

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER OF POSITIONS AND BUDGET BETWEEN BUDGET ENTITIES AND/OR PROGRAMS ADDBACK						1800320
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE		692,978			692,978	2393 1
REGULATORY TRUST FUND -STATE		78,328			78,328	2573 1
TOTAL POSITIONS.....		11.00			11.00	
TOTAL APPRO.....		771,306			771,306	
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE		4,010			4,010	2393 1
REGULATORY TRUST FUND -STATE		401			401	2573 1
TOTAL APPRO.....		4,411			4,411	
TOTAL: TRANSFER OF POSITIONS AND BUDGET BETWEEN BUDGET ENTITIES AND/OR PROGRAMS ADDBACK						1800320
TOTAL POSITIONS.....		11.00			11.00	
TOTAL ISSUE.....		775,717			775,717	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Amended 2009-10 Narrative after February 25, 2009

The CFO has outlined major goals of the Department of Financial Services in the Long Range Program Plan (LRPP). This

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS										1800000
TRANSFER OF POSITIONS AND BUDGET										
BETWEEN BUDGET ENTITIES AND/OR										
PROGRAMS ADDBACK										1800320

issue transfers 11 positions, currently located within the Project Management Office of the Division of Information Systems, \$771,306 in Salaries and Benefits and 559,295 in Salary Rate from the Information Technology (DIS) budget entity to the Executive Direction and Support Services (EDSS) budget entity. The purpose of this reorganization is to form a team charged with implementing the goals as expressed in the LRPP, and to develop a Strategic Plan for the department which includes a strategic project portfolio. This office will play a crucial role in the effective management of very limited resources that will be used to accomplish the Department's goals. Listed below are examples of the highly critical initiatives this new office will be involved in:

The formulation of policies and procedures related to internal controls, access control, segregation of duties and security administration. This project is critical to decreasing the risk of any potential security breach, and folds into a larger initiative of an Enterprise Risk Management Program. This project also addresses Auditor General criticisms of the Department relative to internal controls.

The Contract Management Project initiative is focused on the development of a uniform, streamlined, internal approach to contracting processes within the Department. Processes developed by the Program Management Office may also be adopted as a best practice by other state agencies.

The Cash Management System (CMS) project is moving through the budget process and then will potentially move into the ITN and vendor selection processes. As such, a detailed project plan with project deliverables including a project charter, communication plan, organizational change management plan, risk management plan, issue management plan and project team structure will need to be developed.

Summary: This is a new issue. This issue transfers 11 positions, currently located within the Project Management Office of the Division of Information Systems from the Information Technology (DIS) budget entity to the Executive Direction and Support Services (EDSS) budget entity.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER OF POSITIONS AND BUDGET						
BETWEEN BUDGET ENTITIES AND/OR						
PROGRAMS ADDBACK						1800320

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2041 OFFICE AUTOMATION SPECIALIST I							
C0153 001	1.00	29,991		10,697	40,688	0.00	40,688
2109 SYSTEMS PROJECT CONSULTANT							
C4884 001	1.00	60,791		17,537	78,328	0.00	78,328
2225 GOVERNMENT ANALYST II							
C0110 001	1.00	49,883		15,603	65,486	0.00	65,486
1587 FINANCIAL ADMINISTRATOR - SES							
C4157 001	1.00	80,060		20,330	100,390	0.00	100,390
2225 SENIOR MANAGEMENT ANALYST II - SES							
C0019 001	1.00	45,698		21,721	67,419	0.00	67,419
C1320 001	1.00	46,856		21,928	68,784	0.00	68,784
C1553 001	1.00	48,863		22,288	71,151	0.00	71,151
C4447 001	1.00	53,111		23,049	76,160	0.00	76,160
2239 MANAGEMENT REVIEW SPECIALIST - SES							
C0146 001	1.00	49,735		22,444	72,179	0.00	72,179
C1550 001	1.00	40,445		13,231	53,676	0.00	53,676
8455 EDUCATION & TRAINING ADMINISTRATOR							
C0794 001	1.00	53,862		23,183	77,045	0.00	77,045
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							692,978
2573 REGULATORY TRUST FUND							78,328
	11.00	559,295		212,011	771,306		771,306

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER PUBLICATIONS SECTION FROM						
THE DIVISION OF CONSUMER ASSISTANCE						
TO EXECUTIVE DIRECTION AND SUPPORT						
SERVICES - ADDBACK						1800380
SALARIES AND BENEFITS						010000
		10.00			10.00	
INSURANCE REG TF -STATE			513,810			2393 1
					513,810	
EXPENSES						040000
INSURANCE REG TF -STATE			27,917			2393 1
					27,917	
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE			4,010			2393 1
					4,010	
TOTAL: TRANSFER PUBLICATIONS SECTION FROM						1800380
THE DIVISION OF CONSUMER ASSISTANCE						
TO EXECUTIVE DIRECTION AND SUPPORT						
SERVICES - ADDBACK						
TOTAL POSITIONS.....		10.00			10.00	
TOTAL ISSUE.....			545,737			545,737

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Transfer of Publications Unit from Consumer Assistance to Executive Direction and Support Services

This is an agency reorganization and transfers ten (10) positions from the Division of Consumer Services (43500400) to Executive Direction and support Services (43010100) to promote efficiencies. Based on the organizational structure and job functions performed by these positions, they are more appropriately located in the office supervised by the Director of Communications.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS										1800000
TRANSFER PUBLICATIONS SECTION FROM THE DIVISION OF CONSUMER ASSISTANCE TO EXECUTIVE DIRECTION AND SUPPORT SERVICES - ADDBACK										1800380

Summary: This is a new issue

Second Amended 2009-10 Narrative after February 25, 2009

Transfer of Publications Unit from Consumer Assistance to Executive Direction and Support Services

This is an agency reorganization and transfers ten (10) positions from the Division of Consumer Services (43500400) to Executive Direction and support Services (43010100) to promote efficiencies.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0090 WORD PROCESSING SYSTEMS OPERATOR							
C0212 001	1.00	20,736		12,052	32,788	0.00	32,788
2225 GOVERNMENT ANALYST II							
C0176 001	1.00	46,381		13,602	59,983	0.00	59,983
3721 PUBLICATIONS PRODUCTION SPECIALIST II							
C1469 001	1.00	26,801		10,132	36,933	0.00	36,933
C4091 001	1.00	31,801		11,018	42,819	0.00	42,819
3738 PUBLIC INFORMATION SPECIALIST							
C0042 001	1.00	30,990		16,864	47,854	0.00	47,854
C0062 001	1.00	33,025		17,224	50,249	0.00	50,249
C0633 001	1.00	37,000		6,556	43,556	0.00	43,556

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS										1800000
TRANSFER PUBLICATIONS SECTION FROM THE DIVISION OF CONSUMER ASSISTANCE TO EXECUTIVE DIRECTION AND SUPPORT SERVICES - ADDBACK										1800380

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
C4004 001	1.00	44,754	13,313	58,067	0.00	58,067
C4018 001	1.00	42,000	18,814	60,814	0.00	60,814
3742 PUBLIC INFORMATION DIRECTOR - SES						
C0569 001	1.00	57,000	23,747	80,747	0.00	80,747
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						513,810
10.00	370,488		143,322	513,810		513,810

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT						34F0100
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	8,183,716-	9,390,504-		1,206,788-	2393 1
=====						
OTHER PERSONAL SERVICES						030000
INSURANCE REG TF	-STATE	101,221-	101,221-			2393 1
=====						
EXPENSES						040000
INSURANCE REG TF	-STATE	1,154,277-	1,169,905-		15,628-	2393 1
=====						
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	-STATE	19,247-	19,247-			2393 1
=====						
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	413,159-	413,159-			2393 1
=====						
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF	-STATE	81,089-	81,089-			2393 1
=====						
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	51,068-	59,088-		8,020-	2393 1
=====						

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT										34F0100
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT										34F0100
TOTAL ISSUE.....	10,003,777-	11,234,213-						1,230,436-		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Delete

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
Salaries & Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 8,183,716)	\$ 0
Other Personal Services (030000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 101,221)	\$ 0
Expenses (040000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 1,154,277)	\$ 0
Operating Capital Outlay (060000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 19,247)	\$ 0
Contracted Services (100777):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 413,159)	\$ 0

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO										
SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY										
TRUST FUND TO ADMINISTRATIVE TRUST										
FUND - DEDUCT										34F0100
Risk Management Insurance (103241):										
Insurance Regulatory Trust Fund (2393) (1)										(\$ 81,089) \$ 0
TR/DMS/HR (107040):										
Insurance Regulatory Trust Fund (2393) (1)										(\$ 51,068) \$ 0

ISSUE TOTAL										(\$10,003,777) \$ 0

Amended 2009-2010 Narrative after February 25, 2009
 Changes in issues required changes in Administrative Trust Fund.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT						34F0100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							8,183,716-
							8,183,716-
							=====

A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							9,390,504-
							9,390,504-
							=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD						34F0110
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	8,183,716	9,390,504			1,206,788	2021 1
OTHER PERSONAL SERVICES						030000
ADMINISTRATIVE TRUST FUND -STATE	101,221	101,221				2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	1,154,277	1,169,905			15,628	2021 1
OPERATING CAPITAL OUTLAY						060000
ADMINISTRATIVE TRUST FUND -STATE	19,247	19,247				2021 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	413,159	413,159				2021 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	81,089	81,089				2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	51,068	59,088			8,020	2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD						34F0110
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD						34F0110
TOTAL ISSUE.....	10,003,777	11,234,213			1,230,436	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 8,183,716	\$ 0
Other Personal Services (030000):		
Administrative Trust Fund (2021) (1)	\$ 101,221	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 1,154,277	\$ 0
Operating Capital Outlay (060000):		
Administrative Trust Fund (2021) (1)	\$ 19,247	\$ 0
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)	\$ 413,159	\$ 0

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD										34F0110
Risk Management Insurance (103241): Administrative Trust Fund (2021) (1)										\$ 81,089 \$ 0
TR/DMS/HR (107040): Administrative Trust Fund (2021) (1)										\$ 51,068 \$ 0

ISSUE TOTAL										\$10,003,777 \$ 0

Amended 2009-2010 Narrative after February 25, 2009
 Changes in issues required changes in Administrative Trust Fund.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS	
A12 - AGY FIN REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							8,183,716
							8,183,716
							=====

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT										34F0120
TOTAL: TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT										34F0120
TOTAL ISSUE.....		367,199-	445,928-					78,729-		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer from the Regulatory Trust Fund to the Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
Salaries & Benefits (010000):		
Regulatory Trust Fund (2573) (1)	(\$ 329,486)	\$ 0
Expenses (040000):		
Regulatory Trust Fund (2573) (1)	(\$ 35,696)	\$ 0
TR/DMS/HR (107040):		
Regulatory Trust Fund (2573) (1)	(\$ 2,017)	\$ 0
ISSUE TOTAL	(\$ 367,199)	\$ 0

Amended 2009-2010 Narrative after February 25, 2009
 Changes in issues required changes in Administrative Trust Fund.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES	43000000
PRG: CHIEF FIN OFFICER/ADM	43010000
EXECUTIVE DIR/SUPPORT SVCS	43010100
GOV OPERATIONS/SUPPORT	16
EXEC LEADERSHIP/SUPPRT SVC	<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT	34F0000 34F0120

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2573 REGULATORY TRUST FUND						329,486-

						329,486-
						=====

A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2573 REGULATORY TRUST FUND						407,814-

						407,814-
						=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						34F0130
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	329,486	407,814			78,328	2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	35,696	35,696				2021 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	2,017	2,418			401	2021 1
TOTAL: TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						34F0130
TOTAL ISSUE.....	367,199	445,928			78,729	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Transfer from the Regulatory Trust Fund to the Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY AMOUNT NON-RECURRING

Salaries & Benefits (010000):

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	OVER(UNDER)	AGY FIN REQ FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD										34F0130

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						329,486

						329,486
						=====

A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						407,814

						407,814
						=====

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD										34F0000
										34F0130

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2021 ADMINISTRATIVE TRUST FUND

407,814

 407,814
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
EXECUTIVE DIR/SUPPORT SVCS						43010100
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						1602.00.00.00
AUDIT FINDINGS AND RECOMMENDATIONS						4A00000
INTERNAL CONTROL COMPLIANCE UNIT						4A03A00
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	1.00	109,989			1.00-	109,989-
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE		10,112				10,112-
OPERATING CAPITAL OUTLAY						060000
ADMINISTRATIVE TRUST FUND -STATE		1,000				1,000-
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE		500,000	500,000	500,000		2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE		401				401- 2021 1
TOTAL: INTERNAL CONTROL COMPLIANCE UNIT						4A03A00
TOTAL POSITIONS.....	1.00				1.00-	
TOTAL ISSUE.....		621,502	500,000	500,000		121,502-

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Compliance and Internal Control Management Program

Reference to Long-Range Program Plan: This issue directly supports the first goal in the Department's Long-Range Program Plan, to be a vigilant steward of the state's and its people's resources.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>										43010100
GOV OPERATIONS/SUPPORT										16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>										<u>1602.00.00.00</u>
AUDIT FINDINGS AND RECOMMENDATIONS										4A00000
INTERNAL CONTROL COMPLIANCE UNIT										4A03A00

Issue Description/Need: As a result of an attempt to victimize the Department of Financial Services (and the citizens of the State of Florida) by creating a fraudulent bank account to divert a vendor's electronic funds transfer payment, the Chief Financial Officer hired an external auditing firm to examine internal controls over high risk transactions in the Treasury, Division of Accounting and Auditing, and Division of Risk Management. The auditor recommended that management establish and implement an internal control management program including procedures for identifying risks, assigning risks to "owners," identifying and documenting internal controls to mitigate risks, monitoring for compliance with internal controls, ensuring corrective action is taken when control exceptions occur, and maintaining and updating internal control documentation when needed. The Department fully concurs and intends to implement an operational internal control process to address these recommendations.

This process includes identifying and analyzing risk from an integrated, agency-wide perspective using a commonly accepted model for discussing and evaluating the organization's internal control efforts. This includes all activities geared toward meeting its strategic, operational, reporting, and compliance objectives. The process will focus on the necessity of a consistent "risk and control consciousness" throughout the agency; the importance of considering risk during the formulation of strategy; and the interrelationships of risks across business units and at every level of the organization.

The agency needs external consulting expertise to implement an operational internal control process. First, our directors and managers need to review business processes and related activities performed for the citizens and identify associated risks. To do this, consultant training and facilitation services are required. Next, once risks are identified, mitigating controls need to be identified, assessed and supplemented where required. The agency's managers can implement controls; however, they need the assistance of knowledgeable external resources to ensure controls are correct in structure and purpose to avoid widespread implementation of ineffective controls. After activities, related risks, and mitigating controls have been identified and implemented, high risk activities need to be evaluated. An external consultant will be needed to do sampling and testing.

The Department is requesting \$500,000 in the Contracted Services category to address the cost associated with establishing a framework for this program, delivering training to agency managers, facilitating managers' risk assessment and mitigation endeavors, and sampling and testing high-risk activities to ensure that sufficient controls have been implemented.

In addition, the Department is requesting one position for a new Compliance Unit. The Compliance Unit will be responsible for working with the divisions, senior management and the vendor on the development and implementation of an operational internal control process for the Department. Duties of the Compliance Unit will include performing/administering operational risk and control assessments to identify and document the business risks, identification of the internal controls associated with those business risks, and the development of the operational internal control management plan and policies. Duties also include the ongoing monitoring of the plan.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10	OVER(UNDER) AGY FIN REQ FY 2009-10		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES									43000000	
PRG: CHIEF FIN OFFICER/ADM									43010000	
<u>EXECUTIVE DIR/SUPPORT SVCS</u>									43010100	
GOV OPERATIONS/SUPPORT									16	
<u>EXEC LEADERSHIP/SUPPRT SVC</u>									<u>1602.00.00.00</u>	
AUDIT FINDINGS AND RECOMMENDATIONS									4A00000	
INTERNAL CONTROL COMPLIANCE UNIT									4A03A00	

The Department is requesting the position be appropriated with \$109,989 in salaries and benefits. This level of salaries and benefits is necessary as a successful candidate for this position would need extensive knowledge of internal controls. An active designation of Certified Public Accountant and/or Certified Internal Auditor would be desired.

Ultimate Outcome: Proper internal control is a process designed to provide reasonable assurances regarding effectiveness and efficiency of operations, reliability of financial reporting, and compliance with applicable laws and regulations. The Chief Financial Officer is responsible for a large and diverse agency with enterprise-wide responsibilities. One significant area of responsibility is the management of state funds through the state treasury; another is the payment of the state's financial obligations. The implementation of internal control management procedures, including identifying and assigning risks and ensuring corrective action is taken, is imperative to the Department's role as a vigilant steward of public resources. If this issue is not funded, the Department may not be able to implement the comprehensive internal control process necessary to ensure the safe and efficient operation of a Department with multiple constitutional and statutory responsibilities and duties.

Detail of Costs:

Category	Amount	Non-recurring
Salaries and Benefits (010000):		
Administrative Trust Fund (2021) (1) Class Code TBD	\$109,989	
Expenses (040000):		
Administrative Trust Fund (2021) (1) Professional Expenses Package	\$ 10,112	\$ 3,412
OCO:		
Administrative Trust Fund (2021) (1) Professional OCO Package	\$ 1,000	\$ 1,000

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>										43010100
GOV OPERATIONS/SUPPORT										16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>										<u>1602.00.00.00</u>
AUDIT FINDINGS AND RECOMMENDATIONS										4A00000
INTERNAL CONTROL COMPLIANCE UNIT										4A03A00

necessity of a consistent "risk and control consciousness" throughout the agency; the importance of considering risk during the formulation of strategy; and the interrelationships of risks across business units and at every level of the organization.

The agency needs external consulting expertise to implement an operational internal control process. First, directors and managers need to review business processes and related activities performed for the citizens and identify associated risks. To do this, consultant training and facilitation services are required. Next, once risks are identified, mitigating controls need to be identified, assessed and supplemented where required. The agency's managers can implement controls; however, they need the assistance of knowledgeable external resources to ensure controls are correct in structure and purpose to avoid widespread implementation of ineffective controls. After activities, related risks, and mitigating controls have been identified and implemented, high risk activities need to be evaluated. An external consultant will be needed to do sampling and testing.

The Department is requesting \$500,000 in the Contracted Services category to address the cost associated with establishing a framework for this program, delivering training to agency managers, facilitating managers' risk assessment and mitigation endeavors, and sampling and testing high-risk activities to ensure that sufficient controls have been implemented.

Ultimate Outcome: Proper internal control is a process designed to provide reasonable assurances regarding effectiveness and efficiency of operations, reliability of financial reporting, and compliance with applicable laws and regulations. The Chief Financial Officer is responsible for a large and diverse agency with enterprise-wide responsibilities. One significant area of responsibility is the management of state funds through the state treasury; another is the payment of the state's financial obligations. The implementation of internal control management procedures, including identifying and assigning risks and ensuring corrective action is taken, is imperative to the Department's role as a vigilant steward of public resources. If this issue is not funded, the Department may not be able to implement the comprehensive internal control process necessary to ensure the safe and efficient operation of a Department with multiple constitutional and statutory responsibilities and duties.

Detail of Costs:

Category	Amount	Non-recurring
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Contracted Services:
 Administrative Trust Fund (2021) (1)

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										<u>1602.00.00.00</u>
AUDIT FINDINGS AND RECOMMENDATIONS										4A00000
INTERNAL CONTROL COMPLIANCE UNIT										4A03A00
Consulting Services			\$500,000		\$500,000					

Summary: The department determined, upon further review, that additional FTE would not be needed at this time to accomplish the desired goals and outcomes.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10						
NEW POSITIONS						
P101 PROPOSED CLASS CODE						
	N1025 001	1.00	85,000	24,989	109,989	0.00 109,989
TOTALS FOR ISSUE BY FUND						
2021 ADMINISTRATIVE TRUST FUND						
		1.00	85,000	24,989	109,989	109,989

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
EXECUTIVE DIR/SUPPORT SVCS										43010100
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										1602.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS										5400000
SALARY REALIGNMENT - TRUST FUNDS										
ONLY - REALIGN SALARY TO MORE										
CLOSELY ALIGN BUDGET WITH										
EXPENDITURES - DEDUCT										5401000
SALARIES AND BENEFITS										010000
ADMINISTRATIVE TRUST FUND -STATE		197,587-						197,587-		2021 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

OTHER SALARY AMOUNT						
2021 ADMINISTRATIVE TRUST FUND						197,587-

						197,587-
						=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER OF POSITIONS AND BUDGET BETWEEN BUDGET ENTITIES AND/OR PROGRAMS DEDUCT						1800310
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE		692,978-			692,978-	2393 1
REGULATORY TRUST FUND -STATE		78,328-			78,328-	2573 1
TOTAL POSITIONS.....		11.00-			11.00-	
TOTAL APPRO.....		771,306-			771,306-	
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE		4,010-			4,010-	2393 1
REGULATORY TRUST FUND -STATE		401-			401-	2573 1
TOTAL APPRO.....		4,411-			4,411-	
TOTAL: TRANSFER OF POSITIONS AND BUDGET BETWEEN BUDGET ENTITIES AND/OR PROGRAMS DEDUCT						1800310
TOTAL POSITIONS.....		11.00-			11.00-	
TOTAL ISSUE.....		775,717-			775,717-	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Amended 2009-10 Narrative after February 25, 2009

The CFO has outlined major goals of the Department of Financial Services in the Long Range Program Plan (LRPP). This issue transfers 11 positions, currently located within the Project Management Office of the Division of Information Systems, \$771,306 in Salaries and Benefits and 559,295 in Salary Rate from the Information Technology (DIS) budget entity to the Executive Direction and Support Services (EDSS) budget entity. The purpose of this reorganization is to form a team charged with implementing the goals as expressed in the LRPP, and to develop a Strategic Plan for the department

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER OF POSITIONS AND BUDGET						
BETWEEN BUDGET ENTITIES AND/OR						
PROGRAMS DEDUCT						1800310

which includes a strategic project portfolio. This office will play a crucial role in the effective management of very limited resources that will be used to accomplish the Department's goals. Listed below are examples of the highly critical initiatives this new office will be involved in:

The formulation of policies and procedures related to internal controls, access control, segregation of duties and security administration. This project is critical to decreasing the risk of any potential security breach, and folds into a larger initiative of an Enterprise Risk Management Program. This project also addresses Auditor General criticisms of the Department relative to internal controls.

The Contract Management Project initiative is focused on the development of a uniform, streamlined, internal approach to contracting processes within the Department. Processes developed by the Program Management Office may also be adopted as a best practice by other state agencies.

The Cash Management System (CMS) project is moving through the budget process and then will potentially move into the ITN and vendor selection processes. As such, a detailed project plan with project deliverables including a project charter, communication plan, organizational change management plan, risk management plan, issue management plan and project team structure will need to be developed.

Summary: This is a new issue. This issue transfers 11 positions, currently located within the Project Management Office of the Division of Information Systems from the Information Technology (DIS) budget entity to the Executive Direction and Support Services (EDSS) budget entity.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER OF POSITIONS AND BUDGET						
BETWEEN BUDGET ENTITIES AND/OR						
PROGRAMS DEDUCT						1800310

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2041 OFFICE AUTOMATION SPECIALIST I							
C0153 001	1.00-	29,991-		10,697-	40,688-	0.00	40,688-
2109 SYSTEMS PROJECT CONSULTANT							
C4884 001	1.00-	60,791-		17,537-	78,328-	0.00	78,328-
2225 GOVERNMENT ANALYST II							
C0110 001	1.00-	49,883-		15,603-	65,486-	0.00	65,486-
1587 FINANCIAL ADMINISTRATOR - SES							
C4157 001	1.00-	80,060-		20,330-	100,390-	0.00	100,390-
2225 SENIOR MANAGEMENT ANALYST II - SES							
C0019 001	1.00-	45,698-		21,721-	67,419-	0.00	67,419-
C1320 001	1.00-	46,856-		21,928-	68,784-	0.00	68,784-
C1553 001	1.00-	48,863-		22,288-	71,151-	0.00	71,151-
C4447 001	1.00-	53,111-		23,049-	76,160-	0.00	76,160-
2239 MANAGEMENT REVIEW SPECIALIST - SES							
C0146 001	1.00-	49,735-		22,444-	72,179-	0.00	72,179-
C1550 001	1.00-	40,445-		13,231-	53,676-	0.00	53,676-
8455 EDUCATION & TRAINING ADMINISTRATOR							
C0794 001	1.00-	53,862-		23,183-	77,045-	0.00	77,045-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							692,978-
2573 REGULATORY TRUST FUND							78,328-
	11.00-	559,295-		212,011-	771,306-		771,306-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT						34F0100
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	5,798,038-	5,105,060-		692,978	2393 1
=====						
OTHER PERSONAL SERVICES						030000
INSURANCE REG TF	-STATE	60,000-	60,000-			2393 1
=====						
EXPENSES						040000
INSURANCE REG TF	-STATE	2,750,283-	2,726,613-		23,670	2393 1
=====						
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF	-STATE	687,690-	687,690-			2393 1
=====						
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	3,474,110-	3,474,110-			2393 1
=====						
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF	-STATE	23,033-	23,033-			2393 1
=====						
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	29,508-	25,498-		4,010	2393 1
=====						

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT						34F0100
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT						34F0100
TOTAL ISSUE.....	12,822,662-	12,102,004-			720,658	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 5,798,038)	\$ 0
Other Personal Services (030000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 60,000)	\$ 0
Expenses (040000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 2,750,283)	\$ 0
Operating Capital Outlay (060000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 687,690)	\$ 0

Contracted Services (100777):

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT 34F0100

Insurance Regulatory Trust Fund (2393) (1) (\$ 3,474,110) \$ 0
 Risk Management Insurance (103241):
 Insurance Regulatory Trust Fund (2393) (1) (\$ 23,033) \$ 0
 TR/DMS/HR (107040):
 Insurance Regulatory Trust Fund (2393) (1) (\$ 29,508) \$ 0

 Issue Total (\$12,822,662) \$ 0
 Amended 2009-10 Narrative after February 25, 2009

ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

Summary: The following represent the changes in funding.

CATEGORY	AMOUNT	NON-RECURRING
-----	-----	-----
Salaries & Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 5,105,060)	\$ 0
Other Personal Services (030000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 60,000)	\$ 0
Expenses (040000):		
Insurance Regulatory Trust Fund (2393) (1)	(\$ 2,726,613)	\$ 0
Operating Capital Outlay (060000):		

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - DEDUCT										34F0100

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2393 INSURANCE REG TF

5,105,060-

 5,105,060-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD						34F0110
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	5,798,038	5,105,060			692,978-	2021 1
OTHER PERSONAL SERVICES						030000
ADMINISTRATIVE TRUST FUND -STATE	60,000	60,000				2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	2,750,283	2,726,613			23,670-	2021 1
OPERATING CAPITAL OUTLAY						060000
ADMINISTRATIVE TRUST FUND -STATE	687,690	687,690				2021 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	3,474,110	3,474,110				2021 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	23,033	23,033				2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	29,508	25,498			4,010-	2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD						34F0110
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD						34F0110
TOTAL ISSUE.....	12,822,662	12,102,004			720,658-	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021)(1)	\$ 5,798,038	\$ 0
Other Personal Services (030000):		
Administrative Trust Fund (2021) (1)	\$ 60,000	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 2,750,283	\$ 0
Operating Capital Outlay (060000):		
Administrative Trust Fund (2021) (1)	\$ 687,690	\$ 0
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)	\$ 3,474,110	\$ 0

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD										34F0110
Risk Management Insurance (103241):										
Administrative Trust Fund (2021) (1)			\$ 23,033		\$ 0					
TR/DMS/HR (107040):										
Administrative Trust Fund (2021) (1)			\$ 29,508		\$ 0					

Issue Total			\$12,822,662		\$ 0					
Amended 2009-10 Narrative after February 25, 2009										

ISSUE TITLE: Transfer from Insurance Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

Summary: The following represent the changes in funding.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021)(1)	\$ 5,105,060	\$ 0
Other Personal Services (030000):		
Administrative Trust Fund (2021) (1)	\$ 60,000	\$ 0
Expenses (040000):		

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO ADMINISTRATIVE TRUST FUND - ADD										34F0110

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2021 ADMINISTRATIVE TRUST FUND

5,105,060

 5,105,060
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT						34F0120
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	770,223-	691,895-			78,328	2573 1
OTHER PERSONAL SERVICES						030000
REGULATORY TRUST FUND -STATE	20,802-	20,802-				2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE	70,547-	70,547-				2573 1
OPERATING CAPITAL OUTLAY						060000
REGULATORY TRUST FUND -STATE	101,497-	101,497-				2573 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND -STATE	224,350-	224,350-				2573 1
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE	1,642-	1,642-				2573 1
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	4,966-	4,565-			401	2573 1

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
INFORMATION TECHNOLOGY 1603.00.00.00

TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT 34F0120

Risk Management Insurance (103241):
 Regulatory Trust Fund (2573) (1) (\$ 1,642) \$ 0

TR/DMS/HR (107040):
 Regulatory Trust Fund (2573) (1) (\$ 4,966) \$ 0

 Issue Total (\$1,194,027) \$ 0
 Amended 2009-10 Narrative after February 25, 2009

ISSUE TITLE: Transfer from Regulatory Trust Fund to Administrative Trust Fund - Deduct

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

Summary: The following are changes to this issue.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000): Regulatory Trust Fund (2573) (1)	(\$ 691,895)	\$ 0
Other Personal Services (030000): Regulatory Trust Fund (2573) (1)	(\$ 20,802)	\$ 0
Expenses (040000): Regulatory Trust Fund (2573) (1)	(\$ 70,547)	\$ 0
Operating Capital Outlay (060000): Regulatory Trust Fund (2573) (1)	(\$ 101,497)	\$ 0
Contracted Services (100777): Regulatory Trust Fund (2573) (1)	(\$ 224,350)	\$ 0

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES
 PRG: CHIEF FIN OFFICER/ADM
INFORMATION TECHNOLOGY
 GOV OPERATIONS/SUPPORT
INFORMATION TECHNOLOGY

43000000
 43010000
 43010300
 16
1603.00.00.00

TRUST FUND REALIGNMENT PURSUANT TO
 SECTION 215.32, FLORIDA STATUTES
 TRANSFER FROM REGULATORY TRUST FUND
 TO THE ADMINISTRATIVE TRUST FUND -
 DEDUCT

34F0000

 34F0120

Risk Management Insurance (103241):
 Regulatory Trust Fund (2573) (1) (\$ 1,642) \$ 0

 TR/DMS/HR (107040):
 Regulatory Trust Fund (2573) (1) (\$ 4,966) \$ 0

 Issue Total (\$1,115,298) \$ 0

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A12 - AGY FIN REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2573 REGULATORY TRUST FUND

770,223-

 770,223-
 =====

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM REGULATORY TRUST FUND TO THE ADMINISTRATIVE TRUST FUND - DEDUCT 34F0120

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2573 REGULATORY TRUST FUND

691,895-

 691,895-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						34F0130
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE	770,223	691,895			78,328-	2021 1
OTHER PERSONAL SERVICES						030000
ADMINISTRATIVE TRUST FUND -STATE	20,802	20,802				2021 1
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE	70,547	70,547				2021 1
OPERATING CAPITAL OUTLAY						060000
ADMINISTRATIVE TRUST FUND -STATE	101,497	101,497				2021 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	224,350	224,350				2021 1
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE	1,642	1,642				2021 1
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE	4,966	4,565			401-	2021 1

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						34F0130
TOTAL: TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD						34F0130
TOTAL ISSUE.....	1,194,027	1,115,298			78,729-	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 ISSUE TITLE: Transfer from Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

CATEGORY	AMOUNT	NON-RECURRING
	-----	-----
Salaries & Benefits (010000):		
Administrative Trust Fund (2021) (1)	\$ 770,223	\$ 0
Other Personal Services (030000):		
Administrative Trust Fund (2021) (1)	\$ 20,802	\$ 0
Expenses (040000):		
Administrative Trust Fund (2021) (1)	\$ 70,547	\$ 0
Operating Capital Outlay (060000):		
Administrative Trust Fund (2021) (1)	\$ 101,497	\$ 0
Contracted Services (100777):		
Administrative Trust Fund (2021) (1)	\$ 224,350	\$ 0

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD 34F0130

Risk Management Insurance (103241):
 Administrative Trust Fund (2021) (1) \$ 1,642 \$ 0
 TR/DMS/HR (107040):
 Administrative Trust Fund (2021) (1) \$ 4,966 \$ 0

 Issue Total \$1,194,027 \$ 0
 Amended 2009-10 Request after February 25, 2009

ISSUE TITLE: Transfer from Regulatory Trust Fund to Administrative Trust Fund - Add

ISSUE DESCRIPTION/NEED:

Trust Fund Realignment Pursuant to section 215.32 Florida Statutes. This issue moves trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes.

Summary: The followin are the changes to this issue.

CATEGORY	AMOUNT	NON-RECURRING
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Salaries & Benefits (010000): Administrative Trust Fund (2021) (1)	\$ 691,895	\$ 0
Other Personal Services (030000): Administrative Trust Fund (2021) (1)	\$ 20,802	\$ 0
Expenses (040000): Administrative Trust Fund (2021) (1)	\$ 70,547	\$ 0
Operating Capital Outlay (060000): Administrative Trust Fund (2021) (1)	\$ 101,497	\$ 0
Contracted Services (100777):		

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM THE REGULATORY TRUST FUND TO THE ADMININSTRATIVE TRUST FUND - ADD										34F0130

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2021 ADMINISTRATIVE TRUST FUND

691,895

 691,895
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM UNCLAIMED PROPERTY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT						34F5010
SALARIES AND BENEFITS						010000
UNCLAIMED PROPERTY TF -STATE		295,263-			295,263-	2007 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
UNCLAIMED PROPERTY TF -STATE		5,170-			5,170-	2007 1
=====		=====			=====	
EXPENSES						040000
UNCLAIMED PROPERTY TF -STATE		17,165-			17,165-	2007 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
UNCLAIMED PROPERTY TF -STATE		89,912-			89,912-	2007 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
UNCLAIMED PROPERTY TF -STATE		181,349-			181,349-	2007 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
UNCLAIMED PROPERTY TF -STATE		1,175-			1,175-	2007 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
UNCLAIMED PROPERTY TF -STATE		1,904-			1,904-	2007 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM UNCLAIMED PROPERTY
 TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT 34F5010

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2007 UNCLAIMED PROPERTY TF

295,263-

 295,263-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM UNCLAIMED PROPERTY						
TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F5020
SALARIES AND BENEFITS						010000
WORKING CAPITAL TRUST FUND-STATE		295,263			295,263	2792 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
WORKING CAPITAL TRUST FUND-STATE		5,170			5,170	2792 1
=====		=====			=====	
EXPENSES						040000
WORKING CAPITAL TRUST FUND-STATE		17,165			17,165	2792 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
WORKING CAPITAL TRUST FUND-STATE		89,912			89,912	2792 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKING CAPITAL TRUST FUND-STATE		181,349			181,349	2792 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
WORKING CAPITAL TRUST FUND-STATE		1,175			1,175	2792 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKING CAPITAL TRUST FUND-STATE		1,904			1,904	2792 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM UNCLAIMED PROPERTY										
TRUST FUND TO WORKING CAPITAL TRUST										
FUND - ADD										34F5020
TOTAL: TRANSFER FROM UNCLAIMED PROPERTY										34F5020
TRUST FUND TO WORKING CAPITAL TRUST										
FUND - ADD										
TOTAL ISSUE.....										591,938
										591,938

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM UNCLAIMED PROPERTY										
TRUST FUND TO WORKING CAPITAL TRUST										
FUND - ADD										34F5020

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2792 WORKING CAPITAL TRUST FUND						295,263

						295,263
						=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT						34F5030
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		521,087-			521,087-	2021 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
ADMINISTRATIVE TRUST FUND -STATE		5,000-			5,000-	2021 1
=====		=====			=====	
EXPENSES						040000
ADMINISTRATIVE TRUST FUND -STATE		144,896-			144,896-	2021 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
ADMINISTRATIVE TRUST FUND -STATE		359,961-			359,961-	2021 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE		477,107-			477,107-	2021 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
ADMINISTRATIVE TRUST FUND -STATE		1,940-			1,940-	2021 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
ADMINISTRATIVE TRUST FUND -STATE		2,558-			2,558-	2021 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5030
TOTAL: TRANSFER FROM ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5030
TOTAL ISSUE.....		1,512,549-						1,512,549-		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND										
- DEDUCT										34F5030

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

OTHER SALARY AMOUNT

2021 ADMINISTRATIVE TRUST FUND

521,087-

 521,087-

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	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F5040
SALARIES AND BENEFITS						010000
WORKING CAPITAL TRUST FUND-STATE		521,087			521,087	2792 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
WORKING CAPITAL TRUST FUND-STATE		5,000			5,000	2792 1
=====		=====			=====	
EXPENSES						040000
WORKING CAPITAL TRUST FUND-STATE		144,896			144,896	2792 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
WORKING CAPITAL TRUST FUND-STATE		359,961			359,961	2792 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKING CAPITAL TRUST FUND-STATE		477,107			477,107	2792 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
WORKING CAPITAL TRUST FUND-STATE		1,940			1,940	2792 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKING CAPITAL TRUST FUND-STATE		2,558			2,558	2792 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD 34F5040

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2792 WORKING CAPITAL TRUST FUND

521,087

 521,087
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	OVER(UNDER)					
	AGY FIN REQ				AGY FIN REQ	
	FY 2009-10				FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT						34F5050
SALARIES AND BENEFITS						010000
FINANCIAL INST REG TF -STATE		50,295-			50,295-	2275 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
FINANCIAL INST REG TF -STATE		6,303-			6,303-	2275 1
=====		=====			=====	
EXPENSES						040000
FINANCIAL INST REG TF -STATE		2,303-			2,303-	2275 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
FINANCIAL INST REG TF -STATE		15,206-			15,206-	2275 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
FINANCIAL INST REG TF -STATE		32,524-			32,524-	2275 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
FINANCIAL INST REG TF -STATE		246-			246-	2275 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
FINANCIAL INST REG TF -STATE		323-			323-	2275 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5050
TOTAL: TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5050
TOTAL ISSUE.....		107,200-						107,200-		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM FINANCIAL INSTITUTIONS REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT 34F5050

34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT

2275 FINANCIAL INST REG TF 50,295-

 50,295-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM FINANCIAL INSTITUTION REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD SALARIES AND BENEFITS						34F5060 010000
WORKING CAPITAL TRUST FUND-STATE		50,295			50,295	2792 1
OTHER PERSONAL SERVICES						030000
WORKING CAPITAL TRUST FUND-STATE		6,303			6,303	2792 1
EXPENSES						040000
WORKING CAPITAL TRUST FUND-STATE		2,303			2,303	2792 1
OPERATING CAPITAL OUTLAY						060000
WORKING CAPITAL TRUST FUND-STATE		15,206			15,206	2792 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKING CAPITAL TRUST FUND-STATE		32,524			32,524	2792 1
RISK MANAGEMENT INSURANCE						103241
WORKING CAPITAL TRUST FUND-STATE		246			246	2792 1
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKING CAPITAL TRUST FUND-STATE		323			323	2792 1

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM FINANCIAL INSTITUTION REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD 34F5060

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2792 WORKING CAPITAL TRUST FUND

50,295

 50,295
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT						34F5070
SALARIES AND BENEFITS						010000
INSURANCE REG TF -STATE		5,105,060-			5,105,060-	2393 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
INSURANCE REG TF -STATE		60,000-			60,000-	2393 1
=====		=====			=====	
EXPENSES						040000
INSURANCE REG TF -STATE		2,726,613-			2,726,613-	2393 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
INSURANCE REG TF -STATE		687,690-			687,690-	2393 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF -STATE		3,474,110-			3,474,110-	2393 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
INSURANCE REG TF -STATE		23,033-			23,033-	2393 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF -STATE		25,498-			25,498-	2393 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5070
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5070
TOTAL ISSUE.....		12,102,004-						12,102,004-		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT 34F5070

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2393 INSURANCE REG TF

5,105,060-

 5,105,060-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F5080
SALARIES AND BENEFITS						010000
WORKING CAPITAL TRUST FUND-STATE		5,105,060			5,105,060	2792 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
WORKING CAPITAL TRUST FUND-STATE		60,000			60,000	2792 1
=====		=====			=====	
EXPENSES						040000
WORKING CAPITAL TRUST FUND-STATE		2,726,613			2,726,613	2792 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
WORKING CAPITAL TRUST FUND-STATE		687,690			687,690	2792 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKING CAPITAL TRUST FUND-STATE		3,474,110			3,474,110	2792 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
WORKING CAPITAL TRUST FUND-STATE		23,033			23,033	2792 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKING CAPITAL TRUST FUND-STATE		25,498			25,498	2792 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD										34F5080
TOTAL: TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD										34F5080
TOTAL ISSUE.....		12,102,004						12,102,004		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM INSURANCE REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD 34F5080

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2792 WORKING CAPITAL TRUST FUND

5,105,060

 5,105,060
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT						34F5090
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		691,895-			691,895-	2573 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
REGULATORY TRUST FUND -STATE		20,802-			20,802-	2573 1
=====		=====			=====	
EXPENSES						040000
REGULATORY TRUST FUND -STATE		70,547-			70,547-	2573 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
REGULATORY TRUST FUND -STATE		101,497-			101,497-	2573 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND -STATE		224,350-			224,350-	2573 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
REGULATORY TRUST FUND -STATE		1,642-			1,642-	2573 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE		4,565-			4,565-	2573 1
=====		=====			=====	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5090
TOTAL: TRANSFER FROM REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5090
TOTAL ISSUE.....		1,115,298-						1,115,298-		

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT 34F5090

34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2573 REGULATORY TRUST FUND

691,895-

 691,895-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD SALARIES AND BENEFITS						34F5100 010000
WORKING CAPITAL TRUST FUND-STATE		691,895			691,895	2792 1
=====		=====			=====	
OTHER PERSONAL SERVICES						030000
WORKING CAPITAL TRUST FUND-STATE		20,802			20,802	2792 1
=====		=====			=====	
EXPENSES						040000
WORKING CAPITAL TRUST FUND-STATE		70,547			70,547	2792 1
=====		=====			=====	
OPERATING CAPITAL OUTLAY						060000
WORKING CAPITAL TRUST FUND-STATE		101,497			101,497	2792 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKING CAPITAL TRUST FUND-STATE		224,350			224,350	2792 1
=====		=====			=====	
RISK MANAGEMENT INSURANCE						103241
WORKING CAPITAL TRUST FUND-STATE		1,642			1,642	2792 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKING CAPITAL TRUST FUND-STATE		4,565			4,565	2792 1
=====		=====			=====	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F5100
TOTAL: TRANSFER FROM REGULATORY TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F5100
TOTAL ISSUE.....		1,115,298			1,115,298	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

COL A12		COL A14		COL A15		COL A16		COL A14-A12		
AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
FY 2009-10		FY 2009-10		FY 2009-10		FY 2009-10		FY 2009-10		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO										
SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM REGULATORY TRUST FUND										
TO WORKING CAPITAL TRUST FUND - ADD										34F5100

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2792 WORKING CAPITAL TRUST FUND						691,895

						691,895
						=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND						
- DEDUCT						34F5110
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE		408,615-			408,615-	2725 1
=====		=====			=====	
EXPENSES						040000
TREASURY ADM/INVEST TF -STATE		33,991-			33,991-	2725 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
TREASURY ADM/INVEST TF -STATE		12,322-			12,322-	2725 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
TREASURY ADM/INVEST TF -STATE		2,769-			2,769-	2725 1
=====		=====			=====	
TOTAL: TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND						34F5110
- DEDUCT						
TOTAL ISSUE.....		457,697-			457,697-	
=====		=====			=====	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT						34F5110

Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFORMATION TECHNOLOGY 43010300
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES 34F0000
 TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT 34F5110

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2725 TREASURY ADM/INVEST TF

408,615-

 408,615-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F0000
SALARIES AND BENEFITS						34F5120 010000
WORKING CAPITAL TRUST FUND-STATE		408,615			408,615	2792 1
=====		=====			=====	
EXPENSES						040000
WORKING CAPITAL TRUST FUND-STATE		33,991			33,991	2792 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKING CAPITAL TRUST FUND-STATE		12,322			12,322	2792 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKING CAPITAL TRUST FUND-STATE		2,769			2,769	2792 1
=====		=====			=====	
TOTAL: TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F5120
TOTAL ISSUE.....		457,697			457,697	
=====		=====			=====	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
<u>INFORMATION TECHNOLOGY</u>										43010300
GOV OPERATIONS/SUPPORT										16
<u>INFORMATION TECHNOLOGY</u>										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD										34F0000
										34F5120

Working Capital Trust Fund

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	AMOUNT	

FINANCIAL SERVICES	43000000
PRG: CHIEF FIN OFFICER/ADM	43010000
INFORMATION TECHNOLOGY	43010300
GOV OPERATIONS/SUPPORT	16
INFORMATION TECHNOLOGY	<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES TRANSFER FROM TREASURER'S ADMINISTRATIVE INVESTMENT TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD	34F0000 34F5120

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2792 WORKING CAPITAL TRUST FUND

408,615

 408,615

=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT SALARIES AND BENEFITS						34F5130 010000
WORKERS' COMP ADMIN TF -STATE		1,095,792-			1,095,792-	2795 1
=====		=====			=====	
EXPENSES						040000
WORKERS' COMP ADMIN TF -STATE		306,460-			306,460-	2795 1
=====		=====			=====	
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKERS' COMP ADMIN TF -STATE		432,160-			432,160-	2795 1
=====		=====			=====	
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKERS' COMP ADMIN TF -STATE		7,065-			7,065-	2795 1
=====		=====			=====	
TOTAL: TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT						34F5130
TOTAL ISSUE.....		1,841,477-			1,841,477-	
=====		=====			=====	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes
 Working Capital Trust Fund

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5130

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	

FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
<u>INFORMATION TECHNOLOGY</u>										43010300
<u>GOV OPERATIONS/SUPPORT</u>										16
<u>INFORMATION TECHNOLOGY</u>										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - DEDUCT										34F5130

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS
 OTHER SALARY AMOUNT
 2795 WORKERS' COMP ADMIN TF

1,095,792-

 1,095,792-
 =====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES						34F0000
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD SALARIES AND BENEFITS						34F5140 010000
WORKING CAPITAL TRUST FUND-STATE		1,095,792			1,095,792	2792 1
EXPENSES						040000
WORKING CAPITAL TRUST FUND-STATE		306,460			306,460	2792 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
WORKING CAPITAL TRUST FUND-STATE		432,160			432,160	2792 1
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKING CAPITAL TRUST FUND-STATE		7,065			7,065	2792 1
TOTAL: TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD						34F5140
TOTAL ISSUE.....		1,841,477			1,841,477	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:
 Amended 2009-10 Narrative after February 25, 2009

IT COMPONENT? NO

Trust Fund Realignment Pursuant to section 215.32, Florida Statutes

Working Capital Trust Fund

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES									43000000	
PRG: CHIEF FIN OFFICER/ADM									43010000	
INFORMATION TECHNOLOGY									43010300	
GOV OPERATIONS/SUPPORT									16	
INFORMATION TECHNOLOGY									<u>1603.00.00.00</u>	
TRUST FUND REALIGNMENT PURSUANT TO SECTION 215.32, FLORIDA STATUTES									34F0000	
TRANSFER FROM WORKERS' COMPENSATION ADMINISTRATIVE TRUST FUND TO WORKING CAPITAL TRUST FUND - ADD									34F5140	

This issue moves funding between different trust funds to properly align the appropriations in accordance with the trust fund usage definitions specified in section 215.32, Florida Statutes. This issue is subject to the passage of proposed legislation.

Issue Codes

- 34F5010/34F5020 From Unclaimed Property Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5030/34F5040 From Administrative Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5050/34F5060 From Financial Institutions Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5070/34F5080 From Insurance Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5090/34F5100 From the Regulatory Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5110/34F5120 From the Treasurer's Admin/Investment Trust Fund to Working Capital Trust Fund Deduct/Add
- 34F5130/34F5140 From the Workers' Compensation Administrative Trust Fund to Working Capital Trust Fund Deduct/Add

Summary: This is a new issue

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
TRUST FUND REALIGNMENT PURSUANT TO										
SECTION 215.32, FLORIDA STATUTES										34F0000
TRANSFER FROM WORKERS' COMPENSATION										
ADMINISTRATIVE TRUST FUND TO										
WORKING CAPITAL TRUST FUND - ADD										34F5140

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2792 WORKING CAPITAL TRUST FUND						
						1,095,792

						1,095,792
						=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY						3620000
NETWORK MANAGEMENT TOOLS AND						
MONITORING SOFTWARE TO PROACTIVELY						
MANAGE AND MONITOR PERFORMANCE						36240C0
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
ADMINISTRATIVE TRUST FUND -STATE	732,133				732,133-	2021 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Network Management Tools and Monitoring Software to Pro-actively Manage and Monitor Performance

ISSUE SUMMARY:

The Florida Department of Financial Services (DFS) is requesting \$732,133 for the Division of Information Systems (DIS). This budget request is to purchase network management and monitoring software tools. The DFS network supports several different technologies and a large number of applications. The applications run on numerous operating systems, deployed on a wide range of hardware platforms. These technologies and platforms include the following:

- (1) A large Windows Active Directory Network with approximately 3000 users in over 30 locations connected using a variety of technologies, including Frame Relay, MAN, Point to Point T-1 and LAN networks.
- (2) Approximately 250 Windows File, Print, Application, and Database servers including an Enterprise Microsoft Exchange environment and Microsoft SQL databases deployed throughout the network that support several Windows Based Applications.
- (3) A large Cisco network infrastructure supporting the DFS network include; three Cisco 6509 enterprise level core switches and over 300 infrastructure devices, including WAN routers, Voice Routers, Voice Gateways, Remote Access Appliances, Firewalls and workgroup LAN switches.
- (4) An enterprise Cisco VOIP network infrastructure consisting of eight Call Managers, 3000 IP phones, and Call Centers supporting 400 agents deployed throughout the state.
- (5) A IBM Mainframe environment supporting the State of Florida financial and payroll applications. The IBM platform supports several applications and associated DB2 databases that are accessed by all state agencies using a variety of connection technologies. The DB2 databases are also accessed by several Windows and Web applications that provide services to both internal DFS and external users.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFORMATION TECHNOLOGY										43010300
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY										3620000
NETWORK MANAGEMENT TOOLS AND										
MONITORING SOFTWARE TO PROACTIVELY										
MANAGE AND MONITOR PERFORMANCE										36240C0

(6) Several enterprise level UNIX environments housing a large number of applications and associated Oracle databases. The Oracle databases provide "backend" services for several .Net based Web Application Servers.

(7) Several remote access server appliances and associated technologies including VPN client, and VPN LAN to LAN network connections. The remote access environment is currently supported by a combination of PIX Firewalls, VPN Concentrator, and Cisco Firewall Service Module (FWSM) connections.

(8) Enterprise-level, seven-layer application, switching and load balancing environment including, Cisco firewall, VPN, SSL-Proxy, and content switching hardware modules.

As the DFS network environment grows, and becomes more complex, the need to manage and monitor performance and capacity increases. DFS needs the capability to proactively monitor and manage all aspects of the VOIP system and data systems which includes CPU activity such as memory, storage, and system up-time.

BENEFITS:

The purchase of network management and monitoring software tools will provide management solutions that will enable DFS to simplify IT operations, control costs, reduce risk and provide effective capacity management. The Department is responsible for supporting statewide systems, used by all State Agencies, such as the FLAIR and LAS/PBS systems. Critical applications such as Insurance, Fire Marshal, Treasury, Workers' Compensation, OFR, and OIR require highly skilled staff using the latest technology tools to support and maintain a highly responsive and available IT infrastructure.

These tools will also help correct potential hardware, software, and communication problems before they occur. DIS will be able to look at trends and historical information to determine if there is a need for an upgrade or replacement of equipment before undertaking new projects. The monitoring of all DFS Network activities, including the VOIP phone system, is critical for the efficient and effective management of our network, for reporting on our Long Range Program Plan (LRPP) measures, and for providing customers performance reports on up-times, system availability, etc.

ASSUMPTIONS AND CONSTRAINTS:

The main constraint to completing this project has been lack of funding. DIS submitted this issue for the budget year 2006-2007 for an amount of \$1,232,133. The Legislature approved funding for \$500,000.

DIS believes the remaining budget of \$732,133 will cover the current cost to purchase and install the network management and monitoring software tools.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>INFORMATION TECHNOLOGY</u>						43010300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>INFORMATION TECHNOLOGY</u>						<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY						3620000
NETWORK MANAGEMENT TOOLS AND						
MONITORING SOFTWARE TO PROACTIVELY						
MANAGE AND MONITOR PERFORMANCE						36240C0

Category	Recurring	Non-recurring
	-----	-----
Contracted Services (100777):		
Administrative Trust Fund		
Total	\$732,133	\$700,000
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Issue Total	\$732,133	\$700,000

Amended 2009-10 Narrative after February 25, 2009

This issue has been withdrawn by the Department of Financial Services from consideration by the Legislature for Fiscal Year 2009-10.

Summary: Issue has been removed from consideration at this time.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFORMATION TECHNOLOGY						43010300
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						1603.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS						5400000
SALARY REALIGNMENT - TRUST FUNDS						
ONLY - REALIGN SALARY TO MORE						
CLOSELY ALIGN BUDGET WITH						
EXPENDITURES - ADDBACK						5402000
SALARIES AND BENEFITS						010000
ADMINISTRATIVE TRUST FUND -STATE		238,023			238,023	2021 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2021 ADMINISTRATIVE TRUST FUND							238,023
							238,023

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
CONSUMER ADVOCATE						43010400
GOV OPERATIONS/SUPPORT						16
EXEC LEADERSHIP/SUPPRT SVC						<u>1602.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF APPROPRIATIONS						
BETWEEN CATEGORIES - DEDUCT						1600100
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF						
-STATE		5,000-				5,000- 2393 1

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE:
 Issue Description and Need

IT COMPONENT? NO

The Consumer Advocate Budget Entity of the Department of Financial Services does not currently have recurring budget authority in the Operating Capital Outlay (OCO) category (060000) to provide for the purchase of new and replacement equipment necessary to perform their core functions. The Consumer Advocate is requesting recurring OCO appropriation to cover the purchases necessary for regular operations. The Division is requesting a transfer of \$5,000 from Contracted Services (100777) to OCO (060000) in order to establish this category as a recurring appropriation and provide necessary funds to acquire and replace equipment necessary to perform its core functions.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
CONSUMER ADVOCATE										43010400
GOV OPERATIONS/SUPPORT										16
EXEC LEADERSHIP/SUPPRT SVC										1602.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS										5400000
SALARY REALIGNMENT - TRUST FUNDS										
ONLY - REALIGN SALARY TO MORE										
CLOSELY ALIGN BUDGET WITH										
EXPENDITURES - DEDUCT										5401000
SALARIES AND BENEFITS										010000
INSURANCE REG TF		-STATE	90,656-					90,656-	2393	1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2393 INSURANCE REG TF						90,656-
						90,656-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY SALARIES AND BENEFITS						3612AC0 010000
GENERAL REVENUE FUND -STATE	10.00	5.00			5.00-	
	671,611	340,007			331,604-	1000 1
EXPENSES						040000
GENERAL REVENUE FUND -STATE	121,120	50,560	17,060		70,560-	1000 1
OPERATING CAPITAL OUTLAY						060000
GENERAL REVENUE FUND -STATE		5,000	5,000		5,000	1000 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
GENERAL REVENUE FUND -STATE	673,920				673,920-	1000 1
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE	4,010	2,005			2,005-	1000 1
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY						3612AC0
TOTAL POSITIONS.....	10.00	5.00			5.00-	
TOTAL ISSUE.....	1,470,661	397,572	22,060		1,073,089-	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Required FLAIR Modification due to Internal Revenue Code 3402 Mandate for Three Percent Withholding on Payments for Service and Property After December 2010

Issue Description/Need:

Effective January 1, 2011, the federal government has mandated (Public Law 109-222) that governmental agencies withhold 3% from virtually all vendor payments for goods and services. During the last fiscal year, 105,598 different entities received at least one payment from an agency of the State of Florida and a total of 3,761,193 vendor payments were issued. This federal mandate requires the state to withhold 3% from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

Division of Accounting & Auditing Impact:

Three (3) positions are needed to analyze, research, and develop requirements for modifications to FLAIR to comply with this federal mandate. The new positions will also be the State of Florida's direct interface with the federal government for compliance and ongoing modifications to this new program. It is envisioned that an outreach program with State of Florida vendors will also be necessary to answer questions and work with individual vendors on compliance issues. Once implemented, the additional FTEs will supervise the day-to-day operations of this new requirement. The number of 1099s produced by DFS will increase by five times due to this additional requirement. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law.

For the 2010-2011 fiscal year, it is anticipated that 24 additional FTEs will be necessary to handle all areas of this withholding requirement including the following: phone bank, corrections/resolutions, agency liaisons, IRS liaisons, Form W-9/1099 reporting, and training. A portion of these 24 FTEs will need to be in place by July 2010, with the remainder in place by October 2010 to be trained for the January 1, 2011 implementation. It is estimated that the total costs for these FTEs will be \$1,337,785, which includes \$1,231,897 for recurring costs and \$105,888 for non-recurring costs.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

Due to the lack of sufficient federal guidance, we cannot divert resources from another program area. Failure to fund these positions will result in Florida not being able to meet the federal mandate. Potential fines from the federal government are currently unknown. However, the current fine for not reporting back-up withholding is \$50 per incident. Based on this assumption, if the 3 percent withholding requirement is not implemented for the approximate 100,000 vendors each year (and the related Form 1099s) and the IRS decides to issue fines, the amount could be as high as \$5 million.

As stated above, federal implementation guidance has not yet been published. The Department will continue to work with staff from the Executive Office of the Governor, House and Senate on this issue.

Detail of Costs:

DIVISION OF ACCOUNTING AND AUDITING (43200100)

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Prof Accountant Spec (SES)	1469	424	3	122,847	174,135	37,836	3,000	1,203	216,674
CATEGORY							Amount	Non-Recurring	
Salary and Benefits (010000):									
General Revenue (1000)(1)							\$174,135	\$ 0	
Expenses (040000):									
3 Standard Assessment Package (Professional)							\$ 30,336	\$10,236	
3 Travel for Training							\$ 7,500		
3 Computers							\$ 3,000	\$ 3,000	
General Revenue (1000) (1)									
Total							\$ 40,836	\$13,236	

Special Category:
 Human Resources Services (107040):

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

General Revenue (1000)(1)						
Total				\$ 1,203	\$ 0	

Issue Total (Division of Accounting and Auditing)				\$216,174	\$13,236	

INFORMATION TECHNOLOGY FLAIR INFRASTRUCTURE (43010500)

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Senior SAMAS Analyst	1452	025	1	51,304	68,773	11,112	0	401	80,286
SAMAS Analyst	1450	024	2	89,290	121,868	22,224	0	802	144,894
Systems Project Analyst	2107	024	2	94,577	128,092	27,224	0	802	156,118
Governmental Analyst I	2224	022	1	42,577	58,500	11,112	0	401	70,013
Computer Programmer Analyst II	2103	022	2	81,457	112,648	27,224	0	802	140,674
Business Consultant Manager	P101	999	2	140,142	181,730	22,224	0	802	204,756
Total					499,347	671,611	121,120	4,010	796,741

Rate is calculated at an average of 14% (CC 1452-17%, CC 1450-9%, CC 2107-15%, CC 2224-16%, CC 2103-12%) above the minimum. These classes are hard to fill and must be appropriated at a higher level to allow for the hiring of qualified staff.

CATEGORY	Amount	Non-Recurring
	-----	-----
Salary and Benefits (010000):		
General Revenue (1000)(1)		
Total	\$ 671,611	\$ 0
Expenses (040000):		
10 Computers	\$ 10,000	\$ 10,000
10 Standard Assessment Package (Professional)	\$ 101,120	\$ 34,120

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY						3612AC0
4 Travel for Training				\$ 10,000		
General Revenue (1000)(1)				-----	-----	
Total				\$ 121,120	\$ 44,120	
Special Category						
Contracted Services (100777)						
6 Contract Programmers (1,140 hours x \$108/hour)				\$ 673,920	\$673,920	
General Revenue (1000)(1)				-----	-----	
Total				\$ 673,920	\$673,920	
Human Resources Services (107040):						
General Revenue (1000)(1)				\$ 4,010	\$ 0	
Total				-----	-----	
Issue Total (Information Technology FLAIR Infrastructure)				\$1,470,661	\$718,040	
Department of Financial Services Total Issue				\$1,686,835	\$731,276	

Amended 2009-10 Narrative after February 25, 2009

Issue Description/Need:

Effective January 1, 2012, the federal government has mandated (Public Law 109-222) that governmental agencies withhold 3% from virtually all vendor payments for goods and services. This deadline was extended by the federal stimulus package, "American Recovery and Reinvestment Act of 2009." During the last fiscal year, 105,598 different entities received at least one payment from an agency of the State of Florida and a total of 3,761,193 vendor payments were issued. This federal mandate requires that we withhold 3% from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

State Financial Information and State Agency Accounting

For the 2009-2010 fiscal year, two Professional Accountant Specialists are needed to analyze, research, and develop requirements for modifications to the Florida Accounting Information Resource (FLAIR) system to comply with this federal mandate. In addition, these two positions will be used to plan and coordinate the clean up of vendor information from the State's multiple vendor files with consolidation of data where possible. The clean up of vendor data is critical to the successful implementation of the 3% withholding mandate. The new positions will also be the State of Florida's direct interface with the federal government for compliance and ongoing modifications to this new program. It is envisioned that an outreach program with State of Florida vendors will also be necessary to answer questions and work with individual vendors on compliance issues. Once implemented, the additional positions will supervise the day-to-day operations of this new requirement. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law.

Estimated Costs for Fiscal Year 2009-10

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
2	Professional Accountant Specialists (424)	\$117,112	
Total		\$117,112	

Expenses:

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	

FINANCIAL SERVICES 43000000
 PRG: CHIEF FIN OFFICER/ADM 43010000
 INFO TECHNOLOGY - FLAIR 43010500
 GOV OPERATIONS/SUPPORT 16
 INFORMATION TECHNOLOGY 1603.00.00.00
 STATE ENTERPRISE INFORMATION TECHNOLOGY 3610000
 REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY 3612AC0

Quantity	Description	Amount	Non-Recurring
2	Standard Expenses Package (Professional)(43200100)	\$ 20,224	\$6,824
	Total	\$ 20,224	\$6,824

OCO:

Quantity	Description	Amount	Non-Recurring
2	Standard OCO Package (43200100)	\$2,000	\$2,000
	Total	\$2,000	\$2,000

Transfer to DMS/HR Outsourcing:

Quantity	Description	Amount	Non-Recurring
2	Standard Package (43200100)	\$802	
	Issue Total	\$140,138	\$8,824
	Information Technology	\$397,571	

Estimated Costs Future Years

For the 2010-2011 fiscal year, the department anticipates requesting one additional position in the State Financial Information and State Agency Accounting budget entity to continue to assist Information Technology with the development of the FLAIR modifications. It is estimated that the total costs for the additional position will be \$ 71,000. For the

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10 OVER(UNDER)	AGY FIN REQ FY 2009-10	
AMOUNT		AMOUNT		AMOUNT		AMOUNT		AMOUNT	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

2011 2012 fiscal year, it is anticipated that 24 additional positions will be necessary in the State Financial Information and State Agency Accounting budget entity to handle all areas of this withholding requirement including the following: phone bank, corrections/ resolutions, agency liaisons, IRS liaisons, Form W-9/1099 reporting, and training. A portion of these 24 positions will need to be in place by July 2011, with the remainder in place by October 2011 to be trained for the January 1, 2012 implementation. It is estimated that the total costs for these positions will be approximately \$ \$1.4 million.

Currently, there are 3 positions responsible for issuing approximately 22,000 vendor 1099s and to administer the program, ensure compliance, and handle vendor calls. Under the new withholding requirement, the number 1099s produced by the department could possibly be five times higher than the current amount. Vendor phone calls are also expected to increase significantly. Additional positions will be required to handle the additional workload.

As a comparison, the Bureau of State Payrolls processes approximately 121,000 payrolls/withholdings per period (monthly and bi-weekly payrolls). The Bureau has 32 positions to administer this program, ensure compliance, and handle phone calls.

Information Technology

In order to comply with Public Law 109-222, it is estimated that 4,715 complex FLAIR computer programs must be modified and tested to address the reconciliation of withholdings and subsequent payments to the federal government, preparation of tax deposits, monitoring of agency payments, and increased compliance monitoring. The calculation of costs for initial FLAIR changes is \$397,571. This amount is for five positions, to analyze and design modifications to FLAIR for vendor data consolidation and the 3% withholding requirements and IRS reporting requirements.

Estimated Costs for Fiscal Year 2009-10

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
-----	-----	-----	-----

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

1	Senior SAMAS Analyst (1452)									\$ 68,773
2	SAMAS Analyst (1450)									\$121,868
1	Governmental Analyst I (2224)									\$ 58,500
1	IT Business Consultant Managers									\$ 90,866
	Total									\$340,007

Expenses:	Quantity	Description	Amount	Non-Recurring
	5	Standard Expenses Package (Professional)(43010500)	\$ 50,560	\$17,060

OCO:	Quantity	Description	Amount	Non-Recurring
	5	Standard OCO Package (43010500)	\$5,000	\$5,000

Transfer to DMS/HR Outsourcing:	Quantity	Description	Amount	Non-Recurring
	5	Standard Package (43010500)	\$2,005	

Issue Total \$397,571 \$22,060

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AMOUNT	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

State Financial Information and State Agency Accounting \$140,138
 Information Technology \$397,571

Estimated Costs Future Years

For the 2010 -2011 fiscal year, five additional positions in the Information Technology budget entity will be required for programming the FLAIR modifications for the 3% withholding and IRS reporting requirements. The calculation of cost is approximately \$1.1 million, including \$673,920 for six contractual programmers.

For the 2011-2012 fiscal year, non-recurring costs in the amount of \$673,920 are estimated to cover 6 Contract Programmers.

Potential Agency Impact:

This law will also apply to state disbursements not made currently through FLAIR, such as agency revolving funds. The department will have to work with all state agencies to identify non-FLAIR disbursements and devise a method for implementing the 3% withholding requirement.

Ultimate Outcome:

There are two sets of customers for this project. The first is the federal government. The second is the 100,000 plus vendors doing business with the State of Florida on an annual basis. The mandate to begin the 3% withholding is January 1, 2012. This request would create seven positions as of July 1, 2009, to begin the requirement gathering and design for the 3% withholding mandate as well as the clean-up and consolidation of vendor data associated with this mandate. Failure to fund these positions will prevent the State from making sufficient progress in the clean-up and consolidation of vendor information that is needed for the 3% withholding.

If the State cannot implement by January 1, 2012, potential fines could be assessed. The 3% withholding fines from the federal government are currently unknown. However, the current fine for not reporting back-up withholding is \$50 per incident. Based on this assumption, if the 3 percent withholding requirement is not implemented for the approximate

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10 OVER(UNDER)	AGY FIN REQ FY 2009-10	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

100,000 vendors each year (and the related Form 1099s) and the IRS decides to issue fines, the amount could be as high as \$5 million.

Summary: Reduces the dollars and positions requested for this issue for Fiscal Year 2009-10.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
NEW POSITIONS							
P101 PROPOSED CLASS CODE							
N1017 001	2.00	140,142		41,588	181,730	0.00	181,730
1450 STATE AUTOMATE MGMNT ACCOUNT SYS ANALYST							
N1013 001	2.00	89,290		32,578	121,868	0.00	121,868
1452 SR STATE AUTOMATE MGT ACCT SYSTM ANALYST							
N1012 001	1.00	51,304		17,469	68,773	0.00	68,773
2103 COMPUTER PROGRAMMER ANALYST II							
N1016 001	2.00	81,457		31,191	112,648	0.00	112,648
2107 SYSTEMS PROJECT ANALYST							
N1014 001	2.00	94,577		33,515	128,092	0.00	128,092
2224 GOVERNMENT ANALYST I							
N1015 001	1.00	42,577		15,923	58,500	0.00	58,500

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES										43000000
PRG: CHIEF FIN OFFICER/ADM										43010000
INFO TECHNOLOGY - FLAIR										43010500
GOV OPERATIONS/SUPPORT										16
INFORMATION TECHNOLOGY										<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10						
NEW POSITIONS						
TOTALS FOR ISSUE BY FUND						
1000 GENERAL REVENUE FUND						
10.00	499,347		172,264	671,611		671,611

A14 - AGY AMD REQ FY 2009-10

NEW POSITIONS

P101 PROPOSED CLASS CODE	FTE	BASE RATE	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
N1017 001	1.00	70,072	20,794	90,866	0.00	90,866
1450 STATE AUTOMATE MGMNT ACCOUNT SYS ANALYST						
N1013 001	2.00	89,290	32,578	121,868	0.00	121,868
1452 SR STATE AUTOMATE MGT ACCT SYSTM ANALYST						
N1012 001	1.00	51,304	17,469	68,773	0.00	68,773
2224 GOVERNMENT ANALYST I						
N1015 001	1.00	42,577	15,923	58,500	0.00	58,500

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
INFO TECHNOLOGY - FLAIR						43010500
GOV OPERATIONS/SUPPORT						16
INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
NEW POSITIONS							
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							340,007
	5.00	253,243		86,764	340,007		340,007

TOTAL: INFORMATION TECHNOLOGY							<u>1603.00.00.00</u>
BY FUND TYPE							
GENERAL REVENUE FUND.....	121.00	114.00			7.00-		1000
	13,624,921	12,459,029	22,060			1,165,892-	

=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
DEPOSIT SECURITY						43100200
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
SALARY AND CLASS EQUITY ADJUSTMENTS						5400000
SALARY REALIGNMENT - TRUST FUNDS						
ONLY - REALIGN SALARY TO MORE						
CLOSELY ALIGN BUDGET WITH						
EXPENDITURES - ADDBACK						5402000
SALARIES AND BENEFITS						010000
TREASURY ADM/INVEST TF -STATE		36,944			36,944	2725 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2725 TREASURY ADM/INVEST TF							36,944
							<u>36,944</u>

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
CASH MANAGEMENT SYSTEM FOR TREASURY						
DUE TO SUSPENSION OF ASPIRE PROJECT						36340C0
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
TREASURY ADM/INVEST TF	-STATE	5,000,000	500,000	125,000		4,500,000- 2725 1
=====						

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Issue Title: Cash Management System for Treasury

ISSUE SUMMARY

The Division of Treasury, Bureau of Funds Management (Bureau) is required by statute to manage the receipt, investment and paying activity for state operating funds. For fiscal year (FY) 07-08, more than \$66 billion of state funds were processed using the Cash Management System (CMS). The replacement of CMS has been actively pursued since 2002. Business decisions to suspend an ERP solution and subsequent decisions to not pursue implementation of PeopleSoft's Treasury modules have precluded the successful completion of these efforts.

CMS functionality does not provide an automated general ledger to record the assets and liabilities of the Bureau. The Bureau's accounting section must manually prepare a monthly trial balance using information from six of the Bureau's system applications. In prior years, the Auditor General has provided management comments regarding this issue.

Recent audits have documented system security deficiencies so egregious as to require confidential audit findings. With the July 2008 transitions from the Microsoft (MS) Windows 98 operating system, the Division of Information Systems (DIS) has completed those tasks identified in audit findings that are within their ability to correct short of total system replacement.

From January - August of 2008, Bureau staff identified forty three (43) data errors requiring direct update to various databases by DIS support staff to correct out-of-balance conditions within the CMS. Each update requires manual intervention in the application involved.

Because each CMS application was independently designed and developed there is a limited shared data structure. CMS users must perform separate data entry and validation procedures in most of the fourteen (14) CMS applications. This limits the time available for FM resources to perform data analysis and reporting.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
CASH MANAGEMENT SYSTEM FOR TREASURY						
DUE TO SUSPENSION OF ASPIRE PROJECT						36340C0

This is an estimated cost. The amount may be lower.

Detail of Costs:

Category	Amount	Non-Recurring
FY 09-10		
Contracted Services (100777)		
Treasurer Administrative Trust Fund (2725) (1)		
Total		\$5,000,000 \$ 0
-----		-----
Issue Total		\$5,000,000 \$ 0

Amended 2009-10 Narrative after February 25, 2009

Reference to Long-Range Program Plan:

This issue will support the department in meeting Goal 1 (The department will be a vigilant steward of the State's and its people's resources.) in the Long Range Program Plan. It is the intent of the Bureau of Funds Management, within the Division of Treasury, to develop and implement an automated general ledger system and to remedy audit concerns expressed by the Office of the Auditor General for current Cash Management Service application issues.

ISSUE SUMMARY:

The Department of Financial Services request \$500,000 to replace Cash Management System (CMS) applications for the Bureau of Funds Management within the Division of Treasury. This request will provide for the acquisition of a Treasury Management System developed to support existing functional requirements with a commercial off-the-shelf (COTS) system solution. The amount of the request represents the estimated costs for fiscal year 2009-10.

This issue is needed to: (1) reduce the risk of materially inaccurate information being produced and provided to external users and/or fraud occurring, (2) increase staff efficiencies and performance, (3) remedy audit concerns, and (4) create an automated general ledger to record the assets and liabilities of the bureau.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
CASH MANAGEMENT SYSTEM FOR TREASURY						
DUE TO SUSPENSION OF ASPIRE PROJECT						36340C0

Some impacts of not funding the project are:

Key functional requirements are missing from the CMS. The missing functional requirements include accounting general ledger, automated bank deposit verification and bank reconciliation, system-wide reporting, and data repository for monitoring agency revenue and services information.

Audit findings and internal analysis have identified security issues inherent in the design of the CMS systems. The only way to fully mitigate these audit findings is system replacement or rewrite. DIS estimates a minimum of two years to rewrite the existing applications with the current functionality. To redesign and develop the CMS system to include the missing functionality would require a minimum of two years with significant staff augmentation.

The design of the CMS system spreads applications across several database platforms and software languages. In addition, data structures vary between applications. Failure to mitigate these discrepancies makes the eventual move to an enterprise ERP a more difficult task.

The functionality of the CMS has not kept pace with the changing organization it was developed to support. Many requirements not covered by CMS functionality occur manually outside the system with little system control.

Excessive time and effort is required to understand and monitor the effects of application changes.

Personnel are required to hand off output from their particular application to other staff members, who, in some cases must reenter data into other application data tables or other management tools before the next related operation can begin.

Duplicate information is stored in the independent applications. It is therefore necessary to reconcile one application to another as well as cross-reference identical fields for reporting purposes.

With multiple applications, limited personnel have an understanding of the entire system and the related processes. Loss of key personnel could reduce the knowledge base of the system and its related processes.

Due to the applications being "silo" driven, system wide reporting is not available. Without system-wide reporting, whether related to predefined reports or query tools, the Bureau cannot produce reports easily or timely as requested by agency customers, management, and Capitol stakeholders for decision support.

The Bureau cannot move toward a paperless operation due to the reliance on printed reports and manual effort to reconcile

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
CASH MANAGEMENT SYSTEM FOR TREASURY						
DUE TO SUSPENSION OF ASPIRE PROJECT						36340C0

Volatile market conditions require critical resource limitations on a frequent basis.

Tight state revenue streams will significantly affect the ability for the state to finance project change orders.

IT SERVICE OR SYSTEM THE SOLUTION CREATES, REPLACES, ENHANCES OR ELIMINATIONS.

The functionality of the existing Cash Management System (CMS) is achieved through fourteen applications used for inter-related processes in the operation of the Bureau. These applications were developed separately between 1984 and 2002. Since August 2002, instead of using technical and Bureau resources to make functional or technical changes to the existing system, the Treasury focused on replacing the CMS through the development and implementation of the Aspire Project. This effort stalled in May 2007, when the Aspire Project was suspended.

The disconnected development effort resulted in database platforms that varied among applications and the use of multiple software programming languages. In addition, the CMS applications are independently "silo" driven, while their processes interrelate with each other, Florida Accounting Information Resource (FLAIR), various contracted banks, and customers of the Treasury.

Business Objectives

1. Address security deficiencies in current systems that led to confidential audit findings.
2. Enable responsive IT support by elimination of the current configuration of 14 independently developed applications with the implementation of a single database to support Funds Management processes and ease the future transition to an ERP solution.
3. Eliminate duplicative data entry, and resultant manual reconciliation, by storing information one time and sharing common data across all Funds Management applications.
4. Implement an automated general ledger to record assets and liabilities of the Bureau of Funds Management.
5. Implement ad hoc reporting tools to provide timely access to information as needed to meet management and stakeholder

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
CASH MANAGEMENT SYSTEM FOR TREASURY						
DUE TO SUSPENSION OF ASPIRE PROJECT						36340C0

requests.

6. Implement the chosen solution within one calendar year from contract signing.
7. Eliminate scheduled manual processes that take place outside current CMS applications. (Excel Spreadsheets)

IMPLEMENTATION APPROACH

Business Solution Alternatives

1. In-house Development: Current Division of Information Systems (DIS) resources have detailed knowledge of existing systems and the limitations that need to be addressed. DIS resources would need to be augmented with consultants to develop a systems approach solution. This solution provides custom development to current business practices, lessening the impact to Treasury resources. DIS estimates that this alternative would require a minimum of two years to complete with significant staff augmentation.
2. Security Focused System Rewrite: This solution will only address the inherent security issues with Microsoft Access applications. This would require a complete rewrite of the applications on the most current standard DIS development platform with no enhancements or database redesign. This approach does not address the current requested business improvements such as general ledger, enhanced reporting capabilities and relational databases. DIS estimates this effort would take two or more years to achieve.
3. Commercial-Off-The-Shelf (COTS): Funds Management and DIS staff evaluated business solutions implemented by DFS, Department of Revenue, other state treasuries, and commercially available products. It was determined that viable COTS packages are available that meet all of the Treasury's business requirements. Additionally, COTS solutions meet security, disaster recovery and reporting requirements. COTS packages offer the flexibility of hosting applications and one-year implementation schedules.

Rationale for Selection

1. Functional Requirements Met
2. Implementation Timeline
3. Cost
4. Supportability

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: TREASURY						43100000
<u>ST FUNDS MGT & INVESTMENT</u>						43100300
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>GOVERNMENTAL OPERATIONS</u>						<u>1601.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
CASH MANAGEMENT SYSTEM FOR TREASURY						
DUE TO SUSPENSION OF ASPIRE PROJECT						36340C0

Recommended Business Solution

With the economic reality of limited funds and the need for a timely implementation, a COTS solution is the best fit for Treasury. Treasury evaluated several COTS packages on their ability to meet Funds Management's functional requirements. Each provided significant improvements over the current CMS applications. The COTS vendors evaluated committed to fully implement their systems within one year of contract signing.

IMPLEMENTATION TIMELINE

A high-level project schedule is as follows:

Develop ITN document for selection of COTS solution	January	March 2009
Advertise ITN and receive responses	March	May 2009
Begin vendor negotiations	May	June 2009
Select vendor and negotiate contract	June	2009
Plan and Execute Project	July 2009	March 2010
Complete User Acceptance Testing	April	2010
Deploy Product	May	2010
Operate and Maintain System	May	June 2010

ESTIMATED COSTS

It is estimated that the total project costs in fiscal year (FY) 2009-10 will be \$500,000 for contractual services. Further, it is estimated that total project cost will be \$375,000 for contractual services in FY 2010-11 and for each FY thereafter for a maximum of five years.

Estimated total project costs for all years equal \$2,000,000.

Category Title: Contracted Services			
Quantity	Description	Amount	Non-Recurring
-----	-----	-----	-----
	Cash Management System	500,000	125,000

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES									43000000	
PROGRAM: TREASURY									43100000	
<u>ST FUNDS MGT & INVESTMENT</u>									43100300	
GOV OPERATIONS/SUPPORT									16	
<u>GOVERNMENTAL OPERATIONS</u>									<u>1601.00.00.00</u>	
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY									3630000	
CASH MANAGEMENT SYSTEM FOR TREASURY										
DUE TO SUSPENSION OF ASPIRE PROJECT									36340C0	

Summary: The dollar amount for this issue has been revised from \$5,000,000 placeholder used in the October submission to \$500,000 for fiscal year 2009-10. The narrative has been updated to reflect the alternatives reviewed and justification for an off the shelf COTS package.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES										43000000
PROGRAM: TREASURY										43100000
ST FUNDS MGT & INVESTMENT										43100300
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS										5400000
SALARY REALIGNMENT - TRUST FUNDS										
ONLY - REALIGN SALARY TO MORE										
CLOSELY ALIGN BUDGET WITH										
EXPENDITURES - ADDBACK										5402000
SALARIES AND BENEFITS										010000
TREASURY ADM/INVEST TF		-STATE		60,656				60,656		2725 1

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2725 TREASURY ADM/INVEST TF						60,656
						60,656

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0
SALARIES AND BENEFITS						010000
GENERAL REVENUE FUND -STATE	3.00	174,135	2.00	117,111	1.00-	57,024-
						1000 1
EXPENSES						040000
GENERAL REVENUE FUND -STATE		40,836		20,224		6,824
						20,612-
						1000 1
OPERATING CAPITAL OUTLAY						060000
GENERAL REVENUE FUND -STATE				2,000		2,000
						1000 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
GENERAL REVENUE FUND -STATE		1,203		802		401-
						1000 1
TOTAL: REQUIRED FLAIR MODIFICATION DUE TO						3612AC0
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						
TOTAL POSITIONS.....	3.00		2.00		1.00-	
TOTAL ISSUE.....		216,174		140,137		8,824
						76,037-

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Required FLAIR Modification due to Internal Revenue Code 3402 Mandate for Three Percent Withholding on
 Payments for Service and Property After December 2010

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FIN ACCT/PUBLIC FUNDS										43200000
ST FINAN INFO/ST AGY ACCTG										43200100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION										
TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO										
INTERNAL REVENUE CODE 3402 MANDATE										
OF THREE PERCENT WITHHOLDING ON										
PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

Issue Description/Need:

Effective January 1, 2011, the federal government has mandated (Public Law 109-222) that governmental agencies withhold 3% from virtually all vendor payments for goods and services. During the last fiscal year, 105,598 different entities received at least one payment from an agency of the State of Florida and a total of 3,761,193 vendor payments were issued. This federal mandate requires the state to withhold 3% from each payment (i.e., identify the applicable payments, calculate the withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

Division of Accounting & Auditing Impact:

Three (3) positions are needed to analyze, research, and develop requirements for modifications to FLAIR to comply with this federal mandate. The new positions will also be the State of Florida's direct interface with the federal government for compliance and ongoing modifications to this new program. It is envisioned that an outreach program with State of Florida vendors will also be necessary to answer questions and work with individual vendors on compliance issues. Once implemented, the additional FTEs will supervise the day-to-day operations of this new requirement. The number of 1099s produced by DFS will increase by five times due to this additional requirement. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law.

For the 2010-2011 fiscal year, it is anticipated that 24 additional FTEs will be necessary to handle all areas of this withholding requirement including the following: phone bank, corrections/resolutions, agency liaisons, IRS liaisons, Form W-9/1099 reporting, and training. A portion of these 24 FTEs will need to be in place by July 2010, with the remainder in place by October 2010 to be trained for the January 1, 2011 implementation. It is estimated that the total costs for these FTEs will be \$1,337,785, which includes \$1,231,897 for recurring costs and \$105,888 for non-recurring costs.

Current 1099 Statistics:

22,000 forms issued each year
 3 FTEs to administer program, compliance and handle vendor calls.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

As stated, federal implementation guidance has not yet been published. The Department will continue to work with staff from the Executive Office of the Governor, House and Senate on this issue.

Detail of Costs:

DIVISION OF ACCOUNTING AND AUDITING (43200100)

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Prof Accountant Spec (SES)	1469	424	3	122,847	174,135	37,836	3,000	1,203	216,674

CATEGORY	Amount	Non-Recurring
Salary and Benefits (010000):		
General Revenue (1000)(1)	\$174,135	\$ 0
Expenses (040000):		
3 Standard Assessment Package (Professional)	\$ 30,336	\$10,236
3 Travel for Training	\$ 7,500	
3 Computers	\$ 3,000	\$ 3,000
General Revenue (1000) (1)		
-----	-----	-----
Total	\$ 40,836	\$13,236

Special Category:

Human Resources Services (107040):		
General Revenue (1000)(1)		
Total	\$ 1,203	\$ 0
-----	-----	-----
Issue Total (Division of Accounting and Auditing	\$216,174	\$13,236

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

INFORMATION TECHNOLOGY FLAIR INFRASTRUCTURE (43010500)

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Senior SAMAS Analyst	1452	025	1	51,304	68,773	11,112	0	401	80,286
SAMAS Analyst	1450	024	2	89,290	121,868	22,224	0	802	144,894
Systems Project Analyst	2107	024	2	94,577	128,092	27,224	0	802	156,118
Governmental Analyst I	2224	022	1	42,577	58,500	11,112	0	401	70,013
Computer Programmer Analyst II	2103	022	2	81,457	112,648	27,224	0	802	140,674
Business Consultant Manager	P101	999	2	140,142	181,730	22,224	0	802	204,756
Total				499,347	671,611	121,120		4,010	796,741

Rate is calculated at an average of 14% (CC 1452-17%, CC 1450-9%, CC 2107-15%, CC 2224-16%, CC 2103-12%) above the minimum. These classes are hard to fill and must be appropriated at a higher level to allow for the hiring of qualified staff.

CATEGORY	Amount	Non-Recurring
Salary and Benefits (010000):		
General Revenue (1000)(1)		
Total	\$ 671,611	\$ 0
Expenses (040000):		
10 Computers	\$ 10,000	\$ 10,000
10 Standard Assessment Package (Professional)	\$ 101,120	\$ 34,120
4 Travel for Training	\$ 10,000	
General Revenue (1000)(1)		
Total	\$ 121,120	\$ 44,120

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

withholding, and disburse the net amount to the vendor), build a system to report the amount and periodically remit funds to the IRS. This process will be similar to the current process for withholding and remitting payroll funds. All governmental entities which make \$100 million or more in vendor payments a year must implement this requirement. Therefore, state and local governments all over the country are being impacted by this requirement.

State Financial Information and State Agency Accounting

For the 2009-2010 fiscal year, two Professional Accountant Specialists are needed to analyze, research, and develop requirements for modifications to the Florida Accounting Information Resource (FLAIR) system to comply with this federal mandate. In addition, these two positions will be used to plan and coordinate the clean up of vendor information from the State's multiple vendor files with consolidation of data where possible. The clean up of vendor data is critical to the successful implementation of the 3% withholding mandate. The new positions will also be the State of Florida's direct interface with the federal government for compliance and ongoing modifications to this new program. It is envisioned that an outreach program with State of Florida vendors will also be necessary to answer questions and work with individual vendors on compliance issues. Once implemented, the additional positions will supervise the day-to-day operations of this new requirement. In addition, the complexity of vendor calls will increase significantly as the process changes from a year-end reporting process to a daily vendor payment withholding process. A significant number of vendor calls is anticipated upon implementation because payments will not match invoices. There will also be confusion over which payments are subject to the new law.

Estimated Costs for Fiscal Year 2009-10

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
-----	-----	-----	-----
2	Professional Accountant Specialists (424)	\$117,111	
	Total	\$117,111	

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

Expenses:

Quantity	Description	Amount	Non-Recurring
2	Standard Expenses Package (Professional)(43200100)	\$ 20,224	\$6,824
	Total	\$ 20,224	\$6,824

OCO:

Quantity	Description	Amount	Non-Recurring
2	Standard OCO Package (43200100)	\$2,000	\$2,000
	Total	\$2,000	\$2,000

Transfer to DMS/HR Outsourcing:

Quantity	Description	Amount	Non-Recurring
2	Standard Package (43200100)	\$ 802	
	Issue Total	\$140,137	\$8,824
	Information Technology	\$397,571	

Estimated Costs Future Years

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FIN ACCT/PUBLIC FUNDS										43200000
ST FINAN INFO/ST AGY ACCTG										43200100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO INTERNAL REVENUE CODE 3402 MANDATE OF THREE PERCENT WITHHOLDING ON PAYMENTS FOR SERVICE AND PROPERTY										3612AC0

For the 2010-2011 fiscal year, the department anticipates requesting one additional position in the State Financial Information and State Agency Accounting budget entity to continue to assist Information Technology with the development of the FLAIR modifications. It is estimated that the total costs for the additional position will be \$ \$71,000. For the 2011 2012 fiscal year, it is anticipated that 24 additional positions will be necessary in the State Financial Information and State Agency Accounting budget entity to handle all areas of this withholding requirement including the following: phone bank, corrections/ resolutions, agency liaisons, IRS liaisons, Form W-9/1099 reporting, and training. A portion of these 24 positions will need to be in place by July 2011, with the remainder in place by October 2011 to be trained for the January 1, 2012 implementation. It is estimated that the total costs for these positions will be approximately \$ \$1.4 million.

Currently, there are 3 positions responsible for issuing approximately 22,000 vendor 1099s and to administer the program, ensure compliance, and handle vendor calls. Under the new withholding requirement, the number 1099s produced by the department could possibly be five times higher than the current amount. Vendor phone calls are also expected to increase significantly. Additional positions will be required to handle the additional workload.

As a comparison, the Bureau of State Payrolls processes approximately 121,000 payrolls/withholdings per period (monthly and bi-weekly payrolls). The Bureau has 32 positions to administer this program, ensure compliance, and handle phone calls.

Information Technology

In order to comply with Public Law 109-222, it is estimated that 4,715 complex FLAIR computer programs must be modified and tested to address the reconciliation of withholdings and subsequent payments to the federal government, preparation of tax deposits, monitoring of agency payments, and increased compliance monitoring. The calculation of costs for initial FLAIR changes is \$397,571. This amount is for five positions, to analyze and design modifications to FLAIR for vendor data consolidation and the 3% withholding requirements and IRS reporting requirements.

Estimated Costs for Fiscal Year 2009-10

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

Salaries and Benefits:

Position	Title & Pay Grade	Amount	Non-Recurring
1	Senior SAMAS Analyst (1452)	\$ 68,773	
2	SAMAS Analyst (1450)	\$121,868	
1	Governmental Analyst I (2224)	\$ 58,500	
1	IT Business Consultant Managers	\$ 90,866	
	Total	\$340,007	

Expenses:

Quantity	Description	Amount	Non-Recurring
5	Standard Expenses Package (Professional)(43010500)	\$ 50,560	\$17,060

OCO:

Quantity	Description	Amount	Non-Recurring
5	Standard OCO Package (43010500)	\$5,000	\$5,000

Transfer to DMS/HR Outsourcing:

Quantity	Description	Amount	Non-Recurring
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COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY FIN REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FIN ACCT/PUBLIC FUNDS										43200000
ST FINAN INFO/ST AGY ACCTG										43200100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION										
TECHNOLOGY										3610000
REQUIRED FLAIR MODIFICATION DUE TO										
INTERNAL REVENUE CODE 3402 MANDATE										
OF THREE PERCENT WITHHOLDING ON										
PAYMENTS FOR SERVICE AND PROPERTY										3612AC0
5	Standard Package (43010500)						\$2,005			
	Issue Total						\$397,571		\$22,060	
	State Financial Information and State Agency Accounting						\$140,138			
	Information Technology						\$397,571			

Estimated Costs Future Years

For the 2010 -2011 fiscal year, five additional positions in the Information Technology budget entity will be required for programming the FLAIR modifications for the 3% withholding and IRS reporting requirements. The calculation of cost is approximately \$1.1 million, including \$673,920 for six contractual programmers.

For the 2011-2012 fiscal year, non-recurring costs in the amount of \$673,920 are estimated to cover 6 Contract Programmers.

Potential Agency Impact:

This law will also apply to state disbursements not made currently through FLAIR, such as agency revolving funds. The department will have to work with all state agencies to identify non-FLAIR disbursements and devise a method for implementing the 3% withholding requirement.

Ultimate Outcome:

There are two sets of customers for this project. The first is the federal government. The second is the 100,000 plus vendors doing business with the State of Florida on an annual basis. The mandate to begin the 3% withholding is January 1, 2012. This request would create seven positions as of July 1, 2009, to begin the requirement gathering and design for the 3% withholding mandate as well as the clean-up and consolidation of vendor data associated with this mandate. Failure to fund these positions will prevent the State from making sufficient progress in the clean-up and consolidation of vendor information that is needed for the 3% withholding.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

If the State cannot implement by January 1, 2012, potential fines could be assessed. The 3% withholding fines from the federal government are currently unknown. However, the current fine for not reporting back-up withholding is \$50 per incident. Based on this assumption, if the 3 percent withholding requirement is not implemented for the approximate 100,000 vendors each year (and the related Form 1099s) and the IRS decides to issue fines, the amount could be as high as \$5 million.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
NEW POSITIONS							
1469 PROFESSIONAL ACCOUNTANT SPECIALIST - SES							
N0008 001	3.00	122,847		51,288	174,135	0.00	174,135
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							174,135
	3.00	122,847		51,288	174,135		174,135

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION						
TECHNOLOGY						3610000
REQUIRED FLAIR MODIFICATION DUE TO						
INTERNAL REVENUE CODE 3402 MANDATE						
OF THREE PERCENT WITHHOLDING ON						
PAYMENTS FOR SERVICE AND PROPERTY						3612AC0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
NEW POSITIONS							
1469 PROFESSIONAL ACCOUNTANT SPECIALIST - SES							
N0008 001	2.00	82,764		34,347	117,111	0.00	117,111
TOTALS FOR ISSUE BY FUND							
1000 GENERAL REVENUE FUND							117,111
	2.00	82,764		34,347	117,111		117,111

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
COMPLIANCE & ENFORCEMENT						43300200
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS						5400000
SALARY REALIGNMENT - TRUST FUNDS						
ONLY - REALIGN SALARY TO MORE						
CLOSELY ALIGN BUDGET WITH						
EXPENDITURES - ADDBACK						5402000
SALARIES AND BENEFITS						010000
INSURANCE REG TF						
-STATE		846				846 2393 1

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							846
							846

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE & ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
FIRE MARSHAL - STRUCTURED PAY						
COMPRESSION FOR RETENTION						4001A20
SALARIES AND BENEFITS						010000
INSURANCE REG TF						
	-STATE	334,923				334,923- 2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Fire Marshal - Structured Pay Compression for Retention

Issue Description/Need:

The Bureau of Fire and Arson is requesting \$334,923 in salaries and benefits for 101 Law Enforcement Officers. These funds will be used to provide salary increases for the retention of these officers. The Bureau of Fire and Arson Investigations of the Division of State Fire Marshal, by Statute, is charged to effectively prevent, discourage, investigate, and prosecute arson and arson-related crimes for the protection of Florida citizens and their property. Through the state's Mutual Aid Act, the Bureau assists local, county, state, and federal law enforcement agencies with natural and man made disasters and most recently domestic security issues.

The mission of the Bureau is a highly specialized area of Law Enforcement investigation which requires investigative experience and a high level of scientific knowledge and ability. The work is difficult and exposes the Bureaus sworn personnel to life and health endangering environments on a daily basis. Maintaining a viable workforce to handle calls for service as well as responding to requests for assistance by local fire and law enforcement agencies is a priority for the Bureau. The Bureau consists of 105 sworn law enforcement officers. The loss of 10 positions in a fiscal year represents the loss of just under 10% of the experience. The Bureau has been conducting back-to-back advertisements and vacancy announcements to keep our vacancies to a minimum for the past several years; however, losses of veteran officers have negated much of the impact of our aggressive hiring effort. These trained and experienced officers are not leaving because of dissatisfaction with the Bureau, but rather are receiving higher salaries with municipal, county, federal law enforcement agencies and private fire and explosion investigation entities. In fact, many of these agencies are actively recruiting the Bureau's trained and experienced officers.

The majority of the exit interviews conducted when officers resign clearly indicate the reason for leaving as a need for higher pay. It is not uncommon for officers with five or more years of service to leave for immediate annual raises of \$5,000 or more. The trend in increasing salaries by outside law enforcement agencies and civilian agencies within the state indicates that there will likely be an increase in the number of officers leaving the Bureau. In addition, the Bureau staffs its regional operations by assigning detectives to the county represented by customer demands for investigations. This requires detectives to reside in the county of their assignment.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE & ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
FIRE MARSHAL - STRUCTURED PAY						
COMPRESSION FOR RETENTION						4001A20

Significant salary inequities exist when comparing recently employed officer's salaries (1- 4 years) with those of veteran officers (5+ years). Many officers who have years of experience with the Division (up to 10 years) are paid the same amount as officers just hired. Adequate compensation for years of experience is necessary in any profession, especially law enforcement. Due to our Bureau's diverse and complex role, the need to retain experienced enforcement officers is critical, because it takes several years to reach a level of maximum proficiency. Competing agencies seek and recruit our officers often just as they reach optimum performance levels. The bureau has become a training ground for many local, federal and civilian agencies.

Departures by Calendar Year

YEAR	# SEPERATED	YEARS OF SERVICE
2003	7	0-10
	1	11-20
2004	3	0-10
2005	5	0-10
2006	5	0-10
	1	11-20
2007	2	0-10
2008	2	1-10
TOTAL	26	

To help resolve the exodus of officers to other law enforcement agencies for higher paying jobs, the following retention salary program for the Bureau's sworn employees is proposed:

****Law Enforcement Differentials****

Years of Service	Detective	Lieutenant	Captain
0-4 Yrs	\$0	\$4,799	\$6,796
5-9 Yrs	\$4,268	\$4,799	\$6,796
10-14 Yrs	\$4,268	\$4,799	\$6,796
15-19 Yrs	\$4,268	\$4,799	\$6,796
20-24 Yrs	\$4,268	\$4,799	\$6,796
25-29 Yrs	\$4,268	\$4,799	\$6,796

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES									43000000	
PROGRAM: FIRE MARSHAL									43300000	
FIRE & ARSON INVESTIGATION									43300300	
PUBLIC PROTECTION									12	
LAW ENFORCEMENT									1202.00.00.00	
PROGRAM ISSUES									4000000	
FIRE MARSHAL - STRUCTURED PAY										
COMPRESSION FOR RETENTION									4001A20	

The recruitment and retention salary program will result in the application of an adjustment to the salaries for all sworn employees with five or more years of service. The proposal implements a recruitment and retention salary program based on equal divisions between minimum and maximum salary for each class. These divisions are also based on time served with the agency from 1 year to 30 years. Upon implementation of this plan, current sworn personnel will receive a salary adjustment commensurate with their years of service to put them in line with the appropriate program class. Future state employee raises will adjust the minimum and maximum class ranges and the program class will adjust themselves. Under this proposal, sworn personnel will receive the appropriate salary adjustments upon attainment of the threshold years of service stated in the plan (5, 10, 15, 20, 25 & 30 years).

This plan establishes a fair and equitable salary retention program for trained and experienced employees. The program rewards sworn employees for continued performance for the State of Florida and the Bureau. This will help to reduce the exodus of trained and experienced officers to other agencies, as well as opportunities in the private sector and gives a new employee a projected income throughout a career.

EXPERIENCE LEVELS OF BFAI

Years of Service	# LE	% LE	Dollars	Required per Level
0-4 Yrs	48	46%		\$47,981
5-9 Yrs	24	23%		\$84,974
10-14 Yrs	18	17%		\$104,481
15-19 Yrs	10	10%		\$86,100
20-24 Yrs	3	3%		\$9,579
25-29 Yrs	2	2%		\$1,809
30 + Yrs	0	0%		\$0
Total	105	100%		\$334,923

Ultimate Outcome:

The retention of experienced offices would improve the agency's ability to effectively prevent, discourage, investigate, and prosecute arson and arson-related crimes for the protection of Florida citizens and their property. It is also anticipated that there would be a corresponding reduction in initial training costs because of a higher retention rate.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE & ARSON INVESTIGATION</u>						43300300
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
FIRE MARSHAL - STRUCTURED PAY						
COMPRESSION FOR RETENTION						4001A20

Detail of Costs:

	Amount	Non-Recurring
	-----	-----
Salaries and Benefits (010000)		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$334,923	\$ 0
	=====	=====
Total	\$334,923	
Issue Total	\$334,923	\$ 0

Amended 2009-10 Narrative after February 25, 2009

This issue has been withdrawn by the department of Financial Services from consideration by the Legislature for Fiscal Year 2009-10.

Summary: Issue has been removed from consideration at this time.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES										43000000
PROGRAM: FIRE MARSHAL										43300000
FIRE & ARSON INVESTIGATION										43300300
PUBLIC PROTECTION										12
LAW ENFORCEMENT										<u>1202.00.00.00</u>
PROGRAM ISSUES										4000000
FIRE MARSHAL - STRUCTURED PAY										
COMPRESSION FOR RETENTION										4001A20

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS						
C3000 001	0.00	334,923		334,923	0.00	334,923
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						
0.00		334,923		334,923		334,923

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE & ARSON INVESTIGATION						43300300
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS						5400000
SALARY REALIGNMENT - TRUST FUNDS						
ONLY - REALIGN SALARY TO MORE						
CLOSELY ALIGN BUDGET WITH						
EXPENDITURES - DEDUCT						5401000
SALARIES AND BENEFITS						010000
INSURANCE REG TF						
-STATE		139,064-				139,064- 2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A14 - AGY AMD REQ FY 2009-10

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							139,064-

							139,064-
							=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
PROF TRAINING & STANDARDS						43300400
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS						5400000
SALARY REALIGNMENT - TRUST FUNDS						
ONLY - REALIGN SALARY TO MORE						
CLOSELY ALIGN BUDGET WITH						
EXPENDITURES - ADDBACK						5402000
SALARIES AND BENEFITS						010000
INSURANCE REG TF						
-STATE		12,223				12,223 2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2393 INSURANCE REG TF							12,223
							12,223

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						1202.00.00.00
PROGRAM ISSUES						4000000
SALARY PARITY WITH FLORIDA						
DEPARTMENT OF LAW ENFORCEMENT LAB						
SCIENTISTS						4001A30
SALARIES AND BENEFITS						010000
INSURANCE REG TF						
-STATE	45,871				45,871-	2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Salary Parity with Florida Department of Law Enforcement Lab Scientists

Issue Description/Need:

The salaries of seven Bureau Analysts and Senior Analysts, in the Bureau of Forensic Fire and Explosives Analysis, lag behind the average salaries of their counterparts at Florida Department of Law Enforcement (FDLE) (with similar positions and time in service). The calculations are based on the responses to surveys received from FDLE Regional Operations Centers in Tallahassee and Pensacola in 2003 and 2005. This disparity leads to morale issues which have the potential to degenerate into loss of analytical personnel. Any loss of analytical personnel will not be easily replaced. New analysts will require a minimum of six months to one year training before being allowed to work forensic cases/samples. For the Analysts in the chemistry section (4 total), the loss of one will require the remaining three analysts to cover the workload and also provide training to the replacement. This will reduce the quality and timeliness of completion of casework. Overall, the loss and replacement of just one analyst in the chemistry section will reduce the available workforce by 25%. For the imaging section there is only one analyst. Should this individual leave, the ability of the Bureau to provide Forensic Video analysis would be eliminated until the vacant position could be filled and the new person trained. Any reduction in the analytical workforce will have a deleterious effect on the turnaround time for processing samples especially if additional effort is required to keep quality from being compromised as well.

Ultimate Outcome: Achieving Salary Parity with Florida Department of Law Enforcement (FDLE), which is the only other State Forensic Laboratory in the vicinity utilizing the classifications of Crime Laboratory Analyst and Senior Crime Laboratory Analyst, ensures that current personnel will not seek to transfer to higher paying positions at FDLE or other agencies.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Salaries and Benefits (010000):		

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
SALARY PARITY WITH FLORIDA						
DEPARTMENT OF LAW ENFORCEMENT LAB						
SCIENTISTS						4001A30
Insurance Regulatory Trust Fund (2393) (1)						
Total				\$45,871	\$ 0	
-----				-----	-----	
Issue Total				\$45,871	\$ 0	

Amended 2009-10 Narrative after February 25, 2009

This issue has been withdrawn by the Department of Financial Services from consideration by the Legislature for the 2009-2010 Fiscal Year.

Summary: Issue has been removed from consideration at this time.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C1001 001	0.00	45,871			45,871	0.00	45,871
-----					-----		-----

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
FIRE MRSHL ADMN & SUP SRVS						43300500
PUBLIC PROTECTION						12
LAW ENFORCEMENT						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
SALARY PARITY WITH FLORIDA						
DEPARTMENT OF LAW ENFORCEMENT LAB						
SCIENTISTS						4001A30

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							45,871
	0.00	45,871			45,871		45,871

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
WORKLOAD						3000000
PURCHASE EXCESS PROPERTY INSURANCE, WORKERS' COMPENSATION ASSESSMENT, BROKER FEES TO ACCOMMODATE ANNUAL SPENDING LEVELS						3001220

consultative services, and to pay the annual operating costs for the Department's risk management information system. If this issue is not funded, the Department may be forced to purchase less excess property insurance in FY 2009-2010 than what is considered appropriate due to the current spending authority provided. In addition, if this issue is not funded, the Department will not be able to request additional authority through a budget amendment in FY 09-10, which will increase the state's risk exposure to catastrophic losses for the state's \$17 billion of state-owned property.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Special Categories:		
Excess Insurance & Claim Service (101221):		
Casualty Risk Management Trust Fund (2078) (1)		
Total	\$750,000	\$ 0
-----	-----	-----
Issue Total	\$750,000	\$ 0

Amended 2009-10 Narrative after February 25, 2009

This issue has been withdrawn by the Department of Financial Services from consideration by the Legislature for the 2009-2010 Fiscal Year.

Summary: Issue has been removed from consideration at this time.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12		
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ		
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10		
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
						CODES	
FINANCIAL SERVICES						43000000	
PGM: ST PROP/CASUALTY CLMS						43400000	
ST SELF-INSURED CLAIMS ADJ						43400100	
GOV OPERATIONS/SUPPORT						16	
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>	
WORKLOAD						3000000	
REDUCE PAYMENTS TO THIRD PARTY							
ADMINISTRATOR FOR WORKERS'							
COMPENSATION CLAIMS						3003A10	
SALARIES AND BENEFITS						010000	
STATE RISK MGMT TF	-STATE	1.00	51,309		1.00	51,309	2078 1
EXPENSES							040000
STATE RISK MGMT TF	-STATE		10,112	3,412		10,112	2078 1
OPERATING CAPITAL OUTLAY							060000
STATE RISK MGMT TF	-STATE		1,000	1,000		1,000	2078 1
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW CONTRCT							107040
STATE RISK MGMT TF	-STATE		401			401	2078 1
TOTAL: REDUCE PAYMENTS TO THIRD PARTY							3003A10
ADMINISTRATOR FOR WORKERS'							
COMPENSATION CLAIMS							
TOTAL POSITIONS.....		1.00			1.00		
TOTAL ISSUE.....			62,822	4,412		62,822	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Issue Description/Need:
 The Division of Risk Management needs to add one (1) Workers' Compensation Specialist position to their State Employees Workers' Compensation bureau to adjust workers' compensation claims currently being adjusted by a third party administrator. The division is currently paying the third party administrator \$204,455 per year to provide this service,

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
WORKLOAD						3000000
REDUCE PAYMENTS TO THIRD PARTY						
ADMINISTRATOR FOR WORKERS'						
COMPENSATION CLAIMS						3003A10

and could provide the same service at an annual cost of \$58,410 if one staff member was added.

Ultimate Outcome:

If this issue is funded, the cost of this activity will decrease by an estimated \$146,045 per fiscal year. This decrease will occur by reducing the non-operating category 310217 Loss Payments by \$204,455, and by increasing recurring operating categories as stated below by a total of \$58,410, for a net reduction of \$146,045. This reduction will decrease the overall cash flow needs for this program, which is funded at the state level by operating category funds. At the state level, this issue will allow an overall decrease of \$146,045 in operating category 103241, Risk Management Insurance, although it represents an increase in operating category funds at the program level. This reduction will be passed on to division customers, the state agencies and universities that participate in the state self-insured program, by proportionate decreases in their operating category 103241, Risk Management Insurance.

Summary: This is a new issue.

Detail of Costs:

Positions:

Quantity	Title & Pay grade	Amount	Non-recurring
1	Workers' Compensation Specialists, Class Code 3322, Pay Grade 22	\$51,309	0
		=====	=====

Expenses:

Quantity	Description	Amount	
1	Standard Expense Package	\$10,112	\$3,412
		=====	=====

OCO:

Quantity	Description	Amount

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
WORKLOAD						3000000
REDUCE PAYMENTS TO THIRD PARTY						
ADMINISTRATOR FOR WORKERS'						
COMPENSATION CLAIMS						3003A10
1 Standard Operating Capital Outlay Package				\$1,000	\$1,000	
				=====	=====	
Contracted Services (HR):						
Quantity Description				Amount		
-----				-----		
1 Standard Human Resources Services				\$ 401	0	
				=====	=====	
ISSUE TOTAL				\$62,822	\$4,412	

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
NEW POSITIONS							
3322 WORKERS' COMPENSATION SPECIALIST							
N2000 001	1.00	36,469		14,840	51,309	0.00	51,309
	-----	-----	-----	-----	-----	-----	-----
TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							51,309
	-----	-----	-----	-----	-----	-----	-----
	1.00	36,469		14,840	51,309		51,309
	=====	=====	=====	=====	=====	=====	=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
PROGRAM ISSUES						4000000
PROACTIVE RISK MANAGEMENT TO REDUCE						
CASUALTY LOSS PAYMENTS						4000AC0
SALARIES AND BENEFITS						010000
STATE RISK MGMT TF	4.00	4.00				
-STATE	229,801	229,801				2078 1
EXPENSES						040000
STATE RISK MGMT TF	85,128	85,128	33,648			2078 1
OPERATING CAPITAL OUTLAY						060000
STATE RISK MGMT TF	20,800	20,800	20,800			2078 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
STATE RISK MGMT TF	150,000	150,000				2078 1
TR/DMS/HR SVCS/STW CONTRCT						107040
STATE RISK MGMT TF	1,604	1,604				2078 1
TOTAL: PROACTIVE RISK MANAGEMENT TO REDUCE						4000AC0
CASUALTY LOSS PAYMENTS						
TOTAL POSITIONS.....	4.00	4.00				
TOTAL ISSUE.....	487,333	487,333	54,448			

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Pro-active Risk Management Program to Reduce Casualty Loss Payments
 Reference to Long-Range Program Plan:

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>										43400100
GOV OPERATIONS/SUPPORT										16
<u>GOVERNMENTAL OPERATIONS</u>										<u>1601.00.00.00</u>
PROGRAM ISSUES										4000000
PROACTIVE RISK MANAGEMENT TO REDUCE										
CASUALTY LOSS PAYMENTS										4000AC0

This issue will help the Department in meeting its LRPP Goal 5, "Our customers will receive timely, helpful and accurate information upon which they can act to protect themselves and their assets" by providing subject matter experts in loss control techniques to analyze loss data and recommend loss mitigation and risk control strategies to agency and university risk management programs to reduce the frequency and cost of their claims.

Issue Description/Need:

This issue, with a 5% minimum reduction in the annual casualty loss payments made by the state, would result in a savings of approximately \$7,350,000. Currently the state spends approximately \$147,000,000 for casualty loss payments. A 5% reduction in this amount is equal to \$7,350,000 (\$5,218,500 or 71% of this amount is general revenue, \$2,131,500 is trust funded.). The cost of this program would be \$487,333. The net saving would be approximately \$6,862,667 (\$4,872,494 in General Revenue).

Research indicates that developing strong safety cultures and implementing sound risk control programs have the greatest impact on reducing claim costs. Snap-On Tools introduced a safety program in 2005 that reduced workers' compensation claims by 47% after 2 years of operations. DHL introduced a safety program that led to a \$1.1 million reduction in its workers' compensation premium and reduced its risk rating for workers' compensation costs to 42% below the industry average. ServiceMaster launched a multifaceted loss control and safety initiative that has resulted in a 41% decrease in their total cost of risk since 2003, a one-third decrease in the number of work-related claims and a 77% decrease in the number of lost time claims. Walt Disney's loss control and safety program has resulted in a 60% reduction in OSHA frequency rates from 2002 to 2007, with nearly a 50% reduction in lost time claims. While the reduction in claims and costs experienced by the state risk management program may not be as dramatic as experienced by these private corporations, in part because the state loss history already compares favorably to other public and private employers, reductions should result from these enhanced efforts at assisting agencies with their programs and holding them more accountable by auditing their programs.

The Division of Risk Management will need to reorganize the Bureau of Property, Financial and Risk Services by adding data analysis staff consisting of an Economic Analyst (\$43,508/year) and also adding staff that will conduct audits of agency risk management programs, consisting of a Management Review Specialist (\$40,449/year) and two (2) Insurance Administrators- Loss Prevention (\$38,661/year each). This reorganization will provide the Division with the skill sets and personnel needed to analyze agency loss data, recommend risk management strategies to reduce claims and claim costs, and audit and monitor agency risk management programs. Spending authority for this issue will be used to add the four (4) positions mentioned above. An additional \$1,800 (\$600 per position for a total of \$1,600 per position) of OCO spending authority is requested to purchase laptop computers for the three (3) audit staff requested and an additional \$24,860 of expense category spending authority is requested to provide funds for the audit staff to travel an estimated

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>										43400100
GOV OPERATIONS/SUPPORT										16
<u>GOVERNMENTAL OPERATIONS</u>										<u>1601.00.00.00</u>
PROGRAM ISSUES										4000000
PROACTIVE RISK MANAGEMENT TO REDUCE										
CASUALTY LOSS PAYMENTS										4000AC0

20 weeks per year at an estimated average of \$1,234 per week.

As the Department expands its role in providing more proactive risk management services to state agencies and universities, it will need to supplement its staff with subject matter experts in loss control techniques. The Department's current Broker of Record contract allows for 96 hours per year of loss control consulting being included in our base broker fee, with each additional hour billed at \$250 per hour. We estimate that we need an additional 400 hours per year as we expand our loss prevention and consultative services to targeted state agencies and universities who are experiencing high claim costs. Research indicates that developing strong safety cultures and implementing sound risk control programs have the greatest impact on reducing claim costs. Spending authority for this issue will be used to pay for an additional 400 hours of loss control consultative services at \$250 per hour.

A statewide web-based safety training system is needed in order to avoid duplications of effort among state agencies and universities and to standardize safety training for state employees. The People First personnel system was originally going to offer such a system, but it has not been released. Funds will be used to purchase the software, hardware and 200 hours of consultative services at \$250 per hour to develop and provide web-based safety training to state employees and agency safety coordinators. Training modules will be developed on both generic and specialized safety topics based on needs identified through risk exposure and loss analysis. Users will be able to sign into the system, train on a topic, take an exam and receive credit for the training. The system will maintain scores and forward the scores to the employee's agency for training credit, and the Department can monitor training levels to compare training levels against agency loss data. This system will be maintained by the Department's Division of Information Systems and Division of Risk Management.

Ultimate Outcome:

Most state agencies and universities have either limited staff or no staff with the technical skills needed to address problem areas in their operations that are creating higher incidents of claims. If this issue is funded, the department will be able to provide state agencies and universities with the expert technical assistance they need to evaluate their risk exposures, analyze their casualty claims, create and select mitigation strategies to reduce or eliminate their risk exposures, implement their selected mitigation strategy and monitor the results of their selected mitigation strategy, specifically tailored to address high claim problem areas. While the reduction in claims and costs experienced by the "high cost" state agencies may not be as dramatic as experienced by the private corporations mentioned previously, in part because the state loss history already compares favorably to other public and private employers, cost reductions should result from these enhanced efforts at assisting agencies with their programs by providing this consulting service. The state spent approximately \$147 million in casualty loss payments during FY2007-2008- even a 5% reduction in these costs would equate to a \$7,350,000 reduction in payments made by our program, which is 71% funded by General Revenue for

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
PROGRAM ISSUES						4000000
PROACTIVE RISK MANAGEMENT TO REDUCE						
CASUALTY LOSS PAYMENTS						4000AC0

FY2008-2009 (A savings of approximately \$5,218,500 in general revenue.). If this request is not funded, state agencies and universities will not have the level of technical assistance they need to effectively manage their risks, and the state will continue to incur claim costs that could potentially be avoided or reduced.

Detail of Costs:

CLASS TITLE	CC	PG	FTE	RATE	ANNUAL SALARIES	ANNUAL EXPENSES	OCO	HR SERVICES	FY 2009-10 TOTAL
Economic Analyst	3215	425	1	43,508	61,063	10,112	1,000	401	72,576
Management Review Specialist	2239	424	1	40,949	58,045	10,112	1,000	401	69,558
Ins Admin Loss Prevention	3525	423	2	77,322	110,693	20,224	2,000	802	133,719
Total			4	161,779	229,801	40,448	4,000	1,604	275,853

Category Amount Non-Recurring

Salaries and Benefits (010000):
 Casualty Risk Management Trust Fund (2078) (1)
 Total \$229,801 \$ 0

Expenses (040000):
 Casualty Risk Management Trust Fund (2078) (1)
 4 Standard Expense Packages \$ 40,448 \$13,648
 Additional Travel Expenses \$ 24,680 \$ 0
 Safety Training Software \$ 20,000 \$20,000
 Total \$ 85,128 \$33,648

Operating Capital Outlay (060000):
 Casualty Risk Management Trust Fund (2078) (1)
 4 Standard OCO Packages \$ 4,000 \$ 4,000
 3 Laptops for auditors (1,600) \$ 1,800 \$ 1,800
 Servers and related hardware \$ 15,000 \$15,000

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
										43000000
										43400000
										43400100
										16
										<u>1601.00.00.00</u>
										4000000
										4000AC0

FINANCIAL SERVICES
 PGM: ST PROP/CASUALTY CLMS
ST SELF-INSURED CLAIMS ADJ
 GOV OPERATIONS/SUPPORT
GOVERNMENTAL OPERATIONS

PROGRAM ISSUES
 PROACTIVE RISK MANAGEMENT TO REDUCE
 CASUALTY LOSS PAYMENTS

Research indicates that developing strong safety cultures and implementing sound risk control programs have the greatest impact on reducing claim costs. Snap-On Tools introduced a safety program in 2005 that reduced workers' compensation claims by 47% after 2 years of operations. DHL introduced a safety program that led to a \$1.1 million reduction in its workers' compensation premium and reduced its risk rating for workers' compensation costs to 42% below the industry average. ServiceMaster launched a multifaceted loss control and safety initiative that has resulted in a 41% decrease in their total cost of risk since 2003, a one-third decrease in the number of work-related claims and a 77% decrease in the number of lost time claims. Walt Disney's loss control and safety program has resulted in a 60% reduction in OSHA frequency rates from 2002 to 2007, with nearly a 50% reduction in lost time claims. While the reduction in claims and costs experienced by the state risk management program may not be as dramatic as experienced by these private corporations, in part because the state loss history already compares favorably to other public and private employers, reductions should result from these enhanced efforts at assisting agencies with their programs and holding them more accountable by auditing their programs.

As an example of the risk services the department will be able to provide with this new staff, assistance can then be provided to the agencies in developing agency-specific comprehensive loss control risk assessments, which are time consuming, but are critical to a loss control program. An agency must first establish a set of objectives and determine the scope of the assessment, focusing on the business it conducts and its inherent risks. Such assessments are difficult to develop as technical experts in various fields of operation must be included in developing the risk assessment instrument. In most agencies, those experts exist within the agency, but others may require outside consultation. Front line personnel and management would need to contribute to the risk assessment instrument and participate in the assessment, itself, since they often know where the risks lie better than the agency risk manager. Agencies would need to focus on risk of financial loss and loss of sensitive data. A thorough risk assessment would include management and key employee interviews, review of written documentation, and site inspections. To assist in these complicated and time consuming efforts, the division plans to use the requested new staff to also develop a general loss control risk assessment instrument that can be customized by each agency to address specific business lines and areas of specialization. The division will also use new staff to audit agency loss control performance, and make recommendations to the agency on needed enhancements to those programs, based on "best practices" standards.

A comprehensive loss control risk assessment is the first tool in identifying agency risk. Agencies also should evaluate risk by the likelihood of repeat occurrence (frequency) and the degree of damage that can impact both reputation and fiscal standing (cost.) The requested new staff will assist agencies in those evaluations, and in developing risk mitigation strategies that can include, as examples, changes in agency practices, focused and intensive training, obtaining certain equipment to prevent injuries, transferring functions to a vendor better suited to perform the function at a lower risk of injury or loss, deletion of certain lines of service (where possible) or purchase of risk specific insurance coverage. The requested new staff will also monitor the mitigation programs implemented by the agencies to determine their effectiveness in reducing losses and whether any modifications may be needed to enhance the effectiveness

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										1601.00.00.00
PROGRAM ISSUES										4000000
PROACTIVE RISK MANAGEMENT TO REDUCE										
CASUALTY LOSS PAYMENTS										4000AC0

of those programs.

The Division of Risk Management will need to reorganize the Bureau of Property, Financial and Risk Services by adding data analysis staff consisting of an Economic Analyst (\$43,508/year) and also adding staff that will conduct audits of agency risk management programs, consisting of a Management Review Specialist (\$40,949/year) and two (2) Insurance Administrators- Loss Prevention (\$38,661/year each). This reorganization will provide the Division with the skill sets and personnel needed to analyze agency loss data, recommend risk management strategies to reduce claims and claim costs, and audit and monitor agency risk management programs. Spending authority for this issue will be used to add the four (4) positions mentioned above. An additional \$1,800 (\$600 per position for a total of \$1,600 per position) of OCO spending authority is requested to purchase laptop computers for the three (3) audit staff requested and an additional \$24,680 of expense category spending authority is requested to provide funds for the audit staff to travel an estimated 20 weeks per year at an estimated average of \$1,234 per week.

As the department expands its role in providing more proactive risk management services to state agencies and universities, it will need to supplement its staff with subject matter experts in loss control techniques. The department's current Broker of Record contract allows for 96 hours per year of loss control consulting to be included in our base broker fee, with each additional hour billed at a recently renegotiated rate of \$125 per hour. The division estimates it will need an additional 800 hours per year as to expand loss prevention and consultative services to targeted state agencies and universities who are experiencing high claim costs. Florida's government is diverse in nature, and few agencies will have similar high loss areas of operation. As a result, an effective loss control program will require identification and targeting of high loss areas specific to the organization. The loss control consulting vendor along with the division can assist in that process and then work with a state agency to develop loss prevention strategies and programs to reduce all types of claims, but particularly worker's compensation and federal civil rights and employment practices claims, as they create the greatest expense to state government.

As an example, in the workers' compensation claims area, data shows that the sooner an injured employee returns to work, the more likely the employee is to remain employed, resulting in lower overall claims cost. Alternate duty programs that actively seek to keep the injured employee in the workplace as much as possible, even if only on light or limited duty, are critical to a successful workers' compensation loss control program. Florida Statutes Section 261.251 (2008) authorizes agencies to return an employee to work at his or her regular rate of pay at any duty the employee is capable of performing. Agencies should aggressively implement these programs, and a loss control vendor can assist in developing a good alternate duty program.

The loss control vendor and the division are currently working with the Department of Children and Families in addressing workers' compensation claims in the locations where those claims are most frequent and costly. The agency is being assisted with developing a risk assessment instrument that targets opportunities to reduce such claims through hazard reduction and education. The division plans to offer similar assistance to other agencies with high loss areas of operation as well as those interested in proactive cost savings.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES								43000000		
PGM: ST PROP/CASUALTY CLMS								43400000		
<u>ST SELF-INSURED CLAIMS ADJ</u>								43400100		
GOV OPERATIONS/SUPPORT								16		
<u>GOVERNMENTAL OPERATIONS</u>								<u>1601.00.00.00</u>		
PROGRAM ISSUES								4000000		
PROACTIVE RISK MANAGEMENT TO REDUCE										
CASUALTY LOSS PAYMENTS								4000AC0		

A statewide web-based safety training system is needed in order to avoid duplications of effort among state agencies and universities and to standardize safety training for state employees. The People First personnel system was originally going to offer such a system, but it has not been released. Funds will be used to purchase the software, hardware and 200 hours of consultative services to develop and provide web-based safety training to state employees and agency safety coordinators. Training modules will be developed on both generic and specialized safety topics based on needs identified through risk exposure and loss analysis. Users will be able to sign into the system, train on a topic, take an exam and receive credit for the training. The system will maintain scores and forward the scores to the employee's agency for training credit and the department can monitor training levels to compare training levels against agency loss data. This system will be maintained by the department's Division of Information Systems and Division of Risk Management.

The department has determined that training in preventing civil rights claims is particularly important because it is the second highest cost generator behind worker's compensation claims, but far less attention has been given to training in preventing those claims. Agencies with a high risk of incurring federal civil rights claims should provide significant training to avoid claims, and a web based training program will be an efficient and cost effective way of providing that training. Federal civil rights claims are not brought against the agency. They are filed naming the agency employee as a defendant. The defendant, if found to have violated the claimant's federal civil rights, can be personally liable for the resulting damages, and therefore can have personal assets seized and sold at a forced sale to satisfy the judgment amount. There are no limits to liability, so that a judgment for millions of dollars can be entered against an employee. Likewise, liability is not dependant on whether the employee knew that someone's rights were violated, so even unintentional violations result in claims. These claims are therefore very detrimental to the morale of state employees and the employing agency, and very costly to defend and pay any resulting settlement or judgment. For the above reasons, agencies should analyze their operations carefully to see if the risk of federal civil rights claims can be avoided and should invest in training staff on what to do to prevent these claims. Many agencies provide periodic training on the duties of employees and managers involving sexual harassment claims and other employment discrimination claims. The legal concepts regarding these types of claims are generally more familiar to employees than the concepts involving federal civil rights violations, but in agencies with the highest risk for these types of claims, it is important to train employees so they can identify when their actions or inactions may result in a claim for violating the rights of someone in their care or custody. The first important step in developing a training process is to identify agency operations where the risk for claims is high, and then "custom-tailor" the training to address the specific risks involved in those operations. The department has already identified the "high claims" areas in state agencies that have the highest risk for all claims, including civil rights claims. Many times the training to avoid civil rights claims, as an example, can involve little more than emphasizing agency policies and procedures, since in many cases the employee would not have been sued if such procedures had been followed. In very high risk/high claims environments, a more aggressive approach can be used, such as retaining a law firm specializing in federal civil rights law to review agency operations and tailor a web based training program designed to prevent the same types of claims the agency has experienced in the past, and avoid new risks for claims of

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES

FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
PROGRAM ISSUES						4000000
PROACTIVE RISK MANAGEMENT TO REDUCE						
CASUALTY LOSS PAYMENTS						4000AC0

Total	4	161,779	229,801	40,448	4,000	1,604	275,853
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Category Amount Non-Recurring

Salaries and Benefits (010000):			
Casualty Risk Management Trust Fund (2078) (1)			
Total	\$229,801	\$ 0	
Expenses (040000):			
Casualty Risk Management Trust Fund (2078) (1)			
4 Standard Expense Packages	\$ 40,448	\$13,648	
Additional Travel Expenses	\$ 24,680	\$ 0	
Safety Training Software	\$ 20,000	\$20,000	
Total	\$ 85,128	\$33,648	
Operating Capital Outlay (060000):			
Casualty Risk Management Trust Fund (2078) (1)			
4 Standard OCO Packages	\$ 4,000	\$ 4,000	
Additional \$600 per auditor (3) for laptops	\$ 1,800	\$ 1,800	
Servers and related hardware	\$ 15,000	\$15,000	
Total	\$ 20,800	\$20,800	
Special Category			
Contracted Services (100777):			
Casualty Risk Management Trust Fund (2078) (1)			
800 hours for loss control consultant	\$150,000	\$ 0	

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	

FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
PROGRAM ISSUES										4000000
PROACTIVE RISK MANAGEMENT TO REDUCE										
CASUALTY LOSS PAYMENTS										4000AC0

Human Resource Services (107040):
 Casualty Risk Management Trust Fund (2078) (1)
 Total \$ 1,604 \$ 0

 Issue Total \$487,333 \$54,448

Summary: This issue has been revised to include additional supporting narrative, revises the contract amount for consultation services from \$250 per hour to \$125 hour, and increases the number of hours from 400 to 800.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
NEW POSITIONS							
2239 MANAGEMENT REVIEW SPECIALIST - SES							
N1021 001	1.00	40,949		17,096	58,045	0.00	58,045
3215 ECONOMIC ANALYST - SES							
N1020 001	1.00	43,508		17,555	61,063	0.00	61,063
3525 INSURANCE ADMIN-LOSS PREVENTION-SES							
N1022 001	2.00	77,322		33,371	110,693	0.00	110,693

TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							229,801
	4.00	161,779		68,022	229,801		229,801
	=====	=====	=====	=====	=====		=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
PROGRAM ISSUES						4000000
PROACTIVE RISK MANAGEMENT TO REDUCE						
CASUALTY LOSS PAYMENTS						4000AC0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
NEW POSITIONS							
2239 MANAGEMENT REVIEW SPECIALIST - SES							
N1021 001	1.00	40,949		17,096	58,045	0.00	58,045
3215 ECONOMIC ANALYST - SES							
N1020 001	1.00	43,508		17,555	61,063	0.00	61,063
3525 INSURANCE ADMIN-LOSS PREVENTION-SES							
N1022 001	2.00	77,322		33,371	110,693	0.00	110,693
TOTALS FOR ISSUE BY FUND							
2078 STATE RISK MGMT TF							229,801
	4.00	161,779		68,022	229,801		229,801

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
PROGRAM ISSUES						4000000
ENSURE CORRECT NATIONAL COUNCIL ON						
COMPENSATION INSURANCE JOB RISK						
CLASSIFICATION FOR STATE EMPLOYEES						4000030
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
STATE RISK MGMT TF	-STATE	62,500	62,500	62,500		2078 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Ensure that State Positions are Properly Assigned NCCI Job Risk Classifications

Reference to Long-Range Program Plan:

This issue will help the Department in meeting its LRPP Goal 5, "Our customers will receive timely, helpful and accurate information upon which they can act to protect themselves and their assets" by providing better risk exposure information to state agencies and universities.

Issue Description/Need:

The Department needs to ensure that state position classifications are properly assigned National Council on Compensation Insurance (NCCI) job risk classifications. The State's position classifications are not currently assigned NCCI job risk classifications, which involves reviewing each job description in state government and assigning the proper code to that position depending on the degree of risk of injury involved in performing those job duties. To our knowledge such a comprehensive review has never been conducted. Therefore, agencies cannot accurately gauge the risk levels associated with their staff and the Division of Risk Management cannot accurately assess premiums to state agencies based on their risk exposures. Currently, the Division assesses workplace risk of injury equally to all positions within state government; an office clerk has the same weight in premium calculations as a correctional officer or highway patrolman, so agencies with relatively low risk staff positions pay the same amount for their risk exposure component of their premium assessment as paid by agencies with high risk staff positions. Also, it is not possible to correlate an agency's workers' compensation losses with the risk levels of the positions incurring those losses, so it is more difficult to determine if the types and frequencies of the incurred losses are appropriate for the positions incurring those losses. Spending authority for this issue will be used to hire a consultant at \$250 per hour for 250 hours to assign NCCI job risk classifications to state position classifications.

Ultimate Outcome:

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
PROGRAM ISSUES										4000000
ENSURE CORRECT NATIONAL COUNCIL ON COMPENSATION INSURANCE JOB RISK										
CLASSIFICATION FOR STATE EMPLOYEES										4000030

If this issue is funded, state agencies will have better workers' compensation loss information to use in assessing the effectiveness of their risk management programs. The Department will be able to more accurately assess premiums to agencies, and also will be able to compare our workers' compensation loss data against state and national loss data based on workplace risk codes compiled by NCCI, which will allow the Department to better direct loss mitigation resources to those high claims cost agency operations that are most in need of assistance. In FY2007-2008, \$102,733,413 was spent on workers' compensation claims- a 5% reduction in claims would equate to a \$5.1 million reduction in claim costs that this program, which is 71% General Revenue funded, has to pay. If this issue is not funded, state agencies and the Department will not have a valuable and effective tool to analyze workers' compensation losses by the actual risk of injury assigned to the work a state employee is performing, and the effectiveness of risk management programs based on true workplace risk, and the Department will also not be able to assess workers' compensation premiums according to the state agencies' true risk exposures.

Detail of Costs:	Amount	Non-Recurring
Contracted Services (100777):		
Casualty Risk Management Trust Fund (2078) (1)		
250 hours at \$250 for Loss control consultant	\$62,500	\$62,500
Issue Total	\$62,500	\$62,500

Amend 2009-10 Narrative after February 25,2009

Issue Title: Ensure that State Positions are Properly Assigned NCCI Job Risk Classifications

Reference to Long-Range Program Plan:

This issue will help the Department in meeting its LRPP Goal 5, "Our customers will receive timely, helpful and accurate information upon which they can act to protect themselves and their assets" by providing better risk exposure information to state agencies and universities.

Issue Description/Need:

The Department needs to ensure that state position classifications are properly assigned National Council on Compensation Insurance (NCCI) job risk classifications. The State's position classifications are not currently assigned NCCI job risk

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>										43400100
GOV OPERATIONS/SUPPORT										16
<u>GOVERNMENTAL OPERATIONS</u>										<u>1601.00.00.00</u>
PROGRAM ISSUES										4000000
ENSURE CORRECT NATIONAL COUNCIL ON										
COMPENSATION INSURANCE JOB RISK										
CLASSIFICATION FOR STATE EMPLOYEES										4000030

classifications, which involves reviewing each job description in state government and assigning the proper code to that position depending on the degree of risk of injury involved in performing those job duties. To our knowledge such a comprehensive review has never been conducted. Therefore, agencies cannot accurately gauge the risk levels associated with their staff and the Division of Risk Management cannot accurately assess premiums to state agencies based on their risk exposures. Currently, the Division assesses workplace risk of injury equally to all positions within state government; an office clerk has the same weight in premium calculations as a correctional officer or highway patrolman, so agencies with relatively low risk staff positions pay the same amount for their risk exposure component of their premium assessment as paid by agencies with high risk staff positions. Also, it is not possible to correlate an agency's workers' compensation losses with the risk levels of the positions incurring those losses, so it is more difficult to determine if the types and frequencies of the incurred losses are appropriate for the positions incurring those losses. Spending authority for this issue will be used to hire a consultant at \$250 per hour for 250 hours to assign NCCI job risk classifications to state position classifications.

Ultimate Outcome:

If this issue is funded, state agencies will have better workers' compensation loss information to use in assessing the effectiveness of their risk management programs. The Department will be able to more accurately assess premiums to agencies, and also will be able to compare our workers' compensation loss data against state and national loss data based on workplace risk codes compiled by NCCI, which will allow the Department to better direct loss mitigation resources to those high claims cost agency operations that are most in need of assistance. In FY 2007-2008, \$102,733,413 was spent on workers' compensation claims- a 5% reduction in claims would equate to a \$5.1 million reduction in claim costs that this program, which is 71% General Revenue funded, has to pay. If this issue is not funded, state agencies and the Department will not have a valuable and effective tool to analyze workers' compensation losses by the actual risk of injury assigned to the work a state employee is performing, and the effectiveness of risk management programs based on true workplace risk, and the Department will also not be able to assess workers' compensation premiums according to the state agencies' true risk exposures.

Summary: There is no change to the amount requested for this issue. The narrative has been rewritten to better explain the issue

Detail of Costs:

Contracted Services:

Quantity	Description	Amount	Non-Recurring
1 lot	loss control consultant hours	\$62,500	\$62,500

COL A12		COL A14		COL A15		COL A16		COL A14-A12		
AGY FIN REQ		AGY AMD REQ		AGY AMD N/R		AGY AMD ANZ		AGY AMD REQ		
FY 2009-10		FY 2009-10		FY 2009-10		FY 2009-10		FY 2009-10		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES										43000000
PGM: ST PROP/CASUALTY CLMS										43400000
ST SELF-INSURED CLAIMS ADJ										43400100
GOV OPERATIONS/SUPPORT										16
GOVERNMENTAL OPERATIONS										<u>1601.00.00.00</u>
PROGRAM ISSUES										4000000
ENSURE CORRECT NATIONAL COUNCIL ON										
COMPENSATION INSURANCE JOB RISK										
CLASSIFICATION FOR STATE EMPLOYEES										4000030
Total			\$62,500		\$62,500					
Issue Total			\$62,500		\$62,500					

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						1601.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS						5400000
SALARY REALIGNMENT - TRUST FUNDS						
ONLY - REALIGN SALARY TO MORE						
CLOSELY ALIGN BUDGET WITH						
EXPENDITURES - ADDBACK						5402000
SALARIES AND BENEFITS						010000
STATE RISK MGMT TF						
-STATE		92,500				92,500 2078 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
OTHER SALARY AMOUNT							
2078 STATE RISK MGMT TF							92,500
							92,500

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
INSURANCE CO REHAB/LIQDATN										43500100
PUBLIC PROTECTION										12
REGULATION AND LICENSING										1204.00.00.00
SALARY AND CLASS EQUITY ADJUSTMENTS										5400000
SALARY REALIGNMENT - TRUST FUNDS										
ONLY - REALIGN SALARY TO MORE										
CLOSELY ALIGN BUDGET WITH										
EXPENDITURES - DEDUCT										5401000
SALARIES AND BENEFITS										010000
INSURANCE REG TF										
-STATE			139,880-							139,880- 2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of Salaries and Benefits authority between budget entities. This transfer will allow the department to effectively utilize current authority and resources and does not increase the overall Salaries and Benefits authority or authorized rate for the department.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2393 INSURANCE REG TF						139,880-
						139,880-

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
LICENSURE, SALES/APPT/OVST										43500200
PUBLIC PROTECTION										12
REGULATION AND LICENSING										<u>1204.00.00.00</u>
WORKLOAD										3000000
POSITION UPGRADES AND SALARY										
ADJUSTMENTS FOR PARITY AND										
RETENTION OF INVESTIGATORS										3008A20

occupy 3 pay grades ranging from pay grade 21 to pay grade 25. The majority are pay grade 25 (56%) (See below).

Pay Grade	BOI	OFR
20-Insurance Analyst II	40	
21-Financial Investigator		12
22-Government Analyst I	6	
20-Financial Examiner/Analyst I	5	
23-Financial Examiner /Analyst II	2	
23-Senior Financial Investigator	8	
25-Financial Investigator-Criminal Enforcement		25
26-Government Analyst II	2	

In order to meet the Bureau's investigative case completion standards, while at the same time conducting quality investigations, it is paramount that competent and skilled investigators are hired. Recruiting and retaining investigators with the knowledge, skill and ability to conduct complex regulatory investigations is essential to the timely resolution of consumer complaints and taking swift disciplinary action against wrongdoers.

Ultimate Outcome:

As a result of this increase it will enable the bureau to provide better consumer protection. It will allow for higher sustained actions against licensees who harm consumers. It will increase the ability to recruit and retain qualified investigators. Recruitment and retention of experienced insurance investigators will enable the Bureau to vigorously pursue violators of the Insurance Code and Department rules. These trained investigators will be able to secure timely and pertinent evidence to enhance the quality of the legal reports thus increasing actions taken against violators.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
Salaries and Benefits (010000):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$380,018	\$ 0
-----	-----	-----
Issue Total	\$380,018	\$ 0

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
LICENSURE, SALES/APPT/OVST						43500200
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
POSITION UPGRADES AND SALARY						
ADJUSTMENTS FOR PARITY AND						
RETENTION OF INVESTIGATORS						3008A20

Amended 2009-10 Narrative after February 25, 2009

This issue has been withdrawn by the Department of Financial Services from consideration by the Legislature for the 2009-2010 Fiscal Year.

Summary: Issue has been removed from consideration at this time.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA00 RATE AND SALARY ADJ - NO FTE/BENEFITS							
C1002 001	0.00	380,018			380,018	0.00	380,018
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							380,018
	0.00	380,018			380,018		380,018

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
TRANSFER OF POSITIONS BETWEEN						
CONSUMER ASSISTANCE AND INSURANCE						
FRAUD - ADDBACK						160FA20
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	8.00			8.00	2393 1
		269,097			269,097	
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE		3,208		3,208	2393 1
TOTAL: TRANSFER OF POSITIONS BETWEEN						160FA20
CONSUMER ASSISTANCE AND INSURANCE						
FRAUD - ADDBACK						
TOTAL POSITIONS.....		8.00			8.00	
TOTAL ISSUE.....			272,305		272,305	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Re-approval of budget amendment Executive Office of the Governor number Q0030 (Agency 09-19). This program flexibility budget amendment moves eight (8) positions from the Division of Consumer Assistance (43500400) to the Division of Insurance Fraud (43500300). This amendment assisted the Department of Financial Services in effectively utilizing personnel resources and improved the performance of the programs. This request is the result of the consolidation of the call centers in the Division of Consumer Assistance.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
INSURANCE FRAUD						43500300
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR						1600000
ESTIMATED EXPENDITURES						1600000
TRANSFER OF POSITIONS BETWEEN						
CONSUMER ASSISTANCE AND INSURANCE						
FRAUD - ADDBACK						160FA20

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0090 WORD PROCESSING SYSTEMS OPERATOR							
C0215 001	1.00	20,735		12,052	32,787	0.00	32,787
C0253 001	1.00	22,784		12,416	35,200	0.00	35,200
C0549 001	1.00	20,735		12,052	32,787	0.00	32,787
C0579 001	1.00	20,735		12,052	32,787	0.00	32,787
0108 ADMINISTRATIVE SECRETARY							
C0301 001	1.00	22,454		12,358	34,812	0.00	34,812
C0775 001	1.00	22,742		12,408	35,150	0.00	35,150
C0873 001	1.00	20,735		12,052	32,787	0.00	32,787
C1030 001	1.00	20,735		12,052	32,787	0.00	32,787
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							269,097
	8.00	171,655		97,442	269,097		269,097

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
EQUIPMENT NEEDS						2400000
ADDITIONAL EQUIPMENT - MOTOR						
VEHICLES						2402400
SPECIAL CATEGORIES						100000
ACQUISITION/MOTOR VEHICLES						100021
INSURANCE REG TF	-STATE	223,272	223,272	223,272		2393 1

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Issue Title: Undercover Surveillance Vans to Investigate Medical, Provider, Workers' Compensation and Insurance Fraud

Issue Description/Need:

Funding is being requested for two (2) fully self contained surveillance vans to be used by sworn law enforcement personnel for undercover operations and long term investigations. These vans will be used to investigate major criminal cases including staged accident rings, money service business crimes, medical and provider fraud, workers' compensation premium fraud, etc. The vans will be used state wide to support field offices and various task force operations as needed. To make them readily available, one will be stationed in the north-central area of Florida while the other will be stationed in south Florida.

This specialized vehicle will have the appearance of a typical commercial service van so that it can be used covertly in any environment. The van will be able to accommodate a team of two investigative personnel for extended periods of time. It will contain specialized video equipment (monitors, recorders, cameras, periscope, remote controller, etc.), audio equipment (microphones, mixer, receiver, transmitter, etc.), computer equipment and software needed by investigators to conduct undercover operations both day and night. The occupied operational area will have a quiet self-contained air conditioning and heating system powered by a dedicated bank of 12 VDC batteries which are completely independent of both the vehicle batteries and surveillance equipment batteries. The van will also meet all state and federal guidelines and other specifications for power monitoring, environmental monitoring, remote engine start, operational lighting and also have necessary safety equipment (fire extinguisher, carbon monoxide detectors, etc.).

Ultimate Outcome:

The Division continues to work a growing number of complex long term investigations that frequently require extended periods of covert surveillance which can be dangerous and counter-productive if not conducted properly. If these investigations are to be completed successfully, state of the art surveillance equipment is necessary to develop critical intelligence as well as help ensure the safety of undercover investigators and sources.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
EQUIPMENT NEEDS						2400000
ADDITIONAL EQUIPMENT - MOTOR						
VEHICLES						2402400

Detail of Costs:

CATEGORY	Amount	Non-Recurring
	-----	-----
Acquisition of Motor Vehicles (100021):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$223,272	\$223,272
-----	-----	-----
Issue Total	\$223,272	\$223,272

Amended 2009-10 Narrative after February 25, 2009

Issue Title: Undercover Surveillance Vans to Investigate Medical, Provider, Workers' Compensation and Insurance Fraud

Issue Description/Need:

Funding is being requested for a fully self contained surveillance vans to be used by sworn law enforcement personnel for undercover operations and long term investigations. This van will be used to investigate major criminal cases including staged accident rings, money service business crimes, medical and provider fraud, workers' compensation premium fraud, etc. The van will be used state wide to support field offices and various task force operations as needed. To make it readily available, it will be stationed in a central location and deployed as necessary.

This specialized vehicle will have the appearance of a typical commercial service van so that it can be used covertly in any environment. The van will be able to accommodate a team of two investigative personnel for extended periods of time. It will contain specialized video equipment (monitors, recorders, cameras, periscope, remote controller, etc.), audio equipment (microphones, mixer, receiver, transmitter, etc.), computer equipment and software needed by investigators to conduct undercover operations both day and night. The occupied operational area will have a quiet self-contained air conditioning and heating system powered by a dedicated bank of 12 VDC batteries which are completely independent of both the vehicle batteries and surveillance equipment batteries. The van will also meet all state and federal guidelines and other specifications for power monitoring, environmental monitoring, remote engine start, operational lighting and also have necessary safety equipment (fire extinguisher, carbon monoxide detectors, etc.).

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES									43000000	
PGM: LICNSNG/CNSMER PROTEC									43500000	
INSURANCE FRAUD									43500300	
PUBLIC PROTECTION									12	
CONSUMER SAFETY/PROTECTION									<u>1205.00.00.00</u>	
EQUIPMENT NEEDS									2400000	
ADDITIONAL EQUIPMENT - MOTOR										
VEHICLES									2402400	

Ultimate Outcome:

The Division continues to work a growing number of complex long term investigations that frequently require extended periods of covert surveillance which can be dangerous and counter-productive if not conducted properly. If these investigations are to be completed successfully, state of the art surveillance equipment is necessary to develop critical intelligence as well as help ensure the safety of undercover investigators and sources.

Detail of Costs:

CATEGORY	Amount	Non-Recurring
Acquisition of Motor Vehicles (100021):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$111,636	\$111,636

Summary: This is a new issue.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10 POS	AGY AMD REQ FY 2009-10 POS	AGY AMD N/R FY 2009-10 POS	AGY AMD ANZ FY 2009-10 POS	AGY AMD REQ FY 2009-10 POS	AGY FIN REQ FY 2009-10 POS
	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
TRANSFER OF POSITIONS BETWEEN						
CONSUMER ASSISTANCE AND INSURANCE						
FRAUD - DEDUCT						160FA30
SALARIES AND BENEFITS						010000
INSURANCE REG TF		8.00-			8.00-	
-STATE			269,097-			2393 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF			3,208-		3,208-	2393 1
-STATE						
TOTAL: TRANSFER OF POSITIONS BETWEEN						160FA30
CONSUMER ASSISTANCE AND INSURANCE						
FRAUD - DEDUCT						
TOTAL POSITIONS.....		8.00-			8.00-	
TOTAL ISSUE.....			272,305-			272,305-

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Re-approval of budget amendment Executive Office of the Governor number Q0030 (Agency 09-19). This program flexibility budget amendment moves eight (8) positions from the Division of Consumer Assistance (43500400) to the Division of Insurance Fraud (43500300). This amendment assisted the Department of Financial Services in effectively utilizing personnel resources and improved the performance of the programs. This request is the result of the consolidation of the call centers in the Division of Consumer Assistance.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						1600000
ESTIMATED EXPENDITURES						
TRANSFER OF POSITIONS BETWEEN						
CONSUMER ASSISTANCE AND INSURANCE						
FRAUD - DEDUCT						160FA30

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0090 WORD PROCESSING SYSTEMS OPERATOR							
C0215 001	1.00-	20,735-		12,052-	32,787-	0.00	32,787-
C0253 001	1.00-	22,784-		12,416-	35,200-	0.00	35,200-
C0549 001	1.00-	20,735-		12,052-	32,787-	0.00	32,787-
C0579 001	1.00-	20,735-		12,052-	32,787-	0.00	32,787-
0108 ADMINISTRATIVE SECRETARY							
C0301 001	1.00-	22,454-		12,358-	34,812-	0.00	34,812-
C0775 001	1.00-	22,742-		12,408-	35,150-	0.00	35,150-
C0873 001	1.00-	20,735-		12,052-	32,787-	0.00	32,787-
C1030 001	1.00-	20,735-		12,052-	32,787-	0.00	32,787-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							269,097-
	8.00-	171,655-		97,442-	269,097-		269,097-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER PUBLICATIONS SECTION FROM						
THE DIVISION OF CONSUMER ASSISTANCE						
TO EXECUTIVE DIRECTION AND SUPPORT						
SERVICES - DEDUCT						1800370
SALARIES AND BENEFITS						010000
INSURANCE REG TF	-STATE	10.00-	513,810-		10.00-	513,810- 2393 1
EXPENSES						040000
INSURANCE REG TF	-STATE	27,917-			27,917-	2393 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF	-STATE	4,010-			4,010-	2393 1
TOTAL: TRANSFER PUBLICATIONS SECTION FROM						1800370
THE DIVISION OF CONSUMER ASSISTANCE						
TO EXECUTIVE DIRECTION AND SUPPORT						
SERVICES - DEDUCT						
TOTAL POSITIONS.....		10.00-			10.00-	
TOTAL ISSUE.....		545,737-			545,737-	

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

Transfer of Publications Unit from Consumer Assistance to Executive Direction and Support Services

This is an agency reorganization and transfers ten (10) positions from the Division of Consumer Services (43500400) to Executive Direction and support Services (43010100) to promote efficiencies. Based on the organizational structure and job functions performed by these positions, they are more appropriately located in the office supervised by the Director of Communications.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	AGY AMD N/R FY 2009-10 POS	AMOUNT	AGY AMD ANZ FY 2009-10 POS	AMOUNT	AGY AMD REQ FY 2009-10 POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
CONSUMER ASSISTANCE										43500400
PUBLIC PROTECTION										12
CONSUMER SAFETY/PROTECTION										<u>1205.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS										1800000
TRANSFER PUBLICATIONS SECTION FROM THE DIVISION OF CONSUMER ASSISTANCE TO EXECUTIVE DIRECTION AND SUPPORT SERVICES - DEDUCT										1800370

Summary: This is a new issue

Second Amended 2009-10 Narrative after February 25, 2009

Transfer of Publications Unit from Consumer Assistance to Executive Direction and Support Services

This is an agency reorganization and transfers ten (10) positions from the Division of Consumer Services (43500400) to Executive Direction and support Services (43010100) to promote efficiencies.

Summary: This is a new issue

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0090 WORD PROCESSING SYSTEMS OPERATOR							
C0212 001	1.00-	20,736-		12,052-	32,788-	0.00	32,788-
2225 GOVERNMENT ANALYST II							
C0176 001	1.00-	46,381-		13,602-	59,983-	0.00	59,983-
3721 PUBLICATIONS PRODUCTION SPECIALIST II							
C1469 001	1.00-	26,801-		10,132-	36,933-	0.00	36,933-
C4091 001	1.00-	31,801-		11,018-	42,819-	0.00	42,819-
3738 PUBLIC INFORMATION SPECIALIST							
C0042 001	1.00-	30,990-		16,864-	47,854-	0.00	47,854-
C0062 001	1.00-	33,025-		17,224-	50,249-	0.00	50,249-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
INTRA-AGENCY REORGANIZATIONS						1800000
TRANSFER PUBLICATIONS SECTION FROM						
THE DIVISION OF CONSUMER ASSISTANCE						
TO EXECUTIVE DIRECTION AND SUPPORT						
SERVICES - DEDUCT						1800370

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
C0633 001	1.00-	37,000-		6,556-	43,556-	0.00	43,556-
C4004 001	1.00-	44,754-		13,313-	58,067-	0.00	58,067-
C4018 001	1.00-	42,000-		18,814-	60,814-	0.00	60,814-
3742 PUBLIC INFORMATION DIRECTOR - SES							
C0569 001	1.00-	57,000-		23,747-	80,747-	0.00	80,747-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							513,810-
	10.00-	370,488-		143,322-	513,810-		513,810-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
WORKLOAD						3000000
PROVIDE PROACTIVE CONSUMER OUTREACH ON INSURANCE AND FINANCIAL SERVICES						
PRODUCTS						3001250
EXPENSES						040000
INSURANCE REG TF	-STATE	135,000			135,000-	2393 1
=====						
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
INSURANCE REG TF	-STATE	156,000			156,000-	2393 1
=====						
TOTAL: PROVIDE PROACTIVE CONSUMER OUTREACH ON INSURANCE AND FINANCIAL SERVICES						3001250
PRODUCTS						
TOTAL ISSUE.....		291,000			291,000-	
=====						

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Provide Proactive Consumer Outreach on Insurance Financial Services Products

Issue Description/Need:

Florida has experienced a tremendous increase in improper and deceptive marketing by insurance and financial services entities. These conditions may be attributed to the rapid growth in our population, particularly elderly and non-English speaking residents. Consumers are being harmed when they purchase insurance or financial products from unlicensed companies or companies using improper or deceptive marketing.

Ultimate Outcome:

Using funds that have been received and deposited into the Insurance Regulatory Trust Fund and reserved for consumer outreach, the department will continue consumer outreach activities and assist consumers with problems related to insurance and financial products. This will result in an increase in consumer educational material in both printed and electronic format. The department will be able to expand educational and outreach efforts to non-English and limited English speaking communities, first-time insurance buyers, elderly residents and retirees. These individuals are the prime targets for illegal insurance and financial activities. Outreach activities, including public service

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES										43000000
PGM: LICNSNG/CNSMER PROTEC										43500000
CONSUMER ASSISTANCE										43500400
PUBLIC PROTECTION										12
CONSUMER SAFETY/PROTECTION										<u>1205.00.00.00</u>
WORKLOAD										3000000
PROVIDE PROACTIVE CONSUMER OUTREACH										
ON INSURANCE AND FINANCIAL SERVICES										
PRODUCTS										3001250

Amended 2009-10 Narrative after February 25, 2009

This issue has been withdrawn by the Department of Financial Services from consideration by the Legislature for Fiscal Year 2009-10.

Summary: Issue has been removed from consideration at this time.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						1205.00.00.00
PROGRAM ISSUES						4000000
TRANSFER CONSUMER ASSISTANCE						
POSITIONS TO WORKERS' COMPENSATION						
TO PROVIDE NEEDED SUPPORT STAFF -						
DEDUCT						4005410
SALARIES AND BENEFITS						010000
INSURANCE REG TF		3.00-			3.00-	
-STATE			107,099-			107,099-
						2393 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
INSURANCE REG TF			1,203-		1,203-	
-STATE						1,203-
						2393 1
TOTAL: TRANSFER CONSUMER ASSISTANCE						4005410
POSITIONS TO WORKERS' COMPENSATION						
TO PROVIDE NEEDED SUPPORT STAFF -						
DEDUCT						
TOTAL POSITIONS.....		3.00-			3.00-	
TOTAL ISSUE.....			108,302-			108,302-

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of three positions from Consumer Assistance (43500400) to Workers' Compensation (43600100). These three support positions will be assigned to the Workers' Compensation regional offices in Pensacola, Jacksonville, Ft. Myers.

During the current fiscal year, the Division of Consumer Services consolidated its 11 call centers into two (2), effective February 1, 2009. As a result of this consolidation, it was determined some of the administrative positions in the field locations could be more efficiently used by Workers' Compensation, without displacement or relocation of the employees. In an effort to assist negatively impacted employees and meet an increased demand for administrative support in Workers' Compensation, the adversely impacted Division of Consumer Assistance employees, will be transferred to Workers' Compensation. These are filled positions; with employees whose skill sets meet the needs previously identified by Workers' Compensation. The Workers' Compensation Pensacola district office has 17 positions, the Jacksonville

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	
FINANCIAL SERVICES									43000000	
PGM: LICNSNG/CNSMER PROTEC									43500000	
CONSUMER ASSISTANCE									43500400	
PUBLIC PROTECTION									12	
CONSUMER SAFETY/PROTECTION									<u>1205.00.00.00</u>	
PROGRAM ISSUES									4000000	
TRANSFER CONSUMER ASSISTANCE										
POSITIONS TO WORKERS' COMPENSATION										
TO PROVIDE NEEDED SUPPORT STAFF -										
DEDUCT									4005410	

district office has 15 positions, and the Ft. Myers district office has 11 positions. These three district offices contain only professional level positions and do not have administrative support staff. With this transfer, the department is attempting to meet an identified need with the resources currently allocated and without requesting new budget.

Workers' Compensation currently has no vacant administrative positions to fill the support void in the district offices. These district supervisors currently perform all daily administrative responsibilities for their districts. These administrative tasks greatly detract from the overall professional and supervisory duties relative to the positions they supervise. Although Workers' Compensation does have a number of professional positions vacant, budget amendments submitted in the current fiscal year transferred budget authority into salaries and benefits; this will allow Workers' Compensation to utilize these positions to fulfill initiatives identified by the Chief Financial Officer, such as adding position to the penalty calculator program and compliance activities.

Summary: This is a new issue.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0090 WORD PROCESSING SYSTEMS OPERATOR							
C0874 001	1.00-	24,457-		15,706-	40,163-	0.00	40,163-
0108 ADMINISTRATIVE SECRETARY							
C0404 001	1.00-	24,527-		4,345-	28,872-	0.00	28,872-
C0869 001	1.00-	22,674-		15,390-	38,064-	0.00	38,064-

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
CONSUMER ASSISTANCE						43500400
PUBLIC PROTECTION						12
CONSUMER SAFETY/PROTECTION						<u>1205.00.00.00</u>
PROGRAM ISSUES						4000000
TRANSFER CONSUMER ASSISTANCE						
POSITIONS TO WORKERS' COMPENSATION						
TO PROVIDE NEEDED SUPPORT STAFF -						
DEDUCT						4005410

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							107,099-
	3.00-	71,658-		35,441-	107,099-		107,099-

TOTAL: CONSUMER SAFETY/PROTECTION							<u>1205.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	183.50	162.50			21.00-		
	15,539,443	14,211,733			1,327,710-	2000	

=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF SALARIES AND						
BENEFITS BUDGET - SALARY						
RESTRUCTURING - ADDBACK						160F880

salary realignment plan.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
RA01 RATE & SALARY ADJ - BENEFITS NO FTE							
99999 001	0.00	182,189		32,279	214,468	0.00	214,468
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							214,468
	0.00	182,189		32,279	214,468		214,468

=====

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	POS	AGY AMD REQ FY 2009-10	POS	AGY AMD N/R FY 2009-10	POS	AGY AMD ANZ FY 2009-10	POS	AGY AMD REQ FY 2009-10	AGY FIN REQ FY 2009-10	
FINANCIAL SERVICES										43000000
PGM: WORKERS' COMPENSATION										43600000
WORKERS' COMPENSATION										43600100
ECONOMIC OPPORTUNITIES										11
WORKERS' COMPENSATION										<u>1102.02.00.00</u>
ADJUSTMENTS TO CURRENT YEAR										1600000
ESTIMATED EXPENDITURES										
REALIGNMENT OF SALARIES AND										
BENEFITS BUDGET - SALARY										
RESTRUCTURING - ADDBACK										160F880

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
2795 WORKERS' COMP ADMIN TF						214,469

						214,469
						=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
EQUIPMENT NEEDS						2400000
REPLACEMENT OF MOTOR VEHICLES						2401500

Category		
Special Category		
Acquisition of Motor Vehicles (100021)		
18 Mid-Size Replacement Automobiles	\$414,000	\$ 0
7 New Vehicles	\$161,000	\$161,000
Workers' Compensation Administrative Trust Fund (2795) (1)		
Total	\$575,000	\$161,000

Amended 2009-10 Narrative after February 25, 2009

The Division of Workers' Compensation (division) is requesting \$225,400 in the Acquisition of Motor Vehicles category (category) in order to establish a recurring source of funds to replace approximately 14 vehicles per year at \$16,100 per vehicle.

The division acquired a combined total of 70 new vehicles, via specific legislative appropriation, for the Division's compliance investigators in the 2003 and 2004 Fiscal Years. These vehicles are now approaching/exceeding the Department of Management Services (DMS) guidelines for replacement; however the division has no budget authority in the category that would facilitate replacement of these old and/or aging vehicles.

The compliance and enforcement officers (investigators) have responsibility for on-site investigations and enforcement of the workers' compensation statutory requirements in all of Florida's 67 counties. The large geographic region covered by each of these investigators makes reliable vehicles an absolute requirement.

Under Chapter 289 Florida Statutes, agencies are prohibited from purchasing vehicles without the express authorization of the Legislature. Approval of this budget issue would provide the division the ability to periodically replace vehicles on a schedule that will defray future maintenance costs and avoid potential exposure and losses associated with vehicle breakdowns, where the breakdown occurs after the DMS replacement guideline has been exceeded.

Summary: This issue has been recalculated to use more current estimates of vehicle costs and more current vehicle mileages. Additionally, the 7 vehicles requested in the original issue for the regional supervisors has been deleted.

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: WORKERS' COMPENSATION										43600000
WORKERS' COMPENSATION										43600100
ECONOMIC OPPORTUNITIES										11
WORKERS' COMPENSATION										<u>1102.02.00.00</u>
EQUIPMENT NEEDS										2400000
REPLACEMENT OF MOTOR VEHICLES										2401500

The result of the revisions is a net decrease of \$(349,600) or a new total of \$225,400 replacing 14 vehicles, instead of 18.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ FY 2009-10	AGY AMD REQ FY 2009-10	AGY AMD N/R FY 2009-10	AGY AMD ANZ FY 2009-10	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10	CODES
	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
PROGRAM ISSUES						4000000
TRANSFER CONSUMER ASSISTANCE						
POSITIONS TO WORKERS' COMPENSATION						
TO PROVIDE NEEDED SUPPORT STAFF -						
ADDBACK						4005420
SALARIES AND BENEFITS						010000
		3.00			3.00	
WORKERS' COMP ADMIN TF -STATE		107,099			107,099	2795 1
=====						
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
WORKERS' COMP ADMIN TF -STATE		1,203			1,203	2795 1
=====						
TOTAL: TRANSFER CONSUMER ASSISTANCE						4005420
POSITIONS TO WORKERS' COMPENSATION						
TO PROVIDE NEEDED SUPPORT STAFF -						
ADDBACK						
TOTAL POSITIONS.....		3.00			3.00	
TOTAL ISSUE.....		108,302			108,302	
=====						

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Amended 2009-10 Narrative after February 25, 2009

The Department of Financial Services is requesting the transfer of three positions from Consumer Assistance (43500400) to Workers' Compensation (43600100). These three support positions will be assigned to the Workers' Compensation regional offices in Pensacola, Jacksonville, Ft. Myers.

During the current fiscal year, the Division of Consumer Services consolidated its 11 call centers into two (2), effective February 1, 2009. As a result of this consolidation, it was determined some of the administrative positions in the field locations could be more efficiently used by Workers' Compensation, without displacement or relocation of the employees. In an effort to assist negatively impacted employees and meet an increased demand for administrative support in Workers' Compensation, the adversely impacted Division of Consumer Assistance employees, will be transferred to Workers' Compensation. These are filled positions; with employees whose skill sets meet the needs previously identified by Workers' Compensation. The Workers' Compensation Pensacola district office has 17 positions, the Jacksonville

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: WORKERS' COMPENSATION										43600000
WORKERS' COMPENSATION										43600100
ECONOMIC OPPORTUNITIES										11
WORKERS' COMPENSATION										<u>1102.02.00.00</u>
PROGRAM ISSUES										4000000
TRANSFER CONSUMER ASSISTANCE										
POSITIONS TO WORKERS' COMPENSATION										
TO PROVIDE NEEDED SUPPORT STAFF -										
ADDBACK										4005420

district office has 15 positions, and the Ft. Myers district office has 11 positions. These three district offices contain only professional level positions and do not have administrative support staff. With this transfer, the department is attempting to meet an identified need with the resources currently allocated and without requesting new budget.

Workers' Compensation currently has no vacant administrative positions to fill the support void in the district offices. These district supervisors currently perform all daily administrative responsibilities for their districts. These administrative tasks greatly detract from the overall professional and supervisory duties relative to the positions they supervise. Although Workers' Compensation does have a number of professional positions vacant, budget amendments submitted in the current fiscal year transferred budget authority into salaries and benefits; this will allow Workers' Compensation to utilize these positions to fulfill initiatives identified by the Chief Financial Officer, such as adding position to the penalty calculator program and compliance activities.

Summary: This is a new issue.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0090 WORD PROCESSING SYSTEMS OPERATOR							
C0874 001	1.00	24,457		15,706	40,163	0.00	40,163
0108 ADMINISTRATIVE SECRETARY							
C0404 001	1.00	24,527		4,345	28,872	0.00	28,872
C0869 001	1.00	22,674		15,390	38,064	0.00	38,064

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: WORKERS' COMPENSATION						43600000
WORKERS' COMPENSATION						43600100
ECONOMIC OPPORTUNITIES						11
WORKERS' COMPENSATION						<u>1102.02.00.00</u>
PROGRAM ISSUES						4000000
TRANSFER CONSUMER ASSISTANCE						
POSITIONS TO WORKERS' COMPENSATION						
TO PROVIDE NEEDED SUPPORT STAFF -						
ADDBACK						4005420

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							107,099
	3.00	71,658		35,441	107,099		107,099

TOTAL: WORKERS' COMPENSATION							<u>1102.02.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	364.00	363.00			1.00-		430,727- 2000
	31,189,206	30,758,479					

=====

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
<u>COMP & ENFORCE- INSURANCE</u>						43900110
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF BUDGET AMENDMENT FOR						
FINANCIAL EXAMS SERVICES PROVIDED						
BY OUTSIDE VENDORS						1600410
SPECIAL CATEGORIES						100000
PROPERTY/CASUALTY EXAMS						100523
INSURANCE REG TF	-STATE	4,900,000	4,651,763		248,237-	2393 1
		=====	=====	=====	=====	=====
LIFE AND HEALTH EXAMS						100524
INSURANCE REG TF	-STATE	100,000	50,000		50,000-	2393 1
		=====	=====	=====	=====	=====
TOTAL: REAPPROVAL OF BUDGET AMENDMENT FOR						1600410
FINANCIAL EXAMS SERVICES PROVIDED						
BY OUTSIDE VENDORS						
TOTAL ISSUE.....		5,000,000	4,701,763		298,237-	
		=====	=====	=====	=====	=====

AGENCY ISSUE NARRATIVE:

2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Financial Examination Services Provided by Outside Vendors for Office of Insurance Regulation

Issue Description/Need:

This is the re-approval of Agency Budget Amendment 09-06 (EOG B0096). The amount has been increase to a total of \$5,000,000 from the approved amount of \$4,701,763.

Pursuant to Section 624.316, Florida Statutes, the Office of Insurance Regulation (Office) is required to conduct financial examinations of life and health and property and casualty insurers in order to protect consumers and provide early detection of weakening financial conditions. Prior to the 2007 Legislative Session, the Office was required to obtain consent from the insurance company in order to contract with outside experts to conduct the examination and have the company cover the costs of that outside expert. (Unless the Office suspects criminal activity on the part of the insurer). Therefore, the Office selected companies that were believed to be receptive to outsourcing. The decision to outsource examinations is based upon the need to complete examinations within the accreditation standards of the National Association of Insurance Commissioners (NAIC).

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
<u>COMP & ENFORCE- INSURANCE</u>						43900110
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF BUDGET AMENDMENT FOR						
FINANCIAL EXAMS SERVICES PROVIDED						
BY OUTSIDE VENDORS						1600410

Detail of Costs: The Office requests budget authority for \$4,701,763 in contracted services. This amount will cover exams for FY 2009-2010.

Summary: The amount of this issue has been changed to comply with the original approve budget amendment.

Category	Amount	Non-recurring
	-----	-----
Property and Casualty Exams (100523):		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$4,651,763	\$ 0
Life and Health Exams (100524)		
Insurance Regulatory Trust Fund (2393) (1)		
Total	\$ 50,000	\$ 0
-----	-----	-----
Issue Total	\$4,701,763	\$ 0

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
WORKLOAD						3000000
IMPLEMENTATION OF THE SAFE MORTGAGE						
LICENSING ACT OF 2008						3000A00
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE	17.00	961,391			17.00-	961,391- 2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE	170,189				170,189-	2573 1
OPERATING CAPITAL OUTLAY						060000
REGULATORY TRUST FUND -STATE	17,000				17,000-	2573 1
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE	6,817				6,817-	2573 1
TOTAL: IMPLEMENTATION OF THE SAFE MORTGAGE						3000A00
LICENSING ACT OF 2008						
TOTAL POSITIONS.....	17.00				17.00-	
TOTAL ISSUE.....	1,155,397				1,155,397-	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Issue Title: Implementation of the SAFE Mortgage Licensing Act of 2008

Issue Description/Need

In July 2008, President George Bush signed into law major housing reform legislation (Public Law 110-289). The legislation is designed to prevent foreclosures, stabilize the declining housing market, and reform the government-sponsored enterprises, Fannie Mae and Freddie Mac. This measure is titled "The Secure and Fair Enforcement in

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
IMPLEMENTATION OF THE SAFE MORTGAGE						
LICENSING ACT OF 2008						3000A00

mortgage broker license in order to be actively licensed by the October 1, 2009 deadline. In conclusion, this means that OFR may be faced with an initial 26,000 unlicensed individuals seeking a mortgage broker license before October 1, 2009; then by no later than December 31, 2009 a potential 47,000 licensed mortgage brokers applying for the new loan originator license. In total, this means that OFR may have to process 73,000 individual license applications by December 31, 2010.

Currently, mortgage brokers only renew every other year but are required to renew annually under the SAFE Act. Additionally, the SAFE Act requires all loan originators must continue to meet the same minimum licensing standards at renewal as they did upon initial licensure. Consequently, OFR is proposing legislation that will require loan originators and control persons of mortgage broker companies and mortgage lenders to annually submit a fingerprint card to enable a criminal background check, an updated credit report, and updated disclosure information prior to the issuance of a renewal license for the loan originators, brokers and lenders. This will require OFR to review over 60,000 (47,000 loan originators and about 13,000 control persons) additional fingerprint cards, credit reports and disclosure information prior to each license renewal beginning December 31, 2010. The significant changes in licensing and renewal standards will result in the need for additional FTEs to perform these tasks and comply with the mandates established by federal law.

Ultimate Outcome:

If this issue is not funded, OFR will not be able to comply with the federal mandate of the SAFE Act and regulation of Florida's mortgage industry would revert to HUD. The states have one year from passage of the SAFE Act to adopt these increased licensing standards and participate in the NMLS. The additional FTEs are requested to allow OFR to review license applications and renewal information in accordance with the new federal mandates related to loan originators.

Detail of Costs:

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Financial Administrator	1587	429	1	56,147	75,969	10,112	1,000	401	89,382
Fin Exam Analyst Super	1575	426	1	46,382	64,452	10,112	1,000	401	75,965
Financial Specialist	1566	025	4	174,034	244,249	40,448	4,000	1,604	290,301
Financial Examiner II	1564	023	8	309,288	442,775	80,896	8,000	3,208	534,879
Financial Examiner I	1554	020	2	65,396	96,632	20,224	2,000	802	119,658
Admin Assistant I	1427	015	1	24,581	37,314	8,397	1,000	401	47,112
Total			17	675,828	961,391	170,189	17,000	6,817	1,157,297

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
IMPLEMENTATION OF THE SAFE MORTGAGE						
LICENSING ACT OF 2008						3000A00

Category	Amount	Non-recurring
	-----	-----
Salaries and Benefits (010000):		
Regulatory Trust Fund (2573) (1)		
Total	\$961,391	\$ 0
Expenses:		
Regulatory Trust Fund (2573) (1)		
16 Professional Packages at 10,112	\$161,792	\$54,592
1 Support Staff at \$8,397	\$ 8,397	\$ 2,971
Total Expense	\$170,189	\$57,563
Operating Capital Outlay (060000):		
Regulatory Trust Fund (2573) (1)		
17 Standard OCO packages	\$ 17,000	\$17,000
Transfer to Human Resource Services (107040):		
Regulatory Trust Fund (2573) (1)		
Total	\$ 6,817	\$ 0
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Issue Total	\$1,163,397	\$82,563

The Office of Financial Regulation withdraws this issue and has added a request for additional positions to issue code 36354CO for the National Mortgage Licensing System Integration.

COL A12	COL A14	COL A15	COL A16	COL A14-A12	CODES
AGY FIN REQ FY 2009-10 POS	AGY AMD REQ FY 2009-10 POS	AGY AMD N/R FY 2009-10 POS	AGY AMD ANZ FY 2009-10 POS	AGY AMD REQ FY 2009-10 OVER(UNDER) AGY FIN REQ FY 2009-10 POS	AMOUNT
FINANCIAL SERVICES					43000000
PGM: FINANCIAL SVCS COMM					43900000
OFFICE OF FINANCIAL REG					43900500
<u>FINANCE REGULATION</u>					43900560
<u>PUBLIC PROTECTION</u>					12
<u>REGULATION AND LICENSING</u>					1204.00.00.00
WORKLOAD					3000000
IMPLEMENTATION OF THE SAFE MORTGAGE					
LICENSING ACT OF 2008					3000A00

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FIN REQ FY 2009-10						
NEW POSITIONS						
1427 ACCOUNTANT I						
N2155 001	1.00	24,581	12,733	37,314	0.00	37,314
1554 FINANCIAL EXAMINER/ANALYST I - SES						
N2154 001	2.00	65,396	31,236	96,632	0.00	96,632
1564 FINANCIAL EXAMINER/ANALYST II - SES						
N2153 001	8.00	309,288	133,487	442,775	0.00	442,775
1566 FINANCIAL SPECIALIST - SES						
N2152 001	4.00	174,032	70,217	244,249	0.00	244,249
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES						
N2151 001	1.00	46,382	18,070	64,452	0.00	64,452
1587 FINANCIAL ADMINISTRATOR - SES						
N2150 001	1.00	56,149	19,820	75,969	0.00	75,969
TOTALS FOR ISSUE BY FUND						
2573 REGULATORY TRUST FUND						961,391
	17.00	675,828	285,563	961,391		961,391

COL A12		COL A14		COL A15		COL A16		COL A14-A12		CODES
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES										43000000
PGM: FINANCIAL SVCS COMM										43900000
OFFICE OF FINANCIAL REG										43900500
<u>FINANCE REGULATION</u>										43900560
<u>PUBLIC PROTECTION</u>										12
<u>REGULATION AND LICENSING</u>										<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL										
INFORMATION TECHNOLOGY										3630000
LICENSING ENFORCEMENT SYSTEM										36318C0

Senate Bill 1892 requires in part "All data center functions performed shall be transferred to the primary data center and that agency shall become a full-service customer entity by July 1, 2010.". To comply with this mandate, the Office has begun the process to relocate the hardware and related software for the REAL System to the Southwood Shared Resource Center (SSRC). Likewise, the SSRC is a hardened facility and the move will facilitate the agency's ability to recover systems in the event of a disaster. Annual recurring hosting costs of \$54,804 will be required beginning FY 2009-2010.

The Office is responsible for ensuring that software and hardware maintenance contracts are in force. The Office is utilizing state term contract provisions to secure the annual maintenance contracts for software and hardware. For FY 2009-10 the annual maintenance costs for hardware and software will be approximately 361,610.

The agency has estimated contingency at the industry standard of 7% of total projected operations and maintenance cost to cover unplanned needs for software or hardware, unanticipated changes to the Commercial Off-the-shelf package, and/or other unknown costs. The amount for FY 09-10 is \$142,063.

FY 2009-10 funding request:

\$ 806,530	Annualization of funding provided in FY 2008-09 for operational maintenance and support
\$ 54,804	Hosting costs at the Southwood SRC
\$ 361,610	Software and hardware maintenance costs
\$ 142,063	Contingency amount requested
\$1,365,007	Total

Summary: This is a new issue.

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
FINANCE REGULATION						43900560
PUBLIC PROTECTION						12
REGULATION AND LICENSING						1204.00.00.00
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
NATIONWIDE MORTGAGE LICENSING						
SYSTEM INTEGRATION						36354C0
SALARIES AND BENEFITS						010000
REGULATORY TRUST FUND -STATE		7.00			7.00	
			404,908			404,908
						2573 1
EXPENSES						040000
REGULATORY TRUST FUND -STATE			70,784	23,884		70,784
						2573 1
OPERATING CAPITAL OUTLAY						060000
REGULATORY TRUST FUND -STATE			7,000	7,000		7,000
						2573 1
SPECIAL CATEGORIES						100000
CONTRACTED SERVICES						100777
REGULATORY TRUST FUND -STATE	1,250,000	1,439,912	1,439,912		189,912	2573 1
TR/DMS/HR SVCS/STW CONTRCT						107040
REGULATORY TRUST FUND -STATE		2,807			2,807	2573 1
TOTAL: NATIONWIDE MORTGAGE LICENSING						36354C0
SYSTEM INTEGRATION						
TOTAL POSITIONS.....		7.00			7.00	
TOTAL ISSUE.....	1,250,000	1,925,411	1,470,796		675,411	

AGENCY ISSUE NARRATIVE:
 2009-2010 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Issue Title: Nationwide Mortgage Licensing System Integration

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS
						AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
NATIONWIDE MORTGAGE LICENSING						
SYSTEM INTEGRATION						36354C0

Issue Description/Need:

The Florida Office of Financial Regulation (Office or OFR) has embarked on a major project to transform the existing set of outdated systems and inefficient processes into a more modern information system to support key business functions and interaction with external entities. The Regulatory Enforcement and Licensing (REAL) System will provide OFR with an integrated financial regulatory management system by combining core processes for licensing, investigations, examinations, legal and complaint functions. This consolidation will provide a comprehensive picture of regulated entities from the time they apply for a license or become registered, to the point of their termination. Additionally, the new system will incorporate an imaging, workflow, and document management component to assist the agency in coming closer to a paperless regulatory processing and enforcement environment. Release 1 of the REAL System was deployed in March, 2008 and is currently in use by Chapter 494 entities, Mortgage Brokers and Lenders. Release 2 is scheduled for deployment in January, 2009 and will encompass the remaining licensing types of the Office.

On July 23, 2008, President George Bush signed into law major housing reform legislation (Public Law 110-289). The legislation is designed to prevent foreclosures, stabilize the declining housing market, and reform the government-sponsored enterprises Fannie Mae and Freddie Mac. This legislation will have significant impact on the operations of the Office. The legislation includes a measure to establish minimum national licensing and oversight standards for America's mortgage brokers and loan originators. This measure is titled "The Secure and Fair Enforcement in Mortgage Licensing Act of 2008," or "The SAFE Mortgage Licensing Act of 2008." The SAFE Mortgage Licensing Act of 2008 is intended to eliminate those persons with a history of certain criminal activity or specific misconduct relating to loan origination from the mortgage industry. The Act will also require mortgage brokers and loan originators to meet minimum national standards to ensure they are professional, competent and trustworthy, and provides authority for the establishment of a Nationwide Mortgage Licensing System or (NMLS). This system has been developed by the Conference of State Bank Supervisors and American Association of Residential Mortgage Regulators and is intended to increase uniformity, reduce regulatory burden, and enhance consumer protection. OFR currently regulates almost 64,000 mortgage broker individuals, and over 14,800 mortgage broker businesses and lenders. With the passage of the bill, the agency will now be required to license and regulate mortgage loan originators. It is estimated that over 50,000 mortgage loan originators will seek licensure to comply with the law.

Additionally, all states will be required to license their mortgage brokers and loan originators through the NMLS. These entities must comply with minimum licensing standards as established by this Act or be subjected to regulation of the mortgage industry by the Department of Housing and Urban Development (HUD). The states have one year from passage of this act to adopt these increased licensing standards and participate in the NMLS with a possible extension of two

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
NATIONWIDE MORTGAGE LICENSING						
SYSTEM INTEGRATION						36354C0

additional years. The Office will propose significant legislative changes to Chapter 494, F.S., in the 2009 Legislative session to comply with federal law.

The Office proposes to begin participating in the NMLS by Fiscal Year 2009-10 by accepting applications, renewals, and amendment filings via the NMLS for the mortgage industry. To meet this timeframe the agency anticipates continuation of the design effort for the REAL System in Fiscal Year 2008-09 with implementation of the NMLS system scheduled for January 2010. This implementation effort will integrate the REAL System with the NMLS where feasible, allowing the agency to retain the efficiencies gained from the utilization of REAL System. The integration phase will include: development of a detailed design for integration with NMLS, implementation and testing of modifications to REAL, and training to support business process changes resulting from legislation and system integration.

Use of the NMLS will require a participation fee of \$500,000. This represents the agency's prorated share of system development costs which is based on the number of mortgage industry licensees regulated. This is a one-time fee all states must pay to begin using the national system. In addition, the integration of the two systems is estimated to cost \$1,550,000 over FY 2008-09 and FY 2009-10. A budget amendment for \$800,000 will be requested for the FY 2008-09 portion.

Ultimate Outcome: Funding of this issue will serve to enhance consumer protection by providing a comprehensive licensing and supervisory database for use by the Office in its regulation of the mortgage industry. If this issue is not funded the Office will not be able to comply with the federal mandate; and regulation of Florida's mortgage industry would revert to the Department of Housing and Urban Development (HUD). The states have one year from passage of this act to adopt these increased licensing standards and participate in the NMLS.

Detail of Costs:

Category	Amount	Non-Recurring
Contracted Services (100777):		
NMLS Participation Fee	\$ 500,000	\$ 500,000
NMLS-REAL Integration Phase	\$ 750,000	\$ 750,000
Regulatory Trust Fund (2573) (1)		
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Issue Total	\$1,250,000	\$1,250,000

Amended 2009-10 Narrative after February 25, 2009

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
NATIONWIDE MORTGAGE LICENSING						
SYSTEM INTEGRATION						36354C0

Class Title	CC	PG	FTE	Rate	Annual Salaries	Annual Expenses	OCO	HR Services	FY 09-10 Total
Fin Exam Analyst Super	1575	426	1	46,382	64,452	10,112	1,000	401	75,965
Financial Specialist	1566	025	3	130,526	183,187	30,336	3,000	1,203	217,726
Financial Examiner II	1564	023	3	115,983	166,041	30,336	3,000	1,203	200,580
Total	7	292,891	413,680	70,784	7,000	2,807	494,271		

Category	Amount	Non-recurring
Salaries and Benefits (010000):		
Regulatory Trust Fund (2573) (1)	\$413,680	\$ 0
Total		
OPS		\$ 83,430
Expenses:		
Regulatory Trust Fund (2573) (1)		
7 Professional Packages at 10,112	\$ 70,784	\$ 23,884
Total Expense	\$ 70,784	\$ 23,884
Operating Capital Outlay (060000):		
Regulatory Trust Fund (2573) (1)		
7 Standard OCO packages	\$ 7,000	\$ 7,000
Transfer to Human Resource Services (107040):		
Regulatory Trust Fund (2573) (1)		

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
					AGY AMD REQ	
					FY 2009-10	
					OVER(UNDER)	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY FIN REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
NATIONWIDE MORTGAGE LICENSING						
SYSTEM INTEGRATION						36354C0
Total			\$ 2,807	\$ 0		
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Issue Total			\$577,701	\$113,873		

Issue Title: Nationwide Mortgage Licensing System Integration

Issue Description/Need:

On July 23, 2008, the President signed into law major housing reform legislation (Public Law 110-289) designed to prevent foreclosures, stabilize the declining housing market, and reform the government-sponsored enterprises Fannie Mae and Freddie Mac. This legislation will have significant impact on the operations of the Office. The legislation includes a measure to establish minimum national licensing and oversight standards for America's mortgage brokers and loan originators. This measure is titled "The Secure and Fair Enforcement in Mortgage Licensing Act of 2008," or "The SAFE Mortgage Licensing Act of 2008." The SAFE Mortgage Licensing Act of 2008 is intended to eliminate those persons with a history of certain criminal activity or specific misconduct relating to loan origination from the mortgage industry. The Act will also require mortgage brokers and loan originators to meet minimum national standards to ensure they are professional, competent and trustworthy, and provides authority for the establishment of a Nationwide Mortgage Licensing System (NMLS). This system has been developed by the Conference of State Bank Supervisors (CSBS) and American Association of Residential Mortgage Regulators and is intended to increase uniformity, reduce regulatory burden, and enhance consumer protection. The SAFE Act will also require the licensure of "loan originators" who are currently exempt under Florida law.

Additionally, all states will be required to license their mortgage brokers and loan originators through the NMLS. These entities must comply with minimum licensing standards as established by this Act or be subjected to regulation of the mortgage industry by the Department of Housing and Urban Development (HUD). The states have one year from passage of this act to adopt these increased licensing standards and participate in the NMLS with a possible extension of two additional years. The Office will propose significant legislative changes to Chapter 494, F.S., in the 2009 Legislative session to comply with federal law.

The Office proposes to begin participating in the NMLS by Fiscal Year 2009-10 by accepting applications, renewals, and amendment filings via the NMLS for the mortgage industry. To meet this timeframe the agency is currently working on developing the requirements for an interface with the NMLS system in January 2010. This implementation effort will integrate the REAL System with the NMLS where feasible, allowing the agency to retain the efficiencies gained from the

	COL A12	COL A14	COL A15	COL A16	COL A14-A12	
	AGY FIN REQ	AGY AMD REQ	AGY AMD N/R	AGY AMD ANZ	AGY AMD REQ	
	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	FY 2009-10	
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
						CODES
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
<u>FINANCE REGULATION</u>						43900560
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL						
INFORMATION TECHNOLOGY						3630000
NATIONWIDE MORTGAGE LICENSING						
SYSTEM INTEGRATION						36354C0

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2009-10							
NEW POSITIONS							
1564 FINANCIAL EXAMINER/ANALYST II							
N0004 001	3.00	115,983		45,686	161,669	0.00	161,669
1566 FINANCIAL SPECIALIST							
N0002 001	3.00	130,524		48,263	178,787	0.00	178,787
1575 FINANCIAL EXAMINER/ANALYST SUPV - SES							
N0001 001	1.00	46,382		18,070	64,452	0.00	64,452
TOTALS FOR ISSUE BY FUND							
2573 REGULATORY TRUST FUND							404,908
	7.00	292,889		112,019	404,908		404,908

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	155.00	145.00			10.00-		
	18,547,302	19,432,323	1,560,765			885,021	2000

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