 | | | 2573 1 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| ANTI-FRAUD TRUST FUND -STATE | 32,538 | | | 2038 1 |
| REGULATORY TRUST FUND -STATE | 104,466 | | | 2573 1 |
| TOTAL APPRO..... | 137,004 | | | |
| EXPENSES | | | | 040000 |
| ANTI-FRAUD TRUST FUND -STATE | 62,885 | | | 2038 1 |
| REGULATORY TRUST FUND -STATE | 675,623 | | | 2573 1 |
| TOTAL APPRO..... | 738,508 | | | |
| OPERATING CAPITAL OUTLAY | | | | 060000 |
| ANTI-FRAUD TRUST FUND -STATE | 24,528 | | | 2038 1 |
| REGULATORY TRUST FUND -STATE | 4,566 | | | 2573 1 |
| TOTAL APPRO..... | 29,094 | | | |
| SPECIAL CATEGORIES | | | | 100000 |
| CONTRACTED SERVICES | | | | 100777 |
| ANTI-FRAUD TRUST FUND -STATE | 80,049 | | | 2038 1 |
| REGULATORY TRUST FUND -STATE | 349,500 | | | 2573 1 |

| | COL A03 | | COL A04 | | COL A05 | | CODES |
|--|----------------------------------|-----------|----------------------------------|--------|---------------------------------|--------|---------------|
| | AGY REQUEST FY 2017-18 POS | AMOUNT | AGY REQ N/R FY 2017-18 POS | AMOUNT | AG REQ ANZ FY 2017-18 POS | AMOUNT | |
| FINANCIAL SERVICES | | | | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | | | | 43900500 |
| SECURITIES REGULATION | | | | | | | 43900570 |
| PUBLIC PROTECTION | | | | | | | 12 |
| REGULATION AND LICENSING | | | | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | | | | 1000000 |
| ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| CONTRACTED SERVICES | | | | | | | 100777 |
| TOTAL APPRO..... | | 429,549 | | | | | |
| ===== | | | | | | | |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| REGULATORY TRUST FUND -STATE | | 29,610 | | | | | 2573 1 |
| ===== | | | | | | | |
| LEASE/PURCHASE/EQUIPMENT | | | | | | | 105281 |
| REGULATORY TRUST FUND -STATE | | 27,253 | | | | | 2573 1 |
| ===== | | | | | | | |
| TR/DMS/HR SVCS/STW CONTRCT | | | | | | | 107040 |
| REGULATORY TRUST FUND -STATE | | 30,080 | | | | | 2573 1 |
| ===== | | | | | | | |
| TOTAL: ESTIMATED EXPENDITURES - OPERATIONS | | | | | | | 1001000 |
| TOTAL POSITIONS..... | 95.00 | | | | | | |
| TOTAL ISSUE..... | | 7,968,444 | | | | | |
| TOTAL SALARY RATE..... | | 4,850,251 | | | | | |
| ===== | | | | | | | |
| CASUALTY INSURANCE PREMIUM | | | | | | | 1001090 |
| ADJUSTMENT | | | | | | | 100000 |
| SPECIAL CATEGORIES | | | | | | | 100000 |
| RISK MANAGEMENT INSURANCE | | | | | | | 103241 |
| REGULATORY TRUST FUND -STATE | | 1,386- | | | | | 2573 1 |
| ===== | | | | | | | |

| | COL A03 | COL A04 | COL A05 | |
|------------------------------------|-------------|-------------|------------|---------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| SECURITIES REGULATION | | | | 43900570 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | 1204.00.00.00 |
| ESTIMATED EXPENDITURES | | | | 1000000 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - NORMAL | | | | |
| COST, UNFUNDED ACTUARIAL LIABILITY | | | | |
| AND EDUCATIONAL EXPENSES | | | | 1001490 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | 11,160 | | | 2573 1 |
| FLORIDA RETIREMENT SYSTEM | | | | |
| ADJUSTMENT FOR FY 2016-17 - DEATH | | | | |
| BENEFITS FOR SPECIAL RISK CLASS | | | | |
| (CH 2016-213, LOF) | | | | 1001500 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | 59 | | | 2573 1 |
| STATE HEALTH INSURANCE ADJUSTMENTS | | | | |
| - FY 2016-17 - EFFECTIVE 1/1/2017 | | | | |
| SALARIES AND BENEFITS | | | | 1001840 |
| REGULATORY TRUST FUND -STATE | 50,721 | | | 010000 |
| REGULATORY TRUST FUND -STATE | 50,721 | | | 2573 1 |
| REALLOCATION OF HUMAN RESOURCES | | | | |
| OUTSOURCING | | | | 1005900 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| REGULATORY TRUST FUND -STATE | 1,083- | | | 2573 1 |

| | COL A03 | COL A04 | COL A05 | |
|-------------------------------------|-------------|-------------|------------|----------------------|
| | AGY REQUEST | AGY REQ N/R | AG REQ ANZ | |
| | FY 2017-18 | FY 2017-18 | FY 2017-18 | |
| | POS | POS | POS | CODES |
| | AMOUNT | AMOUNT | AMOUNT | |
| FINANCIAL SERVICES | | | | 43000000 |
| PGM: FINANCIAL SVCS COMM | | | | 43900000 |
| OFFICE OF FINANCIAL REG | | | | 43900500 |
| SECURITIES REGULATION | | | | 43900570 |
| PUBLIC PROTECTION | | | | 12 |
| REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| NONRECURRING EXPENDITURES | | | | 2100000 |
| HUMAN RESOURCES SUPPLEMENTAL | | | | |
| APPROPRIATION - CHAPTER 2016-3, LOF | | | | |
| (HB 7003) | | | | 2100360 |
| SPECIAL CATEGORIES | | | | 100000 |
| TR/DMS/HR SVCS/STW CONTRCT | | | | 107040 |
| REGULATORY TRUST FUND -STATE | 21- | | | 2573 1 |
| FUNDING TO SUPPORT CROWDFUNDING | | | | |
| REGULATION WITHIN THE DIVISION OF | | | | |
| SECURITIES | | | | 2103159 |
| OTHER PERSONAL SERVICES | | | | 030000 |
| REGULATORY TRUST FUND -STATE | 100,000- | | | 2573 1 |
| ANNUALIZATION OF ADMINISTERED | | | | |
| FUNDS APPROPRIATIONS | | | | 26A0000 |
| ANNUALIZATION OF STATE HEALTH | | | | |
| INSURANCE ADJUSTMENTS FOR FISCAL | | | | |
| YEAR 2016-17 - FIVE MONTHS | | | | |
| ANNUALIZATION | | | | 26A6520 |
| SALARIES AND BENEFITS | | | | 010000 |
| REGULATORY TRUST FUND -STATE | 36,229 | | | 2573 1 |
| TOTAL: REGULATION AND LICENSING | | | | <u>1204.00.00.00</u> |
| BY FUND TYPE | | | | |
| TRUST FUNDS..... | 95.00 | | | |
| SALARY RATE..... | 7,964,123 | | | 2000 |
| SALARY RATE..... | 4,850,251 | | | |


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* BPEADL01                                STATISTICAL INFORMATION                                10/14/2016 09:58:50 *
* BUDGET PERIOD: 2007-2018                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 09/16/2015                COMPILE TIME: 09:40:41                                PAGE:      1      *
*****
*                                     SAVE INITIALS:                SAVE DEPARTMENT: 07      SAVE ID: ED3A
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
* ITEMIZATION OF EXPENDITURE:                IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7: 43      LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED):
*   5
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
*
* FUND GROUPS SET:                OR FUND:                FUNDING SOURCE IDENTIFIER:                MERGE FSI (Y/N): N
* FCO (Y/N): Y      FTE (Y/N): Y                SALARY RATE (Y/N): Y
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED):
*   3
*
* REPORT OPTION: 1      COLUMN SELECTION: A03      A04      A05      CODES
* 1=EAD REPORT
* 2=SCHEDULE IV/IT ISSUES      REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N  THAT EXCEED:
* 3=STATEWIDE ISSUES
* 4=SCHEDULE VIIIA ISSUES
* SCHEDULE VIIIA ISSUES SPREADSHEET (Y/N): N
*
* LEVELS OF TOTALS:  (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: N      ITEM OF EXP: N      GROUP: N      DEPARTMENT: N      DIVISION: N      BUREAU: N
* SUB-BUREAU: N      LBE: T      POLICY AREA: N      PROG COMP: T      D3A SUM ISSUE: N      D3A DETAIL ISSUE: L
* MAJOR APP CAT: N      MINOR APP CAT: D
*
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)      REPORT SEQUENCE: DEPT/BUDGET ENTITY: N  A=ALPHABETICAL
*                                     PROGRAM COMPONENT: N  N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:                PROGRAM COMPONENT NARRATIVE (Y/N): N
*
* ISSUE/ACTIVITY NARRATIVE SET: A1                PRIORITY ISSUE NARRATIVE SET (1-9):
*
* INCLUDE POSITION DATA (Y/N): Y
*
* INCLUDE COLUMN CODES (Y/N): Y
*
* OUTPUT FORMAT: L                PAGE BREAKS: LBE  PRC
* L=LANDSCAPE                (IOE, GRP, DEP, DIV,      REPORT HEADING:                EXHIBIT D-3A
* P=PORTRAIT                BUR, SUB, LBE, PRC,      EXPENDITURES BY
*                                     SIS, ISC)                ISSUE AND APPROPRIATION CATEGORY
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* BPEADL01                               STATISTICAL INFORMATION                10/14/2016 09:58:50 *
* BUDGET PERIOD: 2007-2018                EXHIBIT A, D AND D-3A LIST REQUEST          TJM  43      SP   *
* COMPILE DATE: 09/16/2015                COMPILE TIME: 09:40:41                      PAGE:      2 *
*****
*
* TOTAL RECORDS READ FROM SORT:           885
* TOTAL RECORDS READ FROM CARD:           43
* TOTAL PAF RECORDS READ:                 69
* TOTAL OAF RECORDS READ:                 13
* TOTAL IEF RECORDS READ:                 0
* TOTAL BGF RECORDS READ:                 0
* TOTAL BEF RECORDS READ:                 71
* TOTAL PCF RECORDS READ:                 66
* TOTAL ICF RECORDS READ:                 459
* TOTAL INF RECORDS READ:                 1,716
* TOTAL ACF RECORDS READ:                 92
* TOTAL FCF RECORDS READ:                 15
* TOTAL FSF RECORDS READ:                 10
* TOTAL PCN RECORDS READ:                 0
* TOTAL BEN RECORDS READ:                 0
* TOTAL DPC RECORDS READ:                 124
* TOTAL RECORDS IN ERROR:                 0
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