

BUDGET ENTITY	D3A ISSUE CODE	COLUMN NUMBERS	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>EXECUTIVE DIR/SUPPORT SVCS</u>				43010100
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	6,583,522			
=====				
SALARIES AND BENEFIT				010000
	132.00			
ADMINISTRATIVE TRUST FUND -STATE	9,314,578			2021 1
=====				
OTHER PERSONAL SERV				030000
ADMINISTRATIVE TRUST FUND -STATE	107,899			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	1,333,766			2021 1
=====				
OPERATING CAPITAL O				060000
ADMINISTRATIVE TRUST FUND -STATE	10,000			2021 1
=====				
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR V				100021
ADMINISTRATIVE TRUST FUND -STATE	1,240,217			2021 1
=====				
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	427,325			2021 1
=====				
OPERATION/MOTOR VEH				102289
ADMINISTRATIVE TRUST FUND -STATE	3,500			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	67,306			2021 1
TENANT BROKER COMMI				105084
ADMINISTRATIVE TRUST FUND -STATE	60,000			2021 1
LEASE/PURCHASE/EQUI				105281
ADMINISTRATIVE TRUST FUND -STATE	144,268			2021 1
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE	49,765			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	132.00			
TOTAL ISSUE.....	12,758,624			
TOTAL SALARY RATE.....	6,583,522			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	9,752-			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
ADMINISTRATIVE TRUST FUND -STATE		23,322-		2021 1
=====		=====		=====
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
ADMINISTRATIVE TRUST FUND -STATE		25,759		2021 1
=====		=====		=====
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE		8		2021 1
=====		=====		=====
PROGRAM REDUCTIONS				33V0000
VACANT POSITION REDUCTIONS				33V1620
SALARY RATE				000000
SALARY RATE.....		14,795-		
=====		=====		=====
SALARIES AND BENEFIT				010000
		2.00-		
ADMINISTRATIVE TRUST FUND -STATE		39,377-		2021 1
=====		=====		=====
TOTAL: VACANT POSITION REDUCTIONS				33V1620
TOTAL POSITIONS.....		2.00-		
TOTAL ISSUE.....		39,377-		
TOTAL SALARY RATE.....		14,795-		
=====		=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,962,197			
=====				
SALARIES AND BENEFIT				010000
	92.00			
ADMINISTRATIVE TRUST FUND -STATE	6,777,418			2021 1
=====				
OTHER PERSONAL SERV				030000
ADMINISTRATIVE TRUST FUND -STATE	279,388			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	714,736			2021 1
=====				
OPERATING CAPITAL O				060000
ADMINISTRATIVE TRUST FUND -STATE	3,639			2021 1
=====				
SPECIAL CATEGORIES				100000
TRANS TO DIV ADM HE				100565
ADMINISTRATIVE TRUST FUND -STATE	459,570			2021 1
=====				
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	253,306			2021 1
=====				
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	18,214			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUI				105281
ADMINISTRATIVE TRUST FUND -STATE	17,361			2021 1
=====				
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE	27,674			2021 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	92.00			
TOTAL ISSUE.....	8,551,306			
TOTAL SALARY RATE.....	4,962,197			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	14,704			2021 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
ADMINISTRATIVE TRUST FUND -STATE	17,883-			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFI				010000
ADMINISTRATIVE TRUST FUND -STATE	18,708			2021 1
=====	=====	=====	=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE	4			2021 1
=====	=====	=====	=====	
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT				
#B0027 - TRANSFER TWO ATTORNEY				
POSITIONS FROM REHABILITATION AND				
LIQUIDATION TO LEGAL - ADD				1600430
SALARY RATE				000000
SALARY RATE.....	101,940			
=====	=====	=====	=====	
SALARIES AND BENEFI				010000
ADMINISTRATIVE TRUST FUND -STATE	2.00	142,086		2021 1
=====	=====	=====	=====	
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE	730			2021 1
=====	=====	=====	=====	
TOTAL: REAPPROVAL OF BUDGET AMENDMENT				1600430
#B0027 - TRANSFER TWO ATTORNEY				
POSITIONS FROM REHABILITATION AND				
LIQUIDATION TO LEGAL - ADD				
TOTAL POSITIONS.....	2.00			
TOTAL ISSUE.....		142,816		
TOTAL SALARY RATE.....	101,940			
=====	=====	=====	=====	

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PRG: CHIEF FIN OFFICER/ADM						43010000
<u>LEGAL SERVICES</u>						43010200
GOV OPERATIONS/SUPPORT						16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>						<u>1602.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF BUDGET AMENDMENT						
#B0027 - TRANSFER TWO ATTORNEY						
POSITIONS FROM REHABILITATION AND						
LIQUIDATION TO LEGAL - ADD						1600430

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue is a continuation of Budget Amendment #B0027 which transferred two attorney positions from the Division of Rehabilitation and Liquidation to the Division of Legal Services. This centralizes legal staff to one division. The amendment was approved on 8/4/2015.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7736 ATTORNEY							
N0467 002	2.00	101,940		40,146	142,086	0.00	142,086
TOTALS FOR ISSUE BY FUND							
2021 ADMINISTRATIVE TRUST FUND							142,086
	2.00	101,940		40,146	142,086		142,086

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>LEGAL SERVICES</u>				43010200
GOV OPERATIONS/SUPPORT				16
<u>EXEC LEADERSHIP/SUPPRT SVC</u>				<u>1602.00.00.00</u>
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
	94.00			
TRUST FUNDS.....		8,709,655		2000
SALARY RATE.....		5,064,137		
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	7,014,597			
=====				
SALARIES AND BENEFIT				010000
	131.00			
ADMINISTRATIVE TRUST FUND -STATE	10,083,921			2021 1
=====				
OTHER PERSONAL SERV				030000
ADMINISTRATIVE TRUST FUND -STATE	98,834			2021 1
=====				
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	3,207,908			2021 1
=====				
OPERATING CAPITAL O				060000
ADMINISTRATIVE TRUST FUND -STATE	844,120			2021 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	7,202,454			2021 1
=====				
OPERATION/MOTOR VEH				102289
ADMINISTRATIVE TRUST FUND -STATE	2,900			2021 1
=====				
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	58,701			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
DEFERRED-PAY COM CO				105280
ADMINISTRATIVE TRUST FUND -STATE	184,076			2021 1
LEASE/PURCHASE/EQUI				105281
ADMINISTRATIVE TRUST FUND -STATE	8,275			2021 1
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE	45,922			2021 1
DATA PROCESSING SERVICES				210000
STATE DATA CENTER -				210001
ADMINISTRATIVE TRUST FUND -STATE	1,776			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	131.00			
TOTAL ISSUE.....	21,738,887			
TOTAL SALARY RATE.....	7,014,597			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INS				
ADMINISTRATIVE TRUST FUND -STATE	7,856			2021 1

	COL A03		COL A04		COL A05		CODES
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFORMATION TECHNOLOGY</u>							43010300
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
ADMINISTRATIVE TRUST FUND -STATE		32,084-					2021 1
=====							
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							1001430
SALARIES AND BENEFIT							010000
ADMINISTRATIVE TRUST FUND -STATE		29,083					2021 1
=====							
DATA PROCESSING SERVICES							210000
STATE DATA CENTER -							210001
ADMINISTRATIVE TRUST FUND -STATE		1					2021 1
=====							
TOTAL: HEALTH INSURANCE SUBSIDY - RETIREES							1001430
FOR FY 2015-16							
TOTAL ISSUE.....		29,084					
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
ADMINISTRATIVE TRUST FUND -STATE		7					2021 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
REMEDY AND PROCESS SUPPORT STAFF				
AUGMENTATION				2103131
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	20,104-			2021 1
=====	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	336,000-			2021 1
=====	=====	=====	=====	
TOTAL: REMEDY AND PROCESS SUPPORT STAFF				2103131
AUGMENTATION				
TOTAL ISSUE.....	356,104-			
=====	=====	=====	=====	
REVIEWING AND MONITORING OF PROGRAM				
CHANGE REQUESTS				2103132
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	12,339-			2021 1
=====	=====	=====	=====	
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
INFORMATION TECHNOLOGY STAFF				
AUGMENTATION				36240C0
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	11,024	11,024		2021 1
=====	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	532,292	532,292		2021 1
=====	=====	=====	=====	
TOTAL: INFORMATION TECHNOLOGY STAFF				36240C0
AUGMENTATION				
TOTAL ISSUE.....	543,316	543,316		
=====	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
INFORMATION TECHNOLOGY STAFF				
AUGMENTATION				36240C0

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests budget authority for staff augmentation to assist the Division of Information Systems (DIS) with projects and operations within the division that are used to support the operations of the Department of Financial Services (DFS), the Office of Financial Regulation (OFR) and the Office of Insurance Regulation (OIR). Each issue also includes 5,512 in expenses budget authority to provide the contract employee with an office set-up. An office set-up consists of the items in the standard expenses package developed by the Governor's Office of Policy and Budget minus postage, bookcase, sidearm chair, and building rental.

ORACLE 12c Project Support Staff Augmentation - 186,304

This issue requests one contract employee to serve as a project manager whose responsibility will be to orchestrate application testing and migration schedules for the upgrade of Oracle databases, with over 100 applications. In order to stay in Premier Database support, the Oracle databases must be upgraded to version 12c, and it is estimated to take one year to complete the upgrade project. Premier Database Support means that product bug fixes are available. Being out of Premier Support means that the only option is to upgrade, rather than to utilize a product bug fix. This issue will minimize the upgrade time and impact on existing Oracle support staff as well as testing time for applications. DIS requests funding for staff augmentation services at the rate of \$93 an hour for 1944 hours for one resource and an office set-up.

If not funded, the burden of the project will fall on the Database Administration staff, causing the project to take longer to complete and severely impacting the current work load. Not funding this project will cause a risk in achieving Premier Support as it is time sensitive. It is preferred that databases are upgraded every five years. By not funding this project divisional applications in most of the departments divisions will be impacted by the amount of time required for testing and migrating applications.

Staff Augmentation for Security Projects and Operations - 357,012

This issue requests funding for one additional staff augmentation for security projects and operations. Funding is requested for 1900 hours of work for one year at \$185/hour for one resource with an office set-up. Currently, DIS owns multiple security products and services with few highly trained individuals to manage, maintain and improve the products. Organizations across the globe are having a hard time optimizing the security tools they have with trained staff to watch and alert with those tools. Those challenges have given malicious groups an opportunity to infiltrate environments increasing risks of security breaches. This issue will allow DIS to provide expertise to roll out and monitor security

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
AGENCY-WIDE INFORMATION TECHNOLOGY				3620000
INFORMATION TECHNOLOGY STAFF				
AUGMENTATION				36240C0

for the DFS information technology environment so that DIS can ensure a climate that is secure and one where DIS can timely respond to any and all breaches or infiltration into the DFS systems.

DFS security operations and reaction time would suffer if not funded. An operational expert security resource allows the Information Security Office to tune security tools and processes as well as diagnose possible security incidents faster. The tools currently employed are mostly based on McAfee security suite.

INFORMATION TECHNOLOGY PROCESSOR AND NAMED USER LICENSES EXPENSES				36250C0 040000
ADMINISTRATIVE TRUST FUND -STATE	281,770	281,770		2021 1
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	61,985			2021 1
	=====	=====	=====	
TOTAL: INFORMATION TECHNOLOGY PROCESSOR AND NAMED USER LICENSES				36250C0
TOTAL ISSUE.....	343,755	281,770		
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests the purchase of processor licenses, named user licenses, and processor and named user maintenance and support. The ORACLE RAC (Real Application Cluster) Processor and Named User Licenses are necessary for ORACLE Database and Applications' High Availability for most of the Department of Financial Services (DFS) enterprise including the Office of Financial Regulation (OFR) and the Office of Insurance Regulation (OIR). The ORACLE RAC Processor and Named User Maintenance and Support is for Oracle Database and Applications' High Availability. The department uses the Oracle relational database product to store much of the agency's data processing applications' data.

If not funded, the Division of Information Systems will not be able to provide High Availability for ORACLE Applications

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PRG: CHIEF FIN OFFICER/ADM						43000000
<u>INFORMATION TECHNOLOGY</u>						43010000
GOV OPERATIONS/SUPPORT						43010300
<u>INFORMATION TECHNOLOGY</u>						16
AGENCY-WIDE INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
INFORMATION TECHNOLOGY PROCESSOR						3620000
AND NAMED USER LICENSES						36250C0

(approximately 80) to most of the divisions within DFS as well as OFR and OIR. If licenses are not procured, when an ORACLE database fails over to another server, application users' transactions are lost and the user has to start the transaction over again. With ORACLE RAC, the Application State is maintained in the event of a database fail-over and the end users' transaction is uninterrupted. The ORACLE Applications will also be uninterrupted during maintenance windows.

TOTAL: INFORMATION TECHNOLOGY						<u>1603.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....						131.00
SALARY RATE.....						22,262,378
						825,086
=====						2000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				1602.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	484,372			
=====				
SALARIES AND BENEFIT				010000
INSURANCE REG TF -STATE	5.00			
	562,438			2393 1
=====				
OTHER PERSONAL SERV				030000
INSURANCE REG TF -STATE		61,100		
				2393 1
=====				
EXPENSES				040000
INSURANCE REG TF -STATE		68,357		
				2393 1
=====				
OPERATING CAPITAL O				060000
INSURANCE REG TF -STATE		4,000		
				2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF -STATE		20,471		
				2393 1
=====				
RISK MANAGEMENT INS				103241
INSURANCE REG TF -STATE		694		
				2393 1
=====				
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF -STATE		1,888		
				2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
CONSUMER ADVOCATE				43010400
GOV OPERATIONS/SUPPORT				16
EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF -STATE		1,777		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	5.00			
TOTAL ISSUE.....		720,725		
TOTAL SALARY RATE.....		484,372		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
INSURANCE REG TF -STATE		3		2393 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
INSURANCE REG TF -STATE		1,232-		2393 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
INSURANCE REG TF -STATE		1,462		2393 1
TOTAL: EXEC LEADERSHIP/SUPPRT SVC				<u>1602.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	5.00	720,958		2000
SALARY RATE.....		484,372		

	COL A03		COL A04		COL A05		CODES
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFO TECHNOLOGY - FLAIR</u>							43010500
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		4,794,968					
=====							
SALARIES AND BENEFIT							010000
GENERAL REVENUE FUND -STATE		6,147,287					1000 1
ADMINISTRATIVE TRUST FUND -STATE		495,746					2021 1

TOTAL POSITIONS.....		96.00					
TOTAL APPRO.....		6,643,033					
=====							
OTHER PERSONAL SERV							030000
GENERAL REVENUE FUND -STATE		5,000					1000 1
=====							
EXPENSES							040000
GENERAL REVENUE FUND -STATE		1,198,941					1000 1
ADMINISTRATIVE TRUST FUND -STATE		168,513					2021 1

TOTAL APPRO.....		1,367,454					
=====							
OPERATING CAPITAL O							060000
GENERAL REVENUE FUND -STATE		104,880					1000 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
GENERAL REVENUE FUND -STATE		2,968,816					1000 1
ADMINISTRATIVE TRUST FUND -STATE		681,500					2021 1

TOTAL APPRO.....		3,650,316					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
DEFERRED-PAY COM CO				105280
GENERAL REVENUE FUND -STATE		85,914		1000 1
ADMINISTRATIVE TRUST FUND -STATE		25,000		2021 1
TOTAL APPRO.....		110,914		
LEASE/PURCHASE/EQUI				105281
GENERAL REVENUE FUND -STATE		1,424		1000 1
TR/DMS/HR SVCS/STW				107040
GENERAL REVENUE FUND -STATE		30,074		1000 1
ADMINISTRATIVE TRUST FUND -STATE		2,875		2021 1
TOTAL APPRO.....		32,949		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	96.00			
TOTAL ISSUE.....		11,915,970		
TOTAL SALARY RATE.....		4,794,968		
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
GENERAL REVENUE FUND -STATE		19,620-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		1,582-		2021 1
TOTAL APPRO.....		21,202-		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PRG: CHIEF FIN OFFICER/ADM							43010000
<u>INFO TECHNOLOGY - FLAIR</u>							43010500
GOV OPERATIONS/SUPPORT							16
<u>INFORMATION TECHNOLOGY</u>							<u>1603.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							1001430
SALARIES AND BENEFI							010000
GENERAL REVENUE FUND -STATE		17,206					1000 1
ADMINISTRATIVE TRUST FUND -STATE		1,387					2021 1
TOTAL APPRO.....		18,593					
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
ADMINISTRATIVE TRUST FUND -STATE		5					2021 1
NONRECURRING EXPENDITURES							2100000
FLORIDA ACCOUNTING INFORMATION							
RESOURCE (FLAIR) SUPPORT							2103134
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
ADMINISTRATIVE TRUST FUND -STATE		250,000-					2021 1
PROGRAM ISSUES							4000000
FLORIDA ACCOUNTING INFORMATION							
RESOURCE (FLAIR) SUPPORT							40076C0
EXPENSES							040000
GENERAL REVENUE FUND -STATE		96,226		18,902			1000 1
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
GENERAL REVENUE FUND -STATE		1,911,000					1000 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
PROGRAM ISSUES				4000000
FLORIDA ACCOUNTING INFORMATION				
RESOURCE (FLAIR) SUPPORT				40076C0
TOTAL: FLORIDA ACCOUNTING INFORMATION				40076C0
RESOURCE (FLAIR) SUPPORT				
TOTAL ISSUE.....		2,007,226	18,902	

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests additional budget authority to provide continuing support to the Florida Accounting Information Resource (FLAIR) system until the replacement system is in place. The function of FLAIR application development and support is currently maintained by full time employees. FLAIR was developed in the 1970s and implemented in the 1980s. The coding language for FLAIR is outdated and the resources needed to support the application are becoming more limited. Although the department has advertised vacant positions and attended job recruitment fairs, it is still difficult to attract full time employees with accounting knowledge and experience with Natural/ADABAS since that technology is not widely used.

This issue will support the department by maintaining the level of service necessary to use Florida's accounting system until the replacement of the system with an enterprise resource planning system (ERP) by supporting the applications with contracted resources.

The contracted resources will provide:

Resources needed due to a retiring workforce that supports the FLAIR application.
 Expertise needed to maintain the current level of performance and staff efficiency.
 Resources to address audit concerns.

Leveraging an innovative application development and support model for FLAIR sub-systems (Central Accounting, Departmental Accounting, Payroll, and the Information Warehouse) will minimize the operational risk of support for this critical application while gradually phasing out the legacy system with the FLAIR replacement initiative.

It is estimated that the total project costs in Fiscal Year 2016-17 will be a cost of \$2M for contractual services and approximately \$1.6M each fiscal year thereafter, until the implementation of the replacement system. Estimated total project costs for all years not to exceed \$10M.

Cost estimated for 13 resources at \$75.00/hour per year and is based on an assumed 1,960 hours worked per year. In addition to the expense package needed for 13 staff augmentation employees, the 13 staff augmentation staff will need Microsoft Office Professional Plus 2013, Microsoft Visio Professional 2013, Microsoft Project Professional 2013 and 19 inch Flat Screen LCD Monitors.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
GOV OPERATIONS/SUPPORT				16
<u>INFORMATION TECHNOLOGY</u>				<u>1603.00.00.00</u>
PROGRAM ISSUES				4000000
FLORIDA ACCOUNTING INFORMATION				
RESOURCE (FLAIR) SUPPORT				40076C0

If this issue is not funded, the retiring and diminishing full time staff resources will not be sufficient to support the FLAIR application; the level of staff will not be able to maintain the current level of performance needed until the system replacement is complete; and audit findings will not be remedied as quickly as needed.

The recent study done by North Highland indicated that the FLAIR programming language and data file structure are not commonplace and resources to support the technology are scarce in the market today. According to software industry analysts, the current programming language does not rank in the top 50 in-demand today. From an IT support perspective, approximately 42 percent of FLAIR technical support employees have 30 or more years of service. As these employees retire, it will represent a significant loss of institutional knowledge and technical expertise.

The state is currently working on replacing the system with a modern ERP solution. During this transition, staff is needed to augment and support the application until it is replaced.

TOTAL: INFORMATION TECHNOLOGY				<u>1603.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	12,547,148	18,902		1000
TRUST FUNDS	1,123,444			2000
TOTAL POSITIONS.....	96.00			
TOTAL PROG COMP.....	13,670,592	18,902		
TOTAL SALARY RATE.....	4,794,968			
=====	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	990,924			
=====				
SALARIES AND BENEFIT				010000
TREASURY ADM/INVEST TF -STATE	22.00			
	1,552,072			2725 1
=====				
OTHER PERSONAL SERV				030000
TREASURY ADM/INVEST TF -STATE	1,500			2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	245,113			2725 1
=====				
OPERATING CAPITAL O				060000
TREASURY ADM/INVEST TF -STATE	1,783			2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	80,205			2725 1
=====				
RISK MANAGEMENT INS				103241
TREASURY ADM/INVEST TF -STATE	9,489			2725 1
=====				
LEASE/PURCHASE/EQUI				105281
TREASURY ADM/INVEST TF -STATE	4,616			2725 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
TREASURY ADM/INVEST TF -STATE	7,125			2725 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	22.00			
TOTAL ISSUE.....	1,901,903			
TOTAL SALARY RATE.....	990,924			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
TREASURY ADM/INVEST TF -STATE	8,601			2725 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
TREASURY ADM/INVEST TF -STATE	4,760-			2725 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
TREASURY ADM/INVEST TF -STATE	4,048			2725 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>DEPOSIT SECURITY</u>				43100200
<u>GOV OPERATIONS/SUPPORT</u>				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT - TRANSFER FROM EXPENSE				
TO CONTRACTED SERVICES - ADD				1600240

AGENCY ISSUE NARRATIVE:				
2016-2017 BUDGET YEAR NARRATIVE:				
				IT COMPONENT? NO
Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.				
This issue requests reapproval of a current year budget amendment that was approved on 08/21/2015. Agency amendment 16-AT06 (EOG# B7023) transferred authority from Expense to Contracted Services in the Deposit Security budget entity to cover increasing contract costs.				
This issue corresponds with issue code 1600230				

TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	22.00			
TRUST FUNDS.....		1,909,793		2000
SALARY RATE.....	990,924			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: TREASURY							43100000
<u>ST FUNDS MGT & INVESTMENT</u>							43100300
GOV OPERATIONS/SUPPORT							16
<u>GOVERNMENTAL OPERATIONS</u>							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,190,188					
=====							
SALARIES AND BENEFIT							010000
TREASURY ADM/INVEST TF -STATE		25.50					
TREASURY ADM/INVEST TF -STATE		1,744,760					2725 1
=====							
OTHER PERSONAL SERV							030000
TREASURY ADM/INVEST TF -STATE		17,500					2725 1
=====							
EXPENSES							040000
TREASURY ADM/INVEST TF -STATE		248,346					2725 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
TREASURY ADM/INVEST TF -STATE		1,222,785					2725 1
=====							
LEASE/PURCHASE/EQUI							105281
TREASURY ADM/INVEST TF -STATE		1,500					2725 1
=====							
TR/DMS/HR SVCS/STW							107040
TREASURY ADM/INVEST TF -STATE		8,662					2725 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		25.50					
TOTAL ISSUE.....		3,243,553					
TOTAL SALARY RATE.....		1,190,188					
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: TREASURY							43100000
<u>ST FUNDS MGT & INVESTMENT</u>							43100300
GOV OPERATIONS/SUPPORT							16
<u>GOVERNMENTAL OPERATIONS</u>							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
TREASURY ADM/INVEST TF -STATE		4,519-					2725 1
=====							
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							1001430
SALARIES AND BENEFIT							010000
TREASURY ADM/INVEST TF -STATE		4,849					2725 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
TREASURY ADM/INVEST TF -STATE		1					2725 1
=====							
TOTAL: GOVERNMENTAL OPERATIONS							<u>1601.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	25.50						
SALARY RATE.....		3,243,884					2000
		1,190,188					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	480,900			
=====				
SALARIES AND BENEFI				010000
TREASURY ADM/INVEST TF -STATE	13.00			
TREASURY ADM/INVEST TF -STATE	730,482			2725 1
=====				
OTHER PERSONAL SERV				030000
TREASURY ADM/INVEST TF -STATE	20,100			2725 1
=====				
EXPENSES				040000
TREASURY ADM/INVEST TF -STATE	107,328			2725 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	1,252			2725 1
=====				
DEFERRED COMP ADM S				100868
TREASURY ADM/INVEST TF -STATE	950,000			2725 1
=====				
LEASE/PURCHASE/EQUI				105281
TREASURY ADM/INVEST TF -STATE	2,405			2725 1
=====				
TR/DMS/HR SVCS/STW				107040
TREASURY ADM/INVEST TF -STATE	3,529			2725 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	13.00			
TOTAL ISSUE.....		1,815,096		
TOTAL SALARY RATE.....	480,900			
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
TREASURY ADM/INVEST TF -STATE		2,633-		2725 1
=====				
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
TREASURY ADM/INVEST TF -STATE		2,066		2725 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
TREASURY ADM/INVEST TF -STATE		1		2725 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>SUP RETIREMENT PLAN</u>				43100400
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
DEFERRED COMPENSATION MARKET				
ANALYSIS STUDY				2103136
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	75,000-			2725 1
=====				
DEFERRED COMPENSATION STUDY				2103137
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF -STATE	75,000			2725 1
=====				
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
	13.00			
TRUST FUNDS.....	1,814,530			2000
SALARY RATE.....	480,900			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	10,981,852			
=====				
SALARIES AND BENEFIT				010000
GENERAL REVENUE FUND -STATE	10,727,129			1000 1
ADMINISTRATIVE TRUST FUND -STATE	1,344,538			2021 1
INSURANCE REG TF -STATE	2,862,937			2393 1
TOTAL POSITIONS.....	204.00			
TOTAL APPRO.....	14,934,604			
=====				
OTHER PERSONAL SERV				030000
GENERAL REVENUE FUND -STATE	22,994			1000 1
ADMINISTRATIVE TRUST FUND -STATE	47,420			2021 1
TOTAL APPRO.....	70,414			
=====				
EXPENSES				040000
GENERAL REVENUE FUND -STATE	998,672			1000 1
ADMINISTRATIVE TRUST FUND -STATE	116,201			2021 1
TOTAL APPRO.....	1,114,873			
=====				
OPERATING CAPITAL O				060000
GENERAL REVENUE FUND -STATE	27,000			1000 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND -STATE	855,949			1000 1
ADMINISTRATIVE TRUST FUND -STATE	80,000			2021 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TOTAL APPRO.....	935,949			
=====		=====		
FLAIR SYSTEM REPLAC				100781
INSURANCE REG TF -STATE	8,458,429			2393 1
=====		=====		
OPERATION/MOTOR VEH				102289
GENERAL REVENUE FUND -STATE	3,100			1000 1
=====		=====		
RISK MANAGEMENT INS				103241
GENERAL REVENUE FUND -STATE	13,468			1000 1
ADMINISTRATIVE TRUST FUND -STATE	18,092			2021 1
TOTAL APPRO.....	31,560			
=====		=====		
SALARY INCENTIVE PA				103290
GENERAL REVENUE FUND -STATE	1,200			1000 1
=====		=====		
LEASE/PURCHASE/EQUI				105281
GENERAL REVENUE FUND -STATE	5,122			1000 1
ADMINISTRATIVE TRUST FUND -STATE	17,055			2021 1
TOTAL APPRO.....	22,177			
=====		=====		
TR/DMS/HR SVCS/STW				107040
GENERAL REVENUE FUND -STATE	54,284			1000 1
ADMINISTRATIVE TRUST FUND -STATE	3,018			2021 1
INSURANCE REG TF -STATE	8,699			2393 1
=====		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
TOTAL APPRO.....		66,001		
TR/PRISON INDUSTRY				108005
PRISON INDUSTRIES TF -STATE		1,250,000		2385 1
FL CLERKS/COURT/OPE				109987
ADMINISTRATIVE TRUST FUND -STATE		2,800,000		2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		204.00		
TOTAL ISSUE.....		29,715,307		
TOTAL SALARY RATE.....		10,981,852		
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INS				
ADMINISTRATIVE TRUST FUND -STATE		36,748		2021 1
FLORIDA RETIREMENT SYSTEM				1001420
ADJUSTMENT FOR FISCAL YEAR 2015-16				010000
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				
SALARIES AND BENEFIT				
GENERAL REVENUE FUND -STATE		34,684-		1000 1
ADMINISTRATIVE TRUST FUND -STATE		4,346-		2021 1
INSURANCE REG TF -STATE		9,257-		2393 1
TOTAL APPRO.....		48,287-		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
GENERAL REVENUE FUND -STATE		29,079		1000 1
ADMINISTRATIVE TRUST FUND -STATE		3,644		2021 1
INSURANCE REG TF -STATE		7,761		2393 1
TOTAL APPRO.....		40,484		
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE		8		2021 1
INSURANCE REG TF -STATE		1		2393 1
TOTAL APPRO.....		9		
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
RE-APPROVAL FIVE PERCENT TRANSFER				
FOR THE DIVISION OF ACCOUNTING AND				
AUDITING - DEDUCT				160F440
SALARIES AND BENEFIT				010000
GENERAL REVENUE FUND -STATE		1,920-		1000 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests the re-approval of 5% transfer EOG B7016 approved 8/11/2015 transferring \$1,920 from the Salaries and Benefits category to the Salary Incentive Payments category in the General Revenue Fund within the Division of Accounting and Auditing.

Section 943.22 Florida Statutes, allows for a salary incentive program for full time officers. The additional monthly pay is dependent upon the education level and eligibility of the individual filling certain law enforcement positions. The Division of Accounting and Auditing, Bureau of Office of Fiscal Integrity currently has two sworn law enforcement

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FIN ACCT/PUBLIC FUNDS						43200000
ST FINAN INFO/ST AGY ACCTG						43200100
GOV OPERATIONS/SUPPORT						16
GOVERNMENTAL OPERATIONS						<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
RE-APPROVAL FIVE PERCENT TRANSFER						
FOR THE DIVISION OF ACCOUNTING AND						
AUDITING - DEDUCT						160F440

positions eligible for the salary incentive program. Over the last few fiscal years, the division has had to submit 5% transfers in order to cover the per year charges. In Fiscal Year 2013-2014, the recurring authority for the Salary Incentive Payment category was increased from \$700 to \$1,200, however this increase has not been sufficient and a 5% transfer was required in Fiscal Year 2014-2015 and is also required for Fiscal Year 2015-2016. As these positions are vacated and filled, the monthly amount paid will vary based upon the individuals' education.

Section 943.22, Florida Statutes provides a maximum monthly payment of \$130. For the two sworn law enforcement positions in the division, this would be a maximum of \$260 per month, or \$3,120 per year. The current budget authority is \$1,200, yielding a shortage of \$1,920 to make the category whole for the maximum payment.

This issue is the deduct from Salaries and Benefits category. Issue code 160F450 is the add back to the Salary Incentive Payments category.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
OTHER SALARY AMOUNT						
1000 GENERAL REVENUE FUND						1,920-

						1,920-
						=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
RE-APPROVAL FIVE PERCENT TRANSFER				
FOR THE DIVISION OF ACCOUNTING AND				
AUDITING - ADD				160F450
SPECIAL CATEGORIES				100000
SALARY INCENTIVE PA				103290
GENERAL REVENUE FUND				
-STATE	1,920			1000 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests the re-approval of 5% transfer EOG B7016 approved 8/11/2015 transferring \$1,920 from Salaries and Benefits category to the Salary Incentive Payments category within the Division of Accounting and Auditing.

Section 943.22 Florida Statutes, allows for a salary incentive program for full time officers. The additional monthly pay is dependent upon the education level and eligibility of the individual filling certain law enforcement positions. The Division of Accounting and Auditing, Bureau of Office of Fiscal Integrity currently has two sworn law enforcement positions eligible for the salary incentive program. Over the last few fiscal years, the division has had to submit 5% transfers in order to cover the per year charges. In Fiscal Year 2013-2014, the recurring authority for the Salary Incentive Payment category was increased from \$700 to \$1,200, however this increase has not been sufficient and a 5% transfer was required in Fiscal Year 2014-2015 and is also required for Fiscal Year 2015-2016. As these positions are vacated and filled, the monthly amount paid will vary based upon the individuals' education.

Section 943.22, Florida Statutes provides a maximum monthly payment of \$130. For the two sworn law enforcement positions in the division, this would be a maximum of \$260 per month, or \$3,120 per year. The current budget authority is \$1,200, yielding a shortage of \$1,920 to make the category whole for the maximum payment.

This issue is the add back to the Salary Incentive Payments category. Issue code 160F440 is the deduct from Salaries and Benefits category.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
NONRECURRING EXPENDITURES				2100000
FLAIR REPLACEMENT				2103123
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLAC				100781
INSURANCE REG TF	-STATE	8,458,429-		2393 1
=====				
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
FLAIR REPLACEMENT				36105C0
SPECIAL CATEGORIES				100000
FLAIR SYSTEM REPLAC				100781
GENERAL REVENUE FUND	-STATE	25,500,000	25,500,000	1000 1
INSURANCE REG TF	-STATE	16,977,029	16,977,029	2393 1
TOTAL APPRO.....		42,477,029	42,477,029	
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

This issue requests funding for year three of the Florida Planning Accounting and Ledger Management (PALM) Project. The North Highlands study recommended the first two years (FY 2014-2015 and FY 2015-2016) of the project be focused on pre design, development, and implementation (DDI) activities. The Pre-DDI activities have included, but have not been limited to, business process re-engineering; organizational change management; establishing project governance framework, structure and activities to provide ongoing monitoring, control, and issue resolution; workforce transition; systems and data strategy; and activities to identify evaluate and select both the technology solution and system integrator to implement the technology solution.

Year three (FY 2016-2017) of the project will start Phase I of DDI activities. The Phase I activities are anticipated to include the implementation of the selected Enterprise Resource Planning (ERP) solution for FLAIR/CMS, deploy information warehouse for ERP, implement Payroll in ERP and other integrations, such as MFMP, People First, and LAS/PBS as needed. In addition project management, organizational change management, and workforce transitions efforts will continue from Pre-DDI phase. The North Highland study recommended 77 FTE and \$45.3 million in funding for year three (FY 2016-2017). For FY 2015-2016, the Department was appropriated a recurring 25 FTE and \$2.8 million in funding. At this time the department is not requesting an increase in FTE in FY 2016-2017 as the need will not be known until a solution has been selected. An increase in FTE may be requested in a future LBR for FY 2017-2018.

As no additional FTE are requested for FY 2016-2017, the Department is requesting \$25,500,000 from the General Revenue Fund and \$16,977,029 from the Insurance Regulatory Trust Fund in the FLAIR System Replacement Category. The total amount requested of \$42,477,029 plus the \$2,867,991 in current recurring authority for Salaries and Benefits and Transfer

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
STATE ENTERPRISE INFORMATION				
TECHNOLOGY				3610000
FLAIR REPLACEMENT				36105C0

to Department of Management Services, equals the \$45,345,020 recommended in the North Highland study for FY 2016-2017.

The General Revenue Fund amount is from the Long-Range Financial Outlook submitted by the Office of Economic and Demographic Research and accepted by the Legislative Budget Commission on September 14, 2014.

TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
GENERAL REVENUE FUND	38,203,313	25,500,000		1000
TRUST FUNDS	25,559,548	16,977,029		2000
TOTAL POSITIONS.....	204.00			
TOTAL PROG COMP.....	63,762,861	42,477,029		
TOTAL SALARY RATE.....	10,981,852			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FIN ACCT/PUBLIC FUNDS							43200000
<u>RECOVERY & RETURN OF UP</u>							43200200
GOV OPERATIONS/SUPPORT							16
<u>GOVERNMENTAL OPERATIONS</u>							<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	2,600,300						
=====							
SALARIES AND BENEFIT							010000
UNCLAIMED PROPERTY TF -STATE	64.00						
	3,452,788						2007 1
=====							
OTHER PERSONAL SERV							030000
UNCLAIMED PROPERTY TF -STATE	194,197						2007 1
=====							
EXPENSES							040000
UNCLAIMED PROPERTY TF -STATE	823,421						2007 1
=====							
OPERATING CAPITAL O							060000
UNCLAIMED PROPERTY TF -STATE	7,500						2007 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
UNCLAIMED PROPERTY TF -STATE	226,794						2007 1
=====							
RISK MANAGEMENT INS							103241
UNCLAIMED PROPERTY TF -STATE	11,108						2007 1
=====							
LEASE/PURCHASE/EQUI							105281
UNCLAIMED PROPERTY TF -STATE	11,524						2007 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
UNCLAIMED PROPERTY TF -STATE	20,117			2007 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	64.00			
TOTAL ISSUE.....	4,747,449			
TOTAL SALARY RATE.....	2,600,300			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
UNCLAIMED PROPERTY TF -STATE	1,357-			2007 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
UNCLAIMED PROPERTY TF -STATE	11,753-			2007 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
UNCLAIMED PROPERTY TF -STATE	9,561			2007 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
UNCLAIMED PROPERTY TF -STATE		3		2007 1
TOTAL: GOVERNMENTAL OPERATIONS				<u>1601.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	64.00			
SALARY RATE.....		4,743,903		2000
		2,600,300		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>COMPLIANCE & ENFORCEMENT</u>							43300200
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	2,756,919						
=====							
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	67.00 3,650,044					2393 1
=====							
OTHER PERSONAL SERV							030000
INSURANCE REG TF	-STATE	15,339					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	585,170					2393 1
=====							
OPERATING CAPITAL O							060000
INSURANCE REG TF	-STATE	9,144					2393 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE							100064
INSURANCE REG TF	-STATE	13,200					2393 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	123,305					2393 1
=====							
OPERATION/MOTOR VEH							102289
INSURANCE REG TF	-STATE	33,700					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SUPP FIREFIGHTERS C				103725
INSURANCE REG TF -STATE		8,000		2393 1
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF -STATE		12,242		2393 1
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF -STATE		20,781		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	67.00			
TOTAL ISSUE.....		4,470,925		
TOTAL SALARY RATE.....		2,756,919		
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFI				010000
INSURANCE REG TF -STATE		11,900-		2393 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFI				010000
INSURANCE REG TF -STATE		10,321		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	3		2393 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITIONS AND				
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - DEDUCT				160F220
SALARY RATE				000000
SALARY RATE.....		54,517-		
=====				
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	1.00-	73,834-	2393 1
=====				
TOTAL: REALIGNMENT OF POSITIONS AND				160F220
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - DEDUCT				
TOTAL POSITIONS.....		1.00-		
TOTAL ISSUE.....			73,834-	
TOTAL SALARY RATE.....		54,517-		
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests reapproval of a current year budget amendment that was approved on 07/23/2015. Agency amendment 16-03 (EOG# Q0021) transferred two positions into the Fire Marshal Administrative and Support Services budget entity; one from the Compliance and Enforcement budget entity; and one from the Professional Standards and Training budget entity.

This issue corresponds with issue code 160F210 in budget entity 43300500.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>COMPLIANCE & ENFORCEMENT</u>						43300200
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITIONS AND						
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - DEDUCT						160F220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2225 GOVERNMENT ANALYST II							
C1001 001	1.00-	54,517-		19,317-	73,834-	0.00	73,834-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							73,834-
	1.00-	54,517-		19,317-	73,834-		73,834-

REAPPROVAL OF CURRENT YEAR BUDGET
 AMENDMENT IN DIVISION OF STATE FIRE
 MARSHAL - DEDUCT
 EXPENSES

INSURANCE REG TF -STATE 2,200- 2393 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests reapproval of a current year budget amendment that was approved on 08/19/2015. Agency amendment 16-04 (EOG# B7019) transferred budget authority to the supplemental firefighters compensation category and to the lease or lease-purchase of equipment category from the expenses category within the Compliance and Enforcement budget entity.

This issue corresponds with issue code 160F530 in budget entity 43300200.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT IN DIVISION OF STATE FIRE				
MARSHAL - ADD				160F530
SPECIAL CATEGORIES				100000
SUPP FIREFIGHTERS C				103725
INSURANCE REG TF	-STATE	1,000		2393 1
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF	-STATE	1,200		2393 1
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET				160F530
AMENDMENT IN DIVISION OF STATE FIRE				
MARSHAL - ADD				
TOTAL ISSUE.....		2,200		

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests reapproval of a current year budget amendment that was approved on 08/19/2015. Agency amendment 16-04 (EOG# B7019) transferred budget authority to the supplemental firefighters compensation category and to the lease or lease-purchase of equipment category from the expenses category within the Compliance and Enforcement budget entity.

This issue corresponds with issue code 160F520 in budget entity 43300200.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				1202.00.00.00
NONRECURRING EXPENDITURES				2100000
INCREASE CONTRACTED SERVICES BUDGET				
AUTHORITY				2103138
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	26,100-		2393 1
=====				
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS FOR				
RETENTION OF STAFF - DEDUCT				3006A20
SALARY RATE				000000
SALARY RATE.....		193,305-		
=====				
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	5.00-	278,067-	2393 1
=====				
TOTAL: RECLASSIFICATION OF POSITIONS FOR				3006A20
RETENTION OF STAFF - DEDUCT				
TOTAL POSITIONS.....		5.00-		
TOTAL ISSUE.....		278,067-		
TOTAL SALARY RATE.....		193,305-		
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Compliance and Enforcement budget entity requests to delete five Deputy Boiler Inspector positions, associated rate and salary budget; and to add five Government Operations Consultant III positions at 20 percent above minimum, in order to retain staff. Deputy Boiler Inspector positions, Class Code 8840, are a career service full time equivalent (FTE) pay grade 23 with a minimum annual salary of \$38,660. Government Operations Consultants III positions, Class Code 2238, are a career service FTE pay grade 25 with a minimum annual salary of \$43,507.

High turnover has occurred as a result of the low salary and the FTE appropriated in Fiscal Year 2014-15 has been vacant for a year as the salary offered is not attracting qualified candidates who will accept the minimum salary. The two

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS FOR				
RETENTION OF STAFF - DEDUCT				3006A20

issues will delete the old class code of "Deputy Boiler Inspector" and create a new class code at a higher minimum salary.

The Boiler Safety Program is responsible for the administration and enforcement of Florida Statute 554, "The Boiler Safety Act." And Chapter 69A-51, Florida Administrative Code which provides boiler safety rules to safeguard life, limb, and property by specific minimum standards for construction, installation, operation, maintenance, and repairs of boilers located at public assembly locations.

Boilers are inspected by the deputy boiler inspectors employed by the state and special inspectors who are employed by the company's authorized to write insurance in the State of Florida. The Boiler Safety Program issues Certificates of Compliance to those boilers passing inspection. A Certificate of Compliance is valid for one year for high pressure boilers and two years for a low pressure boiler.

Private boiler insurance companies employ about 140 special inspectors who conduct jurisdictional inspections on 94 percent of the registered boilers. Five state deputy boiler inspectors are tasked to conduct jurisdictional inspections on the six percent known uninsured boilers as well as helping the owners with non-compliant boilers obtain the required certificate of compliance. The current salary offered is not attracting the best or even minimally qualified (trainee) applicants needed. The private boilers are paid between \$72,000 - \$80,000 annually. The average salary of a state boiler inspector in the southeast is \$43,000 annually.

Reclassifying the deputy boiler inspector positions will help the bureau retain its employees and reduce the turnover rate they currently experience. This issue corresponds with issue code 3006A30 in budget entity 43300200.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>COMPLIANCE & ENFORCEMENT</u>						43300200
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
WORKLOAD						3000000
RECLASSIFICATION OF POSITIONS FOR						
RETENTION OF STAFF - DEDUCT						3006A20

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
8840 DEPUTY BOILER INSPECTOR							
C1001 001	5.00-	193,305-		84,762-	278,067-	0.00	278,067-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							278,067-
	5.00-	193,305-		84,762-	278,067-		278,067-

RECLASSIFICATION OF POSITIONS FOR							
RETENTION OF STAFF - ADD							3006A30
SALARY RATE							000000
SALARY RATE.....	261,047						
SALARIES AND BENEFIT							010000
INSURANCE REG TF	5.00						
-STATE		355,909					2393 1
TOTAL: RECLASSIFICATION OF POSITIONS FOR							3006A30
RETENTION OF STAFF - ADD							
TOTAL POSITIONS.....	5.00						
TOTAL ISSUE.....		355,909					
TOTAL SALARY RATE.....	261,047						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS FOR				
RETENTION OF STAFF - ADD				3006A30

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Compliance and Enforcement budget entity requests to delete five Deputy Boiler Inspector positions, associated rate and salary budget; and to add five Government Operations Consultant III positions at 20 percent above minimum, in order to retain staff. Deputy Boiler Inspector positions, Class Code 8840, are a career service full time equivalent (FTE) pay grade 23 with a minimum annual salary of \$38,660. Government Operations Consultants III positions, Class Code 2238, are a career service FTE pay grade 25 with a minimum annual salary of \$43,507.

High turnover has occurred as a result of the low salary and the FTE appropriated in Fiscal Year 2014-15 has been vacant for a year as the salary offered is not attracting qualified candidates who will accept the minimum salary. The two issues will delete the old class code of "Deputy Boiler Inspector" and create a new class code at a higher minimum salary.

The Boiler Safety Program is responsible for the administration and enforcement of Florida Statute 554, "The Boiler Safety Act." And Chapter 69A-51, Florida Administrative Code which provides boiler safety rules to safeguard life, limb, and property by specific minimum standards for construction, installation, operation, maintenance, and repairs of boilers located at public assembly locations.

Boilers are inspected by the deputy boiler inspectors employed by the state and special inspectors who are employed by the company's authorized to write insurance in the State of Florida. The Boiler Safety Program issues Certificates of Compliance to those boilers passing inspection. A Certificate of Compliance is valid for one year for high pressure boilers and two years for a low pressure boiler.

Private boiler insurance companies employ about 140 special inspectors who conduct jurisdictional inspections on 94 percent of the registered boilers. Five state deputy boiler inspectors are tasked to conduct jurisdictional inspections on the six percent known uninsured boilers as well as helping the owners with non-compliant boilers obtain the required certificate of compliance. The current salary offered is not attracting the best or even minimally qualified (trainee) applicants needed. The private boilers are paid between \$72,000 - \$80,000 annually. The average salary of a state boiler inspector in the southeast is \$43,000 annually.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
WORKLOAD				3000000
RECLASSIFICATION OF POSITIONS FOR				
RETENTION OF STAFF - ADD				3006A30

Reclassifying the deputy boiler inspector positions will help the bureau retain its employees and reduce the turnover rate they currently experience. This issue corresponds with issue code 3006A20 in budget entity 43300200.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2238 GOVERNMENT OPERATIONS CONSULTANT III							
N1000 001	5.00	261,047		94,862	355,909	0.00	355,909
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							355,909
	5.00	261,047		94,862	355,909		355,909

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
ELECTRONIC PLANS REVIEW				36360C0
EXPENSES				040000
INSURANCE REG TF	-STATE	155,000	130,000	2393 1
		=====	=====	
OPERATING CAPITAL O				060000
INSURANCE REG TF	-STATE	20,000	20,000	2393 1
		=====	=====	
TOTAL: ELECTRONIC PLANS REVIEW				36360C0
TOTAL ISSUE.....		175,000	150,000	
		=====	=====	

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? YES
 Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests funding for an electronic plans review system which will allow the Plans Review Section to receive plans digitally rather than the current method of printed on paper. Receiving digital plans is preferred by the industry and is more efficient and secure. Digital plans reduce costs of postage, printing and storage. They allow for plans to be accessed at any time in the office or in the field and historical information can be maintained longer than the current retention period of four years after construction is complete. Funding will cover hardware, software and a recurring cost of software licenses. The bureau has been working with the Division of Information Systems (DIS) to identify vendors that will have a system compatible with the bureau's billing system; and to use software currently owned by DIS as viable options.

Funding for this issue will allow the purchase of the needed software, user licenses, and computers and monitors to support the new program.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
STATE FIRE MARSHAL OPERATIONAL				
NEEDS				4008000
EXPENSES				040000
INSURANCE REG TF				2393 1
	-STATE	18,240		
		=====		

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue is to request an increase in the expense category for cellular service for 38 tablets that were received by the Bureau of Compliance and Enforcement in March 2015. The Division of Information Systems submitted a Fiscal Year 2014-15 Legislative Budget Request to purchase tablets for the bureau; however, no recurring expense budget was requested for the cellular service needed to operate the tablets. The bureau was able to absorb the costs in the last quarter of Fiscal Year 2014-15 but do not have sufficient expense budget authority to cover the charges for a full year.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	66.00			
SALARY RATE.....	4,640,497	150,000		2000
	2,770,144			
	=====	=====		

	COL A03		COL A04		COL A05		CODES
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>FIRE & ARSON INVESTIGATION</u>							43300300
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		6,410,973					
=====							
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	122.00					
		8,915,886					2393 1
=====							
OTHER PERSONAL SERV							030000
INSURANCE REG TF	-STATE	70,942					
							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	1,816,584					
							2393 1
=====							
OPERATING CAPITAL O							060000
INSURANCE REG TF	-STATE	82,409					
							2393 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	175,374					
							2393 1
=====							
ON-CALL FEES							102261
INSURANCE REG TF	-STATE	350,000					
							2393 1
=====							
OPERATION/MOTOR VEH							102289
INSURANCE REG TF	-STATE	133,900					
							2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>FIRE & ARSON INVESTIGATION</u>							43300300
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
SALARY INCENTIVE PA							103290
INSURANCE REG TF	-STATE	103,124					2393 1
=====							
SUPP FIREFIGHTERS C							103725
INSURANCE REG TF	-STATE	8,000					2393 1
=====							
LEASE/PURCHASE/EQUI							105281
INSURANCE REG TF	-STATE	24,081					2393 1
=====							
TR/DMS/HR SVCS/STW							107040
INSURANCE REG TF	-STATE	38,601					2393 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		122.00					
TOTAL ISSUE.....		11,718,901					
TOTAL SALARY RATE.....		6,410,973					
=====							
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
INSURANCE REG TF	-STATE	78,706					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFI				010000
INSURANCE REG TF -STATE	23,742			2393 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF -STATE	6			2393 1
WORKLOAD				3000000
LAW ENFORCEMENT RETENTION AND				
RECRUITMENT				3001A60
SALARY RATE				000000
SALARY RATE.....	440,000			
SALARIES AND BENEFI				010000
INSURANCE REG TF -STATE	440,000			2393 1
TOTAL: LAW ENFORCEMENT RETENTION AND				3001A60
RECRUITMENT				
TOTAL ISSUE.....	440,000			
TOTAL SALARY RATE.....	440,000			

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Division of Fire and Arson Investigations is continually losing experienced, well-trained, competent employees to more competitive markets and is asking for increased salary budget to retain these employees. These losses greatly impact the productivity as new hires must be trained which decreases the abilities to be more effective in fighting arson and protecting the residents of this state. This request is addressing an approach to maintain a highly qualified staff

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PROGRAM: FIRE MARSHAL						
<u>FIRE & ARSON INVESTIGATION</u>						
PUBLIC PROTECTION						
<u>LAW ENFORCEMENT</u>						
WORKLOAD						
LAW ENFORCEMENT RETENTION AND						
RECRUITMENT						
						43000000
						43300000
						43300300
						12
						<u>1202.00.00.00</u>
						3000000
						3001A60

to be more effective in combating arson.

In the 2015 Legislative Session the Legislature approved and funded a similar type of issue for the Department of Highway Safety and Motor Vehicles to prevent Florida Highway Patrolmen (FHP) from transferring to more affordable counties. This allowed FHP to effectively address their staffing needs. The Department of Financial Services requests an additive for detectives of \$5,000, as in the FHP issue. An additive of \$2,500 is requested for lieutenants, majors, and captains to prevent salary compression.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0010 002	0.00	440,000			440,000	0.00	440,000
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							440,000
	0.00	440,000			440,000		440,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				1202.00.00.00
PROGRAM ISSUES				4000000
STATE FIRE MARSHAL OPERATIONAL				
NEEDS - ONCALL CATEGORY				4008A00
SPECIAL CATEGORIES				100000
ON-CALL FEES				102261
INSURANCE REG TF	-STATE	75,000		2393 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests increased funding for the Bureau of Fire and Arson Investigations On-call category. The Bureau of Fire and Arson Investigations is responsible for initial investigation of the origin and cause of fires and explosions, criminal investigative duties associated with fires and/or explosions, and the reports relative to explosions or explosive devices and other law enforcement activities 24 hours a day, 365 days per year.

On-call funding is required to compensate detectives required to be available to return to work and properly perform assigned duties at times other than during normal work hours. On-call payments are required by the State of Florida Law Enforcement Collective Bargaining Agreement. Historically, the division has submitted a five percent budget amendment to transfer budget authority into the On-call category to meet payroll. In Fiscal Year 2014-15, the bureau spent approximately \$398,000 on On-call payments.

STATE FIRE MARSHAL OPERATIONAL				
NEEDS				4008000
EXPENSES				040000
INSURANCE REG TF	-STATE	50,000		2393 1
SPECIAL CATEGORIES				100000
OPERATION/MOTOR VEH				102289
INSURANCE REG TF	-STATE	50,000		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
STATE FIRE MARSHAL OPERATIONAL				
NEEDS				4008000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF	-STATE	17,736		2393 1
=====				
TOTAL: STATE FIRE MARSHAL OPERATIONAL				4008000
NEEDS				
TOTAL ISSUE.....		117,736		
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

Lease or Lease/Purchase of Equipment Category - 17,736

This issue requests an increase in the lease or lease-purchase of equipment category to support the maintenance contract for the Carbon Monoxide Meter Recalibration equipment used by the Bureau of Fire and Arson Investigations and the Bureau of Compliance and Enforcement.

Carbon Monoxide (CO) meters are used when entering confined spaces for investigations or inspections. The meters alert detectives and inspectors when CO levels reach a dangerous concentration. The CO meters used by State Fire Marshal (SFM) personnel must be function checked, recalibrated and/or software updated monthly. This lease will establish 14 sites in SFM offices around the state. SFM personnel will insert their meter in the provided docking station to have their CO meter function tested and recalibrated to ensure CO gas monitoring standards; and receive the latest CO meter software updates. The leaser will maintain the docking station equipment. The lease will cover all meter acquisition costs, recalibration costs and meter software updates for maintaining 120 SFM CO meters for personnel in both bureaus.

Expenses Category - 50,000

The Bureau of Fire and Arson Investigations (BFAI), requests additional expenses budget authority to provide resources for the training burn cells located at the Florida State Fire College in Ocala, Florida. The BFAI provides Fire Investigator I and II training to arson investigators and to public service sector (fire and police) personnel at the State Fire College. These are hands on classes in which the student has to determine the origin and cause of the by using a simulated scenario involving live fire scenes.

Live fire scenes are accomplished by using a series of training burn cells in spaces that can sustain multiple uses over

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
STATE FIRE MARSHAL OPERATIONAL				
NEEDS				4008000

an extended period of time. During training, the interior compartment of the cell is made up into rooms similar to bedrooms, kitchens, etc. The cell is then set on fire and allowed to burn until a suitable scenario is established for the student to conduct an investigation. Burn cells are also used to conduct experiments and recreate scenes that are directly related to the investigation of fires within the state. These experiments help to support a specific cause determination that is used in a courtroom setting.

With this funding the bureau will be able to provide the resources needed to rebuild the live fire scenarios after each training class.

Operation of Motor Vehicles Category - 50,000

This issue requests increased funding for the Operation of Motor Vehicles category for the Bureau of Fire and Arson Investigations. High mileage vehicles and equipment require additional mechanical repairs, as well as routine maintenance. Maintaining and repairing high mileage vehicles depletes the bureau's Operation of Motor Vehicle category prior to the end of the fiscal year. Historically, the bureau has submitted budget amendments to transfer budget authority from other categories to cover the cost of maintaining the law enforcement vehicles as well as other vehicles used at fire scenes during investigations, such as bobcats and bulldozers. The bureau must also maintain the vehicles utilized by the bomb squad, such as platform trailers. Last fiscal year, the bureau spent \$163,000 in this category.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	122.00			
TRUST FUNDS.....	12,454,091			2000
SALARY RATE.....	6,850,973			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>PROF TRAINING & STANDARDS</u>							43300400
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,120,094					
=====							
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	28.00					
		1,583,544					2393 1
=====							
OTHER PERSONAL SERV							030000
INSURANCE REG TF	-STATE	200,000					
							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	512,895					
							2393 1
=====							
OPERATING CAPITAL O							060000
INSURANCE REG TF	-STATE	23,294					
							2393 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE							100064
INSURANCE REG TF	-STATE	13,200					
							2393 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	280,008					
							2393 1
=====							
OPERATION/MOTOR VEH							102289
INSURANCE REG TF	-STATE	17,900					
							2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
SUPP FIREFIGHTERS C				103725
INSURANCE REG TF	-STATE	14,500		2393 1
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF	-STATE	20,519		2393 1
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	11,841		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		28.00		
TOTAL ISSUE.....		2,677,701		
TOTAL SALARY RATE.....		1,120,094		
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	5,486		2393 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	4,241		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	2		2393 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITIONS AND SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - DEDUCT				160F220
SALARY RATE				000000
SALARY RATE.....		64,040-		
=====				
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	1.00-	86,067-	2393 1
=====				
TOTAL: REALIGNMENT OF POSITIONS AND SALARIES AND BENEFITS WITHIN STATE				160F220
FIRE MARSHAL - DEDUCT				
TOTAL POSITIONS.....		1.00-		
TOTAL ISSUE.....			86,067-	
TOTAL SALARY RATE.....		64,040-		
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests reapproval of a current year budget amendment that was approved on 07/23/2015. Agency amendment 16-03 (EOG# Q0021) transferred two positions into the Fire Marshal Administrative and Support Services budget entity; one from the Compliance and Enforcement budget entity; and one from the Professional Standards and Training budget entity.

This issue corresponds with issue code 160F210 in budget entity 43300500.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING & STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>

ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITIONS AND						
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - DEDUCT						160F220

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
7062 DOMESTIC SECURITY COORDINATOR							
01461 001	1.00-	64,040-		22,027-	86,067-	0.00	86,067-
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							86,067-
	1.00-	64,040-		22,027-	86,067-		86,067-

EQUIPMENT NEEDS							2400000
ADDITIONAL EQUIPMENT - MOTOR							
VEHICLES							2402400
EXPENSES							040000

INSURANCE REG TF	-STATE	1,000					2393 1
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SPECIAL CATEGORIES							100000
ACQUISITION/MOTOR V							100021

INSURANCE REG TF	-MATCH	52,173	52,173				2393 2
	-FEDERL	347,827	347,827				2393 3

TOTAL INSURANCE REG TF		400,000	400,000				2393
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	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
EQUIPMENT NEEDS				2400000
ADDITIONAL EQUIPMENT - MOTOR				
VEHICLES				2402400
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR V				100021
TOTAL APPRO.....	400,000	400,000		
OPERATION/MOTOR VEH				102289
INSURANCE REG TF -STATE	5,000			2393 1
TOTAL: ADDITIONAL EQUIPMENT - MOTOR				2402400
VEHICLES				
TOTAL ISSUE.....	406,000	400,000		

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Bureau of Fire Standards and Training (BFST) received a FEMA (Federal Emergency Management Agency) grant award notice on 7/31/2015 for \$347,827 with a required state match of \$52,173. This grant will allow the bureau to purchase one pumper apparatus with the awarded grant funds for the Fire College. The bureau is also requesting an additional 6,000 in budget authority for routine maintenance and fuel for the new truck.

The Fire College has two existing fire apparatus that are 27 years old and were built according to the safety and performance standards that are now over 27 years old. The existing apparatus are in poor mechanical condition with numerous mechanical items inoperative and costly to repair. These apparatus experience high frequency use to directly support training and testing activities of Florida's volunteer and career firefighters at a minimum cost to them and their employers, at the Florida State Fire College. These two apparatuses are used by over 500 students each year. These apparatus need the engine and transmissions rebuilt (estimated at \$45,000 per truck). The bodies have significant rust, gauges are no longer operational and valves are leaking. The pumps are also not able to flow water at an acceptable rate.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
STATE FIRE COLLEGE VOLUNTEER				
FIREFIGHTERS				4000810
SALARY RATE				000000
SALARY RATE.....	50,000			
=====				
SALARIES AND BENEFI				010000
INSURANCE REG TF	1.00			
-STATE	68,643			2393 1
=====				
EXPENSES				040000
INSURANCE REG TF				
-STATE	10,178	4,230		2393 1
=====				
AID TO LOCAL GOVERNMENTS				050000
G/A-VOLUNTEER FIRE				050135
INSURANCE REG TF				
-STATE	500,000			2393 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF				
-STATE	344			2393 1
=====				
TOTAL: STATE FIRE COLLEGE VOLUNTEER				4000810
FIREFIGHTERS				
TOTAL POSITIONS.....	1.00			
TOTAL ISSUE.....	579,165	4,230		
TOTAL SALARY RATE.....	50,000			
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests one new full time equivalent (FTE) position to facilitate communication among volunteer fire departments and to oversee a new grant program, which will be increased to \$1M in year two and thereafter, to be offered

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
STATE FIRE COLLEGE VOLUNTEER				
FIREFIGHTERS				4000810

to volunteer fire departments statewide. Funding for this position will include salary at 30 percent above minimum for this pay grade, and a professional expenses package. This position requires someone with knowledge and experience in the fire service industry. This person must be familiar with fire service needs and required training as well as administering grant programs.

Volunteer fire departments throughout the state have expressed the need for better communication to achieve training and certification requirements for Florida's volunteer firefighters. The Fire College has worked to communicate training opportunities through the current system of training centers and fire service associations, but the information is not reaching the volunteers. Having a volunteer coordinator will address the concerns voiced by volunteer fire departments throughout the state.

This position will provide a single point of contact within the Bureau of Fire Standards and Training (BFST) for volunteer fire departments and enable volunteers to receive specific information concerning legal incident related activities in which a volunteer firefighter can participate; to receive assistance in the delivery of training to volunteers in rural areas; and to receive assistance in the development of grant proposals and grant administration. The volunteer coordinator will provide volunteer fire departments with a voice into the State Fire Marshal's Office when decisions are made relative to statute, administrative code, and training curriculums. Volunteers, who make up 221 of the 532 total fire service providers, not only pay for their own training but also face additional indirect costs, such as temporary lodging, lost time from work and medical expenses.

The primary goal of the state-wide Florida Fire Assistance Program (FFAP) is to enhance the emergency response capabilities of rural volunteer fire departments, and combination volunteer / career fire departments. The objective of this program is to provide financial assistance to help improve and enhance their safety and capabilities to provide firefighting, emergency medical first responder, and rescue services to their communities.

The Division of State Fire Marshal will manage this program and award grants to qualifying fire departments each year based on the annual Florida Fire Service Needs Assessment Survey, adapted from the instrument used by the United States Fire Administration (USFA) and the National Fire Protection Agency (NFPA). Eligible grant recipients will be required to participate in a needs assessment survey to accurately measure the overall needs of program participants.

The Division of State Fire Marshal shall determine, and adopt by rule grant guidance requirements to efficiently and cost effectively manage this program. This program is focused on meeting the basic firefighter safety needs of eligible fire service providers. This will be accomplished by focusing this grant program in the areas of firefighter training, firefighter personal protective equipment (PPE), firefighter self-contained breathing apparatus (SCBA), and fire engine pumper apparatus.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING & STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
STATE FIRE COLLEGE VOLUNTEER						
FIREFIGHTERS						4000810

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
NEW POSITIONS							
1334 RESEARCH AND TRAINING SPECIALIST							
N1003 001	1.00	50,000		18,643	68,643	0.00	68,643
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							68,643
	1.00	50,000		18,643	68,643		68,643

STATE FIRE MARSHAL OPERATIONAL							4008000
NEEDS							030000
OTHER PERSONAL SERV							
INSURANCE REG TF	-STATE	40,000					2393 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests an increase the hourly rate of OPS (other personal services) Instructors based on level of education and experience of the instructor. The current rate of \$16 per hour has been in place for over ten years, and is approximately 40 percent lower than average rate of \$27 per hour paid to instructors by other training providers delivering the same courses. The bureau competes for instructional staffs that are well qualified to deliver exceptional learning experience for firefighter customers. A \$40,000 increase in budget authority will enable the bureau to

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>PROF TRAINING & STANDARDS</u>						43300400
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
PROGRAM ISSUES						4000000
STATE FIRE MARSHAL OPERATIONAL NEEDS						4008000

establish three new salary rates of \$20 per hour, \$22 per hour, and \$24 per hour based on the academic credentials held by the instructor. As proposed, instructor hourly salary rate would be set based on their respective academic degree; Associate - \$20 per hour, Bachelor - \$22 per hour, Master - \$24 per hour. After this proposal is adopted, bureau OPS Instructors will still be paid below the average hourly rate paid to instructors by other training providers delivering the same coursework.

CAPITAL IMPROVEMENT PLAN						9900000
MAINTENANCE AND REPAIR						990M000
FIXED CAPITAL OUTLAY						080000
FIRE COLLEGE-BLDG M						080990

INSURANCE REG TF	-STATE	250,000	250,000			2393 1
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AGENCY NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: FIRE COLLEGE-BLDG MAINT IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Division of State Fire Marshal (SFM) is responsible for maintenance and repair of the Florida State Fire College. The Fire College campus is located in Ocala, Florida on 37 acres. The campus includes 11 main buildings that were constructed in 1988. These buildings total over 65,000 square feet and include office space, classrooms, dormitories, cafeteria, maintenance facilities, and an auditorium.

This issue requests nonrecurring Fixed Capital Outlay (FCO) to address infrastructure and system deficiencies as they arise. Some FCO projects identified for Fiscal Year 2016-17 include installation of a lumber storage rack system, shipboard training props, gravel training field, and a roof ventilation prop.

TOTAL: LAW ENFORCEMENT						<u>1202.00.00.00</u>
BY FUND TYPE						
		28.00				
TRUST FUNDS.....		3,876,528	654,230			2000
SALARY RATE.....		1,106,054				

=====

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>							43300500
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,007,608					
=====							
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	19.00 1,441,384					2393 1
=====							
OTHER PERSONAL SERV							030000
INSURANCE REG TF	-STATE	20,102					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	259,754					2393 1
=====							
OPERATING CAPITAL O							060000
INSURANCE REG TF	-STATE	106,000					2393 1
=====							
SPECIAL CATEGORIES							100000
TR/UM-SYL CAN CEN/C							100518
GENERAL REVENUE FUND	-STATE	965,000					1000 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	189,189					2393 1
=====							
OPERATION/MOTOR VEH							102289
INSURANCE REG TF	-STATE	1,300					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
INSURANCE REG TF -STATE	278,564			2393 1
SUPP FIREFIGHTERS C				103725
INSURANCE REG TF -STATE	7,500			2393 1
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF -STATE	8,685			2393 1
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF -STATE	5,836			2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	19.00			
TOTAL ISSUE.....	3,283,314			
TOTAL SALARY RATE.....	1,007,608			
CASUALTY INSURANCE PREMIUM				1001090
ADJUSTMENT				100000
SPECIAL CATEGORIES				103241
RISK MANAGEMENT INS				
INSURANCE REG TF -STATE	53,833-			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
PUBLIC PROTECTION				12
LAW ENFORCEMENT				1202.00.00.00
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	4,392		2393 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	3,954		2393 1
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	1		2393 1
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REALIGNMENT OF POSITIONS AND				
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - ADD				160F210
SALARY RATE				000000
SALARY RATE.....		118,557		
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	2.00		
INSURANCE REG TF	-STATE	159,901		2393 1
TOTAL: REALIGNMENT OF POSITIONS AND				160F210
SALARIES AND BENEFITS WITHIN STATE				
FIRE MARSHAL - ADD				
TOTAL POSITIONS.....		2.00		
TOTAL ISSUE.....		159,901		
TOTAL SALARY RATE.....		118,557		

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PROGRAM: FIRE MARSHAL						43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>						43300500
PUBLIC PROTECTION						12
<u>LAW ENFORCEMENT</u>						<u>1202.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REALIGNMENT OF POSITIONS AND						
SALARIES AND BENEFITS WITHIN STATE						
FIRE MARSHAL - ADD						160F210

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue requests reapproval of a current year budget amendment that was approved on 07/23/2015. Agency amendment 16-03 (EOG# Q0021) transferred two positions into the Fire Marshal Administrative and Support Services budget entity; one from the Compliance and Enforcement budget entity; and one from the Professional Standards and Training budget entity.

This issue corresponds with issue code 160F220 in budget entity 43300200.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
2225 GOVERNMENT ANALYST II							
C1001 001	1.00	54,517		19,317	73,834	0.00	73,834
7062 DOMESTIC SECURITY COORDINATOR							
C1002 001	1.00	64,040		22,027	86,067	0.00	86,067
TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							159,901
	2.00	118,557		41,344	159,901		159,901

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PROGRAM: FIRE MARSHAL							43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>							43300500
PUBLIC PROTECTION							12
<u>LAW ENFORCEMENT</u>							<u>1202.00.00.00</u>
NONRECURRING EXPENDITURES							2100000
REPLACEMENT OF SCIENTIFIC							
LABORATORY EQUIPMENT - ARSON LAB							2103112
OPERATING CAPITAL O							060000
INSURANCE REG TF -STATE		100,000-					2393 1
=====							
TRANSFER TO UNIVERSITY OF							
MIAMI - SYLVESTER COMPREHENSIVE							
CANCER CENTER - FLORIDA							
FIREFIGHTER CANCER RESEARCH							2103139
SPECIAL CATEGORIES							100000
TR/UM-SYL CAN CEN/C							100518
GENERAL REVENUE FUND -STATE		965,000-					1000 1
=====							
IMPLEMENTATION OF HOUSE BILL 359 -							
STATE FIRE MARSHAL STUDY							2103140
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
GENERAL REVENUE FUND -STATE		25,000-					1000 1
=====							
MINING STUDY (CH. 2015-141, LOF)							2103141
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
GENERAL REVENUE FUND -STATE		25,000					1000 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
EQUIPMENT NEEDS				2400000
REPLACEMENT OF SCIENTIFIC				
LABORATORY EQUIPMENT - ARSON LAB				2401400
OPERATING CAPITAL O				060000
INSURANCE REG TF	-STATE	200,000	200,000	2393 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests nonrecurring Operating Capital Outlay (OCO) to purchase scientific equipment in the Bureau of Forensic Fire and Explosives (Arson Lab) located in Quincy, Florida. This purchase will replace a mass detector and a photo quality printer.

MASS DETECTOR: 150,000
 The current Mass Detector for Ion Chromatographs was purchased in 2005, refurbished in 2014 at the manufacturer's facility for a cost of \$18,890. By 2016 it will have reached the upper limit of expected usefulness and needs to be replaced. Replacement must be capable of accommodating input from the two Dionex ICS 2100 Ion Chromatographs. The ion chromatographs separate complex mixtures of chemical ions found in explosives and hazardous chemicals. The Mass Detector provides conclusive identification of the ion. Each fiscal year, the unit is used to make 1,000 to 1,500 identifications.

PHOTO QUALITY DIGITAL FORMAT PRINTER: 50,000
 The original digital printer was purchased and installed in 2006. This unit allows printing of photographic quality prints of images stored on any form of digital media. The lab is the central repository for fire and crime scene investigations by the Bureau of Forensic Fire and Explosives. Digital photographs are is used for courtroom presentations, investigations and public records requests.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
PROGRAM ISSUES				4000000
FIREFIGHTER MEMORIAL				4000190
SPECIAL CATEGORIES				100000
TR/DMS/FIREFIGHTER				107041
INSURANCE REG TF	-STATE	33,889	33,889	2393 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests funding for further construction on the Firefighter Memorial located in the courtyard of the State Capitol in Tallahassee, Florida. In Fiscal Year 2014-15, the Department of Financial Services (DFS) received 250,000 in budget authority to transfer cash to the Department of Management Services (DMS) for construction of the Firefighter Memorial. The initial construction phase was completed and DMS returned the unused cash to DFS. This issue requests that the remaining cash, in the amount of 33,889, be reappropriated to DFS for transfer to DMS for further construction on the memorial.

CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000
FIXED CAPITAL OUTLAY				080000
ARSON LAB-BLDG REP/				080940
INSURANCE REG TF	-STATE	115,000	115,000	2393 1

AGENCY NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

ARSON LAB-BLDG REP/MAINT

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Bureau of Forensic Fire and Explosives Analysis (BFEA) is housed in a facility specially built for it in 1988-90, located in Quincy, Florida at the Florida Public Safety Institute. The facility is owned and maintained by the department and receives no maintenance or infrastructure support from the Department of Management Services (DMS).

REPAIR AND MAINTENANCE: 35,000

This issue requests nonrecurring Fixed Capital Outlay (FCO) to accommodate maintenance issues that occur as the facility

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
PUBLIC PROTECTION				12
<u>LAW ENFORCEMENT</u>				<u>1202.00.00.00</u>
CAPITAL IMPROVEMENT PLAN				9900000
MAINTENANCE AND REPAIR				990M000

ages. As maintenance is an on-going process, in Fiscal Year 2016-17, the bureau plans to replace the garage and exterior doors; the automatic garage door opener and commercial garage door; replace shelving, replace or re-laminate cabinets in Preparation Laboratories; and paint select rooms.

LABORATORY FUME HOODS: 80,000

This issue requests nonrecurring FCO to replace two fume hoods in two Preparation Laboratories, which are original to the building. These are part of an exhaust system to remove hazardous vapor materials from the room. Current hoods are not functioning at optimum levels.

TOTAL: LAW ENFORCEMENT				<u>1202.00.00.00</u>
BY FUND TYPE				
	21.00			
TRUST FUNDS.....	2,681,618	348,889		2000
SALARY RATE.....	1,126,165			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,442,628			
=====				
SALARIES AND BENEFI				010000
STATE RISK MGMT TF	113.00			
-STATE	6,428,461			2078 1
=====				
OTHER PERSONAL SERV				030000
STATE RISK MGMT TF	42,098			
-STATE				2078 1
=====				
EXPENSES				040000
STATE RISK MGMT TF	5,185,658			
-STATE				2078 1
=====				
OPERATING CAPITAL O				060000
STATE RISK MGMT TF	5,405			
-STATE				2078 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF	4,171,632			
-STATE				2078 1
=====				
CONTRACT LEGAL - AT				100904
STATE RISK MGMT TF	5,077,284			
-STATE				2078 1
=====				
CONTRACTED LEGAL SE				100905
STATE RISK MGMT TF	17,476,020			
-STATE				2078 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907
STATE RISK MGMT TF	-STATE 12,275,117			2078 1
EXCESS INSUR. & CLA				101221
STATE RISK MGMT TF	-STATE 10,865,000			2078 1
RISK MGMT INFO CLAI				101222
STATE RISK MGMT TF	-STATE 554,000			2078 1
RISK MANAGEMENT INS				103241
STATE RISK MGMT TF	-STATE 83,453			2078 1
LEASE/PURCHASE/EQUI				105281
STATE RISK MGMT TF	-STATE 18,031			2078 1
TR/DMS/HR SVCS/STW				107040
STATE RISK MGMT TF	-STATE 35,900			2078 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	113.00			
TOTAL ISSUE.....	62,218,059			
TOTAL SALARY RATE.....	4,442,628			

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: ST PROP/CASUALTY CLMS							43400000
ST SELF-INSURED CLAIMS ADJ							43400100
GOV OPERATIONS/SUPPORT							16
GOVERNMENTAL OPERATIONS							1601.00.00.00
ESTIMATED EXPENDITURES							1000000
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
STATE RISK MGMT TF	-STATE	39,804-					2078 1
=====							
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
STATE RISK MGMT TF	-STATE	19,002-					2078 1
=====							
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							
SALARIES AND BENEFIT							1001430
STATE RISK MGMT TF	-STATE	17,573					010000
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
STATE RISK MGMT TF	-STATE	5					2078 1
=====							

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES						
PGM: ST PROP/CASUALTY CLMS						43000000
ST SELF-INSURED CLAIMS ADJ						43400000
GOV OPERATIONS/SUPPORT						43400100
GOVERNMENTAL OPERATIONS						16
ADJUSTMENTS TO CURRENT YEAR						1601.00.00.00
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF CURRENT YEAR BUDGET						
AMENDMENT - TRANSFER FROM EXPENSE						
TO LEASE LEASE-PURCHASE - ADD						1600250
SPECIAL CATEGORIES						100000
LEASE/PURCHASE/EQUI						105281
STATE RISK MGMT TF	-STATE	3,500				2078 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests reapproval of a current year budget amendment that was approved on 8/27/2015. Agency amendment 16-AT05 (EOG# B7027) transferred authority from Expense to Lease Lease-Purchase in the State Self-Insured Claims Adjustment budget entity to cover increased printing costs.

This issue corresponds with issue code 1600260

REAPPROVAL OF CURRENT YEAR BUDGET
 AMENDMENT - TRANSFER FROM EXPENSE
 TO LEASE LEASE-PURCHASE - DEDUCT
 EXPENSES

1600260
 040000

STATE RISK MGMT TF -STATE 3,500- 2078 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: #3: Improve efficiency and customer driven value.

This issue requests reapproval of a current year budget amendment that was approved on 8/27/2015. Agency amendment 16-AT05 (EOG# B7027) transferred authority from Expense to Lease Lease-Purchase in the State Self-Insured Claims Adjustment budget entity to cover increased printing costs.

This issue corresponds with issue code 1600250

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF CURRENT YEAR BUDGET				
AMENDMENT				1600600
SPECIAL CATEGORIES				100000
CONTRACT LEGAL - AT				100904
STATE RISK MGMT TF	-STATE	550,000		2078 1
CONTRACTED LEGAL SE				100905
STATE RISK MGMT TF	-STATE	4,500,000		2078 1
TOTAL: REAPPROVAL OF CURRENT YEAR BUDGET				1600600
AMENDMENT				
TOTAL ISSUE.....		5,050,000		

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests reapproval of a current year budget amendment. Agency amendment 16-07 (EOG#B0094) is pending Legislative Budget Committee Approval to increase authority in the categories Contracted Legal Services and Contracted Legal Services - Attorney General within the State Self-Insured Claims Adjustment budget entity.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
NONRECURRING EXPENDITURES				2100000
WORKERS' COMPENSATION CLAIMS				
STAFFING - RISK MANAGEMENT				2103113
EXPENSES				040000
STATE RISK MGMT TF	-STATE	16,452-		2078 1
WORKLOAD				3000000
ADDITIONAL RESOURCES FOR RISK				
MANAGEMENT CONTRACTED LEGAL				
SERVICES				3000140
SPECIAL CATEGORIES				100000
CONTRACT LEGAL - AT				100904
STATE RISK MGMT TF	-STATE	1,600,000		2078 1
CONTRACTED LEGAL SE				100905
STATE RISK MGMT TF	-STATE	4,600,000		2078 1
TOTAL: ADDITIONAL RESOURCES FOR RISK				3000140
MANAGEMENT CONTRACTED LEGAL				
SERVICES				
TOTAL ISSUE.....		6,200,000		

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests the estimated budget need for Fiscal Year 2016-2017 in the two contracted legal services categories of the State Self-Insured Claims Adjustment budget entity; Contracted Legal Services - Office of the Attorney General (AG) and Contracted Legal Services (private attorneys).

Contracted Legal Services - Office of the Attorney General:

In May 2015, the AG provided a notice of rate increase to the Department of Financial Services (DFS) for Fiscal Year 2016-2017 contracted legal services. Rates paid to the AG were increased the prior two fiscal years as well. The proposed fees represent a blended staff rate increase of 18.19 percent. When the blended staff rate increase is applied to the Fiscal Year 2015-2016 total appropriation of \$5.6 million plus a 10 percent growth factor, expenditures of \$7.2

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
WORKLOAD				3000000
ADDITIONAL RESOURCES FOR RISK				
MANAGEMENT CONTRACTED LEGAL				
SERVICES				3000140

million are anticipated for Fiscal Year 2016-2017. Based on these calculations, an additional 1.6 million will be needed.

Contracted Legal Services (private attorneys)

The DFS has noted a decline in the experience level of private defense attorneys litigating DFS claims. Contributing to this decline is the fact that the current rate structure for defense attorney legal services contracted by the Department was established in 2008. In order to lessen the gap between private and state contracted attorneys, the Department is proposing an hourly rate increase for private defense attorneys comparable to rate increases approved for the AG. The combined rate increase will raise the DFS's current average hourly private defense attorney rate from \$88 to \$113. If the rate increase is applied, the projected expenditures will increase by \$4,604,594.

PROGRAM ISSUES				4000000
ADDITIONAL CONTRACTED MEDICAL				
SERVICES				4000710
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907

STATE RISK MGMT TF	-STATE	2,763,816	93,816	2078	1
		=====	=====		

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests additional funding to expand services provided by two contracted vendors, Genex and AmeriSys.

Genex:

Requesting 1,065,000 to amend the Department's current medical bill review contract with Genex Services, Incorporated to allow for discounts available based on network preferred provider organization (PPO) contracts. This will allow for a potential reduction in the Department's non-operating loss payment category 310217 by reducing the amounts paid on eligible medical bills submitted by providers that are included in the Genex PPO network.

Genex would be reimbursed for the PPO cost reductions as a percentage of savings, where payment would be based upon 25

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>				43400100
GOV OPERATIONS/SUPPORT				16
<u>GOVERNMENTAL OPERATIONS</u>				<u>1601.00.00.00</u>
PROGRAM ISSUES				4000000
ADDITIONAL CONTRACTED MEDICAL SERVICES				4000710

percent of the cost savings attained by the PPO reductions. Genex analyzed the bills processed for the Department in 2014 and determined that for the 109,860 bills processed and \$89,921,500 in charges presented, a cost savings of \$4,250,000 would have been realized by utilizing the PPO discounts. Based on a 25 percent percentage of savings, the PPO fees paid to Genex would have been \$1,062,500. Although the cost savings would have been to the Department's non-operating loss payment category 310217, the fees paid would have been paid from the Contracted Medical Services category 100907. This could potentially result in a reduction in the Risk Management Insurance category 103241 by way of reduced casualty premiums assessed to state agencies and universities participating in this program.

If this issue is not funded, the estimated annual PPO discount savings will not be realized, and those approximate cost savings will not be passed on to state agencies and universities covered by this program in the way of reduced Risk Management Insurance premiums funded in the Risk Management Insurance category 103241.

AmeriSys:

Requesting 1,698,816 to implement medical case management for approximately 1,450 workers' compensation claims that, because of historical reasons, are currently not being managed by medical professionals like all other claims. The amount being requested is based on a proposal from the Department of Financial Services' current case management contractor, AmeriSys.

These 1,450 claims are now being handled for medical coordination of care by either in-house adjustors or by contractor adjustors (with expired contracts who receive no compensation for coordinating care on these claims), rather than medical professionals qualified to make decisions involving medical care issues. These claims are referred to internally as legacy claims. The current medical case management contractor will create a unit within its organization to handle these claims, which occurred prior to 2003 and require specialized knowledge of workers' compensation laws in effect at the time of the date of injury. The contractor has proposed creating a unit of thirteen (13) medical professional staff, nine (9) of which will be telephonic case managers (TCMs), that will be co-located with existing contractor staff at the contractor's office in Altamonte Springs, FL. This staffing level will result in a caseload of approximately 150 claims per TCM.

In an attempt to determine the potential cost savings, the Department compared the average payment per claim in 2014 by the current vendor to the to the average payment per claim in 2013 by the two vendors being used at that time, which resulted in a 9.47 percent lower average payment per claim. If that decrease is applied to the three year average annual claim payments made for the legacy claims for the years 2012 through 2014 (18,338,443), it results in a potential annual claim payment decrease of 1,736,955. If that decrease is offset by the spending authority requested for the next five years for this issue, a net cost benefit of 307,762 may be realized. However, this estimated net cost benefit does not include any cost savings that may be realized due to the increased usage of independent medical examinations or utilization reviews. An example of how cost savings may be obtained through more active medical case management can be found in a recent hospital bill that was identified by Department staff as having the potential for reduction. The

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
GOV OPERATIONS/SUPPORT				16
GOVERNMENTAL OPERATIONS				1601.00.00.00
PROGRAM ISSUES				4000000
ADDITIONAL CONTRACTED MEDICAL SERVICES				4000710

Department asked that the bill be negotiated by our medical bill review contractor and as a result, a procedure that was originally billed at 1.2 million was reduced to approximately 500,000.

The contractor would initially concentrate on quickly identifying claims that present opportunities for closure and/or savings by addressing medical treatment plans, care delivery elements and case management intervention. All claims will be medically managed on an ongoing basis in a manner that will not disrupt appropriate care while remaining diligent to identify opportunities to generate additional cost savings in delivering care.

If this issue is not funded, the Department's most complex and costly workers' compensation claims, other than presumption claims, will continue to not receive adequate review and management of medical care by medical professionals. This will increase the probability that medical case management decisions, or the lack thereof, will result in medical procedures being approved that are not relevant to the covered injury, or that decisions made on non-medical costs, such as transportation or attendant care, will increase the future costs of those claims. Also, because these claims are not under the current medical care management contractor's direct control, usage of independent medical examinations and utilization review is lacking, which are two primary forms of medical cost containment.

CONTRACTED MEDICAL SERVICES				
CONTRACT INCREASE				4000790
SPECIAL CATEGORIES				100000
CONTRACTED MED SVCS				100907
STATE RISK MGMT TF	-STATE	1,305,000		2078 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue requests the additional estimated budget need for Fiscal Year 2016-2017 in the Contracted Medical Services category of the State Self-Insured claims Adjustment budget entity. The projected need is in part the result of an increase in the total cost of prescriptions filled and cost escalators built into some contracts.

The Contracted Medical Service category includes pharmacy benefits management, medical case management, medical bill review, and third-party administration services. Between calendar year 2013 and 2014, the total cost of prescriptions filled increased 13.6 percent. Effective January 2016, a three (3) percent fixed fee price increase takes effect for the medical case management contractor and effective September 2015 a 1.9 percent unit rate increase takes effect for the

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-----
          COL A03          COL A04          COL A05
        AGY REQUEST    AGY REQ N/R    AG REQ ANZ
        FY 2016-17    FY 2016-17    FY 2016-17
        POS      AMOUNT POS      AMOUNT POS      AMOUNT
-----
  
```

```

FINANCIAL SERVICES
PGM: ST PROP/CASUALTY CLMS
ST SELF-INSURED CLAIMS ADJ
  GOV OPERATIONS/SUPPORT
  GOVERNMENTAL OPERATIONS
PROGRAM ISSUES
CONTRACTED MEDICAL SERVICES
CONTRACT INCREASE
  
```

43000000
 43400000
 43400100
 16
1601.00.00.00
 4000000
 4000790

medical bill review contractor. Projected expenditures for third-party administration services are projected to remain the same.

```

TOTAL: GOVERNMENTAL OPERATIONS
      BY FUND TYPE
          113.00
TRUST FUNDS..... 77,479,195          93,816
SALARY RATE..... 4,442,628
=====
  
```

1601.00.00.00
 2000

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>INSURANCE CO REHAB/LIQDATN</u>							43500100
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	439,001						
=====							
SALARIES AND BENEFIT							010000
INSURANCE REG TF	-STATE	7.00	585,921				2393 1
=====							
OTHER PERSONAL SERV							030000
INSURANCE REG TF	-STATE		34,771				2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE		119,364				2393 1
=====							
OPERATING CAPITAL O							060000
INSURANCE REG TF	-STATE		26,120				2393 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE		232,517				2393 1
=====							
RISK MANAGEMENT INS							103241
INSURANCE REG TF	-STATE		694				2393 1
=====							
TR/DMS/HR SVCS/STW							107040
INSURANCE REG TF	-STATE		2,383				2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>INSURANCE CO REHAB/LIQDATN</u>							43500100
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	7.00						
TOTAL ISSUE.....		1,001,770					
TOTAL SALARY RATE.....		439,001					
=====							
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
INSURANCE REG TF	-STATE	554-					2393 1
=====							
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
INSURANCE REG TF	-STATE	1,374-					2393 1
=====							
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							
SALARIES AND BENEFIT							1001430
INSURANCE REG TF	-STATE	1,393					010000
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
REAPPROVAL OF BUDGET AMENDMENT				
#B0027 - TRANSFER TWO ATTORNEY				
POSITIONS FROM REHABILITATION AND				
LIQUIDATION TO LEGAL - DEDUCT				1600420
SALARY RATE				000000
SALARY RATE.....	101,940-			
	=====	=====	=====	
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	2.00-	142,086-	2393 1
		=====	=====	
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE		730-	2393 1
		=====	=====	
TOTAL: REAPPROVAL OF BUDGET AMENDMENT				1600420
#B0027 - TRANSFER TWO ATTORNEY				
POSITIONS FROM REHABILITATION AND				
LIQUIDATION TO LEGAL - DEDUCT				
TOTAL POSITIONS.....	2.00-			
TOTAL ISSUE.....		142,816-		
TOTAL SALARY RATE.....	101,940-			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: Goal #3: Improve efficiency and customer driven value.

This issue is a continuation of Budget Amendment #B0027 which transferred two attorney positions from the Division of Rehabilitation and Liquidation to the Division of Legal Services. This centralizes legal staff to one division. This amendment was approved 8/4/2015.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE CO REHAB/LIQDATN</u>						43500100
PUBLIC PROTECTION						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR						
ESTIMATED EXPENDITURES						1600000
REAPPROVAL OF BUDGET AMENDMENT						
#B0027 - TRANSFER TWO ATTORNEY						
POSITIONS FROM REHABILITATION AND						
LIQUIDATION TO LEGAL - DEDUCT						1600420

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
7736 ATTORNEY						
N4067 002	2.00-	101,940-		142,086-	0.00	142,086-
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						142,086-
	2.00-	101,940-		142,086-		142,086-

TOTAL: REGULATION AND LICENSING						<u>1204.00.00.00</u>
BY FUND TYPE						
TRUST FUNDS.....	5.00	858,419				2000
SALARY RATE.....	337,061					
=====						

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
LICENSURE, SALES/APPT/OVST							43500200
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	5,018,524						
=====							
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	120.00 6,824,498					2393 1
=====							
OTHER PERSONAL SERV							030000
INSURANCE REG TF	-STATE	3,938					2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	1,040,029					2393 1
=====							
OPERATING CAPITAL O							060000
INSURANCE REG TF	-STATE	2,500					2393 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE							100064
INSURANCE REG TF	-STATE	1,100,000					2393 1
=====							
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	838,892					2393 1
=====							
OPERATION/MOTOR VEH							102289
INSURANCE REG TF	-STATE	5,200					2393 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
INSURANCE REG TF	-STATE	55,394		2393 1
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF	-STATE	16,534		2393 1
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	43,667		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		120.00		
TOTAL ISSUE.....		9,930,652		
TOTAL SALARY RATE.....		5,018,524		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
INSURANCE REG TF	-STATE	8,158-		2393 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	21,475-		2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFI				010000
INSURANCE REG TF	-STATE	18,877		2393 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	7		2393 1
=====				
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
CONTINUATION OF FIVE PERCENT				
BUDGET AMENDMENT - TRANSFER				
CONTRACTED SERVICES TO OPERATING				
CAPITAL OUTLAY - DEDUCT				160F420
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	10,000-		2393 1
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is a continuation of Five Percent Transfer #B7004 which transferred 10,000 from the Contracted Services category to the Operating Capital Outlay category. This amendment was approved 7/16/2015.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ADJUSTMENTS TO CURRENT YEAR				
ESTIMATED EXPENDITURES				1600000
CONTINUATION OF FIVE PERCENT				
BUDGET AMENDMENT - TRANSFER				
CONTRACTED SERVICES TO OPERATING				
CAPITAL OUTLAY - ADD				160F430
OPERATING CAPITAL O				060000
INSURANCE REG TF				2393 1
-STATE	10,000			

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

This issue is a continuation of Five Percent Transfer #B7004 which transferred 10,000 from the Contracted Services category to the Operating Capital Outlay category. This amendment was approved 7/16/2015.

TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	120.00			
TRUST FUNDS.....		9,919,903		2000
SALARY RATE.....	5,018,524			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	10,219,322			
	=====	=====	=====	
SALARIES AND BENEFI				010000
INSURANCE REG TF	-STATE	13,873,350		2393 1
FED LAW ENFORCEMENT TF	-FEDERL	15,000		2719 3
WORKERS' COMP ADMIN TF	-STATE	217,115		2795 1
		-----	-----	-----
TOTAL POSITIONS.....	194.00			
TOTAL APPRO.....	14,105,465			
	=====	=====	=====	
OTHER PERSONAL SERV				030000
INSURANCE REG TF	-STATE	45,000		2393 1
		=====	=====	=====
EXPENSES				040000
INSURANCE REG TF	-STATE	2,078,900		2393 1
		=====	=====	=====
FED LAW ENFORCEMENT TF	-STATE	79,000		2719 1
	-FEDERL	413,200		2719 3
		-----	-----	-----
TOTAL FED LAW ENFORCEMENT TF		492,200		2719
		=====	=====	=====
TOTAL APPRO.....	2,571,100			
	=====	=====	=====	
OPERATING CAPITAL O				060000
INSURANCE REG TF	-STATE	1,700		2393 1
FED LAW ENFORCEMENT TF	-FEDERL	208,325		2719 3
		-----	-----	-----
TOTAL APPRO.....	210,025			
	=====	=====	=====	

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PI				100522
INSURANCE REG TF	-STATE	1,559,239		2393 1
		=====		
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	265,315		2393 1
		=====		
FED LAW ENFORCEMENT TF	-STATE	15,000		2719 1
	-FEDERL	99,800		2719 3
	-RECPNT	50,000		2719 9

TOTAL FED LAW ENFORCEMENT TF		164,800		2719
		=====		
TOTAL APPRO.....		430,115		
		=====		
OPERATION/MOTOR VEH				102289
INSURANCE REG TF	-STATE	150,253		2393 1
		=====		
RISK MANAGEMENT INS				103241
INSURANCE REG TF	-STATE	413,566		2393 1
		=====		
SALARY INCENTIVE PA				103290
INSURANCE REG TF	-STATE	202,496		2393 1
		=====		
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF	-STATE	47,247		2393 1
		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES

FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	61,000		2393 1
WORKERS' COMP ADMIN TF	-STATE	1,045		2795 1
TOTAL APPRO.....		62,045		
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	194.00			
TOTAL ISSUE.....		19,796,551		
TOTAL SALARY RATE.....	10,219,322			
=====				
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
INSURANCE REG TF	-STATE	190,708-		2393 1
=====				
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFI				010000
INSURANCE REG TF	-STATE	122,976		2393 1
FED LAW ENFORCEMENT TF	-FEDERL	138		2719 3
WORKERS' COMP ADMIN TF	-STATE	1,926		2795 1
TOTAL APPRO.....		125,040		
=====				
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PI				100522
INSURANCE REG TF	-STATE	2,219-		2393 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
TOTAL: FLORIDA RETIREMENT SYSTEM				1001420
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				
TOTAL ISSUE.....	122,821			
	=====	=====	=====	
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE	37,731		2393 1
FED LAW ENFORCEMENT TF	-FEDERL	42		2719 3
WORKERS' COMP ADMIN TF	-STATE	591		2795 1
TOTAL APPRO.....		38,364		
		=====	=====	
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PI				100522
INSURANCE REG TF	-STATE	4,182		2393 1
		=====	=====	
TOTAL: HEALTH INSURANCE SUBSIDY - RETIREES				1001430
FOR FY 2015-16				
TOTAL ISSUE.....		42,546		
		=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>INSURANCE FRAUD</u>							43500300
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
INSURANCE REG TF							2393 1
-STATE		9					
=====							
NONRECURRING EXPENDITURES							2100000
STAFFING/WORKLOAD - LAW ENFORCEMENT							
PERSONNEL - WORKERS' COMPENSATION							
INSURANCE FRAUD							2103030
SALARY RATE							000000
SALARY RATE.....		153,058-					
=====							
SALARIES AND BENEFIT							010000
WORKERS' COMP ADMIN TF							2795 1
-STATE		3.00-		208,968-			
=====							
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
WORKERS' COMP ADMIN TF							2795 1
-STATE		1,032-					
=====							
TOTAL: STAFFING/WORKLOAD - LAW ENFORCEMENT							2103030
PERSONNEL - WORKERS' COMPENSATION							
INSURANCE FRAUD							
TOTAL POSITIONS.....		3.00-					
TOTAL ISSUE.....				210,000-			
TOTAL SALARY RATE.....		153,058-					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
NONRECURRING EXPENDITURES				2100000
ENHANCEMENTS FOR LAW ENFORCEMENT				
PERSONNEL - FEDERAL GRANTS TRUST				
FUND				2103142
SALARIES AND BENEFI				010000
FED LAW ENFORCEMENT TF	-FEDERL	15,000-		2719 3
		=====	=====	
EXPENSES				040000
FED LAW ENFORCEMENT TF	-FEDERL	328,200-		2719 3
		=====	=====	
OPERATING CAPITAL O				060000
FED LAW ENFORCEMENT TF	-FEDERL	203,125-		2719 3
		=====	=====	
TOTAL: ENHANCEMENTS FOR LAW ENFORCEMENT				2103142
PERSONNEL - FEDERAL GRANTS TRUST				
FUND				
TOTAL ISSUE.....		546,325-		
		=====	=====	
WORKLOAD				3000000
LAW ENFORCEMENT RETENTION AND				
RECRUITMENT				3001A60
SALARY RATE				000000
SALARY RATE.....		650,000		
		=====	=====	
SALARIES AND BENEFI				010000
INSURANCE REG TF	-STATE	650,000		2393 1
		=====	=====	
TOTAL: LAW ENFORCEMENT RETENTION AND				3001A60
RECRUITMENT				
TOTAL ISSUE.....		650,000		
TOTAL SALARY RATE.....		650,000		
		=====	=====	

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
LAW ENFORCEMENT RETENTION AND						
RECRUITMENT						3001A60

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

The Division of Insurance Fraud is continually losing experienced, well-trained, competent employees to more competitive markets and is asking for increased salary budget to retain these employees. These losses greatly impact the productivity as new hires must be trained which decreases the abilities to be more effective in fighting insurance fraud and protecting the residents of this state. Analyses were conducted on turnover rates which indicated there was a turnover rate of 110% over the last 10 years and 60% for the last 5 years for sworn employees. This request is addressing an approach to maintain a highly qualified staff to be more effective in combating insurance fraud.

In the 2015 Legislative Session the Legislature approved and funded a similar type of issue for the Department of Highway Safety and Motor Vehicles to prevent Florida Highway Patrolmen (FHP) from transferring to more affordable counties. This allowed FHP to effectively address their staffing needs. The Department of Financial Services requests an additive for detectives of \$5,000, as in the FHP issue. An additive of \$2,500 is requested for lieutenants, majors, and captains to prevent salary compression.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
P101 PROPOSED CLASS CODE						
N0011 002	0.00	650,000		650,000	0.00	650,000
TOTALS FOR ISSUE BY FUND						
2393 INSURANCE REG TF						650,000
	0.00	650,000		650,000		650,000

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
ENHANCEMENTS FOR LAW ENFORCEMENT				
PERSONNEL - FEDERAL GRANTS TRUST				
FUND				3004500
SALARY RATE				000000
SALARY RATE.....	15,000	15,000		
	=====	=====	=====	
SALARIES AND BENEFI				010000
FED LAW ENFORCEMENT TF				
-FEDERL	15,000	15,000		2719 3
	=====	=====	=====	
EXPENSES				040000
FED LAW ENFORCEMENT TF				
-FEDERL	500	500		2719 3
-RECPNT	500	500		2719 9
	-----	-----	-----	
TOTAL FED LAW ENFORCEMENT TF	1,000	1,000		2719
	=====	=====	=====	
TOTAL APPRO.....	1,000	1,000		
	=====	=====	=====	
OPERATING CAPITAL O				060000
FED LAW ENFORCEMENT TF				
-FEDERL	298,135	263,135		2719 3
-RECPNT	298,135	298,135		2719 9
	-----	-----	-----	
TOTAL FED LAW ENFORCEMENT TF	596,270	561,270		2719
	=====	=====	=====	
TOTAL APPRO.....	596,270	561,270		
	=====	=====	=====	
TOTAL: ENHANCEMENTS FOR LAW ENFORCEMENT				3004500
PERSONNEL - FEDERAL GRANTS TRUST				
FUND				
TOTAL ISSUE.....	612,270	577,270		
TOTAL SALARY RATE.....	15,000	15,000		
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
ENHANCEMENTS FOR LAW ENFORCEMENT				
PERSONNEL - FEDERAL GRANTS TRUST				
FUND				3004500

driven value.

DFS requests various enhancements to the law enforcement capabilities of the agency. These enhancements are requested to be funded through the Federal Law Enforcement Trust Fund. This request is for the following issues:

LIGHTS, SIREN, AND TINT PACKAGES: 35,000 The Department of Financial Services requests \$35,000 from the Federal Law Enforcement Trust Fund (FLETF) for the installation of lights and sirens on the Department's law enforcement vehicles. The vehicles provided to investigators do not come equipped with lights, sirens, and tint packages. This amount will provide 20 vehicles with lights, sirens and tint packages at \$1,750 per package. This will be requested recurring.

OVERTIME: 15,000 The Division of Insurance Fraud requests \$15,000 in the Salary and Benefit category for overtime for the Division's law enforcement officers. The Division has expanded the number of special operations, sweeps, and stings conducted in insurance fraud investigations many of which occur on weekends or after normal business hours.

MILO LAW ENFORCEMENT TRAINING SYSTEM: 70,000 OCO from FLETF. The Division of Insurance Fraud is a sworn law enforcement organization with arrest powers. The MILO Range Pro Training System is a training device used by the Department of Financial Services Law Enforcement Officers. Yearly qualification on the shooting range is required for each sworn employee. The Criminal Justice Standards and Training Commission requires scenario-based firearms training as part of Use of Force training. Use of Force training is required by FDLE every four years. The current system was acquired in 2008. The hardware and software is outdated and is no longer supported by the manufacturer. The new technology makes the system smaller, lighter, and more portable. The older system will remain at the Pat Thomas Law Enforcement Training Academy while the new system will provide a portable training system for statewide usage and more effective training.

ROBOT UPGRADES: 299,270 OCO from FLETF. This request is to upgrade five existing BFAI Robots that are ten years old and were originally purchased with Domestic Security funds. Upgrades will provide increased communications capability from the Bomb Technician to the robot, increased robot control distance, distortion free video feed from the robot back to the Bomb Technician and allow concurrent operation of robot functions (ability for the robot to do two or more things at the same time) while responding to the threat.

The Bomb Squad is comprised of four teams throughout the State of Florida that provide rapid response to explosion and hazardous materials incidents. Robots are used to enter locations of barricaded suspects, hazardous chemical incidents and rendering safe suspicious items operations. Additionally, the BFAI Bomb Squad uses robots as part of the ERT to conduct rapid on scene surveillance, air sampling and testing of suspected hazardous materials from a safe distance during train derailments and chemical spills.

COMPUTER REPLACEMENT: 192,000 OCO from FLETF. Requests the replacement of 64 sworn officer's rugged laptops that are used in their vehicles as well as at a desk. Dell will not allow another extended warranty on the current laptops. These computers are used for law enforcement criminal databases and are linked to joint dispatch centers.

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PGM: LICNSNG/CNSMER PROTEC						43000000
<u>INSURANCE FRAUD</u>						43500000
PUBLIC PROTECTION						43500300
<u>CONSUMER SAFETY/PROTECTION</u>						12
WORKLOAD						<u>1205.00.00.00</u>
ENHANCEMENTS FOR LAW ENFORCEMENT						3000000
PERSONNEL - FEDERAL GRANTS TRUST						
FUND						3004500

EXPENSE: 1,000 from FLETF is requested to provide the Division of Insurance Fraud with expense authority from the FLETF.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0002 002	0.00	15,000			15,000	0.00	15,000
TOTALS FOR ISSUE BY FUND							
2719 FED LAW ENFORCEMENT TF							15,000
	0.00	15,000			15,000		15,000
=====							

A04 - AGY REQ N/R FY 2016-17

CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0002 002	0.00	15,000			15,000	0.00	15,000

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2016-17	FY 2016-17	FY 2016-17	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
ENHANCEMENTS FOR LAW ENFORCEMENT						
PERSONNEL - FEDERAL GRANTS TRUST						
FUND						3004500

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A04 - AGY REQ N/R FY 2016-17						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
2719 FED LAW ENFORCEMENT TF						15,000
0.00	15,000			15,000		15,000

STAFFING/WORKLOAD - LAW ENFORCEMENT						
PERSONNEL - WORKERS' COMPENSATION						
INSURANCE FRAUD						3005320
SALARY RATE						000000
SALARY RATE.....	152,645	152,645				
=====						
SALARIES AND BENEFIT						010000
WORKERS' COMP ADMIN TF -STATE	3.00	3.00	208,968	208,968		2795 1
=====						
SPECIAL CATEGORIES						100000
TR/DMS/HR SVCS/STW						107040
WORKERS' COMP ADMIN TF -STATE	1,032	1,032				2795 1
=====						

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
WORKLOAD				3000000
STAFFING/WORKLOAD - LAW ENFORCEMENT				
PERSONNEL - WORKERS' COMPENSATION				
INSURANCE FRAUD				3005320
TOTAL: STAFFING/WORKLOAD - LAW ENFORCEMENT				3005320
PERSONNEL - WORKERS' COMPENSATION				
INSURANCE FRAUD				
TOTAL POSITIONS.....	3.00	3.00		
TOTAL ISSUE.....		210,000	210,000	
TOTAL SALARY RATE.....	152,645	152,645		
	=====	=====	=====	=====

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

This issue requests the continuation of three law enforcement personnel to investigate Workers' Compensation Insurance Fraud. The funding is a three year grant from United Brotherhood of Carpenters. This is the third year of funding.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0009 002	3.00	152,645		56,323	208,968	0.00	208,968
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							208,968
	3.00	152,645		56,323	208,968		208,968
	=====	=====	=====	=====	=====	=====	=====

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>INSURANCE FRAUD</u>						43500300
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
STAFFING/WORKLOAD - LAW ENFORCEMENT						
PERSONNEL - WORKERS' COMPENSATION						
INSURANCE FRAUD						3005320

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A04 - AGY REQ N/R FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
P101 PROPOSED CLASS CODE							
N0009 002	3.00	152,645		56,323	208,968	0.00	208,968
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							208,968
	3.00	152,645		56,323	208,968		208,968

TOTAL: CONSUMER SAFETY/PROTECTION							<u>1205.00.00.00</u>
BY FUND TYPE	194.00		3.00				
TRUST FUNDS.....		20,487,164		787,270			2000
SALARY RATE.....		10,883,909		167,645			

=====

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>CONSUMER ASSISTANCE</u>							43500400
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		4,893,535					
=====							
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	113.00					
		6,454,175					2393 1
=====							
OTHER PERSONAL SERV							030000
INSURANCE REG TF	-STATE	175,402					
							2393 1
=====							
EXPENSES							040000
INSURANCE REG TF	-STATE	921,535					
							2393 1
=====							
OPERATING CAPITAL O							060000
INSURANCE REG TF	-STATE	2,200					
							2393 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
INSURANCE REG TF	-STATE	645,374					
							2393 1
=====							
HOLOCAUST VICTIMS A							101085
INSURANCE REG TF	-STATE	308,007					
							2393 1
=====							
OPERATION/MOTOR VEH							102289
INSURANCE REG TF	-STATE	1,500					
							2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
CONSUMER ASSISTANCE							43500400
PUBLIC PROTECTION							12
CONSUMER SAFETY/PROTECTION							1205.00.00.00
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
INSURANCE REG TF	-STATE	33,231					2393 1
LEASE/PURCHASE/EQUI							105281
INSURANCE REG TF	-STATE	9,224					2393 1
TR/DMS/HR SVCS/STW							107040
INSURANCE REG TF	-STATE	37,837					2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....		113.00					
TOTAL ISSUE.....		8,588,485					
TOTAL SALARY RATE.....		4,893,535					
CASUALTY INSURANCE PREMIUM ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
INSURANCE REG TF	-STATE	11,615-					2393 1
FLORIDA RETIREMENT SYSTEM ADJUSTMENT FOR FISCAL YEAR 2015-16 NORMAL COST AND UNFUNDED ACTUARIAL LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
INSURANCE REG TF	-STATE	20,344-					2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>CONSUMER ASSISTANCE</u>				43500400
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
INSURANCE REG TF	-STATE		17,951	2393 1
			=====	
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE		6	2393 1
			=====	
TOTAL: CONSUMER SAFETY/PROTECTION				<u>1205.00.00.00</u>
BY FUND TYPE				
			113.00	
TRUST FUNDS.....			8,574,483	2000
SALARY RATE.....			4,893,535	
			=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>FUNERAL/CEMETERY SERVICES</u>							43500500
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		1,213,182					
=====							
SALARIES AND BENEFIT							010000
REGULATORY TRUST FUND	-STATE	25.00					
REGULATORY TRUST FUND	-STATE	1,692,955					2573 1
=====							
OTHER PERSONAL SERV							030000
REGULATORY TRUST FUND	-STATE	65,000					2573 1
=====							
EXPENSES							040000
REGULATORY TRUST FUND	-STATE	304,166					2573 1
=====							
OPERATING CAPITAL O							060000
REGULATORY TRUST FUND	-STATE	9,500					2573 1
=====							
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE							100064
REGULATORY TRUST FUND	-STATE	14,100					2573 1
=====							
CONTRACTED SERVICES							100777
REGULATORY TRUST FUND	-STATE	99,549					2573 1
=====							
OPERATION/MOTOR VEH							102289
REGULATORY TRUST FUND	-STATE	8,700					2573 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>FUNERAL/CEMETERY SERVICES</u>							43500500
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
REGULATORY TRUST FUND -STATE		7,641					2573 1
LEASE/PURCHASE/EQUI							105281
REGULATORY TRUST FUND -STATE		4,162					2573 1
TR/DMS/HR SVCS/STW							107040
REGULATORY TRUST FUND -STATE		12,605					2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	25.00						
TOTAL ISSUE.....		2,218,378					
TOTAL SALARY RATE.....		1,213,182					
CASUALTY INSURANCE PREMIUM ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
REGULATORY TRUST FUND -STATE		430					2573 1
FLORIDA RETIREMENT SYSTEM ADJUSTMENT FOR FISCAL YEAR 2015-16 NORMAL COST AND UNFUNDED ACTUARIAL LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
REGULATORY TRUST FUND -STATE		5,225-					2573 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>FUNERAL/CEMETERY SERVICES</u>							43500500
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
HEALTH INSURANCE SUBSIDY - RETIREES FOR FY 2015-16							1001430
SALARIES AND BENEFIT							010000
REGULATORY TRUST FUND -STATE		4,741					2573 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
REGULATORY TRUST FUND -STATE		2					2573 1
=====							
NONRECURRING EXPENDITURES							2100000
INCREASED STAFFING FOR FUNERAL AND CEMETERY INVESTIGATIONS EXPENSES							2103143
							040000
REGULATORY TRUST FUND -STATE		12,339-					2573 1
=====							
TOTAL: REGULATION AND LICENSING BY FUND TYPE							<u>1204.00.00.00</u>
TRUST FUNDS.....	25.00						
SALARY RATE.....		2,205,987					2000
		1,213,182					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,121,528			
=====				
SALARIES AND BENEFIT				010000
FEDERAL GRANTS TRUST FUND -RECPNT	1,381,773			2261 9
INSURANCE REG TF -STATE	2,701,564			2393 1

TOTAL POSITIONS.....	67.00			
TOTAL APPRO.....	4,083,337			
=====				
OTHER PERSONAL SERV				030000
FEDERAL GRANTS TRUST FUND -RECPNT	288,460			2261 9
=====				
EXPENSES				040000
FEDERAL GRANTS TRUST FUND -RECPNT	565,444			2261 9
=====				
OPERATING CAPITAL O				060000
FEDERAL GRANTS TRUST FUND -RECPNT	20,000			2261 9
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FEDERAL GRANTS TRUST FUND -RECPNT	194,418			2261 9
=====				
OPERATION/MOTOR VEH				102289
FEDERAL GRANTS TRUST FUND -RECPNT	20,000			2261 9
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: LICNSNG/CNSMER PROTEC							43500000
<u>PUBLIC ASSISTANCE FRAUD</u>							43500700
PUBLIC PROTECTION							12
<u>CONSUMER SAFETY/PROTECTION</u>							<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
FEDERAL GRANTS TRUST FUND -RECPNT		14,356					2261 9
	=====		=====		=====		
LEASE/PURCHASE/EQUI							105281
FEDERAL GRANTS TRUST FUND -RECPNT		14,900					2261 9
	=====		=====		=====		
TR/DMS/HR SVCS/STW							107040
FEDERAL GRANTS TRUST FUND -RECPNT		39,805					2261 9
	=====		=====		=====		
DATA PROCESSING SERVICES							210000
OTHER DATA PROCESSI							210014
FEDERAL GRANTS TRUST FUND -RECPNT		1,000					2261 9
	=====		=====		=====		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	67.00						
TOTAL ISSUE.....		5,241,720					
TOTAL SALARY RATE.....		4,121,528					
	=====		=====		=====		
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
FEDERAL GRANTS TRUST FUND -RECPNT		20,843					2261 9
	=====		=====		=====		

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				<u>1205.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
FEDERAL GRANTS TRUST FUND -RECPNT	3,895-			2261 9
INSURANCE REG TF -STATE	7,615-			2393 1
TOTAL APPRO.....	11,510-			
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
FEDERAL GRANTS TRUST FUND -RECPNT	3,819			2261 9
INSURANCE REG TF -STATE	7,467			2393 1
TOTAL APPRO.....	11,286			
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
FEDERAL GRANTS TRUST FUND -RECPNT	6			2261 9

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
PUBLIC PROTECTION				12
<u>CONSUMER SAFETY/PROTECTION</u>				1205.00.00.00
WORKLOAD				3000000
STAFFING - PUBLIC ASSISTANCE FRAUD				3000520
SALARY RATE				000000
SALARY RATE.....	461,708			
	=====	=====	=====	
SALARIES AND BENEFI				010000
FEDERAL GRANTS TRUST FUND -RECPNT	266,951			2261 9
INSURANCE REG TF -STATE	400,425			2393 1
	-----	-----	-----	
TOTAL POSITIONS.....	12.00			
TOTAL APPRO.....	667,376			
	=====	=====	=====	
EXPENSES				040000
FEDERAL GRANTS TRUST FUND -RECPNT	153,060	50,760		2261 9
	=====	=====	=====	
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
FEDERAL GRANTS TRUST FUND -RECPNT	4,128			2261 9
	=====	=====	=====	
TOTAL: STAFFING - PUBLIC ASSISTANCE FRAUD				3000520
TOTAL POSITIONS.....	12.00			
TOTAL ISSUE.....	824,564	50,760		
TOTAL SALARY RATE.....	461,708			
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

In FY 2004-05 Public Assistance Fraud (PAF) had 99 investigative FTE. Ten years later PAF has 56 investigative FTE due to legislative reductions. During this 10 year period, the number of recipients of public assistance has grown by 189%. Previously PAF had 13 full PAF squads operating in 12 locations statewide, reductions have left 6 squads operating out of 9 locations. Populated areas of the state without a PAF presence have forced investigators to travel up to two hours to reach areas where investigations are needed. This is inefficient and causes remote areas to be inadequately covered. To minimize that impact, the Division requests requests 10 Financial Crime Investigators and 2 Investigation Managers. These

COL A03		COL A04		COL A05		CODES
AGY REQUEST FY 2016-17	POS	AGY REQ N/R FY 2016-17	POS	AG REQ ANZ FY 2016-17	POS	
FINANCIAL SERVICES						43000000
PGM: LICNSNG/CNSMER PROTEC						43500000
<u>PUBLIC ASSISTANCE FRAUD</u>						43500700
PUBLIC PROTECTION						12
<u>CONSUMER SAFETY/PROTECTION</u>						<u>1205.00.00.00</u>
WORKLOAD						3000000
STAFFING - PUBLIC ASSISTANCE FRAUD						3000520

positions would be funded at \$48,741 each for Financial Crime Investigator II's (at 10% above base) and \$65,765 each for Investigation Managers (10% above base) with the associated expense for office space and the standard expense package.

Three of these positions will also replenish the three positions provided to the Social Security Administration (SSA) for SSA funded investigations. These positions have been provided and funded through a MOU since a least 2002. PAF cannot assign it's conventional work to these investigators due to the commitment to SSA through the MOU. This results in less Financial Crime Investigators assigned to criminal fraud committed against the food stamp, Medicaid, cash assistance and subsidized daycare.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
8431 FINANCIAL CRIME INVESTIGATOR II							
N0005 002	10.00	359,667		165,507	525,174	0.00	525,174
8357 INVESTIGATION MANAGER - SES							
N0006 002	2.00	102,041		40,161	142,202	0.00	142,202

TOTALS FOR ISSUE BY FUND							
2393 INSURANCE REG TF							400,425
2261 FEDERAL GRANTS TRUST FUND							266,951
	12.00	461,708		205,668	667,376		667,376
	=====	=====	=====	=====	=====		=====

TOTAL: CONSUMER SAFETY/PROTECTION							<u>1205.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	79.00	6,086,909	50,760				2000
SALARY RATE.....		4,583,236					
	=====	=====	=====	=====	=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	12,105,192			
=====				
SALARIES AND BENEFIT				010000
WORKERS' COMP ADMIN TF -STATE	16,482,501			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	948,695			2798 1
TOTAL POSITIONS.....	298.00			
TOTAL APPRO.....	17,431,196			
=====				
OTHER PERSONAL SERV				030000
WORKERS' COMP ADMIN TF -STATE	383,775			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	17,550			2798 1
TOTAL APPRO.....	401,325			
=====				
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	3,341,569			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	126,870			2798 1
TOTAL APPRO.....	3,468,439			
=====				
OPERATING CAPITAL O				060000
WORKERS' COMP ADMIN TF -STATE	100,021			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	16,851			2798 1
TOTAL APPRO.....	116,872			
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: WORKERS' COMPENSATION							43600000
<u>WORKERS' COMPENSATION</u>							43600100
ECONOMIC OPPORTUNITIES							11
<u>WORKERS' COMPENSATION</u>							<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
ELECTRONIC COMMERCE							100064
WORKERS' COMP ADMIN TF -STATE		188,000					2795 1
TR DIST CT OF AP-WO							100507
WORKERS' COMP ADMIN TF -STATE		1,868,772					2795 1
TR/USF-OSHA MATCH							100521
WORKERS' COMP ADMIN TF -STATE		250,000					2795 1
TR JAC - PROS WRKS							100526
WORKERS' COMP ADMIN TF -STATE		604,104					2795 1
CONTRACTED SERVICES							100777
WORKERS' COMP ADMIN TF -STATE		2,376,789					2795 1
WORKERS' COMP SPEC DISAB TF-STATE		86,360					2798 1
TOTAL APPRO.....		2,463,149					
OPERATION/MOTOR VEH							102289
WORKERS' COMP ADMIN TF -STATE		44,800					2795 1
PURCHASED CLIENT SE							102933
WORKERS' COMP ADMIN TF -STATE		990,000					2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
WORKERS' COMP ADMIN TF -STATE		152,444		2795 1
LEASE/PURCHASE/EQUI				105281
WORKERS' COMP ADMIN TF -STATE		62,320		2795 1
WORKERS' COMP SPEC DISAB TF-STATE		2,280		2798 1
TOTAL APPRO.....		64,600		
TR/DMS/HR SVCS/STW				107040
WORKERS' COMP ADMIN TF -STATE		99,839		2795 1
WORKERS' COMP SPEC DISAB TF-STATE		6,288		2798 1
TOTAL APPRO.....		106,127		
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	298.00			
TOTAL ISSUE.....		28,149,828		
TOTAL SALARY RATE.....		12,105,192		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
WORKERS' COMP ADMIN TF -STATE		34,753		2795 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
WORKERS' COMP ADMIN TF -STATE	49,806-			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	2,865-			2798 1
TOTAL APPRO.....	52,671-			
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WO				100507
WORKERS' COMP ADMIN TF -STATE	5,721-			2795 1
TR JAC - PROS WRKS				100526
WORKERS' COMP ADMIN TF -STATE	1,036-			2795 1
TOTAL: FLORIDA RETIREMENT SYSTEM				1001420
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				
TOTAL ISSUE.....	59,428-			
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
WORKERS' COMP ADMIN TF -STATE	46,066			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	2,650			2798 1
TOTAL APPRO.....	48,716			

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	POS	POS	CODES
	AMOUNT	AMOUNT	AMOUNT	
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SPECIAL CATEGORIES				100000
TR DIST CT OF AP-WO				100507
WORKERS' COMP ADMIN TF -STATE	5,072			2795 1
=====				
TR JAC - PROS WRKS				100526
WORKERS' COMP ADMIN TF -STATE	1,692			2795 1
=====				
TOTAL: HEALTH INSURANCE SUBSIDY - RETIREES				1001430
FOR FY 2015-16				
TOTAL ISSUE.....	55,480			
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
WORKERS' COMP ADMIN TF -STATE	15			2795 1
WORKERS' COMP SPEC DISAB TF-STATE	1			2798 1

TOTAL APPRO.....	16			
=====				
NONRECURRING EXPENDITURES				2100000
STAFF FOR WORKERS' COMPENSATION				
COMPLIANCE PROCESS				2103002
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	16,452-			2795 1
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
WORKLOAD				3000000
STAFF FOR WORKERS' COMPENSATION				
COMPLIANCE PROCESS				3000990
SALARY RATE				000000
SALARY RATE.....	107,904			
=====				
SALARIES AND BENEFI				010000
	3.00			
WORKERS' COMP ADMIN TF -STATE	157,557			2795 1
=====				
EXPENSES				040000
WORKERS' COMP ADMIN TF -STATE	30,534	12,690		2795 1
=====				
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
WORKERS' COMP ADMIN TF -STATE	1,032			2795 1
=====				
TOTAL: STAFF FOR WORKERS' COMPENSATION				3000990
COMPLIANCE PROCESS				
TOTAL POSITIONS.....	3.00			
TOTAL ISSUE.....	189,123	12,690		
TOTAL SALARY RATE.....	107,904			
=====				

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Reference to long-Range Program Plan: Goal #1: Fight fraud, waste and abuse. Goal #3: Improve efficiency and customer driven value.

Chapter 2014-109, Laws of Florida was enacted on July 1, 2014. It modified the Stop-Work Order process and amended the penalty calculation for employers who are found in violation of the workers' compensation coverage laws. The purpose of these changes was to allow employers to return to work sooner after a Stop-Work Order has been issued and to streamline the penalty calculation process. To further the public policy that led to the enactment of this law, the Division of Workers' Compensation sought the approval for seven full-time equivalent (FTE) positions and the associated expense package to be utilized as Compliance Facilitators in FY 2015-16. Four were approved. This request is for the additional three positions requested to provide for a statewide effort. These positions will educate employers with the law requirements and assist them in obtaining the proper documentation (business and payroll documents) in order to quickly

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
ECONOMIC OPPORTUNITIES				11
<u>WORKERS' COMPENSATION</u>				<u>1102.02.00.00</u>
WORKLOAD				3000000
STAFF FOR WORKERS' COMPENSATION				
COMPLIANCE PROCESS				3000990

and accurately calculate the penalty associated with the Stop Work Order.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0442 REGULATORY CONSULTANT							
N0008 002	3.00	107,904		49,653	157,557	0.00	157,557
TOTALS FOR ISSUE BY FUND							
2795 WORKERS' COMP ADMIN TF							157,557
	3.00	107,904		49,653	157,557		157,557

TOTAL: WORKERS' COMPENSATION							<u>1102.02.00.00</u>
BY FUND TYPE							
	301.00						
TRUST FUNDS.....		28,353,320	12,690				2000
SALARY RATE.....		12,213,096					

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	12,758,234			
SALARIES AND BENEFI				010000
INSURANCE REG TF	254.00			
-STATE	17,077,916			2393 1
OTHER PERSONAL SERV				030000
INSURANCE REG TF				
-STATE	265,169			2393 1
EXPENSES				040000
INSURANCE REG TF				
-STATE	2,440,621			2393 1
OPERATING CAPITAL O				060000
INSURANCE REG TF				
-STATE	35,000			2393 1
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS				100515
INSURANCE REG TF				
-STATE	632,639			2393 1
TR/FIU-ENH/FL PUBLI				100516
INSURANCE REG TF				
-STATE	1,700,000			2393 1
PROPERTY/CASUALTY E				100523
INSURANCE REG TF				
-STATE	4,276,763			2393 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
COMP & ENFORCE- INSURANCE				43900110
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LIFE AND HEALTH EXA				100524
INSURANCE REG TF	-STATE	650,000		2393 1
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	1,338,016		2393 1
RISK MANAGEMENT INS				103241
INSURANCE REG TF	-STATE	181,293		2393 1
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF	-STATE	18,989		2393 1
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	86,220		2393 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....		254.00		
TOTAL ISSUE.....		28,702,626		
TOTAL SALARY RATE.....		12,758,234		

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
CASUALTY INSURANCE PREMIUM							
ADJUSTMENT							1001090
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
INSURANCE REG TF	-STATE	68,847-					2393 1
=====							
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	58,684-					2393 1
=====							
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							1001430
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	50,095					2393 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
INSURANCE REG TF	-STATE	13					2393 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
COMP & ENFORCE- INSURANCE							43900110
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
NONRECURRING EXPENDITURES							2100000
TRANSFER TO FLORIDA INTERNATIONAL							
UNIVERSITY - ENHANCEMENTS TO THE							
FLORIDA PUBLIC HURRICANE LOSS MODEL							2103120
SPECIAL CATEGORIES							100000
TR/FIU-ENH/FL PUBLI							100516
INSURANCE REG TF	-STATE	1,700,000-					2393 1
=====							
TITLE INSURANCE - STAFFING							
RESOURCES							2103145
EXPENSES							040000
INSURANCE REG TF	-STATE	3,773-					2393 1
=====							
LIFE AND HEALTH - STAFFING							
RESOURCES							2103148
EXPENSES							040000
INSURANCE REG TF	-STATE	11,319-					2393 1
=====							
TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....		254.00					
SALARY RATE.....		26,910,111					2000
SALARY RATE.....		12,758,234					
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,171,451			
=====				
SALARIES AND BENEFI				010000
38.00				
INSURANCE REG TF	-STATE	2,915,201		2393 1
=====				
EXPENSES				040000
INSURANCE REG TF	-STATE	118,543		2393 1
=====				
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	-STATE	92,710		2393 1
=====				
LEASE/PURCHASE/EQUI				105281
INSURANCE REG TF	-STATE	8,414		2393 1
=====				
TR/DMS/HR SVCS/STW				107040
INSURANCE REG TF	-STATE	11,621		2393 1
=====				
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	38.00			
TOTAL ISSUE.....	3,146,489			
TOTAL SALARY RATE.....	2,171,451			
=====				

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF INSURANCE REG							43900100
<u>EXEC DIR & SUPORT SERVICES</u>							43900120
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	8,846-					2393 1
=====							
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							1001430
SALARIES AND BENEFI							010000
INSURANCE REG TF	-STATE	9,085					2393 1
=====							
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
INSURANCE REG TF	-STATE	2					2393 1
=====							
TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....		38.00					
SALARY RATE.....		3,146,730					2000
SALARY RATE.....		2,171,451					
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SFTY & SOUND ST BKG SYST							43900530
PUBLIC PROTECTION							12
<u>REGULATION AND LICENSING</u>							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....		6,787,197					
=====							
SALARIES AND BENEFI							010000
113.00							
FINANCIAL INST REG TF	-STATE	8,711,152					2275 1
=====							
OTHER PERSONAL SERV							030000
FINANCIAL INST REG TF	-STATE	879,098					2275 1
=====							
EXPENSES							040000
FINANCIAL INST REG TF	-STATE	1,738,752					2275 1
=====							
OPERATING CAPITAL O							060000
FINANCIAL INST REG TF	-STATE	7,130					2275 1
=====							
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
FINANCIAL INST REG TF	-STATE	367,012					2275 1
=====							
RISK MANAGEMENT INS							103241
FINANCIAL INST REG TF	-STATE	37,408					2275 1
=====							
LEASE/PURCHASE/EQUI							105281
FINANCIAL INST REG TF	-STATE	28,872					2275 1
=====							

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
FINANCIAL INST REG TF	-STATE		37,829	2275 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....			113.00	
TOTAL ISSUE.....			11,807,253	
TOTAL SALARY RATE.....			6,787,197	
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
FINANCIAL INST REG TF	-STATE		2,188-	2275 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
FINANCIAL INST REG TF	-STATE		23,848-	2275 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
FINANCIAL INST REG TF	-STATE		24,714	2275 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
FINANCIAL INST REG TF	-STATE	6		2275 1
WORKLOAD				3000000
POSITIONS AND FUNDING FOR				
FINANCIAL INSTITUTIONS				
INFORMATION TECHNOLOGY				
EXAMINATIONS UNIT				3000440
SALARY RATE				000000
SALARY RATE.....	324,674			
SALARIES AND BENEFIT				010000
FINANCIAL INST REG TF	-STATE	7.00	451,399	2275 1
EXPENSES				040000
FINANCIAL INST REG TF	-STATE	221,746	29,610	2275 1
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
FINANCIAL INST REG TF	-STATE	2,408		2275 1
TOTAL: POSITIONS AND FUNDING FOR				3000440
FINANCIAL INSTITUTIONS				
INFORMATION TECHNOLOGY				
EXAMINATIONS UNIT				
TOTAL POSITIONS.....	7.00			
TOTAL ISSUE.....	675,553	29,610		
TOTAL SALARY RATE.....	324,674			

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
PUBLIC PROTECTION						12
REGULATION AND LICENSING						<u>1204.00.00.00</u>
WORKLOAD						3000000
POSITIONS AND FUNDING FOR						
FINANCIAL INSTITUTIONS						
INFORMATION TECHNOLOGY						
EXAMINATIONS UNIT						3000440

AGENCY ISSUE NARRATIVE:
 2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Priority # 202

Reference to Long-Range Program Plan:

- Goal #1: Improve Taxpayer Value
- Goal #2: Deliver Value to Businesses
- Goal #3: Promote a Safe and Sound Financial Marketplace
- Goal #4: Improving customer service

Issue Description/Need: The Florida Office of Financial Regulation, Division of Financial Institutions (DFI) is responsible for the oversight and enforcement of laws and regulations related to state chartered financial institutions including commercial banks, credit unions, Trust companies, Family Trust companies, and state-licensed international banking agency offices. DFI enforces the provisions of Chapters 655, 657, 658, 660, 662, 663, 665, and 667, F.S., and applicable rules, as they relate to the oversight and safety and soundness of financial institutions. Financial institutions are examined to determine their safety and soundness, as well as compliance with applicable laws and regulations.

Cybersecurity is an emerging risk in the financial marketplace that continues to grow. It represents a societal issue facing all industries across the nation. Attacks against the finance industry are becoming increasingly sophisticated and highly targeted. The frequency and sophistication of cyber-attacks directed at financial institutions are growing, and there is no expectation that attacks will decline at any time in the foreseeable future. At the onset, most cyber-attacks were aimed at financial gain but now the industry is seeing an increase in a desire to disrupt the financial services industry and consequently has the potential to disrupt the world economy. Technology security is no longer a one-size-fits-all issue. Technologies evolve rapidly and with this evolution, threats and vulnerabilities emerge. These cyber-attacks are being exacerbated by rapidly evolving and growing reliance on digital technology, as well as increasing sector interconnectedness.

Mobile banking platforms are continually developing and evolving and are a heavy area of growth and expansion for financial institutions as consumers continue to embrace smart device banking. In 2012, approximately 33 million U.S. consumers used their mobile devices to conduct financial transactions. The industry estimates that by 2016, approximately 96 million U.S. consumers will adopt mobile banking, an increase of nearly 200%. To keep up with this

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
POSITIONS AND FUNDING FOR				
FINANCIAL INSTITUTIONS				
INFORMATION TECHNOLOGY				
EXAMINATIONS UNIT				3000440

rising demand, the number of financial institutions offering mobile banking solutions to their retail bank customers will also jump.

As more and more users handle their finances via mobile devices, expert attackers will bypass personal computers and go straight after mobile banking apps. Statistics show that approximately 95% of all mobile malware ever devised has been created in the past year and a half alone. These mobile banking platforms will continue to grow and expand in years to come. These very same platforms provide a point of entry not only into the user's mobile device hardware and data, but fraudsters have devised authentication information which can be and is used to gain access into financial institutions.

At its current growth pace, financial institutions will be unlikely to stay ahead of cyber-criminals and consequently will remain a top target for attack. To counteract this risk, financial institutions will need to continually update their information security policies, systems, and infrastructures and ensure they remain current with technology best practices.

Up to now, Florida state-chartered institutions have had IT exams conducted by OFR's federal regulatory counterparts (FRB, FDIC, and NCUA) only when exams are concurrent due to the absence of an organized OFR technology exam effort and staff. These concurrent exams are typically conducted on lesser rated (3, 4, and 5) institutions. Independent exams (OFR only) however have institutions receiving IT exams only on the alternating cycles when the federal regulatory counterparts conduct the exam and that is only every 3 years. The growing issue for Florida is that due to the growth and stabilization of the state's economy, its institutions have been improving at a very fast pace and are expected to continue to improve and as the economy strengthens and institutions and institutional ratings improve, OFR will find itself conducting more and more independent exams with no IT exam component because OFR isn't equipped to perform IT exams. The only IT exams will be conducted when federal regulatory counterparts cycle in to an exam and this equates to the majority of Florida's financial institutions receiving IT exams on only a 3 year cycle. This downward direction of IT exams coupled with an upward direction of cybercrime will inevitably have a devastating outcome for the people and businesses in the State of Florida.

Cybercrime and invasion upon our institutions has both a tangible and intangible effect. Earlier this year over a holiday weekend there was a small, unsophisticated invasion into a third party service provider to one of our state-chartered institutions. The holiday weekend allowed the cybercriminal to have 3 days of basically un-hampered access to the systems of this third party service provider. As a result, the intrusion expanded into a state-chartered institution and resulted in significant financial loss to the institution. In addition, consumer confidence suffered greatly resulting in a loss of accounts and potential business for the institution. As it relates to Return on Investment, since OFR regulatory assessments upon our state-chartered institutions are directly calculated based on the total assets of an institution, preservation of such assets against the losses of cybercriminal activity equates to increased assessment revenues.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
POSITIONS AND FUNDING FOR				
FINANCIAL INSTITUTIONS				
INFORMATION TECHNOLOGY				
EXAMINATIONS UNIT				3000440

The security ecosystem is complex and starts with governance. Financial institutions need to continually develop and enforce their Information Technology policies that comply with regulations, but also ensure that risk is being minimized for targeted attacks against critical systems such as core banking and customer data. In such a complex and diverse environment with as much at stake, oversight by the Division of Financial Institutions is needed.

Currently, Florida is only one of eight (8) states in the country which does not conduct IT technology exams. When compared to our regulatory colleagues, Florida tends to be one (1) of the states with the larger and more complex contingency of regulated institutions which include foreign bank agency offices, Trust companies, and Family Trust companies and compares only with California and New York. Excluding foreign bank agency offices, Trust companies, and Family Trust companies, Florida supervises 211 depository institutions with assets of approximately \$85 Billion.

Comparatively, California regulates 306 depository financial institutions with approximately \$380 Billion in assets. California implemented their technology examination program approximately eight (8) years ago in 2007 and today they have 17 specifically trained FTE IT examiners. New York regulates 82 depository financial institutions with approximately \$173 Billion in assets and implemented their technology examination program approximately 30 years ago in 1985 and today they have 12 specifically trained FTE IT examiners.

This need to provide supervisory oversight, will require DFI to increase the number of Full Time Equivalent (FTE) IT technology examiner positions as well as develop and implement a specialized training program aimed at technology safety and soundness within our state chartered institutions. The cost savings associated with such institutional safety will be significant to both the institutions we regulate in limiting their losses as well as the citizens of Florida we are mandated to protect.

The multi-pronged scope of the IT Technology examinations will follow the policies and procedures as set forth by the Federal Financial Institutions Examination Council (FFIEC) and replicate the current best practices of IT Technology examinations conducted by OFR federal regulatory counterparts FDIC, FRB, and NCUA and encompass corporate governance, general IT risk assessment, technology operations, electronic banking, shared infrastructure, information security and risk management, protection against intrusion, incident detection, monitoring, and response processes, IT audit and reporting, disaster recovery and business continuity management, IT vendor management and third party service provider oversight, IT management, IT development and acquisition, payment systems, identity theft, and cyber-security insurance coverage and other third party protections.

Ultimate Outcome: DFI believes that this commitment of dedicated resources and the development of such an oversight program in the state of Florida, given the size and complexity of our State regulated institutions, will require seven

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SFTY & SOUND ST BKG SYST				43900530
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
WORKLOAD				3000000
POSITIONS AND FUNDING FOR				
FINANCIAL INSTITUTIONS				
INFORMATION TECHNOLOGY				
EXAMINATIONS UNIT				3000440

(7) additional FTE examiner positions, one each located in each of the five (5) banking bureau field offices and two (2) located in credit union bureau field offices. These FTE examiner positions will represent fully trained safety and soundness bank and credit union staff currently at a Financial Control Analyst (FCA) level.

Detail of Costs:

Salaries and Benefits:

Position	Title and Pay Grade	Amount	Non-Recurring
7	Financial Control Analyst, PG 026, \$64,481 each	\$451,399	\$0
	Total	\$451,399	\$0

Expenses:

Quantity	Description	Amount	Non-Recurring
7	Expenses Package \$10,178 each Non-Recurring \$4,230 each	\$ 71,246	\$ 29,610
7	Training Expenses \$4,500 each	\$ 31,500	\$0
7	Travel Expenses \$17,000 each	\$119,000	\$0
	Total	\$221,746	\$ 29,610

TR/HR/DMS SERV:

Quantity	Description	Amount	Non-Recurring
7	DMS HR Charge \$344 each	\$ 2,408	\$0
	Total	\$ 2,408	\$0

Issue Total \$675,553 \$ 29,610

COL A03		COL A04		COL A05		CODES
AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
FY 2016-17		FY 2016-17		FY 2016-17		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF FINANCIAL REG						43900500
SFTY & SOUND ST BKG SYST						43900530
<u>PUBLIC PROTECTION</u>						12
<u>REGULATION AND LICENSING</u>						<u>1204.00.00.00</u>
WORKLOAD						3000000
POSITIONS AND FUNDING FOR						
FINANCIAL INSTITUTIONS						
INFORMATION TECHNOLOGY						
EXAMINATIONS UNIT						3000440

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2016-17							
NEW POSITIONS							
1567 FINANCIAL CONTROL ANALYST							
N0001 001	7.00	324,674		126,725	451,399	0.00	451,399
TOTALS FOR ISSUE BY FUND							
2275 FINANCIAL INST REG TF							451,399
	7.00	324,674		126,725	451,399		451,399

TOTAL: REGULATION AND LICENSING							<u>1204.00.00.00</u>
BY FUND TYPE							
TRUST FUNDS.....	120.00						
SALARY RATE.....		12,481,490	29,610				2000
	7,111,871						

=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	2,160,935			
SALARIES AND BENEFI				010000
	39.00			
ADMINISTRATIVE TRUST FUND -STATE	2,692,812			2021 1
OTHER PERSONAL SERV				030000
ADMINISTRATIVE TRUST FUND -STATE	5,321			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	498,957			2021 1
FED LAW ENFORCEMENT TF -FEDERL	51,758			2719 3
TOTAL APPRO.....	550,715			
OPERATING CAPITAL O				060000
ADMINISTRATIVE TRUST FUND -STATE	10,600			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	36,354			2021 1
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	20,288			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
LEASE/PURCHASE/EQUI				105281
ADMINISTRATIVE TRUST FUND -STATE		15,809		2021 1
=====		=====		=====
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE		20,098		2021 1
=====		=====		=====
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	39.00			
TOTAL ISSUE.....		3,351,997		
TOTAL SALARY RATE.....	2,160,935			
=====		=====		=====
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE		8,133-		2021 1
=====		=====		=====
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
ADMINISTRATIVE TRUST FUND -STATE		8,078-		2021 1
=====		=====		=====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCIAL INVESTIGATIONS				43900540
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFI				010000
ADMINISTRATIVE TRUST FUND -STATE	7,423			2021 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE	3			2021 1
=====				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	39.00			
SALARY RATE.....	3,343,212			2000
SALARY RATE.....	2,160,935			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	1,261,240			
SALARIES AND BENEFI				010000
15.00				
ADMINISTRATIVE TRUST FUND -STATE	1,791,475			2021 1
OTHER PERSONAL SERV				030000
ADMINISTRATIVE TRUST FUND -STATE	250,000			2021 1
EXPENSES				040000
ADMINISTRATIVE TRUST FUND -STATE	418,948			2021 1
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND -STATE	61,048			2021 1
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	15,533			2021 1
LEASE/PURCHASE/EQUI				105281
ADMINISTRATIVE TRUST FUND -STATE	10,004			2021 1
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE	13,928			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE	1,367,365			2021 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	15.00			
TOTAL ISSUE.....	3,928,301			
TOTAL SALARY RATE.....	1,261,240			
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
ADMINISTRATIVE TRUST FUND -STATE	10,858-			2021 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
ADMINISTRATIVE TRUST FUND -STATE	2,887-			2021 1
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFIT				010000
ADMINISTRATIVE TRUST FUND -STATE	4,458			2021 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
ADMINISTRATIVE TRUST FUND -STATE		2		2021 1
=====				
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM CONTINUED				
OPERATIONS AND MAINTENANCE VENDOR				
RE-PROCUREMENT AND TRANSITION				36332C0
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND -STATE	1,871,600	655,600		2021 1
=====				

AGENCY ISSUE NARRATIVE:
 2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Priority #201

Reference to Long Range Program Plan:

This request will improve the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value
- GOAL #2: Delivering value to businesses
- GOAL #3: Promoting a safe and sound financial marketplace
- GOAL #4: Improving customer service

Issue Description/Need:

The Florida Office of Financial Regulation (Office) is responsible for licensing, chartering, examining and regulating depository and non-depository financial institutions and financial service companies, including state chartered banks, credit unions, loan originators, mortgage lenders, securities brokers, consumer collection agencies and money transmitters throughout the State of Florida.

In 2008, the Office replaced more than 20 legacy information technology systems and paper-based business processes with

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM CONTINUED				
OPERATIONS AND MAINTENANCE VENDOR				
RE-PROCUREMENT AND TRANSITION				36332C0

its Regulatory Enforcement and Licensing (REAL) System, an integrated financial regulatory management system. The REAL System is critical to Office-wide operations, and combines core processes for licensing, investigation, examination, legal and complaint functions. In addition, it provides imaging, workflow and document management components to ensure more efficient and effective Office-wide business operations. Citizens benefit from the system by obtaining complete, accurate information about licensed, reputable entities with which to conduct business.

The REAL System was implemented through a system integration and maintenance contract with Accenture, LLP, and was built using Versa Regulation (VR) software, a configurable, Commercial Off-the-Shelf (COTS) product owned and licensed by Iron Data Solution, LLC. Under the implementation contract with Accenture, LLP, the Office's custom portal was also developed, which provides self-service processing to the general public and to licensees.

In 2011, the Office entered into a new REAL operations and maintenance contract with Accenture, LLP to continue ongoing support of the VR software component and custom online portal. This contract is in its final renewal, and will end on 06/30/17. Continuation of these support services through a new contract is critical to the ongoing operations of the system, and the current business functions performed by the Office. The Office does not have the technical resources nor expertise required to support its REAL System, and must obtain these services through a new contract.

It is unknown whether a new contractor will be selected to continue the REAL system support services. The current system operations and maintenance contractor integrated the software components of the system during implementation, and developed its custom, online portal. As such, it has accumulated extensive historical knowledge of the system and the business operations of the Office. Successful continuity of system operations by a potential successor contractor will require extensive knowledge transfer from the current contractor. Therefore, the Office intends to begin its competitive procurement of the operations and maintenance support services in Fall 2015, and enter into a new system support contract in FY 16/17.

An overlap of the existing and successor contracts in FY 16/17 is crucial to ensuring system operations by a successor contractor after 06/30/17, and adequate ongoing support of the system beyond that time is needed to ensure successful business operations of the office.

If the current REAL system support contractor is not selected to continue the operations and maintenance services after 06/30/17, the Office will be prepared to ensure thorough and adequate knowledge transfer to a new, successor contractor through an initial contract overlap period in FY 16/17. Furthermore, the successor contractor will be prepared and capable of ensuring the continued support of the system on which the Office is dependent for its business operations.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM CONTINUED				
OPERATIONS AND MAINTENANCE VENDOR				
RE-PROCUREMENT AND TRANSITION				36332C0

Ultimate Outcome:

Through re-procurement, the Office will ensure continued operations and maintenance services for its Regulatory Enforcement and Licensing (REAL) system, and ensure adequate transition of services between its existing contract and the new operations and maintenance services contract.

Costs:

The Office requests a total of \$1,216,000 recurring and \$655,600 non-recurring for a total Fiscal Year 2016-2017 request of \$1,871,600 for REAL System operations and maintenance transition services costs during the first year of the successor contract.

Detail of Anticipated Costs in CAT 210016 - REAL:

Description	Amount	Non-recurring
-----	-----	-----
Transition Services	\$1,871,600	\$655,600
Total for Issue	\$1,871,600	\$655,600

MIGRATION OF DIVISION OF FINANCIAL
 INSTITUTIONS MULTIPLE SYSTEMS INTO
 REGULATORY ENFORCEMENT AND
 LICENSING (REAL) SYSTEM
 DATA PROCESSING SERVICES
 REAL SYSTEM - OFR

36333C0
 210000
 210016

ADMINISTRATIVE TRUST FUND -STATE 3,064,500 2,432,410 2021 1
 =====

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MIGRATION OF DIVISION OF FINANCIAL				
INSTITUTIONS MULTIPLE SYSTEMS INTO				
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM				36333C0

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Priority # 204

- Reference to Long Range Program Plan:
 This request will improve the Office's ability to reach the following goals:
 GOAL #1: Improving taxpayer value
 GOAL #2: Delivering value to businesses
 GOAL #3: Promoting a safe and sound financial marketplace
 GOAL #4: Improving customer service

Issue Description/Need:
 The Florida Office of Financial Regulation (Office) is responsible for licensing, chartering, examining and regulating depository and non-depository financial institutions and financial service companies, including state chartered banks, credit unions, loan originators, mortgage lenders, securities brokers, consumer collection agencies and money transmitters throughout the State of Florida.

In 2008, the Office replaced more than 20 legacy information technology systems and paper-based business processes with its Regulatory Enforcement and Licensing (REAL) System, an integrated financial regulatory management system. The REAL System is critical to Office-wide operations, and combines core processes for licensing, investigation, examination, legal and complaint functions. In addition, it provides imaging, workflow and document management components to ensure more efficient and effective Office-wide business operations. Citizens benefit from the system by obtaining complete, accurate information about licensed, reputable entities with which to conduct business. The REAL System was implemented through a system integration and maintenance contract with Accenture, LLP. It was built using Versa Regulation (VR) software, a configurable, Commercial Off-the-Shelf (COTS) product owned and licensed by Iron Data Solution, LLC. Under the implementation contract with Accenture, LLP, the Office's custom portal was also developed, which provides self-service processing to the general public and to licensees.

When the REAL System was implemented, the Office's Division of Financial Institutions did not incorporate its business processes into the functions of the REAL System. Therefore the Office of Financial Institutions does not currently benefit from the integrated and automated management functions provided by the REAL System, and instead has continued to

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MIGRATION OF DIVISION OF FINANCIAL				
INSTITUTIONS MULTIPLE SYSTEMS INTO				
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM				36333C0

utilize multiple databases, spreadsheets and manual processes to perform its functions.

Consolidation of these multiple systems and improved efficiency of existing manual processes is needed to improve efficiency of Office-wide operations through:

- Greater reporting consistency and accuracy;
- Improved automation of manual business functions;
- Improved coordination among divisions;
- Cost savings through consolidation of data; and
- Improved tools with which to regulate \$105 billion in regulated financial institutions assets.

In 2011, the Office entered into a new REAL operations and maintenance contract with Accenture, LLP to continue ongoing management of the VR software solution and custom online portal. This contract is in its final renewal, and will end on 06/30/17. Continuation of these support services through a new, competitively procured contract is critical to the ongoing operations of the system, and the current business functions performed by the Office.

The Office intends to competitively re-procure these services, and enter into a new contract in FY 16/17 to ensure thorough transition of services. At the same time, the Office will leverage the procurement to also include provisions for migration of the Office's Division of Financial Institutions business processes into the REAL system. The migration of the Office's Division of Financial Institutions business functions into the REAL System will result in long-term cost savings through consolidating Office-wide data into one system that is managed under a single contract. It will further improve efficiency of work flow, coordination among divisions, and accuracy and consistency of data through automation of outdated, manual business functions.

Ultimate Outcome:

The Office will consolidate multiple systems and manual processes through migration of the business functions of its Division of Financial Institutions into the REAL system.

Costs:

The Office requests a total of \$632,090 recurring and \$2,432,410 non-recurring for a total request for Fiscal Year 2016-2017 of \$3,064,500. This funding will be used for migration of the Office's Division of Financial Institutions systems and business functions into the REAL System by 07/01/17. Recurring funds are necessary for annual software maintenance and the increase in the annual vendor services with the addition of this module to the REAL system.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
MIGRATION OF DIVISION OF FINANCIAL				
INSTITUTIONS MULTIPLE SYSTEMS INTO				
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM				36333C0

Detail of Anticipated Costs in CAT 210016 - REAL:

Description	Amount	Non-recurring
-----	-----	-----
Migration Services	\$3,064,500	\$2,432,410
Total for Issue	\$3,064,500	\$2,432,410

REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM CUSTOM				
PORTAL REPLACEMENT				36334C0
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016

ADMINISTRATIVE TRUST FUND -STATE	3,862,500	3,642,148		2021 1
	=====	=====	=====	

AGENCY ISSUE NARRATIVE:
 2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? YES

Priority # 203

Reference to Long Range Program Plan:
 This request will improve the Office's ability to reach the following goals:
 GOAL #1: Improving taxpayer value
 GOAL #2: Delivering value to businesses
 GOAL #3: Promoting a safe and sound financial marketplace
 GOAL #4: Improving customer service

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM CUSTOM				
PORTAL REPLACEMENT				36334C0

Issue Description/Need:

The Florida Office of Financial Regulation (Office) is responsible for licensing, chartering, examining and regulating depository and non-depository financial institutions and financial service companies, including state chartered banks, credit unions, loan originators, mortgage lenders, securities brokers, consumer collection agencies and money transmitters throughout the State of Florida.

In 2008, the Office replaced more than 20 legacy information technology systems and paper-based business processes with its Regulatory Enforcement and Licensing (REAL) System, an integrated financial regulatory management system. The REAL System is critical to Office-wide operations, and combines core processes for licensing, investigation, examination, legal and complaint functions. In addition, it provides imaging, workflow and document management components to ensure more efficient and effective Office-wide business operations. Citizens benefit from the system by obtaining complete, accurate information about licensed, reputable entities with which to conduct business.

The REAL System was implemented through a system integration and maintenance contract with Accenture, LLP, and was built using Versa:Regulation (VR) software, a configurable, Commercial Off-the-Shelf (COTS) product owned and licensed by Iron Data Solution, LLC. Under the implementation contract with Accenture, LLP, the Office's custom online services Web portal was also developed, which provides self-service online application submittal, license renewal, electronic payment and complaint submittal to the general public and to licensees.

At the time of REAL system implementation, existing COTS components were leveraged to their full extent as part of the system architecture. However, in evaluating solutions available at that time, there were no COTS software products with functionality to meet the online services Web portal requirements for the licensing and regulatory industry. As a result, the REAL system online Web portal was developed as a custom application component, tailored to meet the Office's business needs. The custom portal uses an Oracle 10g database for user application management and was developed in C# using a .NET architecture framework. The portal's primary business functions are handled through web services calls to API and exposed by the Versa Regulation software system.

While the current custom portal has been a successful tool, the following risks and challenges are quickly emerging:

1. The software and technical architecture underpinning the custom portal is aging, and due to customized code changes are no longer supported by the software manufacturer for security updates and support.
2. The required skill sets needed to maintain the custom portal's aging software architecture will be difficult and expensive to continue in the future. As technology becomes obsolete, but remains operational, skilled professionals retool themselves to the latest technology and actively seek systems with newer technology to stay relevant in their field. It becomes more difficult to retain professionals to maintain outdated technology, and thus more expensive.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM CUSTOM				
PORTAL REPLACEMENT				36334C0

3. Modifications to the custom portal needed to meet the changing demands of Florida financial services businesses require a full software development lifecycle, which includes planning, analysis, design, testing, deployment, and maintenance. With each modification, an ever increasing application code base is generated that requires additional support.

4. The portal is integrated directly with REAL system COTS components to provide one seamless system. This integration is accomplished through reliance on available services exposed by COTS components from the manufacturer. As COTS software components continue to evolve to meet current security and support requirements, the risk is increasing that integration points on which the portal is dependent may no longer be supported by the COTS software manufacturer. Should this risk be realized, remediation of the custom portal would be necessary requiring a full software development life cycle, or engagement of a COTS vendor to provide additional support or custom portal integration points to continue business functions.

The Office is in need of mitigating the above risks and challenges to ensure continued, seamless operation of its REAL system web portal, while avoiding additional costs resulting from inevitable modification and additional support.

In 2011, the Office entered into a new REAL operations and maintenance support contract with Accenture, LLP to continue ongoing support of the VR software component and custom online portal. This contract is in its final renewal, and will end on 06/30/17. Continuation of these support services through a new, competitively procured contract is critical to the ongoing operations of the system, and the current business functions performed by the Office. The Office intends to competitively re-procure these services, and enter into a new contract in FY 16/17 to ensure thorough transition of services. The Office will include provisions for replacement of the custom, online portal with a COTS software solution under the new contract.

Since implementation of the Office's REAL system, a COTS software product has become available through Iron Data Solution, LLC (recently merged with MicroPact, Inc.) that offers configurability and meets the needs of the Office's online Web portal for the licensing and regulatory industry. Versa:Online is a configurable COTS software product licensed by Iron Data Solution, LLC that is customizable, and works with the REAL system's existing Versa:Regulation software, which is also licensed by Iron Data Solution, LLC. Replacing the custom, online Web portal with a configurable and supported COTS solution would eliminate the above risks and challenges. Furthermore, utilizing the Versa:Online product would allow for both portal front-end and back-office software to operate seamlessly and be supported by a single software vendor. Future cost savings would be realized through regular updates of the licensed software product, elimination of the need for a full-time .NET developer to maintain a custom portal, and configuration of functionality changes rather than costly, custom development.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
PROGRAM OR SERVICE-LEVEL				
INFORMATION TECHNOLOGY				3630000
REGULATORY ENFORCEMENT AND				
LICENSING (REAL) SYSTEM CUSTOM				
PORTAL REPLACEMENT				36334C0

Ultimate Outcome:

Through re-procurement, the Office will ensure continued operations and maintenance services for its Regulatory Enforcement and Licensing (REAL) system, and replace its custom online Web portal with a configurable COTS software solution.

Costs:

The Office requests \$220,352 recurring and \$3,642,148 non-recurring for a total request for Fiscal Year 2016-2017 of \$3,862,500. This funding will be used for the software licensing, configuration, data conversion, testing and deployment needed to replace the REAL system custom On-line Web portal with the Versa Online software solution. The recurring amount will be necessary for annual software maintenance.

Detail of Anticipated Costs in CAT 210016 - REAL:

Detail of Anticipated Costs in CAT 210016 - REAL:

Description	Amount	Non-recurring
-----	-----	-----
Versa Online Software License Fee	\$3,862,500	\$3,642,148
Requirements and Design Support		
Configuration Interviews		
Core Configuration of Software		
Development of Gap Analysis		
Data Conversion		
Product Testing		
System Testing and UAT Support		
Deployment Support		

Total for Issue \$3,862,500 \$3,642,148

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	15.00			
TRUST FUNDS.....	12,717,616	6,730,158		2000
SALARY RATE.....	1,261,240			
	=====	=====	=====	

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
FINANCE REGULATION							43900560
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SALARY RATE							000000
SALARY RATE.....	5,238,778						
SALARIES AND BENEFIT							010000
REGULATORY TRUST FUND -STATE	98.00	6,701,824					2573 1
OTHER PERSONAL SERV							030000
REGULATORY TRUST FUND -STATE	207,098						2573 1
EXPENSES							040000
REGULATORY TRUST FUND -STATE	982,189						2573 1
OPERATING CAPITAL O							060000
REGULATORY TRUST FUND -STATE	5,631						2573 1
SPECIAL CATEGORIES							100000
DEFER PRESENTMENT C							100513
REGULATORY TRUST FUND -STATE	2,930,000						2573 1
CCT DATABASE CONTRA							100527
REGULATORY TRUST FUND -STATE	151,000						2573 1
CONTRACTED SERVICES							100777
REGULATORY TRUST FUND -STATE	111,565						2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				1204.00.00.00
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
REGULATORY TRUST FUND -STATE		35,505		2573 1
LEASE/PURCHASE/EQUI				105281
REGULATORY TRUST FUND -STATE		34,995		2573 1
TR/DMS/HR SVCS/STW				107040
REGULATORY TRUST FUND -STATE		37,476		2573 1
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS				1001000
TOTAL POSITIONS.....	98.00			
TOTAL ISSUE.....		11,197,283		
TOTAL SALARY RATE.....		5,238,778		
CASUALTY INSURANCE PREMIUM				
ADJUSTMENT				1001090
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INS				103241
REGULATORY TRUST FUND -STATE		4,960-		2573 1
FLORIDA RETIREMENT SYSTEM				
ADJUSTMENT FOR FISCAL YEAR 2015-16				
NORMAL COST AND UNFUNDED ACTUARIAL				
LIABILITY (UAL)				1001420
SALARIES AND BENEFIT				010000
REGULATORY TRUST FUND -STATE		21,568-		2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
FINANCE REGULATION				43900560
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
HEALTH INSURANCE SUBSIDY - RETIREES				
FOR FY 2015-16				1001430
SALARIES AND BENEFI				010000
REGULATORY TRUST FUND -STATE	18,537			2573 1
=====				
REALLOCATION OF HUMAN RESOURCES				
OUTSOURCING				1005900
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW				107040
REGULATORY TRUST FUND -STATE	6			2573 1
=====				
TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
TRUST FUNDS.....	98.00			
SALARY RATE.....	11,189,298			2000
SALARY RATE.....	5,238,778			
=====				

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
SECURITIES REGULATION				43900570
PUBLIC PROTECTION				12
REGULATION AND LICENSING				<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES				1000000
ESTIMATED EXPENDITURES - OPERATIONS				1001000
SALARY RATE				000000
SALARY RATE.....	4,850,251			
SALARIES AND BENEFIT				010000
REGULATORY TRUST FUND -STATE	95.00			
	6,549,213			2573 1
OTHER PERSONAL SERV				030000
ANTI-FRAUD TRUST FUND -STATE	32,538			2038 1
REGULATORY TRUST FUND -STATE	124,466			2573 1
TOTAL APPRO.....	157,004			
EXPENSES				040000
ANTI-FRAUD TRUST FUND -STATE	62,885			2038 1
REGULATORY TRUST FUND -STATE	675,623			2573 1
TOTAL APPRO.....	738,508			
OPERATING CAPITAL O				060000
ANTI-FRAUD TRUST FUND -STATE	24,528			2038 1
REGULATORY TRUST FUND -STATE	4,566			2573 1
TOTAL APPRO.....	29,094			
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ANTI-FRAUD TRUST FUND -STATE	80,049			2038 1
REGULATORY TRUST FUND -STATE	349,500			2573 1

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SECURITIES REGULATION							43900570
PUBLIC PROTECTION							12
REGULATION AND LICENSING							<u>1204.00.00.00</u>
ESTIMATED EXPENDITURES							1000000
ESTIMATED EXPENDITURES - OPERATIONS							1001000
SPECIAL CATEGORIES							100000
CONTRACTED SERVICES							100777
TOTAL APPRO.....		429,549					
=====							
RISK MANAGEMENT INS							103241
REGULATORY TRUST FUND -STATE		28,212					2573 1
=====							
LEASE/PURCHASE/EQUI							105281
REGULATORY TRUST FUND -STATE		27,253					2573 1
=====							
TR/DMS/HR SVCS/STW							107040
REGULATORY TRUST FUND -STATE		30,075					2573 1
=====							
TOTAL: ESTIMATED EXPENDITURES - OPERATIONS							1001000
TOTAL POSITIONS.....	95.00						
TOTAL ISSUE.....		7,988,908					
TOTAL SALARY RATE.....		4,850,251					
=====							
CASUALTY INSURANCE PREMIUM							1001090
ADJUSTMENT							100000
SPECIAL CATEGORIES							100000
RISK MANAGEMENT INS							103241
REGULATORY TRUST FUND -STATE		1,398					2573 1
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2016-17 POS	AMOUNT	AGY REQ N/R FY 2016-17 POS	AMOUNT	AG REQ ANZ FY 2016-17 POS	AMOUNT	
FINANCIAL SERVICES							43000000
PGM: FINANCIAL SVCS COMM							43900000
OFFICE OF FINANCIAL REG							43900500
SECURITIES REGULATION							43900570
PUBLIC PROTECTION							12
REGULATION AND LICENSING							1204.00.00.00
ESTIMATED EXPENDITURES							1000000
FLORIDA RETIREMENT SYSTEM							
ADJUSTMENT FOR FISCAL YEAR 2015-16							
NORMAL COST AND UNFUNDED ACTUARIAL							
LIABILITY (UAL)							1001420
SALARIES AND BENEFIT							010000
REGULATORY TRUST FUND -STATE		19,983-					2573 1
HEALTH INSURANCE SUBSIDY - RETIREES							
FOR FY 2015-16							1001430
SALARIES AND BENEFIT							010000
REGULATORY TRUST FUND -STATE		18,116					2573 1
REALLOCATION OF HUMAN RESOURCES							
OUTSOURCING							1005900
SPECIAL CATEGORIES							100000
TR/DMS/HR SVCS/STW							107040
REGULATORY TRUST FUND -STATE		5					2573 1
NONRECURRING EXPENDITURES							2100000
INTRASTATE CROWDFUNDING - CH 2015-							
171, LOF (HB 275)							2103149
OTHER PERSONAL SERV							030000
REGULATORY TRUST FUND -STATE		120,000-					2573 1

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS AMOUNT
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
FUNDING TO SUPPORT CROWDFUNDING				
REGULATION WITHIN THE DIVISION OF				
SECURITIES				3000460
OTHER PERSONAL SERV				030000
REGULATORY TRUST FUND -STATE	100,000	100,000		2573 1

AGENCY ISSUE NARRATIVE:

2016-2017 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Priority # 205

Reference to Long-Range Program Plan:

This request will enhance the Office's ability to reach the following goals:

- GOAL #1: Improving taxpayer value
- GOAL #2: Delivering value to businesses
- GOAL #3: Promoting a safe and sound financial marketplace
- GOAL #4: Improving customer service

Issue Description/Need: During FY 2014-15, the Florida legislature passed CS/CS/CS/HB 275 relating to equity Crowdfunding. Regulation of a Crowdfunding Issuer and Intermediary falls under Chapter 517, Florida Statutes, the Florida Investor Protection Act. At the time of passage, the bill provided the Office with \$120,000 in non-recurring funding for Fiscal Year 2015-2016, but provided no recurring funds, nor FTEs were appropriated in support of this new licensing and regulatory program as the fiscal impact was indeterminant. As the program does not go into effect until October 1, 2015, it is still difficult to anticipate the full impact of the program change, however it is anticipated that the Division will experience an increased workload.

These increased duties are in the areas of processing consumer complaints, examining records of intermediaries, and when necessary, initiating enforcement actions for non-compliance or fraud. At this time, the Division of Securities believes it can absorb the workload associated with the processing of notice-filings and applications, maintaining records, and responding to records requests. It may become necessary at a later date to revisit the need for additional staffing in both the Bureau of Registration and Bureau of Enforcement based on volume of activity for this new program.

As the funding provided in the bill was non-recurring and no funding is provided for Fiscal Year 2016-2017 an LBR issue is necessary to continue a portion of the funding into Fiscal Year 2016-2017. Without the continuation of OPS Funding, the activities required under this new regulatory program would have to be transferred to existing staffing within the Bureau of Enforcement which would lead to fewer examinations being conducted on dealers, investment advisers, their branch office locations and associated persons, placing investors at increased risk.

	COL A03	COL A04	COL A05	
	AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
	FY 2016-17	FY 2016-17	FY 2016-17	
POS	AMOUNT	POS	AMOUNT	POS
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
PUBLIC PROTECTION				12
<u>REGULATION AND LICENSING</u>				<u>1204.00.00.00</u>
WORKLOAD				3000000
FUNDING TO SUPPORT CROWDFUNDING				
REGULATION WITHIN THE DIVISION OF				
SECURITIES				3000460

Ultimate Outcome: Continuation of OPS Funding will support the Division of Securities in its efforts to perform the duties associated with the new Crowdfunding licensing and regulatory program.

Detail of Costs:

CAT 030000	Other Personal Services:		
Position	Title and Pay Grade	Amount	Non-Recurring
-----	-----	-----	-----
	OPS Funding	\$100,000	\$100,000
-----	-----	-----	-----
	Total	\$100,000	\$100,000

TOTAL: REGULATION AND LICENSING				<u>1204.00.00.00</u>
BY FUND TYPE				
	95.00			
TRUST FUNDS.....	7,968,444	100,000		2000
SALARY RATE.....	4,850,251			
	=====	=====	=====	

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* BPEADL01                                STATISTICAL INFORMATION                                09/14/2015 15:54:27 *
* BUDGET PERIOD: 2006-2017                EXHIBIT A, D AND D-3A LIST REQUEST                TJM 43      SP      *
* COMPILE DATE: 09/09/2015                COMPILE TIME: 16:07:29                                PAGE:      1      *
*****
*                                     SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: ED3A
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
* ITEMIZATION OF EXPENDITURE:                IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7:          LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED):
*   5
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
*
* FUND GROUPS SET:          OR FUND:                FUNDING SOURCE IDENTIFIER:                MERGE FSI (Y/N): N
* FCO (Y/N): Y          FTE (Y/N): Y                SALARY RATE (Y/N): Y
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED):
*   3
*
* REPORT OPTION: 1          COLUMN SELECTION: A03          A04          A05          CODES
* 1=EAD REPORT
* 2=SCHEDULE IV/IT ISSUES          REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N  THAT EXCEED:
* 3=STATEWIDE ISSUES
* 4=SCHEDULE VIIIA ISSUES
* SCHEDULE VIIIA ISSUES SPREADSHEET (Y/N): N
*
* LEVELS OF TOTALS:  (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: N          ITEM OF EXP: N          GROUP: N          DEPARTMENT: N          DIVISION: N          BUREAU: N
* SUB-BUREAU: N          LBE: T          POLICY AREA: N          PROG COMP: T          D3A SUM ISSUE: N          D3A DETAIL ISSUE: L
* MAJOR APP CAT: N          MINOR APP CAT: D
*
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)          REPORT SEQUENCE: DEPT/BUDGET ENTITY: N  A=ALPHABETICAL
*                                     PROGRAM COMPONENT: N  N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:                PROGRAM COMPONENT NARRATIVE (Y/N): N
*
* ISSUE/ACTIVITY NARRATIVE SET: A1          PRIORITY ISSUE NARRATIVE SET (1-9):
*
* INCLUDE POSITION DATA (Y/N): Y
*
* INCLUDE COLUMN CODES (Y/N): Y
*
* OUTPUT FORMAT: L          PAGE BREAKS: LBE  PRC
* L=LANDSCAPE                (IOE, GRP, DEP, DIV,          REPORT HEADING:                EXHIBIT D-3A
* P=PORTRAIT                BUR, SUB, LBE, PRC,          EXPENDITURES BY
*                                     SIS, ISC)                ISSUE AND APPROPRIATION CATEGORY
* -----

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* BPEADL01                               STATISTICAL INFORMATION                09/14/2015 15:54:27 *
* BUDGET PERIOD: 2006-2017              EXHIBIT A, D AND D-3A LIST REQUEST          TJM 43      SP   *
* COMPILE DATE: 09/09/2015              COMPILE TIME: 16:07:29                PAGE:      2   *
*****
*
* TOTAL RECORDS READ FROM SORT:          632
* TOTAL RECORDS READ FROM CARD:          43
* TOTAL PAF RECORDS READ:                 20
* TOTAL OAF RECORDS READ:                 1
* TOTAL IEF RECORDS READ:                 0
* TOTAL BGF RECORDS READ:                 0
* TOTAL BEF RECORDS READ:                 62
* TOTAL PCF RECORDS READ:                 58
* TOTAL ICF RECORDS READ:                 277
* TOTAL INF RECORDS READ:                 1,188
* TOTAL ACF RECORDS READ:                 68
* TOTAL FCF RECORDS READ:                 15
* TOTAL FSF RECORDS READ:                 10
* TOTAL PCN RECORDS READ:                 0
* TOTAL BEN RECORDS READ:                 0
* TOTAL DPC RECORDS READ:                 40
* TOTAL RECORDS IN ERROR:                 0
*
*****
*
* BUDGET ENTITIES SELECTED:
*   1-9: 43
*  10-18:
*  19-27:
*
*****

```